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# Project 1; Variational Monte Carlo Studies of Bosonic systems

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Github repository:

<https://github.com/filiph1/FYS4411.git>

Sean Bruce Sangolt Miller  
s.b.s.miller@fys.uio.no

Filip Henrik Larsen  
filiphenrikarsen@gmail.com

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## Abstract

In this project we set up a variational Monte Carlo analyses of a boson gas in a harmonic oscillator trap, in order to find the ground state energy and particle distribution. Firstly we check if the written program reproduces analytical results for a non-interacting system of sizeless bosons. Afterwards, we consider an elliptical potential with so-called "hard-core" bosons. Additionally, inter-bosonic interactions will be simulated by use of a Jastrow factor in the simple harmonic oscillator wavefunction. A steepest-descent variational parameter optimization will be used, and the "blocking" method will be used for statistical analyses of sampled data. Finally, a short review of produced results will be given.

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# 1 Introduction

While quantum mechanics is solvable for small systems (one- and two-body systems), it becomes unwieldy for complex physical systems, one of which is the boson gas. Alkali gases in magnetic traps behave precisely how one predicted Bose-Einstein condensates to behave, and brought about a new area of physics<sup>1</sup> and contributed greatly to condensed matter physics.

The Gross-Pitaevskii equation (a modification of the Schrödinger equation) assumes boson-boson interaction in a dilute system. A requirement for it to hold true is that the inter-atomic distance is greater than the atomic interaction length, so only two-body collisions matter. Specifically, it holds when the inter-atomic distance is greater than the scattering length. This assumption has had tremendous success.

However, the introduction of a Feshbach resonance allows another interaction to take place. This means the atoms now interact, and one can no longer solve the system analytically. Therefore, many-body methods will have to be used to find, for example, the ground state energy. To achieve this, the variational Monte Carlo method (VMC) will be used.

First, we apply VMC to the spherical harmonic oscillator potential and assume the bosons are without size. This means the system consists of non-interacting, trapped bosons and is perfectly solvable analytically, and will allow us to check the program with known benchmarks. Since consequent samples from VMC will be closely correlated, a method known as "blocking" will be used for statistical analyses. The variational parameter<sup>2</sup>  $\alpha$  will be optimized by minimizing the local energy using the steepest descent method. Once the program is in agreement with the benchmarks, we will apply the magnetic field by instead implementing an elliptical potential. Additionally, the 2-body collisions will be implemented by use of a "hard-sphere" potential, and the inter-atomic interactions will be approximated by a two-body correlation part in the harmonic oscillator wavefunction, which is known as the "Jastrow factor". Then, having made sure the optimization of the variational parameter work, we will optimize  $\alpha$  for the full interacting case.

Below, we first provide the theory needed for the VMC method: a brief on the VMC method, followed by proof of the necessary equations to be used in the program by a short explanation of the blocking and steepest descent methods. Then an explanation on the structure of the program will be given. The results section will provide the benchmarks used to confirm the validity of the program, followed by an application to the full, interacting system, including a statistical and physical analyses of the results. Lastly, we will discuss the significance of the results and the quality of the analyses.

## 2 Theory and methods

The variational Monte Carlo method uses the variational principle from quantum mechanics in order to find the ground state of some system. As is commonly known, the ground state energy will *always* abide by the inequality:

$$E_0 \leq \frac{\langle \Psi | H | \Psi \rangle}{\langle \Psi | \Psi \rangle} \quad (1)$$

where  $\Psi$  is any wave function. The variational part consists of finding the minimal of  $E_0$  with respect to some wavefunction parameter(s).

Monte Carlo simulation can be used to find the lowest energy setting<sup>3</sup> for a given wavefunction and Hamiltonian. However, as is usually the case for more realistic mathematical models of real systems, both  $\Psi$  and  $H$  are either approximated or simply guessed. It will be assumed that each boson behaves as particle in a harmonic oscillator (HO) potential, and that they cannot overlap (presenting a "hard-core" potential). A better approximation takes into account the possibility of an external magnetic field, influencing on of the degrees of freedom in the HO potential, and will also be considered.

Since bosons do not abide by the Pauli exclusion principle, we will use a symmetric wavefunction<sup>4</sup>. It is reasonable that the particles will, for the most part, not behave too differently from a non-interacting system. Therefore,  $\Psi$  can be approximated as the product of  $N$  single-particle wavefunctions, each a solution to the HO Schrödinger equation. The boson will nonetheless have an interaction, which can be simulated by a Jastrow factor. This interaction will give correlations between the single-particle wavefunctions.

### 2.1 Preliminary derivations

#### 2.1.1 Simplified problem

The local energy is defined as:

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<sup>1</sup>For a long time, the Bose-Einstein condensate was believed to be a purely theoretical model, with no physical realisation. It was first produced in 1995 by Carl Wieman and Eric Cornell at UCB, using Rubidium gas.

<sup>2</sup>Since the harmonic oscillator wavefunction will be used, we expect  $\alpha = \frac{1}{2}$  in the spherical harmonic oscillator potential.

<sup>3</sup>By setting, we will mean the distribution of particles for the system.

<sup>4</sup>I.e. invariant under interchange of two particles.

$$E_L(\mathbf{R}) = \frac{1}{\Psi_T(\mathbf{R})} H \Psi_T(\mathbf{R}), \quad (2)$$

As a first approximation, it is assumed there is no interaction term in the Hamiltonian, which means the hard sphere bosons have no physical size (the hard-core diameter is zero). It is also assumed that no magnetic field is applied to the bosonic gas, leaving a perfectly spherically symmetrical harmonic trap. Inserting this new Hamiltonian into the local energy gives:

$$E_L(\mathbf{R}) = \frac{1}{\Psi_T(\mathbf{R})} \sum_i^N \left( \frac{-\hbar^2}{2m} \nabla_i^2 + \frac{1}{2} m \omega_{ho}^2 r_i^2 \right) \Psi_T(\mathbf{R}) \quad (3)$$

