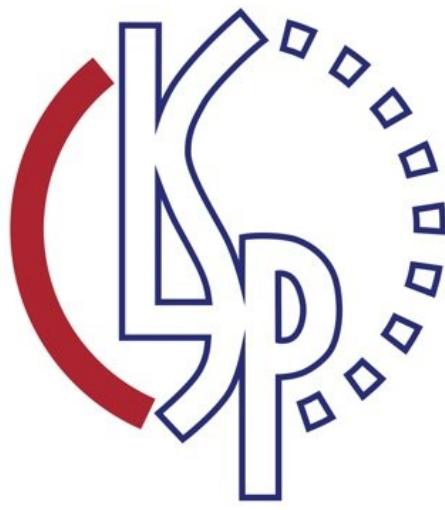


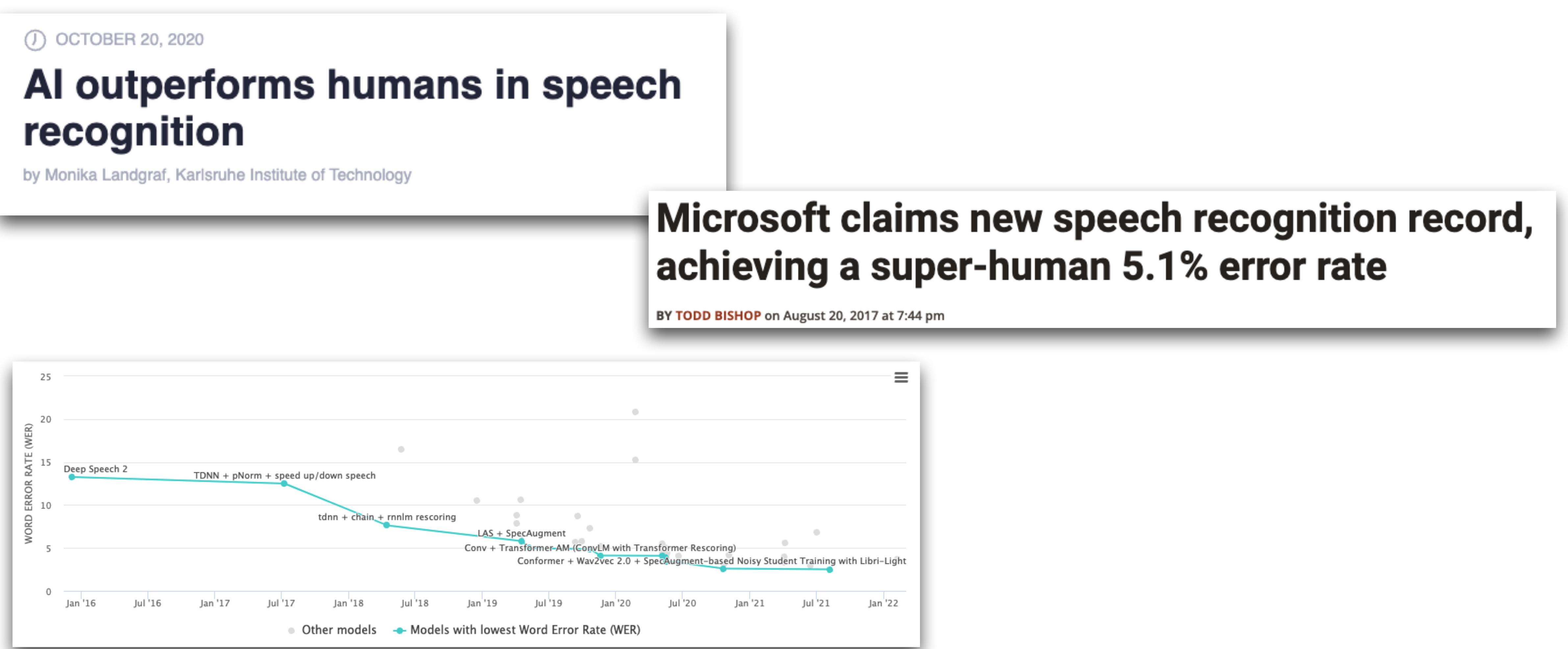
# On Speaker Attribution with SURT

**Desh Raj, Matthew Wiesner, Matthew Maciejewski, Paola Garcia,  
Daniel Povey, Sanjeev Khudanpur**

**Desh Raj**  
 Meta



# Motivation



# Motivation



Single-user applications



Smart Assistants



Language Learning



Customer Service



Voice-based Search



Multi-user applications



Meeting summaries



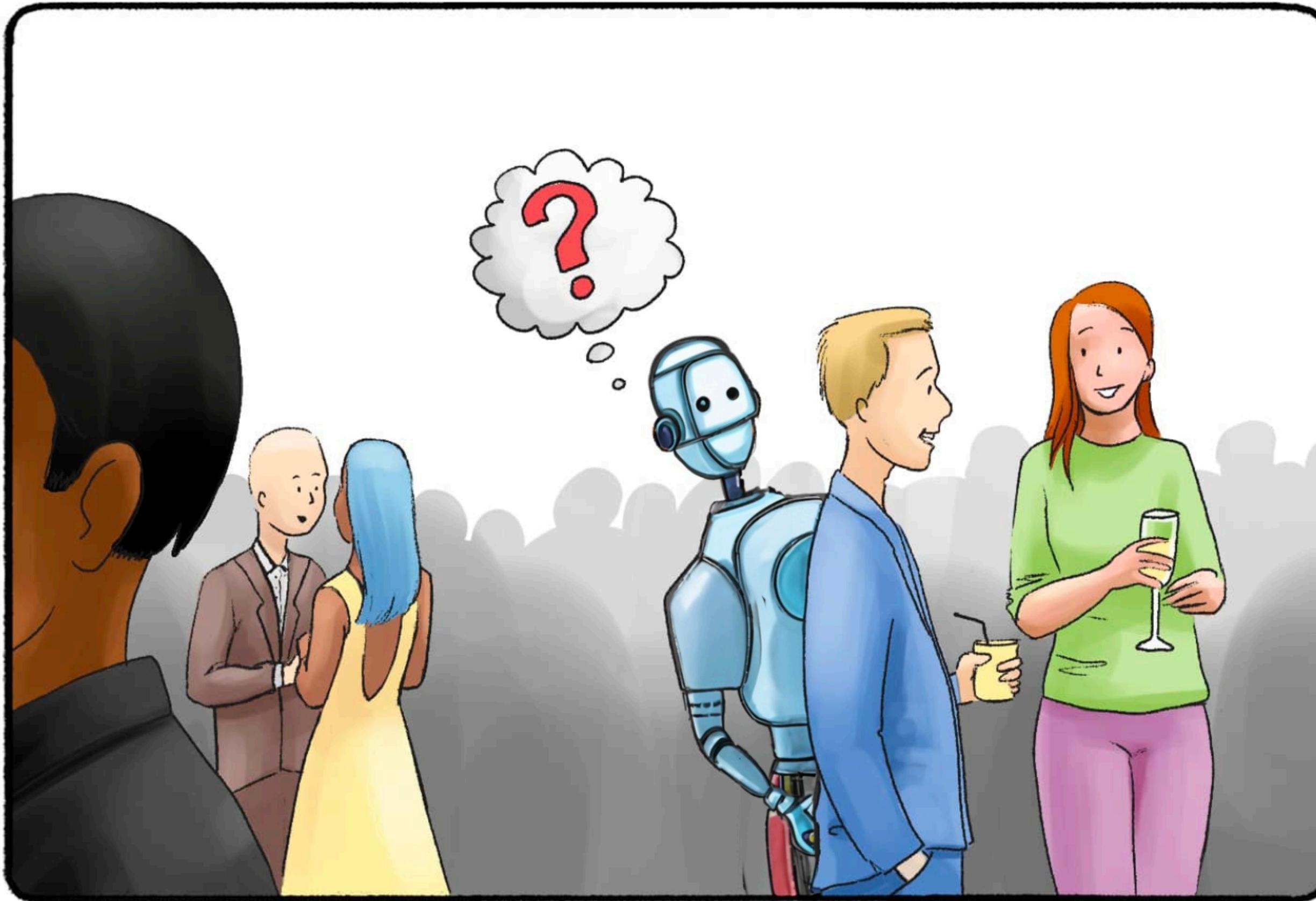
Collaborative Learning



Child language development

# Motivation

## The Cocktail Party Problem



# Outline of the talk

1. Problem statement: “who spoke what?”
2. Modular system and its Limitations
3. Streaming Unmixing and Recognition Transducer (SURT)
4. Speaker-attributed transcription with SURT
5. Conclusion

# Problem Statement

## Multi-talker speaker-attributed ASR

- **Input:** long unsegmented (possibly multi-channel) recording containing multiple speakers.
- **Output:**
  - Transcription of the recording (speech recognition)
  - Speaker attribution (diarization)
  - Additional constraints: streaming, i.e., real-time transcription
- We specifically look at “meetings”: AMI, ICSI

