

# Heavy-flavour production in proton-proton collisions at $\sqrt{s} = 7$ TeV

Estimation of  $\gamma$ ,  $\pi^0$  and  $\eta$  ratios in the photonic background

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*The heavy-flavour production in proton-proton collisions is an important testing ground for perturbative QCD, the field theory for the strong interaction.*

*One way of measuring heavy-flavour hadrons is via the leptons originating from their decays. The aim of this study is to evaluate the ratios between the photon conversions, and the  $\pi^0$  and  $\eta$  Dalitz decays, which are the main sources of the background, in proton-proton collisions at  $\sqrt{s} = 7$  TeV.*

*In first analysis the amount of these sources has been derived from a simulation, using the MC truth.*

*Then the MC information has been ignored, in order to approach a realistic analysis strategy to be performed with real data. For this purpose the combinatorial method has been used; it consists in calculating the invariant mass of an inclusive  $e^\pm$ , for which stringent cuts are required to be sure that its track belongs to an  $e^\pm$ , combined with an associated one, for which only relaxed cuts are required to maximise the efficiency. Then the photonic signal has been obtained subtracting the like-sign ( $e^\pm e^\pm$ ) distribution, which only contains the combinatorial information, from the unlike-sign ( $e^\pm e^\mp$ ) distribution, which has both the photonic signal and the combinatorial background; finally the quantitative contributions of the main sources are derived from a fit of the resulting photonic signal.*

## 1 Introduction

### 1.1 Quark-gluon plasma

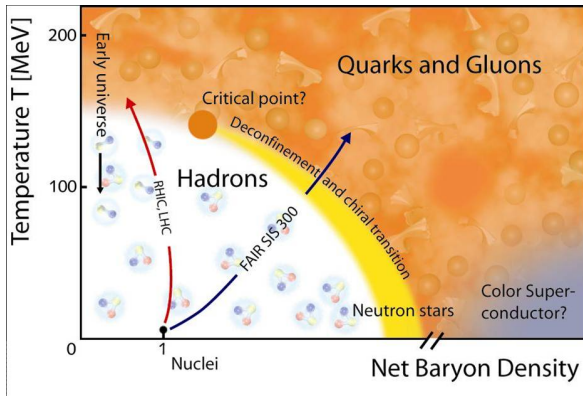


Fig. 1: Phase diagram of hadronic matter and the hadron gas - quark-gluon plasma phase transition.

Quantum chromodynamics (QCD) predicts that under extreme conditions of very high temperature or baryo-chemical potential  $\mu_B$  hadronic matter transits to a deconfined phase of matter called “quark-gluon plasma” (QGP), in which quarks and gluons are not bound in hadrons.

The QGP is thought to have permeated the universe for few  $\mu s$  after the Big Bang. Experimentally, it can be created in ultra-relativistic heavy-ion collisions.

These collisions create a so called *fireball* of interacting quarks and gluons above the critical temperature, which first reaches the thermal equilibrium, and then quickly expands and cools down. During the cooling starts the *hadronization*, or rather quarks and gluons become again confined and form hadrons.

The last phases are the *chemical freeze-out* and the *kinetic freeze-out*, where, respectively, in-

elastic and elastic processes cease.

## 1.2 Heavy-flavour production

The production of hadrons which contain heavy quarks (charm or beauty) is an important probe for the QGP. It happens exclusively through initial hard partonic scattering processes when the medium is more dense, because of their higher mass. Heavy quarks lose energy differently than the light quarks in the QGP and therefore they are a signature of the deconfinement, and their behaviour in the medium is useful to characterise the medium itself.

Hadrons carrying heavy flavour can decay by weak interaction, through a “*semileptonic decay*”, in which one lepton (and the corresponding neutrino) is produced in addition to one or more hadrons.

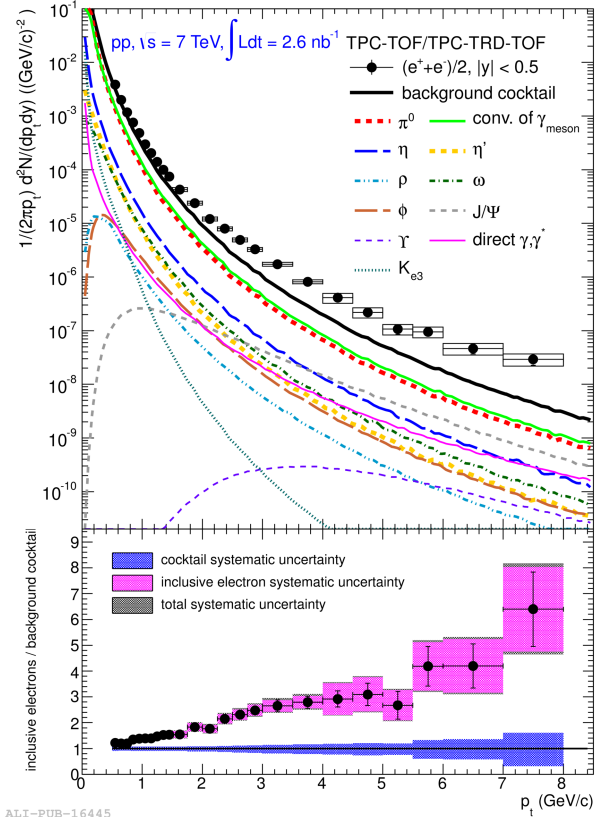
Therefore the electrons/positrons are measured *inclusively*, coming from both the heavy-flavour hadrons decays and the background sources. In Fig. 2 is shown the  $p_T$  spectrum for the  $e^\pm$  measured. After subtracting the background  $e^\pm$  the remaining  $p_T$  spectrum contains only the electrons from heavy-flavour decays. It is then important to estimate the background for these decays to quantify the heavy-flavour production.

