

Quattro palette e cinque freccette  
...son solo palette e freccette.

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# Basic definitions

Formally, categories talk about *objects* and *functions* (or *arrows*) between those objects (more or less).

# Identities

For each object  $A$  there exists a function, that we denote  $\text{id}_A$ , which is both the left and the right identity for  $A$ . In formulas,  $\forall A, \exists \text{id}_A : A \rightarrow A, \forall f : B \rightarrow A, \forall g : A \rightarrow B$

$$f \circ \text{id}_A = f \quad (1)$$

$$\text{id}_A(f(b)) = f(b), \forall b \in B$$

$$\text{id}_A \circ g = g \quad (2)$$

$$g(\text{id}_A(a)) = g(a), \forall a \in A$$

# Associative

If it is possible to compose functions

$$\forall f, g, h : A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D$$

then composition is associative:

$$h \circ (g \circ f) = (h \circ g) \circ f \quad (3)$$

# Trouble every day

- ▶ Trouble is, just with objects and arrows things are not interesting.
- ▶ Trouble is, to find interesting things we have to move **two levels up**.

# Up and up again !

First move up If we have many categories we may be interested in **classifying them**, so we introduce the idea of **functions among categories** (*i.e.* the concept of the **functor**).

Second move up The main reason of giving birth to category theory was the notion of **natural transformation** (*i.e.* how we go from a classification to another, or understanding when two distinct classifications are indeed the same).

## Example

The diagram assumes that  $a, b, c, d$  are the *literal characters* 'a', 'b', 'c' and 'd'; the functions  $\alpha$  to  $\gamma$  are the functions that change that character in the other (the destination) character.

$$\begin{array}{ccc}
 a & \xrightarrow{\beta} & b \\
 \downarrow \gamma & \swarrow \alpha & \downarrow \delta_1 \\
 c & \xrightarrow{\delta_2} & d
 \end{array} \quad (4)$$

1. Note that we have to discriminate between  $\delta_1$  and  $\delta_2$ .
2. Define the identities.



# Functors

- ▶ A functor is a function between two categories (if the category is the same, we call the functor an *endofunctor*).
- ▶ The *trick* to define a functor, respect to a general transformation among categories, is that it must preserve the **structure** of the category.
- ▶ This means preserving the **arrow composition** and the **existence of identities**.

# Functors

$F$  is a functor if the following properties hold:

Commutativity The diagram 5 commutes

$$\forall A, B, f : A \rightarrow B, \exists C, D, F(f) : C \rightarrow D$$

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow F & & \downarrow F \\ C & \xrightarrow{F(f)} & D \end{array} \quad (5)$$

# Functors

Identities  $F$  preserves identity morphisms

$$\forall A, F(\text{id}_A) = \text{id}_{F(A)} \quad (6)$$

Associative  $F$  preserves composition

$$F(f \circ g) = F(f) \circ F(g) \quad (7)$$

whenever  $f \circ g$  is defined.

## Example

How many endofunctors are there in the category described by the graph of equation 4 ?

# Natural transformations

Once we have functors, we may ask when two given functors are indeed the same. *Sameness* is – again – the preservation of a common structure. Since our structure-preserving machinery are the functions and their properties, we build a new function between functors that preserves mappings.

## Definition of natural transformation

Let  $F, G : A \rightarrow B$  be functors. A natural transformation  $\eta$  from  $F$  to  $G$  (denoted by  $\eta : F \rightarrow G$ ) is a function that assigns to each object  $A$  a morphism  $\eta_A : F(A) \rightarrow G(A)$  in such a way that the square of equation 8 commutes:

$$\forall f : A \rightarrow A' \quad \begin{array}{ccc} F(A) & \xrightarrow{\eta_A} & G(A) \\ \downarrow F(f) & & \downarrow G(f) \\ F(A') & \xrightarrow{\eta_{A'}} & G(A') \end{array} \quad (8)$$

Note that  $\eta$  is indeed a *family* of functions, one for each  $A$ , so we may also write that  $\eta = \cup_A \eta_A$ .

## Last question

Given the endofunctors of the previous example (equation 4), are there natural transformations among them ? Which ones ? How many are they ? What do we *learn* from the natural transformations of those functors, that gives insight on the original category ?