

Stopper

Rmk. (about last time) we used the property

$$\forall X, Y \quad [Y, X] - J[X, JY] - J[JX, Y] + [JX, JY] = 0$$

- in general:

Def. An almost cpx structure on M^{2n} is a smooth section of $\text{End}(TM) \cong T^*M \otimes TM$ which squares to $-Id$ everywhere.

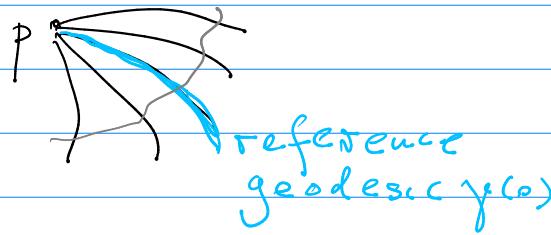
Rmk. (Clearly, a cpx str. is an almost cpx str.)

Th. (Newlander-Nirenberg)

An almost cpx str comes from a cpx str
iff $N_J(X, Y) = 0, \forall X, Y$.

First glimpse of curvature.

Start w geodesic pencil $f(t, s) := \exp_p(t v(s))$.



Def. Associate to the pen. a v.f.
along $\exp_p(t v(s)) =: \gamma(t)$,
 $J(t) = \frac{\partial f}{\partial s}(t, 0) = df\left(\frac{\partial}{\partial s}\right)_{(t, 0)}$,

Rmk. $J(t) = (d\exp_p)_{t, v}(t \dot{v}(0))$.

Lemma. $J(t)$ satisfies $\frac{D^2}{dt^2} J(t) + \mathcal{L}(\dot{\gamma}(t)) = 0$,
where the operator \mathcal{L} acts on v.f.s along
 $f(t, s)$ by $\mathcal{L}(V) := \left[\frac{D}{ds}, \frac{D}{dt} \right](V)$.

Pf. By definition, $\frac{D}{dt} \frac{\partial f}{\partial t} = 0$.

$$\Rightarrow 0 = \frac{D}{ds} \frac{D}{dt} \frac{\partial f}{\partial t} = \underbrace{\frac{D}{dt} \frac{D}{ds}}_{= \frac{D}{dt} \frac{\partial f}{\partial s}, \text{ tors}}$$

$$+ \mathcal{L}\left(\frac{\partial f}{\partial t}\right).$$

Claim. \mathcal{L} is induced by a global object on M .

Def. The Riemann curvature tensor on (M, g) .

is given as

$$R(X, Y)Z := \nabla_Y \nabla_X Z - \nabla_X \nabla_Y Z + \nabla_{[X, Y]} Z$$

Rank i) This is doCarmo's convention.

ii) C^∞ -linear in all args.

$$\Rightarrow \text{tensor } (R(X, Y)Z)_p = R(X_p, Y_p)Z_p.$$

$$\rightarrow \text{End}(TM)\text{-valued 2-form}, R \in \Gamma(M, \Lambda^2 T^* M \otimes \text{End}(TM))$$

Lemma. Let $f(s, t)$ be any param. sf in M .

Let V be a v.f. along $f(s, t)$.

Then

$$\left(\frac{\partial}{\partial t} \frac{\partial}{\partial s} - \frac{\partial}{\partial s} \frac{\partial}{\partial t} \right) V = R\left(\frac{\partial f}{\partial s}, \frac{\partial f}{\partial t}\right) V.$$

Pf. Fix notation $(-)^\dagger := \frac{\partial}{\partial s}(-), (-)^\circ := \frac{\partial}{\partial t}(-)$.

In local coordinates write $V = V^i \frac{\partial}{\partial x^i}$,

$$f(t, s) = (x_1(t, s), \dots, x_n(t, s)).$$

$$\frac{\partial}{\partial t} \frac{\partial}{\partial s} V = V^i \frac{\partial}{\partial t} \frac{\partial}{\partial s} \frac{\partial}{\partial x_i} + V^i \frac{\partial}{\partial t} \frac{\partial}{\partial x_i} + (V^i)^\dagger \frac{\partial}{\partial s} \frac{\partial}{\partial x_i} + (V^i)^\circ \frac{\partial}{\partial x_i}.$$

Clearly, $\left[\frac{\partial}{\partial t}, \frac{\partial}{\partial s} \right](V) = V^i \left[\frac{\partial}{\partial t}, \frac{\partial}{\partial s} \right] \frac{\partial}{\partial x_i}$.

$$\begin{aligned} \text{Now } \frac{\partial}{\partial s} \frac{\partial}{\partial t} \frac{\partial}{\partial x_i} &= \nabla_{df} \left(\frac{\partial}{\partial s} \right) \nabla_{df} \left(\frac{\partial}{\partial t} \right) \frac{\partial}{\partial x_i} \\ &= \overset{\circ}{x}_j^\dagger \nabla_{\frac{\partial}{\partial t}} \frac{\partial}{\partial x_i} + \overset{\circ}{x}_k \overset{\circ}{x}_j^\dagger \nabla_{\frac{\partial}{\partial k}} \nabla_{\frac{\partial}{\partial t}} \frac{\partial}{\partial x_i}. \end{aligned}$$

$$\text{And so } \left[\frac{\partial}{\partial t}, \frac{\partial}{\partial s} \right] \frac{\partial}{\partial x_i} = \overset{\circ}{x}_k \overset{\circ}{x}_j^\dagger \left(-\nabla_{\frac{\partial}{\partial k}} \nabla_{\frac{\partial}{\partial t}} + \nabla_{\frac{\partial}{\partial t}} \nabla_{\frac{\partial}{\partial k}} \right) \frac{\partial}{\partial x_i}$$

$$\text{And since } \begin{matrix} \nabla_{\frac{\partial}{\partial k}} \frac{\partial}{\partial x_i} \\ \nabla_{\frac{\partial}{\partial k}} \frac{\partial}{\partial x_k} \end{matrix} \rightarrow \left[\frac{\partial}{\partial t}, \frac{\partial}{\partial s} \right] V = R\left(\frac{\partial f}{\partial t}, \frac{\partial f}{\partial s}\right) V.$$

Corollary. $\frac{D^2}{dt^2} J(t) + R(\dot{J}(t), J(t)) = 0$.

Rank. We call $J(t)$ the Jacobi field of the pencil.

