

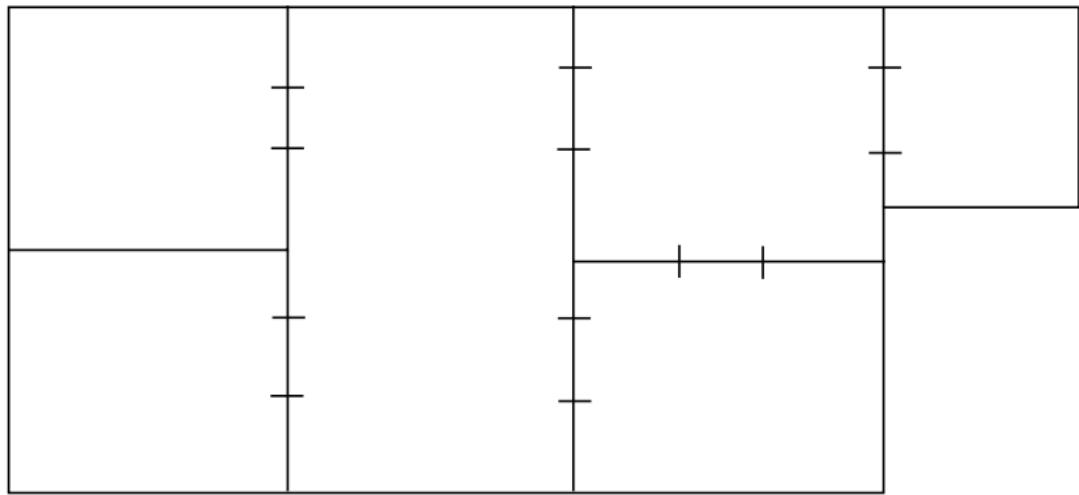
# **Combinatorial and algorithmic aspects of identifying codes in graphs**

Florent Foucaud

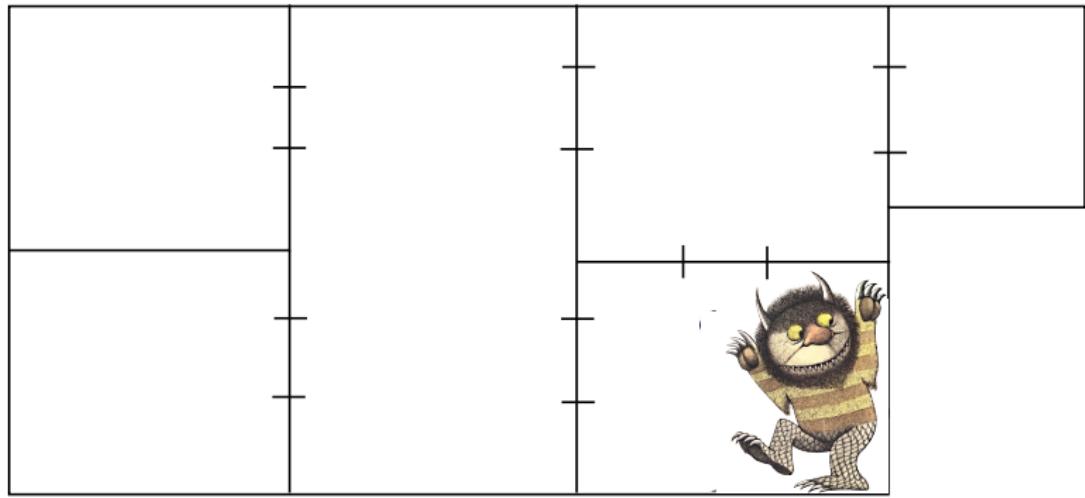
Bordeaux

December 10th, 2012

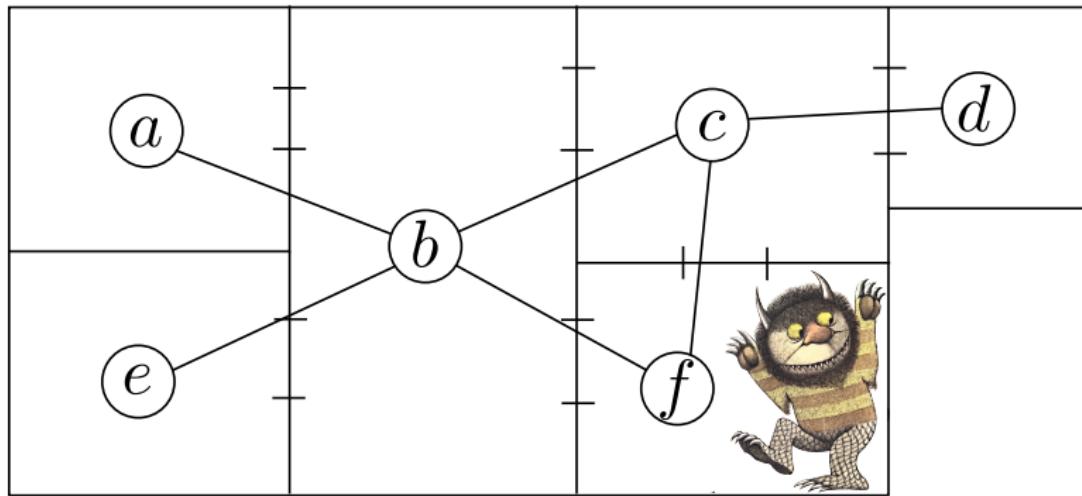
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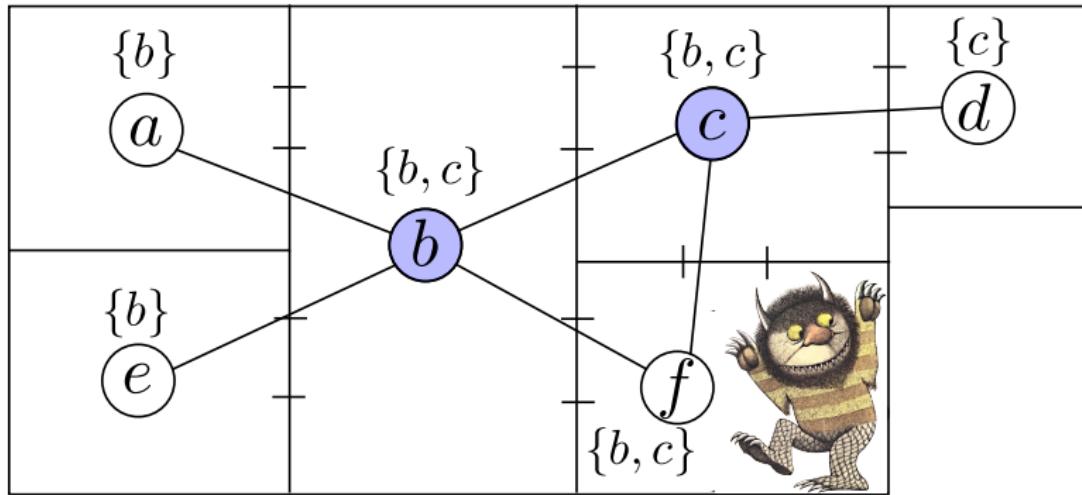


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Graph  $G = (V, E)$ .  $V$ : vertices (rooms),  $E \subseteq V \times V$ : edges (doors)

