Introduction to GWAS

Imputation of Missing Genotypes

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Imputation of missing genotypes – why?

Imputation - the process of replacing missing data with substituted values

Preliminary step for a wide range of genetic analyses

Most models and software for population genetics, genomic selection (GS) and genome-wide association studies (GWAS) do not handle missing data by default and require complete datasets

1. **Genotyping techniques generate a proportion of missing data (uncalled genotypes)**
   - SNP arrays ~**5%**
   - RAD-Seq (e.g. GBS) ~**50%**

2. **Optimization/efficiency of genotyping strategies (low → high density data)**
   scaling-up: **low → high density** (mixed genotyping strategies)
   whole-genome sequence imputation

Imputation of missing genotypes – methods

1. **General methods for the imputation of any type of data**
   - mean substitution (replacing missing values with the mean of the SNP across the population)
   - K-Nearest Neighbour Imputation (KNNI)
   - many more ...

2. **Methods specific for the imputation of missing genotypes**

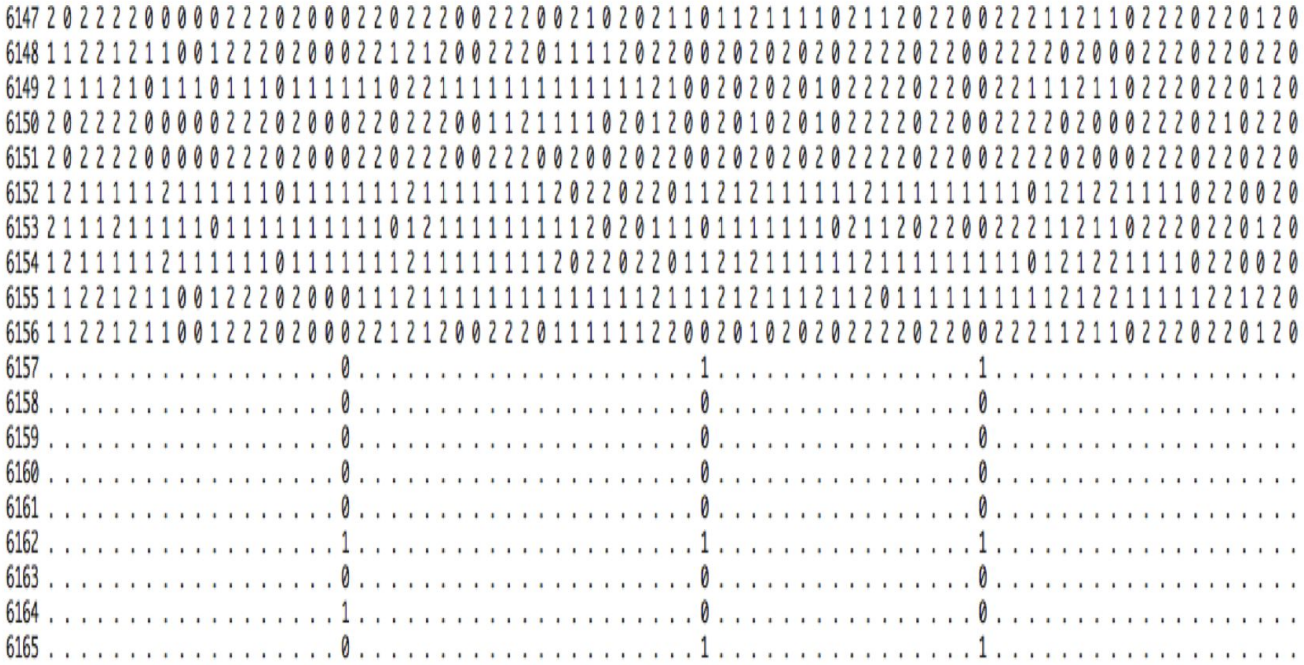
   Two groups (and combinations of them):