Motivation. $p, q \in M$. Let $\Sigma(p, q) = \{\text{paths from } p \text{ to } q\}$
Take $y(t) \in \Sigma(p, q)$.
Define $T_y \Sigma(p, q) = \{\text{v.f.s along } y(t)\}$
 $\{\text{vanishing at } p, q\}$

We have the energy functional $E: \Sigma(p, q) \rightarrow \mathbb{R}$:

$$E(y(t)) := \int_0^1 (\dot{y}(s))^2 ds$$

Geodesics are its critical points.

→ in the directions given by
the Jacobi field \Rightarrow degeneracy.

Properties of R

Prop. (1st Bianchi identity)

$$R(X, Y)Z + R(Y, Z)X + R(Z, X)Y = 0.$$

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Def (" \mathbb{E} -index tensor")

$$(X, Y, Z, W) := g(R(X, Y)Z, W)$$

Rank. It is induced by a global section of $(TM)^{\otimes 4}$

Prop. $\forall X, Y, Z, W$ we have

a) $\sum_{\text{cyc}} (XYZW) = 0$

b) $(YXZW) = - (XYZW)$

c) $(XYZW) = - (XYWZ)$

d) $(ZWXY) = (XYZW)$

Pf. a) Bianchi; b) $R(X, Y) = -R(Y, X)$

c) since $\text{char} \neq 2$, we check $(XYZZ) = 0$.

$$(XYZZ) = \langle \nabla_Y \nabla_X - \nabla_X \nabla_Y + \nabla_{[X, Y]} Z, Z \rangle$$

$$= Y \langle \nabla_X Z, Z \rangle - X \langle \nabla_Y Z, Z \rangle + \frac{1}{2} [X, Y] \langle Z, Z \rangle = 0.$$

d) $\sum_{\substack{X, Y, Z \\ \text{cyc}}} (XYZT) + \sum_{\substack{Y, Z, T \\ \text{cyc}}} (YZT X)$

$$+ \sum_{\substack{Z, T, X \\ \text{cyc}}} (ZTXY) + \sum_{\substack{T, X, Y \\ \text{cyc}}} (TXYZ) = 0 + 0 + 0 + 0$$

Sectional curvature

Def. Pick $p \in M, g$. Pick $Z \subset T_p M$ a 2-dim subspace.
Write $\mathcal{E} = \text{Span}(X, Y)$.

$$\kappa(Z) := \frac{(XYXY)}{(\text{Area}(X, Y))^2}$$

where $\text{Area}_{\mathcal{E}}(X, Y)$

$$\sqrt{\|X\|^2 \|Y\|^2 - \langle X, Y \rangle^2}$$

Lemma. This is well-posed.

Pf. Check basis (in)dependence.

Lemma. The statement " $\mathcal{K}(z)$ determines R, \mathcal{L}_G , at every pt of M " makes sense:

If R, R' two 3-linear forms on $(V, \leftarrow, \rightarrow)$,

and if $\mathcal{K}(z) = \mathcal{K}'(z)$

$$\frac{(x\gamma x\gamma)}{A_s(x\gamma)^2} \quad \frac{(x\gamma x\gamma)'}{A_s(x\gamma)^2}$$

where $(xyzw) := \langle R(x\gamma)z, w \rangle$

$(xyzw)' := \langle R'(x\gamma)z, w \rangle$

$\forall z$, then $R = R'$.

Pf. $(x\gamma x\gamma)' - (x\gamma x\gamma) = 0$

$$\Rightarrow \langle (R'(x\gamma) - R(x\gamma))x, \gamma \rangle = 0$$

Since $\mathcal{K}(z)$ is basis-indep,

$$\text{pick } x \perp y. \Rightarrow (R'(x, y) - R(x, y))x \perp y$$

$$\Rightarrow (R'(x, y) - R(x, y))x = \lambda_y x, \lambda_y \in \mathbb{R}.$$

$$\Rightarrow \lambda_y = \frac{1}{\|x\|^2} \langle (R'(x, y) - R(x, y))x, x \rangle$$

$$= \frac{1}{\|x\|^2} \left((x\gamma x\gamma)' - (x\gamma x\gamma) \right) = 0, \text{ by antisym.}$$

Prop. (2nd Bianchi id) $\sum_{z \in V} \nabla_z (xyzw) = 0$.

Lemma. Let $y(t)$ geodesic, $X(t)$ v.f. along $y(t)$, $X(0) = 0$.

Then

$$\frac{D}{dt} R(\dot{y}, X) \dot{y} = \nabla_{\dot{y}} R(\dot{y}, \dot{x}) \dot{y} \Big|_{t=0} = R(\dot{y}, \frac{Dx}{dt}) \dot{y} \Big|_{t=0}.$$

Pf. By 2nd Bianchi id, $\forall z$

$$0 = \nabla_{\dot{y}} (\dot{y} X \dot{y} z) = \frac{d}{dt} \langle R(\dot{y} X) \dot{y}, z \rangle - \langle R(\dot{y}, \frac{Dx}{dt}) \dot{y}, z \rangle - \langle R(\dot{y}, X) \dot{y}, \frac{Dz}{dt} \rangle$$

Evaluate at $t=0$.

- recall the Jacobi equation $\frac{D^2}{dt^2} J + R(\dot{\gamma}, J) \ddot{\gamma} = 0$.

Lemma. Jacobi fields along a fixed $\gamma(t)$ form a fin.dim. v.s, with $\dim = 2n = \dim M$.

Pf. Pick set of v.f.s $\{e_i(t)\}_{i=1,\dots,n}$ along $\gamma(t)$. s.t. they form an orb for $T_{\gamma(t)} M$ at every t . and $D_{\dot{\gamma}(t)} e_i(t) = 0$.

Well-def. since e_i given by initial condns.

Now write $J(t) = J^k(t) e_k(t) \Rightarrow n$ 2nd order linear ODEs for $J^k(t)$ by Jacobi eqn.

Rank There exist 2 obvious solns:

$$J(t) = \dot{\gamma}(t), J(t) = t \ddot{\gamma}(t).$$

- denote by \mathcal{J} the space of Jacobi fields along $\gamma(t)$ with $J(0) = 0$.

