A Calculus for Modular Loop Acceleration[*]

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Abstract. Loop acceleration can be used to prove safety, reachability, lower runtime bounds, and (non-)termination for programs operating on integers. To this end, a variety of acceleration techniques has been proposed. However, all of them are monolithic: Either they accelerate a loop successfully or they fail completely. In contrast, we present a calculus that allows for combining acceleration techniques in a modular way and we show how to integrate many existing acceleration techniques into our calculus. Moreover, we propose two novel acceleration techniques that can be incorporated into our calculus seamlessly. An empirical evaluation demonstrates the applicability of our approach in comparison to state-of-the-art acceleration techniques.

1   Introduction

In the last years, loop acceleration techniques have successfully been used to build static analyses for programs operating on integers [2, 7, 12, 15, 16, 17, 27]. Essentially, such techniques extract a quantifier-free first-order formula ψ from a single-path loop $\mathcal{T}$, i.e., a loop without branching in its body, such that ψ under-approximates (resp. is equivalent to) $\mathcal{T}$. More specifically, each model of the resulting formula ψ corresponds to an execution of $\mathcal{T}$ (and vice versa). By integrating such techniques into a suitable program-analysis framework [3, 12, 15, 16, 17, 23], whole programs can be transformed into first-order formulas which can then be analyzed by off-the-shelf solvers. Applications include proving safety [23] or reachability [23, 27], deducing lower bounds on the runtime complexity [15, 16], and proving (non-)termination [7, 12].

However, existing acceleration techniques cannot handle arbitrary single-path loops, but they only apply if certain prerequisites are in place. So the power of static analyses built upon loop acceleration depends on the applicability of the underlying acceleration technique.

In this paper, we introduce a calculus which allows for combining several acceleration techniques modularly in order to accelerate a single loop. Consequently, it can handle classes of loops where all standalone techniques fail. Moreover, we present two novel acceleration techniques and show how to integrate them into our calculus.

In the following, we introduce preliminaries in Sec. 2. Then, we discuss existing acceleration techniques in Sec. 3. In Sec. 4, we present our calculus to combine acceleration techniques. Sec. 5 shows how existing acceleration techniques can be integrated into our framework. Next, we present two novel acceleration techniques and incorporate them into our calculus in Sec. 6. After discussing related work in Sec. 7, we demonstrate the applicability of our approach via an empirical evaluation in Sec. 8 and conclude in Sec. 9.

2 Preliminaries

Let $\mathscr{C}(\vec{z})$ be the set of *closed-form expressions* over the variables $\vec{z}$ containing, e.g., all arithmetic expressions built from $\vec{z}$, integer constants, addition, subtraction, multiplication, division, and exponentiation.[1] We are interested in loops of the form

$$\textbf{while } \varphi \textbf{ do } \vec{x} \leftarrow \vec{a} \qquad\qquad (\mathcal{T}_{loop})$$

where $\vec{x}$ is a vector of d pairwise different variables that range over the integers, the loop condition $\varphi \in Prop(\mathscr{C}(\vec{x}))$ is a finite propositional formula over the atoms $\{p > 0 \mid p \in \mathscr{C}(\vec{x})\}$, and $\vec{a} \in \mathscr{C}(\vec{x})^d$ such that the function $\vec{x} \mapsto \vec{a}$ maps integers to integers. *Loop* denotes the set of all such loops.

We identify $\mathcal{T}_{loop}$ and the pair $\langle \varphi, \vec{a} \rangle$. Moreover, we identify $\vec{a}$ and the function $\vec{x} \mapsto \vec{a}$ where we sometimes write $\vec{a}(\vec{x})$ to make the indeterminates $\vec{x}$ explicit and we use the same convention for other (vectors of) expressions. Similarly, we identify the formula φ resp. $\varphi(\vec{x})$ and the predicate $\vec{x} \mapsto \varphi$. W.l.o.g., we always assume that propositional formulas are in CNF and hence we also identify $\bigwedge_{i=1}^{k} C_i$ with the set of clauses $\{C_i \mid 1 \leq i \leq k\}$.

Throughout this paper, let n be a designated variable and let:

$$\vec{a} := \begin{pmatrix} a_1 \\ \cdots \\ a_d \end{pmatrix} \qquad \vec{x} := \begin{pmatrix} x_1 \\ \cdots \\ x_d \end{pmatrix} \qquad \vec{x}' := \begin{pmatrix} x_1' \\ \cdots \\ x_d' \end{pmatrix} \qquad \vec{y} := \begin{pmatrix} \vec{x} \\ n \\ \vec{x}' \end{pmatrix}$$

Intuitively, the variable n represents the number of loop iterations and $\vec{x}'$ corresponds to the values of the program variables $\vec{x}$ after n iterations.

$\mathcal{T}_{loop}$ induces a relation $\longrightarrow_{\mathcal{T}_{loop}}$ on $\mathbb{Z}^d$:

$$\varphi(\vec{x}) \wedge \vec{x}' = \vec{a}(\vec{x}) \iff \vec{x} \longrightarrow_{\mathcal{T}_{loop}} \vec{x}'$$

Our goal is to find a formula $\psi \in Prop(\mathscr{C}(\vec{y}))$ such that

$$\psi \iff \vec{x} \longrightarrow_{\mathcal{T}_{loop}}^{n} \vec{x}' \qquad \text{for all } n > 0. \qquad\qquad \text{(equiv)}$$

To see why we allow closed-form instead of polynomial expressions, consider the following loop.

$$\textbf{while } x_1 > 0 \textbf{ do } \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \leftarrow \begin{pmatrix} x_1 - 1 \\ 2 \cdot x_2 \end{pmatrix} \qquad\qquad (\mathcal{T}_{exp})$$

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[1] Note that there is no widely accepted definition of "closed forms" and the results of the current paper are independent of the precise definition of $\mathscr{C}(\vec{z})$.

Here, an acceleration technique synthesizes, e.g., the formula

$$\begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \begin{pmatrix} x_1 - n \\ 2^n \cdot x_2 \end{pmatrix} \wedge x_1 - n + 1 > 0 \qquad\qquad (\psi_{exp})$$

where $\begin{pmatrix} x_1 - n \\ 2^n \cdot x_2 \end{pmatrix}$ is equivalent to the value of $\begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$ after n iterations and the inequation $x_1 - n + 1 > 0$ ensures that $\mathcal{T}_{exp}$ can be executed at least n times. Clearly, the growth of x_2 cannot be captured by a polynomial, i.e., even the behavior of quite simple loops is beyond the expressiveness of polynomial arithmetic.

In practice, one can of course restrict our approach to weaker classes of expressions to ease automation. All results of this paper are, however, independent of such considerations.

Some acceleration techniques cannot guarantee (equiv), but the resulting formula is an under-approximation of $\mathcal{T}_{loop}$, i.e., we have

$$\psi \implies \vec{x} \longrightarrow_{\mathcal{T}_{loop}}^{n} \vec{x}' \qquad \text{for all } n > 0. \qquad\qquad \text{(approx)}$$

We call $\psi \in Prop(\mathscr{C}(\vec{y}))$ *equivalent* to $\mathcal{T}_{loop}$ if (equiv) holds and ψ *approximates* $\mathcal{T}_{loop}$ if (approx) holds.

Definition 1. *An* acceleration technique *is a partial function*

$$accel : Loop \rightharpoonup Prop(\mathscr{C}(\vec{y})).$$

It is sound *if $accel(\mathcal{T})$ approximates $\mathcal{T}$ for all $\mathcal{T} \in \mathrm{dom}(accel)$. It is* exact *if $accel(\mathcal{T})$ is equivalent to $\mathcal{T}$ for all $\mathcal{T} \in \mathrm{dom}(accel)$.*

3 Existing Acceleration Techniques

We now recall several acceleration techniques that have been proposed in the literature. In Sec. 4 we will see how these techniques can be combined in a modular way. All of them first compute a *closed form* $\vec{c} \in \mathscr{C}(\vec{x}, n)^d$ for the values of the program variables after n iterations.

Definition 2 (Closed Form). *We call $\vec{c} \in \mathscr{C}(\vec{x}, n)^d$ a* closed form *of $\mathcal{T}_{loop}$ if*

$$\forall \vec{x} \in \mathbb{Z}^d, n \in \mathbb{N}. \ \vec{c} = \vec{a}^n(\vec{x}).$$

Here, $\vec{a}^n$ is the n-fold application of $\vec{a}$, i.e., $\vec{a}^0(\vec{x}) = \vec{x}$ and $\vec{a}^{n+1}(\vec{x}) = \vec{a}(\vec{a}^n(\vec{x}))$. To find closed forms, one applies recurrence-solving techniques to the system of recurrence equations $\vec{x}^{(n)} = \vec{a}(\vec{x}^{(n-1)})$ with the initial condition $\vec{x}^{(0)} = \vec{x}$.

In the remainder of this paper, we assume that we can represent $\vec{a}^n(\vec{x})$ in closed form. Note that one can always do so if $\vec{a}(\vec{x}) = A\vec{x} + \vec{b}$ with $A \in \mathbb{Z}^{d \times d}$ and $\vec{b} \in \mathbb{Z}^d$, i.e., if $\vec{a}$ is affine. To this end, one considers the matrix $B := \begin{pmatrix} A & \vec{b} \\ 0^T & 1 \end{pmatrix}$ and computes its Jordan normal form, i.e., one computes matrices T and J such that $B = T^{-1}JT$ where J is a block diagonal matrix. Then the closed form for J^n can be given directly (see, e.g., [29]) and $\vec{a}^n(\vec{x}) = T^{-1}J^nT \begin{pmatrix} \vec{x} \\ 1 \end{pmatrix}$.

Moreover, one can always compute a closed form if $\vec{a} = \begin{pmatrix} c_1 \cdot x_1 + a_1 \\ \cdots \\ c_d \cdot x_d + a_d \end{pmatrix}$ where $c_i \in \mathbb{N}$ and a_i is a polynomial over $x_1, \ldots, x_{i-1}$ for each $i \in [1, d]$ [14].