   - based on LD and allele frequency
   - based on pedigree information

Imputation of missing genotypes – filling the gaps

Sequenced genotypes
- full profile
- no missing data

SNP genotypes
- landmarks along the genome
- empty slots to be filled in



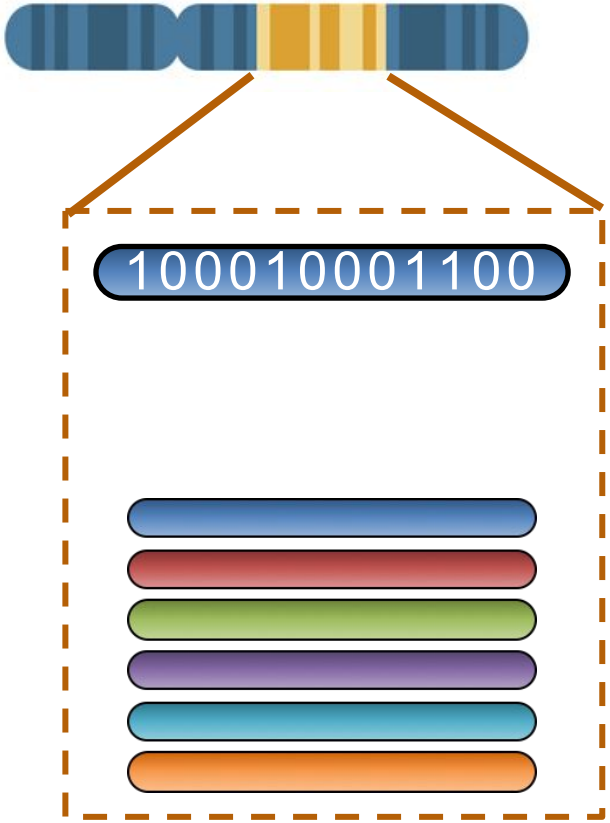
Haplotype libraries

Haplotype

A (section of) a single chromosome with known sequence (phased)

Haplotype Library

A collection of haplotypes



Allele dosage – prerequisite for imputation and regression

- Diploid genomes (or diploid-like meiotic behaviour)
- A single locus exhibits **four allelic combinations**
- Label a=0 and A=1

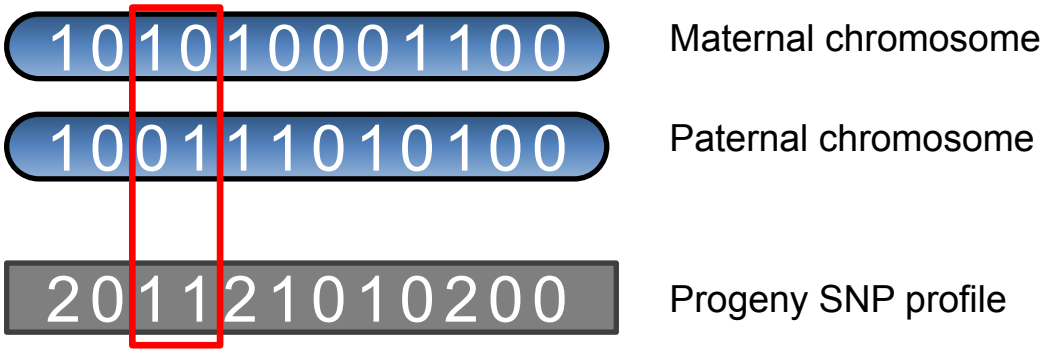
Thus the dosage is:

