

# Geodesic X-ray transform and streaking artifacts on simple surfaces or on spaces of constant curvature

---

Hiroyuki Chihara (University of the Ryukyus)

26-30 August 2024

Finland-Japan Workshop in Industrial and Applied Mathematics

University of Helsinki



# X-ray transform on the plane

- All the planar lines are parametrized by  $(\theta, t) \in [0, \pi] \times \mathbb{R}$ :

$$\ell = \{(-s \sin \theta + t \cos \theta, s \cos \theta + t \sin \theta) : s \in \mathbb{R}\}.$$

The X-ray transform of  $f(x, y)$  on  $\mathbb{R}^2$  is defined by

$$\mathcal{R}f(\theta, t) := \int_{\ell} f = \int_{-\infty}^{\infty} f(-s \sin \theta + t \cos \theta, s \cos \theta + t \sin \theta) ds.$$

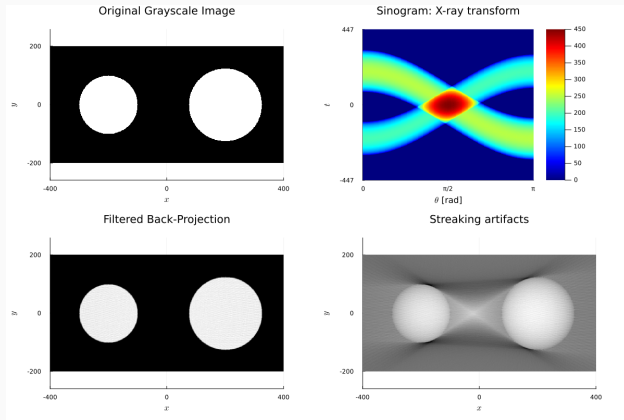
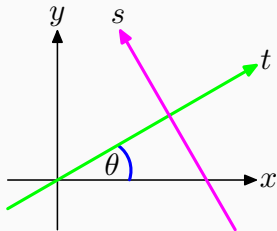
This is considered to be the measurements of CT scanners for normal tissue. The FBP formula  $f = (-\partial_x^2 - \partial_y^2)^{1/2} \circ \mathcal{R}^T \circ \mathcal{R}f$  is well-known.

- We consider a model of human body  $f$  containing a metal region  $D$  such as dental implants, stents in blood vessels, and etc. We observe that the metal streaking artifacts caused by beam hardening effect in the energy level of X-ray. The main term is the filtered back-projection of nonlinear term

$$(-\partial_x^2 - \partial_y^2)^{1/2} \circ \mathcal{R}^T [(\mathcal{R}1_D)^2],$$

This is a conormal distribution whose singular support is the streaking artifact.

# Figures: metal streaking artifacts



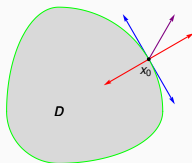
The main part of artifacts:  $(-\partial_x^2 - \partial_y^2)^{1/2} \mathcal{R}^T [(\mathcal{R}1_D)^2]$ .

# Conormal distributions

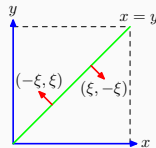
## Definition 1 (Conormal distributions)

Let  $X$  be an  $N$ -dim manifold, and let  $Y$  be a closed submanifold of  $X$ . We say that  $u \in \mathcal{D}'(X)$  is conormal with respect to  $Y$  of degree  $m$  if  $L_1 \cdots L_\mu u \in {}^\infty H_{(-m-N/4)}^{\text{loc}}(X)$  for all  $\mu = 0, 1, 2, \dots$  and all vector fields  $L_1, \dots, L_\mu$  tangential to  $Y$ . Denote by  $I^m(N^*(Y))$  the set of all distributions on  $X$  conormal wrt  $Y$  of degree  $m$ . Note that  $\text{WF}(u) \subset N^*(Y) \setminus 0$ .

- The characteristic function of a domain:  
 $1_D \in I^{-1/2-n/4}(N^*(\partial D))$  for  $D \subset \mathbb{R}^n$ ,  
 which is a domain with smooth boundary.



- The Schwartz kernel of a PsDO:  
 $\int_{\mathbb{R}^n} e^{i(x-y) \cdot \xi} a(x, \xi) d\xi \in I^m(N^*(\Delta))$ ,  
 $\Delta = \{(x, x)\}$  for  $a(x, \xi) \in S^m(\mathbb{R}^n \times \mathbb{R}^n)$ .



