

Corollary. Let  $V$  be a  $K$ -v.s. with  $\dim_K V = n$

$$\text{Then } V \cong K^n$$

## 6 Dimension formulas

Theorem (1<sup>st</sup> dimension formula)

Let  $f: V \rightarrow V'$  be a  $K$  linear map. Then

$$\dim_K V = \dim_K \text{Ker } f + \dim_K \text{Im } f$$

Theorem (2<sup>nd</sup> dimension formula)

Let  $V$  be a  $K$ -v.s.,  $S, T \subseteq V$

Then  $\dim_K S + \dim_K T = \dim_K (S+T) + \dim_K (S \cap T)$

$$\dim_K (S+T) = \dim_K S + \dim_K T - \dim_K (S \cap T)$$

Course 7

## Chapter 3 Matrices and linear systems

### 1 Elementary operations

Definition: Let  $V$  be a  $K$ -vector space. Then by an elementary operation we mean any of the following functions:

•  $E_{ij}: V^n \rightarrow V^n$  ( $m \in \mathbb{N}^*$  fixed)

$$E_{ij}(v_1, \dots, v_i, \dots, v_j, \dots, v_m) =$$

$$= (v_1, \dots, v_j, \dots, v_i, \dots, v_m)$$

pos  $i$       pos  $j$       -vectors are interchanged

•  $E_{i\alpha}: V^n \rightarrow V^n$

$$E_{i\alpha}(v_1, \dots, v_i, \dots, v_n) = (v_1, \dots, \alpha v_i, \dots, v_n)$$

•  $E_{ij\alpha} : V^n \rightarrow V^n, \alpha \in K$

$$E_{ij\alpha} (v_1, \dots, v_i, \dots, v_j, \dots, v_n) = (v_1, \dots, \underset{\substack{\uparrow \\ \text{pos } i}}{v_i}, \dots, \alpha v_i + v_j, \dots, \underset{\substack{\uparrow \\ \text{pos } j}}{v_j}, \dots, v_n)$$

Lemma Let  $V$  be a  $K$  vect space and  $n \in \mathbb{N}^*$ .

Then  $V^n$  is a  $K$  vect space with respect to the operations:

$$\left\{ \begin{aligned} (v_1, \dots, v_n) + (v_1', \dots, v_n') &= (v_1 + v_1', \dots, v_n + v_n') \\ k \cdot (v_1, \dots, v_n) &= (k v_1, \dots, k v_n) \end{aligned} \right.$$

$$\forall (v_1, \dots, v_n), (v_1', \dots, v_n') \in V^n$$

$$\forall k \in K$$

Theorem With the previous notation  $E_{ij}, E_{i\alpha}, E_{ij\alpha} \in \text{Aut}(V^n)$

Definition Let  $X = (v_1, \dots, v_n), X' = (v_1', \dots, v_n')$  be lists of vectors in a  $K$  v.s.  $V$ . Then  $X$  and  $X'$  are equivalent (not  $X \sim X'$ ) if one of them can be obtained from the other one by a finite number of elementary operations

Remarks

(a)  $X \sim X' \Leftrightarrow X' \sim X$

(b) ' $\sim$ ' is an equivalence relation on lists of vectors

Theorem Let  $V$  be a  $K$  v.s. and  $X, X'$  be lists

s.t.  $X \sim X'$  (with  $n$  vectors). Then:

(i)  $X$  is linearly independent in  $V \Leftrightarrow$  so is  $X'$

(ii)  $X$  is a system of generators <sup>for  $V$</sup>   $\Leftrightarrow$  so is  $X'$

(iii)  $X$  is a basis of  $V \Leftrightarrow$  so is  $V'$



• Let  $A \in M_{m,n}(K)$ , say  $A = (a_{ij})$

We may view  $A$  as a list of column-vectors  $(a^1, a^2, \dots, a^n)$ , where  $a^j = \begin{pmatrix} a_{1j} \\ a_{2j} \\ \vdots \\ a_{mj} \end{pmatrix}$ ,  $j = \overline{1, n}$

Also, we may view  $A$  as a list of row-vectors  $(a_1, a_2, \dots, a_m)$ , where  $a_i = (a_{i1} \ a_{i2} \ \dots \ a_{in})$   
 $\forall i = \overline{1, m}$

Say  $A = (a^1, \dots, a^n)$ . Then the elementary operations on the set  $A$  become:

- interchange 2 columns
- multiply a column by a scalar
- multiply a column by  $\lambda \in K$  and add it to another column.

Theorem The value of an elementary operation applied on  $A = (a_{ij}) \in M_{m,n}(K)$ , seen as a list of column vectors  $(a^1, \dots, a^n)$ , is equal to  $A$  multiplied on the right hand side by the matrix obtained from the identity matrix  $I_n$ , also seen as a list of column vectors by applying the same elem. operation.

E.g. take  $E_{12}$ :  $E_{12}(A) = E_{12}(a^1, \dots, a^n) = (a^2, a^1, \dots, a^n) =$

$$= \begin{pmatrix} a_{21} & a_{11} & a_{13} & \dots & a_{1n} \\ a_{22} & a_{21} & a_{23} & \dots & a_{2n} \\ \vdots & \vdots & \vdots & & \vdots \\ a_{m1} & a_{m1} & a_{m3} & & a_{mn} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

$$= \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{pmatrix} \begin{pmatrix} 0 & 1 & \dots & 0 \\ 1 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{pmatrix} =$$

$$= A \cdot E_n(I_n)$$

Def: With the previous notation,  $E_{ij}(I_n)$ ,  $E_{ik}(I_n)$ ,  $E_{jix}(I_n)$  are called elementary matrices.