AA = 2

Aa = 1

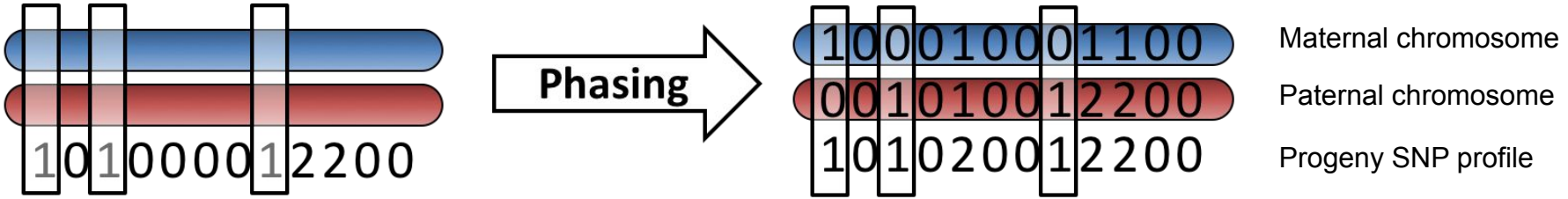
aA = 1

aa = 0

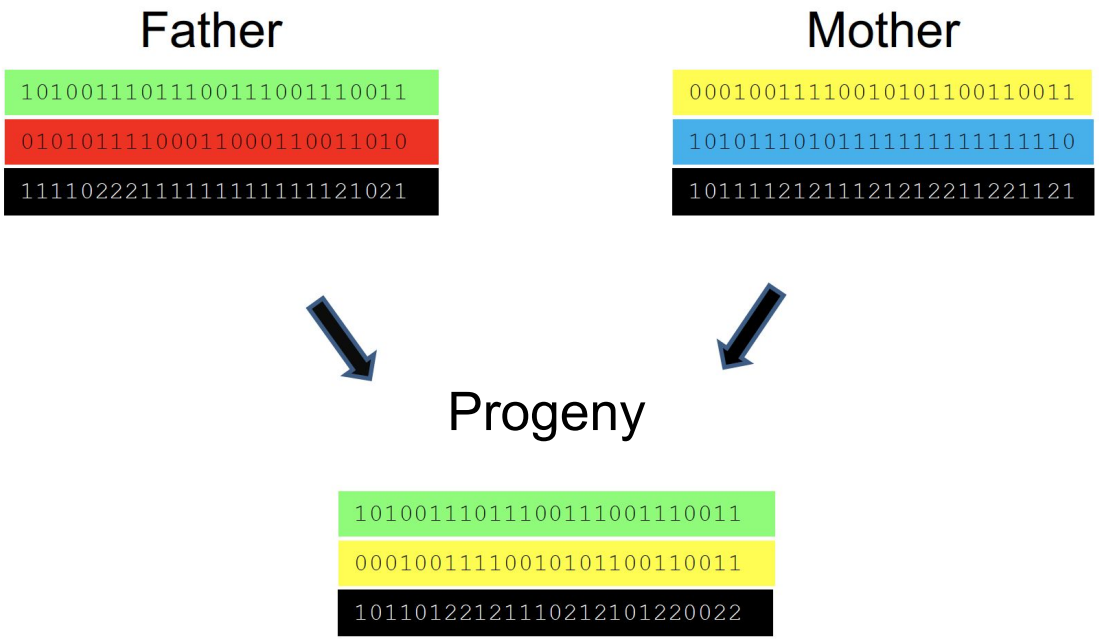


Haplotype phasing

