Other Study Materials for Graph Theory:

- 1.https://www.brainscape.com/p/2T8KF-LH-8JM SW
- 2.https://docs.google.com/document/u/4/d/1M6U 9RPn0JOqlk6FfMdKNzkE1Dyb-gUcaoBcrkqdl Tqc/mobilebasic

Week 11

Topics Covered: Graphs, Handshake Lemma, Special Graphs, Walks and Paths, Connected Components, Shortest Paths in a Grid

• Definitions:

- An undirected graph is a pair G = (V, E) where V is a finite non-empty set of vertices or nodes and $E \subseteq 2^{V}$ is a finite (possibly empty) set of edges consisting only of subsets of cardinality 2.
 - Further clarification:
 - If V = {1, 2, 3}, 2^{V} = {empty set, 1-2, 2-3, 1-3}. These are the sets of vertices in a graph (with cardinality of 2). 2^{V} refers to the powerset of vertices (all such subsets), but we're only interested in subsets with cardinality 2 as an edge can exist between 2 vertices.
 - E ⊆ 2^{V} means edges in G is a subset of all possible connections (and emptyset, which represents no edge). Another way to look at it is, that the set of edges is a subset of pairs of vertices.
 - For example, you can have 3 vertices and no edge at all, or 3 vertices and each is connected to the other two, or 3 vertices and the only edge is 1-2.

• **Note:** Our definition of an edge as a set of nodes of cardinality 2 precludes "loops" or "parallel edges".



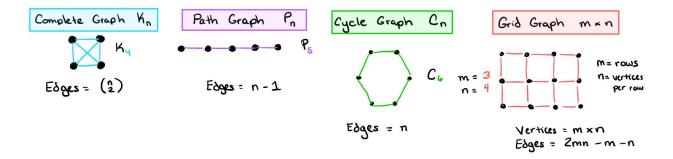
- Edge An edge u-v is incident to either of its endpoints u and v. Two vertices such that u-v are called adjacent (or neighbors).
- Degree The degree (stylized: deg(u)) of a vertex is the number of neighbors (the number of other vertices adjacent to that vertex). A vertex with degree 0 is called isolated.
- **Edgeless Graph -** A graph with vertices and no edges.
- Complete Graph A graph with edges between any two vertices. Notation is K_n where $n \ge 1$. A complete graph has $n \le n$ (the number of unordered pairs), or n(n-1)/2.
- Path Graph A path graph has n vertices and n-1 edges arranged in a row. The notation is P_n where n >= 1.
 - Note: For $n \ge 3$ we have two vertices of degree 1 in P_n and the rest have degree 2.
- Cycle Graph A cycle graph has n vertices and n edges arranged in a circle. The notation is C_n where $n \ge 3$. All vertices in a cycle graph have degree 2. C_1 and C_2 are undefined.
- Grid Graph An m x n grid graph has m rows of n vertices where each vertex is linked by an edge to the vertices closest to it. A grid graph has mn vertices. When m, n >= 3, we have 4 vertices with degree 2 and the rest with degree 3 or 4. Total edges is 2mn m n.
 - When $m,n \ge 3$, we have 4 vertices with degree 2 and the other vertices have degree 3 or 4
 - in an m×n grid graph every path of minimum length from the "lower left corner" to the "upper right corner" has length m+n-2 and traverses edges only "upwards" or "rightwards"
- Walk A walk is a non-empty sequence of vertices linked by edges. The length
 of a walk is the number of edges. An isolated vertex is a walk of length 0.
- Path A path is a walk in which all vertices are distinct. Walks of length 0 are paths.
- Well-Ordering Principle Every non-empty set of natural numbers has a least element.