3.1 Acceleration via Decrease *or* Increase

The first acceleration technique discussed in this section [27] exploits the following observation: If $\varphi(\vec{a}(\vec{x})) \implies \varphi(\vec{x})$ and $\varphi(\vec{a}^{n-1}(\vec{x}))$ holds, then $\mathcal{T}_{loop}$ is applicable at least n times. So in other words, it requires that the indicator function (or characteristic function) $I_\varphi : \mathbb{Z}^d \to \{0,1\}$ of φ with $I_\varphi(\vec{x}) = 1 \iff \varphi(\vec{x})$ is monotonically decreasing w.r.t. $\vec{a}$, i.e., $I_\varphi(\vec{x}) \geq I_\varphi(\vec{a}(\vec{x}))$.

Theorem 1 (Acceleration via Monotonic Decrease). *If*

$$\varphi(\vec{a}(\vec{x})) \implies \varphi(\vec{x}),$$

then the following acceleration technique is exact:

$$\mathcal{T}_{loop} \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \varphi(\vec{a}^{n-1}(\vec{x}))$$

Proof. We will prove the more general Thm. 7 in Sec. 5. □

So for example, Thm. 1 accelerates $\mathcal{T}_{exp}$ to ψ_{exp}. However, the requirement $\varphi(\vec{a}(\vec{x})) \implies \varphi(\vec{x})$ is often violated in practice. To see this, consider the loop

$$\textbf{while } x_1 > 0 \wedge x_2 > 0 \textbf{ do } \left(\begin{smallmatrix} x_1 \\ x_2 \end{smallmatrix}\right) \leftarrow \left(\begin{smallmatrix} x_1 - 1 \\ x_2 + 1 \end{smallmatrix}\right). \qquad (\mathcal{T}_{non\text{-}dec})$$

It cannot be accelerated with Thm. 1 as

$$x_1 - 1 > 0 \wedge x_2 + 1 > 0 \;\not\!\!\!\implies\; x_1 > 0 \wedge x_2 > 0.$$

A dual acceleration technique is obtained by "reversing" the implication in the prerequisites of Thm. 1. Then I_φ is monotonically increasing w.r.t. $\vec{a}$. So φ is an invariant and thus $\{\vec{x} \in \mathbb{Z}^d \mid \varphi(\vec{x})\}$ is a *recurrent set* [21] of $\mathcal{T}_{loop}$.

Theorem 2 (Acceleration via Monotonic Increase). *If*

$$\varphi(\vec{x}) \implies \varphi(\vec{a}(\vec{x})),$$

then the following acceleration technique is exact:

$$\mathcal{T}_{loop} \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \varphi(\vec{x})$$

Proof. We will prove the more general Thm. 8 in Sec. 5. □

As a minimal example, Thm. 2 accelerates

$$\textbf{while } x > 0 \textbf{ do } x \leftarrow x + 1$$

to $x' = x + n \wedge x > 0$.

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3.2 Acceleration via Decrease *and* Increase

Both acceleration techniques presented so far have been generalized in [12].

Theorem 3 (Acceleration via Monotonicity). *If*

$$
\begin{aligned}
\varphi(\vec{x}) &\iff \varphi_1(\vec{x}) \wedge \varphi_2(\vec{x}) \wedge \varphi_3(\vec{x}), \\
\varphi_1(\vec{x}) &\implies \varphi_1(\vec{a}(\vec{x})), \\
\varphi_1(\vec{x}) \wedge \varphi_2(\vec{a}(\vec{x})) &\implies \varphi_2(\vec{x}), \qquad\qquad\qquad\quad and \\
\varphi_1(\vec{x}) \wedge \varphi_2(\vec{x}) \wedge \varphi_3(\vec{x}) &\implies \varphi_3(\vec{a}(\vec{x})),
\end{aligned}
$$

then the following acceleration technique is exact:

$$
\mathcal{T}_{loop} \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \varphi_1(\vec{x}) \wedge \varphi_2(\vec{a}^{n-1}(\vec{x})) \wedge \varphi_3(\vec{x})
$$

Proof. Immediate consequence of Thm. 5 and Remark 1, which will be proven in Sections 4 and 5. □

Here, φ_1 and φ_3 are again invariants of the loop. Thus, as in Thm. 2 it suffices to require that they hold before entering the loop. On the other hand, φ_2 needs to satisfy a similar condition as in Thm. 1 and thus it suffices to require that φ_2 holds before the last iteration. We also say that φ_2 is a *converse invariant* (w.r.t. φ_1). It is easy to see that Thm. 3 is equivalent to Thm. 1 if $\varphi_1 \equiv \varphi_3 \equiv \top$ (where $\top$ denotes logical truth) and it is equivalent to Thm. 2 if $\varphi_2 \equiv \varphi_3 \equiv \top$.

With this approach, $\mathcal{T}_{non\text{-}dec}$ can be accelerated to

$$
\begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \begin{pmatrix} x_1 - n \\ x_2 + n \end{pmatrix} \wedge x_2 > 0 \wedge x_1 - n + 1 > 0 \qquad\qquad (\psi_{non\text{-}dec})
$$

by choosing $\varphi_1 := x_2 > 0$, $\varphi_2 := x_1 > 0$, and $\varphi_3 := \top$.

Thm. 3 naturally raises the question: Why do we need *two* invariants? To see this, consider a restriction of Thm. 3 where $\varphi_3 := \top$. It would fail for a loop like

$$
\textbf{while } x_1 > 0 \wedge x_2 > 0 \textbf{ do } \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \leftarrow \begin{pmatrix} x_1 + x_2 \\ x_2 - 1 \end{pmatrix} \qquad\qquad (\mathcal{T}_{2\text{-}invs})
$$

which can easily be handled by Thm. 3 (by choosing $\varphi_1 := \top$, $\varphi_2 := x_2 > 0$, and $\varphi_3 := x_1 > 0$). The problem is that the converse invariant $x_2 > 0$ is needed to prove invariance of $x_1 > 0$. Similarly, a restriction of Thm. 3 where $\varphi_1 := \top$ would fail for the following variant of $\mathcal{T}_{2\text{-}invs}$:

$$
\textbf{while } x_1 > 0 \wedge x_2 > 0 \textbf{ do } \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \leftarrow \begin{pmatrix} x_1 - x_2 \\ x_2 + 1 \end{pmatrix}
$$

Here, the problem is that the invariant $x_2 > 0$ is needed to prove converse invariance of $x_1 > 0$.

3.3 Acceleration via Metering Functions

Another approach for loop acceleration uses *metering functions*, a variation of classical *ranking functions* from termination and complexity analysis [16]. The definition of a metering function $mf : \mathbb{Z}^d \to \mathbb{Q}$ ensures that for each $\vec{x} \in \mathbb{Z}^d$, the loop under consideration can be applied at least $\lceil mf(\vec{x}) \rceil$ times.

Theorem 4 (Acceleration via Metering Functions). *Let mf be a metering function for $\mathcal{T}_{loop}$. Then the following acceleration technique is sound:*

$$\mathcal{T}_{loop} \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \varphi(\vec{x}) \wedge n < mf(\vec{x}) + 1.$$

Proof. We will prove the more general Thm. 9 in Sec. 5. $\qquad\qquad\square$

So using the metering function x, Thm. 4 accelerates $\mathcal{T}_{exp}$ to

$$\begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \begin{pmatrix} x_1 - n \\ 2^n \cdot x_2 \end{pmatrix} \wedge x_1 > 0 \wedge n < x_1 + 1,$$

which is equivalent to ψ_{exp}.

However, synthesizing non-trivial (i.e., non-constant) metering functions is challenging. Moreover, unless the number of iterations of $\mathcal{T}_{loop}$ equals $\lceil mf(\vec{x}) \rceil$ for all $\vec{x} \in \mathbb{Z}^d$, *acceleration via metering functions* is not exact.

Linear metering functions can be synthesized via Farkas' Lemma and SMT solving [16]. However, many loops do not have non-trivial linear metering functions. To see this, reconsider $\mathcal{T}_{non\text{-}dec}$. Here, $mf : (x_1, x_2) \mapsto x_1$ is not a metering function as $\mathcal{T}_{non\text{-}dec}$ cannot be iterated at least x_1 times if $x_2 \leq 0$. Thus, [15] proposed a refinement of [16] based on metering functions of the form $mf : \vec{x} \mapsto I_\xi(\vec{x}) \cdot f(\vec{x})$ where $\xi \in Prop(\mathscr{C}(\vec{x}))$ and f is linear. With this improvement, the metering function $mf : (x_1, x_2) \mapsto I_{x_2>0}(x_2) \cdot x_1$ can be used to accelerate $\mathcal{T}_{non\text{-}dec}$ to

$$\begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \begin{pmatrix} x_1 - n \\ x_2 + n \end{pmatrix} \wedge x_1 > 0 \wedge x_2 > 0 \wedge n < x_1 + 1.$$

4 A Calculus for Modular Loop Acceleration

All acceleration techniques presented so far are monolithic: Either they accelerate a loop successfully or they fail completely. In other words, we cannot *combine* several techniques to accelerate a single loop. To this end, we now present a calculus that repeatedly applies acceleration techniques to simplify an *acceleration problem* resulting from a loop $\mathcal{T}_{loop}$ until it is *solved* and hence gives rise to a suitable $\psi \in Prop(\mathscr{C}(\vec{y}))$ which approximates resp. is equivalent to $\mathcal{T}_{loop}$.

Definition 3 (Acceleration Problem). *A tuple*

$$\llbracket \psi \mid \check{\varphi} \mid \widehat{\varphi} \mid \vec{a} \rrbracket$$

where $\psi \in Prop(\mathscr{C}(\vec{y}))$, $\check{\varphi}(\vec{x}), \widehat{\varphi}(\vec{x}) \in Prop(\mathscr{C}(\vec{x}))$, *and* $\vec{a} : \mathbb{Z}^d \to \mathbb{Z}^d$ *is an* acceleration problem. *It is* consistent *if* ψ *approximates* $\langle \check{\varphi}, \vec{a} \rangle$, exact *if* ψ *is equivalent to* $\langle \check{\varphi}, \vec{a} \rangle$, *and* solved *if it is consistent and* $\widehat{\varphi} \equiv \top$. *The* canonical acceleration problem *of a loop* $\mathcal{T}_{loop}$ *is*

$$\llbracket \vec{x}' = \vec{a}^n(\vec{x}) \mid \top \mid \varphi(\vec{x}) \mid \vec{a}(\vec{x}) \rrbracket.$$

Example 1. The canonical acceleration problem of $\mathcal{T}_{non\text{-}dec}$ is

$$\left\llbracket \begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \begin{pmatrix} x_1 - n \\ x_2 + n \end{pmatrix} \;\middle|\; \top \;\middle|\; \varphi_{non\text{-}dec} := x_1 > 0 \wedge x_2 > 0 \;\middle|\; \vec{a}_{non\text{-}dec} := \begin{pmatrix} x_1 - 1 \\ x_2 + 1 \end{pmatrix} \right\rrbracket.$$

The first component ψ of an acceleration problem $[\![\psi \mid \check{\varphi} \mid \widehat{\varphi} \mid \vec{a}]\!]$ is the partial result that has been computed so far. The second component $\check{\varphi}$ corresponds to the part of the loop condition that has already been processed successfully. As our calculus preserves consistency, ψ always approximates $\langle \check{\varphi}, \vec{a} \rangle$. The third component is the part of the loop condition that remains to be processed, i.e., the loop $\langle \widehat{\varphi}, \vec{a} \rangle$ still needs to be accelerated. The goal of our calculus is to transform a canonical into a solved acceleration problem.

