

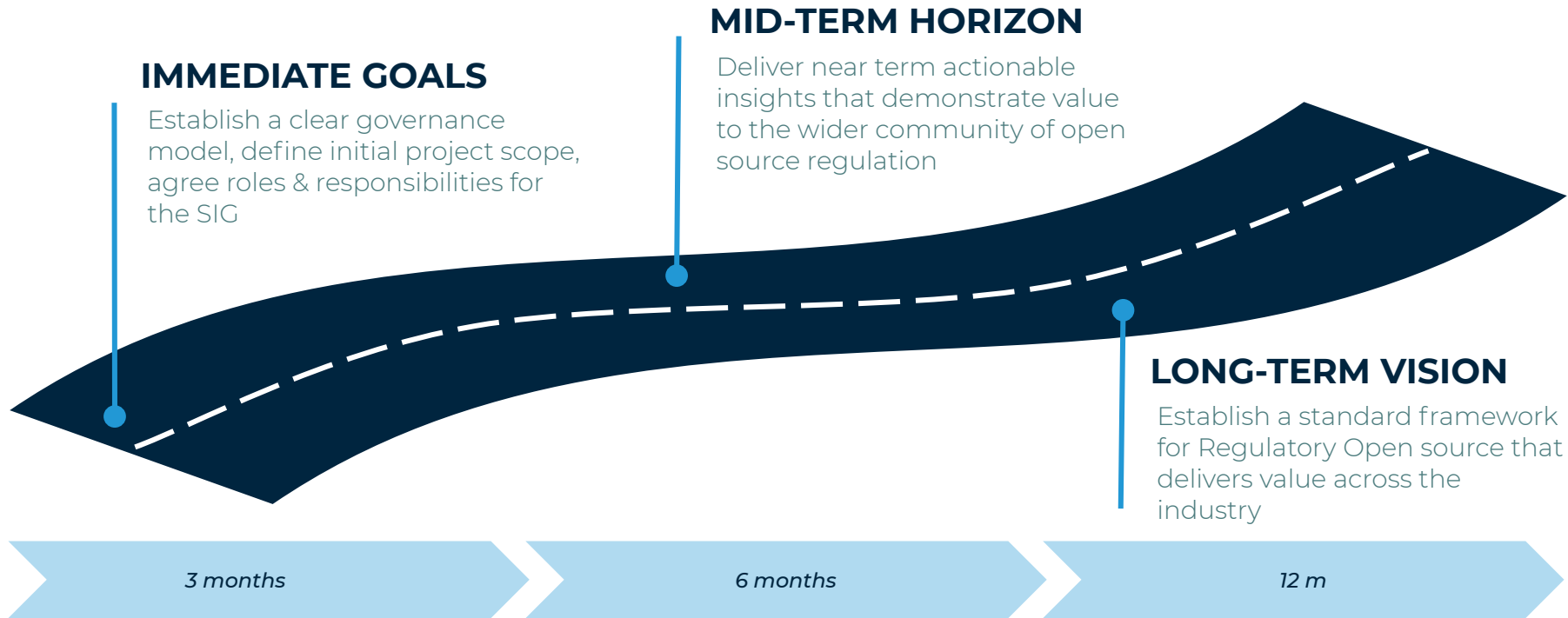
FINOS

Fintech
Open Source
Foundation

Regulation Innovation Special Interest Group

Approved by the FINOS Governing Board
in October 2020

Roadmap



Regulation Innovation SIG Charter

Regulation Innovation

Special Interest Group

<https://github.com/finos/community/issues/66>

The Regulation Innovation SIG will be a **community of people interested in creating open source solutions for regulatory and compliance issues**. Creating open source solutions to regulatory compliance is particularly important because the **market is currently using custom-built, one-off solutions, that are expensive, inefficient, and costly to manage and update** over time.

Proposed Lead

Lead: David Ehrich, AIR
Co-Lead: Ian Hollowbread, ING Bank

Confirmed Participants

Simon Holt, JPM
John Mark Walker, Capital One
Simon Hull, Adaptive
David Cowland, Fidelity

Related FINOS Initiatives (if applicable)

[Alloy](#)
[Cloud Service Certification](#)
[Morphir](#)

Target Audience

Financial Institutions
(i.e. Compliance, Architects, Tech)

Innovative Regulators
(i.e. FCA, BOE, FINRA, CFTC etc)

Tech & Advisory Community
(i.e. RegTechs, consultants)

Value Prop

Efficiency

Standardisation & Transparency

Interoperability

Potential focus areas

Digital Regulatory Reporting, AML, Horizon Scanning

Possible solutions

Taxonomy, Data Ontology, API Standards, App Directory, SSO Certification, Interoperability

Sign up for updates at finos.org/open-regtech

Regulation Innovation SIG Charter

Regulation Innovation

Special Interest Group

<https://github.com/finos/community/issues/66>

The Regulation Innovation SIG will be a **community of people interested in creating open source solutions for regulatory and compliance issues**. Creating open source solutions to regulatory compliance is particularly important because the **market is currently using custom-built, one-off solutions, that are expensive, inefficient, and costly to manage and update** over time.

Proposed Lead

Lead: David Ehrich, AIR
Co-Lead: Ian Hollowbread, ING Bank

Confirmed Participants

Simon Holt, JPM
John Mark Walker, Capital One
Simon Hull, Adaptive
David Cowland, Fidelity

Related FINOS Initiatives (if applicable)

[Alloy](#)
[Cloud Service Certification](#)
[Morphir](#)

Target Audience

Financial Institutions
(i.e. Compliance, Architects, Tech)

Innovative Regulators
(i.e. FCA, BOE, FINRA, CFTC etc)

Tech & Advisory Community
(i.e. RegTechs, consultants)

Value Prop

Efficiency

Standardisation & Transparency

Interoperability

Potential focus areas

Digital Regulatory Reporting, AML, Horizon Scanning

Possible solutions

Taxonomy, Data Ontology, API Standards, App Directory, SSO Certification, Interoperability

Sign up for updates at finos.org/open-regtech

FINOS

Fintech
Open Source
Foundation

Questions?

Learn more at
finos.org/open-regtech
github.com/finos/open-regtech
Sign up for updates at
open-regtech+subscribe@finos.org