## 1.3 The photonic background

For the low  $p_T$  region the dominant contributions of the background are of so called *photonic* origin.

The main sources for this photonic background are the photon conversions ( $\gamma \rightarrow e^+e^-$ ) and the  $\pi^0$  and  $\eta$  Dalitz decays ( $\pi^0 \rightarrow e^+e^-\gamma$  and  $\eta \rightarrow e^+e^-\gamma$ ), which are the major contribution for the low  $p_T$  region (80% ÷ 95%).

The aim of the following study is to quantify the relative amount of those three sources, especially the ratio between photons and  $\pi_0$  and  $\eta$  mesons, for proton-proton collisions at  $\sqrt{s} = 7$  TeV. Proton-proton collisions are important to be investigated, despite in these the QGP cannot be created, because they represent the reference system for Pb-Pb collisions.



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Fig. 2: The inclusive  $e^\pm$  measurement with the sources of the background calculated with the cocktail method [1] for proton-proton collisions at  $\sqrt{s} = 7$  TeV.

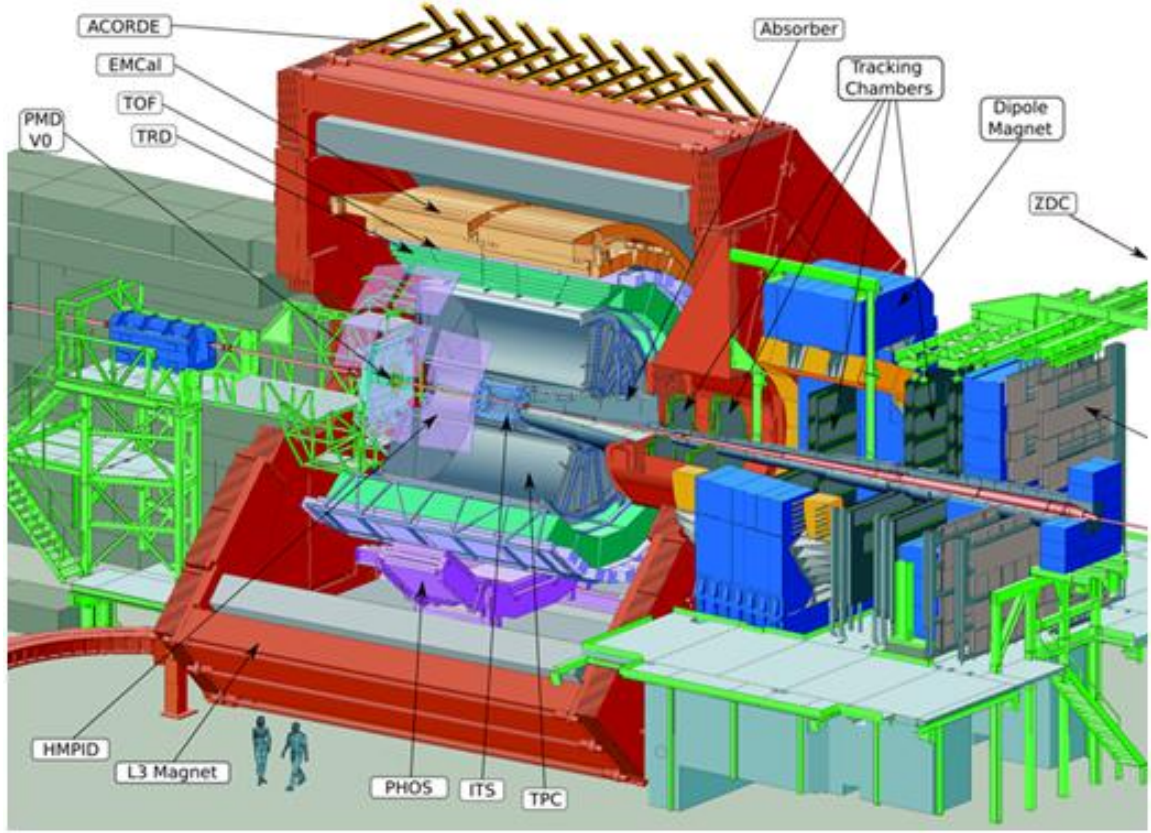
## 1.4 The ALICE detector

The LHC is the largest hadron collider in the world, and it is located at CERN, on the Swiss-France border, close to Geneva.

The four large experiments at LHC are:

- **ALICE** (A Large Ion Collider Experiment)
- **CMS** (The Compact Muon Solenoid)
- **ATLAS** (A Toroidal LHC ApparatuS)
- **LHCb** (LHC beauty experiment)

The ALICE detector, which is shown in Fig. 3, is dedicated to heavy-ion collisions and therefore to the study of the QGP. It is 26 m long, 16 m wide and weighs more than 10000 tn. The magnet surrounding the central barrel creates an homogeneous magnetic

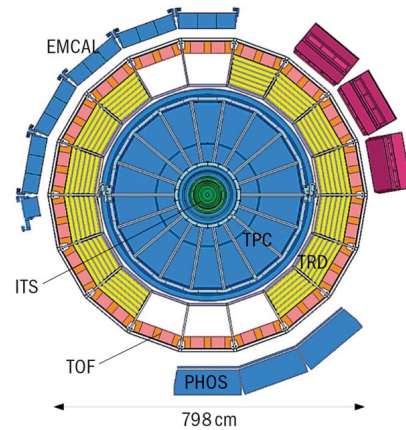
Fig. 3: *The ALICE schematic geometry*

field of  $B = 0.5$  T, which allows the measurement of the momentum of the charged particles.

