

A blockchain- and IoT-based smart product-service system for the sustainability of prefabricated housing construction

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Abstract: Prefabricated housing construction (PHC) will be widely recognized as a contributor to consumption reduction and sustainability enhancement if inherent drawbacks (e.g., fragmented management, poor connectivity) can be addressed efficiently. The promotion of advanced information and communication technologies (ICT) has triggered the evolution of smart product-service systems (SPSS), where a smart connected product (SCP) acts as a critical role in the interconnection of physical components and specialized services for value co-creation. Hence, it is promising to realize the positive improvement of PHC based on an SPSS approach, especially during the challenging post-COVID-19 pandemic era. We developed an intelligent platform based on service-oriented manners with practical case demonstration for interactive innovation of PHC shareholders, among which prefabricated components (PC) have been defined as the SCP in PHC, and a platform-enabled approach has also been adopted in the way of SPSS. Furthermore, distributed security technology viz. blockchain along with inclusive ICT (e.g., Internet-of-Things (IoT), Cyber-Physical System (CPS), and Building Information Modeling (BIM)) are employed jointly to spark new modes of smart construction. Meanwhile, valuable exploration and open research directions are expected to facilitate the PHC supply chain to become more resilient in sustainability.

Keywords: Prefabricated Housing Construction; Smart Product-Service Systems; Blockchain; Internet of Things; Sustainability

Nomenclature

BIM Building Information Modeling

BT Blockchain Technology

CPS Cyber-Physical System

DLT Distributed Ledger Technology

ICT Information and Communication Technologies

IoT Internet of Things

PC Prefabricated Components

P2P Peer-to-Peer

PHC Prefabricated Housing Construction

PSS Product-Service Systems

RFID Radio Frequency IDentification

SCM Supply Chain Management

SCP Smart Connected Product

SCR Supply Chain Resilience

SPSS Smart Product-Service Systems

1. Introduction

2 Sustainability, as one of the core issues drawing global attention, has played a crucial role in
3 the construction industry through the ages (Du et al., 2019). Previous research indicated that
4 the construction industry's carbon emissions and worldwide energy usage have incrementally
5 increased, accounting for 30% (Pan and Garmston, 2012) and 40% (John et al., 2016),
6 respectively. Meanwhile, without effective strategies, the volume of its consumption will
7 keep rising by approximately 50% by 2050 (IEA, 2013). In this perspective, the
8 transformation of the construction industry is particularly critical for environmental
9 conservation and sustainable development. Prefabricated housing construction (PHC), with
10 its widely recognized contributions, such as decrease of schedule delay (Gao and Tian, 2020),
11 saving of labor usage (Li et al., 2018), reduction of construction waste and carbon emission
12 (Zhou et al., 2019b), has become a trend in lean construction. However, if PHC cannot
13 overcome its intrinsic drawbacks (e.g., low productivity, poor interoperability, inefficient
14 management, etc.), it can hardly become an eligible contributor to sustainability (Du et al.,
15 2019).

16 PHC relies on the stable operations of supply chains (Teng and Pan, 2019), which
17 mainly includes building design, prefabricated components (PC) production, logistics, and
18 prefabrication assembly. Moreover, sustainable supply chains are committed to providing
19 shareholders with satisfactory products as well as valuable services in an economized and
20 environmental-friendly manner to meet particular needs (Li et al., 2018). Goedkoop (1999)
21 firstly defined such a proposition as product-service systems (PSS); thereafter, PSS has been
22 extensively regarded as an economic and energy-saving method to realize sustainability (Liu
23 et al., 2018). Recently, emerging information and communication technologies (ICT), for
24 instance, Cyber-Physical Systems (CPS), Building Information Modeling (BIM), Internet-of-
25 Things (IoT), and blockchain, have triggered a promising evolution of PSS, which is known
26 as smart PSS (SPSS) (Valencia et al., 2015). Moreover, the smart connected products (SCP)
27 and its delivered e-services served as the essential constitution of SPSS (Zheng et al., 2018).
28 By transforming from product-centered to service-centered, SPSS will give rise to the next

29 generation of ICT-driven productivity growth (Porter et al., 2014). Besides, once products
30 and services are recognized as a bundle, digital services are more capable of satisfying the
31 requirements of shareholders compared to exclusively employing physical products (Zheng et
32 al., 2019a).

33 Specifically, as for PHC, it has several construction sites, long construction cycles, large
34 industrial chains, and various uncertain situations (Araújo et al., 2020), inevitably generating
35 mass data which can be categorized into two types: shareholder-generated and product-
36 generated (Zheng et al., 2018). Shareholder-generated data are mainly stemmed from mutual
37 communication among designers, producers, transporters, and assemblers, while product-
38 generated data can be regarded as its status information captured by machines from particular
39 stages. However, owing to the lack of practical approach to fully utilize plentiful data, even
40 worse, fragmentation of information frequently can hinder collaboration in the supply chain.
41 As a result, the conventional PHC faces several challenges in weak interaction and smart
42 decision-making (Tao et al., 2019). Besides, the PHC supply chain is a network of cross-
43 echelon organizations that are connected by information, material, services, product flows,
44 and capital flows among stakeholders (Gao and Tian, 2020); thus, making reliable products
45 and value-added digital services as a bundle is paramount (Zheng et al., 2019b).

46 As a result, it is feasible to realize the promotion of PHC based on SPSS. PCs can be
47 regarded as SCP. Integrated ICT enables various shareholders to acquire SCP status data on a
48 real-time basis while connecting diverse stages, responding promptly to disturbing incidents,
49 and realizing the reduction of energy consumption. Nevertheless, the application of SPSS has
50 been embraced in manufacturing industries (e.g., smart devices, machine tools, etc.). Scarcely
51 related works demonstrate the connection between SPSS and the construction industry (PHC
52 particularly), and very few works of literature concentrate on how services and products can
53 be integrated as a bundle to fulfill users' specialized needs. Furthermore, the platform-based
54 approach was considered as a fundamental method to leverage the value of SPSS (Thomas et
55 al., 2014), and diverse PHC stages should be centralized to make the supply chain more
56 resilient, which also shows inadequate support in the literature.

57 To address the given limitations, this article develops an intelligent platform based on

58 inclusive technologies, incorporating CPS, IoT, BIM, and blockchain for SPSS innovation in
59 PHC. The research objectives are: (1) to develop a smart platform to gather, process,
60 communicate, and leverage information through the lifecycle of PHC; (2) to employ
61 blockchain- and IoT-based, BIM- and CPS-enabled platform to facilitate the real-time
62 management of PHC supply chain; (3) to demonstrate the effectiveness and performance of
63 the proposed platform based on SPSS approach with the practical application.

64 The remainder of the paper is organized as follows: Section 2 presents a holistic review
65 of related works. In Section 3, we illustrate the architecture of the platform. Then, we
66 introduce the function development of the platform in Section 4, followed by a real-life case
67 study that employs the proposed platform based on SPSS in Sections 5. The conclusions and
68 directions for future research are summarized in Sections 6.

69 **2. Related works**

70 **2.1 Prefabricated housing construction**

71 PHC, with its generally recognized benefits of standardized production, associated services,
72 and energy-saving construction, has been regarded as an eligible contributor to handling
73 various building challenges (Teng et al., 2018). Compared to cast-in-situ techniques
74 characterized by dense scaffolds, dusty installation, wet working, formwork systems, and
75 massive demolition waste, PHC aims to transfer as many on-site construction components as
76 possible to manufacturing factories under standard regulations and controllable production
77 patterns (Li et al., 2020). As a result, the advantages include, but are not limited to,
78 construction cost and time savings, enhanced quality, safe and manageable working
79 environment, and decreased construction waste and energy consumption (Li et al., 2017).
80 PHC appears to be significant to integrated manufacturing, which not only requires large
81 investments and long construction periods but also has a large group of suppliers and
82 subcontractors (Li et al., 2020). Governments have taken a positive attitude toward
83 formulating strategies and policies to support the implementation of prefabricated buildings.
84 In reality, some countries and regions, such as Singapore, Australia, and Hong Kong,

85 benefited from PHC as it addresses the limited on-site construction environment, labor
86 shortage, and resource scarcity (Arashpour et al., 2016).

87 Thus, the adoption of PHC is promising. Therefore, it is indispensable to pay more
88 attention to handling complex supply chain management (SCM) in PHC. The concept of
89 SCM was introduced in the construction industry from the manufacturing industry in the
90 1980s (Segerstedt et al., 2010). The scope of supply chain collaboration has been divided into
91 horizontal and vertical partnerships (Barratt and Oliveira, 2001). As the scale of PHC
92 continues to expand, information integration and technology sharing become key processes in
93 SCM. The application of SCM is hindered by several factors. For instance, the on-site and
94 off-site working sites of PHC are separate, and suppliers are often not involved in PCs'
95 design (Turken and Geda, 2020), leading to delivery delays, schedule extensions, disorderly
96 on-site processes, and vague client demands (Arashpour et al., 2016). Researchers described
97 the complexity of PHCSCM in the following ways: (1) a longer chain caused by two or more
98 production environments, including factory and site; (2) additional predesign works due to
99 the completion of PCs ahead of time; (3) more extended error correction periods; and (4)
100 higher requirements for dimensional accuracy (Koskela, 2003). Nevertheless, the actual
101 deployment and implementation of PHC are still facing challenges. Since they lack an
102 effective way for nodes to participate in a dynamic supply chain collaboration, the benefits of
103 PHC remain rhetorical (Du et al., 2019).