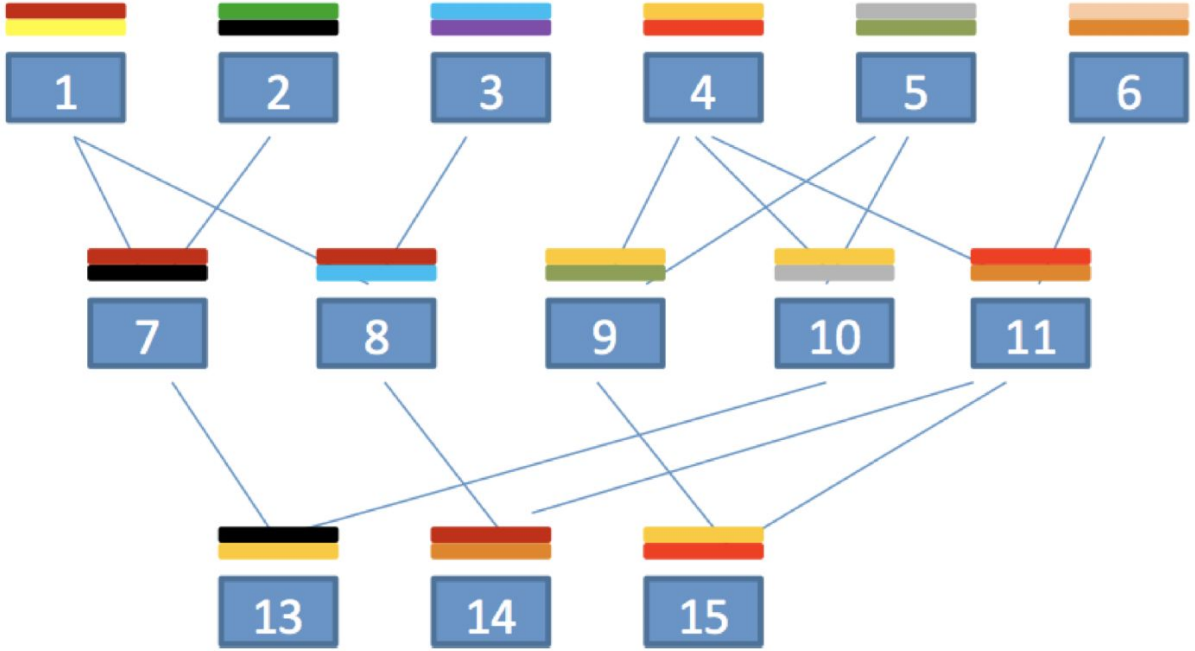
- Phasing
  - Determining the haplotype of origin for heterozygotic loci