ALICE is composed by several subdetectors. The four cylindrical tracking detectors in the central barrel (Fig.4) are the **I**nn**er T**racking **S**ystem (ITS), the **T**ime **P**rojection **C**hamber (TPC), the **T**ime-**O**f-**F**light detector (TOF) and the **T**ransition **R**adiation **D**etector (TRD). In the central barrel there are also two electromagnetic calorimeters, the **E**lectro**M**agnetic **C**alorimeter (EMCal) and the **P**HOton **S**pectrometer (PHOS). Equally in the central part, but more externally, there are the **P**hoton **M**ultiplicity **D**etector (PMD) and the **H**igh **M**omentum **P**article **I**dentification **D**etector (HMPID). In forward rapidity there are the T0 detector, which generates the start time for the TOF, and the V0 detector, which provides a minimum bias trigger for the central barrel detectors. Finally in the right side of Fig. 3 we can see the muon spectrometer, which is used to study the heavy quarkonia, and the

**Z**ero **D**egree **C**alorimeter (ZDC) which consists in two pairs of hadron calorimeters, for neutrons (ZN), and protons (ZP).

For this analysis only the ITS and the TPC will be used for the selection of the tracks.

Fig. 4: *The ALICE schematic central barrel detectors in cross section*

### 1.4.1 Inner Tracking System

The ITS is the first subdetector reached by the particles originating in the collisions. The ITS consists of six layers of three different silicon detector types: the **Silicon Pixel Detector** (SPD), the **Silicon Drift Detector** (SDD) and the **Silicon MicroStrip Detector** (SSD). The main purpose of this detector is to separate tracks coming from the primary vertex, from those which are coming from the secondary vertices.

### 1.4.2 Time Projection Chamber

The TPC is the main tracking detector of ALICE. It has a diameter of about 5.6 m and length of 5.1 m. The drift region is filled with a gas mixture of Ne, CO<sub>2</sub> and N<sub>2</sub>. Together with the ITS, the Time Projection Chamber provides the charged particle track reconstruction, the primary and secondary vertices reconstruction and the particle identification, via the measurement of the energy loss  $dE/dx$ .

## 2 Data analysis

### 2.1 Data sample

For this analysis MC data for proton-proton collisions at  $\sqrt{s} = 7$  TeV (LHC10f6a) have been used: they are generated with PYTHIA 6 and their behaviour in the detectors (ITS, TPC and TOF) has been simulated with GEANT 3<sup>1</sup>.

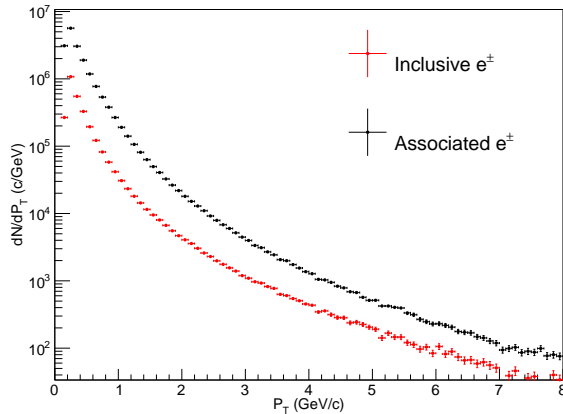


Fig. 5: The inclusive and associated  $e^\pm$   $p_T$  spectrum

### 2.2 Tracks selection

The idea of this analysis method is to combine an "inclusive"  $e^\pm$ , whose track is surely related to an electron, with an "associated"  $e^\pm$ , for which less stringent cuts are required to maximise the efficiency.

The cuts applied to the reconstructed tracks, for "inclusive" and "associated"  $e^\pm$ , are shown in the following table:

Tab. 1: Cuts applied for "inclusive" and "associated"  $e^\pm$

	inc $e^\pm$	ass $e^\pm$
$\chi^2/\text{cluster}$ in the TPC track fit	$< 4$	$< 4$
ITS/TPC refit	yes	yes
number of TPC clusters	$\geq 120$	$\geq 100$
number of ITS clusters	$\geq 4$	$\geq 2$
number of TPC cluster for PID	$\geq 80$	$\geq 80$
TPC Ratio found/findable clusters	$> 0.6$	-
Transverse momentum	$0.1 < p_T < 20$	-
Pseudorapidity	$ \eta  < 0.8$	$ \eta  < 0.8$
Reject Kink daughter	yes	yes
DCA in $ \vec{r} $ -direction [cm]	$< 1$	$< 1$
DCA in z-direction [cm]	$< 2$	$< 2$
ITS SPD layer hit	First	-

Finally, using the MC truth information, only the tracks that really belong to  $e^\pm$  are selected for both "inclusive" and "associated"  $e^\pm$ . In Fig. 5 is shown the row  $p_T$  spectrum for both electrons/positrons samples. As we can see the associated  $e^\pm$  are one order of magnitude more than the inclusive ones, therefore more statistics for the analysis is available.

