

# Antonini.

## Quick recap.

- let  $H$  be Hilbert space, separated (i.e.  $\cong \ell^2(\mathbb{N})$ ),  
our inner product is  $\underbrace{(\cdot | \cdot)}_{\substack{\mathbb{C}\text{-linear} \\ \text{antilinear}}}$

Thm (Riesz-Frechet)  $\varphi$  bounded lin. functional,  
 $\exists! \zeta \in H$  s.t.  $\varphi(\eta) = \langle \eta | \zeta \rangle$ ,  $\|\zeta\| = \|\varphi\|$

- so we get  $H \rightarrow H^*$ 
  - 1) norm-preserving
  - ii) antilinear

- our goal will be to construct the adjoint

Def. sesquilinear forms  $(\cdot | \cdot) : H \times H \rightarrow \mathbb{C}$   
 $\uparrow \quad \uparrow$   
lin. antilin.

- bounded if  $\exists k$  s.t.  $|\langle x, y \rangle| \leq k \|x\| \cdot \|y\| \quad \forall x, y \in H$
- its norm is the smallest such  $k$

- there is a 1-1 correspondence:

bounded sesquil. forms  $\longleftrightarrow$  bounded lin. operators  
 $(\cdot | \cdot) : X \times Y \rightarrow \mathbb{C} \quad T : X \rightarrow Y, T \in \mathcal{L}(X, Y)$

given by  $(\zeta, \eta) = \langle T\zeta, \eta \rangle$   $\swarrow$  inner product on  $Y$   
such that  $\|T\| = \|(\cdot | \cdot)\|$

- fix  $T \in \mathcal{L}(X, Y)$ . We construct  $T \mapsto T^* \in \mathcal{L}(Y, X)$  such that  $\langle T^*y, x \rangle_X = \langle y, T x \rangle_Y$

- given just  $H$ ,  $\mathcal{L}(H, H) = \mathcal{B}(H)$ ,  
we have a map  $\mathcal{B}(H) \rightarrow \mathcal{B}(H)$   
 $T \mapsto T^*$

such that

- i)  $\|T\| = \|T^*\|$  isometric
- ii)  $(\alpha T)^* = \overline{\alpha} T^*$
- iii)  $T^{**} = T$
- iv)  $\|T^*T\| = \|T\|^2$   $C^*$ -identity

-  $\ker T^* = (\text{Range } T)^\perp$

-  $C^*$ -id follows from  $\|T\| = \sup_{\|z\|=1, \|y\|=1} \langle Tz, y \rangle$

- now let  $P, T \in \mathcal{B}(H)$  s.t. :

- i)  $T$  selfadjoint,  $T = T^*$
- ii)  $P$  projection,  $P = P^* = P^2$
- iii) isometry  $T^*T = I$
- iv) unitary  $T^*T = TT^* = I$
- v) normal

## Principles of func. analysis

① uniform boundedness.

-  $X, Y$  Banach spaces

-  $\mathcal{T} \subset \mathcal{L}(X, Y)$ . If  $\{\|Tz\| \mid T \in \mathcal{T}\}$  is bounded  $\forall z$ ,  
then  $\mathcal{T}$  is bounded

② open mapping theorem

-  $X, Y$  Banach,  $T \in \mathcal{L}(X, Y)$

- if  $T$  maps  $X$  onto  $Y$ , then it is open

③ closed graph.

-  $X, Y$  Banach,  $T: X \rightarrow Y$  linear map

- then, if  $\text{graph}(T) := \{(\xi, T\xi) \in X \times Y \mid \xi \in X\}$

is closed  $\Rightarrow T$  is bounded

-  $H, T \in \mathcal{B}(H)$

-  $P \in \mathbb{C}[x] \mapsto P(T) \in \mathcal{B}(H)$

- recall spectrum  $\sigma(T) := \{\lambda \in \mathbb{C} \mid \lambda - T \text{ not invertible}\}$

- then  $\sigma(P(T)) = \{P(\lambda) \mid \lambda \in \sigma(T)\}$

- just use fund. thm. of algebra to write

$$P(T) - \lambda I = d(T - \mu_1 I) \cdots (T - \mu_r I)$$

- for  $T \in \mathcal{B}(H)$ , define **numeric range**

$$W(T) := \{ \langle T\xi, \xi \rangle \mid \|\xi\| = 1 \}$$

- then  $\sigma(T) \subset \overline{W(T)}$

- if  $T$  selfadj.,  $\sigma(T) \subset \mathbb{R}$ , so define

$$m = \inf W(T)$$

$$M = \sup W(T)$$

- then,  $\sigma(T) \subset [m, M]$ ,  $m, M \in \sigma(T)$

- also note  $\|T\| = \sup \{ |\lambda| \mid \lambda \in W(T) \}$

- recall (real) Stone-Weierstrass:

polynomials w/ real coefficients are dense

in the (Banach) space  $C([m, M], \mathbb{R})$  in

the sup norm

poly w coeff  $\in \mathbb{R}$

uniformly by S-W

- take  $g_n \xrightarrow{\text{uniformly by S-W}} g \in \mathcal{C}([m, n], \mathbb{R})$ ,  
then  $g_n(T) \rightarrow g(T)$  uniformly in  $\mathcal{B}(H)$