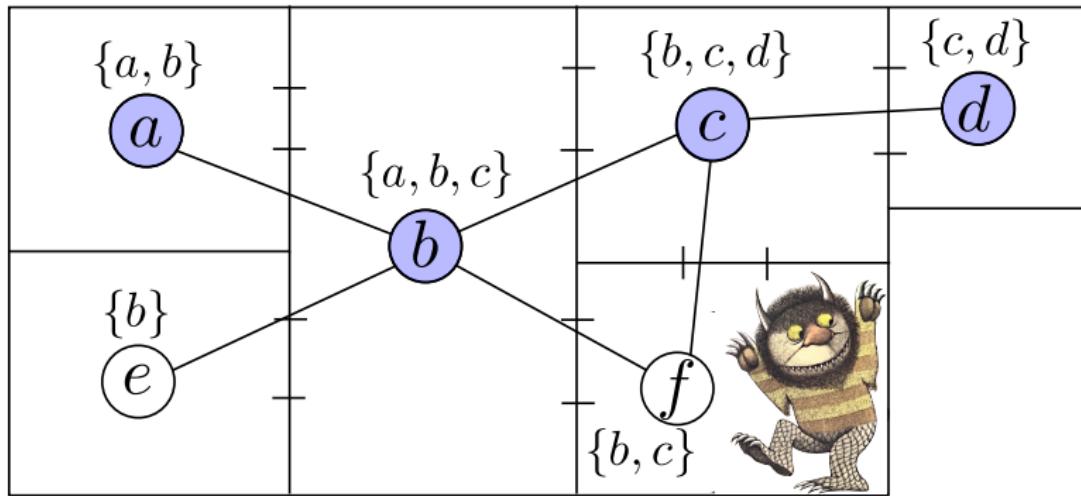
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# Identifying codes

$G$ : undirected graph

$N[u]$ : set of vertices  $v$  s.t.  $d(u, v) \leq 1$

**Definition** - Identifying code (Karpovsky, Chakrabarty, Levitin, 1998)

Subset  $C$  of  $V(G)$  such that:

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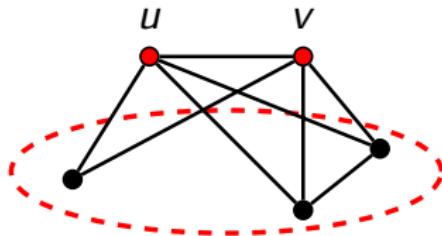
$\gamma^{\text{ID}}(G)$ : minimum size of an identifying code in  $G$

# Identifiable graphs

Remark

**Not all graphs have an identifying code!**

**Twins** = pair  $u, v$  such that  $N[u] = N[v]$ .

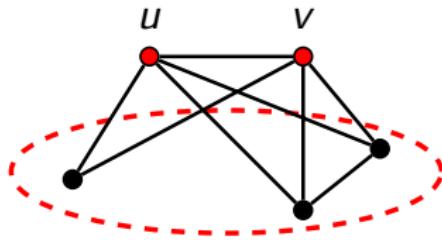


# Identifiable graphs

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## Proposition

A graph is **identifiable** if and only if it is **twin-free** (i.e. has no twins).

# Bounds on $\gamma^{\text{ID}}(G)$

$n$ : number of vertices

**Theorem** (Karpovsky, Chakrabarty, Levitin, 1998)

$G$  identifiable graph on  $n$  vertices:

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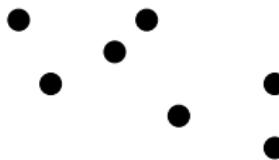
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$$\gamma^{\text{ID}}(G) = n \Leftrightarrow G \text{ has no edges}$$



# Examples

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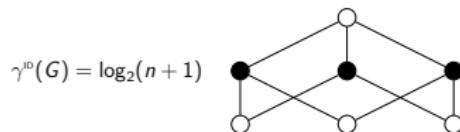
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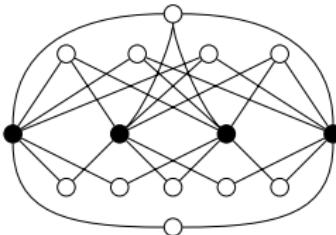
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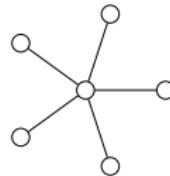
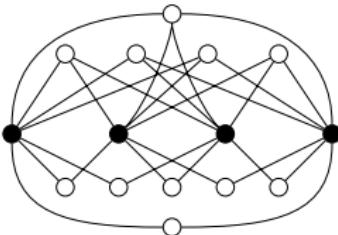
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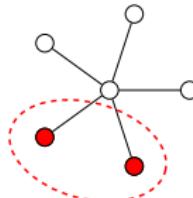
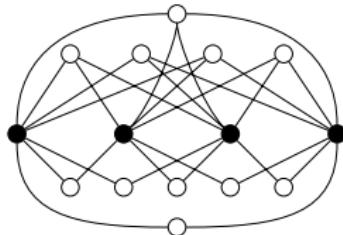
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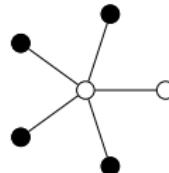
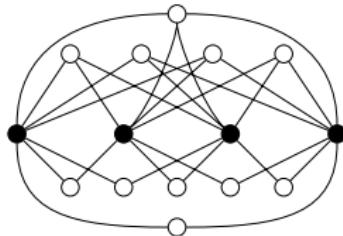
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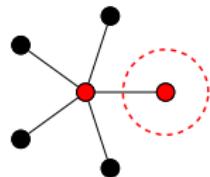
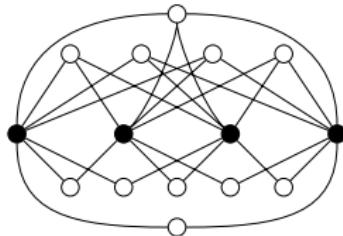
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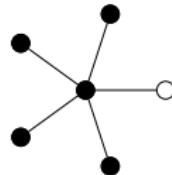
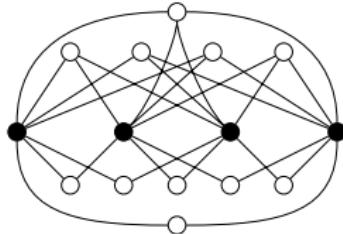
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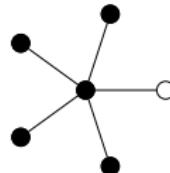
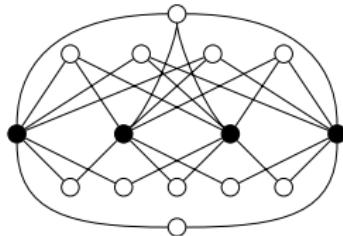
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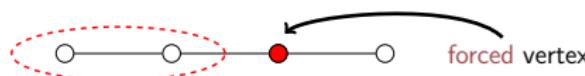
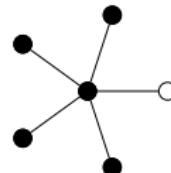
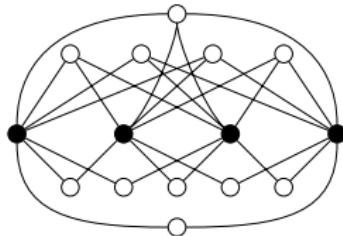
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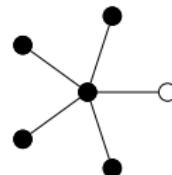
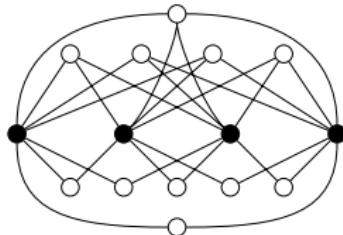
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forced vertex



