



# Unsupervised Domain Adaptation

Final Project Presentation

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# Outline

1. Introduction
2. Works on DANN
  - DANN + Discrepancy loss
  - DANN + improvement for Adversarial methods and combinations
  - Incremental DANN
3. Gradual self training
  - Comparison with other datasets
  - Ablation study
4. Conclusions
  - Future works
  - Our opinion



# Intro - Domain Adaptation

**Labelled dataset:** when we train networks

- **Pros:** training results in good performances
- **Cons:** expensive -> we can't have a label dataset for each application

**Unlabelled data:** usually for real applications we only have unlabeled data

- **Pros:** Almost free -> we want to exploit them
- **Cons:** domain shift

$\{X_s, Y_s\}$



$\{X_t\}$



# Intro - Our work

Previously:

- General introduction to **Domain Adaptation**
- More specifically on **UDA** for **classification**
- Overview of different UDA techniques
- Test of some standard methods

Today:

- Work over DANN: **improve DANN with other methods and combination** of them
- Gradual self-training: in **depth ablation analysis** of a single method
- All our work can be found here <https://github.com/flippodaniotti/TACV-DA-project>

# Experiment Setting

## Ideas:

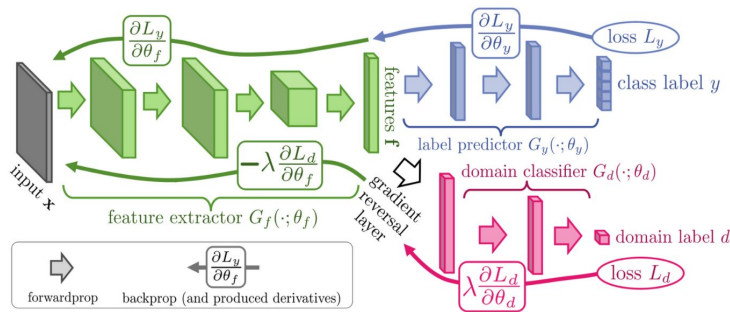
- Start with **DANN**
- **Improve** it with newer methods
- Try to **combine** more than one method together

## Why DANN:

- **Simple** -> fast to implement and test
- Investigate if the concept make sense
- Don't look for the greatest accuracy

## Dataset: Office31

- **Pros:** more complex than digits, lighter than OfficeHome
- **Cons:** unbalanced in the 2 domains



# Office31

WEBCAM (795 images)



AMAZON (2817 images)





# DANN + Discrepancy loss

- Starting from DANN
  - Add a loss at the output of the feature extractor
    - Simple and effective
  - Align the features before classification and discrimination

- Maximum Mean Discrepancy Loss

$$\begin{aligned}MMD^2(P, Q) &= \|\mu_P - \mu_Q\|_{\mathcal{F}}^2 \\ &= \mathbb{E}_{x \sim P} [k(x, x')] + \mathbb{E}_{y \sim Q} [k(y, y')] - 2\mathbb{E}_{x, y \sim P, Q} [k(x, y)]\end{aligned}$$

- Coral Loss

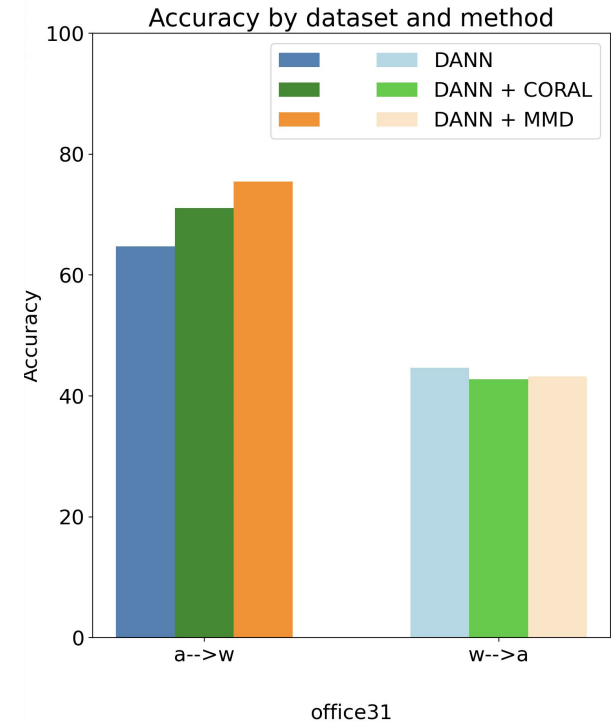
$$\ell_{CORAL} = \frac{1}{4d^2} \|C_S - C_T\|_F^2$$



# Obtained Results

- MMD loss best on Amazon -> Webcam domain, gain over 10%
- Coral loss improves of 6%
- Both losses don't provide improvements on Webcam -> Amazon direction, **unbalanced dataset**

Model	A->W A	A->W W	Gain	W->A W	W->A W	Gain
DANN	86.35	64.78	-	93.71	44.68	-
DANN + MMD	83.16	75.47	<b>+10.69</b>	95.60	42.02	-2.66
DANN + Coral	87.06	71.07	+6.29	94.34	43.25	-1.43





# Experiment Setting

## Ideas:

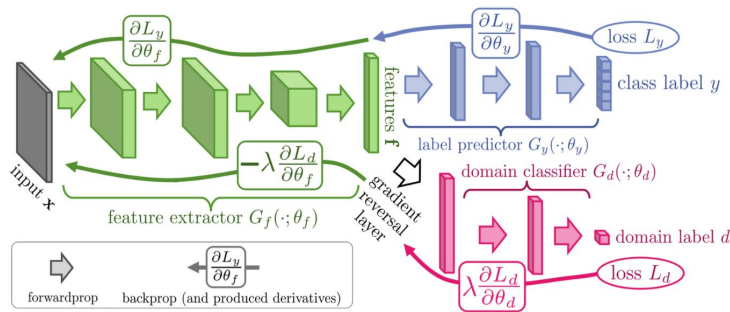
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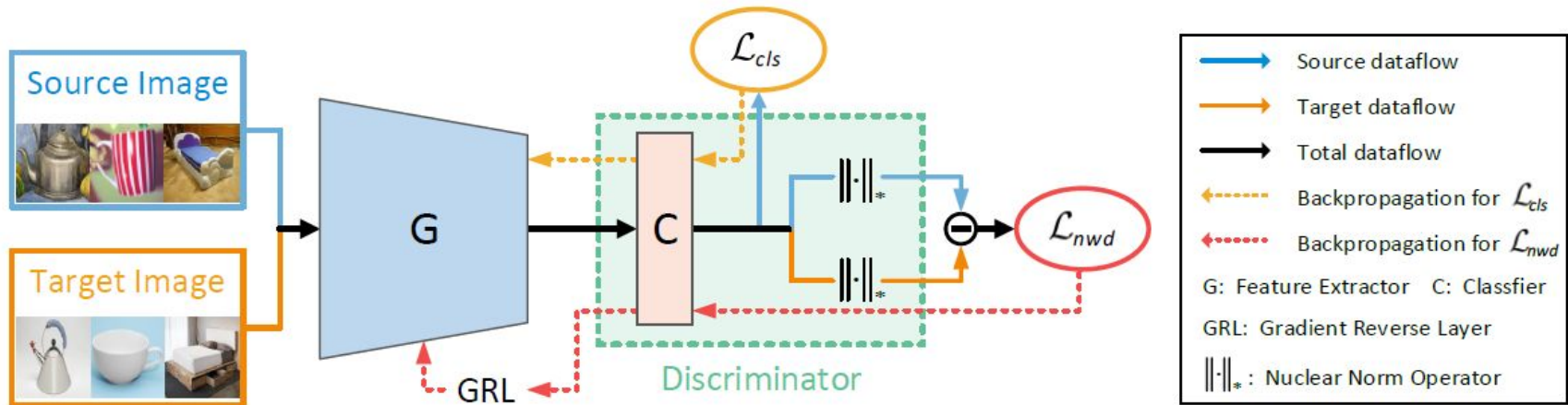
## Dataset: Office31