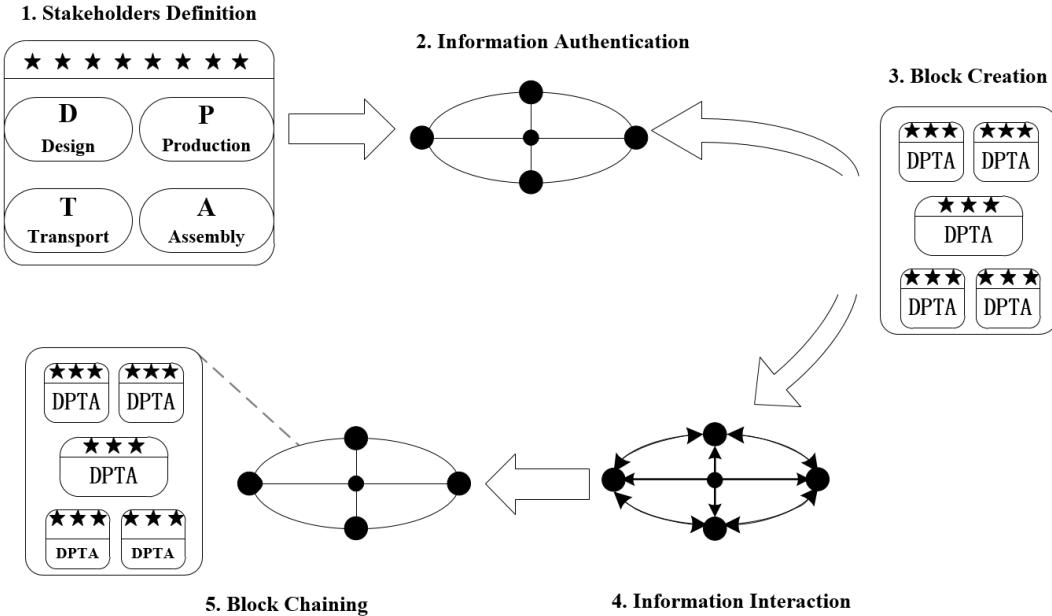
104 **2.2 Blockchain for IoT and CPS**

105 The CPS initially resulted from the intersection between physical devices and intangible
106 Internet (Alippi and Ozawa, 2019), which enables tangible devices to realize precious
107 communication, remote coordination, and intelligent, sophisticated control. CPS and IoT
108 share the same goal, which aims at seamlessly integrating cyber and physical worlds (Tao et
109 al., 2019). CPS offers a comprehensive and interactive structure that consists of various ICT
110 to satisfy its original requirements; simultaneously, IoT and BIM can be integrated into
111 detailed application of CPS. Additionally, in PHC, construction data can be captured by
112 monitoring instruments instantly and uploaded to the cyber sections for subsequent analysis
113 and utilization (Zhou et al., 2019b); thus, real-time management and intelligent information

interaction can be achieved. However, with CPS was interconnected in networks, data storage inevitably faced unpredictable security vulnerabilities and privacy risks (Li et al., 2020). To address these defects, the consensus-driven and decentralized blockchain technology and the combination of cryptographic processes behind it could provide a useful alternative (Tao et al., 2018).

Blockchain is an innovative computer technology application model with distributed storage, point-to-point (P2P) transmission, consensus mechanism, and encryption algorithm (Lee, 2019). On the one hand, blockchain can play an important role in secure decentralization; the ledger is distributed across every single node in the blockchain who are the participants, thus allowing for verification without the necessity of third-parties. Therefore, in SPSS, the data structure in a blockchain is append-only, and it is impossible to alter or delete data without every node permission (Peck and Moore, 2017). On the other hand, since the transactions are preciously recorded in chronological order, consequently, every block is time-stamped, and it can be tracked along the chain to its point of origin (Liu et al., 2020). Meanwhile, all businesses can pre-set conditions on the blockchain, which facilitate customers easily involved in the SPSS chains (Feng et al., 2020). The most common blockchain types include public, private, and consortium blockchains (Feng et al., 2020). Apart from its wide adoption in intellectual finance, digital insurance, and smart logistics (Liu et al., 2020), there are three categories in construction practices (Peck and Moore, 2017), namely, (1) notarization-related applications to reduce the time for authenticating documents; (2) transaction-related applications to facilitate automated procurement and payment; and (3) provenance-related applications to improve the transparency and traceability of construction supply chains. It is believed that blockchain constructs an internal link with IoT and CPS regarding various functions, e.g., for monitoring assets, sensing certain features, and actuating particular actions (Lee, 2019). As shown in Fig. 1, for instance, several nodes (PHC shareholders) are pre-established for recording; after passing the on-chain verification step, unprecedented security benefits are brought due to its distributed storage, encrypted transmission, and reliable security. The exploitation of blockchain in the realm of IoT and CPS—and future ICT infrastructure in general—has the potential to enable various

143 capabilities and use cases in those systems (Feng et al., 2020).



144

145 **Fig. 1.** The procedure of blockchain-based information and service exchange

146 **2.3 A smart product-service system for sustainability**

147 PSS, referring to the combination of valuable services, physical products, and
148 associations of participants, has been deemed an efficacious method to enhance customer
149 satisfaction and mitigate environmental impacts (Goedkoop, 1999). With the advancement of
150 intelligent technology, SCPs played a fundamental role in digitalization services, which aim
151 at making the PSS smarter, i.e., an SPSS (Valencia et al., 2015). The SPSS exceedingly
152 counts on the connectivity and digitalization of the SCP (Liu et al., 2018); however, given
153 that ICTs are embedded within the SCP itself rather than as simple additions to the previous
154 PSS, it is a conjoined bundle to satisfy individual shareholders' requests for co-creation of
155 value (Zheng et al., 2019a). Zheng and Wang (2019b) classified an SPSS into three levels: 1)
156 the product-service level, where the SCP is employed as a sole scheme for customer
157 requirements; 2) the system-level, where shareholders are integrated into the platform-based
158 systems to realize optimal value co-creation via seamless collaboration and prompt
159 interaction; and 3) the system-of-systems level, from the perspective of an ecosystem, which
160 overcomes the limits of an individual SPSS and further connects interrelated systems to

achieve more significant impacts. No matter which level, it is generally recognized that an SPSS is beneficial for sustainability (Reim et al., 2015). For enterprises, ICT facilitates them to gather data and effectively optimize their response strategies, thereby reducing energy consumption (Zheng et al., 2019a). For clients, they are no longer passively involved in the process of value generation; reversely, shareholders are contributing to the active co-creation of value for personalized servitization (Valencia et al., 2015). Hence, the SPSS and its extensive applications have been embraced in smart manufacturing, for instance, shaft manufacturing (Tao et al., 2018), smart water dispensers (Zheng et al., 2019a), or wearable masks (Zheng et al., 2018). Nevertheless, the construction industry, which ranks the top in energy consumption, rarely developed a holistic design for SPSS innovation in detail; where the PC can serve as SCP in PHC, real-time data can be collected by CPS, where every shareholder can be coordinated via platform-based systems and escalate to a smarter, greener, more energy-efficient construction style. As depicted in Fig. 2, the design, production, transportation, and assembly of PHC used to be islands of information that are now integrated through a platform based on SPSS.