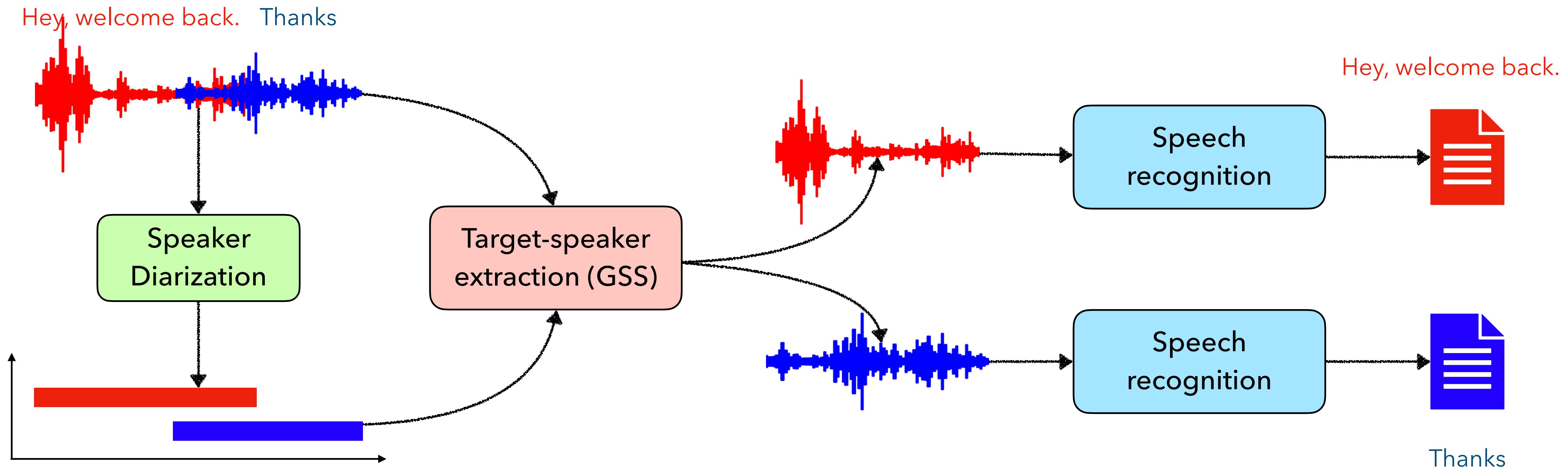
# Problem Statement

## Evaluation metrics

- *Speech Recognition*
  - ▶ Word error rate (**WER**) = insertion + deletion + substitution (Levenshtein distance)
- *Speaker Diarization*
  - ▶ Diarization error rate (**DER**) = missed speech + false alarm + speaker confusion
  - ▶ Word diarization error rate (**WDER**) = % of correctly recognized words attributed to the wrong speaker
- *Multi-talker ASR*
  - ▶ **ORC-WER**: WER for overlapping speech **without** speaker attribution
  - ▶ **cpWER**: WER for overlapping speech **with** speaker attribution

# Modular system

## Pipeline from the CHiME challenge



Shinji Watanabe, et al. CHiME-6 Challenge: Tackling Multi-speaker Speech Recognition for Unsegmented Recordings. *CHiME Workshop*, 2020.