### 2.3 Invariant mass analysis

Using the conservation of the four-momentum  $p = (E, \vec{p})$ , and the following equation derived from the Special Relativity:

$$m^2 = E^2 - \vec{p}^2 \equiv p^2 \quad (1)$$

it is possible to reconstruct the mass of a particle from its decay products (in our case they will be  $e^\pm e^\mp$ , or equivalently  $e^\pm e^\pm$ ), using the following relation:

$$\begin{aligned} m_{ee} &= \sqrt{(p_1 + p_2)^2} \\ &= \sqrt{(E_1 + E_2)^2 - (\vec{p}_1 + \vec{p}_2)^2}. \end{aligned} \quad (2)$$

<sup>1</sup> GEometry ANd Tracking package



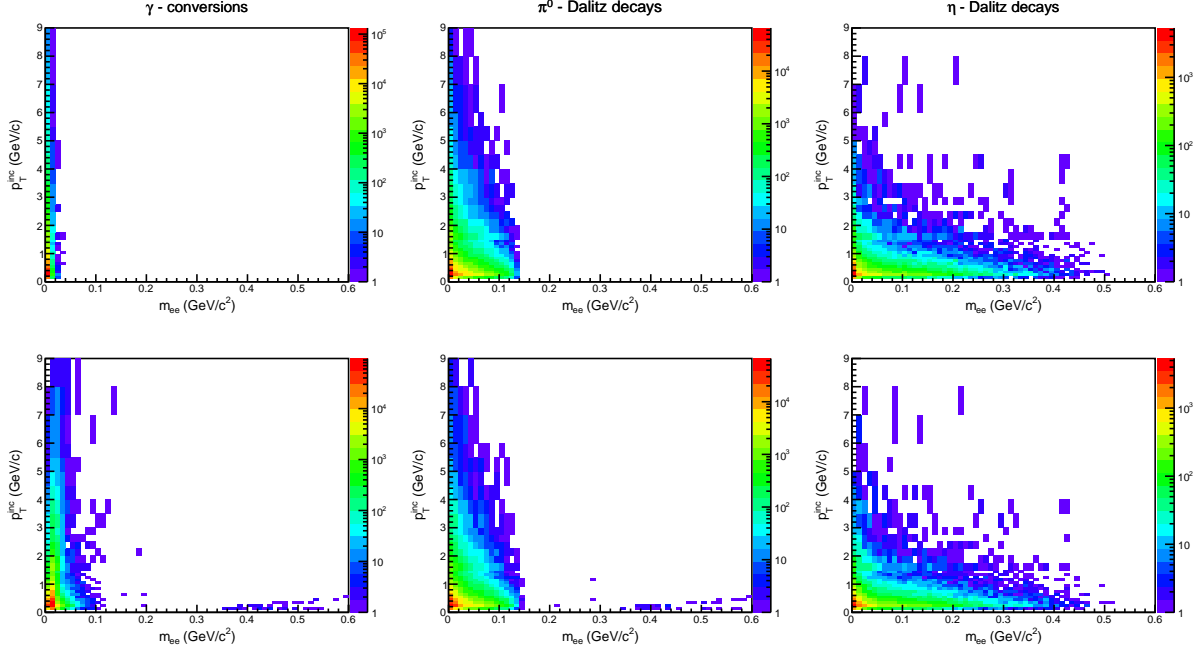


Fig. 6: The correlation between the transverse momentum of the inclusive electron ( $p_T^{\text{inc}}$ ) and the invariant mass of the  $e^+e^-$  pair coming from the MC information, with MC truth parameters (upper plots) and ESD reconstructed parameters (lower plots).

The indices 1 and 2 stand for the two candidates and the energy is calculated from the mass of the daughter particles (in this case  $m_e = 0.511$  MeV), using the equation (1) inverted:

$$E_i = \sqrt{m_e^2 + \vec{p}_i^2} \quad (3)$$

because  $E_i$  is not directly measured.

### 3 Photonic background with MC information

Using the MC information it is possible to select every  $e^+e^-$  pair which comes from one of the main sources listed before (photon conversions or  $\pi^0$  and  $\eta$  Dalitz decays), and then to obtain their kinematic parameters.

Every measured particle is described by several parameters which determine its kinematic and track.

The initial observables, which describe the particle how it was originally created, are known from the MC truth. From ESD<sup>2</sup> it is possible

to obtain the parameters after the interaction of the particle with the material of the detectors, and its track has been reconstructed from the measured information.

In Fig. 6 is shown the correlation between the transverse momentum of the inclusive electron and the invariant mass of the  $e^+e^-$  pair. As we can notice the majority of the counts are in the low  $p_T$  and  $m_{ee}$  region, in fact the photonic sources are dominant for low transverse momentum, as described in section 1.3.