# Geodesic X-ray transform 1

Suppose that  $(M, g)$  is a compact nontrapping simple Riemannian manifold with strictly convex smooth boundary. A map  $\pi : S(M) \rightarrow M$  is the natural projection. Denote by  $\partial_- S(M)$  the set of all unit incoming tangent vectors on the boundary  $\partial M$ :

$$\partial_- S(M) = \{w \in S(M) : \pi(w) \in \partial M, \langle \nu, w \rangle < 0\},$$

where  $\nu(x)$  is the unit outer normal vector at  $x \in \partial M$ . Note that the nontrapping condition ensures that  $\partial_- S(M)$  is identified with the manifold of all the normal geodesics on  $(M, g)$ :

$$\partial_- S(M) \simeq \mathcal{G} := \{\gamma_v : \nabla_{\dot{\gamma}_v(t)} \dot{\gamma}_v(t) = 0, \dot{\gamma}_v(0) = v \in S(M)\}.$$

The geodesic X-ray transform of a function (**more precisely a half-density**)  $f$  on  $M$  is defined by

$$\mathcal{X}f(w) := \int_0^{\tau(w)} f(\gamma_w(s)) ds, \quad w \in \partial_- S(M),$$

where  $\tau(w)$  is the exit time of  $\gamma_w$ .

## Geodesic X-ray transform 2

Set  $n = \dim(M)$ . Then  $\dim(S(M)) = 2n - 1$  and  $\dim(\partial_- S(M)) = 2n - 2$ .

Let  $F : S(M) \rightarrow \partial_- S(M)$  be the submersion defined by  $F(\dot{\gamma}_w(t)) = w$  for  $w \in \partial_- S(M)$  and  $t \in [0, \tau(w)]$ . Then we have  $\mathcal{X} = F_* \circ \pi^*$  and  $\mathcal{X}^T = \pi_* \circ F^*$ . See Holman-Uhlmann (2018).

### Proposition 2

$\mathcal{X}$  is an *elliptic* Fourier integral operator, and its Schwartz kernel belongs to

$$I^{-n/4}(\partial_- S(M) \times M^{int}, C'_{\mathcal{X}}; \Omega_{\partial_- S(M) \times M^{int}}^{1/2}),$$

where  $C_{\mathcal{X}}$  is the canonical relation of  $\mathcal{X}$ : we say that  $(\xi, \eta) \in C_{\mathcal{X}}$  if  $\exists v \in S(M^{int})$  such that

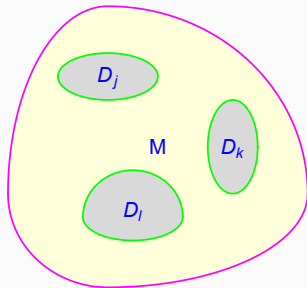
$$\xi \in T_{F(v)}^*(\partial_- S(M)) \setminus \{0\}, \quad \eta \in T_{\pi(v)}^*(M^{int}) \setminus \{0\}, \quad DF|_v^T \xi = D\pi|_v^T \eta,$$

# Assumption 1

- Assume that  $\dim(M) = 2$  or  $(M, g)$  is a space of constant curvature.

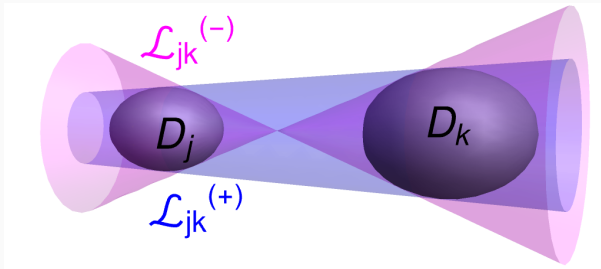
This ensures that all the Jacobi fields are of the form **scalar function**  $\times$  **parallel transport**.

- Suppose that the metal region  $D \subset M^{\text{int}}$  is a disjoint union of  $D_j$  ( $j = 1 \dots, J$ ) which are simply connected, strictly convex and bounded with smooth boundaries  $\partial D_j$ .