Desh Raj, et al. GPU-accelerated Guided Source Separation for Meeting Transcription. *Interspeech*, 2023.

# Modular system

## Limitations

- Modules are independently optimized for different objectives
- Higher accumulated **latency**
- **Error propagation** through modules
- Requires more engineering efforts to maintain
- Cannot be used for streaming or single-channel inputs

# Continuous, streaming, multi-talker ASR

## Definitions

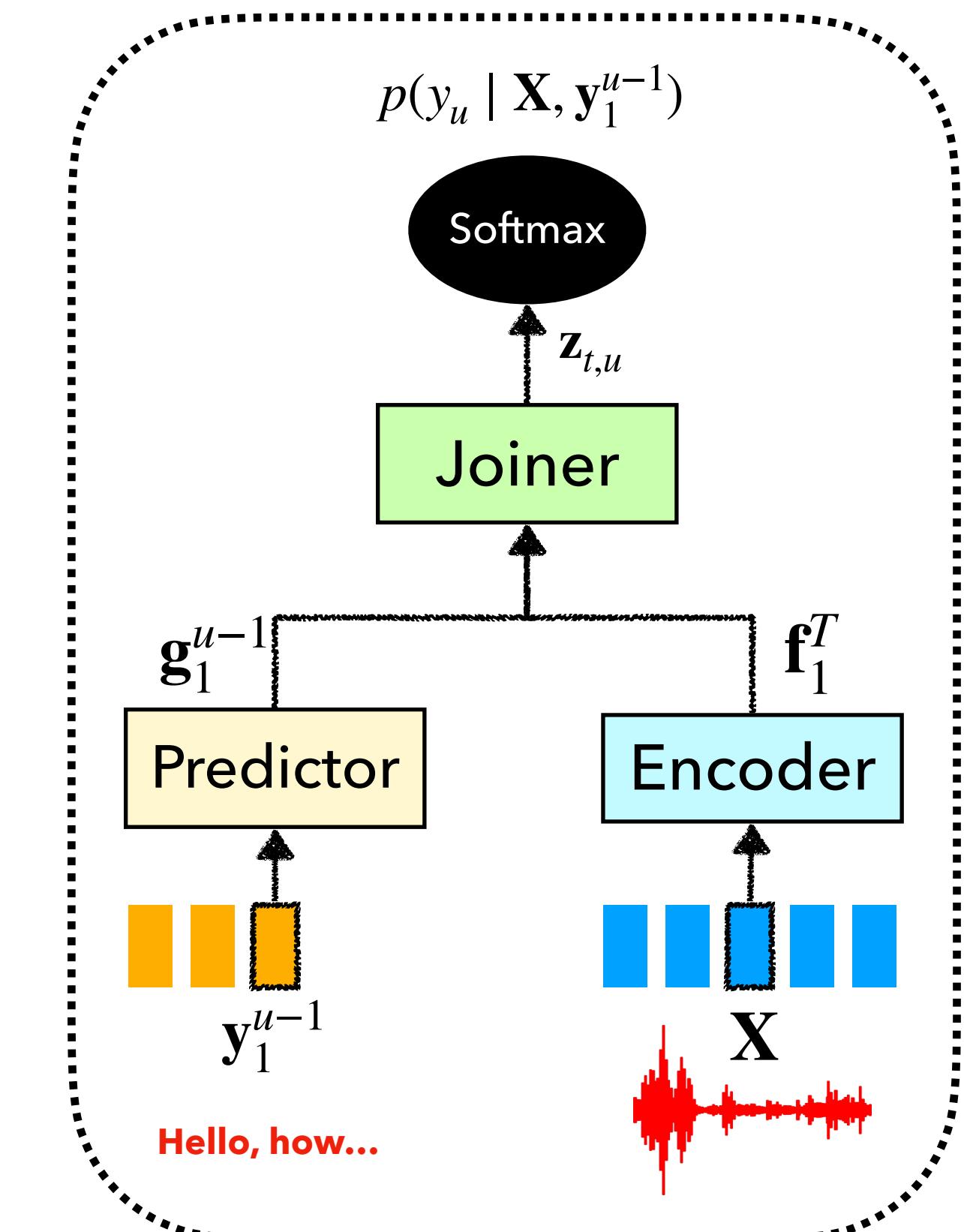
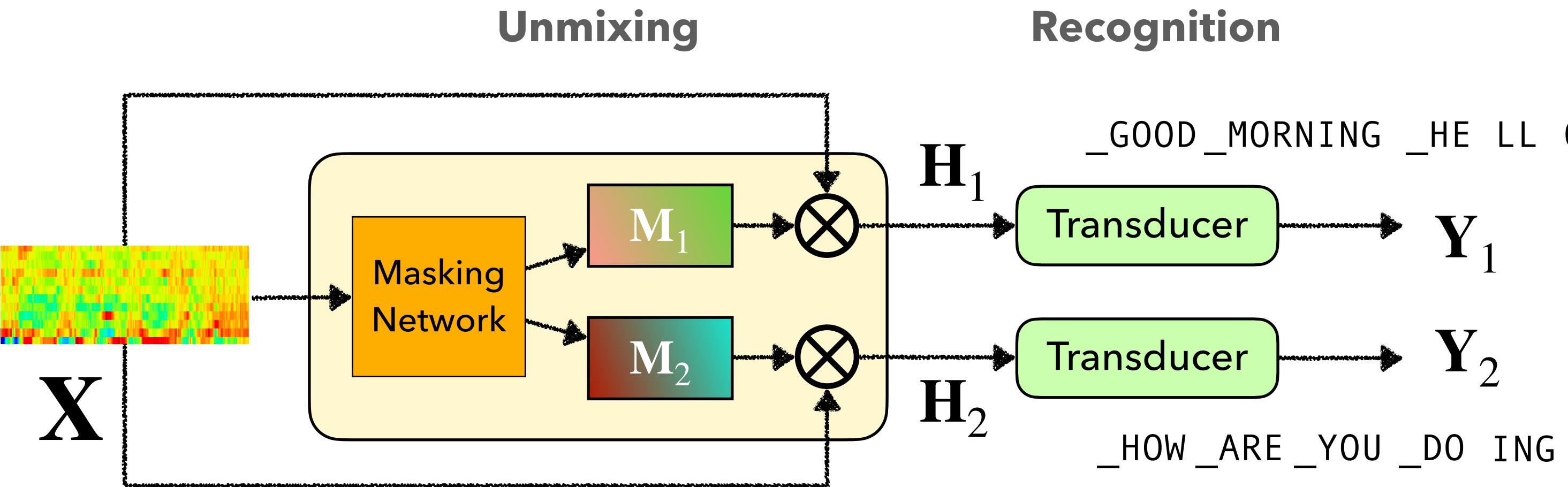
- **Continuous:** does not rely on external segmentation
- **Streaming:** does not use right context; overlapping speech is transcribed simultaneously