# Examples

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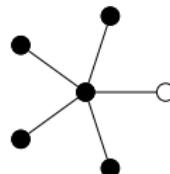
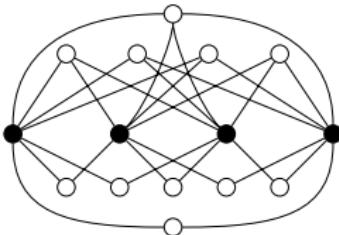
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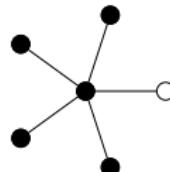
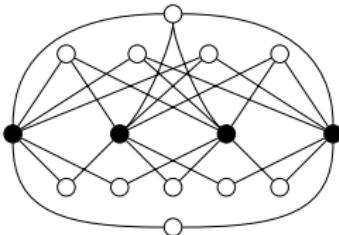
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# A question

**Theorem** (Bertrand, 2005 / Gravier, Moncel, 2007 / Skaggs, 2007)

$G$  identifiable graph on  $n$  vertices with at least one edge:

$$\gamma^{\text{ID}}(G) \leq n - 1$$

**Question**

What are the graphs  $G$  with  $n$  vertices and  $\gamma^{\text{ID}}(G) = n - 1$  ?

# Part 1

## **Part 1** **Graphs with large identifying code number**

Part 2  
Identifying code number and maximum degree

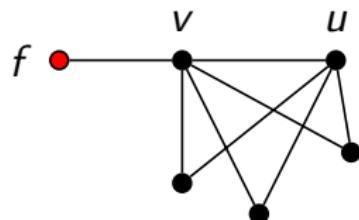
Part 3  
Algorithmic hardness of the identifying code problem

# Forced vertices

$u, v$  such that  $N[v] \ominus N[u] = \{f\}$ :

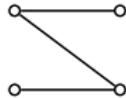
$f$  belongs to **any identifying code**

→  $f$  **forced** by  $u, v$ .

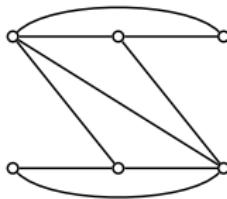


# Graphs with many forced vertices

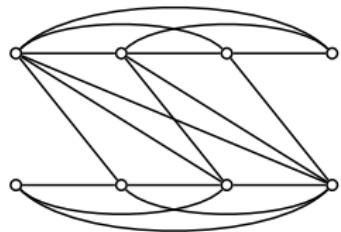
Special path powers:  $A_k = P_{2k}^{k-1}$



$$A_2 = P_4$$



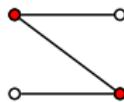
$$A_3 = P_6^2$$



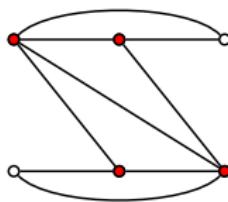
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# Graphs with many forced vertices

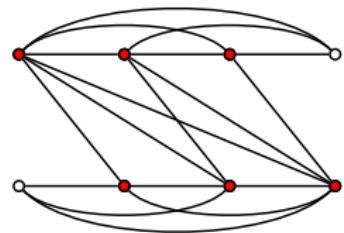
Special path powers:  $A_k = P_{2k}^{k-1}$



$$A_2 = P_4$$



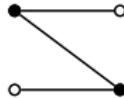
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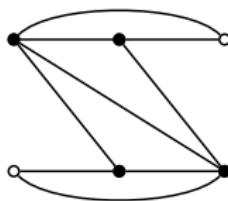
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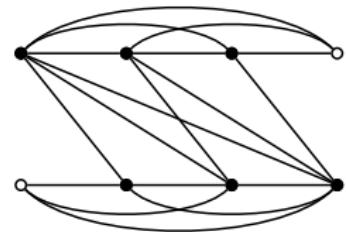
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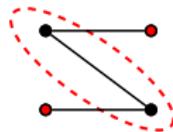
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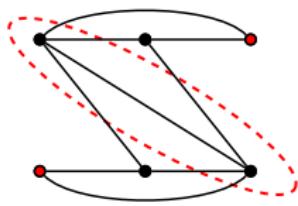
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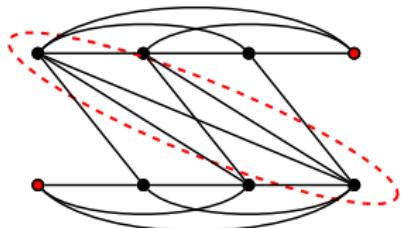
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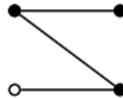
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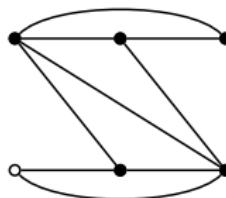
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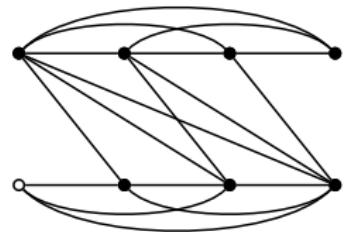
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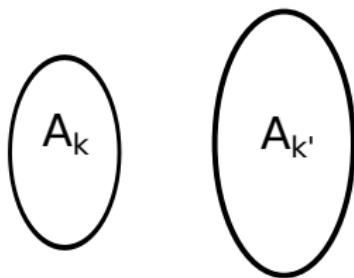


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**Proposition**

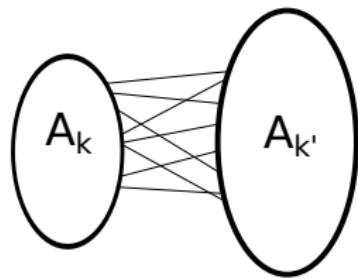
$$\gamma^{\text{ID}}(A_k) = n - 1$$

# Constructions using joins



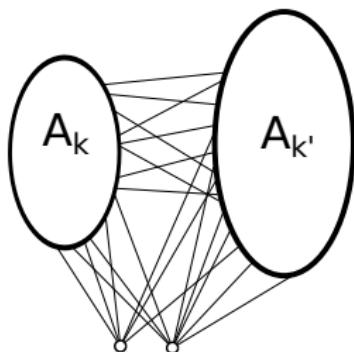
Two graphs  $A_k$  and  $A_{k'}$

# Constructions using joins



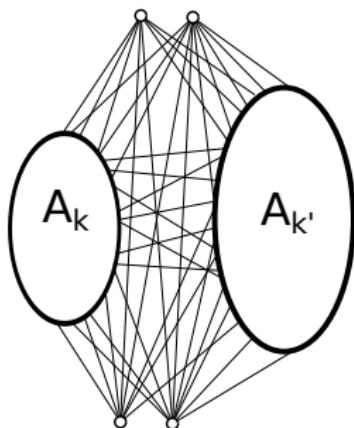
**Join:** add all edges between them

# Constructions using joins



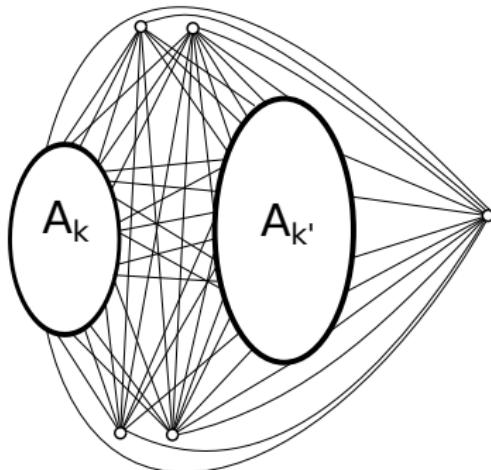
Join the new graph to two non-adjacent vertices ( $\overline{K_2}$ )

