Parsing, fast and slow

A two-system approach to some topics in linguistics

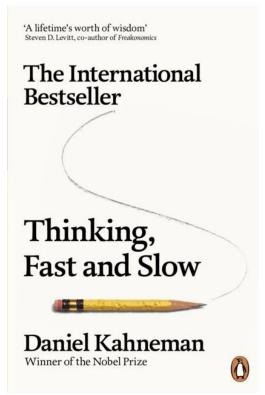
Jamie Y. Findlay
University of Oslo
ILN departmental linguistics seminar,
6 Oct. 2023



The dual process hypothesis

(Wason & Evans 1974)

System 1	System 2		
• Answer 2 + 2 = ?	• Answer 34 x 79 = ?		
Detect that one object is more distant than another	 Look for a woman with white hair 		
Orient to the source of a sudden sound	Search memory to identify a surprising sound		
Complete the phrase "bread and"	Compare two washing machines for overall value		
Drive a car on an empty road	Fill in an official form		
Find a strong move in chess (if you are a chess master)	Check the validity of a complex logical argument		



(Stanovich & West 2000: 658)

(Kahneman 2011)

The Linda problem

(Tversky & Kahneman 1982)

Linda is 31 years old, single, outspoken, and very bright. She majored in philosophy. As a student, she was deeply concerned with issues of discrimination and social justice, and also participated in anti-nuclear demonstrations.

Which is more likely?

A. Linda is a bank teller. 10–15%

B. Linda is a bank teller and active in the feminist movement. 85–90%

Bank **Feminists** tellers **Feminist** bank tellers Representativeness heuristic

(For an interesting linguistic perspective on this kind of problem, see Asudeh & Giorgolo 2020: ch. 6.)

Letter estimates

(Tversky & Kahneman 1973)

If a random word is taken from an English text, is it more likely that the word starts with a K, or that K is the third letter?

Availability bias

Anaside

T&K investigated 5 letters reported to have this property by Mayzner & Tresselt (1965): k, l, n, r, v

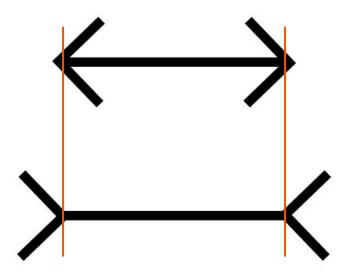
	DS9 (47,377 words)			Shakespeare (958,363 words)		
	1st	3rd		1st	3rd	
k	1.5%	1.1%	0.73x	1.1%	0.8%	0.73x
1	2.0%	2.4%	1.20x	3.3%	4.1%	1.24x
n	2.3%	4.2%	1.83x	3.0%	4.6%	1.53x
r	2.4%	6.4%	2.67x	1.6%	7.9%	4.94x
v	0.5%	2.0%	4.00x	0.7%	1.9%	2.71x

Heuristics and biases

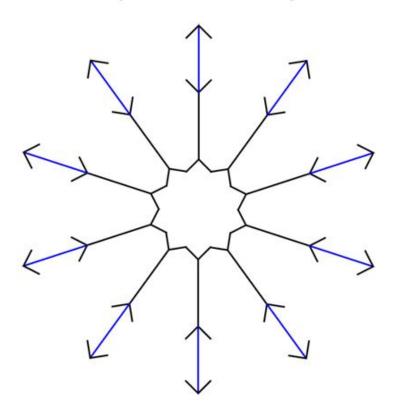
 People systematically make the 'wrong' choices/judgements, because they make use of shortcuts.

- Evolutionary motivation: S2 is expensive!
 - We are "cognitive misers". (Fiske & Shelley 1984: 13)
- S2 can 'take the reins' and suppress S1.
 - But this capacity varies!

The Müller-Lyer illusion



Sarcone's Dynamic Müller-Lyer Illusion



This talk

Applying this contrast to various linguistic phenomena.

1. Psycholinguistics

2. Large Language Models (LLMs)

3. Literary language

4. Socially-motivated language change

Psycholinguistics

Language processing as System 1

The Stroop effect (Stroop 1935)

Purple Red **Brown** Red Green Blue

Linguistic illusions

The Moses illusion

How many animals of each kind did Moses take on the Ark?

(Erickson & Mattson 1981)



Grammaticality illusions

* More Americans have been to Russia than I have.