Desh Raj, et al. Continuous Streaming Multi-Talker ASR with Dual-Path Transducers. *IEEE ICASSP*, 2022.

Desh Raj, et al. SURT 2.0: Advances in Transducer-Based Multi-Talker Speech Recognition. *IEEE/ACM TASLP*, vol. 31, 2023.

# Streaming Unmixing and Recognition Transducer (SURT)

Hello.  
How are you doing?



- To solve the **permutation problem**, assign utterances to first available channel in order of start time

$$\mathcal{L}_{\text{heat}}(\mathbf{y}_{1:N}, \mathbf{X}; \Theta) = -\log P_\Theta(\mathbf{Y}_1 | \mathbf{X}) - \log P_\Theta(\mathbf{Y}_2 | \mathbf{X})$$

# Streaming Unmixing and Recognition Transducer (SURT)

## Results on real meetings (AMI and ICSI)

AMI	
Close-talk WER (%)	35.1
Far-field WER (%)	44.6

*Overlap ratio = 21.6%*

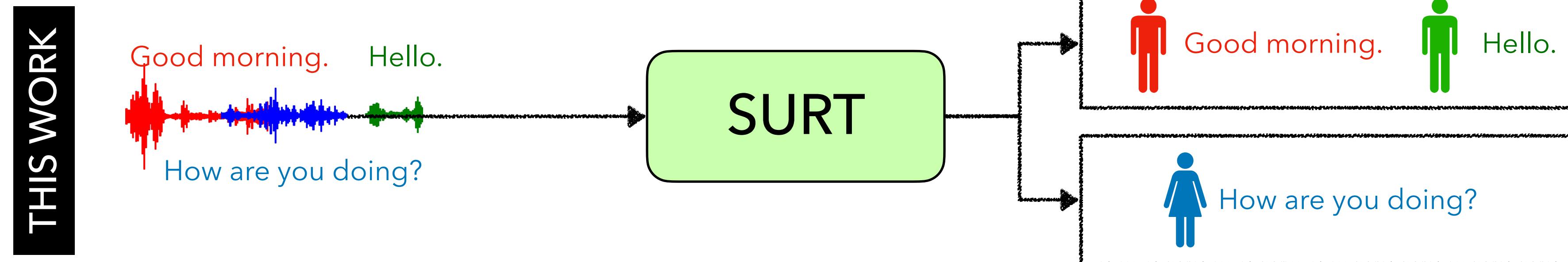
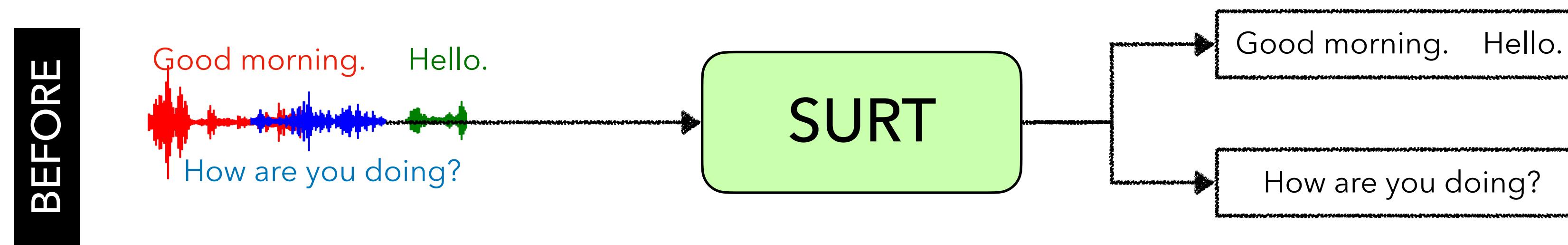
ICSI	
Close-talk WER (%)	24.4
Far-field WER (%)	32.2