# Constructions using joins



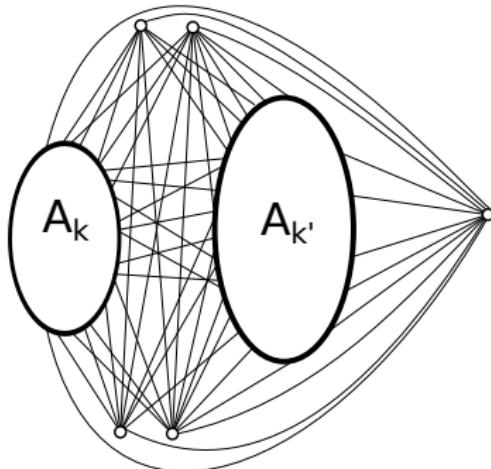
Join the new graph to two non-adjacent vertices, again

# Constructions using joins



Finally, add a **universal vertex**

# Constructions using joins



Finally, add a **universal vertex**

## Proposition

At each step, the constructed graph has  $\gamma^{\text{ID}} = n - 1$

# A characterization

- (1) stars
- (2)  $A_k = P_{2k}^{k-1}$
- (3) joins between 0 or more members of (2) and 0 or more copies of  $\overline{K}_2$
- (4) (2) or (3) with a universal vertex

**Theorem** (F., Guerrini, Kovše, Naserasr, Parreau, Valicov, 2011)

$G$  connected identifiable graph,  $n$  vertices:

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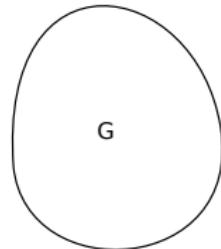
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- $G$ : minimum counterexample



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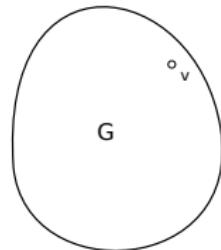
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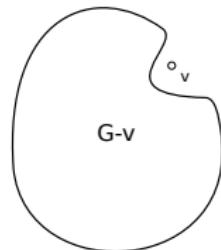
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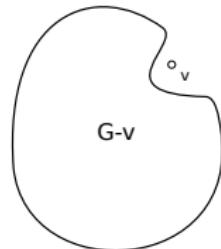
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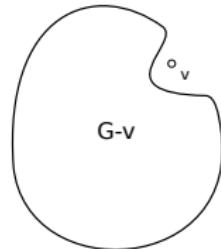
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- Put  $v$  back  $\Rightarrow$  **contradiction**: no counterexample exists!



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**Observation**

All these graphs have maximum degree  $n - 1$  or  $n - 2$

## Part 2

### Part 1

Graphs with large identifying code number

### Part 2

**Identifying code number and maximum degree**

### Part 3

Algorithmic hardness of the identifying code problem

# A lower bound using the maximum degree

maximum degree of  $G$ : maximum number of neighbours of a vertex in  $G$

**Theorem** (Karpovsky, Chakrabarty, Levitin, 1998)

$G$  identifiable graph,  $n$  vertices, maximum degree  $\Delta$ :

$$\frac{2n}{\Delta+2} \leq \gamma^{\text{ID}}(G)$$

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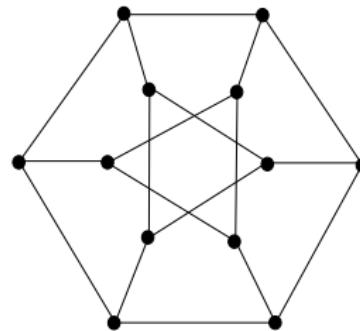
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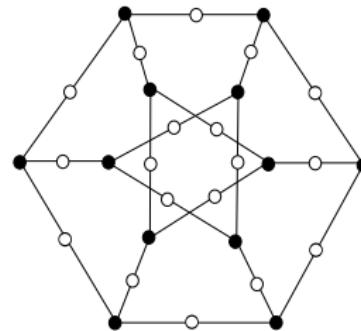
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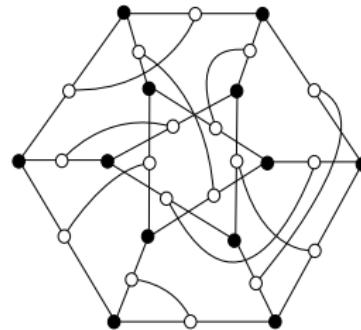
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Equality if and only if  $G$  can be constructed as follows:

- Take  $\Delta$ -regular graph  $H$
- Subdivide each edge once
- Possibly add some edges



# The influence of the maximum degree

## Question

What is a good **upper bound** on  $\gamma^{\text{ID}}$  using the maximum degree?

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There exist graphs with  $n$  vertices, max. degree  $\Delta$  and  $\gamma^{\text{ID}}(G) = n - \frac{n}{\Delta}$ .

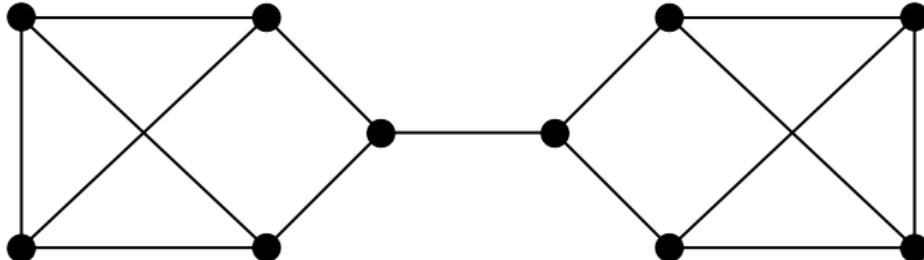
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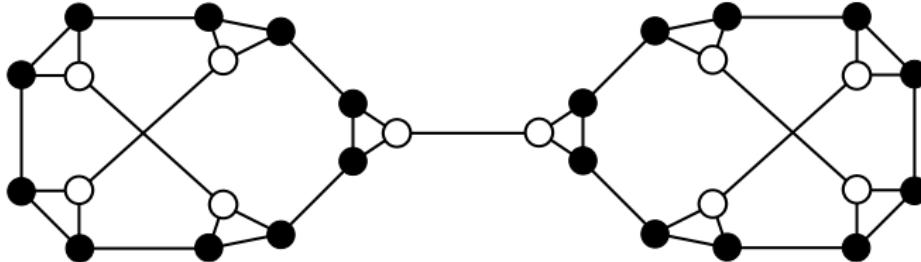
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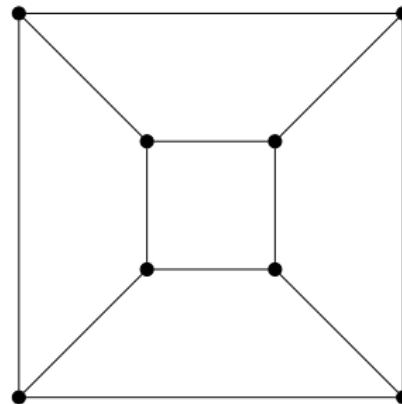
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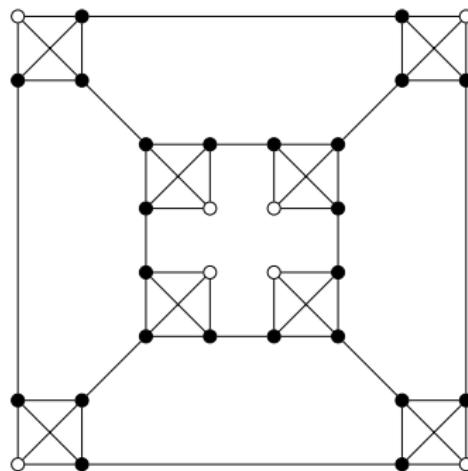
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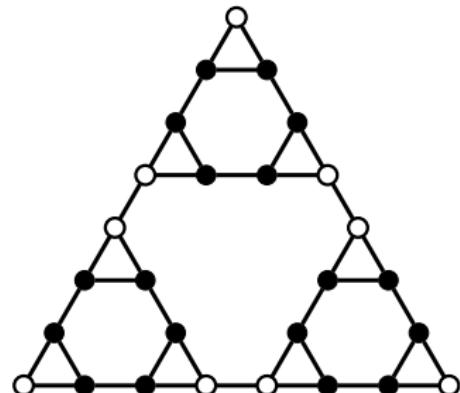
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Also: Sierpiński graphs