Lemma. If $J(t) \in \mathcal{J}$, $J(t) = (\exp_{\gamma(0)})_{J(\gamma(0))} \left(t \frac{D}{dt} J(0) \right)$

Pf. By the previous lemma, we need to check that RHS is a Jacobi field w initial condns $(0, \frac{D}{dt} J(0))$.

First, note that, analogously to $\frac{\partial}{\partial s} (\exp(t + u(s)))|_{(t, 0)}$, it is a Jacobi field.

Secondly, check vanishing at $t \rightarrow \infty$.

Stop

- let's recall some definitions

$$\rightarrow \mathcal{J} := \{ \text{Jacobi fields along } \gamma(t), J(0) = 0 \}$$

$$\mathcal{J}^\perp := \{ J(t) \in \mathcal{J} \mid \langle \dot{\gamma}(t), J(t) \rangle = 0 \text{ for all } t \}$$

$$-\text{recall also: } J \in \mathcal{J} \Rightarrow (\exp_{\gamma(0)})_{t \cdot \dot{\gamma}(0)} \left(t \frac{D\gamma}{dt}(0) \right) \text{ (*)}$$

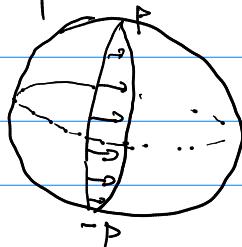
Rank Pick $y(s) \in T_{\gamma(s)} M$ s.t. $\frac{dy}{ds}(0) = \frac{DJ}{dt}(0)$

Construct a pencil $f(t, s) := \exp_p(t y(s))$.

By (*), $J(t)$ is the Jacobi field attached to f .

Def. Let $\gamma(t)$ be a geodesic. We call a point $\gamma(\bar{t})$ a point **conjugate** to $\gamma(0)$ if $\exists J \in \mathcal{J}$ s.t. $J(\gamma(\bar{t})) = 0$.

- e.g. antipodes in S^n are conjugate.



Def. The multiplicity of a pt $\gamma(\bar{t})$ cong. to $\gamma(0)$ is the max # of lin. indep elements of \mathcal{J} vanishing at $\gamma(\bar{t})$.
- e.g. $\text{mult}_{S^n}(-p) = n-1$.

Lemma. $\dot{\gamma}(0) \in T_{\gamma(0)} M$ is a critical pt of \exp_p iff $\gamma(\bar{t})$ is a cong. pt. to $\gamma(0)$ along $\gamma(t)$

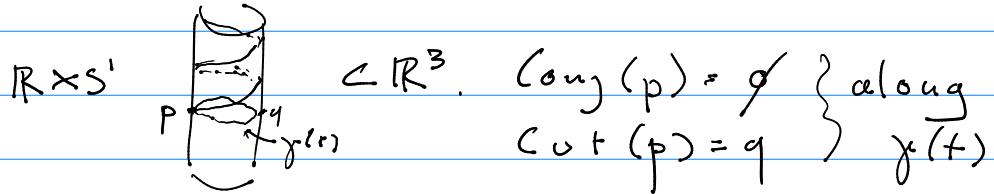
Moreover, $\dim \ker \exp_{\gamma(0)}|_{T_{\dot{\gamma}(0)}} = \text{mult } \gamma(\bar{t})$

Pf. $0 = J(\bar{t}) \stackrel{(*)}{\iff} (\exp_p)_{\dot{\gamma}(0)} \left(\bar{t} \frac{D\gamma}{dt}(0) \right) = 0$
 $\iff \frac{D\gamma}{dt}(0) \in \ker \exp_{\gamma(0)}|_{T_{\dot{\gamma}(0)}}$

Def. $p \in M$. $\text{Conj}(p) := \left\{ \text{1st conj pts to } p \text{ along all geodesics from } p \right\}$

$\text{Cut}(p) := \left\{ \text{pts after which geodesics from } p \text{ stop minimizing length} \right\}$

- e.g. 1) $p \in S^1 \Rightarrow \text{Conj}(p) = -p = \text{Cut}(p)$



Rank. $\gamma(t) \in \text{Conj } \gamma(0) \Rightarrow \text{mult}_{\gamma} \gamma(t) \leq n-1$,
because $t \gamma'(t) \in \dot{\gamma}$ and $\neq 0$ at other pts.

Lemma. $\dim \dot{\gamma}^\perp = n-1$

Pf. Claim: $\dot{\gamma}^\perp$ is given by $J(t)$ s.t. $\left\langle \frac{D\dot{\gamma}}{dt}(0), \dot{\gamma}(0) \right\rangle = 0$.
This is a condition on the initial conditions that define $\dot{\gamma}^\perp$.

Compute the following:

$$\begin{aligned} \frac{d^2}{dt^2} \left\langle J(t), \dot{\gamma}(t) \right\rangle &= \frac{d}{dt} \left(\left\langle \frac{D\dot{\gamma}}{dt}(t), \dot{\gamma}(t) \right\rangle + \left\langle J(t), \frac{D\dot{\gamma}}{dt}(t) \right\rangle \right) \\ &= \left\langle \frac{D^2\dot{\gamma}}{dt^2}(t), \dot{\gamma}(t) \right\rangle \\ &= \left\langle R(\dot{\gamma}(t) J(t)) \dot{\gamma}(t), \dot{\gamma}(t) \right\rangle \\ &= 0 \end{aligned}$$

$$\Rightarrow \left\langle J(t), \dot{\gamma}(t) \right\rangle = \left\langle \frac{D\dot{\gamma}}{dt}(t), \dot{\gamma}(t) \right\rangle t + \left\langle J(0), \dot{\gamma}(0) \right\rangle.$$

Jacobi fields & sectional curvature.

Prop. Let $J(t) \in \mathcal{J}$, write $j(0) = v$, $\frac{D J}{dt}(0) = w$ and normalise $\|w\| = 1$.

Then

$$\|J(t)\|^2 = t^2 - \frac{1}{3} \underbrace{\langle R(v, w)v, w \rangle}_\text{!!} t^4 + O(t^5)$$

$\|w\|^2 \cdot K(v, w) \rightarrow$ sectional curvature.

Rank. Morally, $K \leq 0$ means geodesics "spread-out", and vice-versa.

Pf. Examine coefficients of the Taylor expansion of $\langle J(t), J(t) \rangle$ at $t=0$, use $J(0)=0$, Jacobi eqn., 2nd Branch. id...