More specifically, when we have simplified a canonical acceleration problem $[\![\vec{x}' = \vec{a}^n(\vec{x}) \mid \top \mid \varphi(\vec{x}) \mid \vec{a}(\vec{x})]\!]$ to $[\![\psi_1(\vec{y}) \mid \check{\varphi}(\vec{x}) \mid \widehat{\varphi}(\vec{x}) \mid \vec{a}(\vec{x})]\!]$, then $\varphi \equiv \check{\varphi} \wedge \widehat{\varphi}$ and

$$\psi_1 \implies \vec{x} \longrightarrow^n_{\langle \check{\varphi}, \vec{a} \rangle} \vec{x}'.$$

Thus, it then suffices to find some $\psi_2 \in Prop(\mathscr{C}(\vec{y}))$ such that

$$\vec{x} \longrightarrow^n_{\langle \check{\varphi}, \vec{a} \rangle} \vec{x}' \wedge \psi_2 \implies \vec{x} \longrightarrow^n_{\langle \widehat{\varphi}, \vec{a} \rangle} \vec{x}'. \tag{1}$$

The reason is that we have $\longrightarrow_{\langle \check{\varphi}, \vec{a} \rangle} \cap \longrightarrow_{\langle \widehat{\varphi}, \vec{a} \rangle} = \longrightarrow_{\langle \check{\varphi} \wedge \widehat{\varphi}, \vec{a} \rangle} = \longrightarrow_{\langle \varphi, \vec{a} \rangle}$ and thus

$$\psi_1 \wedge \psi_2 \implies \vec{x} \longrightarrow^n_{\langle \varphi, \vec{a} \rangle} \vec{x}',$$

i.e., $\psi_1 \wedge \psi_2$ approximates $\mathcal{T}_{loop}$.

Note that the acceleration techniques presented so far would map $\langle \widehat{\varphi}, \vec{a} \rangle$ to some $\psi_2 \in Prop(\mathscr{C}(\vec{y}))$ such that

$$\psi_2 \implies \vec{x} \longrightarrow^n_{\langle \widehat{\varphi}, \vec{a} \rangle} \vec{x}' \tag{2}$$

which is more restrictive than (1). In Sec. 5, we will adapt all acceleration techniques from Sec. 3 to search for some $\psi_2 \in Prop(\mathscr{C}(\vec{y}))$ that satisfies (1) instead of (2), i.e., we will turn them into *conditional acceleration techniques*.

Definition 4 (Conditional Acceleration). *A* conditional acceleration technique *is a partial function*

$$accel : Loop \times Prop(\mathscr{C}(\vec{x})) \rightharpoonup Prop(\mathscr{C}(\vec{y})).$$

It is sound *if*

$$\vec{x} \longrightarrow^n_{\langle \check{\varphi}, \vec{a} \rangle} \vec{x}' \wedge accel(\langle \chi, \vec{a} \rangle, \check{\varphi}) \quad implies \quad \vec{x} \longrightarrow^n_{\langle \chi, \vec{a} \rangle} \vec{x}'$$

for all $(\langle \chi, \vec{a} \rangle, \check{\varphi}) \in \mathrm{dom}(accel)$, $\vec{x}, \vec{x}' \in \mathbb{Z}^d$, *and* $n > 0$. *It is* exact *if it is sound and*

$$\vec{x} \longrightarrow^n_{\langle \chi \wedge \check{\varphi}, \vec{a} \rangle} \vec{x}' \quad implies \quad accel(\langle \chi, \vec{a} \rangle, \check{\varphi})$$

for all $(\langle \chi, \vec{a} \rangle, \check{\varphi}) \in \mathrm{dom}(accel)$, $\vec{x}, \vec{x}' \in \mathbb{Z}^d$, *and* $n > 0$.

Note that every acceleration technique trivially gives rise to a conditional acceleration technique (by disregarding the second argument $\check{\varphi}$). We are now ready to present our *acceleration calculus*, which combines loop acceleration techniques in a modular way.

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Definition 5 (Acceleration Calculus). *The relation $\rightsquigarrow$ on acceleration problems is defined by the following rule:*

$$\frac{\emptyset \neq \chi \subseteq \widehat{\varphi} \quad accel(\langle \chi, \vec{a} \rangle, \widecheck{\varphi}) = \psi_2}{[\![\psi_1 \mid \widecheck{\varphi} \mid \widehat{\varphi} \mid \vec{a}]\!] \rightsquigarrow_{(e)} [\![\psi_1 \cup \psi_2 \mid \widecheck{\varphi} \cup \chi \mid \widehat{\varphi} \setminus \chi \mid \vec{a}]\!]} \quad \begin{array}{l} accel \textit{ is a sound condition-} \\ \textit{al acceleration technique} \end{array}$$

A $\rightsquigarrow$-step is exact *(written $\rightsquigarrow_e$) if accel is exact.*

So our calculus allows us to pick a subset χ (of clauses) from the yet unprocessed condition $\widehat{\varphi}$ and "move" it to $\widecheck{\varphi}$, which has already been processed successfully. To this end, $\langle \chi, \vec{a} \rangle$ needs to be accelerated by a conditional acceleration technique, i.e., when accelerating $\langle \chi, \vec{a} \rangle$ we may assume $\vec{x} \longrightarrow^n_{\langle \widecheck{\varphi}, \vec{a} \rangle} \vec{x}'$.

Example 2. We continue Ex. 1 and fix $\chi := x_1 > 0$. Thus, we need to accelerate $\langle x_1 > 0, \left(\begin{smallmatrix} x_1 - 1 \\ x_2 + 1 \end{smallmatrix} \right) \rangle$ to enable a $\rightsquigarrow$-step. We obtain

$$\left[\!\!\left[\left(\begin{smallmatrix} x_1' \\ x_2' \end{smallmatrix} \right) = \left(\begin{smallmatrix} x_1 - n \\ x_2 + n \end{smallmatrix} \right) \; \middle| \; \top \; \middle| \; x_1 > 0 \wedge x_2 > 0 \; \middle| \; \vec{a}_{non\text{-}dec} \right]\!\!\right]$$

$$\rightsquigarrow_e [\![\ldots \wedge x_1 - n + 1 > 0 \mid x_1 > 0 \mid x_2 > 0 \mid \vec{a}_{non\text{-}dec}]\!] \qquad \text{(Thm. 1)}$$

$$\rightsquigarrow_e [\![\ldots \wedge x_1 - n + 1 > 0 \wedge x_2 > 0 \mid x_1 > 0 \wedge x_2 > 0 \mid \top \mid \vec{a}_{non\text{-}dec}]\!] \quad \text{(Thm. 2)}$$

$$= [\![\psi_{non\text{-}dec} \mid \varphi_{non\text{-}dec} \mid \top \mid \vec{a}_{non\text{-}dec}]\!]$$

where Thm. 2 was applied to $\langle x_2 > 0, \left(\begin{smallmatrix} x_1 - 1 \\ x_2 + 1 \end{smallmatrix} \right) \rangle$ in the second step. Thus, we successfully constructed the formula $\psi_{non\text{-}dec}$, which is equivalent to $\mathcal{T}_{non\text{-}dec}$.

The crucial property of our calculus is the following.

Lemma 1. $\rightsquigarrow$ *preserves consistency and $\rightsquigarrow_e$ preserves exactness.*

Proof. Assume

$$[\![\psi_1 \mid \widecheck{\varphi} \mid \widehat{\varphi} \mid \vec{a}]\!] \rightsquigarrow [\![\psi_1 \cup \psi_2 \mid \widecheck{\varphi} \cup \chi \mid \widehat{\varphi} \setminus \chi \mid \vec{a}]\!]$$

where $[\![\psi_1 \mid \widecheck{\varphi} \mid \widehat{\varphi} \mid \vec{a}]\!]$ is consistent and $accel(\langle \chi, \vec{a} \rangle, \widecheck{\varphi}) = \psi_2$. We get

$$\begin{aligned}
& \psi_1 \wedge \psi_2 \\
\Longrightarrow \;& \vec{x} \longrightarrow^n_{\langle \widecheck{\varphi}, \vec{a} \rangle} \vec{x}' \wedge \psi_2 && \text{(by consistency of } [\![\psi_1 \mid \widecheck{\varphi} \mid \widehat{\varphi} \mid \vec{a}]\!]) \\
\Longrightarrow \;& \vec{x} \longrightarrow^n_{\langle \widecheck{\varphi}, \vec{a} \rangle} \vec{x}' \wedge \vec{x} \longrightarrow^n_{\langle \chi, \vec{a} \rangle} \vec{x} && \text{(by soundness of } accel) \\
\Longleftrightarrow \;& \vec{x} \longrightarrow^n_{\langle \widecheck{\varphi} \wedge \chi, \vec{a} \rangle} \vec{x}' \\
\Longleftrightarrow \;& \vec{x} \longrightarrow^n_{\langle \widecheck{\varphi} \cup \chi, \vec{a} \rangle} \vec{x}'
\end{aligned}$$

This proves consistency of $\langle \psi_1 \cup \psi_2, \widecheck{\varphi} \cup \chi, \widehat{\varphi} \setminus \chi, \vec{a} \rangle$.