*Overlap ratio = 11.1%*

- Results in terms of ORC-WER (speaker-agnostic).
- As a comparison, a single-speaker model for AMI gets ~18% (close-talk) and 32% (far-field).

# Speaker attribution with SURT

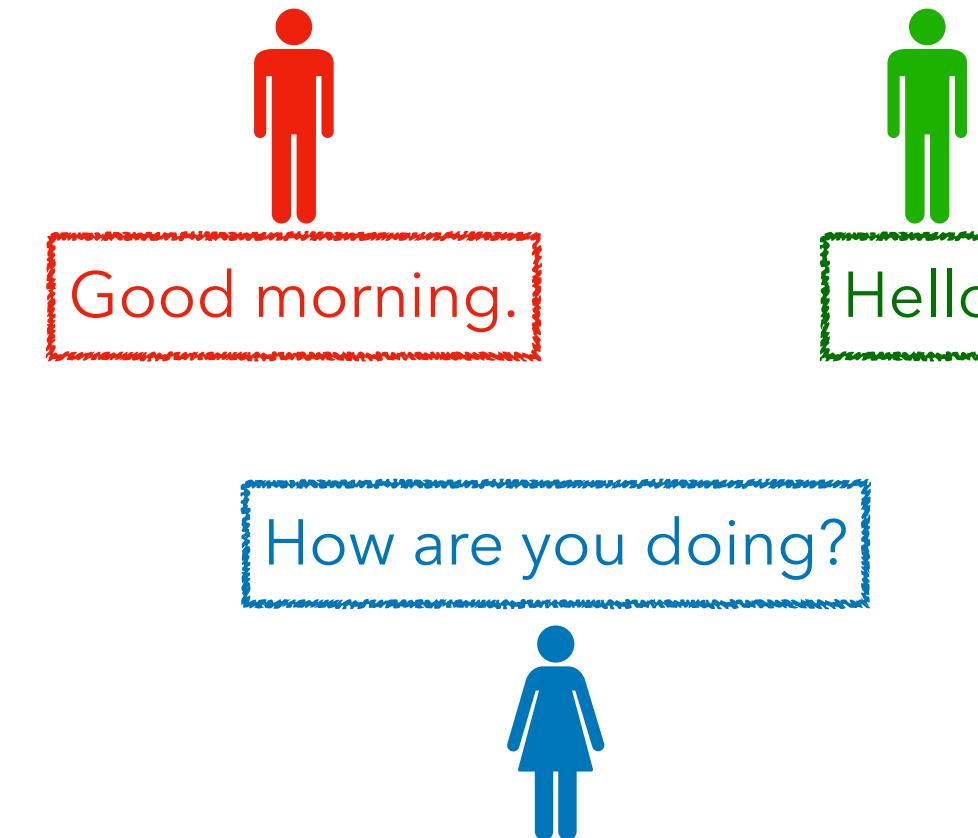
How to predict speaker labels with ASR tokens?



