# Identifying codes in graphs of given maximum degree

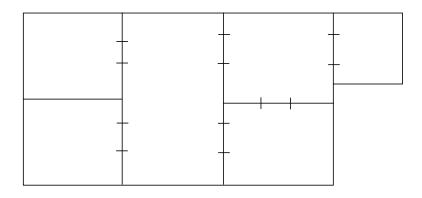
(a probabilistic approach)

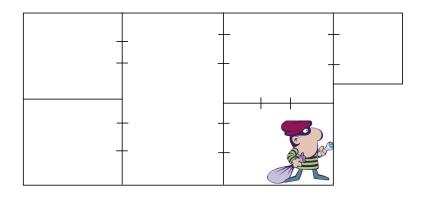
Florent Foucaud (LaBRI, Bordeaux, France)

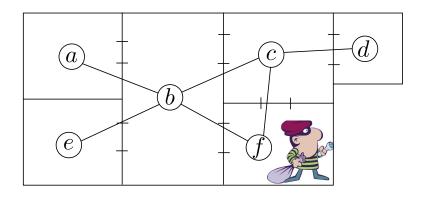
JGA 2011 - November 18th, 2011

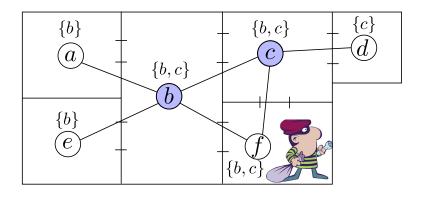
joint work with Guillem Perarnau (UPC, Barcelona, Spain)

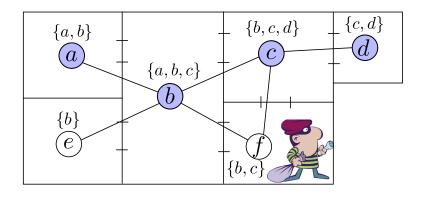


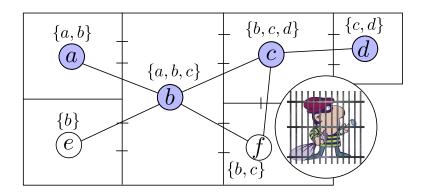












### Identifying codes: definition

Let N[u] be the set of vertices v s.t.  $d(u, v) \leq 1$ 

**Definition** - Identifying code of G (Karpovsky, Chakrabarty, Levitin, 1998)

Subset C of V such that:

- C is a dominating set in G:  $\forall u \in V$ ,  $N[u] \cap C \neq \emptyset$ , and
- C is a separating code in G:  $\forall u \neq v$  of V,  $N[u] \cap C \neq N[v] \cap C$

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Notation - Identifying code number

 $\gamma^{\text{ID}}(G)$ : minimum cardinality of an identifying code of G

## Identifiable graphs

N[u]: set of vertices v s.t.  $d(u, v) \leq 1$ 

#### Remark

Not all graphs have an identifying code!

**Twins** = pair u, v such that N[u] = N[v].

A graph is identifiable iff it is twin-free (i.e. it has no twins).

## Identifiable graphs

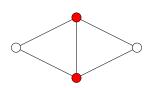
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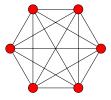
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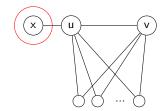




#### Forced vertices

$$u, v$$
 such that  $N[v] \ominus N[u] = \{x\}$ 

Then  $x \in C$ , forced by uv.



### Notation

Let NF(G) be the proportion of **non forced vertices** of G

$$NF(G) = \frac{\# non\text{-forced vertices in G}}{\# vertices in G}$$

Note: if G regular, NF(G) = 1.