Note that they are invertible!

Definition: We say that  $A \in M_{m,n}(K)$  is in echelon form (forme escalon) with  $r$  non-zero rows if: (1) the first  $r$  rows of  $A$  are non-zero

$$(2) \quad 0 \leq N(1) < N(2) < \dots$$

where  $N(i)$  denotes the number of zero elements from the beginning of row  $i$  ( $i = \overline{1, m}$ )

Theorem Every  $A \neq 0_{m,n}$  is equivalent to a matrix in echelon form

Example

$$A = \begin{pmatrix} 1 & 1 & -1 & 2 \\ 3 & 2 & -2 & 6 \\ -1 & 1 & 1 & 0 \end{pmatrix} \xrightarrow{\substack{R_2 \leftarrow (-3R_1 + R_2) \\ R_3 \leftarrow R_1 + R_3}} \begin{pmatrix} 1 & 1 & -1 & 2 \\ 0 & -1 & 1 & 0 \\ -1 & 1 & 1 & 0 \end{pmatrix} \xrightarrow{R_3 \leftarrow R_1 + R_3} \begin{pmatrix} 1 & 1 & -1 & 2 \\ 0 & -1 & 1 & 0 \\ 0 & 2 & 0 & 2 \end{pmatrix} \xrightarrow{R_3 \leftarrow 2R_2 + R_3} \begin{pmatrix} 1 & 1 & -1 & 2 \\ 0 & -1 & 1 & 0 \\ 0 & 0 & 2 & 2 \end{pmatrix}$$



## ② Applications of elem. operations

Theorem Let  $A \in M_{m,n}(K)$ . Then:

$$\text{rank}(A) = \dim_K \langle a^1, \dots, a^n \rangle = \dim_K \langle a_1, \dots, a_m \rangle$$

Theorem Let  $A \in M_{m,n}(K)$  having an echelon form  $C$  with  $r$  non-zero rows.

$$\text{Then } \text{rank}(A) = \text{rank}(C) = r //$$

Example The rank of  $A$  from the previous example is 3 (the number of non-zero rows of an echelon form of  $A$ )

Theorem Let  $A \in M_n(K)$  with  $\det A \neq 0$ . Then  $A$  is equivalent to  $I_n$  and  $A^{-1}$  is obtained from  $I_n$  by applying the same elem. operations as one does to obtain  $I_n$  from  $A$ .

Example  $A = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 2 & 1 \end{pmatrix}$

$$\det A = 1 \neq 0 \Rightarrow \exists A^{-1}$$

$$\left( \begin{array}{ccc|ccc} \textcircled{0} & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 & 1 & 0 \\ 1 & 2 & 1 & 0 & 0 & 1 \end{array} \right) \xrightarrow{R_1 \leftrightarrow R_3} \left( \begin{array}{ccc|ccc} 1 & 2 & 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \end{array} \right)$$

$\underbrace{\quad}_A \quad \underbrace{\quad}_{I_3}$

$$\xrightarrow{R_2 \leftarrow (-1) \cdot R_1 + R_2} \left( \begin{array}{ccc|ccc} 1 & 2 & 1 & 0 & 0 & 1 \\ 0 & \textcircled{-1} & 0 & 0 & 1 & -1 \\ 0 & 1 & 1 & 1 & 0 & 0 \end{array} \right) \xrightarrow{R_3 \leftarrow R_2 + R_3} \left( \begin{array}{ccc|ccc} 1 & 2 & 1 & 0 & 0 & 1 \\ 0 & -1 & 0 & 0 & 1 & -1 \\ 0 & 0 & 1 & 1 & 1 & -1 \end{array} \right)$$

$\sim \begin{pmatrix} 1 & 2 & 0 & 1 & -1 & -1 & 2 \\ 0 & -1 & 0 & 0 & 1 & -1 \\ 0 & 0 & 1 & 1 & 1 & -1 \end{pmatrix} \xrightarrow{R_1 \leftarrow (-1)R_2 + R_1} \begin{pmatrix} 1 & 0 & 0 & -1 & 1 & 0 \\ 0 & -1 & 0 & 0 & 1 & -1 \\ 0 & 0 & 1 & 1 & 1 & -1 \end{pmatrix}$

$\xrightarrow{R_2 \leftarrow (-1)R_2} \begin{pmatrix} 1 & 0 & 0 & -1 & 1 & 0 \\ 0 & 1 & 0 & 0 & -1 & 1 \\ 0 & 0 & 1 & 1 & 1 & -1 \end{pmatrix}$

$\Rightarrow A^{-1} = \begin{pmatrix} -1 & 1 & 0 \\ 0 & -1 & 1 \\ 1 & 1 & -1 \end{pmatrix}$

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## Course 9

Definition : Let  $V$  be a  $K$  vector space and  $B = (v_1, \dots, v_n)$  a basis of  $V$ , and  $X = (u_1, \dots, u_m)$  a list of vectors in  $V$ . Then we may write (uniquely) :

$$\begin{cases} u_1 = a_{11} \cdot v_1 + \dots + a_{1n} \cdot v_n \\ \vdots \\ u_m = a_{m1} \cdot v_1 + \dots + a_{mn} \cdot v_n \end{cases}$$

Then we denote :  $[x]_{\beta} = (a_{ij}) \in M_{m,n}(K)$

and we call it the matrix of  $X$  in the basis  $B$ .