#### 3.1 Invariant mass distributions from MC truth

Considering only the invariant mass it is then possible to obtain the invariant mass distribution for each source. From these we can have the information of the shape of the distribution and of the number of particles detected. In Fig. 7 are shown the invariant mass distributions of the three sources: in the upper line they have been obtained using the MC truth parameters, while in the lower one from the ESD reconstructed tracks parameters. Thus we can notice how the distributions obtained from the reconstructed tracks of the particles are wider

<sup>2</sup> Event Summary Data

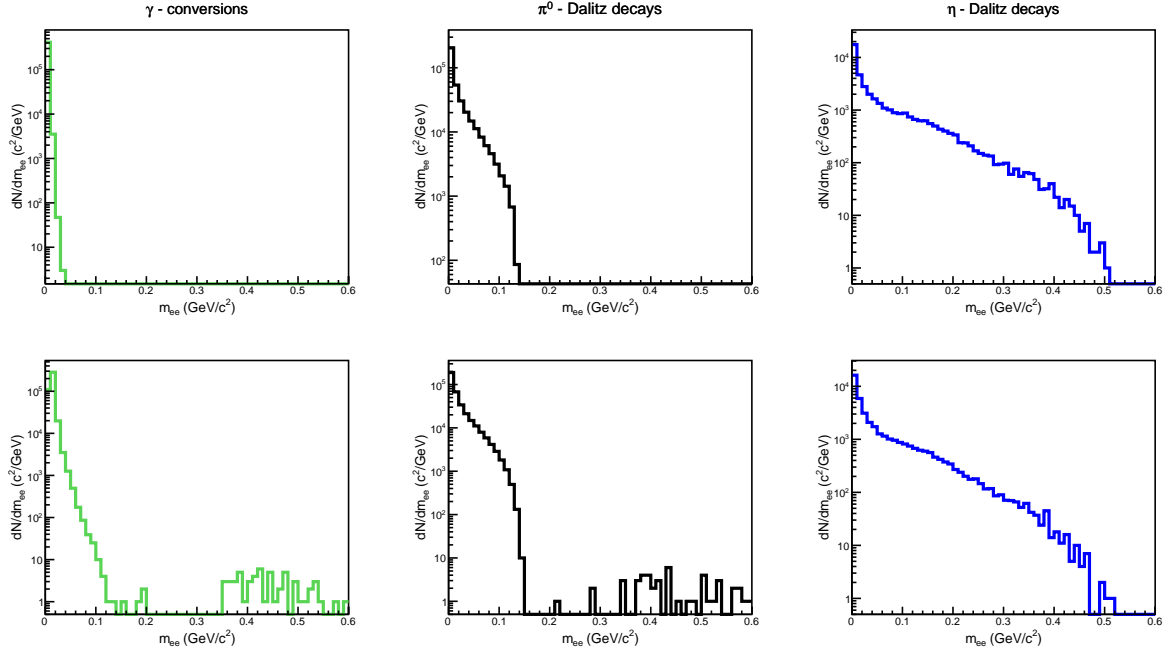


Fig. 7: The invariant mass distributions of photon conversions (green),  $\pi^0$  Dalitz decay (black) and  $\eta$  Dalitz decay (blue). The upper plots are obtained with MC parameters while the lower ones with ESD parameters.

than the others, due to the finite resolution of the detectors. The numbers of  $\gamma$  conversions,  $\pi^0$  and  $\eta$  Dalitz decays, known from MC truth, are shown in the following table:

Tab. 2: Amount of  $e^+e^-$  pairs from the three sources, obtained from the MC information

number of $\gamma$	$418300 \pm 600$
number of $\pi^0$	$362000 \pm 600$
number of $\eta$	$42100 \pm 200$
$n_\gamma/n_{\pi^0}$	$1.156 \pm 0.003$
$n_\gamma/n_\eta$	$9.94 \pm 0.05$
$n_\gamma/(n_{\pi^0} + n_\eta)$	$1.035 \pm 0.002$

We are especially interested in the ratios, in fact the aim of this study is to find a fit template which can separate the three sources from the inclusive  $p_T$  spectrum and quantify the ratios between them.

It is finally possible to put together the three contributions, that should represent the majority of the background (at least for  $m_{ee} < m_\eta$ ), and fit the result with a parametric function,

which summarises the three contributions.

### 3.2 Fitting functions

The parameterization is different for photon conversions and Dalitz decays.

For the first source an exponentially modified Gaussian distribution has been chosen,

$$\frac{dN}{dm_{ee}} = N_\gamma \cdot e^{-\frac{(m_{ee} - M_\gamma)^2}{2\sigma^2}} + \Theta(m_{ee} - M_\gamma) e^{\frac{m_{ee} - M_\gamma}{\tau}} \quad (4)$$

where  $\Theta$  is the Heaviside function, which is defined equal to zero for  $m_{ee} < M_\gamma$  and equal to 1 for  $m_{ee} > M_\gamma$ .

The resulting function is shown in Fig. 8. This function fits reasonably well because the invariant mass of a photon is not exactly zero, in fact the conversion can only happen near to a nucleus, which transfers a fraction of his momentum. In addition for the reconstructed tracks it is necessary to take into consideration the finite resolution of the detectors.

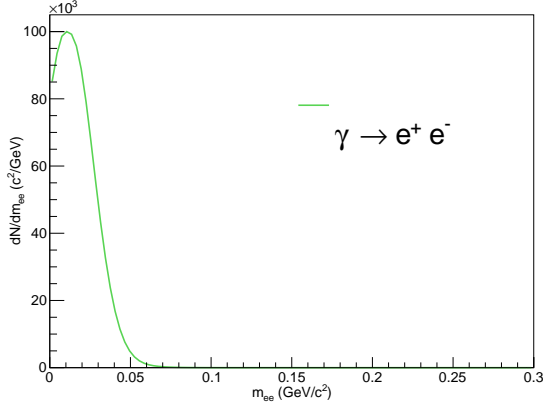


Fig. 8: The function used to describe the invariant mass distribution for photon conversions.