Now assume

$$[\![\psi_1 \mid \widecheck{\varphi} \mid \widehat{\varphi} \mid \vec{a}]\!] \rightsquigarrow_e [\![\psi_1 \cup \psi_2 \mid \widecheck{\varphi} \cup \chi \mid \widehat{\varphi} \setminus \chi \mid \vec{a}]\!]$$

where $[\![\psi_1 \mid \check{\varphi} \mid \widehat{\varphi} \mid \vec{a}]\!]$ is exact and $accel(\langle \chi, \vec{a} \rangle, \check{\varphi}) = \psi_2$. We get

$$\vec{x} \longrightarrow_{\langle \check{\varphi} \wedge \chi, \vec{a} \rangle}^n \vec{x}'$$
$$\implies \vec{x} \longrightarrow_{\langle \check{\varphi} \wedge \chi, \vec{a} \rangle}^n \vec{x}' \wedge \psi_2 \qquad \text{(by exactness of } accel)$$
$$\implies \vec{x} \longrightarrow_{\langle \check{\varphi}, \vec{a} \rangle}^n \vec{x}' \wedge \psi_2$$
$$\iff \psi_1 \wedge \psi_2 \qquad \text{(by exactness of } [\![\psi_1 \mid \check{\varphi} \mid \widehat{\varphi} \mid \vec{a}]\!])$$

which, together with consistency, proves exactness of

$$[\![\psi_1 \wedge \psi_2 \mid \check{\varphi} \cup \chi \mid \widehat{\varphi} \setminus \chi \mid \vec{a}]\!] = [\![\psi_1 \cup \psi_2 \mid \check{\varphi} \cup \chi \mid \widehat{\varphi} \setminus \chi \mid \vec{a}]\!].$$

$\square$

Then the correctness of our calculus follows immediately. The reason is that $[\![\vec{x} = \vec{a}^n(\vec{x}) \mid \top \mid \varphi(\vec{x}) \mid \vec{a}(\vec{x})]\!] \rightsquigarrow_{(e)}^* [\![\psi(\vec{y}) \mid \check{\varphi}(\vec{x}) \mid \top \mid \vec{a}(\vec{x})]\!]$ implies $\varphi \equiv \check{\varphi}$.

Theorem 5 (Correctness of $\rightsquigarrow$). *If*

$$[\![\vec{x}' = \vec{a}^n(\vec{x}) \mid \top \mid \varphi(\vec{x}) \mid \vec{a}(\vec{x})]\!] \rightsquigarrow^* [\![\psi(\vec{y}) \mid \check{\varphi}(\vec{x}) \mid \top \mid \vec{a}(\vec{x})]\!],$$

then ψ approximates $\mathcal{T}_{loop}$. If

$$[\![\vec{x}' = \vec{a}^n(\vec{x}) \mid \top \mid \varphi(\vec{x}) \mid \vec{a}(\vec{x})]\!] \rightsquigarrow_e^* [\![\psi(\vec{y}) \mid \check{\varphi}(\vec{x}) \mid \top \mid \vec{a}(\vec{x})]\!],$$

then ψ is equivalent to $\mathcal{T}_{loop}$.

Termination of our calculus is trivial, as the size of the third component $\widehat{\varphi}$ of the acceleration problem is decreasing.

Theorem 6 (Termination of $\rightsquigarrow$). $\rightsquigarrow$ *terminates.*

5 Conditional Acceleration Techniques

We now show how to turn the acceleration techniques from Sec. 3 into conditional acceleration techniques, starting with *acceleration via monotonic decrease*.

Theorem 7 (Conditional Acceleration via Monotonic Decrease). *If*

$$\check{\varphi}(\vec{x}) \wedge \chi(\vec{a}(\vec{x})) \implies \chi(\vec{x}), \tag{3}$$

then the following conditional acceleration technique is exact:

$$(\langle \chi, \vec{a} \rangle, \check{\varphi}) \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \chi(\vec{a}^{n-1}(\vec{x}))$$

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Proof. For soundness, we need to prove

$$\vec{x} \longrightarrow^m_{\langle \breve\varphi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{a}^{m-1}(\vec{x})) \implies \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \tag{4}$$

for all $m > 0$. We use induction on m. If $m = 1$, then

$$\vec{x} \longrightarrow^m_{\langle \breve\varphi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{a}^{m-1}(\vec{x}))$$
$$\implies \chi(\vec{x}) \qquad\qquad\qquad\qquad\qquad \text{(as } m = 1)$$
$$\implies \vec{x} \longrightarrow_{\langle \chi, \vec{a} \rangle} \vec{a}(\vec{x})$$
$$\iff \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}). \qquad\qquad \text{(as } m = 1)$$

In the induction step, we have

$$\vec{x} \longrightarrow^{m+1}_{\langle \breve\varphi, \vec{a} \rangle} \vec{a}^{m+1}(\vec{x}) \wedge \chi(\vec{a}^m(\vec{x}))$$
$$\implies \vec{x} \longrightarrow^m_{\langle \breve\varphi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{a}^m(\vec{x}))$$
$$\implies \vec{x} \longrightarrow^m_{\langle \breve\varphi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \breve\varphi(\vec{a}^{m-1}(\vec{x})) \wedge \chi(\vec{a}^m(\vec{x})) \qquad \text{(as } m > 0)$$
$$\implies \vec{x} \longrightarrow^m_{\langle \breve\varphi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{a}^{m-1}(\vec{x})) \wedge \chi(\vec{a}^m(\vec{x})) \qquad \text{(due to (3))}$$
$$\implies \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{a}^m(\vec{x})) \qquad \text{(by the induction hypothesis (4))}$$
$$\implies \vec{x} \longrightarrow^{m+1}_{\langle \chi, \vec{a} \rangle} \vec{a}^{m+1}(\vec{x}).$$

For exactness, we need to prove

$$\vec{x} \longrightarrow^m_{\langle \chi \wedge \breve\varphi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \implies \chi(\vec{a}^{m-1}(\vec{x}))$$

for all $m > 0$, which is trivial. $\qquad\square$

So we just add $\breve\varphi$ to the premise of the implication that needs to be checked to apply *acceleration via monotonic decrease*. Thm. 2 can be adapted analogously.

Theorem 8 (Conditional Acceleration via Monotonic Increase). *If*

$$\breve\varphi(\vec{x}) \wedge \chi(\vec{x}) \implies \chi(\vec{a}(\vec{x})), \tag{5}$$

then the following conditional acceleration technique is exact:

$$(\langle \chi, \vec{a} \rangle, \breve\varphi) \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \chi(\vec{x})$$

Proof. For soundness, we need to prove

$$\vec{x} \longrightarrow^m_{\langle \breve\varphi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{x}) \implies \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \tag{6}$$

for all $m > 0$. We use induction on m. If $m = 1$, then

$$\vec{x} \longrightarrow^m_{\langle \breve\varphi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{x})$$
$$\implies \vec{x} \longrightarrow_{\langle \chi, \vec{a} \rangle} \vec{a}(\vec{x})$$
$$\iff \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}). \qquad\qquad \text{(as } m = 1)$$

In the induction step, we have

$$\vec{x} \longrightarrow^{m+1}_{\langle\breve{\varphi},\vec{a}\rangle} \vec{a}^{m+1}(\vec{x}) \wedge \chi(\vec{x})$$

$$\implies \vec{x} \longrightarrow^{m}_{\langle\breve{\varphi},\vec{a}\rangle} \vec{a}^{m}(\vec{x}) \wedge \chi(\vec{x})$$

$$\implies \vec{x} \longrightarrow^{m}_{\langle\breve{\varphi},\vec{a}\rangle} \vec{a}^{m}(\vec{x}) \wedge \vec{x} \longrightarrow^{m}_{\langle\chi,\vec{a}\rangle} \vec{a}^{m}(\vec{x}) \quad \text{(by the induction hypothesis (6))}$$

$$\implies \vec{x} \longrightarrow^{m}_{\langle\chi,\vec{a}\rangle} \vec{a}^{m}(\vec{x}) \wedge \breve{\varphi}(\vec{a}^{m-1}(\vec{x})) \wedge \chi(\vec{a}^{m-1}(\vec{x})) \qquad \text{(as } m > 0)$$

$$\implies \vec{x} \longrightarrow^{m}_{\langle\chi,\vec{a}\rangle} \vec{a}^{m}(\vec{x}) \wedge \chi(\vec{a}^{m}(\vec{x})) \qquad \text{(due to (5))}$$

$$\implies \vec{x} \longrightarrow^{m+1}_{\langle\chi,\vec{a}\rangle} \vec{a}^{m+1}(\vec{x}).$$

For exactness, we need to prove

$$\vec{x} \longrightarrow^{m}_{\langle\chi\wedge\breve{\varphi},\vec{a}\rangle} \vec{a}^{m}(\vec{x}) \implies \chi(\vec{x}),$$

for all $m > 0$, which is trivial. $\qquad\square$

Example 3. For the canonical acceleration problem of $\mathcal{T}_{2\text{-}invs}$, we obtain:

$$\llbracket \vec{x}' = \vec{a}^{n}_{2\text{-}invs}(\vec{x}) \mid \top \mid x_1 > 0 \wedge x_2 > 0 \mid \vec{a}_{2\text{-}invs} := \left(\begin{smallmatrix} x_1+x_2 \\ x_2-1 \end{smallmatrix}\right) \rrbracket$$

$$\rightsquigarrow_e \llbracket \ldots \wedge x_2 - n + 1 > 0 \mid x_2 > 0 \mid x_1 > 0 \mid \vec{a}_{2\text{-}invs} \rrbracket \qquad\qquad \text{(Thm. 7)}$$

$$\rightsquigarrow_e \llbracket \ldots \wedge x_2 - n + 1 > 0 \wedge x_1 > 0 \mid x_2 > 0 \wedge x_1 > 0 \mid \top \mid \vec{a}_{2\text{-}invs} \rrbracket \quad \text{(Thm. 8)}$$

While we could also use Thm. 1 for the first step, Thm. 2 is inapplicable in the second step. The reason is that we need the converse invariance $x_2 > 0$ to prove invariance of $x_1 > 0$.

It is not a coincidence that $\mathcal{T}_{2\text{-}invs}$, which could also be accelerated with *acceleration via monotonicity* (cf. Thm. 3) directly, can be handled by applying our novel calculus with Theorems 7 and 8.