- **Pros:** more complex than digits, lighter than OfficeHome
- **Cons:** unbalanced in the 2 domains



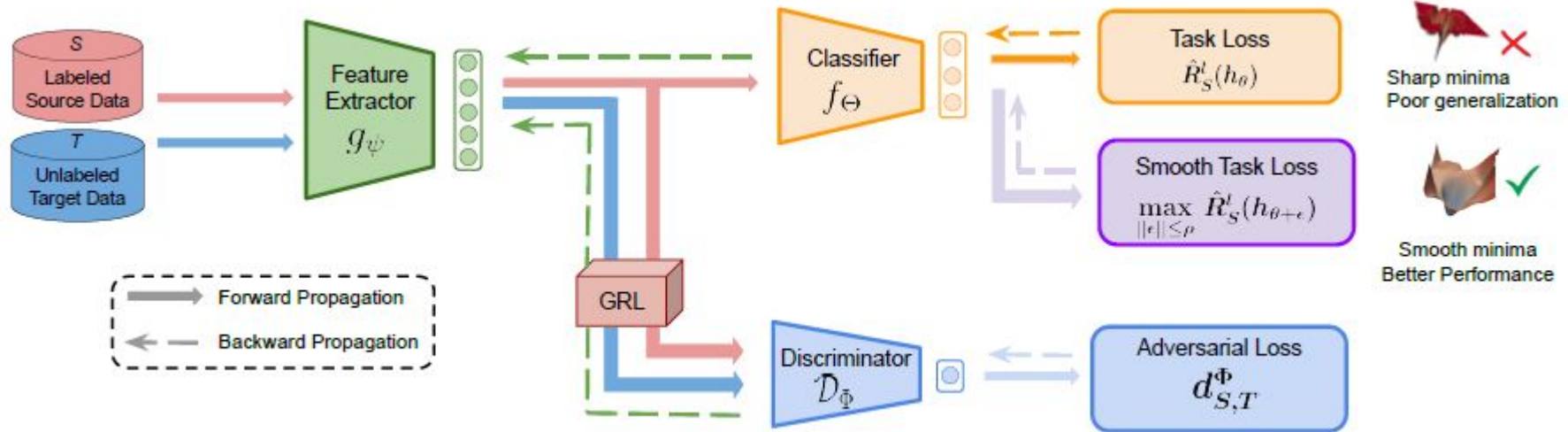
# Methods Recap - DALN

- Remove the discriminator
- Use classifier + NWD module to discriminate the domain



# Methods Recap - SDAT

- Find **smoother minima** for classification loss
- New optimizer** with additional gradient computation steps

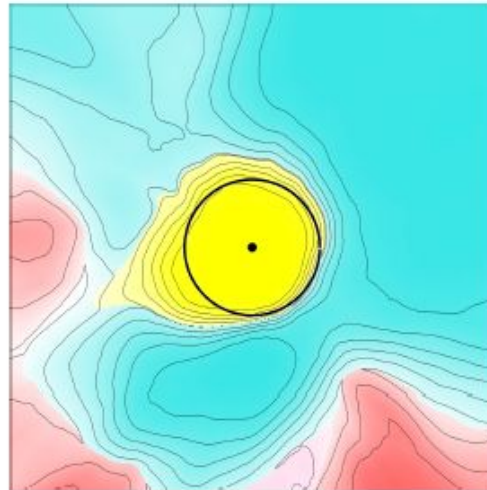


# Methods Recap - JREG

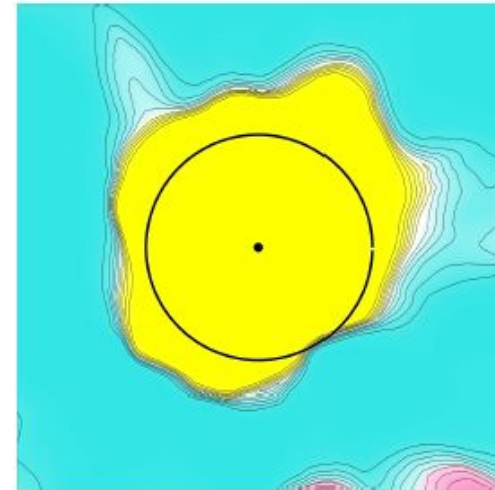
- Regularization method
- Push decision boundaries further away
- Used inside FGDA



(a) Without regularization



(b) With  $L^2$  regularization

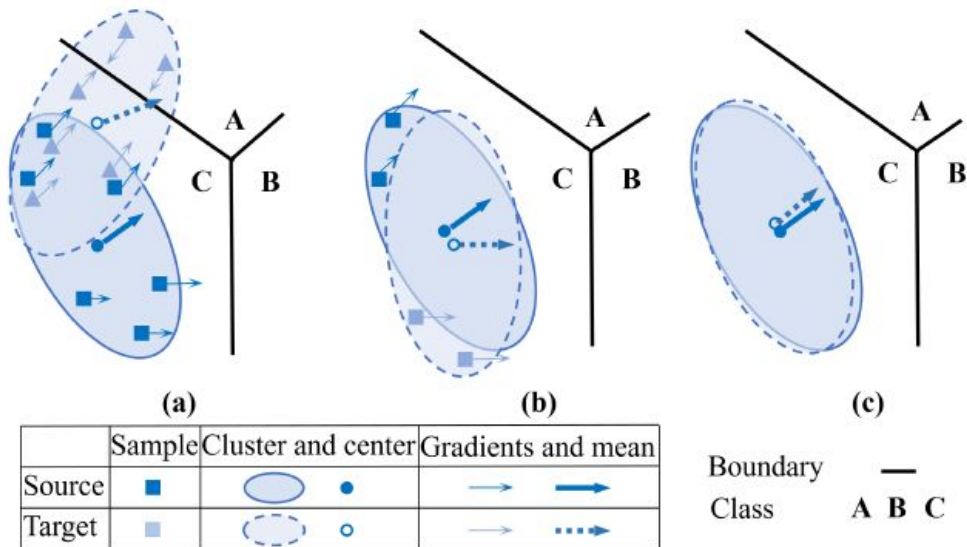


(c) With Jacobian regularization



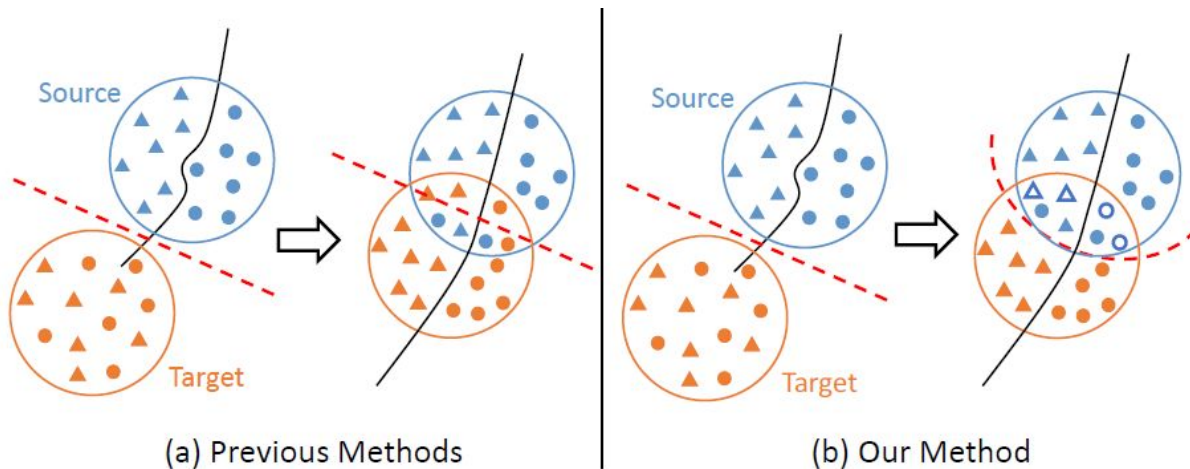
# Methods Recap - FGDA

- **Constrain** feature **gradients** of two domains to have **similar distributions**
- Pseudo labels computed to obtain target loss
- Jacobian Regularization used inside



