



# CS 5541 – Computer Systems

"Based on lecture notes developed by Randal E. Bryant and David R. O'Hallaron in conjunction with their textbook "Computer Systems: A Programmer's Perspective"



# Module 1

## Representing Numbers

### Part 5 — More Floating Point

From: Computer Systems, Chapter 2

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# Today: Floating Point

- Background: Fractional binary numbers
- IEEE floating point standard: Definition
- Example and properties
- **Rounding, addition, multiplication**
- Floating point in C
- Summary

# Floating Point Operations: Basic Idea

- $x +_f y = \text{Round}(x + y)$
- $x \times_f y = \text{Round}(x \times y)$
- Basic idea
  - First **compute exact result**
  - Make it fit into desired precision
    - Possibly overflow if exponent too large
    - Possibly **round to fit into frac**

# Rounding

- Rounding Modes (illustrate with \$ rounding)

•	\$1.40	\$1.60	\$1.50	\$2.50	-\$1.50
• Towards zero	\$1	\$1	\$1	\$2	-\$1
• Round down ( $-\infty$ )	\$1	\$1	\$1	\$2	-\$2
• Round up ( $+\infty$ )	\$2	\$2	\$2	\$3	-\$1
• Nearest Even (default)	\$1	\$2	\$2	\$2	-\$2



# Closer Look at Round-To-Even

- Default Rounding Mode
  - Hard to get any other kind without dropping into assembly
  - All others are statistically biased
    - Sum of set of positive numbers will consistently be over- or under-estimated
- Applying to Other Decimal Places / Bit Positions
  - When exactly halfway between two possible values
    - Round so that least significant digit is even
  - E.g., round to nearest hundredth

7.8949999	7.89	(Less than half way)
7.8950001	7.90	(Greater than half way)
7.8950000	7.90	(Half way—round up)
7.8850000	7.88	(Half way—round down)

# Rounding Binary Numbers

- Binary Fractional Numbers
  - “Even” when least significant bit is 0
  - “Half way” when bits to right of rounding position =  $100..._2$

- Examples

- Round to nearest  $1/4$  (2 bits right of binary point)

Value	Binary	Rounded	Action	Rounded Value
$2 \frac{3}{32}$	$10.00\textcolor{red}{011}_2$	$10.00_2$	( $<1/2$ —down)	2
$2 \frac{3}{16}$	$10.00\textcolor{red}{110}_2$	$10.01_2$	( $>1/2$ —up)	$2 \frac{1}{4}$
$2 \frac{7}{8}$	$10.11\textcolor{red}{100}_2$	$11.00_2$	( $1/2$ —up)	3
$2 \frac{5}{8}$	$10.10\textcolor{red}{100}_2$	$10.10_2$	( $1/2$ —down)	$2 \frac{1}{2}$

# FP Multiplication

- $(-1)^{s1} M1 2^{E1} \times (-1)^{s2} M2 2^{E2}$
- Exact Result:  $(-1)^s M 2^E$ 
  - Sign s:  $s1 \wedge s2$
  - Significand M:  $M1 \times M2$
  - Exponent E:  $E1 + E2$
- Fixing
  - If  $M \geq 2$ , shift M right, increment E
  - If E out of range, overflow
  - Round M to fit **frac** precision
- Implementation
  - Biggest chore is multiplying significands



# Floating Point Addition

- $(-1)^{s1} M1 2^{E1} + (-1)^{s2} M2 2^{E2}$

- Assume  $E1 > E2$

- Exact Result:  $(-1)^s M 2^E$

- Sign s, significand M:

- Result of signed align & add

- Exponent E:  $E1$

- Fixing

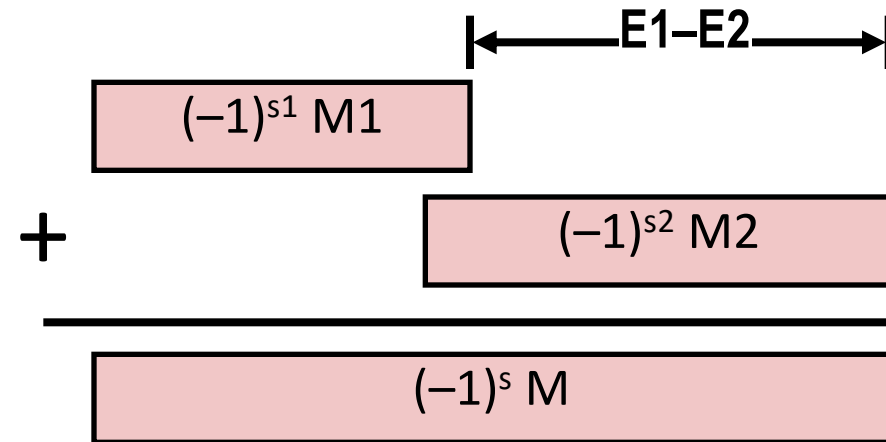
- If  $M \geq 2$ , shift M right, increment E

- if  $M < 1$ , shift M left k positions, decrement E by k

- Overflow if E out of range

- Round M to fit **frac** precision

Get binary points lined up



# Mathematical Properties of FP Add

- Compare to those of Abelian Group

- Closed under addition?

Yes

- But may generate infinity or NaN

- Commutative?

Yes

- Associative?

No

- Overflow and inexactness of rounding

- $(3.14 + 1e10) - 1e10 = 0$ ,  $3.14 + (1e10 - 1e10) = 3.14$

- 0 is additive identity?

- Every element has additive inverse?

Yes

- Yes, except for infinities & NaNs

Almost

- Monotonicity

- $a \geq b \Rightarrow a + c \geq b + c$ ?

Almost

- Except for infinities & NaNs

# Mathematical Properties of FP Mult

- Compare to Commutative Ring

- Closed under multiplication? Yes
  - But may generate infinity or NaN
- Multiplication Commutative? Yes
- Multiplication is Associative? No
  - Possibility of overflow, inexactness of rounding
  - Ex:  $(1e20 * 1e20) * 1e-20 = \text{inf}$ ,  $1e20 * (1e20 * 1e-20) = 1e20$
- 1 is multiplicative identity? Yes
- Multiplication distributes over addition? No
  - Possibility of overflow, inexactness of rounding
  - $1e20 * (1e20 - 1e20) = 0.0$ ,  $1e20 * 1e20 - 1e20 * 1e20 = \text{NaN}$

- Monotonicity

- $a \geq b \ \& \ c \geq 0 \Rightarrow a * c \geq b * c$ ? Almost
  - Except for infinities & NaNs

# Today: Floating Point

- Background: Fractional binary numbers
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- Example and properties
- Rounding, addition, multiplication
- **Floating point in C**
- Summary

# Floating Point in C

- C Guarantees Two Levels
  - **float**      single precision
  - **double**      double precision
- Conversions/Casting
  - Casting between **int**, **float**, and **double** changes bit representation
  - **double/float** → **int**
    - Truncates fractional part
    - Like rounding toward zero
    - Not defined when out of range or NaN: Generally sets to TMin
  - **int** → **double**
    - Exact conversion, as long as **int** has  $\leq 53$  bit word size
  - **int** → **float**
    - Will round according to rounding mode

# Floating Point Puzzles

- For each of the following C expressions, either:

- Argue that it is true for all argument values
- Explain why not true

```
int x = ...;  
float f = ...;  
double d = ...;
```

Assume neither  
**d** nor **f** is NaN

- `x == (int)(float) x`
- `x == (int)(double) x`
- `f == (float)(double) f`
- `d == (double)(float) d`
- `f == -(-f);`
- `2/3 == 2/3.0`
- `d < 0.0`  $\Rightarrow$  `((d*2) < 0.0)`
- `d > f`  $\Rightarrow$  `-f > -d`
- `d * d >= 0.0`
- `(d+f) - d == f`