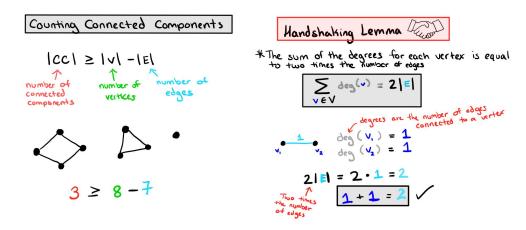
- **Connectivity -** Two vertices are connected if there exists some walk between them. \$u- ... -v\$ is the connectivity relation.
- Connected Component A connected component of a graph \$G = (V, E)\$ is a set of vertices \$C \subseteq V\$ such that any two vertices in \$C\$ are connected and there is no strictly bigger set of vertices \$C \subsetneq C' \subseteq C\$ such that any two vertices in \$C'\$ are connected (maximally connected).
- Reflexive For any u we have u----u so connectivity is reflexive
 - U is connected to u by the walk/path of length 0
- \circ **Symmetric -** For any u and v, if u----v then v----u so connectivity is symmetric.
 - If u-w1----wn-v is a walk, then its reversal v-wn----w1-u is also a walk.
- Transitive For any u,v, and w, if u----v and v----w then u----w so connectivity is transitive.
 - If $u-y1-\dots-ym-v$ and $v-z1-\dots-zn-w$ are walks, then their concatenation $u-y1-\dots-ym-v-z1-\dots-zn-w$ is also a walk.
 - Note that the reversal of a path is also a path, but the concatenation of two paths need not be a path.
- Connected Graphs A connected graph has one connected component while a disconnected graph has 2 or more. Every connected graph with n vertices has n-1 or more edges.
 - In edgeless graphs, each vertex forms a separate connected component.
 Edgeless graphs with two or more vertices are disconnected, while
 1-vertex graphs are connected.
- Partition Any two distinct connected components are disjoint. Connected components are a partition of vertices.
 - Suppose, toward a contradiction that two distinct connected components, C1 and C2, are not disjoint, then w ∈ C1 ∩ C2. A vertex u ∈ C1 is connected to w. Similarly, a vertex v ∈ C2 is connected to w. By symmetry and transitivity u-···-v. But C1 and C2 are maximally connected. Contradiction.
- **Distance** The length of the shortest path between two vertices

• **1: Handshaking Lemma -** The sum of the degrees of all nodes in a graph is twice the number of edges

$$\sum_{v \in V} \deg(v) = 2|E|$$

- **Proof:** Since each edge is incident to exactly two vertices, each edge contributes two to the sum of the degrees of vertices.
- 2: Number of Vertices of Odd Degree In any graph there are an even number of vertices of odd degree.
 - Since each vertex in Vo has odd degree, for the sum of the degrees of vertices in Vo to be even, |Vo| (the cardinality) must be even.
- Walks Contain Paths If we have a walk of length \$n \geq 3\$ then there exists a path of length \$n\$ at most. When there is a walk, there is a path that is not longer.
 - If u_0-u_1-···-u_n-1-un is a walk of length n≥3 such that u_0!= u_n, then there exist vertices v_1,...,v_m such that u_0-v_1-···-v_m-u_n is a path whose sequence of nodes and edges is a subsequence of the sequence of nodes and edges of u_0-u_1-···-u_{n-1}-u_n. Here, "subsequence" preserves order, but it does not necessarily consist of consecutive elements, i.e., e1e3e4 is a subsequence of e1e2e3e4.
- Any two distinct connected components are disjoint.
- In any graph G = (V,E) we have $|E| \ge |V| |CC|$. Another variation: $|CC| \ge |V| |E|$
- |E| ≤(|V| choose 2)
- The maximum number of edges is attained for the complete graph.
 - Corollary: Every connected graph with n vertices has n-1 or more edges
 - In a connected graph |CC|=1 therefore $|E| \ge |V| |CC| = n-1$





Week 12

Topics Covered: Subgraphs, Counting Paths, Cycles, Forests, Trees, Leaves, Cut Edges

Definitions:

∘ **Isomorphic** - Two graphs G1= (V1,E1) and G2 = (V2,E2) are isomorphic, when there is a bijection $\beta:V1 \rightarrow V2$ such that for any $u1,v1 \in V1$ we have $u1-v1 \in E1$ iff $\beta(u1)-\beta(v1) \in E2$.