# Methods Recap - RADA

- **Relabel** well aligned target **samples** as source domain
  - Well aligned samples  $\rightarrow$  domain discriminator entropy higher than a threshold
  - **Mixup** at feature level used with relabel samples to softly mix features
  - Domain relabeling doesn't influence classification
- 
- **No official implementation available**





# Combining methods - How

## FGDA + DALN: **no conflicts and lighter model**

- FGDA use an additional grad\_discriminator to align gradient distributions
- Adversarial discriminator can be substituted by DALN

## RADA + FGDA: **no conflicts**

- RADA change domain labels but doesn't influence classification task
- Just add FGDA

**Any + SDAT:** SDAT is a different optimizer so can be applied to any method

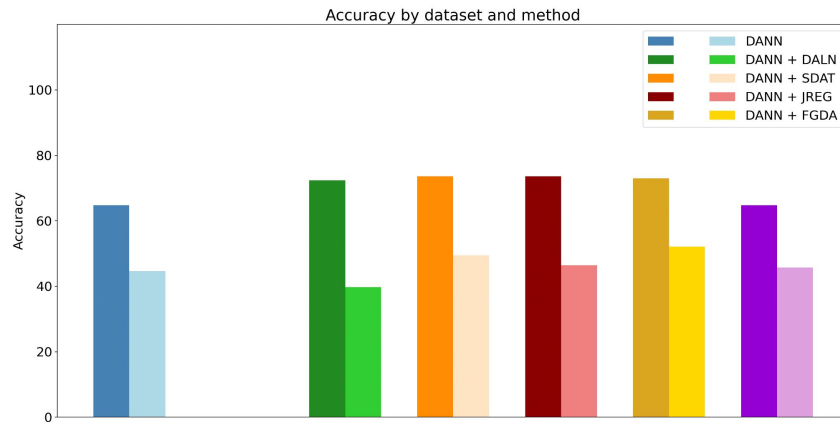
## RADA + DALN: **creates conflicts**

- RADA use domain discriminator entropy as policy to re-align samples



# Obtained Results

- Almost all methods improve DANN
- RADA in A->W test doesn't improve
  - Neither worsen and relabeling started at epoch 17
  - No official code and training parameters available
- DALN in W->A is suffering the dataset imbalance
- JREG very effective but FGDA improve it a lot in W->A

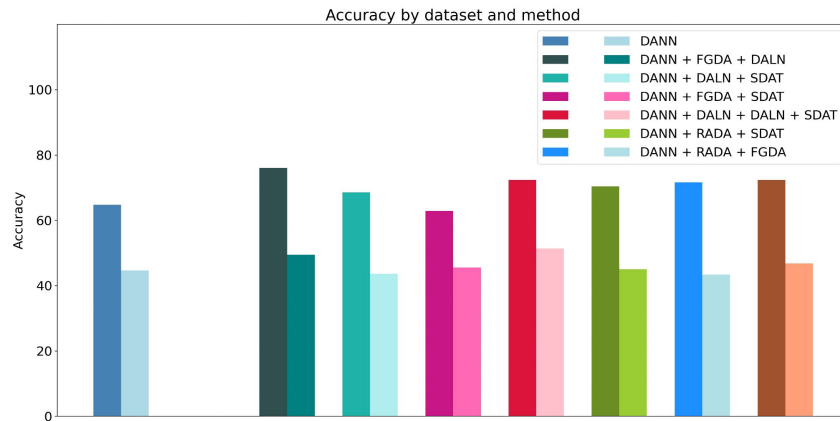


Model	A->W A	A->W W	Gain	W->A W	W->A W	Gain
DANN	86.35	64.78	-	93.71	44.68	-
DANN + DALN	83.16	72.33	+7.55	95.60	39.72	-4.96
DANN + SDAT	87.06	73.58	+8.80	94.34	49.47	+4.79
DANN + JREG	85.82	73.58	+8.80	94.97	46.45	+1.77
DANN + FGDA	86.35	72.96	+8.18	96.86	52.13	<b>+7.45</b>
DANN + RADA	85.99	64.78	0	93.08	45.74	+1.06



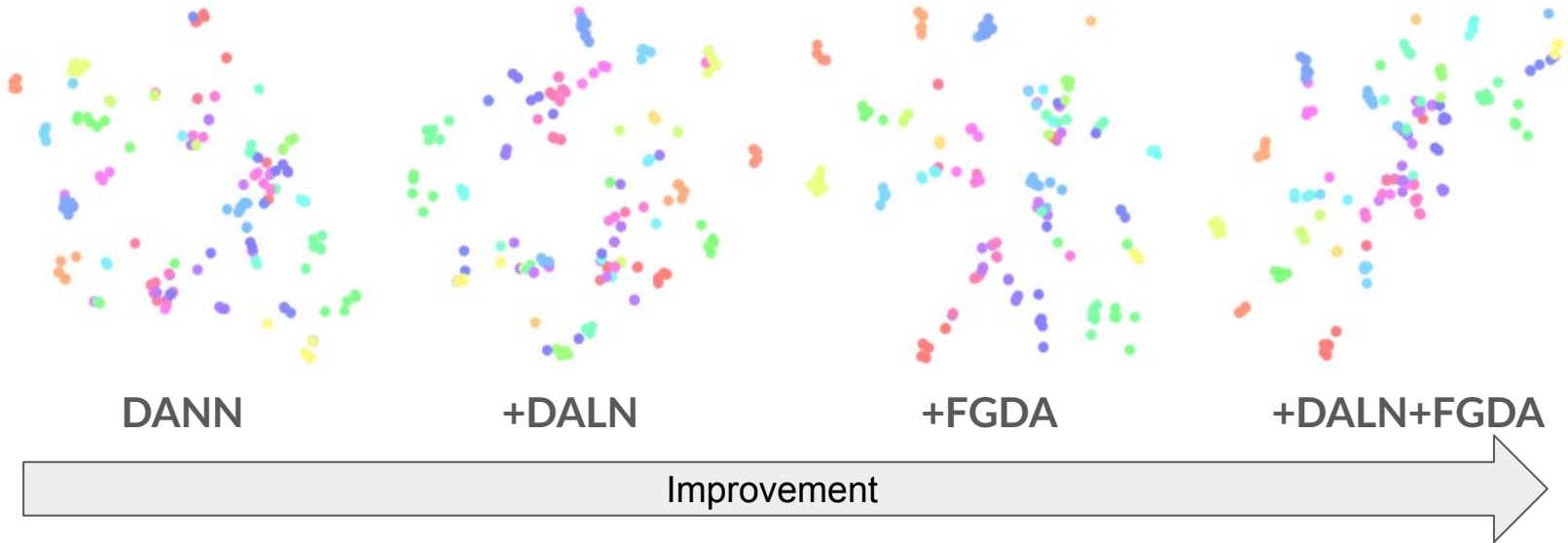
# Obtained Results

- FGDA + DALN seems a good idea
  - Best method in A->W test with gain of +11.32
  - In W->A test suffer the poor performances of DALN in this direction
- RADA + FGDA might be a good idea
  - Increase RADA performances
  - Problem are RADA poor performances due to non optimal training params.
- SDAT very sensitive to training params. -> if not well selected decrease performances