The potential term is trivial since this is a scalar, i.e. the denominator will cancel the wavefunction. A more challenging problem is to find an expression for  $\nabla_i^2 \Psi_T(\mathbf{R})$ . The trial wavefunction shown in equation (...), with the aforementioned approximations, is:

$$\Psi_T(\mathbf{R}) = \prod_i e^{-\alpha r_i^2} \quad (4)$$

where  $\alpha$  is the variational parameter for VCM. The first derivative is:

$$\nabla_j \prod_i e^{-\alpha r_i^2} = -2\alpha \mathbf{r}_j e^{-\alpha r_j^2} \prod_{i \neq j} e^{-\alpha r_i^2} \quad (5)$$

$$= -2\alpha \mathbf{r}_j \prod_i e^{-\alpha r_i^2}. \quad (6)$$

The second derivative then follows:

$$\nabla_j^2 \prod_i e^{-\alpha r_i^2} = \nabla_j \left( -2\alpha \mathbf{r}_j \prod_i e^{-\alpha r_i^2} \right) \quad (7)$$

$$= (4\alpha^2 r_j^2 - 2d\alpha) \prod_i e^{-\alpha r_i^2}. \quad (8)$$

where  $d$  is the number of dimensions. Inserting this into back into the local energy (equation (3)), the final expression can be derived:

$$\begin{aligned} E_L(\mathbf{R}) &= \frac{1}{\Psi_T(\mathbf{R})} \sum_i^N \left( \frac{-\hbar^2}{2m} \nabla_i^2 + \frac{1}{2} m \omega_{ho}^2 r_i^2 \right) \Psi_T(\mathbf{R}) \\ &= \sum_{i=1}^N \left[ \frac{-\hbar^2}{2m} (4\alpha^2 r_i^2 - 2d\alpha) + \frac{1}{2} m \omega_{ho}^2 r_i^2 \right] \end{aligned} \quad (9)$$

The drift force (quantum force), still with the approximations above, is defined by:

$$F = \frac{2\nabla \Psi_T}{\Psi_T} \quad (10)$$

The gradient here is defined as

$$\nabla \equiv (\nabla_1, \nabla_2, \dots, \nabla_N)$$

i.e. a vector of dimension  $Nd$ . The gradient with respect to a single particle's position is already given in equation 6, so it's not too hard to realise:

$$\begin{aligned} F &= \frac{-4\alpha}{\Psi_T} (\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) \Psi_T \\ &= -4\alpha (\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) \end{aligned}$$

### 2.1.2 Full problem

The full local energy<sup>5</sup> is a bit more tedious to derive. The first step is to rewrite the trial wavefunction to the following form:

$$\Psi_T(\mathbf{R}) = \prod_i \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \quad (11)$$

where, in order for this to fit with the previous wavefunction,  $u(r_{ij}) \equiv \ln[f(r_{ij})]$  and  $\phi(\mathbf{r}_i) \equiv g(\alpha, \beta, \mathbf{r}_i)$ . The gradient with respect to the  $k$ -th coordinate set is:

$$\begin{aligned} \nabla_k \Psi_T &= \nabla_k \prod_i \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \\ &= \nabla_k \phi_k \left[ \prod_{i \neq k} \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \right] + \left[ \prod_i \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \nabla_k \left( \sum_{i'' < j''} u_{i''j''} \right) \right] \\ &= \nabla_k \phi_k \left[ \prod_{i \neq k} \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \right] + \left[ \prod_i \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \left( \sum_{i'' < j''} \nabla_k u_{i''j''} \right) \right] \end{aligned} \quad (12)$$

The function  $u_{ij}$  is symmetric under permutation  $i \leftrightarrow j$ , as one can see from the definitions of  $u_{ij}$  and  $f(r_{ij})$ . Therefore, the last sum above can have a different indexing: All terms without an index  $k$ , will give zero when taking the derivative  $\nabla_k$ , so only  $i = k$  or  $j = k$  remains (remember  $i \neq j$ ). Due to the symmetry of  $u_{ij}$ , one can simply always say  $i = k$  and let  $j$  be the summation index:

$$\nabla_k \Psi_T = \nabla_k \phi_k \left[ \prod_{i \neq k} \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \right] + \left[ \prod_i \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \right] \quad (13)$$

The second derivative now becomes (where  $\nabla_k$  only acts on the first parenthesis to its right):

$$\begin{aligned} \nabla_k^2 \Psi_T &= (\nabla_k^2 \phi_k) \left[ \prod_{i \neq k} \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \right] + (\nabla_k \phi_k) \left[ \prod_{i \neq k} \phi(\mathbf{r}_i) \nabla_k e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \right] \\ &+ \left[ \nabla_k \left( \prod_i \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \right) \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \right] \\ &+ \left[ \prod_i \phi(\mathbf{r}_i) e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \left( \sum_{j'' \neq k} \nabla_k^2 u_{kj''} \right) \right] \end{aligned} \quad (14)$$

While a bit of a nuisance to read, the expression above is simply the product rule for  $\nabla_k(\nabla_k \Psi_T)$ . Written in terms of  $\Psi_T$ , the above can be a bit simplified<sup>6</sup>:

$$\begin{aligned} \nabla_k^2 \Psi_T &= (\nabla_k^2 \phi_k) \frac{\Psi_T}{\phi(\mathbf{r}_k)} + (\nabla_k \phi_k) \left[ \prod_{i \neq k} \phi(\mathbf{r}_i) \nabla_k e^{\sum_{i' < j'} u(\mathbf{r}_{i'j'})} \right] \\ &+ \left[ (\nabla_k \Psi_T) \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \right] \\ &+ \left[ \Psi_T \left( \sum_{j'' \neq k} \nabla_k^2 u_{kj''} \right) \right] \end{aligned} \quad (15)$$

The second term above is equal to the second term in equation 13, divided by  $\phi(\mathbf{r}_k)$ . Furthermore, the gradient  $\nabla_k \Psi_T$  is already calculated above, and in terms of  $\Psi_T$  is:

<sup>5</sup>The "full local energy" means not making any assumptions on the particle interactions or the potential.

<sup>6</sup>For the more mathematically concerned nitpicker, the product symbol only runs over the functions  $\phi(\mathbf{r}_i)$ , not the following exponential. This is easy to realise by recalling how  $\Psi_T$  was defined, and is important to know when inserting  $\Psi_T$  as done now.

$$\nabla_k \Psi_T = \frac{\Psi_T}{\phi(\mathbf{r}_k)} \nabla_k \phi_k + \Psi_T \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \quad (16)$$

Inserting all this back into the second derivative yields:

$$\begin{aligned} \nabla_k^2 \Psi_T &= (\nabla_k^2 \phi_k) \frac{\Psi_T}{\phi(\mathbf{r}_k)} + (\nabla_k \phi_k) \left[ \frac{\Psi_T}{\phi(\mathbf{r}_k)} \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \right] \\ &+ \left[ \frac{\Psi_T}{\phi(\mathbf{r}_k)} \nabla_k \phi_k + \Psi_T \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \right] \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \\ &+ \left[ \Psi_T \left( \sum_{j'' \neq k} \nabla_k^2 u_{kj''} \right) \right] \end{aligned} \quad (17)$$