Example:

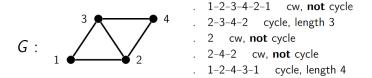


 $G_1 \simeq G_2$ by the bijection $4 \mapsto 5$, $2 \mapsto 6$, $1 \mapsto 7$, $3 \mapsto 8$.

- $1 \mapsto 8, \ 3 \mapsto 7$ also works! Note that the bijection must preserve node degree.
- **Subgraph** A graph G1= (V1,E1) is a subgraph of the graph G2 = (V2,E2) when V1 \subseteq V2 and E1 \subseteq E2. (Beware: not all pairs of such subsets form graphs!)

- How many paths of length 2 are there in Cn $(n \ge 3)$?
 - By the bijection rule there are as many path subgraphs of length
 2 as there are vertices. This gives us the answer: n.
- o **Induced Subgraph** If G = (V,E) is a graph and $V' \subseteq V$ is a set consisting of some of G's nodes, the subgraph of G induced by V'is the graph G' = (V',E') where E'consists of all the edges of G whose endpoints are both in V'.
- Closed Walk A walk in which the first and last vertex are the same.
- Cycle A closed walk of at least length of 3 in which all nodes are pairwise distinct, except for the first and last.
 - The length of the cycle is the length of the closed walk.

Examples of closed walks (cw's) and cycles:



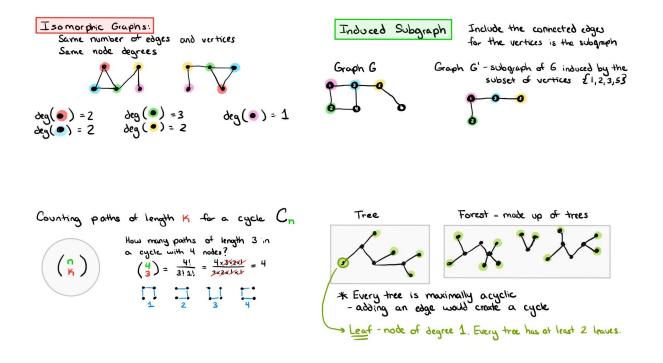
- How many cycles are there in K4? How many cycles of length 4 in K5? How many total cycles in K5?
 - Total # of cycles in K4:
 - The number of cycles of length 3 is (4 choose 3) = 4
 (4 vertices, choose 3 vertices).
 - The number of cycles of length 4 is (3 choose 2) = 3 (3 edges incident to one node, choose 2 of them).
 - Total = 7.
 - Cycles of length 4 in K5:
 - Choose 4 out of 5 vertices = 5 ways.
 - Construct a cycle of length 4 on the 4 vertices. (3 choose
 2) = 3.
 - \circ 5x3 = 15 cycles.
 - Total # of cycles in K5:
 - Cycles of length 5: we need a path of length 3 that doesn't go through the node we picked in 4 choose 2 = 2 ways x (4 choose 2) choose 2 out of the 4 edges incident to a node. 2x6 = 12.
 - Cycles of length 4: (3 choose 2) = 3 (2 edges incident to one vertex) * (5 choose 4) = 5; choose 4 vertices out of 5.
 3x5 = 15.
 - \circ cycles of length 3: (5 choose 3) = 10.

$$\circ$$
 12 + 15 + 10 = 37 cycles.

- Connected components Let G= (V,E) be a graph. We defined connected components(cc's) as subsets C ⊆ V and observed that they form a partition of V. Now, we regard a cc C as a graph, namely the subgraph of G induced by the set of vertices in C. The cc's also partitions the set of edges E.
- Acyclic A graph with no cycles. The connected components of an acyclic graph are also acyclic.
 - An acyclic graph is called a **forest** since all its cc's are trees.
- **Tree** A graph that is both connected and acyclic.