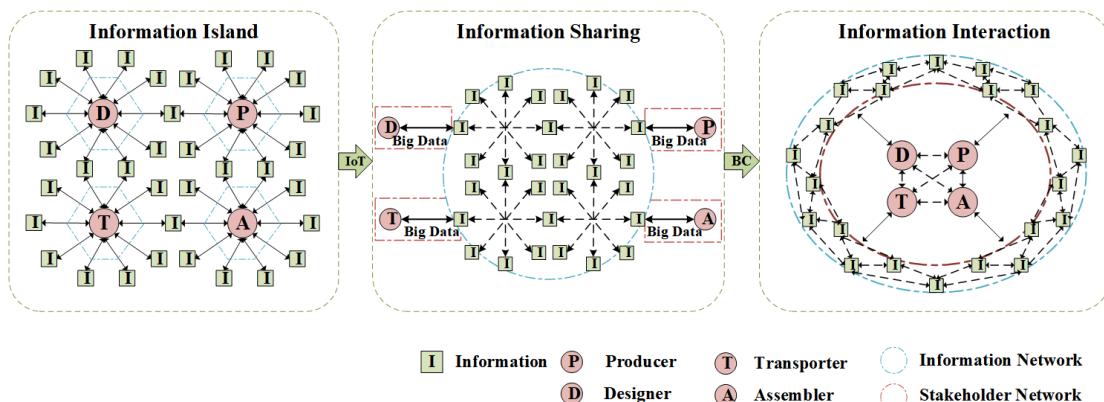


Fig. 2. Evolution of SCM through the platform based on SPSS

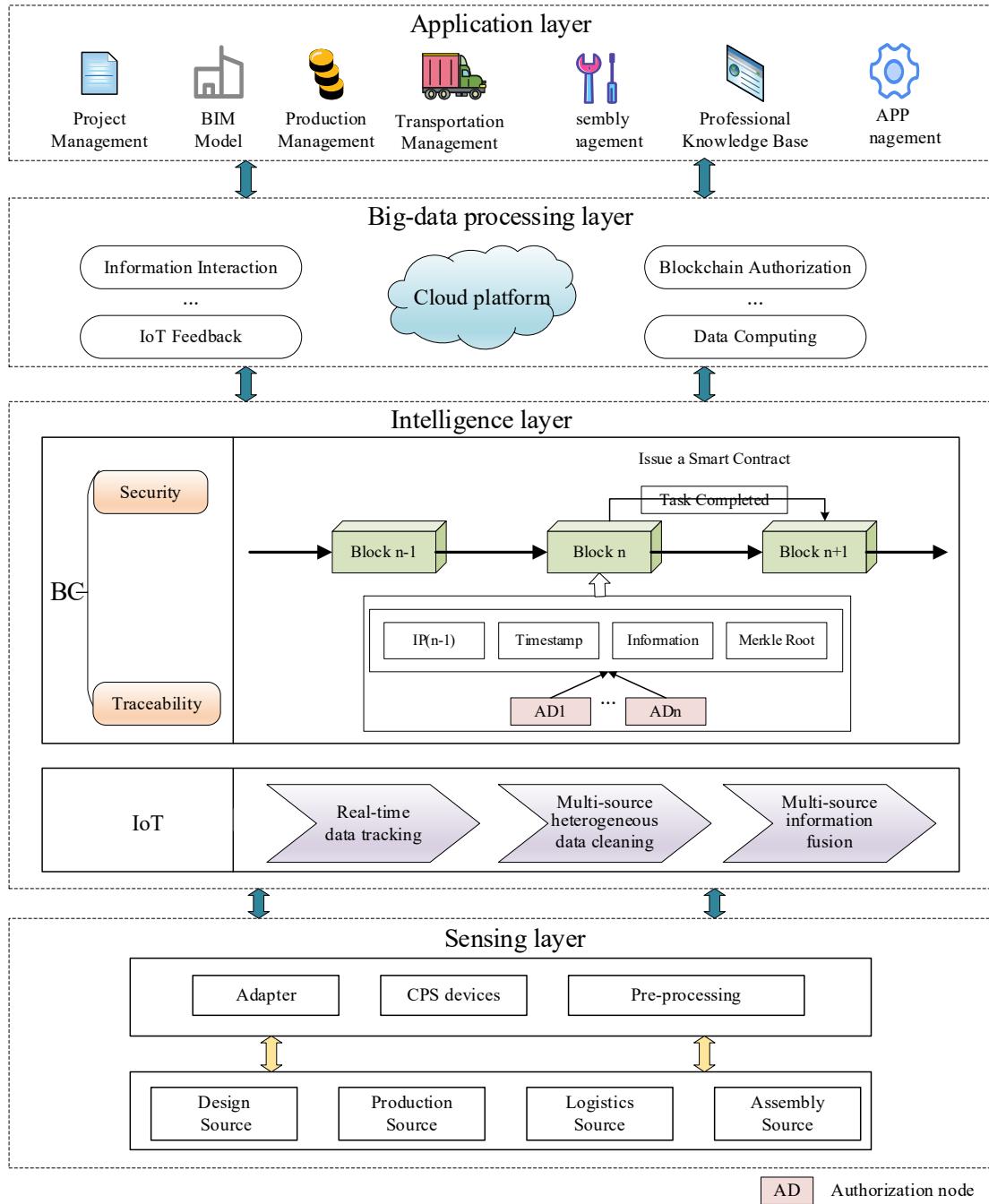
3. Architecture of the intelligent platform

A platform-based system was proposed, and BIM, CPS, IoT, and blockchain were incorporated jointly to foster mutual collaboration and data exchange services sustainably to fill the mentioned gaps. Detailed operation and security structures are illustrated in this section.

183 **3.1 Platform operating structure**

184 As illustrated, a platform-based model is indispensable to reach the SPSS implementation
185 (Cenamor et al., 2017), yet conventional PHC management systems have always employed
186 temporary project departments for project construction management; thus, the management
187 of each PHC phase can be readily separated, coordinated management will be more laborious
188 to realize, information islands will be generated inevitably, and the project's progress, quality,
189 risk, and fund-tracking will be arduous to control. Therefore, considering the characteristics
190 of PHC, PCs are highly adopted as SCP in the product-synergic platform that leverage edge
191 technologies to present modular interactions and overcome these crucial defects.

192 The operation structure of the platform is depicted in Fig. 3 for SPSS innovation, which
193 can be divided into four levels, namely, the sensing layer (SCPs-gathering), intelligence layer
194 (data-interacting), big data processing layer (cloud-computing), and application layer (value-
195 delivering). Hence, collaboration is facilitated by removing barriers among the various PHC
196 parities.



197

198

Fig. 3. Operation structure of the platform for SPSS services innovation

199

3.1.1 Sensing layer

200 A sensing layer is responsible for accurately identifying and gathering adequate data
 201 from diverse providers, which is a key section of the ultimate service innovation. In PHC, the
 202 prime data collected by SCPs originate from stakeholders (information upload), PC (status
 203 monitor), and materials (consumption reading). In the process of system management and
 204 control, this layer will conduct comprehensive data collection and management on project

205 safety, quality, schedule, and other aspects.

206 **3.1.2 Intelligence layer**

207 The intelligence layer that embodies the core value of the platform is mainly supported
208 by IoT and blockchain technologies and serves as the bridge between the interface and data
209 access layers. Hence, each system requires a reliable infrastructure to operate. Blockchain is
210 capable of supporting the coordinated operation of information collection, transmission, and
211 communication, and it provides a reliable basis for synergy. This layer implements the
212 business logic and concentrates on the formulation of business rules or organizations;
213 meanwhile, it provides support for the subsequent implementation of the system structure,
214 where the database that is developed between elements allows autonomous interchanging and
215 efficient exertion.

216 **3.1.3 Big data processing layer**

217 The big data processing layer involves computing diversified data from various
218 providers, including primary documents, BIM, and schedules. The massive data stored in the
219 database are submitted to the business layer. In contrast, the data processed by the business
220 layer are interconnected and load back to the blockchain-encrypted database. Users'
221 requirements are reflected in the application layer, which can simultaneously forward to the
222 intelligent layer and realize an interactive response eventually. The data layer carries out data
223 operations and then returns these data one by one to the specific users, thus achieving
224 centralized and orderly management of data in the distributed system. This layer assesses and
225 refines real-time data to maintain efficient connectivity; additionally, by refreshing the data
226 and revising the analysis as needed, the collaboration of stakeholders meets the promotion.

227 **3.1.4 Application layer**

228 The application layer involves the application of relevant data for business management
229 by diverse stakeholders. In the user interaction interface, this layer receives the data input by
230 the user and then displays the data after sophisticated processing. According to the level and
231 identity of users, the system then offers different access interfaces and assigns corresponding

functions or data permissions. The shareholders, including the owner (e.g., government), design, production, transportation, and construction units, are integrated into the application layer. In addition, the application layer is also mainly responsible for the appropriate, accurate, and comprehensive management of the engineering process, receiving timely engineering instructions from the upper layer and offering timely feedback to ensure the convenient application of services for the system users. The integrated structure of the platform can realize rapid information feedback, which greatly enhances the resilience of the PHC supply chain.

3.2 Platform security structure

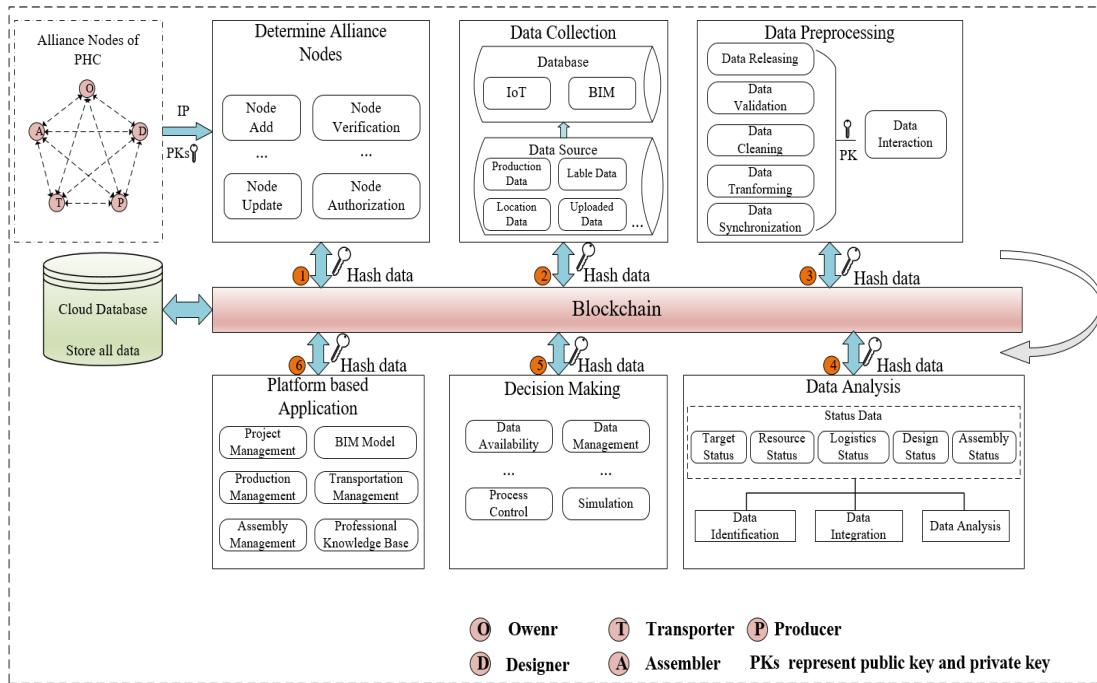
As cash flow and data exchange are generally performed to the accompaniment of project progress, it is commonly recognized that inconsistent provision terms, unauthentic supervision, and unveracious data will lead to inevitable disputes and lawsuits on information confidence and trustworthy resources (Yang et al., 2020), especially in the PHC whose procedures are decentralized. The off-site and discontinuous characteristics of the PHC supply chains match the distribution of blockchains well (Shojaei, 2019). Therefore, blockchain is employed to develop a more open, trackable, and transparent method to validate and guarantee the reliability of data throughout the supply chain.

3.2.1 Data security

The blockchain is a mode of Distributed Ledger Technology (DLT), where all the business processes are verified and digitized. It is a distributed network where no trusted authority is needed to maintain the verification of relevant parties. Instead, the cryptographic block can be chained once its authority is confirmed, and every transaction is visible to the connected blocks, meaning shareholders of the PHC are accessible to track construction history and check the recorded data conveniently. In PHC, there are four main data sources, namely, design stage, production stage, logistics stage, and assembly stage. The prime data collected by SCPs are from stakeholders (information upload), PC (status monitor), and materials (consumption reading) during these four stages, and then the relevant data will be encrypted and uploaded. As opposed to centralized architectures, various monitoring and

260 decision schemes based on blockchains should be more scalable than conventional ones.
 261 Specifically, as shown in Fig. 4, the stakeholders in each stage of PHC upload encrypted data
 262 after completing data collection and processing, and secure data transmissions are conducted
 263 in the blockchains.