# Speaker attribution with SURT

## Heuristic error assignment training for speakers

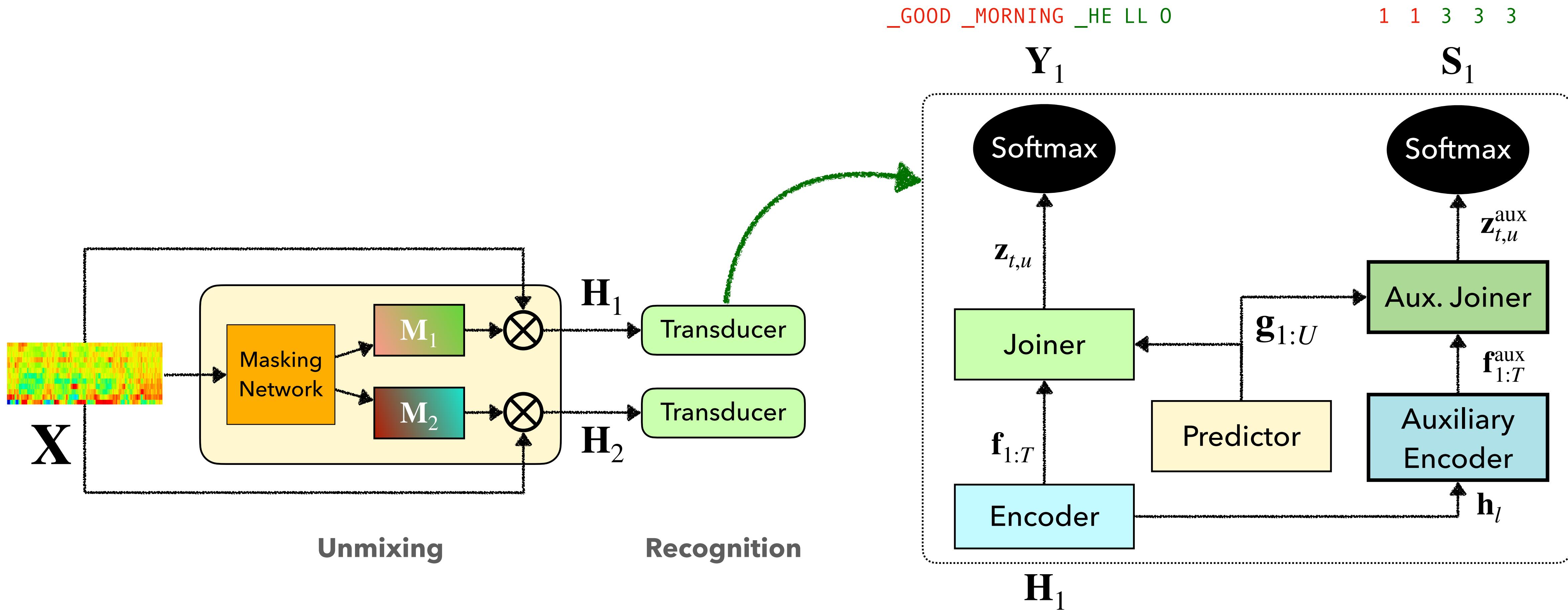
- Use the same 2-branch strategy, but predict speaker labels instead of ASR tokens
- Speakers are ordered in their relative order of appearance
- *How to do both tasks jointly?*



$\mathbf{Y}_1$	$_{\_GOOD}$	$_{\_MORNING}$	$_{\_HE}$	$LL$	$0$
$\mathbf{S}_1$	1	1	3	3	3
$\mathbf{Y}_2$	$_{\_HOW}$	$_{\_ARE}$	$_{\_YOU}$	$_{\_DO}$	$ING$
$\mathbf{S}_2$	2	2	2	2	2