# Summary

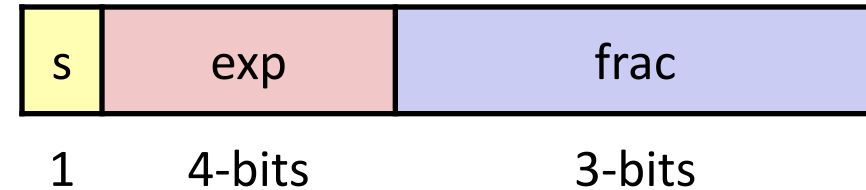
- IEEE Floating Point has clear mathematical properties
- Represents numbers of form  $M \times 2^E$
- One can reason about operations independent of implementation
  - As if computed with perfect precision and then rounded
- Not the same as real arithmetic
  - Violates associativity/distributivity
  - Makes life difficult for compilers & serious numerical applications programmers



# Creating Floating Point Number

- Steps

- Normalize to have leading 1
- Round to fit within fraction
- Postnormalize to deal with effects of rounding



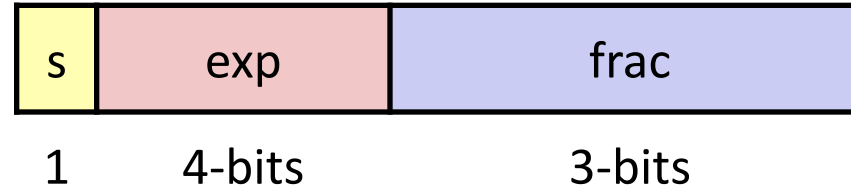
- Case Study

- Convert 8-bit unsigned numbers to tiny floating point format

Example Numbers

128	10000000
15	00001101
33	00010001
35	00010011
138	10001010
63	00111111

# Normalize



- Requirement
  - Set binary point so that numbers of form 1.xxxxx
  - Adjust all to have leading one
    - Decrement exponent as shift left

Value	Binary	Fraction	Exponent
128	10000000	1.0000000	7
15	00001101	1.1010000	3
17	00010001	1.0001000	4
19	00010011	1.0011000	4
138	10001010	1.0001010	7
63	00111111	1.1111100	5

# Rounding

1 . BBG**RXXX**

Guard bit: LSB of result

Sticky bit: OR of remaining bits

Round bit: 1<sup>st</sup> bit removed

- Round up conditions
  - Round = 1, Sticky = 1  $\rightarrow$   $> 0.5$
  - Guard = 1, Round = 1, Sticky = 0  $\rightarrow$  Round to even

Value	Fraction	GRS	Incr?	Rounded
128	1.000 <b>0000</b>	000	N	1.000
15	1.101 <b>0000</b>	100	N	1.101
17	1.000 <b>1000</b>	010	N	1.000
19	1.001 <b>1000</b>	110	Y	1.010
138	1.000 <b>1010</b>	011	Y	1.001
63	1.111 <b>1100</b>	111	Y	10.000

# Postnormalize

- Issue
  - Rounding may have caused overflow
  - Handle by shifting right once & incrementing exponent

Value	Rounded	Exp	Adjusted	Result
128	1.000	7		128
15	1.101	3		15
17	1.000	4		16
19	1.010	4		20
138	1.001	7		134
63	10.000	5	1.000/6	64

# Interesting Numbers

{single, double}

<i>Description</i>	<i>exp</i>	<i>frac</i>	<i>Numeric Value</i>
• Zero	00...00	00...00	0.0
• Smallest Pos. Denorm.	00...00	00...01	$2^{-\{23,52\}} \times 2^{-\{126,1022\}}$
• Single $\approx 1.4 \times 10^{-45}$			
• Double $\approx 4.9 \times 10^{-324}$			
• Largest Denormalized	00...00	11...11	$(1.0 - \epsilon) \times 2^{-\{126,1022\}}$
• Single $\approx 1.18 \times 10^{-38}$			
• Double $\approx 2.2 \times 10^{-308}$			
• Smallest Pos. Normalized	00...01	00...00	$1.0 \times 2^{-\{126,1022\}}$
• Just larger than largest denormalized			
• One	01...11	00...00	1.0
• Largest Normalized	11...10	11...11	$(2.0 - \epsilon) \times 2^{\{127,1023\}}$
• Single $\approx 3.4 \times 10^{38}$			
• Double $\approx 1.8 \times 10^{308}$			

# Module 1 (Part 5)

## Summary

- Implement floating point addition and multiplication
- Implement floating point Encoding (Normalization, Rounding, etc.)