Giving:

$$\begin{aligned} \frac{1}{\Psi_T} \nabla_k^2 \Psi_T &= (\nabla_k^2 \phi_k) \frac{1}{\phi(\mathbf{r}_k)} + (\nabla_k \phi_k) \left[ \frac{1}{\phi(\mathbf{r}_k)} \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \right] \\ &+ \left[ \frac{1}{\phi(\mathbf{r}_k)} \nabla_k \phi_k + \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \right] \left( \sum_{j'' \neq k} \nabla_k u_{kj''} \right) \\ &+ \sum_{j'' \neq k} \nabla_k^2 u_{kj''} \end{aligned} \quad (18)$$

Which can be rewritten to:

$$\frac{1}{\Psi_T} \nabla_k^2 \Psi_T = \frac{\nabla_k^2 \phi_k}{\phi(\mathbf{r}_k)} + \frac{2 \nabla_k \phi_k}{\phi(\mathbf{r}_k)} \left( \sum_{i \neq k} \nabla_k u_{ki} \right) + \left( \sum_{j \neq k} \nabla_k u_{kj} \right)^2 + \sum_{l \neq k} \nabla_k^2 u_{kl} \quad (19)$$

The gradients  $\nabla_k u_{ki}$  can be rewritten using partial differentiation (where  $r_{k,i}$  is coordinate  $i$  of  $\mathbf{r}_k$ ):

$$\begin{aligned} \nabla_k u_{ki} &= \left( \frac{\partial}{\partial r_{k,1}}, \frac{\partial}{\partial r_{k,2}}, \dots \right) u_{ki} \\ &= \frac{\partial u_{ki}}{\partial r_{ki}} \left( \frac{\partial r_{ki}}{\partial r_{k,1}}, \frac{\partial r_{ki}}{\partial r_{k,2}}, \dots \right) \\ &= \frac{\partial u_{ki}}{\partial r_{ki}} \left( \frac{\partial}{\partial r_{k,1}} \left( \sqrt{[(r_{k,1} - r_{i,1})\hat{e}_1 + \dots]^2} \right), \dots \right) \\ &= \frac{\partial u_{ki}}{\partial r_{ki}} \left( \frac{r_{k,1} - r_{i,1}}{r_{ki}}, \dots \right) \\ &= \frac{\partial u_{ki}}{\partial r_{ki}} \frac{\mathbf{r}_k - \mathbf{r}_i}{r_{ki}} \\ &= \frac{\mathbf{r}_k - \mathbf{r}_i}{r_{ki}} u'_{ki} \quad , \quad u'_{ki} \equiv \frac{\partial u_{ki}}{\partial r_{ki}} \end{aligned} \quad (20)$$

While the second derivative of  $u_{ki}$  is:

$$\begin{aligned}
\nabla_k^2 u_{ki} &= \nabla_k (\nabla_k u_{ki}) \\
&= u'_{ki} \nabla_k \left( \frac{\mathbf{r}_k - \mathbf{r}_i}{r_{ki}} \right) + \frac{\mathbf{r}_k - \mathbf{r}_i}{r_{ki}} \nabla_k u'_{ki} \\
&= u'_{ki} \left( \frac{d}{r_{ki}} - \frac{r_{k,1} - r_{i,1}}{r_{ki}^3} - \frac{r_{k,2} - r_{i,2}}{r_{ki}^3} - \dots \right) + \frac{\mathbf{r}_k - \mathbf{r}_i}{r_{ki}} \cdot \frac{\mathbf{r}_k - \mathbf{r}_i}{r_{ki}} u''_{ki} \\
&= \frac{u'_{ki}}{r_{ki}} \left( d - \frac{r_{k,1} - r_{i,1}}{r_{ki}^2} - \frac{r_{k,2} - r_{i,2}}{r_{ki}^2} - \dots \right) + u_{ki} \\
&= \frac{u'_{ki}}{r_{ki}} (d - 1) + u_{ki}
\end{aligned} \tag{21}$$

where  $d$ , as earlier, is the number of dimensions present, which in our world is usually  $d = 3$ . Finally, this gives:

$$\frac{1}{\Psi_T} \nabla_k^2 \Psi_T = \frac{\nabla_k^2 \phi_k}{\phi(\mathbf{r}_k)} + \frac{2 \nabla_k \phi_k}{\phi(\mathbf{r}_k)} \left( \sum_{i \neq k} \frac{\mathbf{r}_k - \mathbf{r}_i}{r_{ki}} u'_{ki} \right) + \sum_{i, j \neq k} \frac{(\mathbf{r}_k - \mathbf{r}_i)(\mathbf{r}_k - \mathbf{r}_j)}{r_{ki} r_{kj}} u'_{ki} u'_{kj} + \sum_{i \neq k} u''_{ki} + \frac{u'_{ki}}{r_{ki}} (d - 1) \tag{22}$$

As the exact forms of  $\phi_k$  and  $u_{ki}$  are known, this can be written to a more recognisable, and calculable, expression. However, this expression will be quite long, so only the necessary variables will be derived. Inserting them into equation 22 is trivial. The  $u_{ki}$  derivatives are:

$$\frac{\partial u_{ki}}{\partial r_{ki}} = \frac{\partial}{\partial r_{ki}} \left( \ln \left[ 1 - \frac{a}{r_{ki}} \right] \right) = \frac{a}{r_{ki}^2 - a r_{ki}} \tag{23}$$

$$\frac{\partial^2 u_{ki}}{\partial r_{ki}^2} = \frac{\partial}{\partial r_{ki}} u'_{ki} = -a \frac{2r_{ki} - a}{(r_{ki}^2 - a r_{ki})^2} \tag{24}$$

and the  $\phi_k$  derivatives are:

$$\nabla_k \phi_k = \nabla_k e^{-\alpha(x_k^2 + y_k^2 + \beta z_k^2)} = -2\alpha(x_k, y_k, \beta z_k) \phi_k \tag{25}$$

$$\nabla_k^2 \phi_k = \nabla_k (\nabla_k \phi_k) = [-2\alpha(2 + \beta) + 4\alpha^2(x_k^2 + y_k^2 + \beta^2 z_k^2)] \phi_k \tag{26}$$