(Gravier, Kovše, Mollard,  
Moncel, Parreau, 2011)



# A conjecture

**Conjecture** (F., Klasing, Kosowski, Raspaud, 2009)

$G$  connected identifiable graph,  $n$  vertices, max. degree  $\Delta$ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta} + c \text{ for some constant } c$$

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**Question**

Can we prove that  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(\Delta)}$ ?

# Triangle-free graphs

**Theorem** (F., Klasing, Kosowski, Raspaud, 2009)

$G$  identifiable triangle-free graph,  $n$  vertices, max. degree  $\Delta$ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta + \frac{3\Delta}{\ln \Delta - 1}} = n - \frac{n}{\Delta(1 + o_{\Delta}(1))}$$

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**Proof idea:** Constructive.

Triangle-free graphs have **large** independent sets

$$\text{(see e.g. Shearer: } \alpha(G) \geq \frac{\ln \Delta}{\Delta} n \text{)}$$

→ Locally modify such an independent set:

its complement is a “small” id. code.

# Triangle-free graphs

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## Remark

Same technique applies to families of triangle-free graphs with large independent sets.

→ bipartite graphs:  $\alpha(G) \geq \frac{n}{2} \Rightarrow \gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta+9}$

# The probabilistic method

- ① Define a suitable probability space
- ② Select some object from this space using a random process  
→ select random set
- ③ Prove that with nonzero probability, certain "good" conditions hold  
→ selected set is small id. code
- ④ Conclusion: there always exists a "good" object  
→ small id. code

# Upper bounds for $\gamma^{\text{ID}}(G)$

## Theorem (F., Perarnau, 2011)

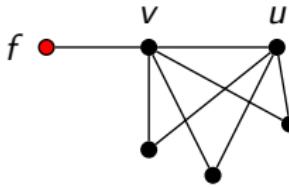
$G$  identifiable graph,  $n$  vertices, maximum degree  $\Delta$ , no isolated vertices:

$$\gamma^{\text{ID}}(G) \leq n - \frac{n \cdot NF(G)^2}{105\Delta}$$

## Notation

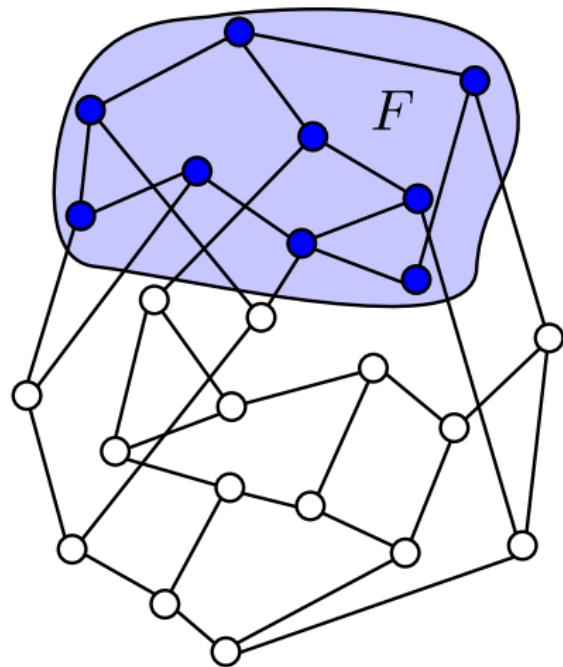
$NF(G)$ : proportion of **non** forced vertices of  $G$

$$NF(G) = \frac{\#\text{non forced vertices in } G}{\#\text{vertices in } G}$$



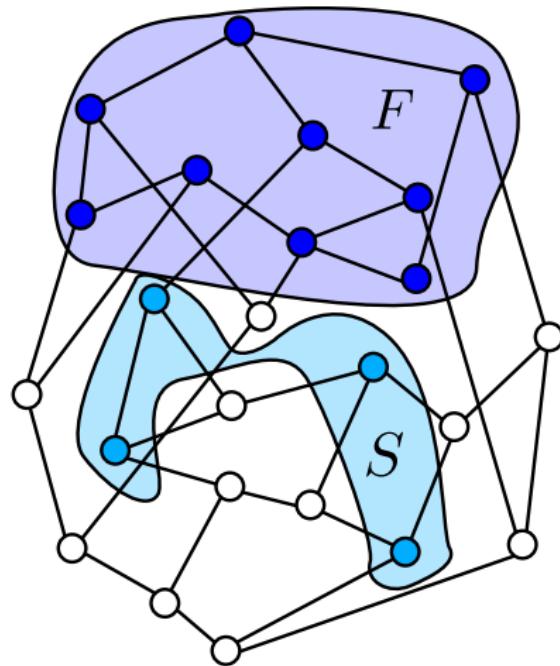
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for each vertex  $v$  from  $V(G) \setminus F$   
 $\rightarrow v \in S$  with probability  $p$ .

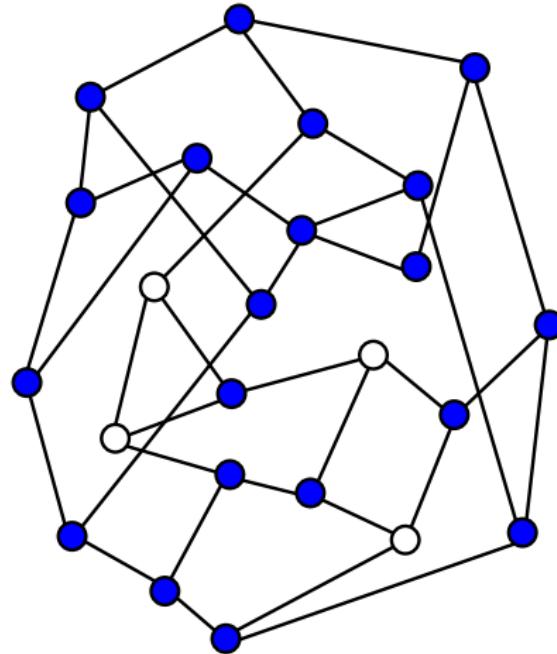
$$\text{Want: } p = \Theta\left(\frac{1}{\Delta}\right)$$

$$\mathbb{E}(|S|) = p \cdot nNF(G) = \frac{nNF(G)}{\Theta(\Delta)}$$

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**Goal:**  $\mathcal{C} = V(G) \setminus S$  small identifying code