# Speaker attribution with SURT

## Auxiliary speaker encoder

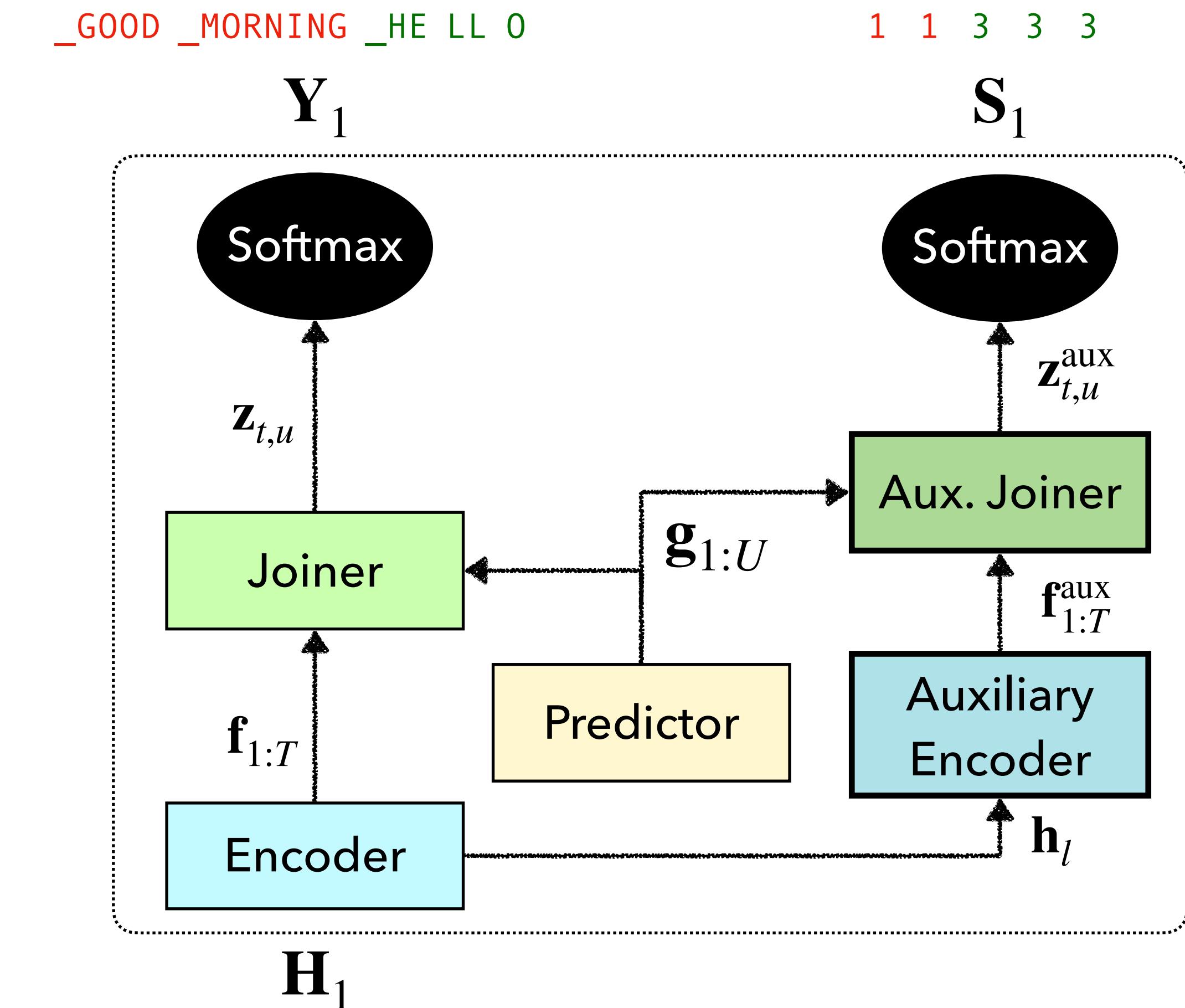


# Speaker attribution with SURT

## Synchronizing speaker labels with ASR tokens

- At inference time, it is not necessary that both output streams emit same number of tokens.
- Even if they do, they may not be frame synchronous.

$Y_1$	<blk>	_GOOD	_MORNING	<blk>	_HE	<blk>	LL	0
$S_1$	<blk>	1	<blk>	1	<blk>	3	<blk>	3



# Speaker attribution with SURT

## Hybrid autoregressive transducer (HAT)

RNN-Transducer

$$P(\mathbf{a}_t \mid \mathbf{f}_1^t, \mathbf{g}_1^{u(t)-1}) = \text{Softmax}(\mathbf{z}_{t,u})$$

HAT

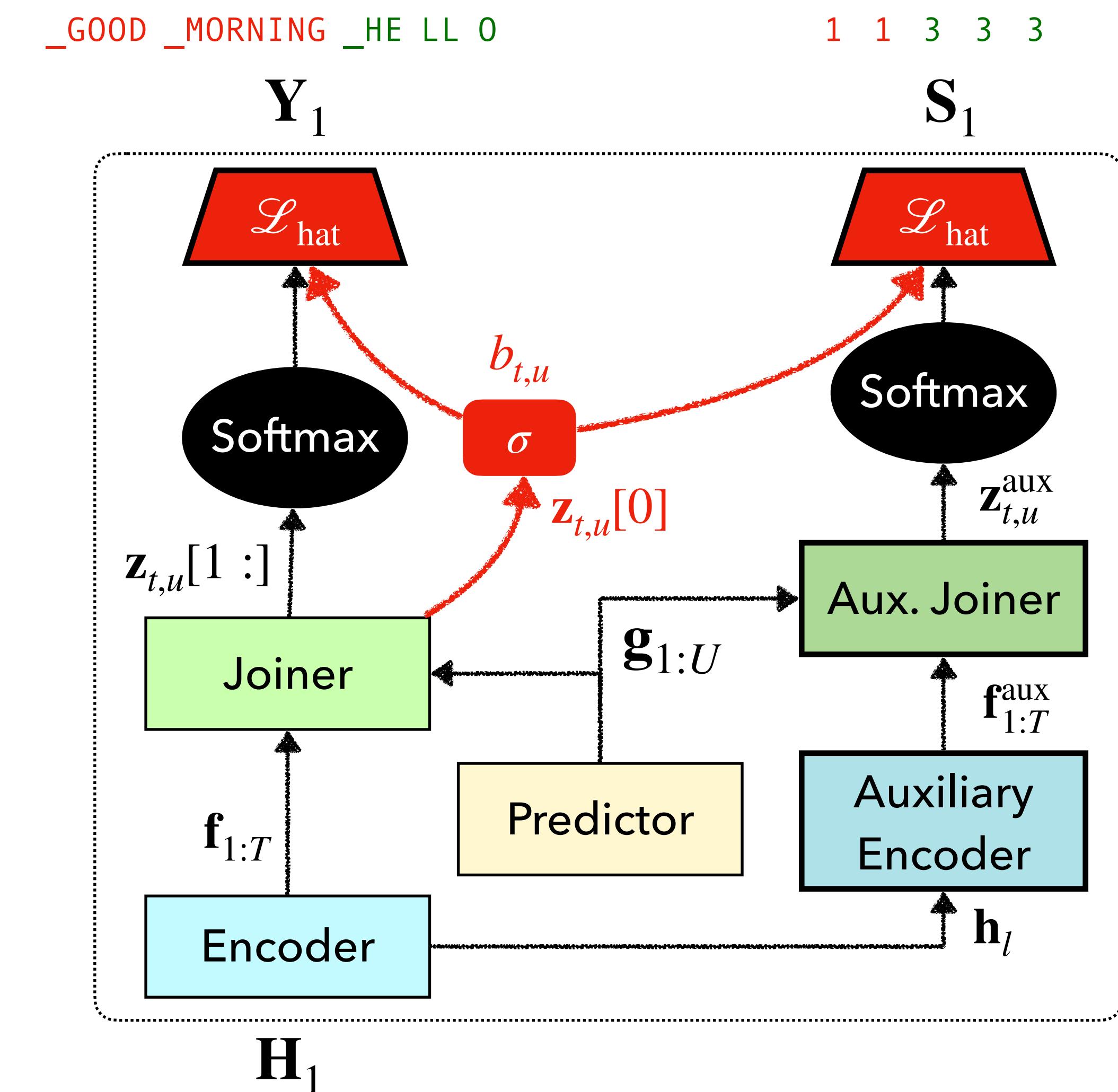
$$P(\mathbf{a}_t \mid \mathbf{f}_1^t, \mathbf{g}_1^{u(t)-1}) = \begin{cases} b_{t,u}, & \text{if } \mathbf{a}_t = \phi, \\ (1 - b_{t,u}) \text{ Softmax}(\mathbf{z}_{t,u}[1 :]), & \text{otherwise} \end{cases} \quad b_{t,u} = \sigma(\mathbf{z}_{t,u}[0])$$