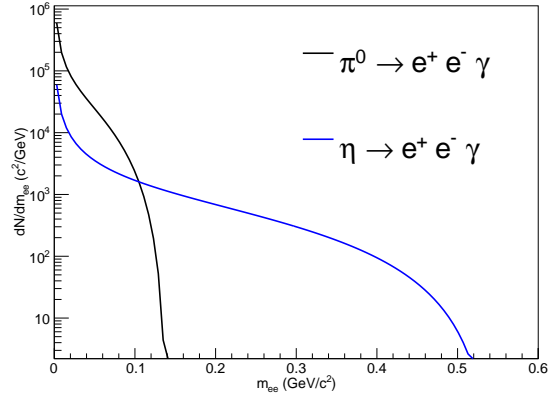


Fig. 9: The Kroll-Wada distributions for  $\pi^0$  Dalitz decays and  $\eta$  Dalitz decays.

For the Dalitz decays, instead, the Kroll-Wada distribution, which is the proper distribution to describe these kind of decays, has been used:

$$\frac{dN}{dm_{ee}} = N_X \cdot \frac{2}{m_{ee}} B^{3/2} \sqrt{1 - \frac{4(m_e/M_X)^2}{(m_{ee}/M_X)^2}} \times \left\{ 1 + \frac{2(m_e/M_X)^2}{(m_{ee}/M_X)^2} \right\} \cdot F_X(m_{ee}^2) \quad (5)$$

where  $N_X$  is a normalisation factor,  $m_e$  is the mass of an electron or a positron,  $M_X$  the mass of the mother particle. The mass of the mother particle determines the width of the distribution. In fact, as we can see in Fig. 9, the distribution for the  $\eta$  meson is wider than the one for the  $\pi^0$  because the first one has a mass of about  $m_\eta \simeq 547.85$  MeV, while the second one has  $m_{\pi^0} \simeq 139.57$  MeV.

In the formula also appears the factor  $B$ , which is equal to:

$$B = (1 + (m_{ee}/M_X)^2)^2 - 4(m_{ee}/M_X)^2. \quad (6)$$

Finally the Form Factor  $F_X$  is different for every Dalitz decay. For the  $\pi^0$  and the  $\eta$  the expressions are respectively:

$$\begin{cases} F_{\pi^0}(m_{ee}^2) = \frac{1}{(1 - 5.5 \cdot m_{ee}^2)^2} \\ F_{\eta}(m_{ee}^2) = \frac{1}{(1 - 1.9 \cdot m_{ee}^2)^2} \end{cases} \quad (7)$$

### 3.3 Fit of the photonic background with MC information

With the purpose of fitting the photonic signal, the three invariant mass distributions of the main sources have been put together and fitted with a function, which is the sum of the three contributions described in the previous section. In order to simulate the photonic signal, measured with the real detector, the distributions obtained from the ESD reconstructed tracks have been considered.

The aim of this section is to verify that it is possible to obtain the amount (and then the relative ratios) of the three sources, performing a fit and integrating the three separated contributions, in which the parameters have been fixed from the fit done with the composed function. In this analysis the parameters which should represent the mass of the mothers have been left free and fixed subsequently from the fit. These values extrapolated from the fit are higher than the real masses of the two mesons, in fact the distributions of the Dalitz decays cannot be properly described by the Kroll-Wada, due to the fact that some counts for low transverse momentum and low invariant mass are lost, because particles with low  $p_T$  and  $m_{ee}$  cannot reach the detectors.

This problem affects more the  $\pi^0$  distribution than the  $\eta$  one, because the  $\pi^0$  meson has a lower mass (the real values are  $m_{\pi^0} \simeq 139.57$  MeV and  $m_\eta \simeq 547.85$  MeV).

The photonic signal obtained in this way and the relative fit are shown in Fig. 10.

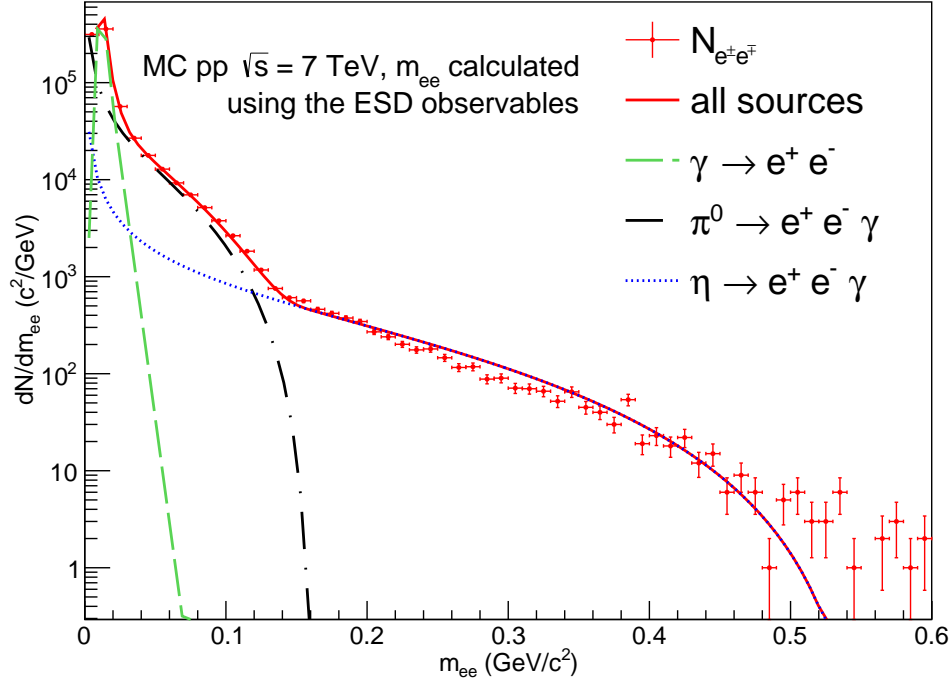


Fig. 10: Invariant mass distribution for  $\gamma$  conversion,  $\pi^0$  and  $\eta$  Dalitz decays from MC truth with observables from ESD reconstructed tracks. The red line represent the composed function which has been used to perform the fit. The other functions correspond to the single sources. Once obtained they have been integrated in the invariant mass range of interest, in order to obtain the amount of the three distinct contributions.