Example : Consider the canonical vector space  $\mathbb{R}^4$  and  $X = (u_1, u_2, u_3)$  where  $u_1 = (1, 2, 3, 4)$

$$\mu_2 = (5, 6, 7, 8)$$

$$u_3 = (9, 10, 11, 12)$$

$$[x]_E = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 5 & 6 & 7 & 8 \\ 9 & 10 & 11 & 12 \end{pmatrix}$$



Theorem Let  $V$  be a  $K$  vector space,  $B$  a basis of  $V$ , and  $X$  a list of vectors in  $V$ . Then  $\dim \langle X \rangle = \text{rank}([X]_B)$

and a basis of  $\langle X \rangle$  consists of the non-zero rows from an echelon form of  $[X]_B$ .

### 13] Matrix of a linear map

Definition Let  $V$  be a  $K$  vector space,  $B = (v_1, \dots, v_n)$  a basis of  $V$  and  $u \in V$ . Then we may uniquely write

$$u = k_1 v_1 + \dots + k_n v_n$$

Then we denote by  $[u]_B = \begin{pmatrix} k_1 \\ \vdots \\ k_n \end{pmatrix} \in M_{n,1}(K)$  and we call it the matrix of  $u$  in the basis  $B$ .

Definition Let  $f: V \rightarrow V'$  be a  $K$  linear map, let  $B = (v_1, \dots, v_n)$  be a basis of  $V$ , let  $B' = (v'_1, \dots, v'_m)$  be a basis of  $V'$ .

Then, we may uniquely write:

$$\begin{cases} f(v_1) = a_{11}v'_1 + a_{21}v'_2 + \dots + a_{m1}v'_m \\ f(v_2) = a_{12}v'_1 + a_{22}v'_2 + \dots + a_{m2}v'_m \\ \vdots \\ f(v_n) = a_{1n}v'_1 + a_{2n}v'_2 + \dots + a_{mn}v'_m \end{cases}$$

Then we denote

$$[f]_{B'B} = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{pmatrix} = (a_{ij}) \in M_{m,n}(K)$$

$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$   
 $f(v_1) \quad f(v_2) \quad f(v_n)$

and we call it the matrix of  $f$  in the bases  $B, B'$

If  $V = V'$  and  $B = B'$ , then we denote

$$[f]_B = ([f])_{BB}$$

$$f(v_j) = \sum_{i=1}^m a_{ij} v_i, \quad \forall j = \overline{1, m}$$

Example let  $f: \mathbb{R}^4 \rightarrow \mathbb{R}^3$ ,  $f(x, y, z, t) = (x+y+z, y+z+t, z+t)$

This is an  $\mathbb{R}$ -linear map. Consider the canonical bases  $E$  of  $\mathbb{R}^4$  and  $E'$  of  $\mathbb{R}^3$ . Let us compute

$$[f]_{E'E}$$

$$f(e_1) = f(1, 0, 0, 0) = (1, 0, 1) = e_1' + e_3'$$

$$f(e_2) = f(0, 1, 0, 0) = (1, 1, 0) = e_1' + e_2'$$

$$f(e_3) = f(0, 0, 1, 0) = (1, 1, 1) = e_1' + e_2' + e_3'$$

$$f(e_4) = f(0, 0, 0, 1) = (0, 1, 1) = e_2' + e_3'$$

$$\Rightarrow [f]_{E'E} = \begin{pmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{pmatrix}$$

$$f(e_3) = (1, 1, 1) = e_1' + e_2' + e_3'$$

Conversely, given  $[f]_{E'E}$ , one may recover the definition of  $f$ .

$$\forall v = (x, y, z, t) \in \mathbb{R}^4, f(v) =$$

$$f(v) = f(x \cdot e_1 + y \cdot e_2 + z \cdot e_3 + t \cdot e_4) \stackrel{f \text{ K.L. map}}{=} x f(e_1) + y f(e_2) + z f(e_3) + t f(e_4) =$$

$$= x f(e_1) + y f(e_2) + z f(e_3) + t f(e_4) =$$

$$= x \cdot (1, 0, 1) + y \cdot (1, 1, 0) + z \cdot (1, 1, 1) + t \cdot (0, 1, 1) =$$

$$= (x+y+z, y+z+t, x+z+t)$$

Theorem let  $f: V \rightarrow V'$  be a  $K$ -linear map.

$B = (v_1, \dots, v_m)$  be a basis of  $V$ ,  $B' = (v_1', \dots, v_m')$  a basis of  $V'$ , and  $v \in V$ . Then

$$[f(v)]_{B'} = [f]_{B'B} \cdot [v]_B$$



Proof: Let  $[f]_{BB'} = (a_{ij}) \in M_{m,n}(K)$

$$\forall j \in \overline{1, n}, f(v_j) = \sum_{i=1}^m a_{ij} \cdot v_i' \quad (1)$$

$$\text{Let } v = \sum_{j=1}^n k_j v_j, \quad k_j \in K \quad (2)$$

$$f(v) = \sum_{i=1}^m \underbrace{k_i'}_{\text{def}} \cdot v_i', \quad k_i' \in K \quad (3)$$