(Montalbetti 1984)



Negative illusions

No head injury is too trivial to be ignored.

→ All head injuries should be ignored, no matter how trivial.

No dictatorship is too benevolent to be condemned.

→ All dictatorships should be condemned, no matter how benevolent.

(Wason & Reich 1979)

'Good Enough' parsing

(Ferreira et al. 2002)

Misinterpretation of garden-path sentences

While Anna dressed the baby played in the crib.

- 1. Did the baby play in the crib?
- 2. Did Anna dress the baby?



(Christianson et al. 2001)

Misinterpretation of passive sentences

- 1. The man bit the dog.
- 2. The man was bitten by the dog.
- 3. The dog bit the man.
- 4. The dog was bitten by the man.

(Ferreira 2003)

- 1. NVN heuristic
- 2. Plausibility heuristic

'pseudo-parse' 'quick and dirty' 'fast and frugal' Late Assignment of Syntax Theory (LAST)

(Bever 1970; Fodor et al. 1974; Garrett 2000; Townsend & Bever 2001; i.a.)

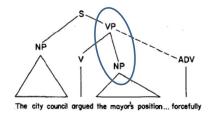
What about System 2?

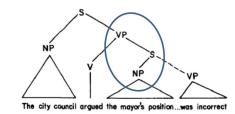
- Visual illusions like Müller-Lyer can be demonstrated by e.g. measurement.
- What is the equivalent for linguistic illusions?

Parser = S1? Grammar = S2? Cf. Garden path theory of processing.

Minimal attachment/ Minimal everything

(Frazier 1987; Fodor & Inoue 1998)





The farmer the nurse the lawyer represented saw died.

Jamie Y. Findlay (UiO) Parsing, fast and slow 6 Oct. 2023 12/35

Apparent exceptions

"We find many situations where on-line language processing is highly sensitive to **detailed grammatical constraints** and immune to interference from inappropriate material. These findings make it difficult to maintain that speakers respond to the pressures of on-line sentence comprehension by resorting to superficial or 'good enough' representations."

(Phillips et al. 2011)

Real-time Principle C

1. [[His/her managers] chatted amiably with some fans while the talented, young quarterback signed autographs for the kids], but Carol ...

Slowdown at quarterback for gender mismatch

- binding syntactically licensed.
- [He/she chatted amiably with some fans while the talented, young quarterback signed autographs for the kids], but Steve/Carol...

No slowdown at quarterback for gender mismatch

- binding syntactically unlicensed.

(Kazanina et al. 2007)

Real-time wh-islands

1. We like the **book/city** [that the author wrote __unceasingly and with great dedication about __] while waiting for a contract.

Slowdown at wrote for implausible object (city)

- gap posited.
- 2. We like the **book/city** [that the author [who wrote unceasingly and with great dedication] saw __] while waiting for a contract.

No slowdown at wrote for implausible object

- no gap posited.

(Traxler & Pickering 1996)

S1 and S2 in interaction?

 Is it wrong to describe language processing as purely S1/heuristic-based, therefore?

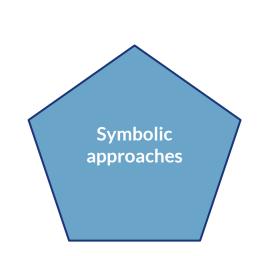
 In other words, are we more impressed with Phillips et al.'s "detailed grammatical constraints" than we should be ...? "In the past there were plenty of tasks – including writing essays – that we've assumed were somehow 'fundamentally too hard' for computers. And now that we see them done by the likes of ChatGPT we tend to suddenly think that computers must have become vastly more powerful – in particular surpassing things they were already basically able to do [...].

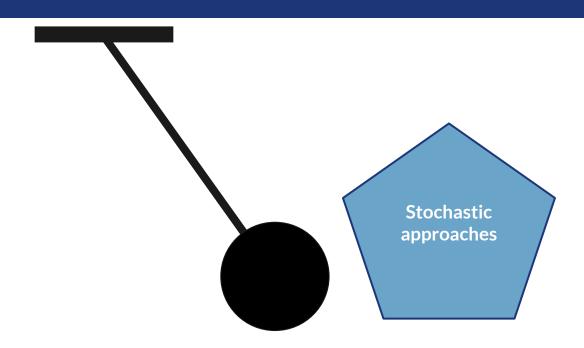
But this isn't the right conclusion to draw. [...] [I]nstead what we should conclude is that tasks – like writing essays – that we humans could do, but we didn't think computers could do, are actually in some sense computationally easier than we thought."