The values of the parameters from the fit and the integrals of the single contributions are summarised in Tab.3.

Tab. 3: Amount of  $e^+e^-$  pairs from the three sources, obtained from the fit of the MC distribution

$M_\gamma$	$0.01107 \pm 0.00018 \text{ GeV}/c^2$
$M_{\pi^0}$	$0.1611 \pm 0.0003 \text{ GeV}/c^2$
$M_\eta$	$0.558 \pm 0.004 \text{ GeV}/c^2$
Integral of $\gamma$	$418300 \pm 1100$
Integral of $\pi^0$	$355000 \pm 2000$
Integral of $\eta$	$49200 \pm 600$
$I_\gamma/I_{\pi^0}$	$1.179 \pm 0.007$
$I_\gamma/I_\eta$	$8.50 \pm 0.11$
$I_\gamma/(I_{\pi^0} + I_\eta)$	$1.035 \pm 0.006$

If we compare these results with the numbers of particles known from MC truth, which are summarised in Table 2, we can immediately no-

tice that the number of photon conversions is well described with this method. For the Dalitz decays there is a little discrepancy, in particular we obtained less  $\pi^0$  and more  $\eta$  mesons. Anyway the sum of the two contributions is consistent with the MC information, which probably means that some  $\pi^0$  mesons have been misidentified as  $\eta$  mesons in the low invariant mass region.

## 4 Photonic background without MC information

### 4.1 Like-sign and unlike-sign distributions

In order to approach a realistic analysis strategy to be performed with real data, the MC truth information is ignored in this section.

Therefore every "inclusive"  $e^\pm$  is combined to an "associated"  $e^\mp$  and for each pair the invariant mass  $m_{ee}$  is calculated to create the unlike-sign distribution, that contains both



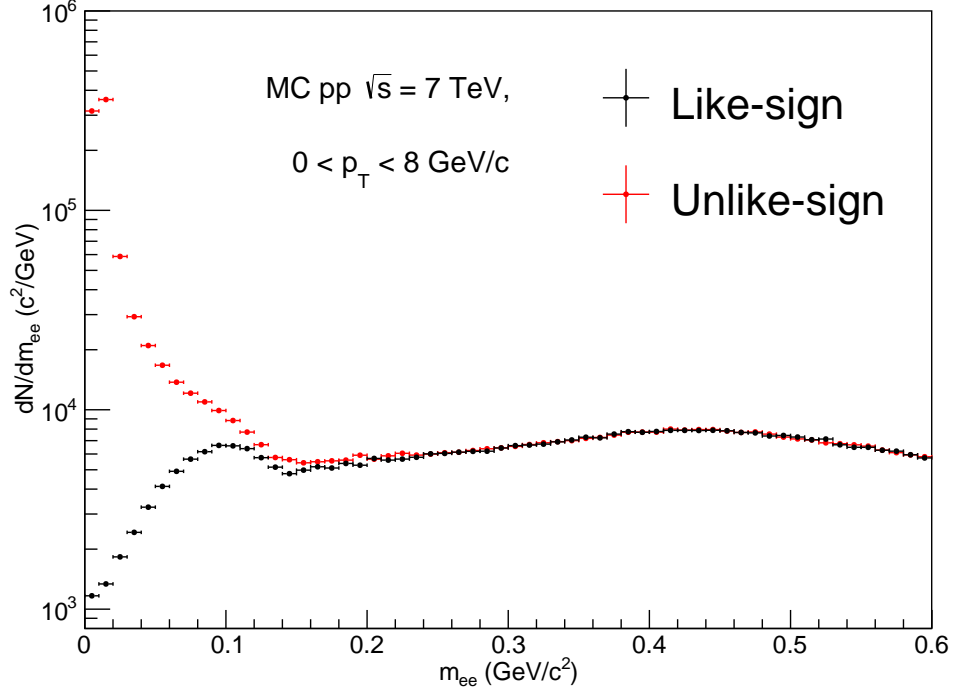


Fig. 11: The invariant mass distribution of like-sign ( $e^\pm e^\pm$ ) and unlike-sign ( $e^\pm e^\mp$ ) combination.

the photonic signal, and the combinatorial background.

Then every "inclusive"  $e^\pm$  is paired to an "associated"  $e^\pm$  for the like-sign invariant mass distribution. This one represents only the combinatorial background, that will be then subtracted from the unlike-sign distribution to obtain only the signal which we are interested in.

In Fig. 12 the two distributions are shown: the red points belong to the unlike-sign distribution, the black ones to the like-sign distribution. For the like-sign distribution a normalisation was necessary, in fact not all the combinatorial background was subtracted.

Therefore for every bin the ratio between the unlike-sign and the like-sign distribution has been calculated, and then linearly fitted starting from  $m_{ee} > 0.3 \text{ GeV}/c^2$ . Every bin of the like-sign distribution has been then multiplied for the value of the line in the centre of the bin, to obtain the normalised distribution.