On the other hand, we have:

$$f(v) \stackrel{(2)}{=} f\left(\sum_{j=1}^n k_j \cdot v_j\right) \stackrel{\text{K.L.M.}}{=} \sum_{j=1}^n k_j \cdot f(v_j) \stackrel{(1)}{=}$$

$$= \sum_{j=1}^n k_j \cdot \left( \sum_{i=1}^m a_{ij} \cdot v_i' \right) = \sum_{i=1}^m \underbrace{\left( \sum_{j=1}^n a_{ij} \cdot k_j \right)}_{\text{def}} \cdot v_i' \quad (4)$$

(3), (4) are writings of the same  $f(v)$  as linear combinations of the vectors in the basis  $B'$ . But such a writing is unique

$$\Rightarrow k_i' = \sum_{j=1}^n a_{ij} \cdot k_j, \quad i = \overline{1, m}$$

$$\begin{pmatrix} k_1' \\ \vdots \\ k_m' \end{pmatrix} = (a_{ij}) \cdot \begin{pmatrix} k_1 \\ \vdots \\ k_n \end{pmatrix}$$

$$\Rightarrow [f(v)]_{B'} = [f]_{BB'} \cdot [v]_B$$

Theorem Let  $f: V \rightarrow V'$  be a  $K$  linear map. Then

$\dim(\text{Im} f) = \text{rank}([f]_{BB'})$  in any pair of bases  $B, B'$  of  $V, V'$  respectively

•  $\dim(\text{Im} f)$  is also denoted by  $\text{rank}(f)$  and called the rank of  $f$ .

Theorem Let  $V, V', V''$  be  $K$  v.s.,  $B = (v_1, \dots, v_m)$  be a basis of  $V$ ,  $B' = (v_1', \dots, v_m')$  a basis of  $V'$ ,  $B'' = (v_1'', \dots, v_m'')$  a basis of  $V''$ . Then  $\forall f, g \in \text{Hom}_K(V, V')$  ~~not~~  $\{ f: V \rightarrow V' \mid f \text{ is a } K \text{ lin. map} \}$ ,  $\forall h \in \text{Hom}_K(V', V'')$ , we have

$$\begin{cases} [f+g]_{BB'} = [f]_{BB'} + [g]_{BB'} \\ [k \cdot f]_{BB'} = k \cdot [f]_{BB'} \\ [h \circ f]_{BB''} = [h]_{B'B''} \cdot [f]_{BB'} \end{cases}$$

and  $\text{Hom}_K(V, V')$  is a  $K$  vect. space.  
without proof

Proof: Denote

$$\begin{aligned} \cdot [f]_{BB'} &= (a_{ij}) \in M_{m,n}(K) \\ \forall j = \overline{1, n}, \quad f(v_j) &= \sum_{i=1}^m a_{ij} \cdot v_i' \end{aligned}$$

$$\begin{aligned} \cdot [g]_{BB'} &= (b_{ij}) \in M_{m,n}(K) \\ \forall j = \overline{1, n}, \quad g(v_j) &= \sum_{i=1}^m b_{ij} \cdot v_i' \end{aligned}$$

$$\begin{aligned} \cdot [h]_{B'B''} &= (c_{ki}) \in M_{p,m}(K) \\ \forall i = \overline{1, m}, \quad h(v_i') &= \sum_{k=1}^p c_{ki} \cdot v_k'' \end{aligned}$$

$$\begin{aligned} \text{We have } (f+g)(v_j) &= f(v_j) + g(v_j) = \\ &= \sum_{i=1}^m a_{ij} \cdot v_i' + \sum_{i=1}^m b_{ij} \cdot v_i' = \\ &= \sum_{i=1}^m (a_{ij} + b_{ij}) \cdot v_i', \quad \forall j = \overline{1, n} \end{aligned}$$



$$\Rightarrow [f+g]_{BB'} = (a_{ij} + b_{ij}) = [f]_{BB'} + [g]_{BB'} \\ a_{ij} + b_{ij}$$

$$\begin{aligned} \cdot (k \cdot f)(v_j) &= k \cdot f(v_j) = k \cdot \sum_{i=1}^m a_{ij} \cdot v_i' = \\ &= \sum_{i=1}^m (k \cdot a_{ij}) \cdot v_i' \Rightarrow [k \cdot f]_{BB'} = k \cdot a_{ij} = k \cdot [f]_{BB'} \end{aligned}$$

$$\cdot (h \circ f)(v_j) = h \left( \sum_{i=1}^m a_{ij} \cdot v_i' \right) =$$

$$= \sum_{i=1}^m a_{ij} \cdot h(v_i') =$$

$$= \sum_{i=1}^m a_{ij} \cdot \left( \sum_{k=1}^p c_{ki} \cdot v_k'' \right) =$$

$$= \sum_{k=1}^p \left( \sum_{i=1}^m c_{ki} \cdot a_{ij} \right) \cdot v_k''$$

$$\Rightarrow [h \circ f]_{BB''} = \sum_{i=1}^m c_{ki} \cdot a_{ij} = (c_{ki}) \cdot (a_{ij}) = [h]_{BB''} [f]_{BB'}$$

Corollary Let  $V$  and  $V'$  be  $K$  vector spaces. with  $\dim_K V = n$  and  $\dim_K V' = m$ . Then the map

$$\tau: \text{Hom}_K(V, V') \rightarrow M_{m,n}(K)$$

$$\tau(f) = [f]_{BB'}$$

where  $B, B'$  are bases of  $V, V'$ , respectively, is an isomorphism of  $K$  vector spaces.