264 Without the consent of all the relevant personnel, all parties are incapable of modifying
 265 data but can view and utilize data within their respective authorities, thereby enhancing the
 266 credibility of generated data in PHC.



268 **Fig. 4.** Encryption mechanism of the platform with blockchain adoption

269 **3.2.2 Application security**

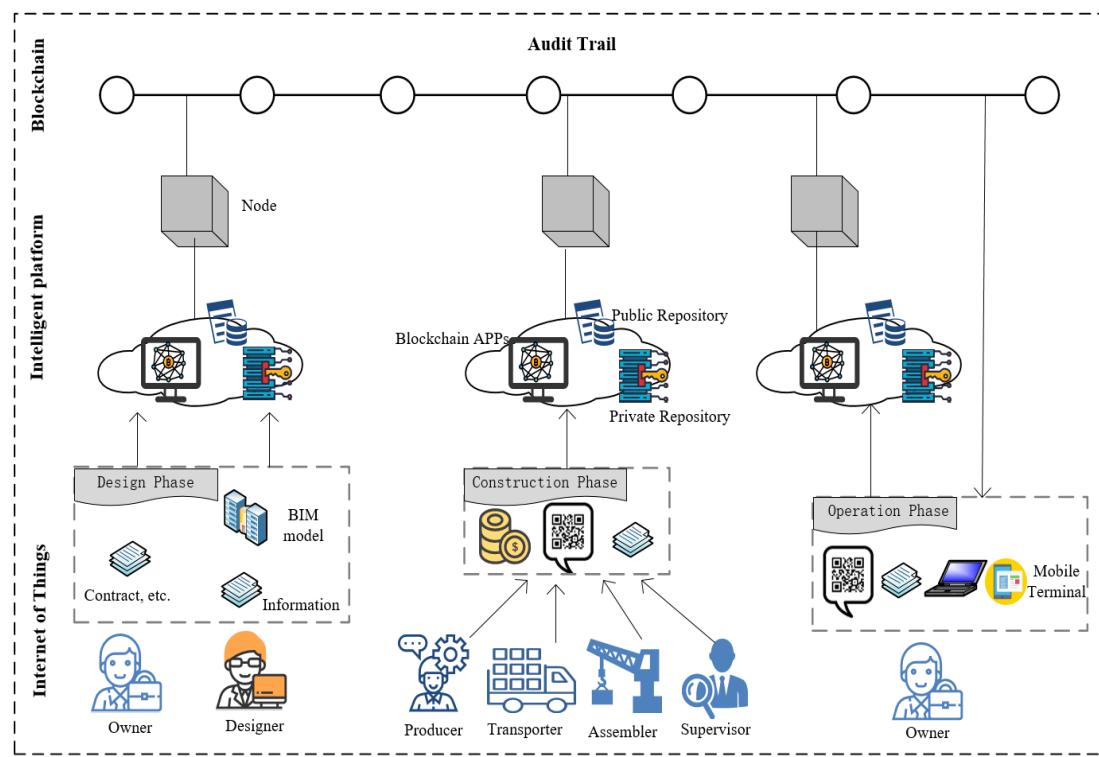
270 Blockchain is hack-resistant, tamper-proof, and immutable due to its distributed ledger
 271 and network verification process (Min, 2019). It is believed that projects can benefit from a
 272 more decentralized and agile approach where transparency is high, and various parties can be
 273 compensated for outcomes, as well as for work performed. The detailed value of application
 274 security is revealed below.

275 1) Smart contracts. For dealing with poor payment and execution issues in PHC, a
 276 blockchain-based and self-executed contract is adopted in the platform, where clauses and

277 rules are embedded originally, and cryptographic matters are settled to alleviate the defects
278 caused by delayed payments and fallacious performance.

279 2) Information storage. Sustainability, as a critical goal of the proposed platform, cannot
280 be realized if information sharing is absent. The blockchain enables massive construction
281 data to be stored reasonably; in other words, a wide range of information can be utilized in a
282 traceable, secure, and sustainable way due to its highly reliable database.

283 3) Supply chain management. Traceability and trackability act as fundamental roles in
284 SCM. In the blockchain of the platform, suppliers and clients can verify mutual immutable
285 qualifications and track previous records through the supply chain for value co-creation (see
286 Fig. 5). Meanwhile, specific products/services can be monitored in real-time, which facilitate
287 the smart management of the PHC.

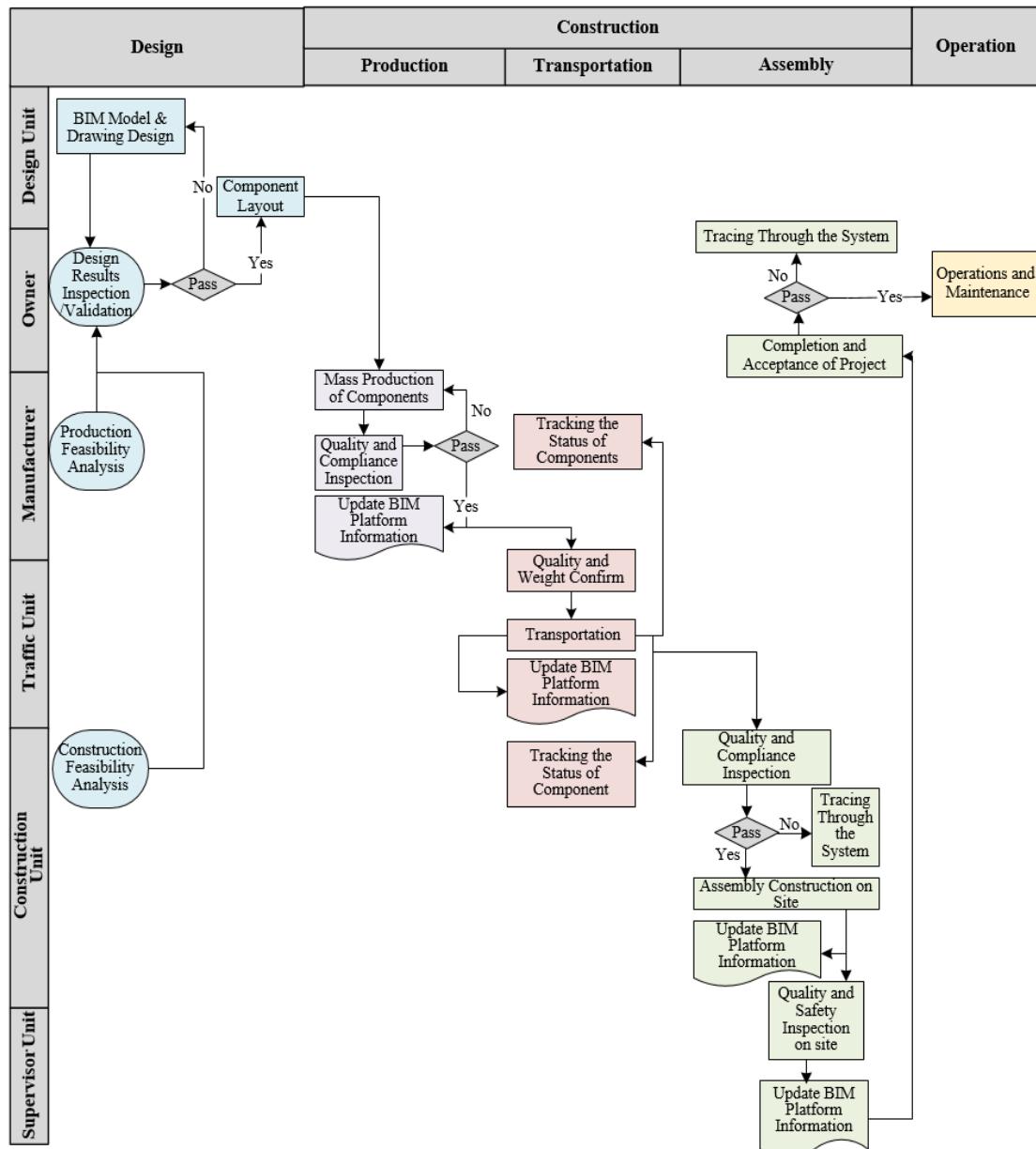


289 **Fig. 5.** Blockchain-based pattern for value co-creation

290 4. Function development of the intelligent platform

291 4.1 The overview of the platform function

292 The platform function development serves as the fundamental level of SPSS value co-
 293 creation. Fig. 6 depicts the overview of the workflow of each stage in this intelligent
 294 platform, where the detailed functions are precisely corresponding to specific needs.
 295 Especially, the critical function of the platform can be observed in three aspects: 1) Effective
 296 information interaction, 2) Real-time monitoring management, and 3) Adequate decision
 297 support. This research strives to tackle the conventional challenges of PHC.