The Hamiltonian may be rewritten in the full problem by using unit-less variables  $r' = r/a_{ho}$  and fix energy in units of  $[\hbar\omega_{ho}]$ . This means one changes Hamiltonian:  $H \rightarrow H'$ :

$$\begin{aligned}
H'_f &= \sum_{i=1}^N -\frac{\hbar^2}{2m} \frac{1}{\hbar\omega_{ho}} a_{ho}^2 \nabla_i'^2 + \frac{1}{2} \frac{m}{\hbar\omega_{ho}} \frac{1}{a_{ho}^2} [\omega_{ho}^2(x'^2 + y'^2) + \omega_z^2 z'^2] + A \\
&= \sum_{i=1}^N -\frac{1}{2} \nabla_i'^2 + \frac{1}{2} [x'^2 + y'^2 + \gamma^2 z'^2] + A
\end{aligned} \tag{27}$$

where we have used  $a_{ho} \equiv \sqrt{\frac{\hbar}{m\omega_{ho}}}$ ,  $\gamma \equiv \frac{\omega_z}{\omega_{ho}} = \sqrt{\frac{a_{ho}}{a_z}}$ , and  $A = \sum_{i < j} V_{int}(|\mathbf{r}_i - \mathbf{r}_j|)$  does not change under the change of variables. The marked coordinates are not given in terms of  $1/a_{ho}$ , defined by  $a/a_{ho} = 0.0043$ .

## 2.2 Metropolis algorithm

The basic principle behind the Metropolis algorithm is to make an assumption on the transition probability for a system to move from setting to another, as an exact, or even approximate, expression is lacking.

If the probability distribution for a state  $i$  is given by  $w_i$ , then from Markov chain theory the time derivative is:

$$\frac{\partial w_i(t)}{\partial t} = \sum_j W(j \rightarrow i) w_j(t) - W(i \rightarrow j) w_i(t) \tag{28}$$

where  $W_{i \rightarrow j}$  is the probability of moving from a state  $i$  to another state  $j$ , i.e the rate of change in  $w_i$  is given by the probability for a state  $j$  to go to  $i$  minus the probability of state  $i$  going to  $j$ , summed over all  $j$ . The most likely state will fulfil  $\frac{\partial w_i(t)}{\partial t} = 0$ , giving:

$$\begin{aligned}
W(j \rightarrow i)w_j(t) &= W(i \rightarrow j)w_i(t) \\
\Rightarrow \frac{W(j \rightarrow i)}{W(i \rightarrow j)} &= \frac{w_i}{w_j}
\end{aligned} \tag{29}$$

Since the transition probability  $W$  is unknown, we approximate it by guessing its form:

$$W(j \rightarrow i) = T(j \rightarrow i)A(j \rightarrow i) \tag{30}$$

where  $T$  is the transition moving probability, while  $A$  is the probability of accepting such a move. Furthermore, in brute force Metropolis, one guesses  $T_{i \rightarrow j} = T_{j \rightarrow i}$ . Therefore:

$$\frac{A_{j \rightarrow i}}{A_{i \rightarrow j}} = \frac{w_i}{w_j} \tag{31}$$

Since the probability densities are known, it is known whether or not this ratio is larger than one. If it's larger than one, then the acceptance probability from  $j$  to  $i$  is the biggest, i.e. we are more likely to accept the move than not. Therefore, we simply say the move is accepted. However, if the probability ratio is smaller than one, then we are more likely to move from the new state to the one the system is currently in. To check whether or not we should accept the move, we may compare it to, say, a "coin toss"; if it's bigger, the move is accepted.

### 2.2.1 Importance sampling

The standard Metropolis algorithm with brute force sampling accepts approximately half of the proposed moves. When a move is rejected we end up sampling the same state several times. This seems like an awful waste of CPU time. A possible improvement is to propose "better" moves. From the Langevin equations one can propose new moves as

$$x_{new} = x_{old} + DF(x_{old})\Delta t + \xi\sqrt{\Delta t}, \tag{32}$$

where  $x$  is the position,  $D$  is a diffusion coefficient ( $D = \frac{\hbar}{2m}$  for the Schrödinger equation),  $F$  is a drift velocity biasing our new move toward more probable states,  $\Delta t$  is a time step length whose significance will be addressed later, and  $\xi$  is a normal distributed random number with mean value of 0 and standard deviation of  $\sqrt{2D\Delta t}$ . The force term is derived from the Fokker-Planck equation

$$\frac{\partial P}{\partial t} = D \frac{\partial}{\partial x} \left( \frac{\partial}{\partial x} - F \right) P(x, t), \tag{33}$$

where  $P(x, t)$  is a time-dependent probability density in one dimension. However, a stationary probability density will occur only when the left hand side is zero. This leave us with

$$\frac{\partial^2 P}{\partial x^2} = P \frac{\partial}{\partial x} F + F \frac{\partial}{\partial x} P. \tag{34}$$

Since we are seeking a stationary state, it can be shown that this leads  $F$  being on the form

$$F = \frac{1}{P} \frac{\partial P}{\partial x}. \tag{35}$$

In a quantum mechanical interpretation the probability distribution is given by the wavefunctions, so our drift force can be expressed as

$$\begin{aligned}
F &= \frac{1}{|\Psi_T|^2} \nabla |\Psi_T|^2 \\
&= 2 \frac{1}{\Psi_T} \nabla \Psi_T,
\end{aligned} \tag{36}$$

which is known as the quantum force.

By utilizing equation (32) when proposing new moves, they will be to areas where the wavefunction is large, i.e. there is a large probability.

The Fokker-Planck equation has a solution in the form of the Green's function:

$$G(y, x, \Delta t) = \frac{1}{(4\pi D \Delta t)^{3N/2}} \exp \left\{ -\frac{(y - x - D \Delta t F(x))^2}{4D \Delta t} \right\} \tag{37}$$



giving the transition probability matrix  $T$  stated earlier. Therefore, the ratio test to be compared with the acceptance probability ratio is now:

$$q(y, x) = \frac{G(x, y, \Delta t) |\Psi_T(y)|^2}{G(y, x, \Delta t) |\Psi_T(y)|^2} \quad (38)$$

with  $q(y, x)$  being the ratio (or “coin toss”) for accepting the move from setting  $x$  to  $y$ . In the same fashion as the toggle for use of analytical calculation of the Laplacian, a user may choose to apply importance sampling by setting

```
system->setImportanceSampling (true);
```