•  $\tau$  bijective

•  $\forall f, g \in \text{Hom}_K(V, V'), \tau(f+g) = \tau(f) + \tau(g)$

•  $\forall k \in K, \forall f \in \text{Hom}_K(V, V'), \tau(k \cdot f) = k \cdot \tau(f)$

Corollary Let  $V$  be a  $K$  vector space with  $\dim_K V = n$

Then:  $\tau: \text{End}_K(V) \rightarrow M_n(K)$

$$\tau(f) = [f]_B$$

where  $B$  is a basis of  $V$ , is an isomorphism of  $K$  vector spaces and an isomorphism between the rings  $(\text{End}_K(V), +, \cdot)$  and  $(M_n(K), +, \cdot)$

Corollary Let  $V$  be a  $K$  vector space and  $\dim_K V = n$  and  $f \in \text{End}_K(V)$ . Then  $f \in \text{Aut}_K(V) \Leftrightarrow$   
 $\Leftrightarrow f$  is invertible in the ring  $(\text{End}_K(V), +, \cdot)$   
 $\Leftrightarrow T(f) = [f]_B$  is invertible in the ring  $(M_n(K), +, \cdot)$   
 $\Leftrightarrow \det [f]_B \neq 0$

### Course 10

#### [14] Change of bases

Definition: Let  $V$  be a  $K$ -vector space,  $B = (v_1, \dots, v_n)$ ,  $B' = (v_1', \dots, v_n')$  be bases of  $V$ .

Then we may write uniquely

$$v_1' = t_{11} \cdot v_1 + t_{12} \cdot v_2 + \dots + t_{1n} \cdot v_n$$

$$v_2' = t_{21} \cdot v_1 + t_{22} \cdot v_2 + \dots + t_{2n} \cdot v_n$$

$$v_n' = t_{n1} \cdot v_1 + t_{n2} \cdot v_2 + \dots + t_{nn} \cdot v_n$$

Then  $T_{BB'} = (t_{ij}) \in M_n(K)$  is called the change matrix from  $B$  to  $B'$

Remark. We put the set of coordinates on the columns of  $T_{BB'}$ .



Theorem With the above notation,  $T_{BB'}$  is invertible and  $T_{B'B} = T_{BB'}^{-1}$

Proof:  $v_j' = \sum_{i=1}^n t_{ij} v_i$ ,  $\forall j = \overline{1, n}$  (1)

Denote  $S = T_{B'B} = (s_{ki}) \in M_n(K)$



$$v_i = \sum_{k=1}^n s_{ki} \cdot v_k', \quad i = \overline{1, m} \quad (2)$$

$$(1), (2) \Rightarrow v_j' = \sum_{i=1}^n \underbrace{t_{ij}}_{B'} \sum_{k=1}^n s_{ki} \cdot v_k' = \sum_{k=1}^n \left( \sum_{i=1}^n s_{ki} \cdot t_{ij} \right) \cdot v_k'$$

$$v_j' = 1 \cdot v_j' \quad \text{unique writing}$$

$$\Rightarrow \sum_{i=1}^n s_{ki} \cdot t_{ij} = \begin{cases} 1, & \text{if } k=j \\ 0, & \text{if } k \neq j \end{cases} \quad j, k = \overline{1, m}$$

$$\Rightarrow S \cdot T_{BB'} = I_n$$

$\Rightarrow T_{BB'}$  has  $S$  as a left inverse

Similarly,  $S$  is also a right inverse of  $T_{BB'}$

$$\Rightarrow T_{BB'}^{-1} = S = T_{B'B}$$

Theorem With the above notation we have :

$$[v]_B = T_{BB'} \cdot [v]_{B'}, \quad \forall v \in V$$

Proof:  $T_{BB'} = (t_{ij}) \in M_n(K)$

$$(1) \quad v_j' = \sum_{i=1}^n t_{ij} \cdot v_i, \quad j = \overline{1, n}$$

$$(2) \quad v = \sum_{i=1}^n k_i v_i$$

$$(3) \quad v = \sum_{j=1}^n k_j' \cdot v_j'$$

Replace (1) into (3) to get:

$$v = \sum_{j=1}^n k_j' \cdot \sum_{i=1}^n t_{ij} \cdot v_i = \sum_{i=1}^n \left( \sum_{j=1}^n t_{ij} \cdot k_j' \right) \cdot v_i \quad (4)$$

(2), (4) and the unique writing of  $v$  as a linear combination of the vectors in  $B$

$$\Rightarrow k_i = \sum_{j=1}^3 k_{ij} \cdot k_j \quad i = \overline{1, n}$$

$$\begin{pmatrix} k_1 \\ \vdots \\ k_n \end{pmatrix} = (k_{ij}) \cdot \begin{pmatrix} k_1' \\ \vdots \\ k_m' \end{pmatrix}$$

$$\Rightarrow [u]_B = T_{BB'} \cdot [u]_{B'}$$

Theorem Let  $f \in \text{End}_{\mathbb{R}}(V)$ ,  $B, B'$  be bases of  $V$

Then:  $[f]_{B'} = T_{BB'}^{-1} [f]_B \cdot T_{BB'}$

Example In the canonical real vector space  $_{\mathbb{R}}\mathbb{R}^3$ , consider the bases