Remark 1. If applying *acceleration via monotonicity* to $\mathcal{T}_{loop}$ yields ψ, then

$$\llbracket \vec{x}' = \vec{a}^{n}(\vec{x}) \mid \top \mid \varphi(\vec{x}) \mid \vec{a}(\vec{x}) \rrbracket \rightsquigarrow^{\leq 3}_e \llbracket \psi(\vec{y}) \mid \varphi(\vec{x}) \mid \top \mid \vec{a}(\vec{x}) \rrbracket$$

where either Thm. 7 or Thm. 8 is applied in each $\rightsquigarrow_e$-step.

Proof. As Thm. 3 applies, we have $\varphi(\vec{x}) \equiv \varphi_1(\vec{x}) \wedge \varphi_2(\vec{x}) \wedge \varphi_3(\vec{x})$ where

$$\varphi_1(\vec{x}) \implies \varphi_1(\vec{a}(\vec{x})) \qquad \wedge \qquad (7)$$

$$\varphi_1(\vec{x}) \wedge \varphi_2(\vec{a}(\vec{x})) \implies \varphi_2(\vec{x}) \qquad \wedge \qquad (8)$$

$$\varphi_1(\vec{x}) \wedge \varphi_2(\vec{x}) \wedge \varphi_3(\vec{x}) \implies \varphi_3(\vec{a}(\vec{x})). \qquad\qquad (9)$$

If $\varphi_1 \neq \top$, then Thm. 8 applies to $\langle\varphi_1,\vec{a}\rangle$ with $\breve{\varphi} := \top$ due to (7) and we obtain

$$\llbracket \vec{x}' = \vec{a}^{n}(\vec{x}) \mid \top \mid \varphi(\vec{x}) \mid \vec{a}(\vec{x}) \rrbracket$$

$$= \llbracket \vec{x}' = \vec{a}^{n}(\vec{x}) \mid \top \mid \varphi_1(\vec{x}) \wedge \varphi_2(\vec{x}) \wedge \varphi_3(\vec{x}) \mid \vec{a}(\vec{x}) \rrbracket$$

$$\rightsquigarrow_e \llbracket \vec{x}' = \vec{a}^{n}(\vec{x}) \wedge \varphi_1(\vec{x}) \mid \varphi_1(\vec{x}) \mid \varphi_2(\vec{x}) \wedge \varphi_3(\vec{x}) \mid \vec{a}(\vec{x}) \rrbracket.$$

Next, if $\varphi_2 \neq \top$, then Thm. 7 applies to $\langle \varphi_2, \vec{a} \rangle$ with $\check{\varphi} := \varphi_1$ due to (8) and we obtain

$$\llbracket \vec{x}' = \vec{a}^n(\vec{x}) \wedge \varphi_1(\vec{x}) \mid \varphi_1(\vec{x}) \mid \varphi_2(\vec{x}) \wedge \varphi_3(\vec{x}) \mid \vec{a}(\vec{x}) \rrbracket$$
$$\rightsquigarrow_e \llbracket \vec{x}' = \vec{a}^n(\vec{x}) \wedge \varphi_1(\vec{x}) \wedge \varphi_2(\vec{a}^{n-1}(\vec{x})) \mid \varphi_1(\vec{x}) \wedge \varphi_2(\vec{x}) \mid \varphi_3(\vec{x}) \mid \vec{a}(\vec{x}) \rrbracket .$$

Finally, if $\varphi_3 \neq \top$, then Thm. 8 applies to $\langle \varphi_3, \vec{a} \rangle$ with $\check{\varphi} := \varphi_1 \wedge \varphi_2$ due to (9) and we obtain

$$\llbracket \vec{x}' = \vec{a}^n(\vec{x}) \wedge \varphi_1(\vec{x}) \wedge \varphi_2(\vec{a}^{n-1}(\vec{x})) \mid \varphi_1(\vec{x}) \wedge \varphi_2(\vec{x}) \mid \varphi_3(\vec{x}) \mid \vec{a}(\vec{x}) \rrbracket$$
$$\rightsquigarrow_e \llbracket \vec{x}' = \vec{a}^n(\vec{x}) \wedge \varphi_1(\vec{x}) \wedge \varphi_2(\vec{a}^{n-1}(\vec{x})) \wedge \varphi_3(\vec{x}) \mid \varphi(\vec{x}) \mid \top \mid \vec{a}(\vec{x}) \rrbracket$$
$$= \llbracket \psi(\vec{x}) \mid \varphi(\vec{x}) \mid \top \mid \vec{a}(\vec{x}) \rrbracket .$$

$\square$

Thus, there is no need for a conditional variant of *acceleration via monotonicity*. Note that combining Theorems 7 and 8 with our calculus is also useful for loops where *acceleration via monotonicity* is inapplicable.

Example 4. Consider the following loop, which can be accelerated by splitting its guard into one invariant and two converse invariants.

$$\textbf{while } x_1 > 0 \wedge x_2 > 0 \wedge x_3 > 0 \textbf{ do } \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \leftarrow \begin{pmatrix} x_1 - 1 \\ x_2 + x_1 \\ x_3 - x_2 \end{pmatrix} \qquad (\mathcal{T}_{2\text{-}c\text{-}invs})$$

Let $\varphi_{2\text{-}c\text{-}invs} := x_1 > 0 \wedge x_2 > 0 \wedge x_3 > 0$ and $\vec{a}_{2\text{-}c\text{-}invs} := \begin{pmatrix} x_1 - 1 \\ x_2 + x_1 \\ x_3 - x_2 \end{pmatrix}$. Starting with the canonical acceleration problem of $\mathcal{T}_{2\text{-}c\text{-}invs}$, we obtain

$$\llbracket \vec{x}' = \vec{a}^n_{2\text{-}c\text{-}invs}(\vec{x}) \mid \top \mid \varphi_{2\text{-}c\text{-}invs} \mid \vec{a}_{2\text{-}c\text{-}invs} \rrbracket$$
$$\rightsquigarrow_e \llbracket \ldots \wedge x_1^{(n-1)} > 0 \mid x_1 > 0 \mid x_2 > 0 \wedge x_3 > 0 \mid \vec{a}_{2\text{-}c\text{-}invs} \rrbracket \qquad (\text{Thm. } 7)$$
$$\rightsquigarrow_e \llbracket \ldots \wedge x_1^{(n-1)} > 0 \wedge x_2 > 0 \mid x_1 > 0 \wedge x_2 > 0 \mid x_3 > 0 \mid \vec{a}_{2\text{-}c\text{-}invs} \rrbracket \quad (\text{Thm. } 8)$$
$$\rightsquigarrow_e \llbracket \ldots \wedge x_1^{(n-1)} > 0 \wedge x_2 > 0 \wedge x_3^{(n-1)} > 0 \mid \varphi_{2\text{-}c\text{-}invs} \mid \top \mid \vec{a}_{2\text{-}c\text{-}invs} \rrbracket \quad (\text{Thm. } 7)$$

where $x_i^{(n-1)}$ is the ith component of $\vec{a}^{n-1}_{2\text{-}c\text{-}invs}(\vec{x})$.

Finally, we present a variant of Thm. 4 for conditional acceleration. The idea is similar to the approach for deducing metering functions of the form $\vec{x} \mapsto I_{\check{\varphi}}(\vec{x}) \cdot f(\vec{x})$ from [15] (see Sec. 3.3 for details). But in contrast to [15], in our setting the "conditional" part $\check{\varphi}$ does not need to be an invariant of the loop.

Theorem 9 (Conditional Acceleration via Metering Functions). *Let* $mf : \mathbb{Z}^d \to \mathbb{Q}$. *If*

$$\check{\varphi}(\vec{x}) \wedge \quad \chi(\vec{x}) \implies mf(\vec{x}) - mf(\vec{a}(\vec{x})) \leq 1 \qquad \qquad and \qquad (10)$$
$$\check{\varphi}(\vec{x}) \wedge \neg\chi(\vec{x}) \implies mf(\vec{x}) \leq 0, \qquad \qquad (11)$$

then the following conditional acceleration technique is sound:

$$(\langle \chi, \vec{a} \rangle, \check{\varphi}) \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \chi(\vec{x}) \wedge n < mf(\vec{x}) + 1$$

Proof. We need to prove

$$\vec{x} \longrightarrow^m_{\langle \check{\varphi}, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{x}) \wedge m < mf(\vec{x}) + 1 \implies \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}). \qquad (12)$$

for all $m > 0$. We use induction on m. If $m = 1$, then

$$\begin{aligned}
& \vec{x} \longrightarrow^m_{\langle \check{\varphi}, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{x}) \wedge m < mf(\vec{x}) + 1 \\
\implies & \vec{x} \longrightarrow_{\langle \chi, \vec{a} \rangle} \vec{a}(\vec{x}) \\
\iff & \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}) && (\text{as } m = 1)
\end{aligned}$$

In the induction step, assume

$$\vec{x} \longrightarrow^{m+1}_{\langle \check{\varphi}, \vec{a} \rangle} \vec{a}^{m+1}(\vec{x}) \wedge \chi(\vec{x}) \wedge m < mf(\vec{x}). \qquad (13)$$

Then we have:

$$\begin{aligned}
& (13) \\
\implies & \vec{x} \longrightarrow^m_{\langle \check{\varphi}, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \chi(\vec{x}) \wedge m < mf(\vec{x}) \\
\implies & \vec{x} \longrightarrow^m_{\langle \check{\varphi}, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge m < mf(\vec{x}) \wedge \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \\
& \qquad\qquad\qquad\qquad (\text{due to the induction hypothesis } (12)) \\
\implies & m < mf(\vec{x}) \wedge \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge \forall i \in [0, m-1]. \ \left(\check{\varphi}(\vec{a}^i(\vec{x})) \wedge \chi(\vec{a}^i(\vec{x})) \right) \\
\implies & m < mf(\vec{x}) \wedge \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge mf(\vec{x}) - mf(\vec{a}^m(\vec{x})) \le m \quad (\text{due to } (10)) \\
\implies & \vec{x} \longrightarrow^m_{\langle \chi, \vec{a} \rangle} \vec{a}^m(\vec{x}) \wedge mf(\vec{a}^m(\vec{x})) > 0 && (\dagger)
\end{aligned}$$

Note that (11) is equivalent to

$$mf(\vec{x}) > 0 \implies \neg\check{\varphi}(\vec{x}) \vee \chi(\vec{x}).$$

Thus, due to ($\dagger$) we have $\neg\check{\varphi}(\vec{a}^m(\vec{x})) \vee \chi(\vec{a}^m(\vec{x}))$. As (13) implies $\check{\varphi}(\vec{a}^m(\vec{x}))$, we obtain $\chi(\vec{a}^m(\vec{x}))$. Together with ($\dagger$), this implies $\vec{x} \longrightarrow^{m+1}_{\langle \chi, \vec{a} \rangle} \vec{a}^{m+1}(\vec{x})$, as desired. $\qquad \square$

6 Acceleration via Eventual Monotonicity

The combination of the calculus from Sec. 4 and the conditional acceleration techniques from Sec. 5 still fails to handle certain interesting classes of loops. Thus, to improve the applicability of our approach we now present two new acceleration techniques based on *eventual* monotonicity.