- Any two complete graphs, two path graphs, two cycles graphs, two edgeless graphs, or two mxn grids are isomorphic if and only if they have the same number of vertices. An mxn grid is also isomorphic to any nxm grids.
 - A path graph on n vertices is a graph isomorphic to Pn. Hence, a path graph of length l is a graph isomorphic to Pl+1.
- Every edge \${u, v} in E\$ belongs to exactly one of the subgraphs induced by the connected components of G
- To count the number of paths of length k in the graph G, add up the number of paths of length k in each of its connected components
- Let G1 and G2 be two isomorphic graphs, then:
 - G1 is acyclic if and only if G2 is acyclic
 - G1 is connected if and only if G2 is connected
 - G1 is a tree if and only if G2 is a tree
- A tree has one more vertex than edge, |E| = |V| 1
- If G = (V, E) is a forest, then |E| = |V| |CC|
- Every tree with edges has at least one leaf (actually, at least two!).
- Every tree is minimally connected (removing an edge disconnects a tree)
- Every tree is maximally acyclic (adding an edge between two non-adjacent nodes creates a cycle)
- Any two distinct vertices of a tree are connected by a unique path
- Every tree is unique-path connected (any two distinct vertices of a tree are connected by a unique path)
- Adding an edge to an acyclic graph creates at most one cycle
 - If it is a forest with at least two trees, the added edges can go between nodes in these trees.

- Any closed walk of non-zero length that traverses at least one of its edges exactly once contains a cycle
- A graph such that any two distinct vertices are connected by a unique path must be a tree
- Removing a cut edge increases the number of connected components by exactly
 1



Week 13

Topics Covered: Spanning Trees, Graph Coloring, Colorability and maximum degree, No odd cycles, Bipartite Graphs, Cliques, Sets

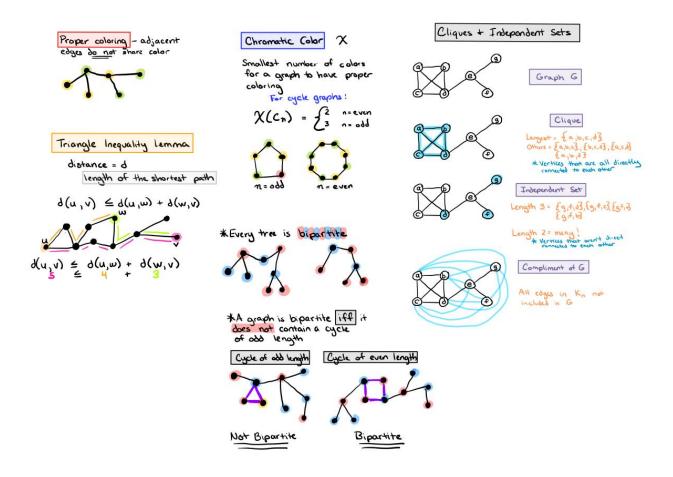
• Definitions:

- \circ Spanning Subgraph Of the graph G = (V, E) is a subgraph whose vertex set is the entire set V.
- Spanning Tree of a connected graph G is a spanning subgraph that is a tree.