Model	A->W A	A->W W	Gain	W->A W	W->A W	Gain
DANN + FGDA + DALN	84.75	<b>76.10</b>	+11.32	93.08	49.47	+4.79
DANN + DALN + SDAT	85.64	68.55	+3.77	91.82	43.62	-1.06
DANN + FGDA + SDAT	81.21	62.89	-1.89	93.71	45.57	+0.89
DANN + DALN + DALN + SDAT	85.82	72.33	+7.55	94.97	51.42	+6.74
DANN + RADA + SDAT	83.87	70.44	+5.66	94.34	45.04	+0.36
DANN + RADA + FGDA	83.87	71.70	+6.92	94.34	43.44	-1.24
DANN + RADA + FGDA + SDAT	84.57	72.33	+7.55	93.71	46.81	+2.13

# TSNE Analisy

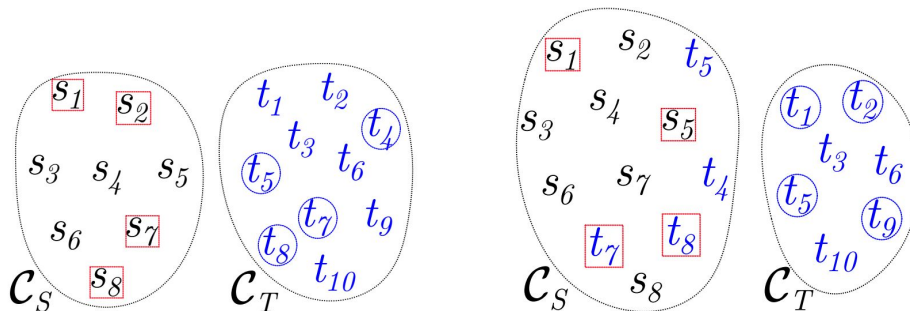


- TSNE plots for A->W test of predicted target domain labels
- Better inter class separation
- Better intra class compactness

# Incremental Method

Idea:

- Start from a **trained model**
- Assign a pseudo label to  **$k$  samples**
- At each iteration train the model
  - **First**, train the model as usual
  - **Next**, only on the new pseudo labeled samples
- At the end, a model from scratch **only** with the target data



(a) 1<sup>st</sup> iteration

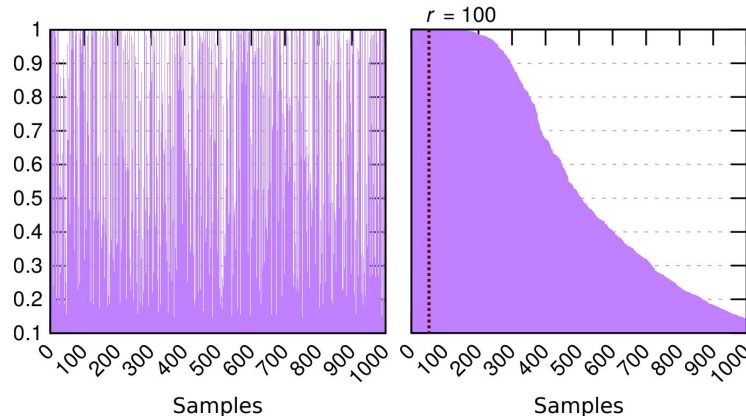
(b) 2<sup>nd</sup> iteration



# Incremental Method

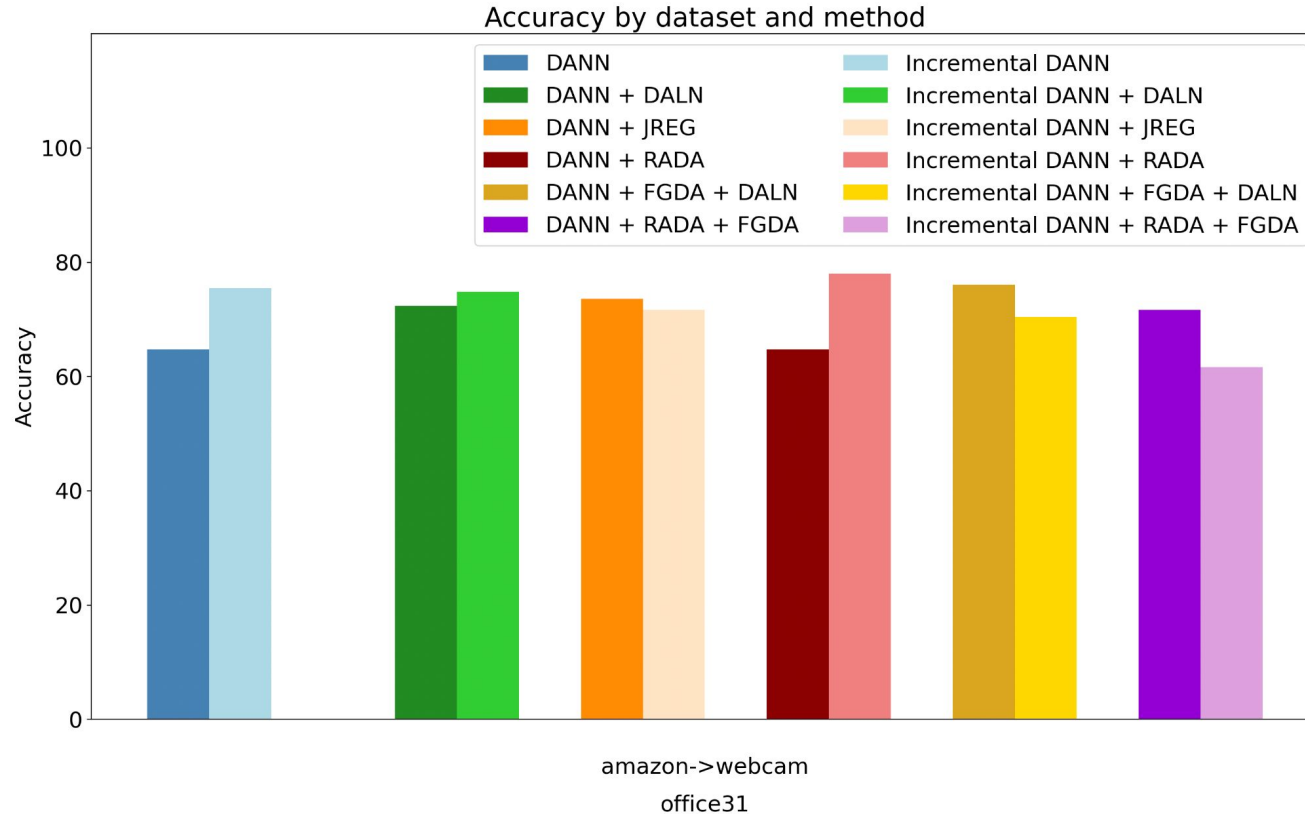
How to assign a label to the data?