## A hypersurface $\mathcal{L}$ surrounding the metal region $D$

- For any  $j$  and  $x \in \partial D_j$ , denote by  $v_j(x)$  the unit outer normal vector at  $x$ . Consider the tangent hyperplane  $\exp_x v_j(x)^\perp \cap M^{\text{int}}$  at  $x \in \partial D_j$ .
- There are some common tangent hyperplanes of  $\partial D_j$  and  $\partial D_k$  for  $j \neq k$ . In this case there is common tangent geodesics in such hyperplanes. The union of all these geodesics forms a conical or cylindrical hypersurface denoted by  $\mathcal{L}_{jk}^{(\pm)}$ . Set  $\mathcal{L} := \bigcup \left( \mathcal{L}_{jk}^{(+)} \cup \mathcal{L}_{jk}^{(-)} \right)$ .





## Assumption 2 (The simple model of beam hardening effect)

Let  $E \geq 0$  be a parameter describing the energy level of the X-ray beam, and let  $E_0$  be the fixed standard level for the normal tissue. The measurement  $P$  is of the form:

$$P = -\log \left\{ \int_0^\infty \rho(E) \exp(-\mathcal{X} f_E) dE, \right\},$$

where  $\rho(E)$  is a probability density function on  $[0, \infty)$  and is called the spectral function. Let  $f_{CT}$  be the FBP of  $P$ . We employ the simple model of the form

$$f_E(x) = f_{E_0}(x) + \alpha(E - E_0)1_D(x), \quad \rho(E) = \frac{1}{2\varepsilon} 1_{[E_0-\varepsilon, E_0+\varepsilon]}(E)$$

with small parameters  $\alpha > 0$  and  $\varepsilon > 0$ .

# Main Theorem

Then the nonlinear effect  $f_{\text{MA}}$  in the CT image becomes

$$f_{\text{MA}} := f_{\text{CT}} - f_{E_0} = \sum_{k=1}^{\infty} (\alpha\varepsilon)^{2k} A_k Q \mathcal{X}^T [(\mathcal{X} 1_D)^{2k}] \mod C^\infty(M^{\text{int}}), \quad \{A_k\} \subset \mathbb{R},$$

where  $Q$  is a parametrix of  $\mathcal{X}^T \circ \mathcal{X}$ :  $Q \mathcal{X}^T \mathcal{X} = Id$  modulo smoothing operators locally.

Our main result is as follows:

## Theorem 3

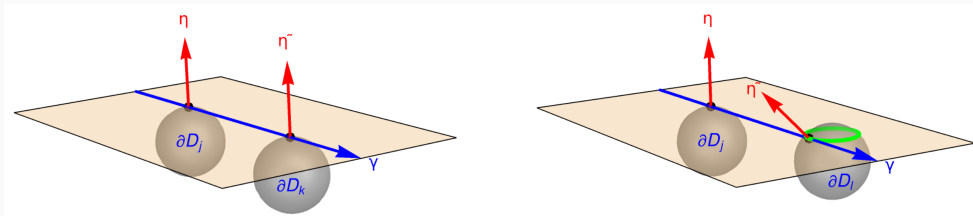
$f_{\text{MA}} \in I^{-3n/4-1/2}(N^*(\mathcal{L}))$  away from  $\partial D$ , and  $\sigma_{\text{prin}}(Q \mathcal{X}^T [(\mathcal{X} 1_D)^2]) \neq 0$ .

- Park-Choi-Seo (2017) proved that  $\text{WF}(f_{\text{MA}}) \subset N^*(\mathcal{L})$  for  $M = \mathbb{R}^2$ .
- Palacios-Uhlmann-Wang (2018) proved Theorem 3 for  $M = \mathbb{R}^2$ .
- C (2022) proved Theorem 3 for the  $d$ -plane transform on  $\mathbb{R}^n$ .

We could NOT understand the meaning in many parts of this paper.

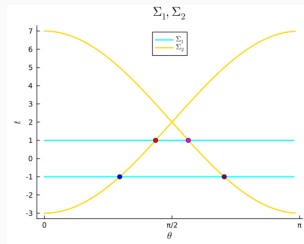
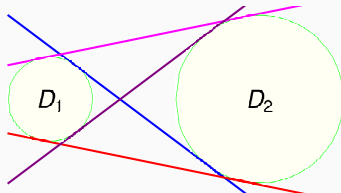
## What does Theorem 3 say?