## 2.3 Optimizing parameters

The trial wavefunction discussed earlier is not exact for the interacting case. Obviously, in the VMC approach, the minimal energy is sought. Therefore, minimizing  $\langle E_L \rangle$  with respect to the variational parameter ( $\alpha$ ) is the goal. There are several ways to minimize a value with respect to some parameter, and here we will use the method of steepest descent (SD). The SD method, in algorithm form, is:

$$x_{n+1} = x_n - \gamma_n \nabla f \quad (39)$$

where  $x$  is the variable with which one wishes to find the minimum of  $f$ . In application to the current problem,  $f = \langle E_L \rangle$ . However, since  $\langle E_L \rangle$  is an expensive quantity to find numerically, and its derivative ( $\bar{E}_\alpha \equiv \frac{d\langle E_L \rangle}{d\alpha}$ ) even more so, an analytical expression is desirable. This can be found as follows:

$$\begin{aligned} \bar{E}_\alpha &= \frac{d}{d\alpha} \int dx P(x) E_L \\ &= \frac{d}{d\alpha} \int dx \frac{|\psi|^2}{\int dx' |\psi|^2} \frac{1}{\psi} H \psi \\ &= \frac{d}{d\alpha} \int dx \frac{\psi^* H \psi}{\int dx' |\psi|^2} \end{aligned} \quad (40)$$

Since the Hamiltonian is hermitian, one has  $\int dx \psi^* H \psi = \int dx H \psi^* \psi$ , giving:

$$\begin{aligned} &= \frac{d}{d\alpha} \int dx \frac{H \psi^* \psi}{\int dx' |\psi|^2} \\ &= \left[ \int dx \frac{H \left( \psi^* \left( \frac{d\psi}{d\alpha} \right) + \left( \frac{d\psi^*}{d\alpha} \right) \psi \right)}{\int dx' |\psi|^2} \right] - \left[ \int dx \frac{H \psi^* \psi}{\left( \int dx' |\psi|^2 \right)^2} \int dx' \left( \psi^* \left( \frac{d\psi}{d\alpha} \right) + \left( \frac{d\psi^*}{d\alpha} \right) \psi \right) \right] \end{aligned} \quad (41)$$

Again one may use the hermiticity of the Hamiltonian to get  $\int dx H \psi^* \left( \frac{d\psi}{d\alpha} \right) = \int dx H \left( \frac{d\psi^*}{d\alpha} \right) \psi$ . So:

$$\begin{aligned} &= 2 \left[ \int dx \frac{H \psi^* \frac{d\psi}{d\alpha}}{\int dx' |\psi|^2} \right] - 2 \left[ \int dx \frac{H \psi^* \psi}{\left( \int dx' |\psi|^2 \right)^2} \int dx' \psi^* \frac{d\psi}{d\alpha} \right] \\ &= 2 \left[ \int dx \frac{H \psi^* \frac{d\psi}{d\alpha}}{\int dx' |\psi|^2} - \int dx \frac{H \psi^* \psi}{\int dx' |\psi|^2} \int dx' \frac{1}{\int dx' |\psi|^2} \psi^* \frac{d\psi}{d\alpha} \right] \\ &= 2 \left[ \int dx \frac{\psi^* \left( \frac{E_L}{\psi} \frac{d\psi}{d\alpha} \right) \psi}{\int dx' |\psi|^2} - \int dx \frac{\psi^* E_L \psi}{\int dx' |\psi|^2} \int dx' \frac{\psi^* \left( \frac{1}{\psi} \frac{d\psi}{d\alpha} \right) \psi}{\int dx' |\psi|^2} \right] \\ &= 2 \left( \left\langle \frac{\bar{\psi}_\alpha}{\psi} E_L \right\rangle - \left\langle \frac{\bar{\psi}_\alpha}{\psi} \right\rangle \langle E_L \rangle \right) \end{aligned} \quad (42)$$

where  $\bar{\psi}_\alpha \equiv \frac{d\psi}{d\alpha}$ . In the non-interacting, simple harmonic oscillator potential, it is analytically shown  $\alpha = \frac{1}{2}$ , and due to the Jastrow factor, one would assume it doesn't differ too much. The equation above is a much better expression to use since one only need one Monte Carlo cycle to find  $\bar{E}_\alpha$ . Therefore, only one Monte Carlo cycle<sup>7</sup> will give one value for  $\bar{E}_L$ .

<sup>7</sup>As opposed to several needed to numerical derivation.

Since  $\alpha$  will only have to be determined once, it is permissible to do so with greater accuracy. Therefore, if the gradient at  $x_{n+1}$  is greater than that at  $x_n$ , we go back to  $x_n$  and halve the steplength  $\gamma_n$ , to calculate another  $x_{n+1}$ . This is repeated until either the gradient becomes sufficiently small (around order  $10^{-6}$ ) or if the steplength becomes too small to continue (order  $10^{-6}$  as well).

## 2.4 Blocking method

After having run a Monte Carlo simulation, the variation in estimated local energies are calculated as

$$\sigma = \sqrt{\frac{1}{n} (\langle E_L^2 \rangle - \langle E_L \rangle^2)}. \quad (43)$$

However, these values will be much too low. This is because one assumes all data to be completely uncorrelated. Each energy is calculated by a small perturbation to the system setting<sup>8</sup>, which means each new setting is very dependant on the previous setting. After sufficiently many perturbations, though, the system at step  $i$  will be so different from that of step  $j$ , that  $\langle E_L \rangle_i$  is basically uncorrelated to  $\langle E_L \rangle_j$ , but not for all  $\langle E_L \rangle_k$  between  $i$  and  $j$ . Ideally, one would like to find a *correlation time*  $\tau$  such that  $i$  and  $j$  will be uncorrelated if a time greater than  $\tau$  has passed. If  $\Delta t$  is the time between two Metropolis steps, then one would like to find  $|i - j|$  in  $\tau = |i - j| \Delta t$ .

A method of dealing with this is the blocking technique. The set of  $\langle E_L \rangle$  measurements is grouped into blocks, each of which will give an average of average local energies. Afterwards, one can calculate the variance of these averages of averages. If then the standard deviation (equation above) is plotted as a function of the number of blocks<sup>9</sup>, one can find the lowest number of blocks where the curve approaches a plateau. Then one can calculate  $\tau$  and find the correlation time. The true standard deviation is then:

$$\sigma = \sqrt{\frac{1 + 2\tau/\Delta t}{n} (\langle \mathbf{M}^2 \rangle - \langle \mathbf{M} \rangle^2)} \quad (44)$$

## 2.5 Program structure

The program discussed is object oriented and written in C++. It is made up of several classes, each managing its own set of tasks. Below is an overview of the most central classes; their name and functionality.