(Stephen Wolfram, www)

Large Language Models

The AI pendulum





Responses to LLMs in linguistics

The end of the generative enterprise?

("Modern language models refute Chomsky's approach to language" - Piantadosi 2023)



'Stochastic parrots' 🦜?



("the language modeling task, because it only uses form as training data, cannot in principle lead to learning of meaning" - Bender & Koller 2020; Bender et al. 2021)



(Searle 1980)

(See also Katzir 2023; Kodner et al. 2023; Milway 2023; and Murphy 2023 for direct responses to Piantadosi 2023.)

A god of the (linguistic) gaps



"There's two ways in which a system can be deficient. One way is it's not strong enough – [it] fails to do certain things. The other way is it's too strong – it does what it shouldn't do. [... The former] can in principle at least be fixed – you add another trillion parameters, double the number of terabytes and maybe do better. When a system is too strong it's unfixable typically and that's the problem with GPT and the other systems."

(Chomsky, reported in Milway 2023)

A different AI alignment problem

- 1. Can LLMs accurately capture (all) linguistic generalisations?
- 2. Are they good models of the way human minds capture those generalisations?

Both humans and LLMs struggle with negation, conditionals, ... (above + e.g. Haug et al. 2023)

LLMs can learn 'impossible' languages. (cf. Smith and Tsimpli 1995)

Engineering tool, not a scientific theory ...?

If all you have is a hammer, ...



A two-system story

LLMs model S1	(Generative) Grammars model S2
 Heuristic-based, 'good enough'. Domain-general procedures 	 Detailed, explicit. Domain-specific knowledge and rules

Competence vs. performance/System 2 vs. System 1

The mouse that the cat that the dog painted taught sang.

- 1. What did the mouse do?
- 2. What did the cat do?

- In the sentence "The mouse that the cat that the dog painted taught sang", what did the mouse do? And what did the cat do?
 - The sentence "The mouse that the cat that the dog painted taught sang" is a grammatically correct sentence, but it is semantically nonsensical because it contains a syntactical ambiguity that makes it difficult to determine its meaning.

The sentence can be interpreted in different ways depending on how one parses it. One possible interpretation is that the mouse taught someone or something to sing, and the cat painted a dog. Another possible interpretation is that the mouse sang a song that was taught to it by a cat that was painted by a dog.

Therefore, it is impossible to determine with certainty what the mouse or the cat did in this sentence without additional context or clarification.

- Try to think a bit longer and answer the questions again
 - I apologize, but even after careful consideration, I must reiterate that the sentence "The mouse that the cat that the dog painted taught sang" is still semantically nonsensical due to the syntactical ambiguity it presents. It is not possible to determine with certainty what the mouse or the cat did in this sentence without further clarification or context.

"But differently from humans, further time and resources are of no use to ChatGPT. When it misparses a sentence, this is because its knowledge is flawed, not because processing problems got in the way."

(Katzir 2023)

So is this just the competence-performance distinction?



Competence ⊆ **Performance**(?)

"No doubt, a reasonable model of language use will incorporate, as a basic component, the generative grammar that expresses the speaker-hearer's knowledge of the language [...]."

(Chomsky 1965: 9)

BUT

System 1 and System 2 are disjoint

The limits of my language (model) ...

LLMs and generative grammar need not be competing theories of the same thing; they simply describe different cognitive systems.



"Die Grenzen meiner Sprache bedeuten die Grenzen meiner Welt." (Wittgenstein 1922)

Literary language

Defamiliarization

Russian formalism's view of literature



"organized violence committed on ordinary speech" (Roman Jakobson) "By 'enstranging' objects and complicating form, the device of art makes perception **long** and '**laborious**'. The perceptual process in art has a purpose all its own and ought to be extended to the fullest."

(Shklovsky 1990 [1929]: 6)

S2 🗸

"[T]he very hallmark of the artistic [... is ...] an artifact that has been intentionally removed from the domain of **automatized perception**. It is 'artificially' created by an artist in such a way that the perceiver, pausing in his reading, dwells on the text. This is when the literary work attains its greatest and most long-lasting impact."