- **Spanning Forest** of a graph G consists of a spanning tree for each of the connected components of G.
- **K-Coloring** of a graph G = (V,E) with is a function $f : V \rightarrow [1..k]$.
- **Proper Coloring** for any edge u-v we have f(u) = f(v)
- **K-Colorable** A graph that admits a proper k-coloring
 - Note that k-colorable implies j-colorable for any $j \ge k$. Clearly, a graph with n vertices is n-colorable.
- Chromatic Number The smallest k such that G is k-colorable. It is denoted by $\chi(G)$.
- **Bipartite Graph** 2-colorable graphs are also this.
- The **distance** between two vertices $u,v \in V$, notation d(u,v), is the length of a shortest path from u to v.
- Complete Subgraph A subgraph that is isomorphic to the complete graph Kn for some n.
 - Clique is used both for such a complete subgraph of G and for a subset gof the vertices of G that induces a subgraph that is complete.
 - Alternative definition: A subset of vertices any two of which are adjacent.
 - Size of a clique Its number of vertices
- o **Independent Set** A subset of vertices $S \subseteq V$ when no two vertices in S are adjacent.
 - Alternative definition: The induced subgraph is edgeless.
- **Complement** of G is the graph G = (V, E) where $E_bar = \{\{u,v\} \mid u,v \in V \land u \neq v \land \{u,v\} \mid E\}$

- Every connected graph has a spanning tree.
- Every graph has a spanning forest.
- Spanning trees always exist.
- Removing a cut edge in a graph increases the number of connected components by EXACTLY one.
- An edge is a cut edge **if and only if** it does not belong to any cycle.
- A connected graph is a tree if and only if each one of its edges is a cut edge.
- \circ $\chi(G) = 1$ iff G is edgeless.
- For $n \ge 2$ we have $\chi(Pn) = 2$.
- \circ $\chi(Cn) = 2$ when n is even; = 3 when n is odd
- \circ $\chi(Kn) = n$.

- For $n \ge 2$, any k-coloring with k<n cannot be proper. Indeed, by the Pigeonhole Principle, at least two vertices get the same color. But in Kn any two vertices are adjacent.
- All path graphs are bipartite and a cycle graph is bipartite if and only if it has an even number of nodes.
- Every tree is bipartite.
- Every graph is \$\Delta(G) + 1\$-colorable where \$\Delta(G)\$ is the maximum degree of a node in G.
- A graph is bipartite if and only if it does not contain a cycle of odd length.
- Every subgraph of a bipartite graph is also bipartite.
- If S is a subgraph of G, then \$\chi(S) =< \chi(G)\$
- Triangle inequality: $d(u, v) \le d(u, w) + d(w, v)$
 - Concatenating these two paths gives us a walk of length d(u,w) + d(w,v). But d(u,v) is the length of a shortest path from u to v and hence it is \leq than the length of any walk from u to v.
- A graph has a proper coloring iff each of its connected components have a proper coloring.
- A graph is k-colorable if and only if its set of vertices can be partitioned into k independent sets.
- The leaves of a tree form an independent set
- Let G = (V, E) and $S \subset V$ be a set of vertices, S is a clique in G if and only if it is an independent set of G.
 - For any $u,v \in S$, we have u-v in G iff $\neg(u-v)$ in G_bar.
 - Concepts of clique and of independent set are dual.
- How many edges does the complement of Pn have?
 - We have that Kn has n(n-1)/2 edges, and Pn has n-1 edges. Thus the complement of Pn has n(n-1)/2 (n-1) = n(n-1)-2(n-1)/2 = (n-2)(n-1)/2.



Week 14

Topics Covered: Directed graphs, Reachability, Strong Connectivity, Directed Acyclic Graphs (DAGs), Topological sorting, Binary trees

• Definitions:

- O Directed graph (digraph) G = (V,E) consists of a non-empty set V and a set E is the subset of V x V of edges which are ordered pairs of vertices.
 - This allows **self-loops**(v,v) \subseteq E where v \subseteq V but not for parallel edges.We can have **anti-parallel edges** (u,v),(v,u) \subseteq E where u,v \subseteq V.