Theorem of Hopf-Rinow.

Def. Let (M, g) Riem. For $p, q \in M$ define

$$d(p, q) := \inf_{\substack{\{c: [0, 1] \rightarrow M \text{ piecewise C}^1 \\ c(0) = p \\ c(1) = q}\}} \{ \text{len}(c(t)) \}$$

Lemma. $d(_, _)$ is a distance func, i.e. (M, d) metric space.

Lemma. (M, d) with topology induced by d is the same top. sp. as M .

Cor. $d: M \times M \rightarrow \mathbb{R}$ is continuous.

Stopper

Hopf-Rinow Thm.

Thm. Fix a pt $p \in (M, g)$. TFAE

- I) \exp_p is defined on all of $T_p M$
- II) closed & bounded of M are compact
- III) (M, d) is a complete metric space
- IV) \exp_p is defined on all of $T_q M$, $\forall q \in M$

Moreover, any of these implies:

- V) $\forall p, q \in M \exists \gamma(t)$ geodesic, $\gamma(0) = p, \gamma(1) = q$
s.t. $d(p, q) = \text{len } \gamma$.

Pf. For now assume we know I) \Rightarrow V).

$$\begin{aligned} \text{I) } &\Rightarrow \text{III) } A \subset M \text{ closed \& bounded.} && \xrightarrow{\text{uses I) } \Rightarrow \text{V)} } \\ \text{II) } &\Rightarrow A \subset \exp_p(B_R(o)) \text{ for some } R > 0, p \in A. \\ &\Rightarrow A \subset \underbrace{\exp_p(\overline{B_R(o)})}_{\text{cpt}} \Rightarrow A \text{ cpt.} \end{aligned}$$

III) \Rightarrow IV) Basic analysis

IV) \Rightarrow V) Pick $\gamma : [0, s_0] \rightarrow M$ geodesic, $\gamma(0) = p, \gamma(s_0) = q$.

Pick $\{s_m\}_{m \in \mathbb{N}} \subset [0, s_0]$, $\lim s_m = s_0$.

$\Rightarrow \{\gamma(s_m)\}_m$ is a Cauchy seqn. on (M, d) .

$$\rightarrow \gamma(s_0) := \lim \gamma(s_m)$$

- we only need to show $\dot{\gamma}(s_0) \in T_{\gamma(s_0)} M$

which extends $\dot{\gamma}(t)$ by $\exp_{\gamma(s_0)}(\cdot \dot{\gamma}(s_0))$.

$\Rightarrow (\gamma(s_m), \dot{\gamma}(s_m))_m$ is a seqn. lying on
a cpt subset of TM ($\{\dot{\gamma}(s_m)\}_m$ has fixed lengths)

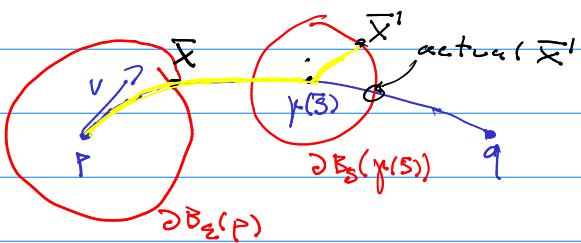
\Rightarrow Pick a convergent subsequence and take limits.

Main point: I) \Rightarrow V)

- fix any $p, q \in M$, let $d := d(p, q)$.

- Claim: p, q can be joined by minimising geodesic

- choose initial velocity by picking a point \vec{x}
 on the boundary of a normal ball $B_2(p)$ at p
 which minimises distance from $\partial B_2(p)$ to q



- claim: geodesic extends, minimises & hits q
- write $y(t, p, v) \circ y(0, p, v) = p \circ y(s, p, v) = \vec{x}$
- stopped writing.

Thm (Hadamard) Let (M, g) be a complete Riemannian
 mfld (i.e. (M, d) is complete; or \exp_q defined on
 $T_q M \setminus \{q\}$). Suppose:

- 1) $\kappa_r(M, \vec{x}, p, v) = \{1\}$
- 2) $\forall q \in M, \forall s < T_q M, \kappa(s) \leq 0$

$\Rightarrow \exp_q: T_q M \rightarrow M$ is diffeo., $\text{d}\exp_q$

Pf. Claim: $\text{d}\exp_q: T_q M \rightarrow M$ is local diffeo.

This means $(\text{d}\exp_q)_v$ is an invertible linear map

i.e. \exp_q has no critical pts.

But we know those pts correspond to vanishing

of Jacobi fields.

$$\Rightarrow \frac{d^2}{dt^2} \langle J(t), J(t) \rangle = 2 \|J'(t)\|^2 - 2\kappa(\vec{x}(t), J(t)) \lambda^2(\vec{x}(t), J(t))$$

$\rightarrow \text{RHS} \geq 0$ since $\kappa \leq 0$. Evaluating at $s = 0$ gives > 0 .

Now look at $(T_q M, \exp_q^* g)$. By Hopf-Rinow

it is complete in both senses, since its geodesics
 are just straight lines.

Also, $\|(\text{d}\exp_q)_v(\omega)\|_M \approx \|\omega\|_{T_q M}$, isometry.

Claim: all of this implies \exp_q is a covering map.

Criterion: $X \xrightarrow{f} Y$ covering map iff lifting
property for paths in Y holds.

→ by local diff we can lift locally.

→ but it extends due to local isometry prop.

Finally: it is a trivial covering due to

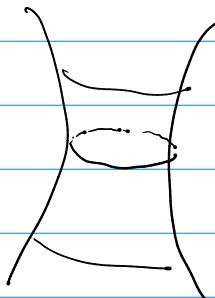
$$\pi_1(\mathbb{R}, \{pt\}) = \{1\}$$

⇒ homeomorphism

⇒ diffeomorphism.

Stoppa.