6.1 Acceleration via Eventual Decrease

All (combinations of) techniques presented so far fail for the following example.

$$\textbf{while } x_1 > 0 \textbf{ do } \left(\begin{smallmatrix} x_1 \\ x_2 \end{smallmatrix} \right) \leftarrow \left(\begin{smallmatrix} x_1 + x_2 \\ x_2 - 1 \end{smallmatrix} \right) \qquad (\mathcal{T}_{ev\text{-}dec})$$

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The reason is that x_1 does not behave monotonically, i.e., $x_1 > 0$ is neither an invariant nor a converse invariant. Essentially, $\mathcal{T}_{ev\text{-}dec}$ proceeds in two phases: First, x_2 is positive and hence the value of x_1 is monotonically increasing. Second, x_2 is non-positive and consequently the value of x_1 decreases (weakly) monotonically. The crucial observation is that once the value of x_1 decreases, it can never increase again. Thus, despite the non-monotonic behavior of x_1, it suffices to require that $x_1 > 0$ holds before the first and before the nth loop iteration to ensure that the loop can be iterated at least n times.

Theorem 10 (Acceleration via Eventual Decrease). *If $\varphi(\vec{x}) \equiv \bigwedge_{i=1}^{k} C_i$ where each C_i contains an inequation $expr_i(\vec{x}) > 0$ such that*

$$expr_i(\vec{x}) \geq expr_i(\vec{a}(\vec{x})) \implies expr_i(\vec{a}(\vec{x})) \geq expr_i(\vec{a}^2(\vec{x})),$$

then the following acceleration technique is sound:

$$\mathcal{T}_{loop} \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \bigwedge_{i=1}^{k} \left(expr_i(\vec{x}) > 0 \wedge expr_i(\vec{a}^{n-1}(\vec{x})) > 0 \right)$$

If $C_i \equiv expr_i > 0$ for all $i \in [1, k]$, then it is exact.

Proof. We will prove the more general Thm. 11 later in this section. $\square$

With Thm. 10, we can accelerate $\mathcal{T}_{ev\text{-}dec}$ to

$$\begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \begin{pmatrix} \frac{n - n^2}{2} + x_2 \cdot n + x_1 \\ x_2 - n \end{pmatrix} \wedge x_1 > 0 \wedge \frac{n - 1 - (n-1)^2}{2} + x_2 \cdot (n-1) + x_1 > 0$$

as we have

$$(x_1 \geq x_1 + x_2) \equiv (0 \geq x_2) \implies (0 \geq x_2 - 1) \equiv (x_1 + x_2 \geq x_1 + x_2 + x_2 - 1).$$

Turning Thm. 10 into a conditional acceleration technique is straightforward.

Theorem 11 (Conditional Acceleration via Eventual Decrease). *If we have $\chi(\vec{x}) \equiv \bigwedge_{i=1}^{k} C_i$ where each C_i contains an inequation $expr_i(\vec{x}) > 0$ such that*

$$\breve{\varphi}(\vec{x}) \wedge expr_i(\vec{x}) \geq expr_i(\vec{a}(\vec{x})) \implies expr_i(\vec{a}(\vec{x})) \geq expr_i(\vec{a}^2(\vec{x})), \qquad (14)$$

then the following conditional acceleration technique is sound:

$$(\langle \chi, \vec{a} \rangle, \breve{\varphi}) \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \bigwedge_{i=1}^{k} \left(expr_i(\vec{x}) > 0 \wedge expr_i(\vec{a}^{n-1}(\vec{x})) > 0 \right) \qquad (15)$$

If $C_i \equiv expr_i > 0$ for all $i \in [1, k]$, then it is exact.

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Proof. For soundness, we need to show

$$\vec{x} \longrightarrow^n_{\langle \check{\varphi}, \vec{a} \rangle} \vec{a}^n(\vec{x}) \wedge \bigwedge_{i=1}^{k} \left(expr_i(\vec{x}) > 0 \wedge expr_i(\vec{a}^{n-1}(\vec{x})) > 0 \right)$$

$$\implies \vec{x} \longrightarrow^n_{\langle \chi, \vec{a} \rangle} \vec{a}^n(\vec{x}). \quad (16)$$

Assume

$$\vec{x} \longrightarrow^n_{\langle \check{\varphi}, \vec{a} \rangle} \vec{a}^n(\vec{x}) \wedge \bigwedge_{i=1}^{k} \left(expr_i(\vec{x}) > 0 \wedge expr_i(\vec{a}^{n-1}(\vec{x})) > 0 \right). \quad (17)$$

This implies

$$\bigwedge_{i=0}^{n-1} \check{\varphi}(\vec{a}^i(\vec{x})). \quad (18)$$

In the following, we show

$$\bigwedge_{i=1}^{k} \bigwedge_{m=0}^{n-1} expr_i(\vec{a}^m(\vec{x})) \geq \min(expr_i(\vec{x}), expr_i(\vec{a}^{n-1}(\vec{x}))). \quad (19)$$

Then the claim follows, as we have

$$\bigwedge_{i=1}^{k} \bigwedge_{m=0}^{n-1} expr_i(\vec{a}^m(\vec{x})) \geq \min(expr_i(\vec{x}), expr_i(\vec{a}^{n-1}(\vec{x})))$$

$$\implies \bigwedge_{i=1}^{k} \bigwedge_{m=0}^{n-1} expr_i(\vec{a}^m(\vec{x})) \geq 0 \qquad \text{(due to (17))}$$

$$\implies \bigwedge_{m=0}^{n-1} \chi(\vec{a}^m(\vec{x})) \geq 0 \qquad \text{(by definition of } expr_i)$$

$$\implies \vec{x} \longrightarrow^n_{\langle \chi, \vec{a} \rangle} \vec{a}^n(\vec{x}).$$

Let i be arbitrary but fixed, let $expr = expr_i$, and let j be the minimal natural number with

$$expr(\vec{a}^j(\vec{x})) = \max\{ expr(\vec{a}^m(\vec{x})) \mid m \in [0, n-1] \}. \quad (20)$$

We first prove

$$expr(\vec{a}^m(\vec{x})) < expr(\vec{a}^{m+1}(\vec{x})) \quad (21)$$

for all $m \in [0, j-1]$ by backward induction on m. If $m = j - 1$, then

$$expr(\vec{a}^m(\vec{x}))$$
$$= expr(\vec{a}^{j-1}(\vec{x})) \qquad \text{(as } m = j - 1)$$
$$< expr(\vec{a}^j(\vec{x})) \qquad \text{(due to (20) as } j \text{ is minimal)}$$
$$= expr(\vec{a}^{m+1}(\vec{x})). \qquad \text{(as } m = j - 1)$$

For the induction step, note that (14) implies

$$expr(\vec{a}(\vec{x})) < expr(\vec{a}^2(\vec{x})) \implies \neg\check{\varphi}(\vec{x}) \lor expr(\vec{x}) < expr(\vec{a}(\vec{x})). \qquad (22)$$

In the induction step, we have

$$expr(\vec{a}^m(\vec{x})) < expr(\vec{a}^{m+1}(\vec{x})) \quad \text{(due to the induction hypothesis (21))}$$
$$\implies \neg\check{\varphi}(\vec{a}^m(\vec{x})) \lor expr(\vec{a}^{m-1}(\vec{x})) < expr(\vec{a}^m(\vec{x})) \qquad \text{(by (22))}$$
$$\implies expr(\vec{a}^{m-1}(\vec{x})) < expr(\vec{a}^m(\vec{x})). \qquad \text{(by (18))}$$

Now we prove

$$expr(\vec{a}^m(\vec{x})) \geq expr(\vec{a}^{m+1}(\vec{x})) \qquad (23)$$

for all $m \in [j, n-1]$ by induction on m. If $m = j$, then

$$
\begin{aligned}
&expr(\vec{a}^m(\vec{x})) \\
={}& expr(\vec{a}^j(\vec{x})) &\text{(as } m = j) \\
={}& \max\{expr(\vec{a}^m(\vec{x})) \mid m \in [0, n-1]\} &\text{(due to (20))} \\
\geq{}& expr(\vec{a}^{j+1}(\vec{x})) \\
={}& expr(\vec{a}^{m+1}(\vec{x})). &\text{(as } m = j)
\end{aligned}
$$

In the induction step, we have

$$expr(\vec{a}^m(\vec{x})) \geq expr(\vec{a}^{m+1}(\vec{x})) \quad \text{(due to the induction hypothesis (23))}$$
$$\implies expr(\vec{a}^{m+1}(\vec{x})) \geq expr(\vec{a}^{m+2}(\vec{x})). \qquad \text{(due to (18) and (14))}$$

As (21) and (23) imply

$$\bigwedge_{m=0}^{n-1} expr(\vec{a}^m(\vec{x})) \geq \min(expr(\vec{x}), expr(\vec{a}^{n-1}(\vec{x}))),$$

this finishes the proof of (19) and hence shows (16).