- We use the notation $u \rightarrow v$ for both the edge (u,v) itself and for the fact that $(u,v) \in E$. $u \rightarrow v$ is an **outgoing** edge from u and an **incoming** edge to v.
- V is a **successor** of u and u is a **predecessor** of v. u and v are neighbors, when $u\rightarrow v$ or $v\rightarrow u$, a symmetric relationship.
- Since we allow self-loops, a vertex can be its own neighbor in which case it is also its own predecessor and its own successor.
- Isolated Vertices vertices with no neighbors.
- Edgeless digraph just like an edgeless graph.
- Outdegree of a vertex u is the number of successors of u and the number of outgoing edges from u : out(u) (A node of outdegree 0 = Sink)
- Indegree of a vertex u is the number of predecessors of u and the number of incoming edges to u : in(u) (A node of indegree 0 = Source)
- \circ deg(u) = out(u) + in(u)
- o **Directed Walk -** A non-empty sequence u0,u1,...,uk such that $u0 \rightarrow u1 \rightarrow \cdots \rightarrow uk$. We call this a directed walk from u0 to uk of length k.
 - Do not confuse the directed walk of length 0,u with the directed walk of length 1 given by the existence of a self-loop: v→v. Walks of length 0 arepaths but walks of length 1 given by self-loops are not paths.
- O Directed Path A walk with no repeated vertices.
 - For every vertex v there is a directed path of length 0:v.
- The length of the directed cycle = k+1 (u0 -> u1 -> u2 -> ... -> uk -> u0)
- o **Directed Cycle** A closed walk $u0 \rightarrow \cdots \rightarrow uk \rightarrow u0$, with u0,...,uk all distinct. The length of the cycle is k+1.
 - There are no cycles of length 0. A self-loop gives a cycle of length 1. A cycle of length 2 consists of two vertices and edges between them in opposite directions (antiparallel edges).
- Reachability A vertex v is reachable from a vertex u when there is a walk (and therefore a path) from u to v. We write $u \rightarrow \to v$ for the reachability relation.
 - The reachability relation is reflexive, i.e., $u \rightarrow u$ and transitive, i.e., $u \rightarrow v$ and $v \rightarrow w$ imply $u \rightarrow w$.
 - For reflexivity consider walks of length 0. For transitivity we concatenate walks. The relation $u \rightarrow v$ is called the **reflexive-transitive closure** of the (edge) relation $u \rightarrow v$.
- Strongly Connected Components The maximally strongly connected sets of vertices

- o **Reduced Graph** Given a digraph G=(V,E) its reduced graph has as vertices the scc's of G and as edges the pairs (S1,S2) where S1 and S2 are distinct scc's such that there exist u1 ∈ S1 and u2 ∈ S2 such that u1→u2 is an edge in G.
- Directed Acyclic Graph a digraph without directed cycles (not even of length
 1).
- o **Topological Sort** of a digraph is a sequence σ in which every vertex appears exactly once (i.e., a permutation of its vertices) such that for any edge u \rightarrow v in the graph, the vertex u appears in σ before (but not necessarily immediately before) the vertex v.
- "Fluid" DAG If it has no isolated vertices, and if there is a directed path from every source to every sink.
 - The minimum number of edges in a fluid DAG with 2 sources and 3 sinks is 5:
 - In addition to the 2 sources and 3 sinks we have an intermediate node through which all the source-to-sink paths go.
- o Rooted Tree a pair (T,r) where T = (V,E) is a tree and the vertex $r \in V$ is designated as a root.
 - Binary Rooted Tree Every node has at most two children
 - The single node of a one-node rooted tree is a root. It is also a leaf, although it has degree 0. The one-node rooted tree is a complete binary tree.
- o Child Successor
- Parent Predecessor
- **Height** Distance from root to farthest leaf
- Binary Search Tree Are ordered. They may have a left child and a right child.
 The left child has a value less than the parent. The right child has a value more than the parent.
- Complete vs. Full Binary Tree Full: Every non-leaf node has exactly 2 children. Complete: It is full and all leaves are the same distance from the root.

 Maximum number of edges that a digraph with n nodes can have is n^2. Each node can have n successors, i.e. n edges leaving it to every other node and itself.
 Since there are n nodes, then the maximum number of edges for a digraph with n nodes is n^2.