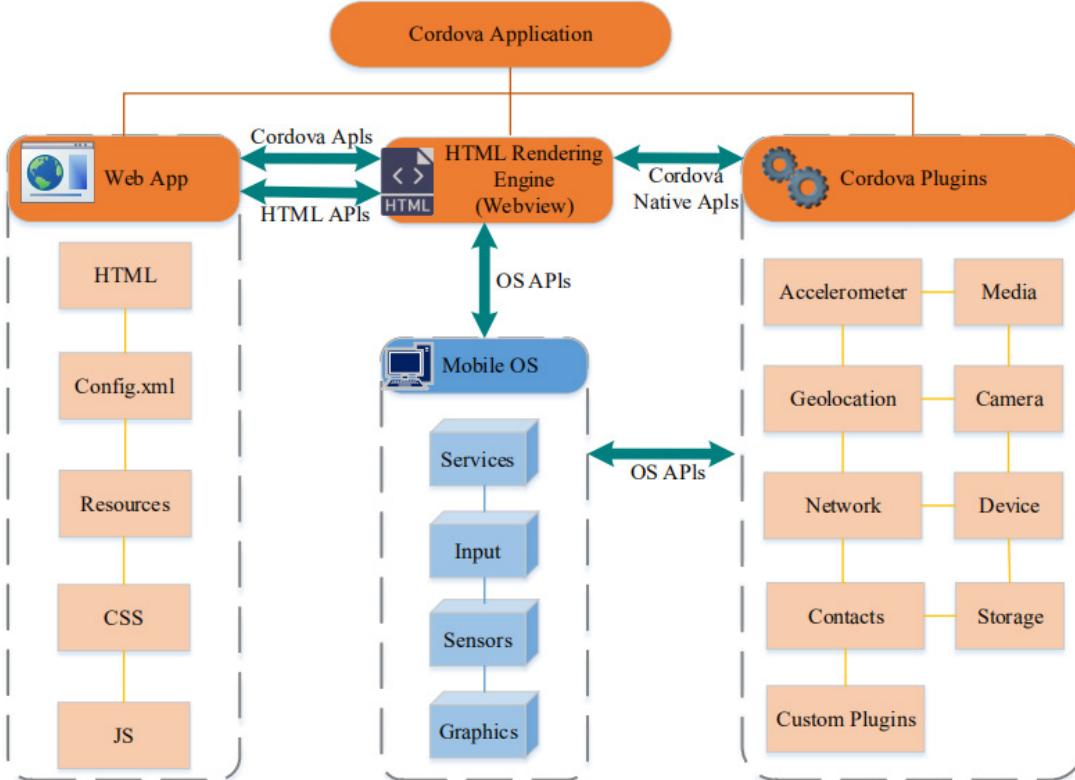
298
299 **Fig. 6.** Flowchart of the intelligent platform based on the typical PHC

300 1)Effective information interaction. There is no doubt that discontinuity disturbs every
 301 chief manager of different PHC phases. Most shareholders in construction are relatively

302 independent, so it is significant to offer a bridge that can capture useful data effectively and
303 then share it in a convenient manner. In this platform, CPS devices collect first-level
304 information, and after sophisticated computing, corresponding data are automatically
305 transformed to specific stakeholders. Its database is built on blockchain technology, which
306 not only contains the principle PHC codes and standards but also gathers valuable data in
307 project management. Consequently, value-added services are easy to provide by integrating
308 massive information from PHC's lifecycle. As for the executives, the PHC's status,
309 schedules, plans implementation, and investments are statistically analyzed, and the
310 production management system provides products-services-bundle solutions to the particular
311 department. Apart from that, this system presents the detailed BIM models through the
312 lifecycle of PHC to assist users in inspecting the position of PCs, mastering the model
313 characteristics, and facilitating the integration and standardized management of PHC.

314 2) Real-time monitoring management. It is believed that timely data collection leads to
315 reasonable management under uncertain circumstances. The instant and reliable acquisition
316 of information will offer sufficient support to the supervisors. In this platform, aiming to
317 realize the management of PC status and data statistics, essential information, including the
318 project schedules, usage of funds, comparative analysis of various PC projects, and decisions
319 related to macroeconomic regulation, is uploaded into the system in real time to assist SCM.
320 Moreover, every component can be traced, where unique QR codes are generated for each
321 one, thus realizing substantial identification. The designed BIM lightweight architectural
322 models are browsed to help users monitor the project progress intuitively. Associated with the
323 monitoring section, mobile apps are developed to ensure the traceability of PCs at any time,
324 enhance management efficiency, reduce management costs, promote synergy, and limit
325 information losses. As can be seen from Fig. 7, this system uses Cordova technology, which
326 can bring out several advantages in the mobile platform. In detail, Cordova is an open-access
327 mobile development framework with little need for platform-specific development. Thus, it is
328 convenient to run on as many different mobile operating systems as possible to realize the
329 rapid development of cross-platform mobile applications, and it is also compatible with iOS
330 and Android applications. On the other hand, the execution of Cordova relies on standard-

331 compliant API bindings to access each device's capabilities; therefore, modifying the as-
 332 built platform at a lower level is less laborious. As a consequence, the PC production data,
 333 assembly sequence, and time requirements are obtained to facilitate warehousing and
 334 distribution.



335

336 **Fig. 7.** Diagram of the Cordova technical scheme

337 3) Adequate decision support. Every scientific, reasonable, and smart decision requires
 338 plentiful support in various aspects (Wang et al., 2020). It is crucial for decision-makers to
 339 acquire adequate assistance under a dynamic environment (Badi and Murtagh, 2019) in the
 340 case of management failure. However, unforeseen disturbances occur frequently in PHC that
 341 hamper the timely detection of changes and quick response to emergencies. In this platform,
 342 the decision cockpit is involved, where vivid visualization of the project can assist decision-
 343 making. Specifically, statistics of PC distribution, completion, implementation, and
 344 investment are accurately revealed, and the diverse components' statuses are represented in
 345 visualization with unique colors. In mobile apps (see Fig. 8), this visualization displays the
 346 installation progress of the PCs in real time and verifies the rationality of the construction

347 process; meanwhile, the warehousing information is transferred to the platform, and other
348 personnel can check the status of the PCs through a mobile app, which facilitates more
349 dynamic inventory management. In addition to the real-time traffic information and transport
350 requirements of components available on the platform, the verification results of installation
351 are also revealed intuitively, and the platform can instruct workers on proper assembly if an
352 error occurs.



353

354 **Fig. 8.** The service-oriented process of data collection by mobile apps

355 **4.2 Specialized innovation of servitization system**

356 As there are many links involved in PHC, each link of its supply chain may be affected by
357 disruptions, resulting in depressed reliability. Moreover, given the continually evolving nature

358 of supply chains, our goal is to more fully address supply chain resilience and develop a
359 strategic understanding of PHC demands and supply networks to formulate feasible
360 strategies. Therefore, from the perspective of value co-creation, an innovative combination of
361 cutting-edge technologies is adopted in this platform, and a responsive mechanism is also
362 configured to coordinate the intrinsic requirements of shareholders and dynamic needs by
363 reacting to instant variability, thereby fulfilling sustainability.

364 1) Intelligent clauses. With the implementation of blockchain, it is plausible to form a
365 smart contract, which is a coded, self-executing agreement between PHC parties on a
366 blockchain. It is intended to facilitate, verify, or enforce contractual obligations by
367 embedding contractual clauses in the computer system and then automating contract
368 execution (Min, 2019). Thus, smart contracts not only make stipulations and criteria based on
369 agreements in the same way that a conventional deal does, but they also enforce those
370 obligations automatically. As consequences, expenditure and transaction time can be reduced
371 since smart contracts can execute themselves. Also, by incorporating IoT into the blockchain,
372 contractual fraud will be easily detected and prevented, thus making the PHC supply chain
373 more resilient. In the platform, with the utilization of smart contracts, it is feasible that the
374 system identifies accountabilities and trigger milestone-based payments could automate
375 agreements; simultaneously, blockchain-enabled applications that aggregate data into a
376 shared project management dashboard could help to manage PHC workflow.

377 2) Flexible production. The platform establishes a database of PCs and conducts
378 classified management to realize accurate control of inventory demand and supply situation
379 in the upstream and downstream of the PHC supply chain so as to adjust the PC production
380 volume flexibly. Our platform mainly includes: (1) establishing a safety reserve of different
381 types of PCs, which can act as a buffer in the event of an interruption, giving the supply chain
382 time to take action to recover. (2) Maintaining additional production capacity, the
383 standardized production of PCs enables them to obtain supplies from multiple sources and
384 increases the level of inventory sharing with other locations. The platform could ensure the
385 continuous production capacity of PCs through the implementation of information sharing
386 with multiple manufacturers through the database, thus readily achieving smarter decision-

387 making.

388 3) Smart transportation. Driver, transportation speed, and route are the significant factors
389 affecting the resilience in the transportation stage. The platform is capable of managing the
390 driver's information and physical status, providing intelligent automatic scheduling to
391 respond to emergencies timely. Besides, vehicle statuses (including speed and position) are
392 monitored during the whole process to avoid the subsequent construction delay caused by
393 improper vehicle operation. As far as the transport route is concerned, if problems occur in
394 the current path, it will automatically switch to another reasonable one to ensure
395 transportation efficiency.

396 4) Wise construction. If an installation or on-site construction problem happens, the
397 platform will conduct a simulation inspection and identify its source timely, effectively
398 formulating solutions to cope with unexpected risks. Additionally, its intelligent scheduling
399 system is capable of stimulating the productivity of labor forces, explore workers' motivation,
400 and effectively reduce the idling of workers. The precise auxiliary installation function of the
401 platform helps workers to accomplish the accurate installation of every signal PC, which also
402 maximizes the cooperative work of various types of workers.

403

5. Practical application of the intelligent platform

405 5.1 Description of the case study from Shenzhen

406 As the first EPC project in Shenzhen, China, the BaoLan Community is a government-funded
 407 indemnification housing project with a total construction area of 253,500 m² and 1,622
 408 houses that are divided into eight buildings. Given that the construction time of the project
 409 matches the research plan and the project party was willing to use the platform to examine the
 410 efficiency, this project (simplified overview see Fig. 9) was selected as a practical case to
 411 demonstrate that the SPSS approach was applicable in the construction industry. To collect
 412 relevant information, the research team has arranged a series of site visits and meetings with
 413 concerned shareholders, including owners, manufacturers, transportation personnel,
 414 engineers, and workers, to test the efficiency of the intelligent platform. The project BIM
 415 models are automatically analyzed and uploaded to the intelligent platform after lightweight
 416 processing, and the project data are automatically gathered and uploaded in real-time.