$E = (e_1, e_2, e_3)$  - the canonical basis

$B = (v_1, v_2, v_3)$ , where  $v_1 = (0, 1, 1)$

$$v_2 = (1, 1, 2)$$

$$v_3 = (1, 1, 1)$$

$$T_{BB'} = ?$$

$$T_{B'B} = ?$$

$$T_{EB} = ?$$

$$T_{BE} = ?$$

$$\begin{cases} v_1 = e_2 + e_3 \\ v_2 = e_1 + e_2 + 2e_3 \\ v_3 = e_1 + e_2 + e_3 \end{cases}$$

$$\Rightarrow T_{EB} = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 2 & 1 \end{pmatrix}$$

$T_{BE} = T_{EB}^{-1} = \dots$  OR express the vectors of  $E$  as linear comb of vectors of  $B$

$$\begin{cases} e_1 = -v_1 + v_3 \\ e_2 = v_1 - v_2 + v_3 \\ e_3 = v_2 - v_3 \end{cases}$$

$$T_{BE} = \begin{pmatrix} -1 & 1 & 0 \\ 0 & -1 & 1 \\ 1 & 1 & -1 \end{pmatrix}$$



Now take a vector  $u = (1, 2, 3)$

$$[u]_E = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} \quad [u]_B = ?$$

$$[u]_B = T_{BE} \cdot [u]_E =$$
$$= \begin{pmatrix} -1 & 1 & 0 \\ 0 & -1 & 1 \\ 1 & 1 & -1 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} =$$

$$= \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}$$

Now let  $f: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  given by  
 $f(x, y, z) = (x+y, y-z, z+x)$

$$f(e_1) = f(1, 0, 0) = (1, 0, 1)$$

$$f(e_2) = f(0, 1, 0) = (1, 1, 0)$$

$$f(e_3) = f(0, 0, 1) = (0, -1, 1)$$

$$\Rightarrow [f]_E = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & -1 \\ 1 & 0 & 1 \end{pmatrix}$$

$$[f]_B = T_{EB}^{-1} \cdot [f]_E \cdot T_{EB} =$$

$$= \begin{pmatrix} -1 & 1 & 0 \\ 0 & -1 & 1 \\ 1 & 1 & -1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & -1 \\ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 2 & 1 \end{pmatrix} =$$

$$= \dots = \begin{pmatrix} -1 & -3 & -2 \\ 1 & 4 & 2 \\ 0 & -2 & 0 \end{pmatrix}$$

## 15. Eigenvectors and eigenvalues (vector propri și valori propri)

Definition Let  $f \in \text{End}_K V$ .

Then a non-zero  $v \in V$  is called an eigenvector of  $f$  if  $\exists \lambda \in K$  such that  $f(v) = \lambda \cdot v$ .

Remark Assume that  $\exists \lambda, \lambda' \in K$  s.t.

$$f(v) = \lambda \cdot v \text{ and } f(v) = \lambda' \cdot v$$

$$\Rightarrow \lambda \cdot v = \lambda' \cdot v$$

$$\Rightarrow (\lambda - \lambda') \underset{v \neq 0}{v} = 0 \Rightarrow \lambda - \lambda' = 0$$

$$\lambda = \lambda'$$

$\lambda$  is called the eigenvalue of  $f$  corresponding to the eigenvector  $v$ .

For an eigenvalue  $\lambda \in K$ , denote

$V(\lambda) = \{v \in V \mid f(v) = \lambda \cdot v\}$  - the set consisting of 0 and all eigenvectors of  $f$  having eigenvalue  $\lambda$ .

Theorem Let  $f \in \text{End}_K(V)$  and  $\lambda$  be an eigenvalue of  $f$ . Then  $V(\lambda) \leq_K V$ , called the eigenspace of  $\lambda$  or the characteristic subspace of  $\lambda$ .

Proof:  $0 \in V(\lambda) \neq \emptyset$

Let  $k_1, k_2 \in K$  and  $v_1, v_2 \in V(\lambda)$

We show that  $k_1 v_1 + k_2 v_2 \in V(\lambda)$

$$f(k_1 v_1 + k_2 v_2) = k_1 f(v_1) + k_2 f(v_2) =$$

$$= k_1 (\lambda v_1) + k_2 (\lambda v_2) =$$

$$= \lambda (k_1 v_1 + k_2 v_2) \Rightarrow k_1 v_1 + k_2 v_2 \in V(\lambda)$$



Theorem Let  $f \in \text{End}_K(V)$ ,  $B = (v_1, \dots, v_n)$  be a basis of  $V$ ,  $A = [f]_B = (a_{ij}) \in M_n(K)$ .  
Then  $\lambda \in K$  is an eigenvalue of  $f \Leftrightarrow$

$$\begin{vmatrix} \boxed{a_{11} - \lambda} & a_{12} & \dots & a_{1n} \\ a_{21} & \boxed{a_{22} - \lambda} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & \boxed{a_{nn} - \lambda} \end{vmatrix} = 0 \quad \begin{array}{l} \text{-characteristic} \\ \text{equation} \end{array}$$

$\lambda \in \mathbb{R}, \mathbb{C}$        $\downarrow$   
characteristic det

Remark: Note that the eigenvalues of  $f$  do not depend on the choice of the basis  $B$ !