<b>System</b>	The cornerstone of the structure. Every other class communicates with this class in some way. Stores the chosen <b>Hamiltonian</b> , <b>Wavefunction</b> and a vector <b>m_atoms</b> which holds all the atoms. Holds all global parameter such as number of particles, dimensions and metropolis steps. Actually does the Metropolis iterations.
<b>Sampler</b>	Stores the local energies, computes their average and variance at the end of the simulations. Prints results to screen and writes to file.
<b>Particle</b>	Objects of this class holds a vector for its position.
<b>Hamiltonian</b>	Contains a function to compute kinetic energy and constitutes that all specific types of hamiltonians (subclasses) must have a function <b>computeLocalEnergy</b> .
<b>Wavefunction</b>	Constitutes that all specific wavefunctions (subclasses) must have the functions <b>evaluate</b> and <b>computeLaplacian</b> .
<b>InitialState</b>	Adds <b>Particle</b> objects to the <b>m_atoms</b> vector in <b>System</b> and give them positions. A subclass can for instance distribute particles uniformly in space.
<b>Optimizer</b>	Optimizes variational parameters. Used when including the Jastrow factor in the wavefunction.

In **main.cpp** a user can set parameters of the system, such as number of particles, dimensions, Metropolis steps, alpha, beta, etc. Which subclass of **Hamiltonian**, **Wavefunction** and **InitialState** must be chosen here as well. An

<sup>8</sup>In the program discussed here, only a single dimension of a single particle will be moved each step.

<sup>9</sup>Inversely proportional to the block size by  $\text{block size} = \frac{\text{number of samples}}{\text{number of blocks}}$

example may look like

```
System* system = new System();
system->setHamiltonian      (new HarmonicOscillator(system, omegaH0));
system->setWaveFunction     (new SimpleGaussian(system, alpha));
system->setInitialState     (new RandomUniform(system, numberOfDimensions, numberOfParticles));
```

Also, one may choose to use an analytical computation of the laplacian of the wavefunction, use importance sampling, store local energies and store positions by setting the desired option to `true`.

```
system->setAnalyticalLaplacian (false);
system->setImportanceSampling  (false);
system->setStoreLocalEnergy    (false);
system->setStorePositions      (false);
```

## 3 Results

### 3.1 Benchmarks

As in most computational work, we need to make certain our program produce reliable values. A very useful way to do this is to compare our numerical results with a known analytical solution to the problem.

#### 3.1.1 Harmonic Oscillator without the Jastrow factor

Since we do know the analytical solution to the spherical harmonic oscillator, these may be used as benchmarks that we hope to reproduce. We have already derived the analytical expression in (9).

Table 1: Data produced by simulations of  $N$  particles in  $D$  dimensions, without importance sampling with  $\alpha = 0.5$ ,  $10^4$  Metropolis steps, and *numerically* computed Laplacian.

N	D	Analytical	Numerical	Variance	Time [s]
1	1	0.5	0.5	3.1e-20	0.14
1	2	1	1	9.4e-19	0.153
1	3	1.5	1.5	2.1e-18	0.115
10	1	5	5	3.6e-18	0.346
10	2	10	10	3.2e-17	0.378
10	3	15	15	3.2e-16	0.414
100	1	50	50	6.0e-15	3.41
100	2	100	100	1.2e-13	4.69
100	3	150	150	8.5e-13	6.02
500	1	250	250	9.5e-12	29.4
500	2	500	500	7.6e-11	55.8
500	3	750	750	2.3e-10	88.5

Table 2: Data produced by simulations of  $N$  particles in  $D$  dimensions, without importance sampling with  $\alpha = 0.5$ ,  $10^4$  Metropolis steps, and *analytically* computed Laplacian.

N	D	Analytical	Numerical	Variance	Time [s]
1	1	0.5	0.5	0	0.119
1	2	1	1	0	0.142
1	3	1.5	1.5	0	0.079
10	1	5	5	0	0.333
10	2	10	10	0	0.354
10	3	15	15	0	0.326
100	1	50	50	0	2.92
100	2	100	100	0	2.93
100	3	150	150	0	2.89
500	1	250	250	0	14.6
500	2	500	500	0	15.1
500	3	750	750	0	15.3

The results in table 1 and 2 are from simulations using  $\alpha = 0.5$ , an acceptance rate  $\approx 0.5$  and  $10^4$  metropolis steps. In these testes we had the exact wavefunction and would expect no error at all. Yet, table 1 show that for large numbers of particles and dimensions, we might get minor errors. though these are very small compared to the energy. The reason we get these variations though, is the fact that we approximate the laplacian of the wavefunction numerically. The error in this approximation goes as  $h^2$ , where  $h$  is the steplength in the evaluation ( $1e-04$  in this case). Also the variance will depend on the number of metropolis steps as shown in equation (43).

As can be seen in table 2, the variance in the local energies are zero when we compute the laplacian of the wavefunction analytically. This is because we do not do any approximations. By comparing two tables one can clearly see another advantages of computing the laplacian analytically. The time spent is much less. The reason is that when computing numerically, one has to evaluate the wavefunction 4 times, which is one of the most time consuming parts of the program. The analytically computed laplacian, equation (8), use only parameters already known.

**Importance sampling** as explained in section 2.2.1, may be used to increase the efficiency of the sampling. Running the same tests using this technique produced table 3.

Table 3: Data produced by simulations of  $N$  particles in  $D$  dimensions, using *importance sampling* with  $\Delta t = 0.005$ ,  $\alpha = 0.5$ ,  $10^4$  Metropolis steps, and numerically computed Laplacian.

N	D	Analytical	Numerical	Variance	Time
1	1	0.5	0.5	3.4e-20	0.10
1	2	1	1	4.9e-19	0.09
1	3	1.5	1.5	1.8e-18	0.10
10	1	5	5	1.1e-17	0.36
10	2	10	10	2.8e-17	0.37
10	3	15	15	3.6e-16	0.41
100	1	50	50	7.0e-15	3.62
100	2	100	100	1.2e-13	4.56
100	3	150	150	4.2e-13	5.88
500	1	250	250	1.8e-12	29.0
500	2	500	500	7.4e-11	56.0
500	3	750	750	3.6e-10	89.9

In this case we did not see any significant differences in the results produced using importance sampling and not. However the acceptance rate when using it is about 0.999, in contrast to 0.5 when not using it. The acceptance rate is however dependent on the steplength  $\Delta t$  as in equation (32).