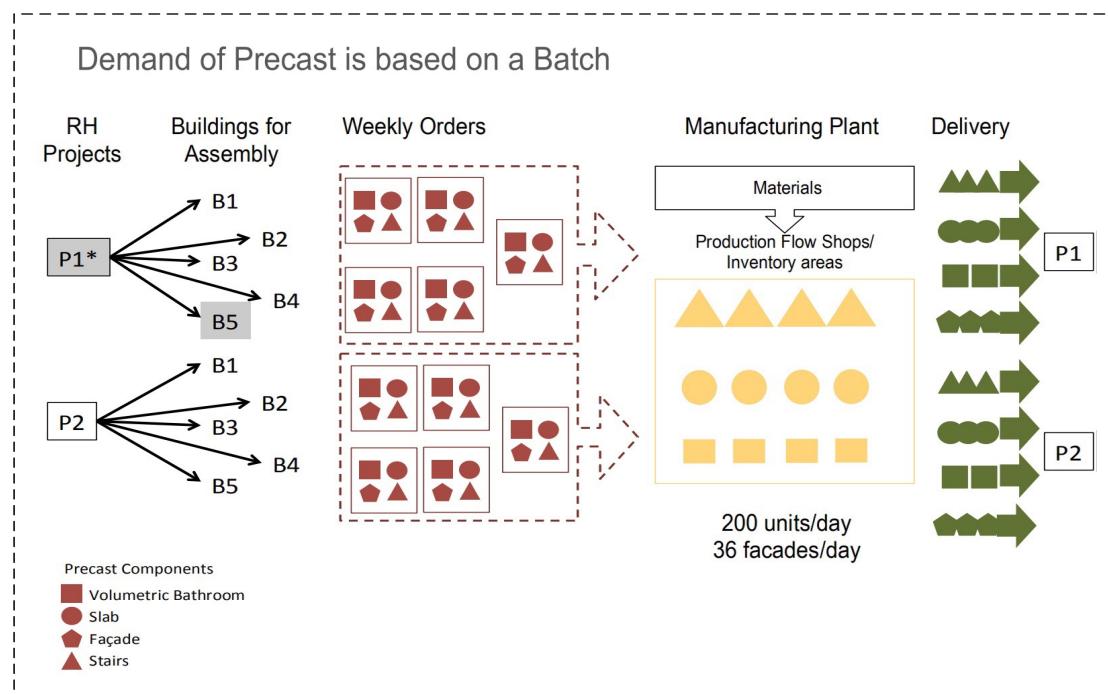


Fig. 9. Practical case of PHC in a simplified illustration

5.2 Functional operation of the platform

5.2.1 Project management service

421 PHC supply chains evolve so rapidly that sustainability requires continuous collaboration
422 with stakeholders and reviewing and refining data. In this case, with the utilization of the
423 platform, smart contracts are formed through the blockchain, and the integrity of information
424 transfers made by the agreement will be improved with a shared database confirmed by many
425 network shareholders. It is guaranteed that the retrieved data are not corrupted or altered after
426 recording as they can only be read and written by querying and retrieval. Any other
427 operations, such as revision or deletion, are strictly prohibited. The project participants
428 (owner, designer, component producer, transporter, and assembler) use their respective
429 enterprise accounts to log into the platform, and each group has a unique set of system
430 operation rights. Besides, the management cockpit generates statistics on the component
431 distribution, plan implementation, and investment status in each stage. As shown in Fig. 10,
432 the data penetration function is also used to generate real-time components and statistical
433 charts to simplify the operational interface and ensure the richness of the data services.

434 Moreover, in extreme events, supply chains will not always be resilient. For example,
435 disasters can destroy nodes, separate demand from sources of supply, destroy road networks,
436 or create long-term disruption. Due to various impediments that are predictable, with the
437 application of the platform, each stakeholder can view real-time information about the
438 components, from their design to their installation, and receive visual assistance for the
439 subsequent control, operation, and maintenance processes. In addition, the system also
440 provides solutions for managers in each stage and allows them to inspect data related to
441 project progress and costs that can help them further understand the manufacturing and
442 construction details. By widening the scope of distribution nodes through the platform,
443 administrators can obtain a broader picture of the PHC supply chain. An expanded
444 understanding of the multitude of information provides more robust resilience efforts.

445



446

447

Fig. 10. Project management and data penetration function

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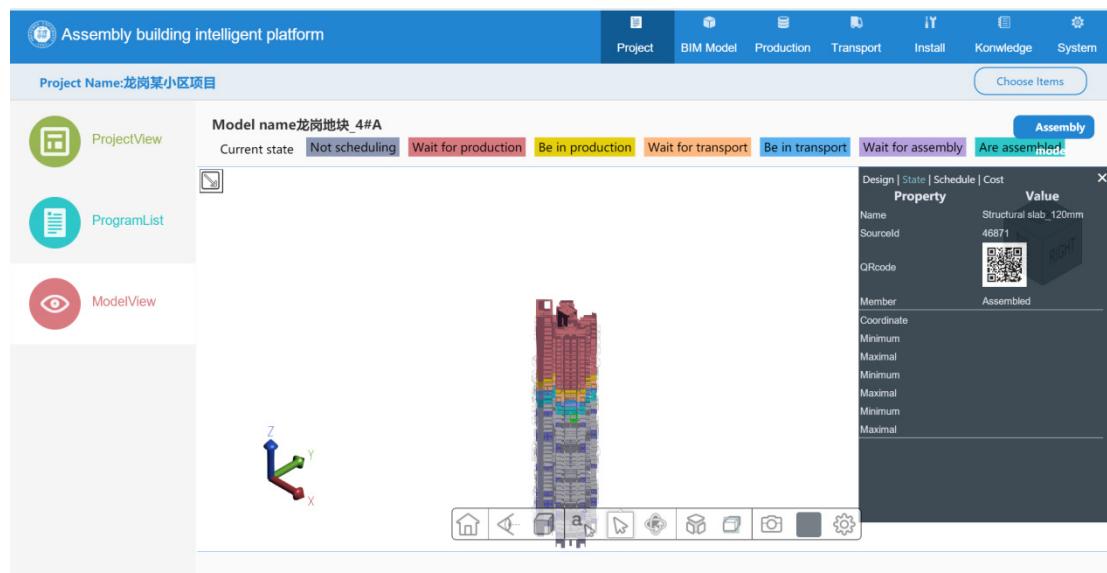
5.2.2 BIM model management service

449

The BIM model has a huge data volume; for instance, a model of a civil structure curtain wall with a floor area of 300 thousand m² consumes 1.7 Gb. In this case, direct transmission resulting in a large amount of data corresponds to poor efficiency and high hardware equipment and network bandwidth requirements. Therefore, a lightweight BIM model is indispensable. Targeted data compression and reduction techniques can reduce the original

454 model size by approximately 85%. Besides, this technique also allows the BIM model to
 455 move from traditional desktop software to web and mobile terminals without being limited by
 456 the browser. Additionally, the combination of BIM and CPS technology transfers the needs of
 457 parties from offline to online applications, which enhances the resilience of the BIM
 458 application significantly.

459 In this project, after receiving necessary project information, the design unit starts to
 460 design and typeset the model before uploading the model files into the system. By lightening
 461 the model, the system automatically reads the contents of the data and sends them to the
 462 stakeholders in the other stages for subsequent works. In the model management service of
 463 the platform, the BIM model can be browsed (see Fig. 11) by a single building, floor,
 464 component type, component multi-level, and multi-perspective using Internet Explorer and a
 465 mobile app. Meanwhile, viewing the model of the project can visualize and monitor the real-
 466 time progress of the construction. Furthermore, different colors represent the various states of
 467 each component. For instance, transparency indicates that the component is assembled,
 468 whereas red color indicates that the component has not been produced. In the real-time
 469 visualization of project progress, when a user clicks a floor in the BIM model, he or she can
 470 obtain detailed information about its components, including design, status, schedule, and cost.