- **Confidence policy:** select the samples with the **highest confidence** in the classifier predictions
- **Possible issue:** samples with a very low confidence will distort the training of the model
- **Possible solution:** when the confidence is lower than a certain threshold assign all the remaining data to a label without training the model anymore





# Results





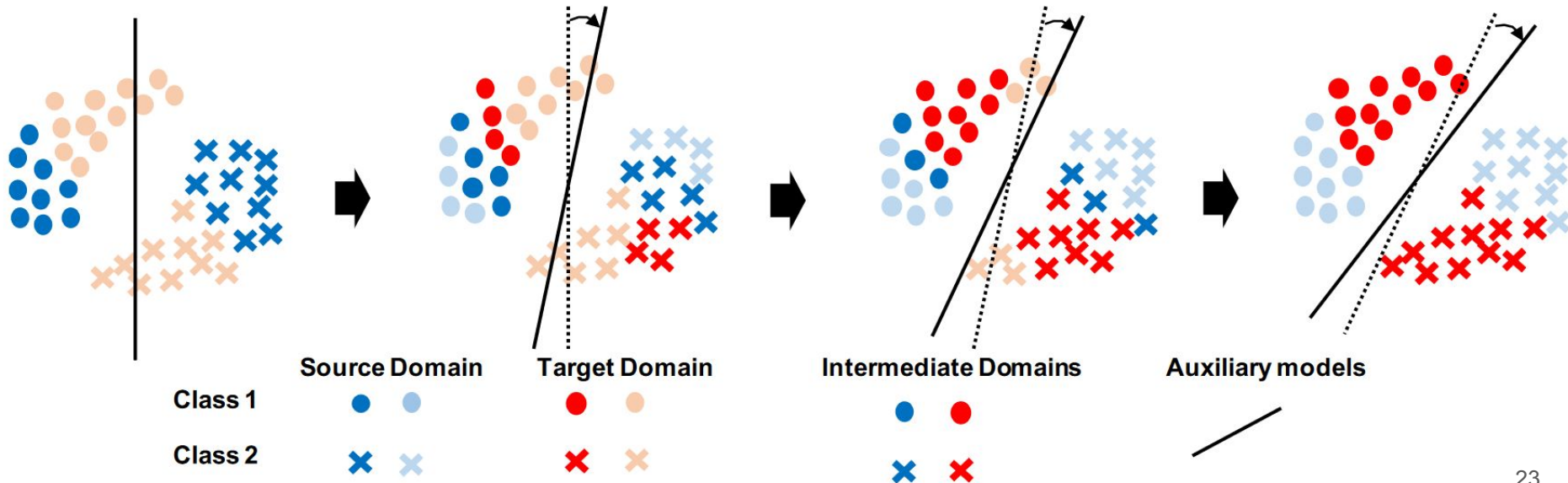
# Adversarial Future Works

- Try different training parameters to possibly obtain better results in particular with RADA and SDAT
- Try different starting architectures (e.g. CDAN)
- Test on different datasets (e.g. MNIST or OfficeHome) to have a better understanding
- Try a different alignment measure for RADA not based on discriminator output allowing to fuse RADA with DALN
- Try different policies for selecting samples in the incremental method (e.g. k-NN)
- Test the incremental method with different hyperparameters setting

# AuxSelfTrain

## Key Idea:

- gradually replace source samples with target samples
- assign pseudo-labels through self-training





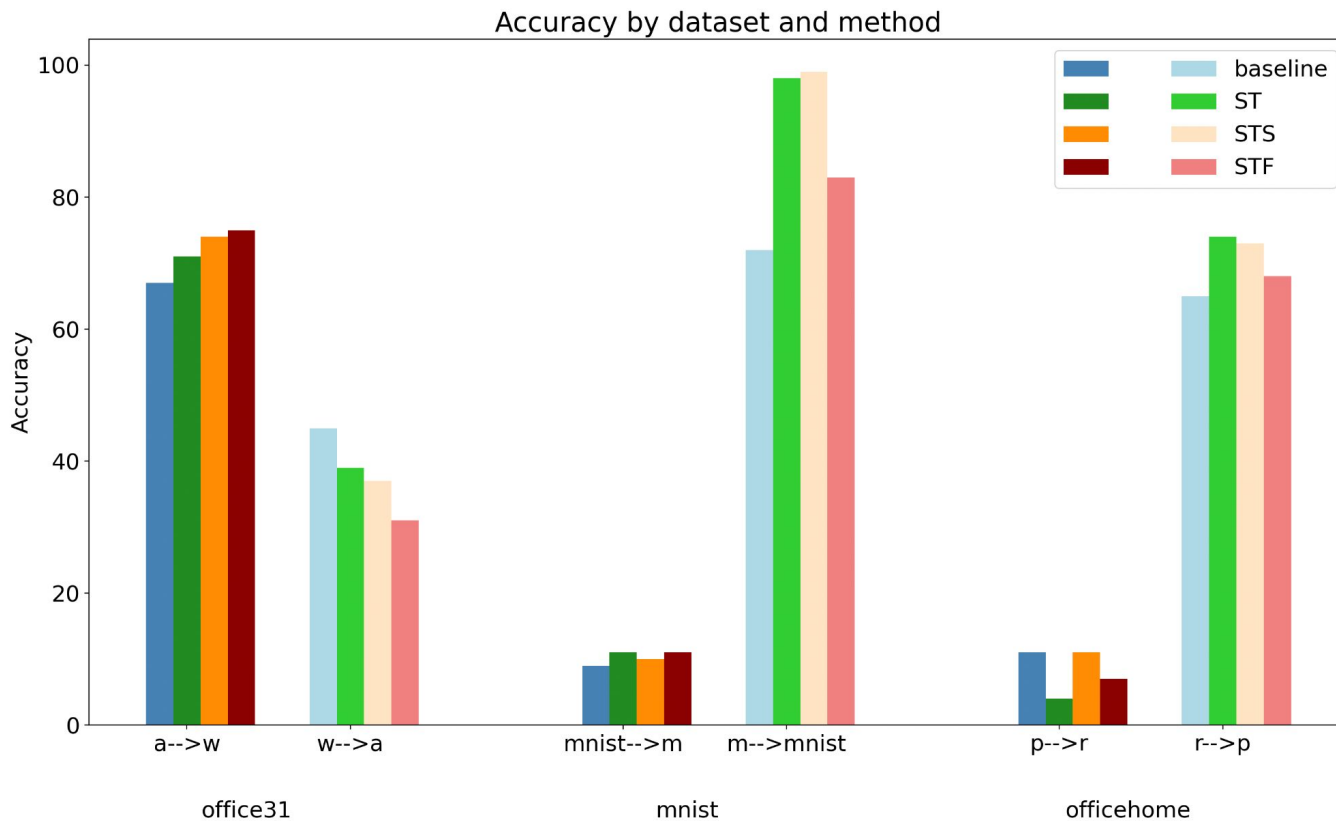
# Experiments

- AuxSelfTrain samples selection
  - *target* - highest **confidence** pseudo-label
  - *source* - **closest** to target distribution
- Ablation studies:
  - *ST* - Full approach
  - *STS* - **source** samples are **randomly** selected
  - *STF* - both **source** and **target** are **randomly** selected