### 3.1.2 Blocking

Applying blocking to the simple problem with an analytical Laplacian will simply give zero for all block sizes. For the numerical Laplacian, there is a small variance due to the errors presented by the numerical derivation. In figure 1 below, one can see the standard deviation with increasing block sizes. In figure (a), it is approximately zero, and does not seem to diverge much. Likewise, in figure (b), the quantity does seem to diverge and is of much higher order, but for 100 particles, the analytically found energy, shown in table 2, is 150; much higher than the values along the

y-axis shown here. Additionally, the x-axis shows block sizes of order  $10^4 - 10^5$ , while we expect the correlation time to be about order  $10^3$ , so the divergence has next to no effect. The case of 500 particles displays the same tendencies. There are no benchmarks to show for the interacting case, as there is no analytical expectation with which to compare. However, since the advanced case will employ an analytical Laplacian, numerical errors due to estimations<sup>10</sup> will not be of concern.

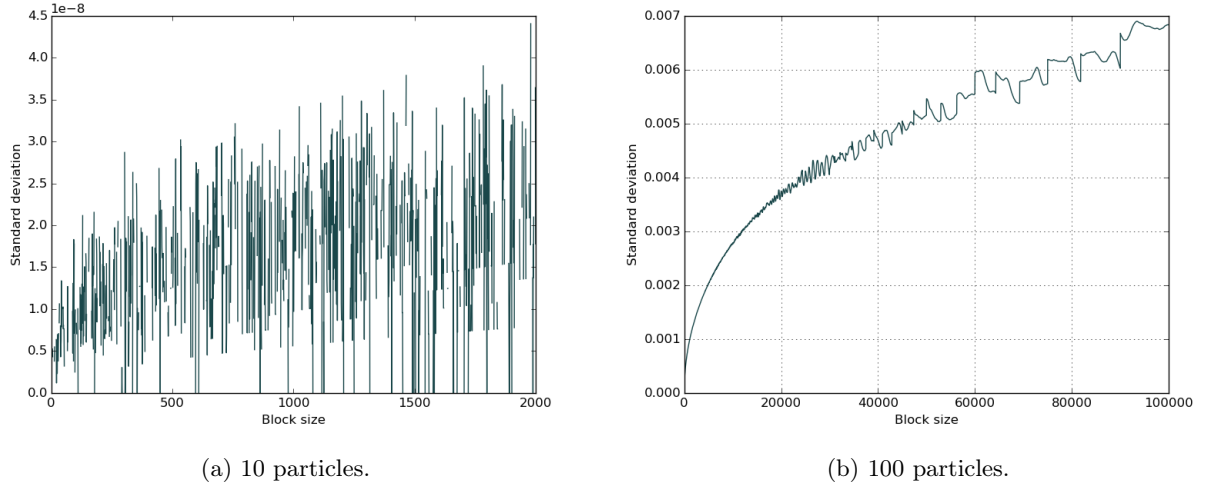


Figure 1: Blocking applied to the simplified problem, with a numerically calculated Laplacian.

### 3.1.3 Parameter optimization

The harmonic oscillator may also be used to test if the parameter optimization function. Since, we know that for the simple harmonic oscillator without interactions, the parameter  $\alpha = 0.5$  should ideally be produced. Running this test on the optimizer actually produced the value  $\alpha = 0.5000000028$ , which we can be very pleased with.

### 3.1.4 Onebody density

Computing the onebody density of the non-interacting harmonic oscillator produced the radial probability distribution in figure 2.

---

<sup>10</sup>That is, numerical derivatives and such.

../modifiedMortenCode/figures/radialdistributionH0e8curve2-eps-converted-to.pdf

Figure 2: Onebody density of the non-interacting harmonic oscillator. Produced by a simulation of 10 particles in 3 dimensions, using importance sampling with  $\Delta t = 0.005$ ,  $\alpha = 0.5$ ,  $10^8$  Metropolis steps, and analytically computed Laplacian.

We see that the expectation value of the radial distance is 1, as it should be.

### 3.1.5 Conclusions

These benchmarks indicate that the program works as it should without the Jastrow factor, and from here we may add the complexity of the full interacting system.

## 3.2 Energies for the full problem

In figure 3 below are the results for the blocking runs for 10 particles of the full problem, with an analytically calculated Laplacian. From the graph, one can see the block size corresponding to the correct correlation time is about  $500 \times \Delta t$ . The corrected standard deviation is given in table 4. The same procedure was done for systems of 50 and 100 particles as well. The graphs produced for the systems of 50 and 100 particles have identical form as the one shown in figure 3, but the correlation time in these cases were found to be  $2000 \times \Delta t$  and  $4000 \times \Delta t$ , respectively. The standard deviation is very low, especially for the two latter cases as they have very high energies. As can be seen, the particle energies are higher for each case, when compared to the non-interacting case (15, 75 and 150, respectively).

Table 4: Standard deviations in sampled energies for a system consisting of  $N$  interacting particles simulated for  $10^6$  Metropolis steps,  $\alpha = 0.498783$  and  $\beta = \gamma = 2.82843$ .

N	Expected energy	Variance ( $\sigma^2$ )
10	24.398	2.08e-4
50	127.29	8.31e-4
100	266.39	9.61e-3

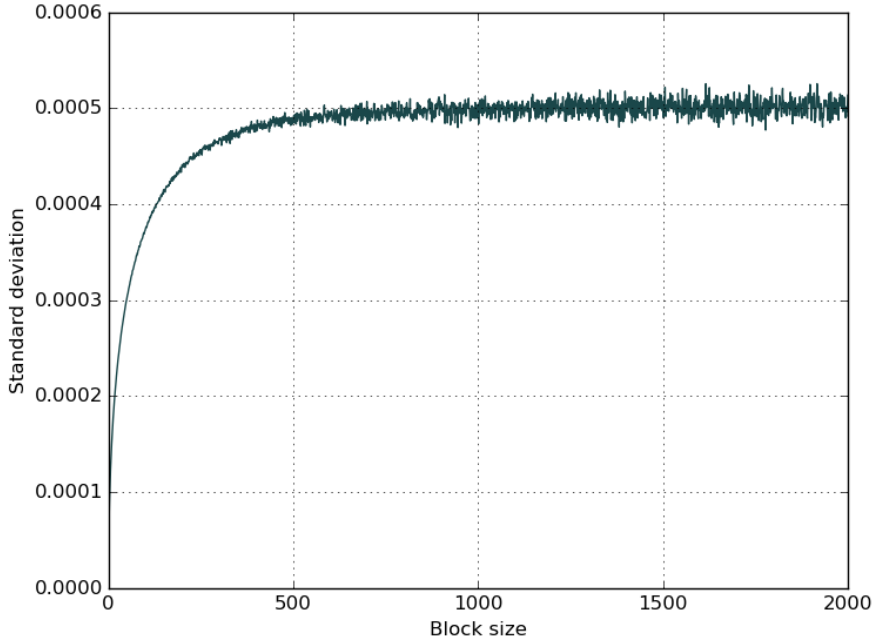


Figure 3: Plot produced by the blocking method used on a system consisting of 10 interacting particles in 3 dimensions, using  $10^6$  metropolis steps,  $\alpha = 0.498783$  and  $\beta = \gamma = 2.82843$ . The Laplacian has been calculated analytically.