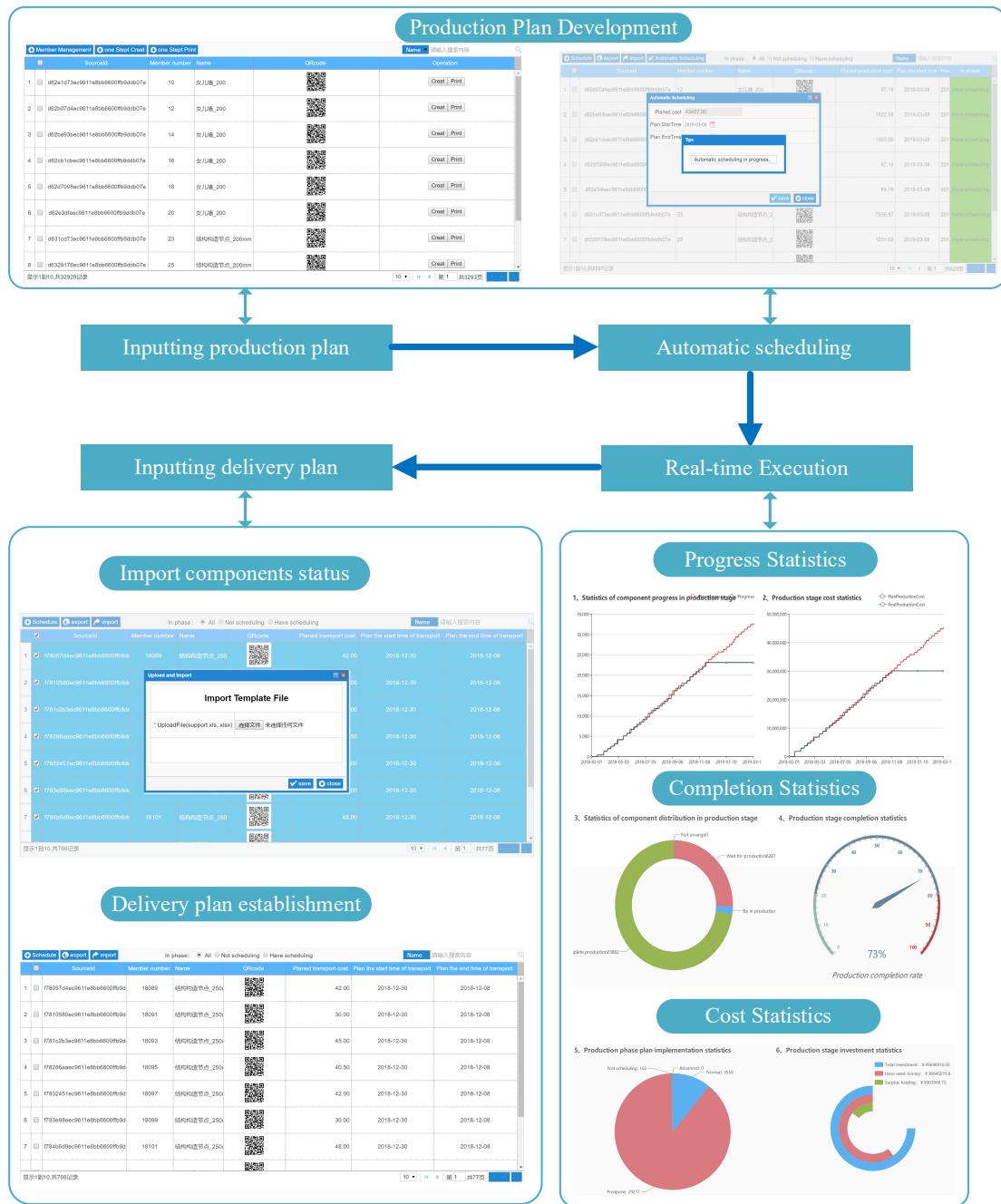


471

472 **Fig. 11.** Function for progress and details visualization

473 **5.2.3 Production management service**

474 Production management service aims to fulfill the smart production by employing CPS
475 and IoT, which involve production component state, production schedule, production
476 execution, and production investment. In the blockchain, each stage of a sub-contract takes
477 the form of an intelligent contract, which can be converted into programs and codes, then
478 copied and stored in the processing system, and monitored by the system network running
479 blockchain. Consequently, the bill of quantities and BIM model of PCs, which act as the
480 fundamental performers to resources organization, can be obtained to ensure a smooth
481 production process.



482

483

Fig. 12. Working logic of production management service

Furthermore, once production nodes are exposed to various disturbances, recovering to a reasonable running level to meet fundamental manufacturing requirements in the immediate repercussions should be prioritized in production-involved supply chains. As depicted in Fig. 12, the planned and actual statuses are reviewed in each phase of the project; by clicking the cost statistics line graph of a node at a certain period, it displays detailed information, including the current sub-item investment proportion and total funds used. Once production is

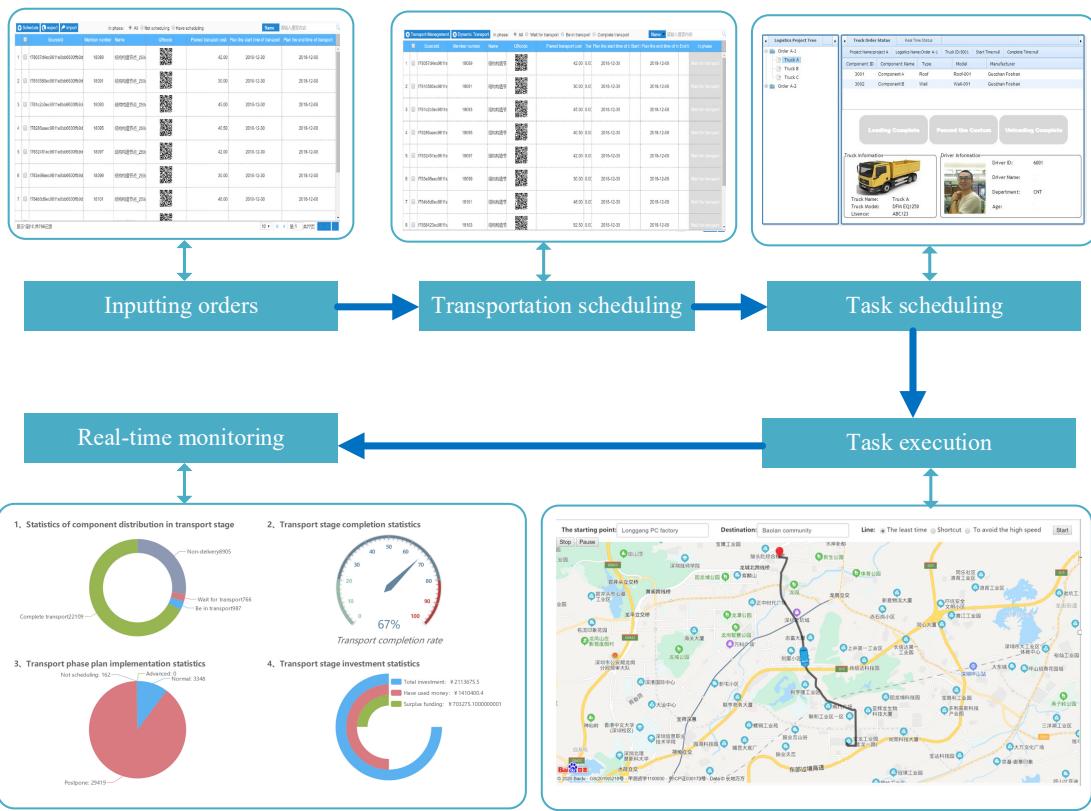
490 completed, the platform generates QR codes correspondingly, where each component
491 associated with these QR codes can be uniquely identified. In the blockchain, the unique QR
492 codes on each PC makes it permanently traceable, and it is also used for construction
493 guidance. The production progress of components can be visually displayed by using BIM
494 technology, the production of components is tracked and managed by QR codes, and the
495 production information, current status (unscheduled, to be produced, in production, and
496 completed) of components are recorded, thereby providing solutions for the production
497 department. If any disturbances occur, taking the supplier's inability to produce on time as an
498 example, alternative vendors can support them quickly due to every supplier being
499 centralized in the platform's standard BIM database. Just-in-time resupply adaptability may
500 mitigate short-term breaks in the supply chain.

501 **5.2.4 Transportation management service**

502 In SPSS, transportation acts as a key player in connecting supply and construction
503 nodes, realizing a transparent, sustainable, and efficient status-data flow among them. To
504 effectively execute logistics planning, the platform should examine known and potential
505 hazards plotted along the supply chain, alternate routes, and any known transportation
506 restrictions. As a vivid illustration of Fig. 13, after receiving the orders, the delivery schedule
507 and driver-apportion can proceed automatically; real-time monitoring is also realized on the
508 whole transportation stage. Moreover, the unloading of machinery, movement of vehicles
509 within and outside the route, and location of the stacking site can be planned to avoid vehicle
510 congestion and other problems. As drivers often rely heavily on information technology and
511 communications to direct their movements and deliveries, the merit of intermodal
512 transportation networks is that traffic requirement can be shared and transferred from one
513 scheme to another if disruption happens (Zhou et al., 2019a).

514 The continually evolving nature of supply chains means the data captured throughout
515 this process can change quickly. In this stage, blockchains can be used to prevent security
516 flaws while enhancing transportation connectivity and delivery services. Besides, the
517 platform can produce real-time statistics on the investments in the transportation stage and
518 visualize the costs. If the funds of transportation components show a wider margin of

519 variation, the platform will urge managers to conduct inspections and make appropriate
 520 adjustments. Additionally, the components may be damaged due to the bumping of vehicles
 521 and other inappropriate operation in the moving processes. Information and images (e.g.,
 522 components status, driver information, routes) are stored, thus the causes of such problems
 523 and the responsible persons can be traced through the system immediately.