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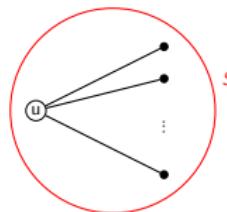
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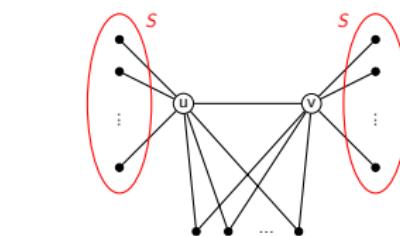
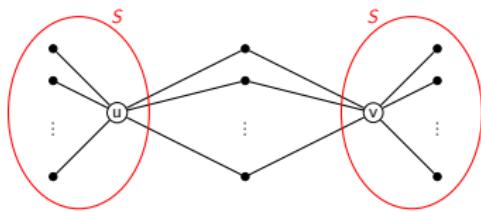
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each vertex  $u$

→ 1 event for domination



each pair  $u, v$  at dist. at most 2

→ 1 event for separation

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4) Local Lemma: if dependencies are “**small**”:

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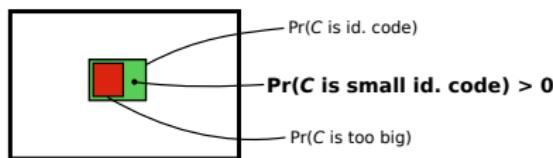
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5) **Solution:** Chernoff bound → w.h.p.  $|S|$  is **close to expected size**



# Bounding the number of forced vertices

$NF(G)$ : proportion of **non** forced vertices of  $G$

**Theorem** (F., Perarnau, 2011)

$G$  identifiable graph on  $n$  vertices having maximum degree  $\Delta$  and no isolated vertices:

$$\gamma^{ID}(G) \leq n - \frac{n \cdot NF(G)^2}{105\Delta}$$

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$G$  regular  $\Rightarrow NF(G) = 1$

**Corollary**

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## Corollary

$$\frac{1}{\Delta+1} \leq NF(G) \leq 1 \text{ and } \gamma^{ID}(G) \leq n - \frac{n}{105(\Delta+1)^3}$$

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Let  $G$  be a graph of **clique number** at most  $k$ . There exists a (huge) function  $c$  such that:

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$$\gamma^{ID}(G) \leq n - \frac{n}{105c(k)^2\Delta} = n - \frac{n}{\Theta(\Delta)}$$

# Summary

**Conjecture** (F., Klasing, Kosowski, Raspaud, 2009)

$G$  connected identifiable graph,  $n$  vertices, max. degree  $\Delta$ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta} + c \text{ for some constant } c$$

**Theorem**

in general:  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(\Delta^3)}$

triangle-free:  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta(1+o_{\Delta}(1))}$

bipartite:  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Delta+9}$

regular:  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{105\Delta}$

clique number  $k$ :  $n - \frac{n}{105c(k)^2\Delta}$

# Part 3

Part 1  
Graphs with large identifying code number

Part 2  
Identifying code number and maximum degree

Part 3  
**Algorithmic hardness of the identifying code problem**

# Computational problems

## Definition - Computational problem

- Set of **inputs**
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Polynomial-time for:

- trees (Auger, 2010)
- bounded treewidth  
(Moncel, 2005)

NP-complete for:

- planar subcubic graphs  
(Auger et al. 2010)
- planar bipartite unit disk graphs  
(Müller, Sereni, 2009)
- etc.

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$O(\log(n))$ -approximation algorithm ( $n$ : order of input graph)

No  $o(\log(n))$ -approximation algorithm, unless  $P = NP$   
(Berger-Wolf et al. 2006 / Suomela, 2007)

# Question

## Question

What is the complexity of IDCODE and MIN IDCODE for various standard graph classes?

→ restriction of the input set

# Polynomial-time reductions

## Definition - Reduction

Two computational problems  $A, B$

Polynomial-time computable function  $r : A \rightarrow B$  such that:

$B$  efficiently solvable  $\Rightarrow A$  efficiently solvable.

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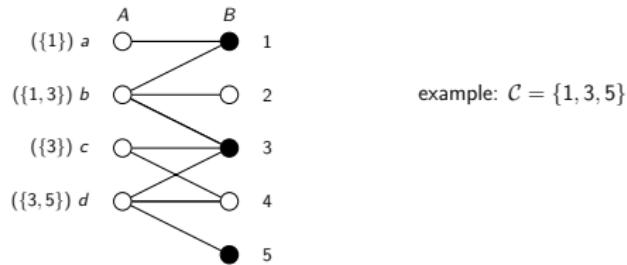
## Proposition

If  $A$  is hard, then  $B$  is hard.

# Discriminating code

**Definition - Discriminating code of a bipartite graph  $G(A, B)$**

Subset  $\mathcal{C} \subseteq B$  which dominates and separates vertices of  $A$ .

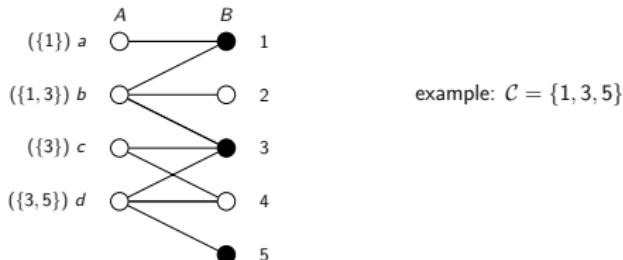


example:  $\mathcal{C} = \{1, 3, 5\}$

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**Definition - MIN DISCR CODE**

INPUT: bipartite graph  $G$

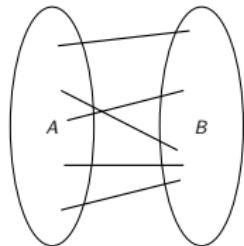
TASK: find smallest possible discriminating code of  $G$

No  $o(\log(n))$ -approximation algorithm, unless  $P = NP$

(De Bontridder et al. 2003)

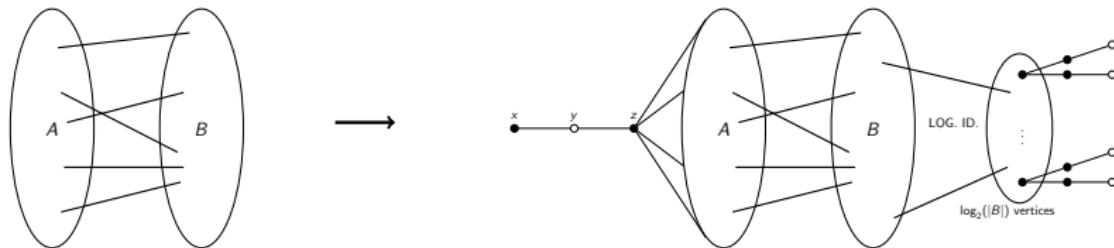
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Reduction: MIN DISCR CODE to MIN IDCODE for bipartite graphs.