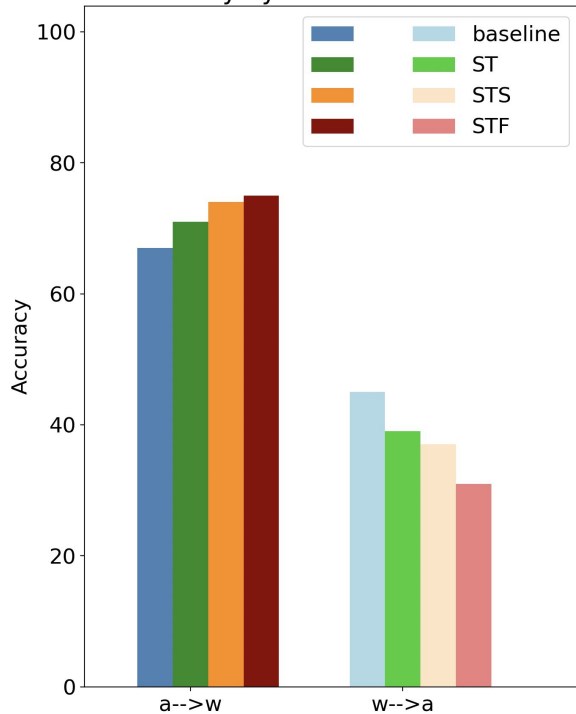


# Results



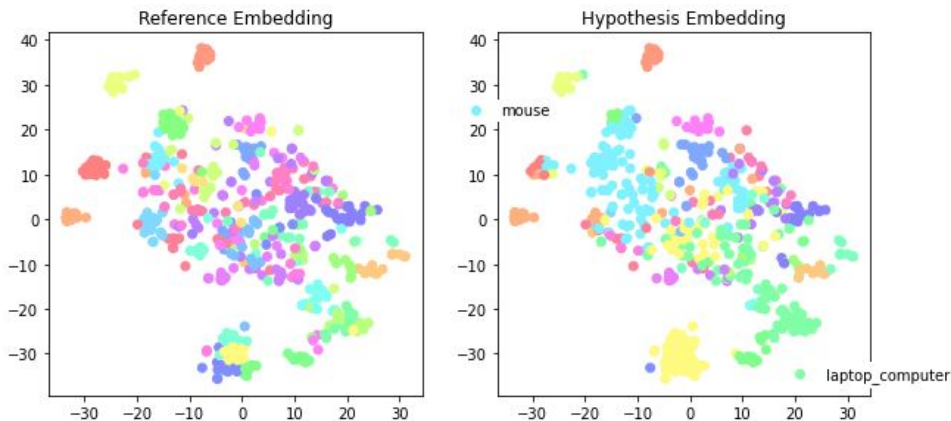
# Experiments: Office31

Accuracy by dataset and method



office31

- Improvements in A -> W...
- ... But drop in W -> A
- Two problems:
  - clustering fails
  - some classes are over-represented



STS experiment

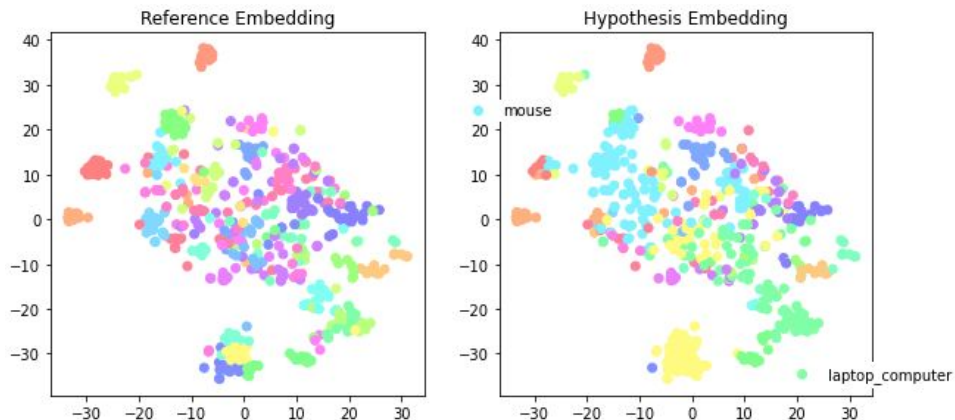


# Experiments: Office31

Target Confusion Matrix

	back_pack	bike	bike_helmet	bookcase	bottle	calculator	desk_chair	desk_lamp	desktop_computer	file_cabinet	headphones	keyboard	laptop_computer	letter_tray	mobile_phone	monitor	mouse	mug	paper_notebook	pen	phone	printer	projector	punchers	ring_binder	ruler	scissors	speaker	stapler	tape_dispenser	trash_can
back_pack	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
bike	0	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
bike_helmet	0	0	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
bookcase	0	0	0	9	0	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
bottle	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
calculator	0	0	0	0	0	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
desk_chair	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
desk_lamp	0	0	0	0	0	0	3	3	0	0	0	0	3	0	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
desktop_computer	0	0	0	0	0	0	0	0	0	0	0	0	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
file_cabinet	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0
headphones	0	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0
keyboard	0	0	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
laptop_computer	0	0	0	0	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
letter_tray	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
mobile_phone	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
monitor	0	0	0	0	0	0	0	0	0	0	0	0	13	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
mouse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0
mug	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
paper_notebook	0	0	0	0	0	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
pen	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14	0	0	0	0	0	0	0	0	0	0	0
phone	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
printer	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12	0	0	0	0	0	0	0	0	0	0
projector	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
punchers	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ring_binder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0
ruler	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0
scissors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10	0	0	0	0
speaker	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0
stapler	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
tape_dispenser	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
trash_can	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

- Hypothesis: unbalanced dataset
  - A: ~3000 samples
  - W: ~800 samples
- Perform experiments balanced dataset



STS experiment

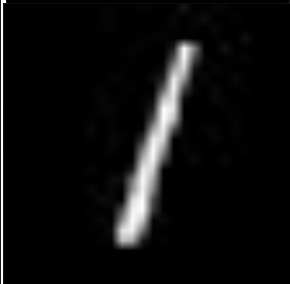


# Additional Datasets

MNIST

mnist-m

mnist



~4000

~4000

OfficeHome

Product

Real World



~2000

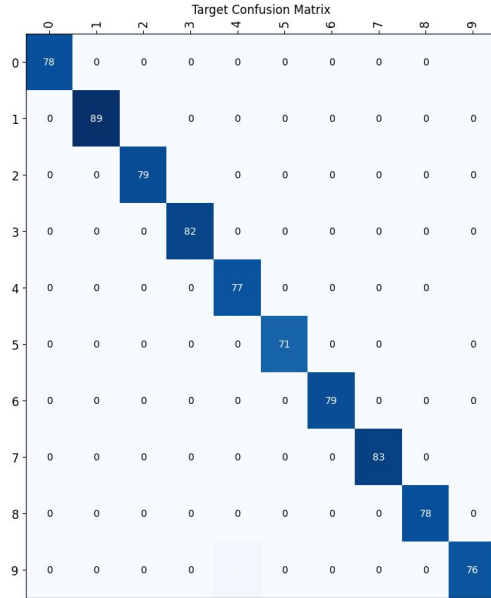
~2000



# MNIST-M $\rightarrow$ MNIST

Great!