- If  $\partial D_j$  and  $\partial D_k$  have a common tangent hyperplane, then the conormal singularities propagate along the common tangent geodesic. See the left figure.
- Suppose  $n \geq 3$ . If  $\partial D_j$  and  $\partial D_k$  have a common tangent geodesic, but the conormal directions at the tangent points are different, then the conormal singularities do not propagate along the common tangent geodesic. See the right figure.



# Outline of the proof of Theorem 3

- $1_{D_j} \in I^{-1/2-n/4}(N^*(\partial D_j) \setminus 0)$ .
- $\mathcal{X}1_{D_j} \in I^{-(n+1)/2}(N^*(\Sigma_j) \setminus 0)$  with some hypersurface  $\Sigma_j$  in  $\partial_- S(M)$ .
- For  $j \neq k$ ,  $\Sigma_j$  is transversal to  $\Sigma_k$ .



- Set  $\Sigma_{jk} := \Sigma_j \cap \Sigma_k$  for short. For  $j \neq k$ ,

$$\mathcal{X}1_{D_j} \cdot \mathcal{X}1_{D_k} \in \begin{cases} I^{-(n+1)/2-1}(N^*(\Sigma_{jk}) \setminus 0) & \text{at } \Sigma_{jk}, \\ I^{-(n+1)/2}(N^*(\Sigma_j) \setminus 0) + I^{-(n+1)/2}(N^*(\Sigma_k) \setminus 0) & \text{away from } \Sigma_{jk}. \end{cases}$$

**Key:**  $C_{\mathcal{X}^T} \circ \Sigma_{jk} \setminus 0 = N^*(\mathcal{L}_{jk}) \setminus 0$

- Fix arbitrary geodesic  $\gamma_w \simeq w \in \Sigma_{jk}$ .
- If  $\xi, \tilde{\xi} \in T_w^*(\partial_- S(M))$ ,  $w = F(v) = F(\tilde{v})$ ,  $\pi(v) \in \partial D_j$ ,  $\pi(\tilde{v}) \in \partial D_k$ ,

$$DF|_v^T \xi = D\pi|_v^T \eta, \quad \eta \in N_v^*(\partial D_j) \setminus \{0\}, \quad DF|_{\tilde{v}}^T \tilde{\xi} = D\pi|_{\tilde{v}}^T \tilde{\eta}, \quad \tilde{\eta} \in N_{\tilde{v}}^*(\partial D_k) \setminus \{0\},$$

then  $\xi$  and  $\tilde{\xi}$  are linearly independent, and the nonlinear effect on the geodesic  $\gamma_w$  **creates two-dimensional singularity  $\text{span}\langle \xi, \tilde{\xi} \rangle$  in  $T_w^*(\partial_- S(M))$**  due to the simplicity condition.

- WLOG WMA  $\eta$  and  $\tilde{\eta}$  are unit covectors.
- WLOG WMA  $\eta$  is the parallel transport of  $\tilde{\eta}$  if  $\eta \parallel \tilde{\eta}$ .
- We shall show that if  **$\tilde{\eta}$  is the parallel transport of  $\eta$** , then

$$C_{\mathcal{X}^T} \circ \text{span}\langle \xi, \tilde{\xi} \rangle = \bigcup_{a \in \mathbb{R}} (\text{the parallel transport of } a\eta \text{ along } \gamma_w) = \bigcup_{t \in [0, \tau(w)]} N_{\gamma_w(t)}^*(\mathcal{L}_{jk}),$$

**otherwise**,  $C_{\mathcal{X}^T} \circ \text{span}\langle \xi, \tilde{\xi} \rangle = N_{\pi(v)}^*(\partial D_j) \cup N_{\pi(\tilde{v})}^*(\partial D_k)$ .