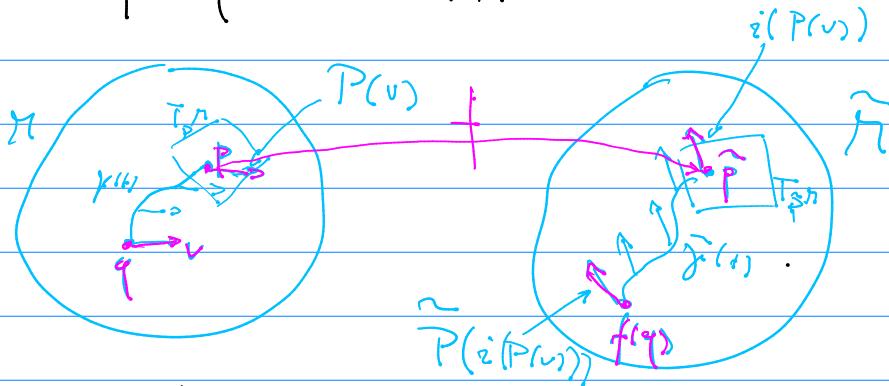
-e.g. Surface of revolution $S \subset \mathbb{R}^3$



- even if $\kappa < 0$, $\pi_1(S, \text{pt}) \neq \{\text{id}\}$
 $\rightarrow S \not\cong \mathbb{R}^2$

Cartan's construction.

- take $p \in (M^n, g) \rightarrow \tilde{p} \in (\tilde{M}^n, \tilde{g})$
- define a linear isometry $i: T_p M \rightarrow T_{\tilde{p}} \tilde{M}$
- suppose $\exp_p: T_p M \rightarrow M$ is a diffeo (\circlearrowright), $\exp_{\tilde{p}}$ defined on all $T_{\tilde{p}} \tilde{M}$
- define $f: M \rightarrow \tilde{M}$ by $f(q) = \exp_{\tilde{p}} \circ i \circ \exp_p^{-1}(q)$
- we can extend this by parallel transport
to a map $\varphi: TM \rightarrow T\tilde{M}$



$$\Rightarrow \varphi(v) := (\tilde{P} \circ i \circ P)(v), \quad \gamma(t), \tilde{\gamma}(t) \text{ unique, normalised geodesics}$$

Thm (Cartan) Assume everything as above and

$$\text{suppose } \langle R(x, y)v, v \rangle_M = \langle \tilde{R}(\varphi x, \varphi y)\varphi v, \varphi v \rangle_{\tilde{M}}.$$

Then f is a local isometry & $df_p = i$.

Pf. We need to compute df_q .

By construction, $q = \exp_p(\tilde{t} \tilde{\gamma}(0))$

$$\text{so } df_q(v) = (\exp_p^{-1})_{\tilde{t} \tilde{\gamma}(0)} \circ \circ (\exp_p^{-1})_{\tilde{\gamma}(0)}(v)$$

To compute it, we use Jacobi fields.

Since \exp_p is diff'g, $d\exp_p$ is invertible

\Rightarrow $\exists!$ Jacobi field along $\gamma(t)$ s.t.

$$J(0) = 0, J(\tilde{t}) = v \in T_q M, \dots$$

Now define $\tilde{J}(t) := \gamma(J(t))$ along $\tilde{\gamma}(t)$

Note: $\|\tilde{J}(t)\|_{\tilde{g}} = \|J(t)\|_g$ $\forall t$

because we parallel transport using

Lorentz-Civita.

Claim: $\tilde{J}(t)$ is a Jacobi field.

If this is true,

$$\|df_q(v)\|_{\tilde{g}} = \|\tilde{J}(\tilde{t})\|_{\tilde{g}} = \|J(\tilde{t})\|_g = \|v\|_g.$$

Sketch: write $J(t) = J_i e_i(t)$ using an or frame.

$$J(t) = \gamma(J(t)) = J_i \gamma'(e_i(t)) = J_i \tilde{e}_i(t)$$

$$\text{- write } \tilde{J}' + \langle R(e_k, e_i) e_k, e_j \rangle = 0$$

- use assumption.

Thm ("Fundamental thm on spaces of constant curvature")

Let (M^n, g) be complete w/ const. sect. curvature.

Up to rescaling, the universal cover of M endowed with the pullback metric $(\tilde{M}, \pi^* g)$, is isometric to either:

$$(\mathbb{R}^n, g^{\text{eucl}}), \quad k = 0$$

$$(\mathbb{H}^n, g^{\text{hyp}}), \quad k = -1$$

$$(\mathbb{S}^n, g^{\text{round}}), \quad k = +1.$$

Pf. $\pi: \tilde{M} \rightarrow M$ univ. cover $\Rightarrow (\tilde{M}, \pi^*g)$ is still complete,
and $\pi_*(\tilde{H}_k, \xi_{pt}) = \xi_k$. Assume, after rescaling,
 $K(g) \in \{\pm 0, \pm 1\} \Rightarrow K(\pi^*g) = K(g) \Rightarrow$ local isometry.
Now fix $K(g) \in \{0, -1\}$.

Hadamard: $H_p \subset \tilde{M}$, \exp_p is well-defined & diff.

Define $(D, g_D) = \begin{cases} (\mathbb{R}^n, g^{eucl}), & \text{if } K > 0 \\ (H^n, g^{hyp}), & \text{if } K = -1 \end{cases}$.

do Cartan with it. . .

Stoppa.

Ricci curvature.

- $(M, g) \rightarrow \{e_1, \dots, e_n\}$ ON frame at $p \in M$.
- \rightarrow fix $x := e_n$

Def. (Ricci) $\text{Ric}_p(x) := \frac{1}{n-1} \sum_{i=1}^{n-1} \langle R(x, e_i)x, e_i \rangle$

Lemma. $\text{Ric}_p(x)$ is well-defined, independently of the choice of frame.

Pf. Consider $L \in \text{End}(T_p M)$, $L(z) := R(x, z)z$ for $x, z \in T_p M$ fixed. Trace in (FDVect) is just the usual one; define $Q(x, z) = \text{Tr } L$. Not hard to see it is a symmetric quadratic form. In particular, if $\|x\| = 1$, then $Q(x, x) = \text{Tr } L_{x,x} = \sum_{i=1}^{n-1} \langle R(x, e_i)x, e_i \rangle = (n-1) \text{Ric}_p(x)$.

Rank. Usually $Q(x, y)$ is also called the Ricci curvature, denoted by $\text{Ric}_p(x, y)$.

Scalar curvature.

Def. (Sc. curv.) $\forall p \in M$ given by $S(p) := \frac{1}{n} \sum_{j=1}^n \text{Ric}_p(e_j)$

Lemma. Well-defined.