Theorem (Karpovsky, Chakrabarty, Levitin, 1998 + Gravier, Moncel, 2007)

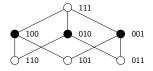
Let G be an identifiable graph with at least one edge, then

$$\lceil \log_2(n+1) \rceil \leq \gamma^{\text{ID}}(\textit{G}) \leq n-1$$

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Let G be an identifiable graph with maximum degree  $\Delta$ , then

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Conjecture (F., Klasing, Kosowski, Raspaud, 2009+)

Let G be a connected nontrivial identifiable graph of max. degree  $\Delta$ . Then

$$\gamma^{ ext{ID}}(\textit{G}) \leq \textit{n} - rac{\textit{n}}{\Delta} + \textit{O}(1)$$

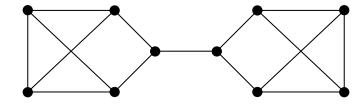
True for  $\Delta = 2$  and  $\Delta = n - 1$ .

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$$\gamma^{ extstyle extstyle$$

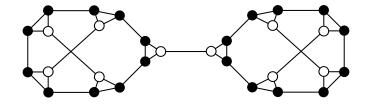
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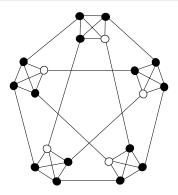
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Let G be a connected identifiable graph of maximum degree  $\Delta$ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(\Delta^5)}$$

If G is  $\Delta$ -regular,  $\gamma^{ extsf{ID}}(G) \leq n - rac{n}{\Theta(\Delta^3)}$ 

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Question

Is it true that  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(\Delta)}$ ?

### The probabilistic method

Technique developed, among others, by Erdős used mainly in combinatorics (Ramsey theory, graph theory, ...)

- Define a suitable probability space
- Select some object from this space using randomness
- Prove that with nonzero probability, certain "good" conditions hold
- Conclusion: there always exists a "good" object

# Upper bounds for $\gamma^{\text{\tiny{ID}}}(G)$

#### Notation

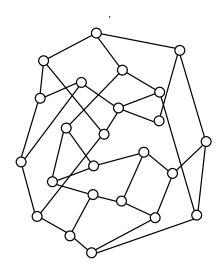
Let NF(G) be the proportion of **non** forced vertices of G

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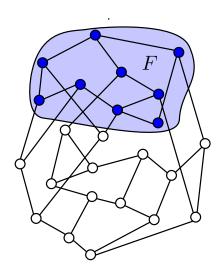
### **Theorem** (F., Perarnau, 2011+)

There exists an integer  $\Delta_0$  such that for each identifiable graph G on n vertices having maximum degree  $\Delta \geq \Delta_0$  and no isolated vertices,

$$\gamma^{\text{ID}}(G) \leq n - \frac{n \cdot NF(G)^2}{85\Delta}$$

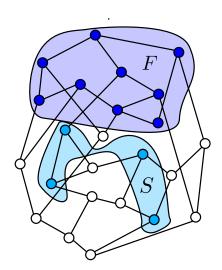


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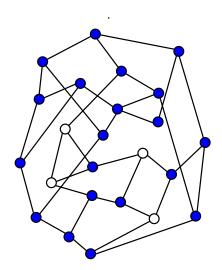
• Select a random set S from  $V' = V \setminus F$ : each vertex  $v \in S$  with prob. p.



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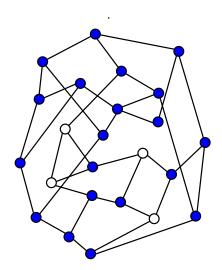
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# Proof - Using the (weighted) Lovász Local Lemma

$$\mathcal{E} = \{\textit{E}_1, \dots, \textit{E}_\textit{M}\}$$
: set of "bad" events, dependencies are "rare".

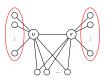
Then: with non-zero probability none of the bad events occur.

Moreover, this probability can be lower-bounded.

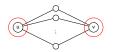
### Set the bad events...