Proof of theorem:

$\lambda \in K$  is an eigenvalue of  $f \Rightarrow \exists 0 \neq v \in V$  such that  $f(v) = \lambda \cdot v$

We have  $f(v) = \lambda \cdot v \Leftrightarrow f(v) - \lambda \cdot v = 0$

$$\Rightarrow [f(v) - \lambda \cdot v]_B = [0]_B \Rightarrow [f(v)]_B - \lambda \cdot [v]_B = [0]_B$$

$$\Leftrightarrow [f]_B \cdot [v]_B - \lambda [v]_B = [0]_B$$

$$\Leftrightarrow ([f]_B - \lambda I_n) [v]_B = [0]_B$$

$$\Leftrightarrow (A - \lambda I_n) \underset{\neq 0}{[v]_B} = [0]_B$$

we may uniquely write

$$u = x_1 v_1 + \dots + x_n v_n$$

for some  $x_1, \dots, x_n \in K$

$$\Rightarrow [v]_B = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$

$$\text{Then } (*) \Leftrightarrow (A - \lambda I_n) \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix} \in M_{n,1}(K)$$

$$(*) \Leftrightarrow \begin{cases} (a_{11} - \lambda)x_1 + a_{12}x_2 + \dots + a_{1n}x_n = 0 \\ a_{21}x_1 + (a_{22} - \lambda)x_2 + \dots + a_{2n}x_n = 0 \\ \vdots \\ a_{n1}x_1 + \dots + (a_{nn} - \lambda)x_n = 0 \end{cases}$$

characteristic system

Hence  $\lambda \in K$  is an eigenvalue of  $f \Leftrightarrow$

$\Leftrightarrow (*)$  has a non-zero solution

$$\Leftrightarrow \det S = 0 \Rightarrow |A - \lambda I_n| = 0$$

Theorem Let  $f \in \text{End}_K V$  with  $\dim_K V = n$  and assume  $f$  has  $n$  distinct eigenvalues  $\lambda_1, \dots, \lambda_n$ . Then

$$[f]_B = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix}$$

where  $B$  is a basis consisting of the eigenvectors of  $f$ .

Example Let  $f \in \text{End}_{\mathbb{R}} \mathbb{R}^3$   $f(x, y, z) = (2x, y + 2z, -y + 4z)$

$$f(e_1) = (2, 0, 0)$$

$$f(e_2) = (0, 1, -1)$$

$$f(e_3) = (0, 2, 4)$$

$$\Rightarrow A = [f]_E = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 2 \\ 0 & -1 & 4 \end{pmatrix}$$



$\lambda \in \mathbb{R}$  is an eigenvalue of  $f$

$$\Leftrightarrow \begin{vmatrix} 2-\lambda & 0 & 0 \\ 0 & 1-\lambda & 2 \\ 0 & -1 & 4-\lambda \end{vmatrix} = 0$$

$$\Leftrightarrow \begin{cases} \lambda_1 = \lambda_2 = 2 \\ \lambda_3 = 3 \end{cases}$$

I  $\lambda_1 = \lambda_2 = 2$ . Then the eigenvectors of  $f$  having the eigenvalues  $\lambda_1 = \lambda_2 = 2$  are the solutions of the system

$$\begin{pmatrix} 2-\lambda_1 & 0 & 0 \\ 0 & 1-\lambda_1 & 2 \\ 0 & -1 & 4-\lambda_1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & -1 & 2 \\ 0 & -1 & 2 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

$$\Rightarrow \begin{cases} -x_2 + 2x_3 = 0 \\ -x_2 + 2x_3 = 0 \end{cases} \Rightarrow x_2 = 2x_3$$

The solutions are  $(x_1, 2x_3, x_3)$   $x_1, x_3 \in \mathbb{R}$

$$V(2) = \{(x_1, 2x_3, x_3) \mid x_1, x_3 \in \mathbb{R}\} =$$

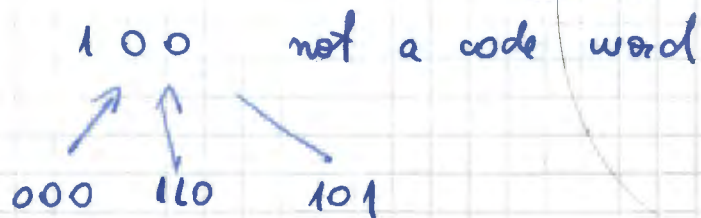
$$= \langle (1, 0, 0), (0, 2, 1) \rangle$$

$$\text{II } \lambda_3 = 3$$

Homework

password: coding 19

# lecture 11



111  $\rightarrow$  101 - discovers 2, corrects 1

$(n, k, d)$  codes

min hamming distance between code words

$$\begin{array}{r}
 1) \quad X^6 + X^4 \\
 \underline{-X^4 - X^3 - X^2 - X} \\
 -X^5 - X^2 \\
 \underline{X^5 + X^4 + X^3 + X} \\
 X^7 + X^3 - X^2 + X \\
 \underline{-X^7 - X^3 - X^2 - 1} \\
 1 - 2X^2 + X - 1 = X + 1
 \end{array}$$

-code words - polynomials divisible by  $p$

$$\begin{array}{r}
 2. \quad 100110 \rightsquigarrow m = 1 + X^3 + X^4 \\
 X^4 + X^3 + 1 \\
 \underline{X^4 + X^3 + X} \\
 X^3 + X^2 + X + 1 \\
 \underline{X^3 + X^2 + X + 1} \\
 X^2
 \end{array}$$

$$\Rightarrow p \nmid u \Rightarrow$$

$\Rightarrow u$  is not a code word



### Course 13

## Linear systems of equations

Throughout  $K$  will be a field

$$(*) \begin{cases} a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = b_1 \\ a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n = b_2 \\ \vdots \\ a_{m1}x_1 + a_{m2}x_2 + \dots + a_{mn}x_n = b_m \end{cases}$$