Pf. $\forall p \in M$, $T_p M$ possesses 2 symmetric quadratic forms, $\langle - \rangle_p$ & $Q_p(-)$.

By linear algebra $\exists! N \in \text{End}(T_p M)$ s.t.

$$\langle N(x), y \rangle_p = \langle x, N(y) \rangle_p \quad \& \quad Q(x, y) = \langle N(x), y \rangle_p \quad \forall x, y \in T_p M.$$

$$\text{Consider } T_p N = \sum_{i=1}^n \langle N(e_i), e_i \rangle_p \\ = \sum_{i=1}^n Q_p(e_i, e_i) = n(n-1) S(p).$$

Bonnet-Myers thm.

Thm. (M, g) complete. Suppose Ric uniformly bounded from below by a strictly positive constant; $\forall p \in M, \forall v \in T_p M, \|v\| \leq 1, \text{Ric}_p(v) \geq \frac{1}{q^2} > 0$. Then M is cpt & $\text{diam}(M, g) \leq \pi q$.

Rmk. Paraboloid of revolution $\subset \mathbb{R}^3 : \text{Ric}_p(v) \geq 0$ & noncpt.

Energy functional.

$$E(c(\cdot)) := \int_a^b \| \dot{c}(s) \| ds$$

- fix $p, q \in M$ for now.

Def. $\Sigma_{p,q} := \{ \text{piecewise } C^1 \text{ paths from } p \text{ to } q \}$

Def $c(t) \in \Sigma_{p,q}, T_c \Sigma_{p,q} := \{ \text{piecewise } C^1 \text{ v.f.s along } c(t) \text{ vanishing at end points} \}$

- given $v(t) \in T_c \Sigma_{p,q}$, we get a variation

$$f(s,t) = \exp_{c(t)}(s v(t)), (s,t) \in (-\epsilon, \epsilon) \times (\circ, u)$$

& conversely, given $f(s,t)$, we get

$$v(t) = \frac{\partial f}{\partial s}|_{s=0}(t) = df(s=0, t)\left(\frac{\partial}{\partial s}\right)$$

assuming $c: [a, b] \rightarrow M$.

→ we study E along these variations

$$\Rightarrow E(s) := \int_0^a \left\| \frac{d}{dt} (s, f) \right\|^2 dt$$

Lemma. $c(t)$ is a minimum of E iff $c(t)$ is a minimising geodesic parametrised by $\|\dot{c}(t)\| = \text{const.}$

Pf. By Schwarz ineq., $(L(c))^2 \leq aE(c)$,
with equality iff $\|\dot{c}(t)\| = \text{const.}$

Take $y(t)$ to be parametrised as such
and also a minimising geodesic:

$$L(y)^2 \leq L(c)^2$$

\Downarrow

$$aE(y) \leq aE(c)$$

Def. $c(t)$ is a critical pt of E if variations $f(s, t)$, $\frac{d}{ds} E(s) \Big|_{s=0} = 0$.

Lemma. Suppose given $0 = t_0 < t_1 < \dots < t_{k+1} = a$,

$c(t)$ is C^1 on each $[t_i, t_{i+1}]$.

$$\text{Then } \frac{1}{2} \frac{d}{ds} E(s) \Big|_{s=0} = - \int_{t_0}^a \langle V(t), \frac{D}{dt} \dot{c}(t) \rangle dt$$
$$- \sum_{i=1}^k \langle V(t_i), \dot{c}(t_i + 0) - \dot{c}(t_i - 0) \rangle$$

Cor. If $\frac{d}{ds} E(s) \Big|_{s=0} = 0$ variations $\Rightarrow c(t)$ geodesic.

Lemma. Everything as above. Fix $y(t)$ crit. pt of E (geodesic)

Then

$$\frac{1}{2} \frac{d^2}{ds^2} E(s) \Big|_0 = - \int_0^a \langle V(t), \frac{D^2}{dt^2} V(t) + R(y(t), V(t)) y'(t) \rangle dt$$
$$- \sum_{i=1}^k \langle V(t_i), \frac{DV}{dt}(t_i + 0) - \frac{DV}{dt}(t_i - 0) \rangle$$

Cor. Elements $\lambda \in \mathbb{R}_{p,q}$ give $\frac{d^2}{ds^2} E(s) \Big|_{s=0} = 0$.

Pf of Bonnet-Myers.

Fix $p, q \in M$. (Claim: $d(p, q) \leq \pi\gamma$.

M complete $\Rightarrow \exists \gamma(t)$ minimising geodesic, $\gamma \in \mathcal{S}_{p,q}$

s.t. $\text{len}(\gamma) = d(p, q)$ (Hopf-Rinow)

Normalise $\gamma: [0, 1] \rightarrow M$, $\|\dot{\gamma}\| = \text{len}(\gamma)$.

Assume $\text{len}(\gamma) > \underline{\pi\gamma}$.

Fix ON frame $\{e_1, \dots, e_{n-1}, e_n = \dot{\gamma}(t)/\text{len}(\gamma)\}$

parallel along $\gamma(t)$.

Consider v.f.s $v_k(t) := \sin(\pi t) e_k(t)$, $k=1, \dots, n-1$

$\rightarrow v_k(t) \in T_p S_{p,q}$.

$$\Rightarrow \frac{1}{2} \frac{d^2 \tilde{\sigma}_k}{ds^2} \Big|_{s=0} = - \int_0^1 \langle v_k, v_k'' + R(\dot{\gamma}, v_k) \dot{\gamma} \rangle dt$$

$$= \int_0^1 \sin^2(\pi t) (\pi^2 - \text{len}^2 \gamma) K(e_n(t), e_k(t)) dt$$

$$\Rightarrow \frac{1}{2} \sum_{k=1}^{n-1} \frac{d^2 \tilde{\sigma}_k}{ds^2} \Big|_{s=0} = - \int_0^1 \sin^2(\pi t) (\pi^2 - (n-1)\pi^2 - (n-1)\text{len}^2 \gamma) R_{\dot{\gamma} \dot{\gamma}}(e_n(t)) dt < 0$$
$$\rightarrow \text{but } R_{\dot{\gamma} \dot{\gamma}}(v) \geq \frac{1}{4\gamma^2} \sqrt{M}.$$

Stopper.