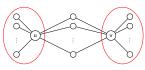
Event  $A_u$ 



Event  $B_{u,v}$ 

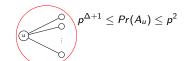


Event  $C_{u,v}$ 

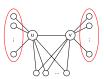


Event  $D_{u,v}$ 

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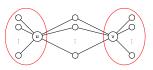


Event  $B_{u,v}$ 

$$p^{2\Delta-2} \leq Pr(B_{u,v}) \leq p^2$$



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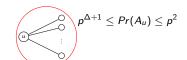


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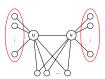
$$\rho^{2\Delta} \leq Pr(D_{u,v}) \leq \rho^4$$

 $Pr(C_{u,v}) = p^2$ 

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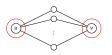


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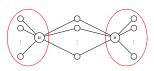


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Taking  $p = \frac{1}{k\Delta} \Longrightarrow LLL$  can be applied

Proof - the set can be small...

By the LLL we know that

There exists some set S with  $\mathbb{E}(|S|) = \frac{n \cdot NF(G)}{k \cdot \Delta}$  such that no bad event occurs

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And we also know: if  $S = \emptyset$ ,  $C = V \setminus S = V$  is a trivial code!

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And we also know: if  $S = \emptyset$ ,  $C = V \setminus S = V$  is a trivial code!

But by the LLL we also know that the probability to have a **good set** S is:

$$\Pr\left(\bigcap_{i=1}^{m} \overline{E_i}\right) > \exp\left\{-\frac{9}{k^2 \Delta} n\right\}$$

# Proof (regular case) - concentration inequality

We have a set of n independent Bernouilli random variables.

Using the **Chernoff bound**, probability that S is **too small**:

$$\Pr\left(\mathbb{E}(|S|) - |S| > \frac{n \cdot NF(G)}{c\Delta}\right) \leq \exp\left\{\frac{kNF(G)}{2c^2\Delta}n\right\}$$

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$$|\mathcal{C}| = |V \setminus S| \le n - \frac{n \cdot NF(G)^2}{85\Delta}$$

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### **Theorem** (F., Perarnau, 2011+)

There exists an integer  $\Delta_0$  such that for each identifiable graph G on n vertices having maximum degree  $\Delta \geq \Delta_0$  and no isolated vertices,

$$\gamma^{\text{ID}}(G) \leq n - \frac{n \cdot NF(G)^2}{85\Delta}$$

## Bounding the number of forced vertices

#### Proposition

$$\tfrac{1}{\Delta+1} \leq \textit{NF}(\textit{G}) \leq 1$$

#### Proof:

### Lemma Bertrand, Hudry, 2005

Let G be an identifiable graph having no isolated vertices. Let x be a vertex of G. There exists a non forced vertex y in N[x].

 $\Rightarrow$  The set S of non-forced vertices forms a dominating set. Hence  $|S| \geq \frac{n}{\Delta+1}$ .

## Bounding the number of forced vertices

#### Proposition

Let G be a graph of clique number at most k. There exists a function  $\rho$  such that:

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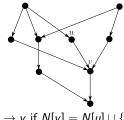
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- Define graph  $\overrightarrow{H}(G)$
- Max. degree of  $\overrightarrow{H}(G)$ : 2k-3
- Longest directed chain of  $\overrightarrow{H}(G)$ : k-1
- Each component has a non-forced vertex
- $\bullet \Rightarrow \rho(k) \leq \sum_{i=0}^{k-2} (2k-3)^i$



$$u \rightarrow v \text{ if } N[v] = N[u] \cup \{x\}$$

#### Corollaries

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#### Corollary

- In general,  $NF(G) \geq rac{1}{\Delta+1}$  and  $\gamma^{\text{ID}}(G) \leq n rac{n}{\Theta(\Delta^3)}$
- If G is  $\Delta$ -regular, NF(G)=1 and  $\gamma^{\text{ID}}(G)\leq n-\frac{n}{85\Delta}=n-\frac{n}{\Theta(\Delta)}$
- If G has clique number bounded by k,  $NF(G) \ge \frac{1}{\rho(k)}$  and  $\gamma^{\text{ID}}(G) \le n \frac{n}{85 \cdot (\rho(k))^2 \cdot \Delta} = n \frac{n}{\Theta(\Delta)}$