For exactness, assume $\chi(\vec{x}) := \bigwedge_{i=1}^k expr_i(\vec{x}) > 0$. We have

$$
\begin{aligned}
&\vec{x} \longrightarrow_{\langle\chi\wedge\check{\varphi},\vec{a}\rangle}^n \vec{a}^n(\vec{x}) \\
\implies{}& \chi(\vec{x}) \wedge \chi(\vec{a}^{n-1}(\vec{x})) \\
\iff{}& \bigwedge_{i=1}^k \left(expr_i(\vec{x}) > 0 \wedge expr_i(\vec{a}^{n-1}(\vec{x})) > 0\right).
\end{aligned}
$$

$\square$

Example 5. Consider the following variant of $\mathcal{T}_{ev\text{-}dec}$.

$$\textbf{while } x_1 > 0 \wedge x_3 > 0 \textbf{ do } \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \leftarrow \begin{pmatrix} x_1+x_2 \\ x_2-x_3 \\ x_3 \end{pmatrix}$$

Starting with its canonical acceleration problem, we get:

$$\left[\!\left[\vec{x}' = \vec{a}^n(\vec{x}) \;\middle|\; \top \;\middle|\; x_1 > 0 \land x_3 > 0 \;\middle|\; \vec{a} := \left(\begin{smallmatrix} x_1 + x_2 \\ x_2 - x_3 \\ x_3 \end{smallmatrix} \right) \right]\!\right]$$

$$\rightsquigarrow \left[\!\left[\vec{x}' = \vec{a}^n(\vec{x}) \land x_3 > 0 \mid x_3 > 0 \mid x_1 > 0 \mid \vec{a} \right]\!\right] \qquad \text{(Thm. 8)}$$

$$\rightsquigarrow \left[\!\left[\vec{x}' = \vec{a}^n(\vec{x}) \land x_3 > 0 \land x_1 > 0 \land x_1^{(n-1)} > 0 \;\middle|\; x_3 > 0 \land x_1 > 0 \;\middle|\; \top \;\middle|\; \vec{a} \right]\!\right]$$

where the second step can be performed via Thm. 11 as

$$(\breve{\varphi}(\vec{x}) \land expr(\vec{x}) \geq expr(\vec{a}(\vec{x}))) \equiv (x_3 > 0 \land x_1 \geq x_1 + x_2) \equiv (x_3 > 0 \land 0 \geq x_2)$$
$$\text{implies}$$
$$(0 \geq x_2 - x_3) \equiv (x_1 + x_2 \geq x_1 + x_2 + x_2 - x_3) \equiv (expr(\vec{a}(\vec{x})) \geq expr(\vec{a}^2(\vec{x}))).$$

6.2 Acceleration via Eventual Increase

Still, all (combinations of) techniques presented so far fail for

$$\textbf{while } x_1 > 0 \textbf{ do } \left(\begin{smallmatrix} x_1 \\ x_2 \end{smallmatrix} \right) \leftarrow \left(\begin{smallmatrix} x_1 + x_2 \\ x_2 + 1 \end{smallmatrix} \right). \qquad (\mathcal{T}_{ev\text{-}inc})$$

As in the case of $\mathcal{T}_{ev\text{-}dec}$, the value of x_1 does not behave monotonically, i.e., $x_1 > 0$ is neither an invariant nor a converse invariant. However, this time x_1 is eventually *increasing*, i.e., once x_1 starts to grow, it never decreases again. Thus, in this case it suffices to require that x_1 is positive and (weakly) increasing.

Theorem 12 (Acceleration via Eventual Increase). *If $\varphi(\vec{x}) \equiv \bigwedge_{i=1}^{k} C_i$ where each C_i contains an inequation $expr_i(\vec{x}) > 0$ such that*

$$expr_i(\vec{x}) \leq expr_i(\vec{a}(\vec{x})) \implies expr_i(\vec{a}(\vec{x})) \leq expr_i(\vec{a}^2(\vec{x})),$$

then the following acceleration technique is sound:

$$\mathcal{T}_{loop} \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \land \bigwedge_{i=1}^{k} 0 < expr_i(\vec{x}) \leq expr_i(\vec{a}(\vec{x}))$$

Proof. We prove the more general Thm. 13 later in this section. □

With Thm. 12, we can accelerate $\mathcal{T}_{ev\text{-}inc}$ to

$$\left(\begin{smallmatrix} x_1' \\ x_2' \end{smallmatrix} \right) = \left(\begin{smallmatrix} \frac{n^2 - n}{2} + x_2 \cdot n + x_1 \\ x_2 + n \end{smallmatrix} \right) \land 0 < x_1 \leq x_1 + x_2 \qquad (\psi_{ev\text{-}inc})$$

as we have

$$(x_1 \leq x_1 + x_2) \equiv (0 \leq x_2) \implies (0 \leq x_2 + 1) \equiv (x_1 + x_2 \leq x_1 + x_2 + x_2 + 1).$$

However, Thm. 12 is *not* exact, as the resulting formula only covers program runs where each $expr_i$ behaves monotonically. So $\psi_{ev\text{-}inc}$ only covers those runs of $\mathcal{T}_{ev\text{-}inc}$ where the initial value of x_2 is non-negative. Again, turning Thm. 12 into a conditional acceleration technique is straightforward.

Theorem 13 (Conditional Acceleration via Eventual Increase). *If we have $\chi(\vec{x}) \equiv \bigwedge_{i=1}^{k} C_i$ where each C_i contains an inequation $expr_i(\vec{x}) > 0$ such that*

$$\breve{\varphi}(\vec{x}) \wedge expr_i(\vec{x}) \leq expr_i(\vec{a}(\vec{x})) \implies expr_i(\vec{a}(\vec{x})) \leq expr_i(\vec{a}^2(\vec{x})), \qquad (24)$$

then the following conditional acceleration technique is sound:

$$(\langle \chi, \vec{a} \rangle, \breve{\varphi}) \mapsto \vec{x}' = \vec{a}^n(\vec{x}) \wedge \bigwedge_{i=1}^{k} 0 < expr_i(\vec{x}) \leq expr_i(\vec{a}(\vec{x})) \qquad (25)$$

Proof. We need to show

$$\vec{x} \longrightarrow_{\langle \breve{\varphi}, \vec{a} \rangle}^{n} \vec{a}^n(\vec{x}) \wedge \bigwedge_{i=1}^{k} 0 < expr_i(\vec{x}) \leq expr_i(\vec{a}(\vec{x})) \implies \vec{x} \longrightarrow_{\langle \chi, \vec{a} \rangle}^{n} \vec{a}^n(\vec{x}).$$

Due to $\vec{x} \longrightarrow_{\langle \breve{\varphi}, \vec{a} \rangle}^{n} \vec{a}^n(\vec{x})$, we have

$$\bigwedge_{j=0}^{n-1} \breve{\varphi}(\vec{a}^j(\vec{x})). \qquad (26)$$

Let i be arbitrary but fixed and assume

$$0 < expr_i(\vec{x}) \leq expr_i(\vec{a}(\vec{x})). \qquad (27)$$

We prove

$$expr_i(\vec{a}^m(\vec{x})) \leq expr_i(\vec{a}^{m+1}(\vec{x})) \qquad (28)$$

for all $m < n$ by induction on m. Then we get

$$0 < expr_i(\vec{a}^m(\vec{x}))$$

and thus $\varphi(\vec{a}^m(\vec{x}))$ for all $m < n$ due to (27) and hence the claim follows. If $m = 0$, then

$$expr_i^m(\vec{x}) = expr_i(\vec{x}) \leq expr_i(\vec{a}(\vec{x})) = expr_i(\vec{a}^{m+1}(\vec{x})). \qquad \text{(due to (27))}$$

In the induction step, note that (26) implies

$$\breve{\varphi}(\vec{a}^m(\vec{x}))$$

as $m < n$. Together with the induction hypothesis (28), we get

$$\breve{\varphi}(\vec{a}^m(\vec{x})) \wedge expr_i(\vec{a}^m(\vec{x})) \leq expr_i(\vec{a}^{m+1}(\vec{x})).$$

By (24), this implies

$$expr_i(\vec{a}^{m+1}(\vec{x})) \leq expr_i(\vec{a}^{m+2}(\vec{x})),$$

as desired. $\qquad \square$

Example 6. Consider the following variant of $\mathcal{T}_{ev\text{-}inc}$.

$$\textbf{while } x_1 > 0 \land x_3 > 0 \textbf{ do } \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \leftarrow \begin{pmatrix} x_1+x_2 \\ x_2+x_3 \\ x_3 \end{pmatrix}$$

Starting with its canonical acceleration problem, we get:

$$\left[\!\!\left[\vec{x}' = \vec{a}^n(\vec{x}) \;\middle|\; \top \;\middle|\; x_1 > 0 \land x_3 > 0 \;\middle|\; \vec{a} := \begin{pmatrix} x_1+x_2 \\ x_2+x_3 \\ x_3 \end{pmatrix} \right]\!\!\right]$$
$$\rightsquigarrow \left[\!\!\left[\vec{x}' = \vec{a}^n(\vec{x}) \land x_3 > 0 \mid x_3 > 0 \mid x_1 > 0 \mid \vec{a} \right]\!\!\right] \qquad (\text{Thm. } 8)$$
$$\rightsquigarrow \left[\!\!\left[\vec{x}' = \vec{a}^n(\vec{x}) \land x_3 > 0 \land 0 < x_1 \le x_1 + x_2 \mid x_3 > 0 \land x_1 > 0 \mid \top \mid \vec{a} \right]\!\!\right]$$

where the second step can be performed via Thm. 13 as

$$(\check{\varphi}(\vec{x}) \land expr(\vec{x}) \le expr(\vec{a}(\vec{x}))) \equiv (x_3 > 0 \land x_1 \le x_1 + x_2) \equiv (x_3 > 0 \land 0 \le x_2)$$
$$\text{implies}$$
$$(0 \le x_2 + x_3) \equiv (x_1 + x_2 \le x_1 + x_2 + x_2 + x_3) \equiv (expr(\vec{a}(\vec{x})) \le expr(\vec{a}^2(\vec{x}))).$$

We also considered versions of Theorems 11 and 13 where the inequations in (14) resp. (24) were strict, but this did not lead to an improvement in our experiments (cf. Sec. 8). Moreover, we experimented with a variant of Thm. 13 that splits the loop under consideration into two consecutive loops, accelerates them independently, and composes the results. While such an approach can accelerate loops like $\psi_{ev\text{-}inc}$ exactly, the impact on our experimental results was minimal. Thus, we postpone an in-depth investigation of this idea to future work.

7 Related Work

Acceleration-like techniques are also used in *over-approximating* settings (see, e.g., [11, 19, 20, 24, 25, 28, 30, 31]), whereas we consider *exact* and *under-approximating* loop acceleration techniques. As many related approaches have already been discussed in Sec. 3, we only mention two more exact techniques here.