Con (to Bonnet-Myers) (M, g) , $\text{Ric}(x) \geq \delta > 0$, $= 1$

complete \rightarrow

$\forall x, \|x\| = 1$ and some S . Then $\pi_*(M)$ is finite.

Pf: Take $\tilde{M} \xrightarrow{\pi} M$ universal cover. Consider pullback metric π^*g on \tilde{M} . We know (\tilde{M}, π^*g) complete.

Then π becomes an isometry.

So we get $\text{Ric}_{(\tilde{M}, \pi^*g)}(x) \geq \delta > 0$.

By Bonnet-Myers, \tilde{M} cpt. In particular, it is a finite-to-one map, so $\#(\pi^{-1}(m)) = \#(\pi_*(M, p))$.

Rmk. $K(z) \geq \delta > 0 \Rightarrow \text{Ric}(x) \geq \delta' > 0$ (converse fails).

Rmk. Compact tori T^n do not admit a metric of strictly positive Ricci curvature, since $\pi_*(T^n, p) \cong \mathbb{Z}^n$

In 2d we see this directly from Gauß-Bonnet, since $\int_{T^2} K(p) d\text{Vol} = 0$ means $K(p) \not> 0$ on T^2 .

- we have structure thm for spaces of constant curvature \Rightarrow universal cover is isometric to $\begin{cases} \mathbb{H}^n & < 0 \\ \mathbb{R}^n & = 0 \\ \mathbb{S}^n & > 0 \end{cases}$

\rightarrow what about spaces of constant Ricci curvature?

$\rightarrow \forall p \in M, \forall x \in T_p M, \|x\| = 1, \text{Ric}_p(x) = \lambda$, $\lambda \in \mathbb{R}$ fixed

- equivalently, $\text{Ric} = \lambda g$ Einstein manifolds

- Q: find an example of a cpt (M, g) w $\text{Ric} = 0$.

\rightarrow solved in 80's by Yau.

\rightarrow in general, a hopeless task.

Idea: use cpx structure to understand Ricci better.

- on cpx mfd, pick special basis (eigenvectors of J^{dual} , $dz^k, d\bar{z}^k$)
to get $\mathbb{A}^k(M) \otimes \mathbb{C} = \bigoplus_{p+q=k} \mathbb{A}^{p,q}(M)$

→ using natural projections & inclusions, it's enough to define exterior derivative on

each summand → $d : \mathbb{A}^{p,q}(M) \rightarrow \mathbb{A}^{p+1,q}(M) \otimes \mathbb{C} = \bigoplus_{p'+q'=k+1} \mathbb{A}^{p',q'}(M)$

→ in particular $\partial := \pi^{p,q+1} \circ d$, $\bar{\partial} := \pi^{p+1,q} \circ d$

→ claim: $d = \partial + \bar{\partial}$, which follows from

$$df = \frac{\partial f}{\partial z^k} dz^k + \frac{\partial \bar{f}}{\partial \bar{z}^k} d\bar{z}^k = (\partial + \bar{\partial})f \text{ on functions,}$$

$$\text{since } \omega = \sum_{\substack{I \sqcup I' \\ |I|=p \\ |I'|=q}} d z_I \wedge d\bar{z}_{I'} \rightsquigarrow d\omega = \sum d(z_I \wedge d\bar{z}_{I'}) = \sum d z_I \wedge d\bar{z}_{I'} = \partial + \bar{\partial}$$

→ we used local coordinates, but this

is in fact the type decomposition of J^{dual}
into $\pm \sqrt{-1}$ eigenspaces

→ it can be shown that

$$d = \partial + \bar{\partial} \iff J \text{ integrable} \iff N(J) = 0$$

- for the metric, we extend it to $TM \otimes \mathbb{C}$ by
(-linearity)

- the Hermitian condition: $g(Jx, Jy) = g(x, y)$

Lemma $g\left(\frac{\partial}{\partial z_i}, \frac{\partial}{\partial \bar{z}_j}\right) = g\left(\frac{\partial}{\partial \bar{z}_k}, \frac{\partial}{\partial z_l}\right) = 0$

Pf: $g\left(\frac{\partial}{\partial z_i}, \frac{\partial}{\partial \bar{z}_j}\right) = g\left(J\left(\frac{\partial}{\partial z_i}\right), J\left(\frac{\partial}{\partial \bar{z}_j}\right)\right) = -g\left(\frac{\partial}{\partial z_i}, \frac{\partial}{\partial \bar{z}_j}\right)$.

Cor. g can be written as $g = \sum g_{jk} dz_j \odot d\bar{z}_k$

Cor. $\omega(X, Y) := g(JX, Y)$ as $\omega = \sum g_{jk} dz_j \wedge d\bar{z}_k$

Stoppa.

- we can check that $g_{i\bar{j}} = \overline{g_{j\bar{i}}}$, which means that positive definiteness of g implies the hermitian matrix $(g_{i\bar{j}})$ is also pos.def.

Rank. Previously we showed $d\omega = 0 \iff \nabla g = 0$ on a cpt mfld.

Claim: $d\omega = 0 \iff \frac{\partial g_{i\bar{j}}}{\partial z_k} = \frac{\partial g_{k\bar{j}}}{\partial z_i}$ (just write out in local coords.)

- now extend $\nabla = \nabla g +$ act on $TM \otimes \mathbb{C}$ by \mathbb{C} -linearity

$$\text{- write } \nabla_{\frac{\partial}{\partial z_i}} \frac{\partial}{\partial z_k} = \Gamma^i_{jk} \frac{\partial}{\partial z_i} + \Gamma^{\bar{i}}_{jk} \frac{\partial}{\partial \bar{z}_i}$$

- consider the **Kähler** case

Lemma. The only nonvanishing Christoffels are Γ^i_{ik} and $\Gamma^{\bar{i}}_{j\bar{k}}$. Also, $\Gamma^{\bar{i}}_{j\bar{k}} = \overline{\Gamma^i_{jk}}$.