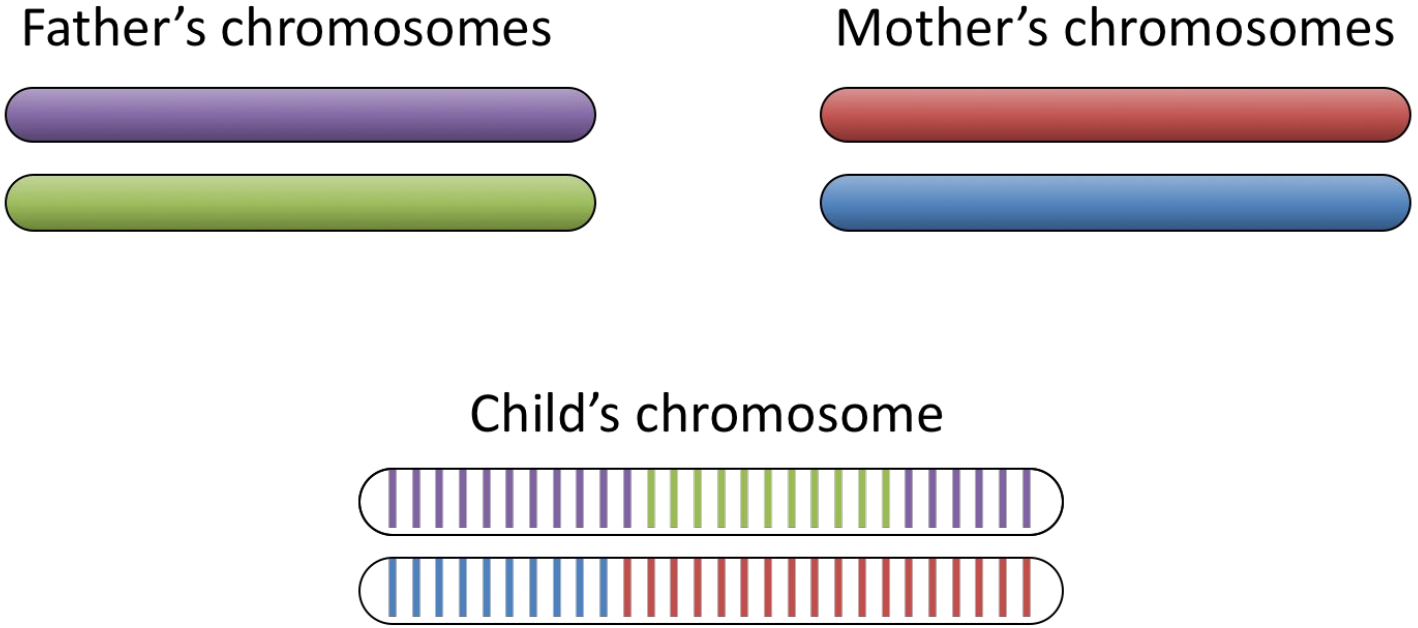
Inheritance of genotypes – Pedigree



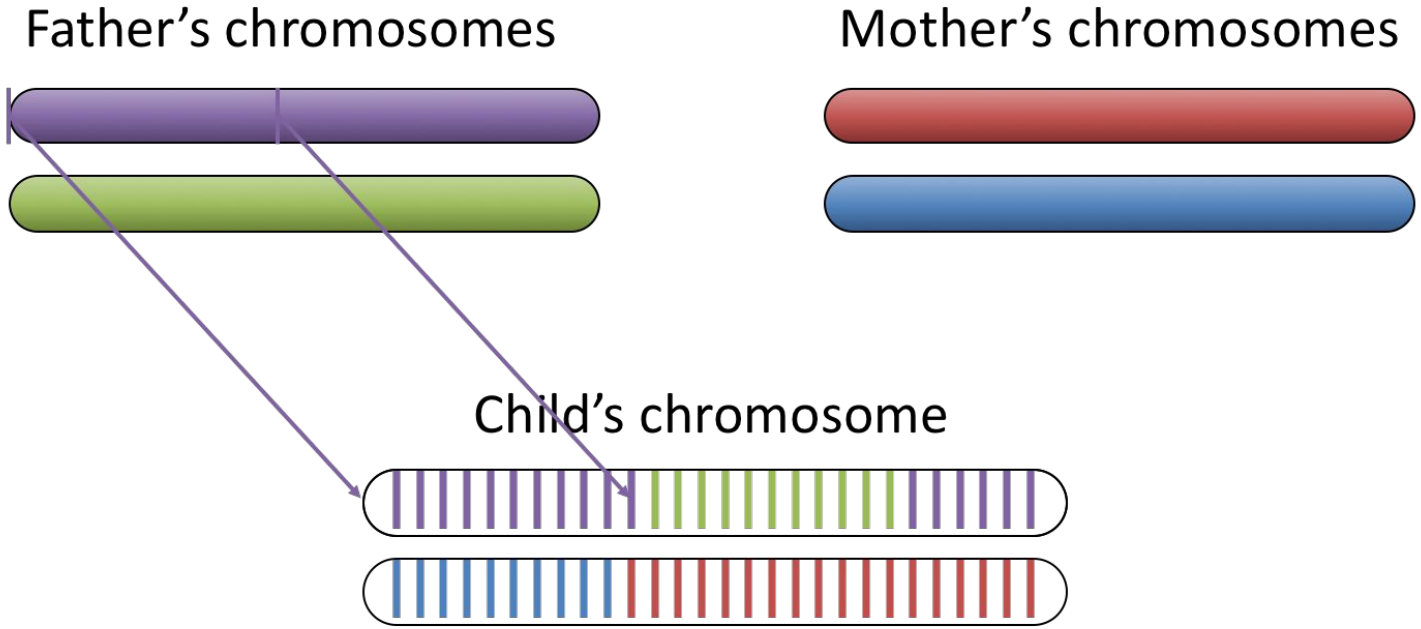
Inheritance of genotypes – Pedigree



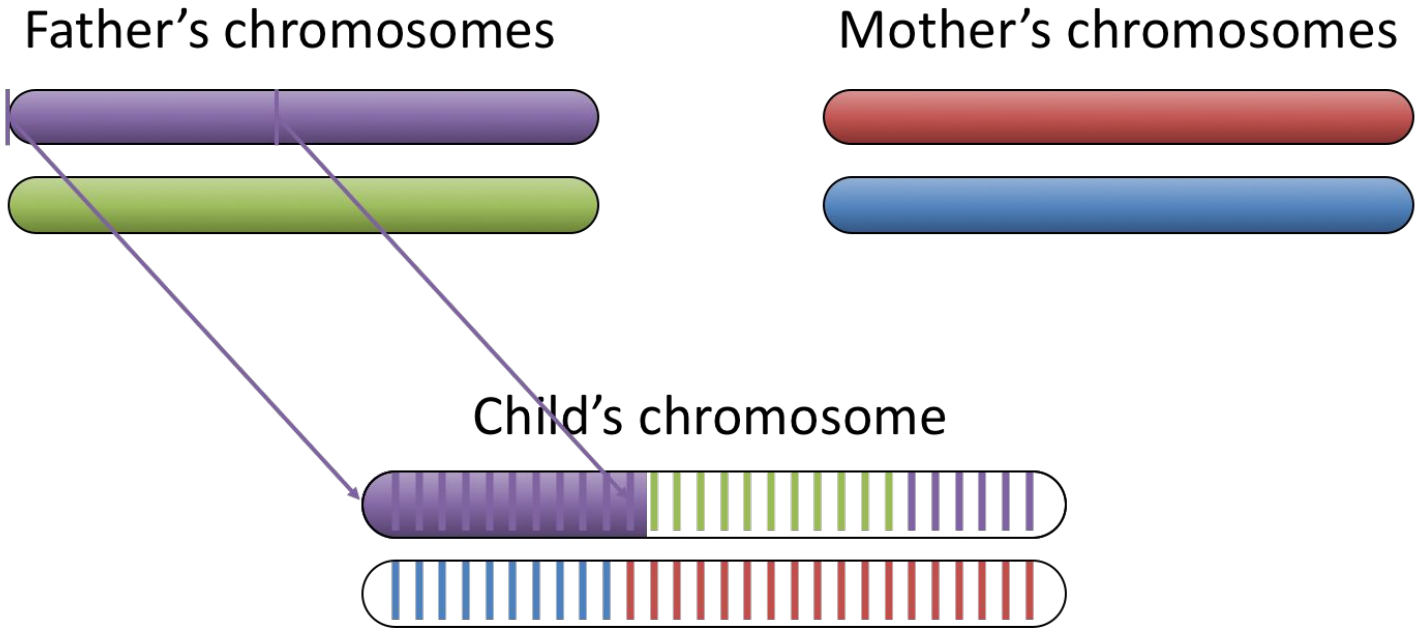
Imputing from sequenced parents using pedigree information



Imputing from sequenced parents using pedigree information



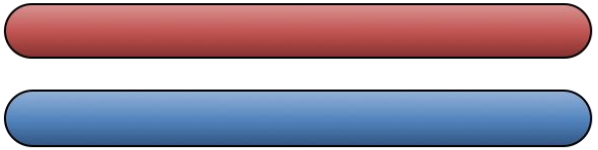
Imputing from sequenced parents using pedigree information



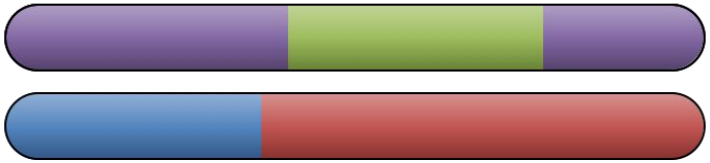
Imputing from sequenced parents using pedigree information