First, [4, 8] presents an exact acceleration technique for *finite monoid affine transformations* (FMATs), i.e., loops with linear arithmetic whose body is of the form $\vec{x} \leftarrow A\vec{x} + \vec{b}$ where $\{A^i \mid i \in \mathbb{N}\}$ is finite. For such loops, Presburger-Arithmetic is sufficient to construct an equivalent formula ψ, i.e., it can be expressed in a decidable logic. In general, this is clearly not the case for the techniques presented in the current paper (which may even synthesize non-polynomial closed forms, see $\mathcal{T}_{exp}$). As a consequence and in contrast to our technique, this approach cannot handle loops where the values of variables grow super-linearly (i.e., it cannot handle examples like $\mathcal{T}_{2\text{-}invs}$). Implementations are available in the tools FAST [2] and Flata [22]. Further theoretical results on linear transformations whose n-fold closure is definable in (extensions of) Presburger-Arithmetic can be found in [5].

Second, [6] shows that *octagonal relations* can be accelerated exactly and in [26], it is proven that such relations can even be accelerated in polynomial time. This generalizes earlier results for *difference bound constraints* [9]. As

in the case of FMATs, the resulting formula can be expressed in Presburger-Arithmetic. Octagonal relations are defined by a finite conjunction ξ of inequations of the form $\pm x \pm y \le c$, $x, y \in \vec{x} \cup \vec{x}'$, $c \in \mathbb{Z}$. Then ξ induces the relation $\vec{x} \longrightarrow_\xi \vec{x}' \iff \xi(\vec{x}, \vec{x}')$. So in contrast to the loops considered in the current paper where $\vec{x}'$ is uniquely determined by $\vec{x}$, octagonal relations can represent non-deterministic programs. Therefore and due to the restricted form of octagonal relations, the work from [6, 26] is orthogonal to ours.

8 Implementation and Experiments

We prototypically implemented our approach in our open-source <u>Loop</u> <u>A</u>cceleration <u>T</u>ool LoAT [12, 15, 16]:

<div align="center">

https://github.com/aprove-developers/LoAT/tree/tacas20

</div>

It uses Z3 [10] to check implications and PURRS [1] to compute closed forms.

For technical reasons, the closed forms computed by our implementation are valid only if $n > 0$, whereas Def. 2 requires them to be valid for all $n \in \mathbb{N}$. The reason is that PURRS has only limited support for initial conditions. In the future, we plan to use a different recurrence solver to circumvent this problem. Thus, the results computed by our implementation are only correct for all $n > 1$ (instead of all $n > 0$). Moreover, LoAT can currently compute closed forms only if the loop body is *triangular*, meaning that each a_i is an expression over $x_1, \ldots, x_i$. However, LoAT failed to compute a closed form for just 26 out of 1511 loops in our experiments, i.e., this appears to be a minor restriction in practice. Furthermore, *conditional acceleration via metering functions* has not yet been integrated into the implementation of our calculus. While LoAT can synthesize formulas with non-polynomial arithmetic, it cannot yet parse them, i.e., the input is restricted to polynomials. Finally, LoAT does not yet support disjunctions in loop conditions.

Apart from these differences, our implementation closely follows the current paper. It uses the conditional acceleration techniques from Sections 5 and 6 with the following priorities: $Thm.\ 8 > Thm.\ 7 > Thm.\ 11 > Thm.\ 13$.

To evaluate our approach, we extracted 1511 loops with conjunctive guards from the category *Termination of Integer Transition Systems* of the *Termination Problems Database* [33], the benchmark collection which is used at the annual *Termination and Complexity Competition* [18], as follows:

1. We parsed all examples with LoAT and extracted each single-path loop with conjunctive guard (3829 benchmarks).
2. We removed duplicates by checking syntactic equality (resulting in 2825 benchmarks).
3. We removed loops whose runtime is trivially constant using an incomplete check (resulting in 1733 benchmarks).
4. We removed loops which do not admit any terminating runs, i.e., loops where Thm. 2 applies (resulting in 1511 benchmarks).

We compared our implementation with LoAT's implementation of *acceleration via monotonicity* (Thm. 3, [12]) and its implementation of *acceleration via metering functions* (Thm. 4, [16]), which also incorporates the improvements proposed in [15]. We did not include the techniques from Theorems 1 and 2 in our evaluation, as they are subsumed by *acceleration via monotonicity*. Furthermore, we compared with Flata [22], which implements the techniques to accelerate FMATs and octagonal relations discussed in Sec. 7. Note that our benchmark collection contains 16 loops with non-linear arithmetic where Flata is bound to fail, since it only supports linear arithmetic. We did not compare with FAST [2], which uses a similar approach as the more recent tool Flata.

All tests with LoAT have been run on StarExec [32]. As StarExec was unavailable due to updates prior to the submission, Flata has been run on a laptop with an Intel i7-8650U CPU and 32GB RAM instead. As no time- or memouts occurred, this does not affect Flata's results, but only its runtime (which we therefore omit).

The results can be seen in Table 1. They show that our novel calculus was superior to the competing techniques in our experiments. In all but 7 cases where our calculus successfully accelerated the given loop, the resulting formula was polynomial. Thus, integrating our approach into existing acceleration-based verification techniques should not present major obstacles w.r.t. automation.

	LoAT	Monot.	Meter	Flata
exact	1444	845	0^2	1231
approx	38	0	733	0
fail	29	666	778	280
avg rt	0.16s	0.11s	0.09s	n/a

Table 1.

	~~Ev-Inc~~	~~Ev-Dec~~	~~Ev-Mon~~
exact	1444	845	845
approx	0	493	0
fail	67	173	666
avg rt	0.15s	0.14s	0.09s

Table 2.

LoAT:	Acceleration calculus + Theorems 7, 8, 11 and 13
Monot.:	*Acceleration via Monotonicity*, Thm. 3
Meter:	*Acceleration via Metering Functions*, Thm. 4
Flata:	The tool Flata, see http://nts.imag.fr/index.php/Flata
~~Ev-Inc~~:	Acceleration calculus + Theorems 7, 8 and 11
~~Ev-Dec~~:	Acceleration calculus + Theorems 7, 8 and 13
~~Ev-Mon~~:	Acceleration calculus + Theorems 7 and 8
exact:	Number of examples that were accelerated *exactly*
approx:	Number of examples that were accelerated *approximately*
fail:	Number of examples that could not be accelerated
avg rt:	Average runtime per example

Furthermore, we evaluated the impact of our new acceleration techniques from Sec. 6 independently. To this end, we once disabled *acceleration via eventual increase*, *acceleration via eventual decrease*, and both of them. The results can be seen in Table 2. They show that our calculus does not improve over *acceleration*

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[2] While acceleration via metering functions may be exact in some cases (see the discussion after Thm. 4), our implementation cannot check whether this is the case.

via monotonicity if both *acceleration via eventual increase* and *acceleration via eventual decrease* are disabled (i.e., our benchmark collection does not contain examples like $\mathcal{T}_{2\text{-}c\text{-}invs}$). However, enabling either *acceleration via eventual decrease* or *acceleration via eventual increase* resulted in a significant improvement. Interestingly, there are many examples that can be accelerated with either of these two techniques: When both of them were enabled, LoAT (exactly or approximately) accelerated 1482 loops. When one of them was enabled, it accelerated 1444 resp. 1338 loops. But when none of them was enabled, it only accelerated 845 loops. We believe that this is due to examples like

$$\textbf{while } x_1 > 0 \wedge \ldots \textbf{ do } \begin{pmatrix} x_1 \\ x_2 \\ \ldots \end{pmatrix} \leftarrow \begin{pmatrix} x_2 \\ x_2 \\ \ldots \end{pmatrix}$$

where Thm. 11 *and* Thm. 13 are applicable (since $x_1 \leq x_2$ implies $x_2 \leq x_2$ and $x_1 \geq x_2$ implies $x_2 \geq x_2$).

There were only 18 loops where both Flata and the full version of our calculus failed to compute an exact result. Among them were the only 3 examples where our implementation found a closed form, but failed anyway. One of them was[3]

$$\textbf{while } x_3 > 0 \textbf{ do } \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \leftarrow \begin{pmatrix} x_1 + 1 \\ x_2 - x_1 \\ x_3 + x_2 \end{pmatrix}.$$

Here, the updated value of x_1 depends on x_1, the update of x_2 depends on x_1 and x_2, and the update of x_3 depends on x_2 and x_3. Hence, the closed form of x_1 is linear, the closed form of x_2 is quadratic, and the closed form of x_3 is cubic:

$$x_3^{(n)} = -\frac{1}{6} \cdot n^3 + \frac{1 - x_1}{2} \cdot n^2 + \left(\frac{x_1}{2} + x_2 - \frac{1}{3} \right) \cdot n + x_3$$

So when fixing x_1, x_2, and x_3, $x_3^{(n)}$ has up to 2 inflection points, i.e., its monotonicity may change twice. However, our techniques based on eventual monotonicity require that the respective expressions behave monotonically once they start to de- or increase, so these techniques only allow one change of monotonicity.

This raises the question if our approach can accelerate *every* loop with conjunctive guard and linear arithmetic whose closed form is a vector of (at most) quadratic polynomials with rational coefficients. We leave this to future work.

For our benchmark collection, links to the StarExec-jobs of our evaluation, Flata's results, and a pre-compiled binary (Linux, 64 bit) we refer to [13].

9   Conclusion and Future Work

After discussing existing acceleration techniques in Sec. 3, we presented a calculus to combine acceleration techniques modularly (Sec. 4). Then we showed how to combine existing (Sec. 5) and two novel (Sec. 6) acceleration techniques with our calculus. This improves over prior approaches, where acceleration techniques were used independently, and may thus improve acceleration-based verification

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[3] The other two are structurally similar, but more complex.

techniques [6, 8, 12, 15, 16, 17, 27] in the future. An empirical evaluation (Sec. 8) shows that our approach is more powerful than state-of-the-art acceleration techniques. Moreover, if it is able to accelerate a loop, then the result is exact (instead of just an under-approximation) in most cases. Thus, our calculus can be used for under-approximating techniques (e.g., to find bugs or counterexamples) as well as in over-approximating settings (e.g., to prove safety or termination).

In the future, we plan to implement the missing features mentioned in Sec. 8 and integrate our novel calculus into our own acceleration-based program analyses to prove lower bounds on the runtime complexity [15, 16] and non-termination [12] of integer programs. Furthermore, our experiments indicate that integrating specialized techniques for FMATs (cf. Sec. 7) would improve the power of our approach (cf. Sec. 8). Moreover, we plan to design a *loop acceleration library*, such that our technique can easily be incorporated by existing verification tools.

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