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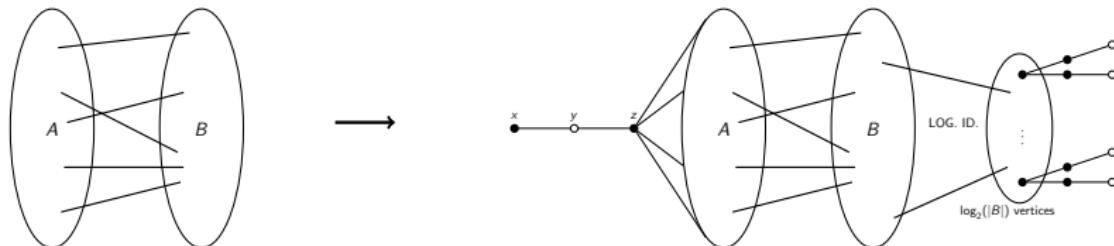


**Theorem (F., 2012)**

- $G(A, B)$  has discr. code of size  $k$  if and only if  $G'$  has an identifying code of size  $k + 3\lceil\log_2(|B| + 1)\rceil + 2$ . Constructive.
- If MIN IDCODE has an  $\alpha$ -approximation algorithm, then MIN DISCR. CODE has a  $4\alpha$ -approximation algorithm.

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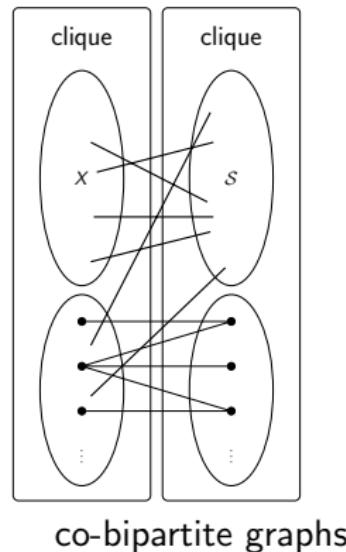
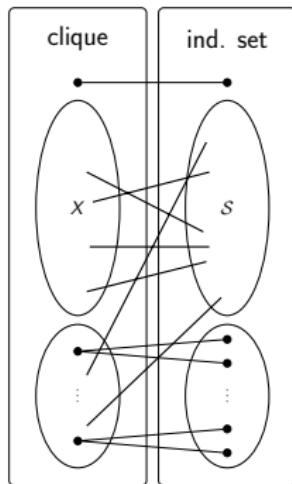
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## Corollary

NP-hard to approximate MIN IDCODE within  $o(\log(n))$   
→ even for **bipartite** graphs.

# New non-approximability reductions

Similar reductions for split graphs and co-bipartite graphs.



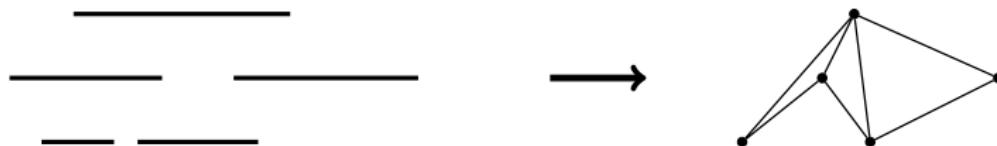
## Theorem (F., 2012)

It is NP-hard to approximate MIN IDCODE within  $o(\log(n))$   
→ even for **split** graphs and for **co-bipartite** graphs.

# Interval graphs

## Definition - Interval graph

Intersection graph of intervals of the real line.



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## Remark

Many problems are efficiently solvable for interval graphs.

Example: DOMINATING SET

# IDCODE for interval graphs

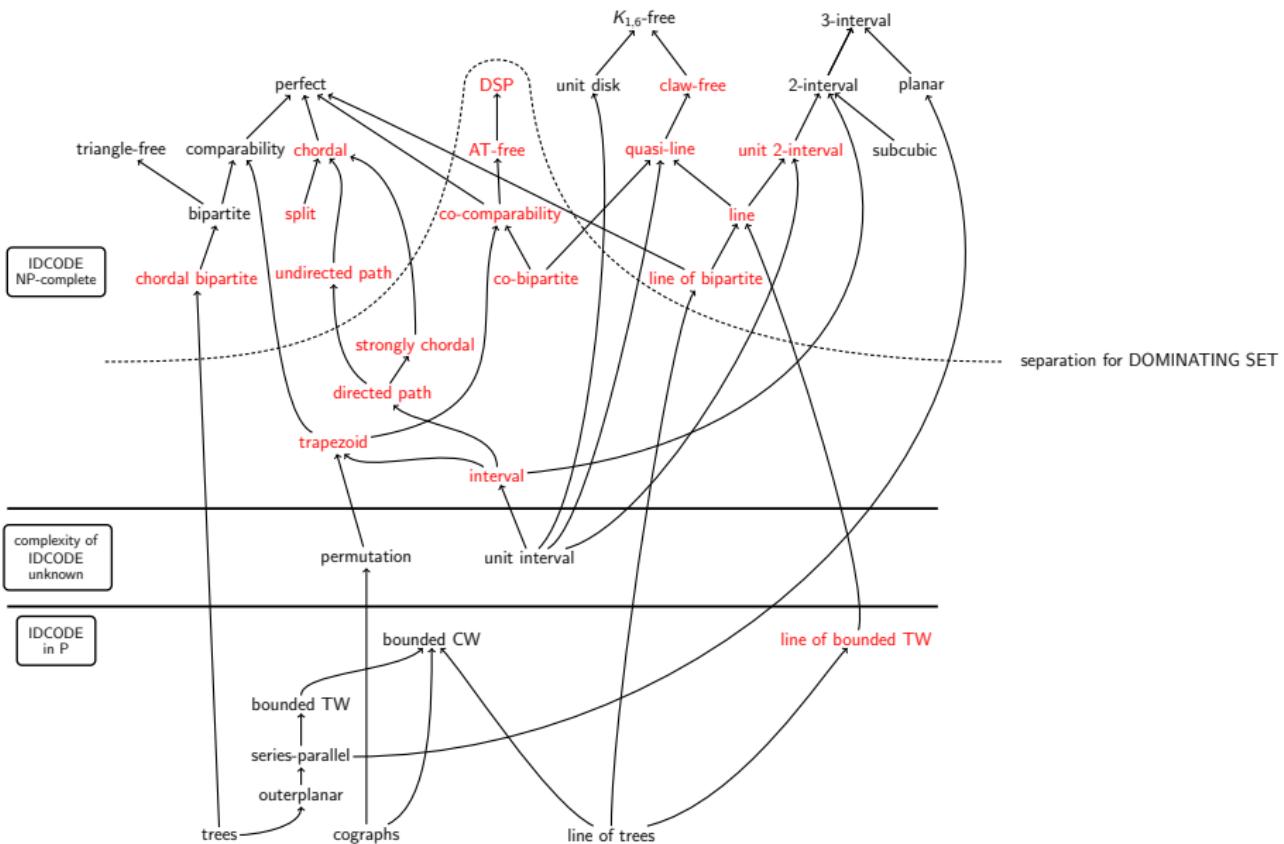
**Theorem** (F., Kosowski, Mertzios, Naserasr, Parreau, Valicov, 2012)

IDCODE is NP-complete for interval graphs. Reduction from 3-DIMENSIONAL MATCHING.