Father's chromosomes

Mother's chromosomes



Child's chromosome



Imputing from sequenced parents using haplotype libraries

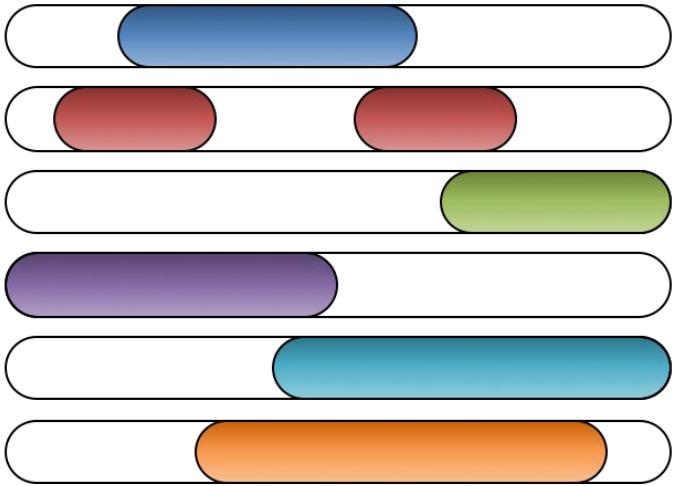
Individual's Chromosome

Haplotype reference library

Imputing from sequenced parents using haplotype libraries

Individual's Chromosome

Haplotype reference library

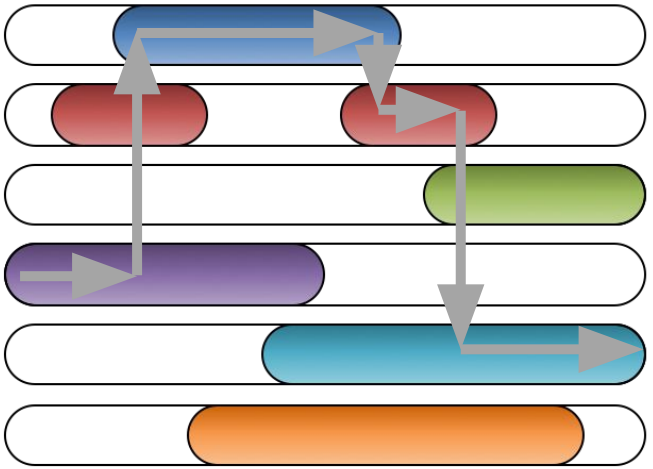


Imputing from sequenced parents using haplotype libraries

Individual's Chromosome

An individual's haplotype is a mosaic of haplotypes from a reference library.

Haplotype reference library



Imputation of missing genotypes

Pedigree imputation uses linkage

- Family statistic
- Correlation between adjacent markers within a family

Haplotype library imputation uses LD

- Population statistic
- Correlation between adjacent markers within a population

Imputation of missing genotypes - Which approach?

Beagle uses an LD-based approach (Hidden Markov Model; HMM) which in general does a good job using default settings.

- relatively user-friendly
- widely used in the literature
- also does phasing of your data

There are other HMM-based algorithms which show comparable imputation accuracies and computational efficiency. Some of them, however, might not phase your genotypes.

- In this case you need another software to perform phasing before imputation.

A stand-alone pedigree imputation approach should not be used as it is less accurate than algorithms using an HMM.

- However, some algorithms combine pedigree information and an HMM. This might increase accuracy and / or computational efficiency.

Imputation with BEAGLE

Localised haplotype clustering imputation – LHCI

- **popular method** for the imputation of missing genotypes
- developed originally for **humans**, has since found wide application also in animals and plants
- makes use solely of **genomic information** (LD, allele frequency etc.) - no pedigree!
- **haplotypes** are inferred (reconstructed), their frequency estimated, and are **clustered** “locally”

Detailed introduction how BEAGLE works

https://www.youtube.com/watch?v=-oUvXXg6tl8

Localised haplotype clustering imputation – LHCI

- Hidden Markov Model (HMM)
- Find the most likely haplotype pair for each individual given the genotype data for that individual and the haplotype frequency model
- genotypes are then **imputed** based on probabilities from the last fitted model (iterative algorithm)
- **LHCI** is implemented in the software "**BEAGLE**" (Browning and Browning 2007: https://faculty.washington.edu/browning/beagle)

- LHCI is the method
- Beagle is the software that implements it

K-nearest neighbour Imputation (KNNI)

K-nearest neighbor imputation – KNNI

- general imputation method
- applicable to any type of data (including genotypes)
- **similarity matrix** between samples from a **distance function** based on available data
  e.g. Euclidean distances or Hamming distances based on SNP genotypes

Genotype imputation – measuring accuracy

Imputation accuracy of **all genotype classes** (total, AA, AB, BB)

Why is this important?

- Data are usually **unbalanced** (major/minor alleles)
- Rare allele (1%) → a **naive classifier that always predicts the major allele** would be correct 99 times out of 100

99% accuracy overall
100% accuracy for the major allele
but **0%** accuracy in the minor allele!

Genotype imputation – measuring accuracy

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Key message

Check the accuracy in the different genotype classes, not the total accuracy