### 3.3 One-body density

After a few Monte Carlo iterations, the system will converge to a steady state. From the steady state, the probability density does not change with time. By sampling the position of all particles at all time steps after this steady state is reached, one can easily make a histogram of what ranges of radial distances are the most probable. This is what we call the one-body density.

For the case of 10 particles in the elliptical trap,  $\alpha = 0.498783$  and  $\beta = \gamma = 2.82843$ , the one body density was found to be as shown in figure 5. A three dimensional illustration of the density distribution in space is shown in figure 6.

Figure 4 and 5 are obviously the same, it might just be easier to read off of figure 5. These figures show that the probability distribution looks much like a normal distribution with mean value at  $r \approx 0.8$ . Thus, this will be the most probable radial distance in which to find a particle. Notice also that the probability of being at the origin is zero. The expectation value seems to have shifted to about  $r \sim 0.8 - 0.9$ , as opposed to the noninteracting case.

Figure 6 and 7 show the spatial distribution. Notice a slice (1/8) has been cut out of the spheres in order to reveal the gradual change in density. These figures show that the highest density is closest to the center/origin, and also the geometry of the potential.

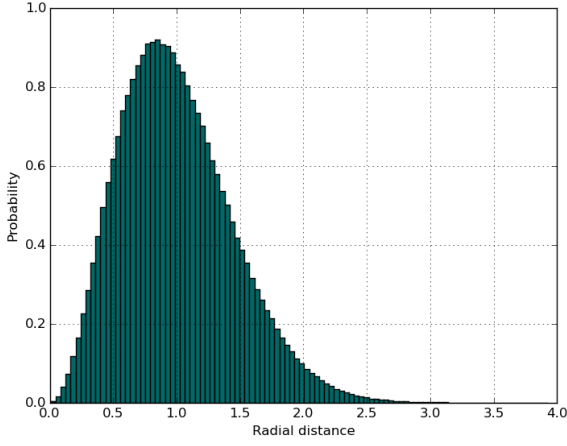


Figure 4: Radial distribution of the bosons in a system consisting of 10 particles in 3 dimensions, using  $10^6$  metropolis steps,  $\alpha = 0.498783$  and  $\beta = \gamma = 2.82843$ .

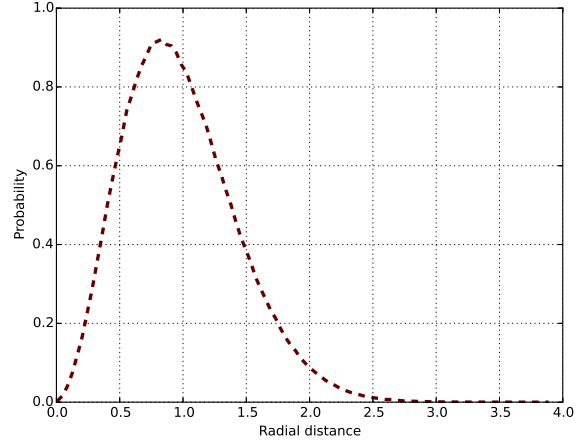


Figure 5: Radial distribution of the bosons in a system consisting of 10 particles in 3 dimensions, using  $10^6$  metropolis steps,  $\alpha = 0.498783$  and  $\beta = \gamma = 2.82843$ .

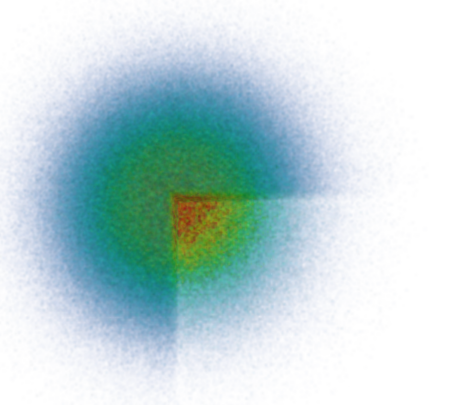


Figure 6: Spatial distribution of the bosons in a system consisting of 10 particles in 3 dimensions, using  $10^6$  metropolis steps,  $\alpha = 0.498783$  and  $\beta = \gamma = 2.82843$ , with a slice cut out. Z-direction is orthogonal to the paper plane.

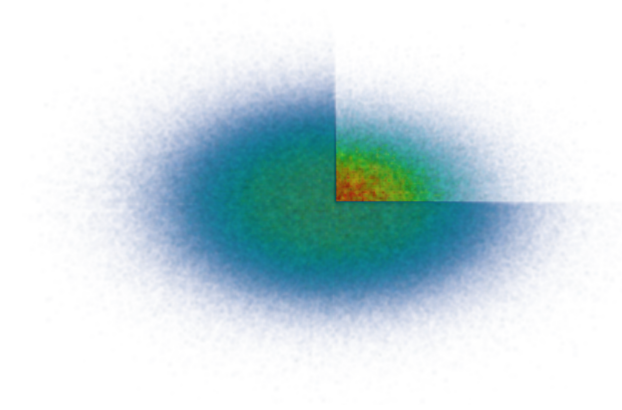


Figure 7: Spatial distribution of the bosons in a system consisting of 10 particles in 3 dimensions, using  $10^6$  metropolis steps,  $\alpha = 0.498783$  and  $\beta = \gamma = 2.82843$ , with a slice cut out. Y-direction is orthogonal to the paper plane.

## 4 Conclusions

In the simple, non-interacting harmonic oscillator potential, the program reproduced precisely the analytical expectations. We noticed that increasing the number of Metropolis steps decreased the variance in the energies. Also, when increasing the number of particles or dimensions the variance increases. The time consumption decreased greatly when computing the Laplacian of the wavefunction analytically as opposed to numerically. The use of importance sampling boosted the acceptance rate to 0.999 as opposed to 0.5 for the standard Metropolis sampling. When expanding the program to include the full interacting system, we saw that the energies increased beyond that in the non-interacting case.

Further development on the program can include implementation of fermionic systems.