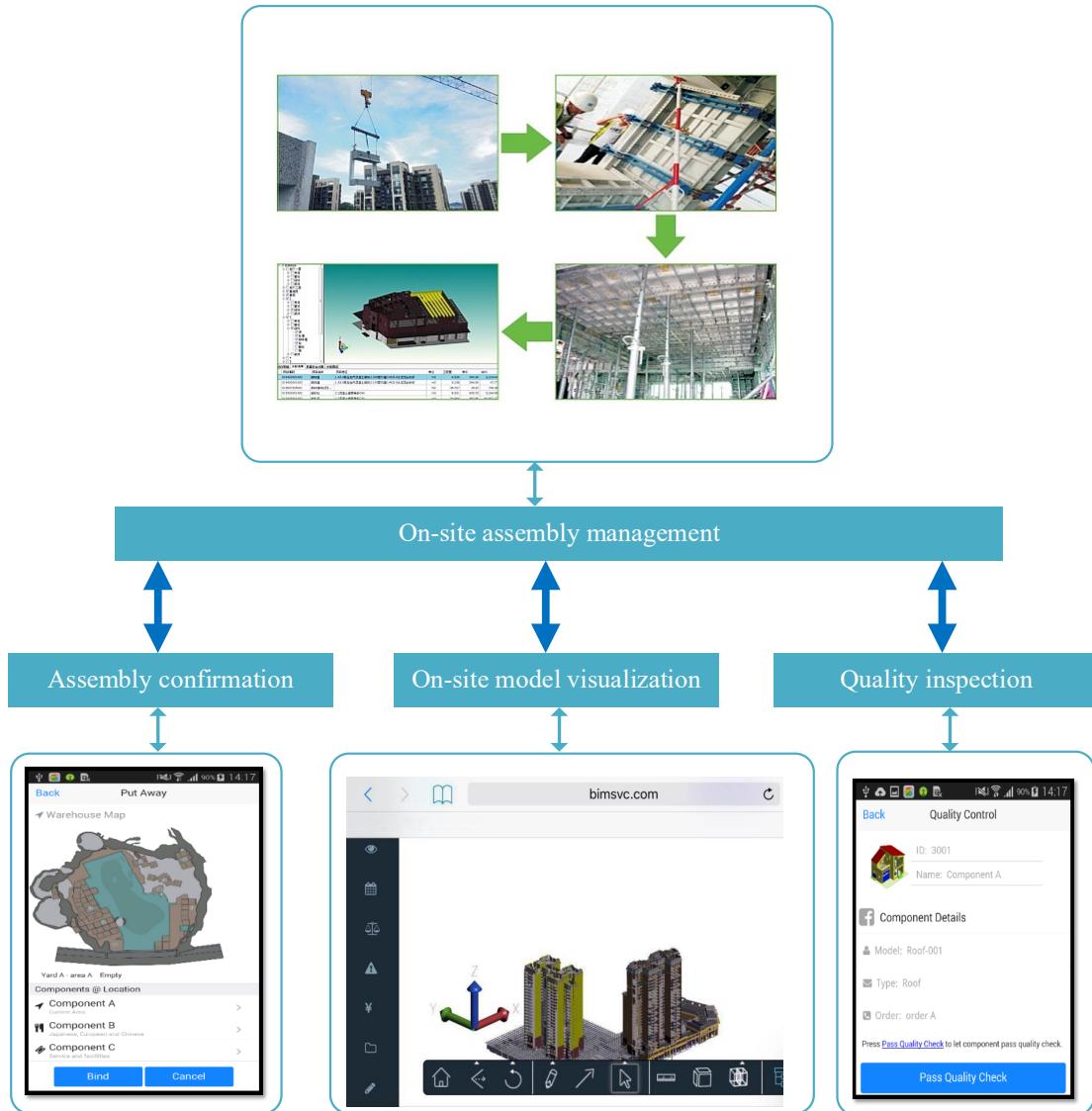


524
 525 **Fig. 13.** Workflow of transportation management service

5.2.5 On-site assembly management service

527 As depicted in Fig. 14, the developed on-site assembly service plays a significant role in
 528 construction operations, inspections, and supervision in PHC sites. For construction
 529 operations, when the PCs are transported to the sites, the on-site manager launches the QR
 530 code scanning function to complete the warehousing registration. The platform is used to
 531 realize the optimal assignment of assembly tasks by allocating them to appropriate workers;
 532 thus, workforce management and effective construction can be optimized immensely. For
 533 inspections, given that the diversity and complexity of PCs may enable workers to install
 534 them in the wrong place or in an inappropriate manner, this platform can offer useful

535 instruction through mobile apps while detailed information is embedded in QR codes.
536 Moreover, inspection after assembly can be conducted in meters by GPS, which ensures
537 individual deviation incapable of exceeding the reasonable tolerance. For supervision, order
538 databases, including production orders from factories and delivery orders from transportation
539 firms, are connected to this system; thus, dynamic coordination can be achieved due to real-
540 time data collection through QR code scanning by workers. In addition, with the utilization of
541 BIM, reality presentation is realized to monitor and visualize real-time construction progress,
542 thereby reducing schedule delay. Such hazardous behaviors can be recognized and alerted
543 prior to happening. Therefore, every involved shareholder can be aware of the ongoing
544 construction status and make corporate modifications or decisions collaboratively. As a
545 consequence, if sudden risks disturb the PHC supply chain, it will be more resilient in
546 coordinating emergency preparedness plans and actions.



547

548

Fig. 14. Operation logic of on-site assembly management service

549

5.2.6 Knowledge management service

550

The PHC needs to go through multiple stages, and the engineering data is gradually clarified and detailed through each of these stages, thereby generating a large amount of data. Moreover, large-scale disruptions can significantly diminish effective information transmission; hence, considering the utilization of blockchain, the data in the base is strictly encrypted and guaranteed to be authentic, which not only promotes the value of data sharing in the supply chain but also improves the ability to resist risks in the SCM. The database must maintain data integrity so as to authentically notify various shareholders on the progress and any existing problems or obstacles; in this case, the engineering database allows temporary,

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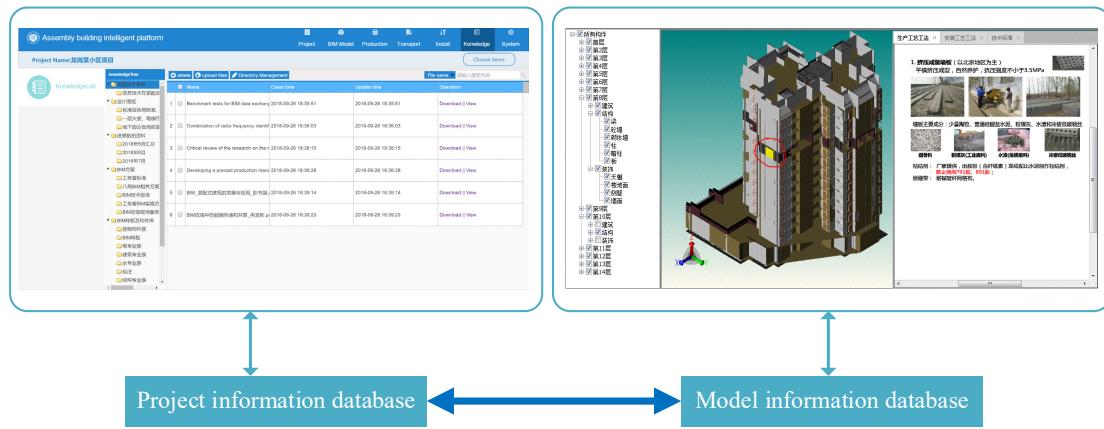
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558 inconsistent data to exist and be managed. As illustrated in Fig. 15, the knowledge base
 559 provides various collection, maintenance, and retrieval functions for relevant materials,
 560 including the production and installation of components, process methodologies, and
 561 technical standards, where concerning staff could consult its relevant expertise to alleviate a
 562 lack of valuable information in an emergency.



563

564 Fig. 15. Data and knowledge service for value sharing

565 5.3 Discussions

566 Reviewing the practical implementations of an SPSS approach in the case study, the
 567 main benefits of the proposed platform can be summarized as follows. 1) Sustainability
 568 enhancement, where the platform can be seamlessly integrated with the PHC supply chain to
 569 enable the sharing and synchronization of information throughout the lifecycle. Specifically,
 570 no matter which phase encounters unexpected interruption, the platform is committed to
 571 alleviating the effects of disruptions and avoiding long-term failures. 2) Lean construction, by
 572 utilizing blockchain in addition to realizing the traceability of PCs, performs classification
 573 authority and security assurance based on the smart contract. 3) Timely interaction and
 574 convenient access. With the real-time feedback and visualization achieved in the platform,
 575 real-time costs in every stage can be visualized to help the relevant staff in making the
 576 appropriate modifications. Furthermore, it is feasible to identify any current or potential
 577 barriers, such as schedule delays, labor shortages, or incorrect installation.

578 However, several problems are encountered when actually using the platform. Firstly,

579 the capacity issue must be considered, as operating multiple projects at the same time may
580 limit the server capacity. Secondly, the QR codes may fail to respond in harsh conditions,
581 even though production factories have tried to protect the tags. Finally, as PHC involves
582 various stakeholders, only by enabling all personnel to use the platform quite proficiently can
583 the platform play its superior role to the maximum.

584 6. Conclusion

585 The recent years witnessed the booming development of ICT, which motivated the
586 construction industry to explore the profound revolution in a sustainable manner. PHC cannot
587 serve as an eligible contributor unless alleviating the absence of process continuity, poor
588 interoperability among heterogeneous stakeholders, insufficient visibility, and traceability of
589 real-time information. With the adoption of the SPSS strategy, a blockchain- and IoT-based,
590 BIM- and CPS-enabled platform has been exploited to intensify the sustainability of PHC.
591 The major contributions can be summarized into three aspects:

592 1) The proposed platform has triggered significant leverage of massive data generated
593 from physical instruments and various users by taking original gathering, precious
594 adaptability, refined processing, and intelligent services into the overall consideration. Hence,
595 literal/graph/BIM data are performing as reliable supporters in sustainable PHC.

596 2) The hybrid of ICT has facilitated the smarter decision-making process, where
597 effective real-time monitors in schedule/cost/labor/status are achieved. The prefabricated
598 components are defined as the smart connectivity products in IoT- and CPS-enabled
599 circumstances, while blockchain is favorable to prevent injecting or relaying pernicious
600 information in communication, which better handles the dynamic changes of construction
601 stages.

602 3) The SPSS approach exerted impacts on settling sustainability issues, where
603 shareholders were actively involved in lifecycle value co-creation by offline manners
604 (construction operations) or online channels (platform or mobile apps), and resources. Also,
605 connected services embraced its maximum values in lean construction.

606 SPSS is an ecosystem-centric view of open innovation that harnesses edge ICT. As the
607 explorative research, though this proposed platform still in infancy, the feasibility of boosting
608 sustainability based on SPSS innovation has been demonstrated. Open insights and further
609 discussions are invited from researchers. For future studies, the authors recommend the
610 following: 1) from the perspective of interaction, it is relevant to make functional modules
611 more resilient to context-awareness in an autonomous manner, and multiple users and SCPs
612 from other systems can interact closely, where specific needs will be more precisely matched,
613 and 2) from the perspective of value co-creation, since construction and demolition waste still
614 act as major barriers in sustainability, establishing a way to extend the lifespan, improve
615 resource efficiency and circular economy is crucial and imperative.

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