- we constructed an algebra morphism

$$\mathcal{C}(z(t)) \xrightarrow{\mathcal{F}} \mathcal{B}(H)$$

i) can be extended to  $\mathcal{C}(z(t), \mathbb{C})$  (and from now on we do this)

ii)  $\mathcal{F}(\mathcal{C}(z(t))) \subset \mathcal{B}(H)$

$\bigcap \{T\}^{11}$  is double commutant

iii)  $\forall f \in \mathcal{C}(z(t)), z(f(t)) = f(z(t))$

*spectral mapping theorem*

Positive operators

- write  $T \geq 0$  if  $\langle Tx, x \rangle \geq 0 \quad \forall x \in H$  ( $T \in \mathcal{B}(H)$ )

-  $T \geq 0 \Rightarrow T = T^*$  (use polarization identity)

$$\langle x, y \rangle = \frac{1}{4} \sum_{\substack{z \in \mathbb{C} \\ z^4 = 1}} z \|x + zy\|^2$$

- for such  $T$   $\exists$  continuous map

$$\mathbb{R}_+ \rightarrow \mathcal{B}(H)$$

$$\alpha \mapsto T^\alpha$$

such that  $T^\alpha \cdot T^\beta = T^{\alpha+\beta}$ ,  $T^1 = T$ ,  $T^\alpha$  positive

- in particular  $T^{1/2}$  is the unique positive

square root of  $T$

- a bit abstract, but e.g. if  $\|T\| < 1$ ,  $z(T) \subset [0, 1]$

and let  $\{P_n\}$  polynomials s.t.  $P_0 > 0$ ,  $P_{n+1}(t) = P_n(t) + \frac{1}{2}(t - P_n(t))^2$

$\rightarrow$  then  $P_n \rightarrow t^{1/2}$

- an operator  $U$  is a **partial isometry**  
if  $\exists N \subset H$  closed s.t.  $\begin{cases} U|_N \text{ is norm-preserving} \\ U|_{N^\perp} = 0 \end{cases}$

- it follows that  $N = \overline{\text{range } U^*}$ ,  $\text{Ker } U = N^\perp$

- now  $P := U^* U \Rightarrow P: H \rightarrow H$  is orth. proj  
to  $N$

- conversely if  $U^* U := P$  is a projection  
then  $U$  is partial isom. with  $N = U(H)$

- how to show?

- for  $x \in N$ ,  $\langle Px | x \rangle = \langle Ux, Ux \rangle = \|Ux\|^2 = \|x\|^2$   
and clearly  $Px = 0$  for  $x \notin N$

Thm (Polar decomposition, von Neumann)

1)  $T \in B(H)$ .  $\exists!$  positive  $|T| \in B(H)$   
s.t.  $\|Tx\| = \||T| \cdot x\|$ ,  
furthermore  $|T| = (T^* T)^{1/2}$

2)  $\exists!$  partial isometry  $U$  s.t.

- $\text{Ker } U = \text{Ker } T$

- $T = U |T|$

- $U^* U |T| = |T|$

$$U^* T = |T|$$

$$U U^* T = T$$

- take field  $K = \overline{K}$  (in particular  $K = \mathbb{C}$ ),  
let  $A$  algebra over  $K$ , unital ( $1 \in A$ )
- for  $\lambda \in K$ , write  $\lambda \cdot 1 =: \lambda \in A$
- label  $A^{-1} = \{ \text{inv. elements} \in A \}$

- for  $x \in A$ , define spectrum  $Sp_A x := \{ \lambda \in K \mid (x - \lambda) \notin A^{-1} \}$

- if  $A$  not unital,  $\exists$  an algebra  $\tilde{A}$  called the unitization, such that, only as vect. sp.  
 $\tilde{A} = A \times K$ , and  $(a, \lambda) \cdot (b, \mu) := (ab + \lambda b + \mu a, \lambda \mu)$   
 $\rightarrow$  its unit is  $(0, 1) = 1_{\tilde{A}}$

- furthermore  $\exists$  embedding of algebras

$$A \xrightarrow{i} \tilde{A}$$

$$a \mapsto (a, 0)$$

- identify  $A \simeq i(A)$

$$\tilde{A} \ni (a, \lambda) = i(a) + \lambda(0, 1) =: a + \lambda$$

- we define  $Sp'_A a := Sp_{\tilde{A}} a$

- note that  $0 \in Sp'_A a$  for any  $a \in A$   
because  $(a, 0)(a', \lambda) = (aa' + \lambda a, 0) \neq (0, 1)$

- if  $A$  already unital w.  $e \in A$  unit,  
then  $\exists$  canonical iso  $\tilde{A} \xrightarrow{\pi} \underbrace{A \times K}_{\text{as algebras}}$

- in this case  $Sp'_A a = Sp_A a \cup \{0\}$

$$\pi(a, \lambda) = (a + \lambda e, \lambda)$$