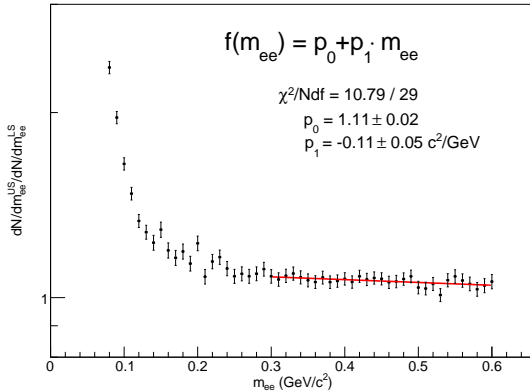


Fig. 12: The invariant mass distribution of like-sign ( $e^\pm e^\pm$ ) and unlike-sign ( $e^\pm e^\mp$ ) combination.

#### 4.2 Fit of the photonic background without MC information

Once subtracted the like-sign distribution from the unlike-sign, the resulting photonic background has been fitted with the templates extrapolated from the MC truth in section 3.3, as represented in Fig. 13. The values of the parameters that should represent the masses have been fixed from the ones obtained from the pre-

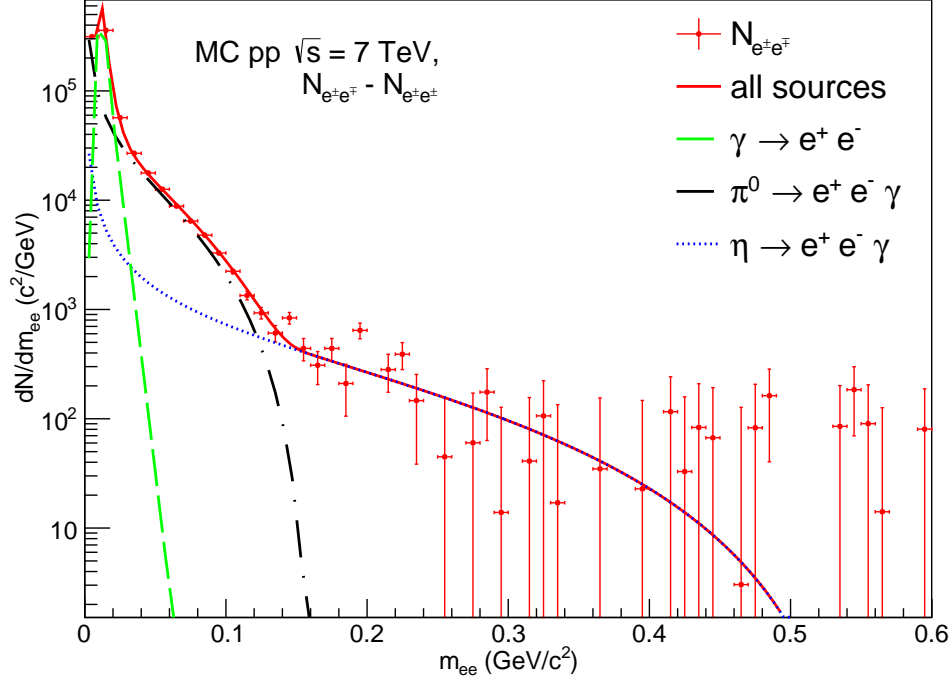


Fig. 13: The photonic background fitted with the template obtained from the MC truth.

vious fit (Table 3).

The purpose of this method is therefore to obtain the same amount of  $\gamma$ ,  $\pi^0$  and  $\eta$ , as known from the MC truth. The integral of the three contributions and the relative ratios are shown in the following table:

Tab. 4: Amount of  $e^+e^-$  pairs from the three sources, obtained from the fit of the photonic background

Integral of $\gamma$	$423000 \pm 6000$
Integral of $\pi^0$	$354000 \pm 4000$
Integral of $\eta$	$42000 \pm 3000$
$I_\gamma/I_{\pi^0}$	$1.19 \pm 0.02$
$I_\gamma/I_\eta$	$10.0 \pm 0.8$
$I_\gamma/(I_{\pi^0} + I_\eta)$	$1.07 \pm 0.02$

As we can see the values obtained from the fit are comparable to the real ones known from the MC truth, within the statistical error.

## 5 Conclusions and Outlook

The goal was to determine the ratio between the contribution from photon conversions and from  $\pi^0$  and  $\eta$  Dalitz decays to the photonic background to the electrons from heavy-flavor hadron decays.

It has been reached, although more precise results could be obtained fixing some details (for example the problem of higher masses). The ratio between  $\gamma$  and  $\eta$  seems to be the best one to be considered, but it is only due to the large statistical error. In fact the relative error for the number of  $\eta$  is of 7.1%, while for  $\pi^0$  is 1.1% and for  $\gamma$  1.4%.

Therefore the ratio between photon conversions and all the contributes from Dalitz decays should be used. Moreover we can notice that some counts from  $\pi^0$  could be misidentified and contribute to the  $\eta$  integral, as it was already discussed in section 3.3). The total number of Dalitz decays has not changed anyway.

The next step would be look into real proton-proton data, to see if the same ratio is found. Finally, the same study can be applied to Pb-

Pb data, to verify that there is an excess of  $\gamma$ , which are supposed to be thermal photons originated by the fireball, which is only created in heavy ions ultra-relativistic collision. More information about this phenomenon can be found in the article [6].

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