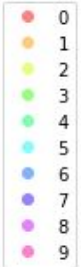
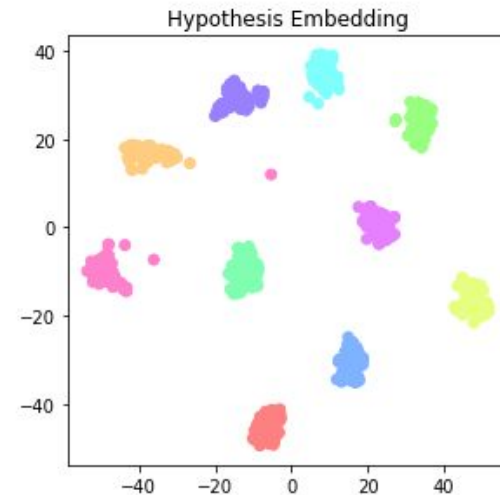
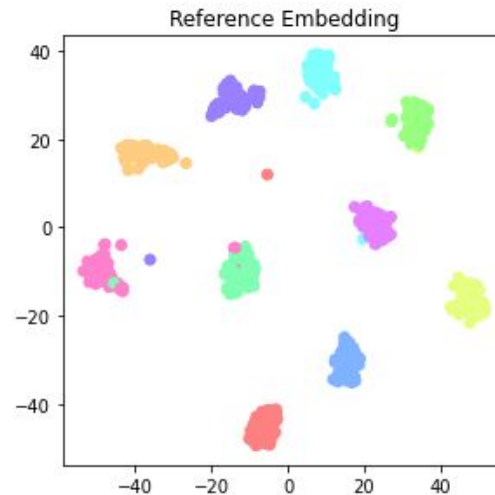
Baseline is ~72%



Target

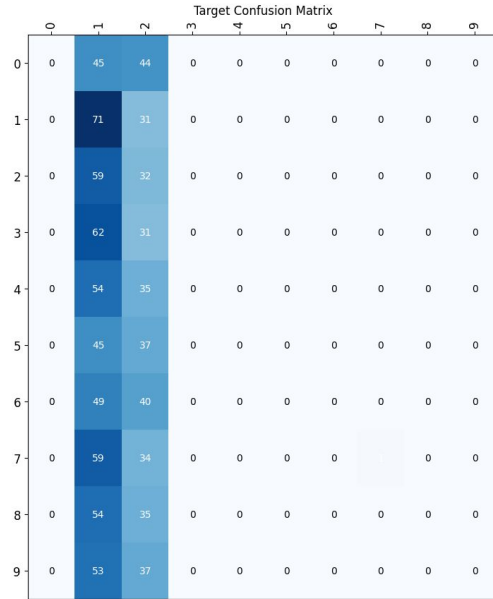
Accuracy: 98.88

Loss: 0.00





# MNIST -> MNIST-M

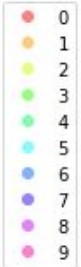
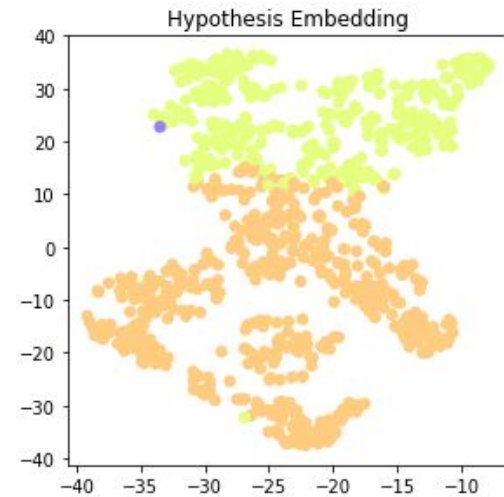
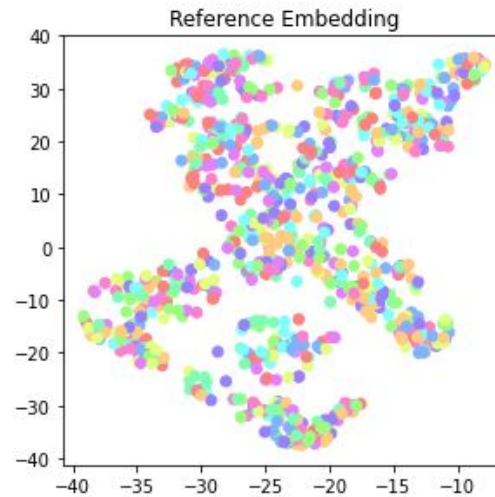


Target

Accuracy: 11.45

Loss: 0.10

Yikes!



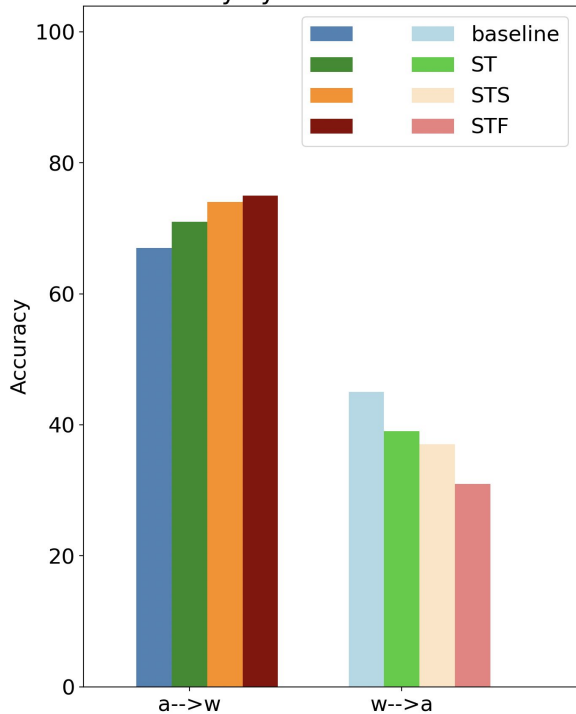


# Asymmetric domains

- Results show similar patterns in OfficeHome
  - **R** -> **P** - good results
  - **P** -> **R** - no results
- Probably due to an **asymmetric** domain shift
  - MNIST-M is MNIST but with **more** information
  - same for R and P
- The model only work with **small** domain shift
  - otherwise, it is **over-confident** on one single label

# Back to Office31

Accuracy by dataset and method



office31

Why does it perform “suspiciously well” on A -> W?

- amazon has some webcam-style images



Why does it perform “suspiciously bad” on W -> A?

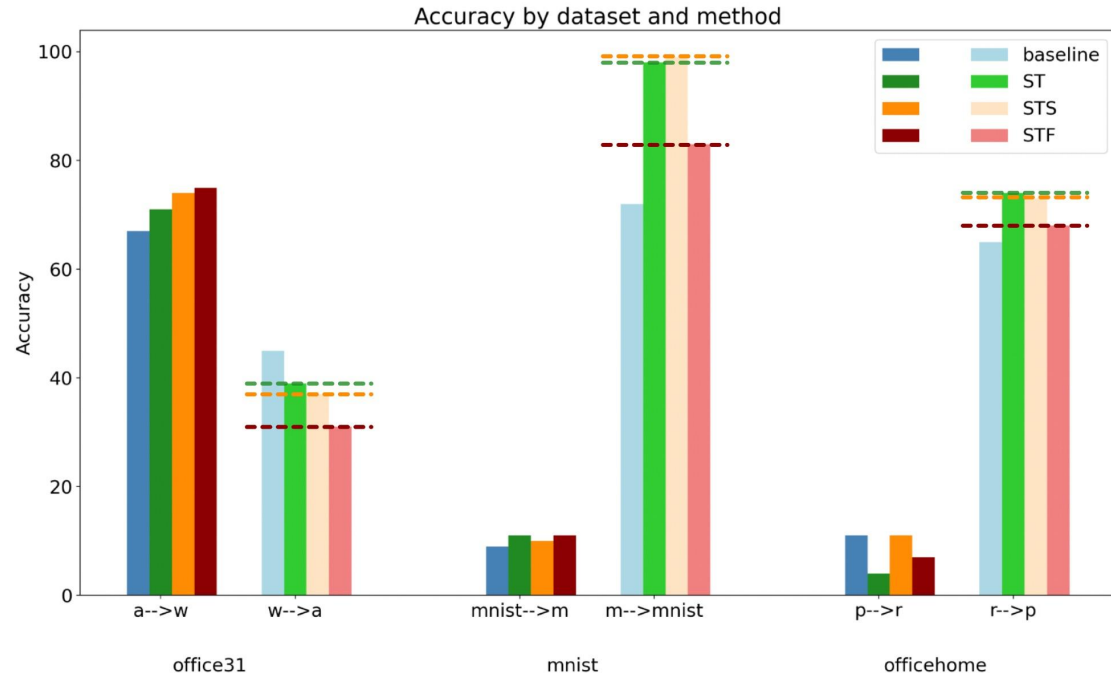
- the domains are not balanced!