(Shklovsky 1990 [1929]: 12)

S1 🗶

Defamiliarizing idioms

Inferred idioms (Pulman 1993)

- 1. Good gawd it's another porcine flyer. (www)
- 2. Shit finally completes 29-month journey towards fan.

www

Extended idioms (Egan 2008; Bargmann et al. in prep)

- 1. The cat is out of the bag, claws fully exposed. (iWeb)
- 2. This month, Meriden City Council's PLA chickens came home to roost, and they laid a big stinky egg on Meriden taxpayers. (www)
- 3. Sometimes [...] the person that you'd take a bullet for is behind the trigger. (Fall Out Boy, Miss Missing You)





(Findlay 2018, 2019: 45ff., 92ff.; Findlay et al. 2019; Bargmann et al. in prep)

Defamiliarizing idioms

Conjunction modification (Ernst 1981)

- 1. With the recession, oil companies are having to <u>tighten their</u> <u>Gucci belts</u>.
- 2. Malvolio deserves almost everything he gets, but ... there is that little stab of shame we feel at the end for having had such fun pulling his cross-gartered leg for so long.
- 3. Bruce, a shark, found it a part he could really <u>sink his three rows</u> <u>of teeth into</u>.



(<u>www</u>)

Culture jamming





Socially-motivated language change

Socially-motivated language change

- Negro \rightarrow colored \rightarrow black (\rightarrow African-American)
- homosexual \rightarrow gay
- $cripple \rightarrow handicapped \rightarrow disabled$
- policeman → police officer
- chairman \rightarrow chair(person)
- stewardess \rightarrow flight attendant



Inclusive Language

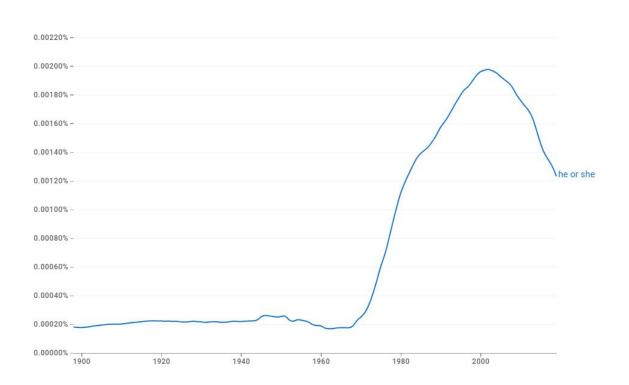
- $he_{[GENERIC]} \rightarrow he \text{ or she / they}_{[3SG, GENERIC]}$
- $they_{[3SG, GENERIC]} \rightarrow they_{[3SG, SPECIFIC]}$

"no Person shall be a Representative ... who shall not, when elected, be an inhabitant of that state in which **he** shall be chosen"

(US Constitution, art. I sec. 2)

- 1. Everyone, should do their, best.
- 2. %Chris, did their, best.

Replacing generic he



"words importing the masculine gender shall include females" (UK Interpretation Act 1889, sec. 1)

"(a) words importing the masculine gender include the feminine;
(b) words importing the feminine gender include the masculine"
(UK Interpretation Act 1978, sec. 6)

But I can't control my System 1 thinking!

"It is realistic to hope to change only those linguistic uses of which speakers themselves can be made aware, as they use them. One chooses, in speaking or writing, more or less consciously and purposefully among nouns, adjectives and verbs; one does not choose among pronouns in the same way."

(Lakoff 2004 [1975]: 71)

"I don't want to offend anyone. But it's a bit much to expect me to start saying things that are clearly and decisively ungrammatical according to my own internalized grammar. I'll do my best, but it will be a real struggle."

(Geoffrey K. Pullum on Language Log, Dec. 4 2017)

Literature on prejudice and bias shows that S2 can overcome S1.

(Fiske 1989; Fiske & Neuberg 1990; Strack 1992; Thompson et al. 1994; Richeson et al. 2003; Burgess et al. 2007; but see FitzGerald et al. 2019 for a more skeptical view) "I don't want to offend anyone. But it's a bit much to expect me to stop treating women poorly when my unconscious bias clearly and decisively tells me they are the lesser sex. I'll do my best, but it will be a real struggle."



Conclusions

What's the point of all this?



But also ...

it can be illuminating to draw connections between disparate fields of inquiry.

- Dissolves false tensions
- Offers new perspectives
- Brings in original insights

Questions?

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