- The sum of the outdegrees of all vertices in a directed graph equals the sum of the indegrees of all vertices and further equals the number of edges
- The reachability relation is reflexive, i.e. u ->> u and transitive, i.e. u ->> v and v
 ->> w implies u ->> w.
- Strong connectivity is:

■ Reflexive: u <<->> u

■ **Symmetric**: u <<->> v => v <<->> u

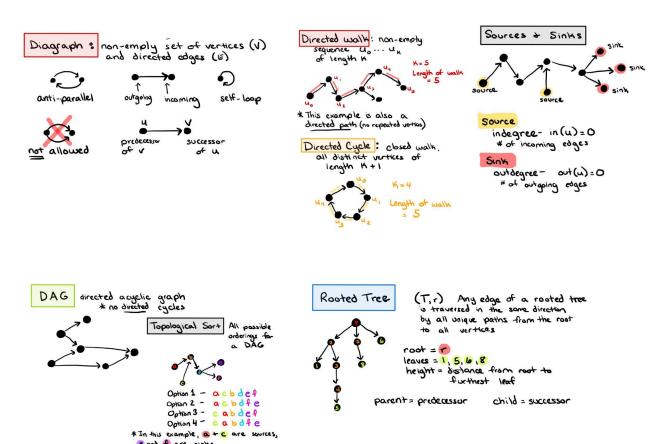
■ Transitive: u <<->> v and v <<->> w => u <<->> w

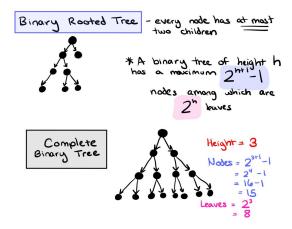
- A set of vertices is strongly connected when any two of its vertices are strongly connected.
- Any two distinct strongly connected components are disjoint.
- The reduced graph has no directed cycles.
- Because the reduced graph has edges only between distinct vertices we cannot have cycles of length 1.
- If a digraph has a topological sort then:
 - The first vertex in the sort is a source and the last vertex is a sink
 - The digraph is a DAG
- Every DAG has at least one source and at least one sink
 - Isolated vertices are both sources and sinks
- Every DAG has at least one topological sort
- How many distinct topological sorts does such an edgeless digraph have?
 - Since there are no edges in the graph, there are no constraints in the ordering of the nodes in the topological sorts; therefore the number of topological sorts is equal to the number of ways to order n nodes, i.e.n!.
- Any edge of a rooted tree is traversed in the same direction by all unique paths from the root to each of the other vertices
- A binary tree of height h has a maximum of $2^{h+1} 1$ nodes among which are 2^h leaves. This maximum is attained for the complete binary tree of height h.
 - We define a **complete binary tree of height h** to be a rooted tree in which every non-leaf node has two children and all leaves are at distance h from the root.
- o How many edges are in a complete binary tree of height h?
 - We compute the sum of indegrees: We have that every node except the root has exactly one incoming edge. It follows that the number of edges is $(2^{h+1}-1) 1 = 2^{h+1} 2$.
 - We reach the same answer by computing the sum of outdegrees instead: we have that every node except the leaves have two outgoing edges. It

follows that the number of edges is $2(2^{h+1} - 1 - 2^{h}) = 2(2^{h-1}) = 2^{h+1} - 2$.

Corollary:

 The strongly connected components determine a partition of the vertices (but not of the edges)





Solving Proof Questions:

- Always try direct proof first (unless it's obvious you can't).
- If direct proof doesn't work, try contradiction (or contrapositive).
 - For if and only if statements, you cannot use induction. Use proof by contradiction and/or contrapositive.
- If contradiction/contrapositive don't work, move to proof by induction.
 - o If you have a "there exists" question, you can go from k to k+1 and complete the proof.
 - If you have a "for any" or "for all", you start at k+1 and remove a node or edge (depending on what the question is asking) and then use your induction hypothesis for k. Then add the removed node (or edge) back.