# Ablation study

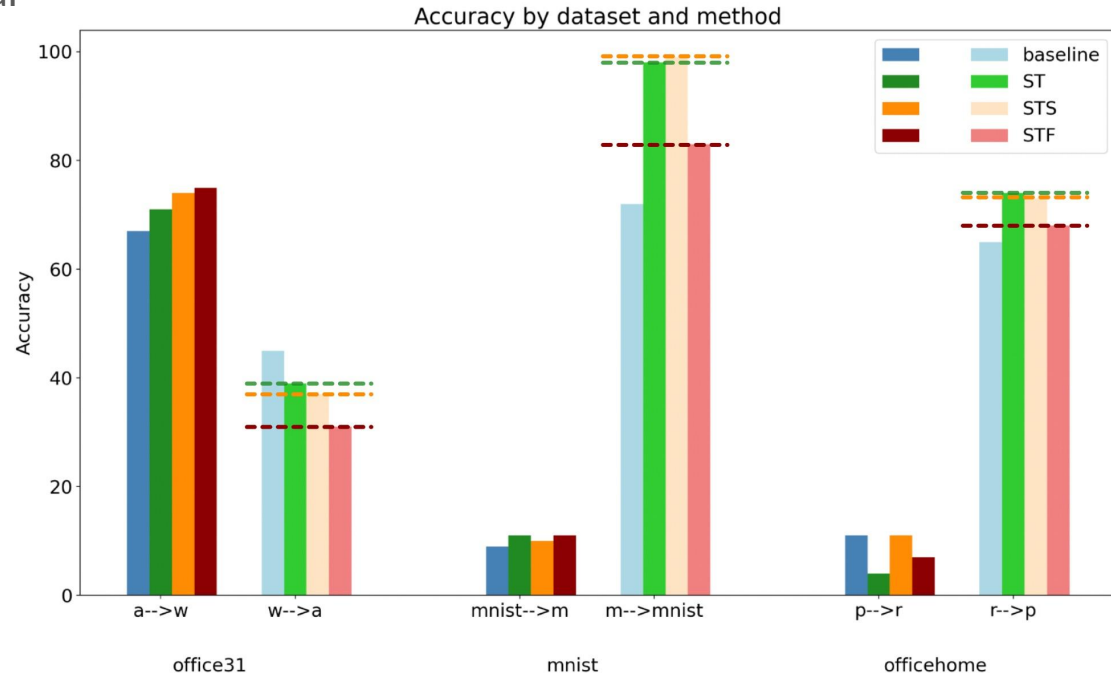
- ST, STS  $\gg$  STF
  - target sample selection works





# Ablation study

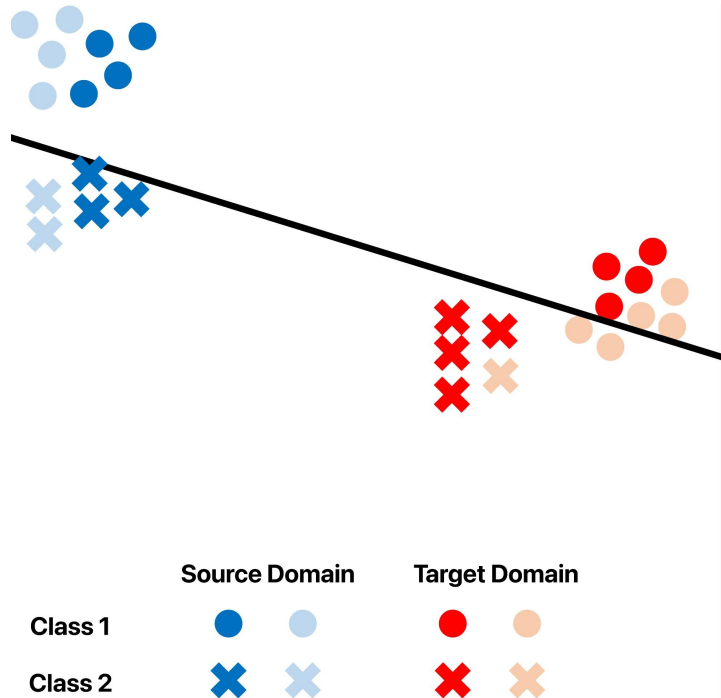
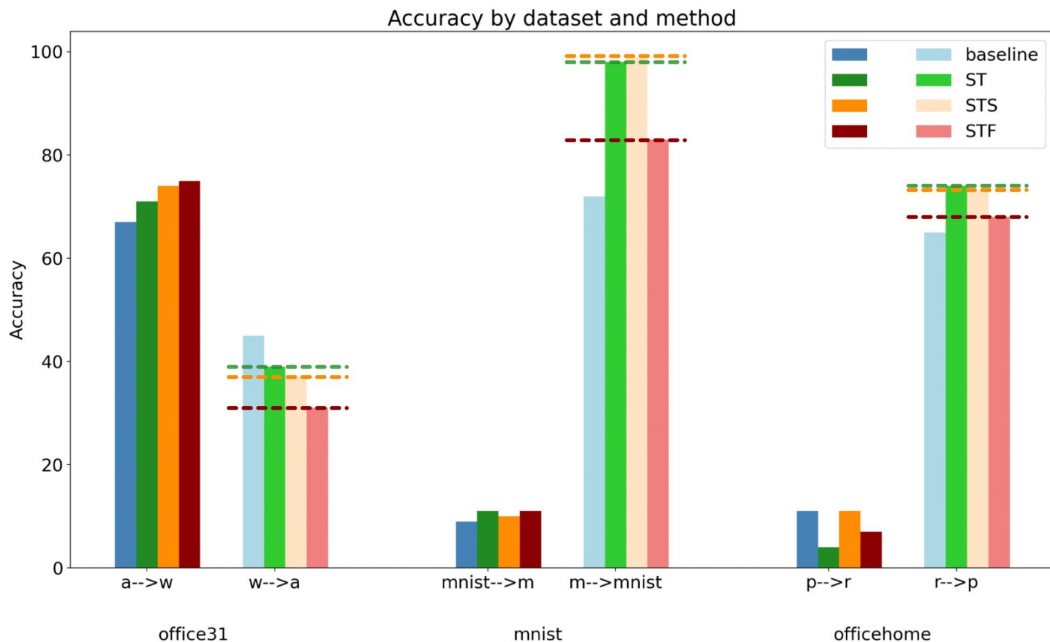
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# Future works

- Implement **model ensemble** on the target source samples selection strategy
  - requires significant computational power
- Behaviour on MNIST -> MNIST-M resembles the **mode collapse** problem of GANs
  - use toolchains from GAN literature to further explore
    - e.g. batch discrimination
  - add penalty/threshold when few classes are over-represented
- Test our DANN methods on other datasets
  - provide insights on your model



# Conclusion

- **Avoid** to **over complicate** the model
- **Keep** the model **simple** but exploit it better (change losses and/or optimizer)
- Some models require careful **fine-tuning** of hyperparameters
- There is **no panacea** model
  - A thorough dataset exploration is crucial
  - Pick the best DA approach given the dataset



**Thank you for your attention**



**Thank you for your attention  
...and merry Christmas!**