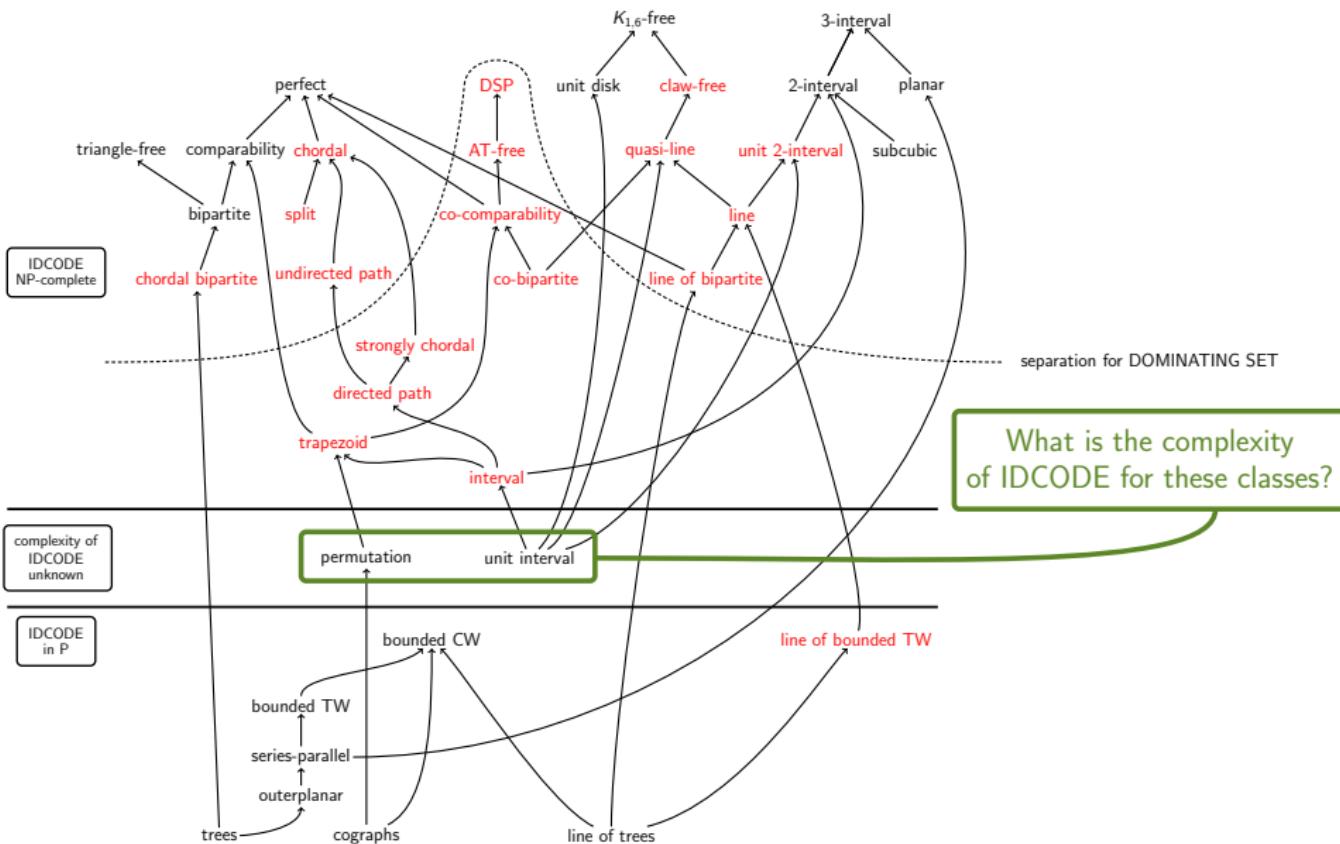
## Main idea:

an interval can separate two pairs of intervals that are **far away** without affecting what lies in between.

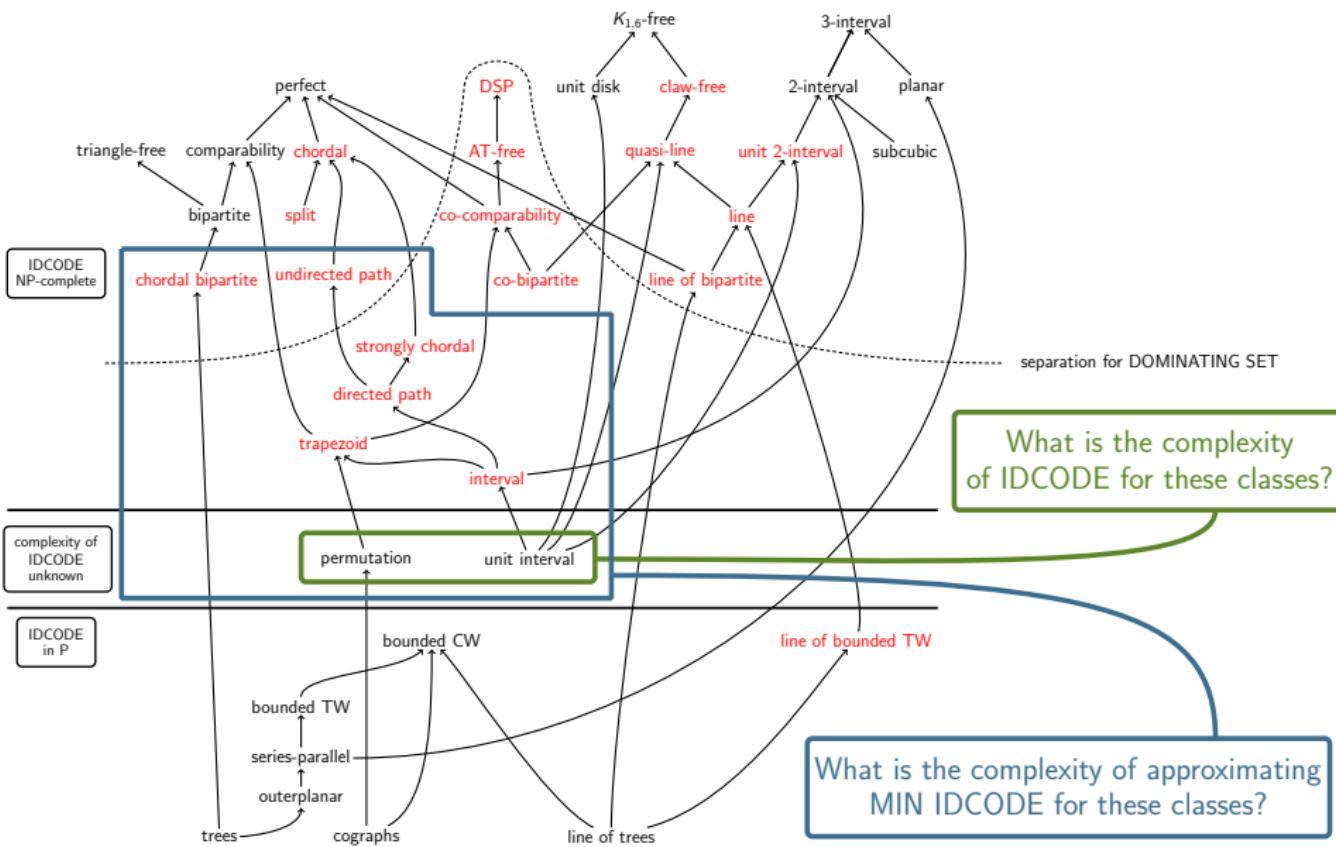
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What are tight bounds on  $\gamma^{ID}$  for **specific graph classes**?

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Other perspectives:

- **Parameterized complexity** of IDCODE
- **Fractional** identifying codes