## When $\tilde{\eta}$ is the parallel transport of $\eta$

- Set  $\gamma_w(t_0) = \pi(\eta) \in \partial D_j$  and  $\gamma_w(\tilde{t}_0) = \pi(\tilde{\eta}) \in \partial D_k$ , and suppose  $\tilde{\eta} = P(\tilde{t}_0, t_0; \gamma_w)^T \eta$ , where  $P(t_0, \tilde{t}_0; \gamma_w)$  is the parallel transport of  $T_{\gamma_w(\tilde{t}_0)}(M)$  onto  $T_{\gamma_w(t_0)}(M)$  along  $\gamma_w$ . Set  $\eta(s) := P(s, t_0; \gamma_w)^T \eta \in T_{\gamma_w(s)}^*(M^{\text{int}})$  for  $s \in (0, \tau(w))$ . Then  $\eta(\tilde{t}_0) = \tilde{\eta}$ .
- Let  $k(x)$  be a sectional curvature at  $x \in M$ , which is a constant when  $n \geq 3$ .
- Let  $a(t; s), b(t; s) \in C^\infty(0, \tau(w))$  be solutions to

$$a_{tt}(t; s) + k(\gamma_w(t))a(t; s) = 0, \quad a(s; s) = 1, \quad a_t(s; s) = 0,$$

$$b_{tt}(t; s) + k(\gamma_w(t))b(t; s) = 0, \quad b(s; s) = 0, \quad b_t(s; s) = 1.$$

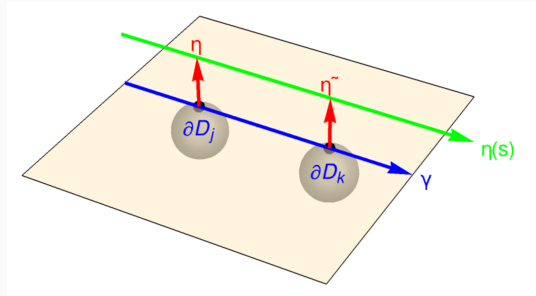
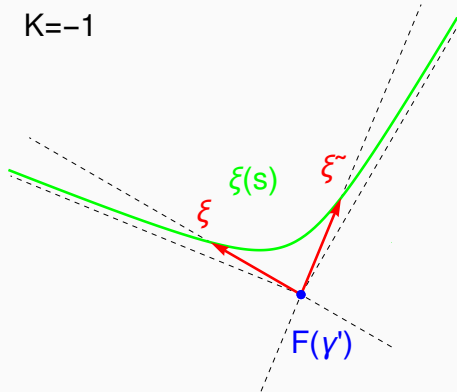
- $\Delta(t_0, \tilde{t}_0; s) := \det \begin{bmatrix} a(t_0; s) & a(\tilde{t}_0; s) \\ b(t_0; s) & b(\tilde{t}_0; s) \end{bmatrix}$  never vanish due to the simplicity. If we set






$$\tilde{\zeta}(s) := \frac{b(\tilde{t}_0; s)}{\Delta(t_0, \tilde{t}_0; s)} \tilde{\zeta} - \frac{b(t_0; s)}{\Delta(t_0, \tilde{t}_0; s)} \tilde{\zeta} \in \text{span}\langle \tilde{\zeta}, \tilde{\xi} \rangle, \quad s \in (0, \tau(w)),$$

then we have  $DF|_{\dot{\gamma}_w(s)}^T \tilde{\zeta}(s) = D\pi|_{\dot{\gamma}_w(s)}^T \eta(s)$  in  $T_{\dot{\gamma}_w(s)}^*(S(M^{\text{int}}))$  for  $s \in (0, \tau(w))$ .

$\tilde{\zeta}(s)$  in  $\text{span}\langle \tilde{\zeta}, \tilde{\xi} \rangle \subset T_{F(\gamma)}^*(\partial_- S(M))$  and  $\eta(s)$  in  $T^*(S(M^{\text{int}}))$  for  $K = -1$

$K=-1$



-  H. S. Park, J. K. Choi and J. K. Seo, *Characterization of metal artifacts in X-ray computed tomography*, Comm. Pure Appl. Math., **70** (2017), pp.2191–2217.
-  B. Palacios, G. Uhlmann and Y. Wang, *Quantitative analysis of metal artifacts in X-ray tomography*, SIAM J. Math. Anal., **50** (2018), pp.4914–4936.
-  S. Holman and G. Uhlmann, *On the microlocal analysis of the geodesic X-ray transform with conjugate points*, J. Diff. Geom., **108** (2018), pp.459—494.
-  H. Chihara, *Microlocal analysis of d-plane transform on the Euclidean space*, SIAM J. Math. Anal., **54** (2022), pp.6254–6287.
-  H. Chihara, *Geodesic X-ray transform and streaking artifacts on simple surfaces or on spaces of constant curvature*, arXiv:2402.06899.