$a_{ij}, b_i \in K, \forall i = \overline{1, m}, \forall j = \overline{1, n}$

$x_1, \dots, x_n \in K$  unknowns

$A = (a_{ij}) \in M_{m,n}(K)$  is called the matrix of

$$\bar{A} = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} & b_1 \\ a_{21} & a_{22} & \dots & a_{2n} & b_2 \\ \vdots & \vdots & & \vdots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} & b_m \end{pmatrix}$$

is called the augmented (extended) matrix

Denote  $x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \in M_{n,1}(K), b = \begin{pmatrix} b_1 \\ \vdots \\ b_m \end{pmatrix} \in M_{m,1}(K)$

$$(*) \quad A \cdot x = b$$

We know there is a  $K$ -linear map associated to  $A$   
 $A \in M_{m,n}(K) \rightsquigarrow f_A \in \text{Hom}_K(K^n, K^m)$

such that  $[f_A]_{E'E} = A$ , where  $E$  and  $E'$  are the canonical bases of  $K^n$  and  $K^m$  respectively

Denote  $x = (x_1, \dots, x_n) \in K^n$

$b = (b_1, \dots, b_m) \in K^m$

We have:

$$\cdot [f_A(x)]_{E'} = [f_A]_{E'E} \cdot [x]_E = A \cdot \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} b_1 \\ \vdots \\ b_m \end{pmatrix} = [b]_{E'}$$

Hence :

$$(S) \quad f_A(x) = b$$

Denote by  $(S_0)$  the corresponding homogeneous system

$$(S_0) \quad A \cdot x = 0$$

$$(S_0) \quad f_A(x) = 0$$

$$\text{Denote } S = \{x \in M_{n,1}(K) / A \cdot x = b\}$$

$$\text{or } S = \{x \in K^n / f_A(x) = b\}$$

the set of solutions of  $S$

$$\text{Denote } S_0 = \{x \in M_{n,1}(K) / A \cdot x = 0\}$$

$$S_0 = \{x \in K^n / f_A(x) = 0\} \quad \text{- kernel}$$

Theorem With the above notation,  $S_0 \leq K^n$   
and  $\dim_K S_0 = n - \text{rank}(A)$

$$\text{Proof : } S_0 = \text{Ker}(f_A) \leq K^n \text{ and}$$

$$\begin{aligned} \dim_K S_0 &= \dim_K \text{Ker } f_A = \dim_K K^n - \dim_K \text{Im } f_A = \\ &= n - \text{rank}(A) \end{aligned}$$

Theorem Let  $x' \in S$  (that is, a solution of  $(S)$ ). Then:

$$S = x' + S_0 \stackrel{\text{not}}{=} \{x' + x^0 / x^0 \in S_0\}$$

$$\text{Proof: } \boxed{\subseteq} \quad \text{Let } x^2 \in S \Rightarrow A \cdot x^2 = b \quad \text{---}$$

$$\text{but } x_1 \in S \Rightarrow A \cdot x^1 = b$$

$$\Rightarrow A \cdot x^2 = A \cdot x^1 \Rightarrow A(x^2 - x^1) = 0 \Rightarrow x^2 - x_1 \in S_0$$

$$\Rightarrow x^2 - x^1 = x^0 \in S_0 \Rightarrow x^2 = x^1 + x^0 \text{ with } x^0 \in S_0$$



2 Let  $x^2 \in x_1 + S_0 \Rightarrow x^2 = x^1 + x^0$  with  $x^0 \in S_0$

we have  $A \cdot x^2 = \underline{A \cdot x^1} + \underline{A \cdot x^0} = b + 0 = b \Rightarrow x^2 \in S$

Definition :  $(S)$  is called  
compatible if  $S \neq \emptyset$

A compatible system  $(S)$  is called

- determinate if  $|S| = 1$
- non-determinate if  $|S| > 1$

Remark (1)  $(S)$  is compatible  $\Leftrightarrow b \in \text{Im} f_A$

(2)  $(S_0)$  is compatible  $\Leftrightarrow 0 \in \text{Im} f_A$  always TRUE

Theorem (Kronecker - Capelli)

$(S)$  is compatible  $\Leftrightarrow \text{rank}(\bar{A}) = \text{rank} A$

Proof  $(S)$  is compatible  $\Leftrightarrow b \in \text{Im} f_A = f_A(K^n)$

$\Leftrightarrow b \in f_A(\langle e_1, \dots, e_m \rangle) \Leftrightarrow$

\*  $(e_1, \dots, e_m)$  canonical basis of  $K^m$  \*

$\Leftrightarrow b \in \langle f_A(e_1), \dots, f_A(e_m) \rangle$

$\Leftrightarrow b \in \langle \underline{a^1}, \dots, \underline{a^m} \rangle$

the columns of  $A$

$\Leftrightarrow \langle \underline{a^1}, \dots, \underline{a^m}, \underline{b} \rangle = \langle \underline{a^1}, \dots, \underline{a^m} \rangle \Leftrightarrow$

$\underline{b}$  is a linear comb of others

$\Leftrightarrow \dim_K \langle \underline{a^1}, \dots, \underline{a^m}, \underline{b} \rangle = \dim_K \langle \underline{a^1}, \dots, \underline{a^m} \rangle$

$\Leftrightarrow \text{rank } \bar{A} = \text{rank } A$