Pf. Recall $(\Gamma^k_{ij})_{\mathbb{R}} = \frac{1}{2} g^{kl} (g_{il,k} + g_{jl,i} - g_{il,j})$

The wholly analogous formula holds in the complexified case, except we must allow both kinds of indices. But:

$$\Gamma^k_{i\bar{j}} = \frac{1}{2} g^{k\bar{l}} (g_{i\bar{l}, j} + g_{j\bar{l}, i} - g_{i\bar{j}, \bar{l}}) + \frac{1}{2} g^{\bar{k}\bar{l}} (-\dots) \\ = 0, \quad \text{by Kähler}$$

Similarly other cases. The last claim follows from $\overline{g_{i\bar{j}}} = g_{j\bar{i}}$.

Cor. Explicitly, $\Gamma^i_{jk} = g^{i\bar{l}} \partial_j g_{k\bar{l}}$.

Curvature tensor of a Kähler manifold.

N.B. From now on we use the opposite sign convention, so $R(X, Y)Z = \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z$.

Lemma. $J(R(X, Y)Z) = R(X, Y)(JZ)$, $\forall X, Y, Z$

Cos. $g(R(X, Y)Z, W) = g(R(X, Y)JZ, JW)$.

Cor. Upon complexification, $g(R(X, Y)Z, W) = 0$ $\forall X, Y$ if Z, W are of the same type.

Def. $R_{ijk\bar{l}} := g(R(\frac{\partial}{\partial z_k}, \frac{\partial}{\partial \bar{z}_l}) \frac{\partial}{\partial z_i}, \frac{\partial}{\partial \bar{z}_j})$

Lemma. The only nonvanishing symbols R_{abcd} are $R_{ij\bar{k}\bar{l}}$, upon complexification.

Lemma. $R_{ij\bar{k}\bar{l}} = -\partial_k \partial_{\bar{l}} g_{ij} + g^{pq} (\partial_k g_{pq})(\partial_{\bar{l}} g_{pj})$

Pf. It's a computation.

Ricci curvature.

Def. Define 2-form $R(X, Y) = \text{Ric}(JX, Y)$
→ locally, $R = \sum R_{ij} dz^i \wedge d\bar{z}^j$

Lemma. $R_{ij} = -\partial_i \partial_{\bar{j}} \log \det(g_{pq})$.

Step 1.

Prop. (M, g) Kähler, general type ($c_1(M) < 0$).

Fix $\omega_0 \in -2\pi c_1(M)$ as a reference

Kähler form. Then $\exists F \in C^\infty(M, \mathbb{R})$

such that for $\omega_0 + i\partial\bar{\partial}\varphi > 0$, if

$$(\omega_0 + i\partial\bar{\partial}\varphi)^n = e^{\varphi + F} \omega_0$$

holds, then $\text{Ric}(\omega_0 + i\partial\bar{\partial}\varphi) = -(\omega_0 + i\partial\bar{\partial}\varphi)$,

i.e. $\omega_\varphi := \omega_0 + i\partial\bar{\partial}\varphi$ satisfies $\text{Ric}(\omega_\varphi) = -\omega_\varphi$

so ω_φ is Einstein with constant -1 .

Pf. Suppose the conditional of the claim hold. The condition on top forms implies $\det g_\varphi = e^{q+F} \det g$, locally.

Locally we then also have

$$-i\partial\bar{\partial} \log \det g_\varphi = -i\partial\bar{\partial}(q+F) - i\partial\bar{\partial} \log \det g$$

but we know this expression

defines the Ricci form, a global

$$\text{object, i.e. } \text{Ric}(\omega_\varphi) = -i\partial\bar{\partial}(q+F) + \text{Ric}(\omega_0)$$

The assumption $\omega_0 \in -2\pi i c_1(M)$

$$\text{gives } [\text{Ric}(\omega_0)] = -[\omega_0]$$

$$\Rightarrow \text{Ric}(\omega_0) = -\omega_0 + i\partial\bar{\partial} F, F \in C^\infty(M, \mathbb{R})$$

for $F = \tilde{F}$,

$$\text{Ric}(\omega_\varphi) = -i\partial\bar{\partial}\varphi - \omega_0 = -\omega_\varphi \quad \square$$

Rank/exercise. Show converse is true, up to normalisation of φ .

- Uniqueness. There is at most one soln
 $\Rightarrow \text{Ric}(\omega) = -\omega_3 [\omega] = -2\pi i c_1(h)$
- pick some reference pt w_0 , $[\omega_0] = -2\pi i c_1(h)$
 such that $\text{Ric}(w_0) = -\omega_0$
 - then pick $F \equiv 0$ in previous Prop.
 - the $\partial\bar{\partial}$ -lemma says that if w another solution, $w - w_0 = w_0 + i\partial\bar{\partial}\varphi$
 \rightarrow Rmk/ex said φ can be chosen s.t. $(w_0 + i\partial\bar{\partial}\varphi)^n = e^{\varphi} w_0^n$
 - now apply maximum principle (remember H is cpt) so pick pt $p \in H$ s.t. it is a maximum of $\varphi \Rightarrow \text{Hess}_p \varphi \leq 0$
 $\Rightarrow i\partial\bar{\partial}\varphi$ is negative semidefinite at p
 \rightarrow so $w_0 + i\partial\bar{\partial}\varphi$ is "smaller" than w_0
 \rightarrow more precisely $\det g_{\varphi(p)} \leq \det g_{w_0(p)}$
 and we had $\det g_{\varphi(p)} = e^{\varphi(p)} \det g_{w_0(p)}$
 $\Rightarrow \varphi(p) \leq 0 \Rightarrow \sup \varphi \leq 0$
 Repeat argument at minimum of φ to get
 $\inf \varphi \geq 0 \Rightarrow \varphi \equiv 0$. \square

Sketch of Pf of Aubin-Yau:

- we want to prove
thm If solution of $(w_0 + i\partial\bar{\partial}\varphi)^n = e^{\varphi} w_0^n$.
- idea: continuity method

- look at family $(*)_t$ + $\left\{ \begin{array}{l} (\omega_0 + i\sigma \bar{\varphi}_0)^n = e^{q_t + tF} \omega_0^n \\ \omega_0 - i\sigma \bar{\varphi}_0 > 0 \end{array} \right. , t \in [0, 1]$

- define $A := \{t \in [0, 1] \mid (*)_t \text{ has solution}\}$

\rightarrow now if A nonempty, open, closed $\Rightarrow A = [0, 1]$

so $(*)_1$, the required soln, exists

- nonempty: $t=0$ solved by $\varphi_0 = 0$.

- open?