- Multinomial distribution over blank and non-blank tokens
- Cannot model blank probability separately
- Bernoulli distribution for blank; multinomial over non-blank tokens
- Probability of blank given directly by  $b_{t,u}$

# Speaker attribution with SURT

## Synchronization by sharing <blk>

- If ASR branch emits <blk> do the same for speaker branch
- This is achieved by using HAT-style blank factorization, and sharing blank logit between ASR and speaker branch



# Speaker attribution with SURT

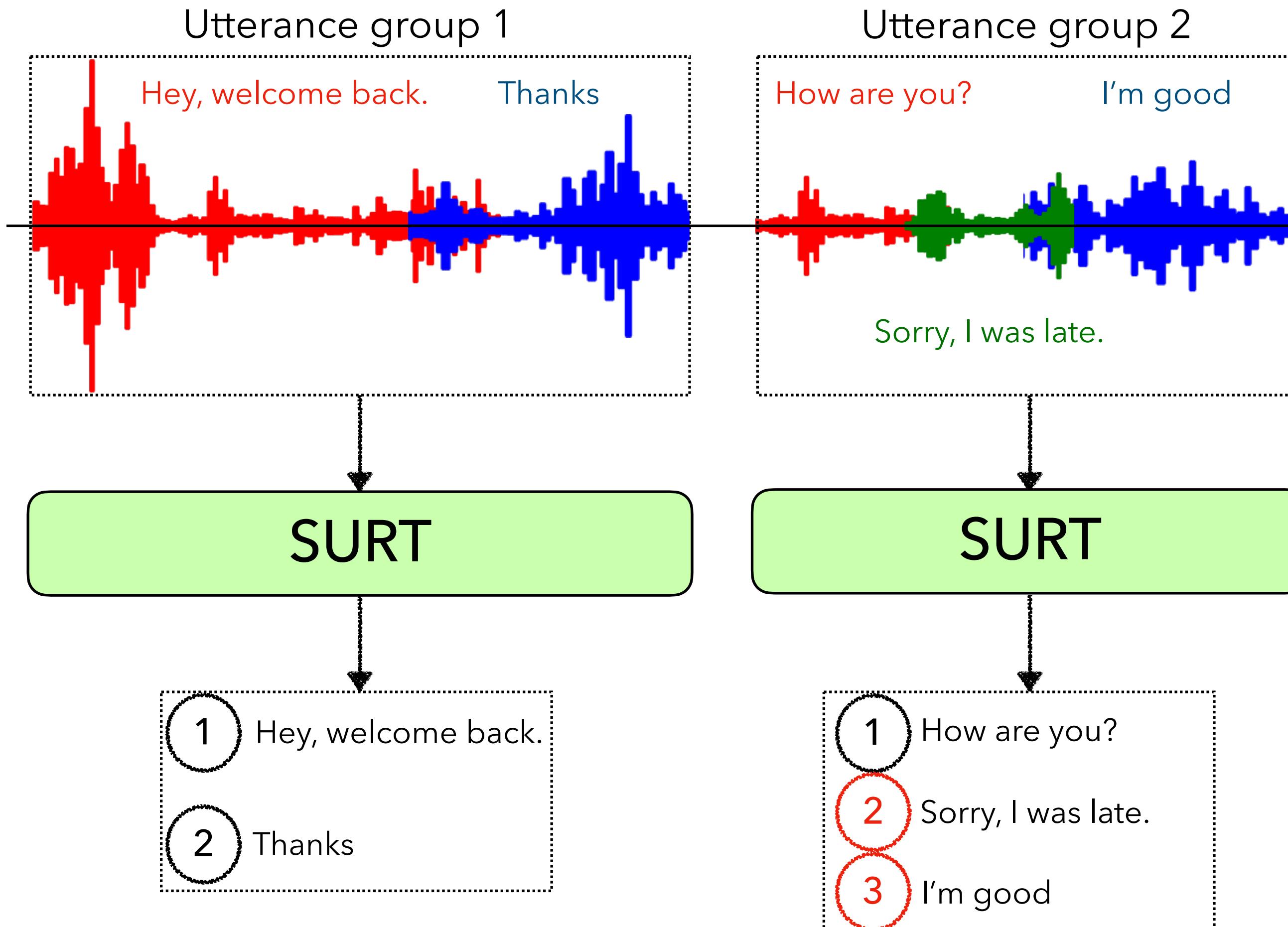
## Results on AMI (evaluation on utterance groups)

Utterance group = set of utterances connected by overlaps or short pauses

Mic Setting	ORC-WER	WDER	cpWER	Streaming	Offline Modular System cpWER
				—	
Close-talk	34.9	9.3	42.3	—	—
Far-field	43.2	10.9	50.3	38.5	—

# Speaker attribution with SURT

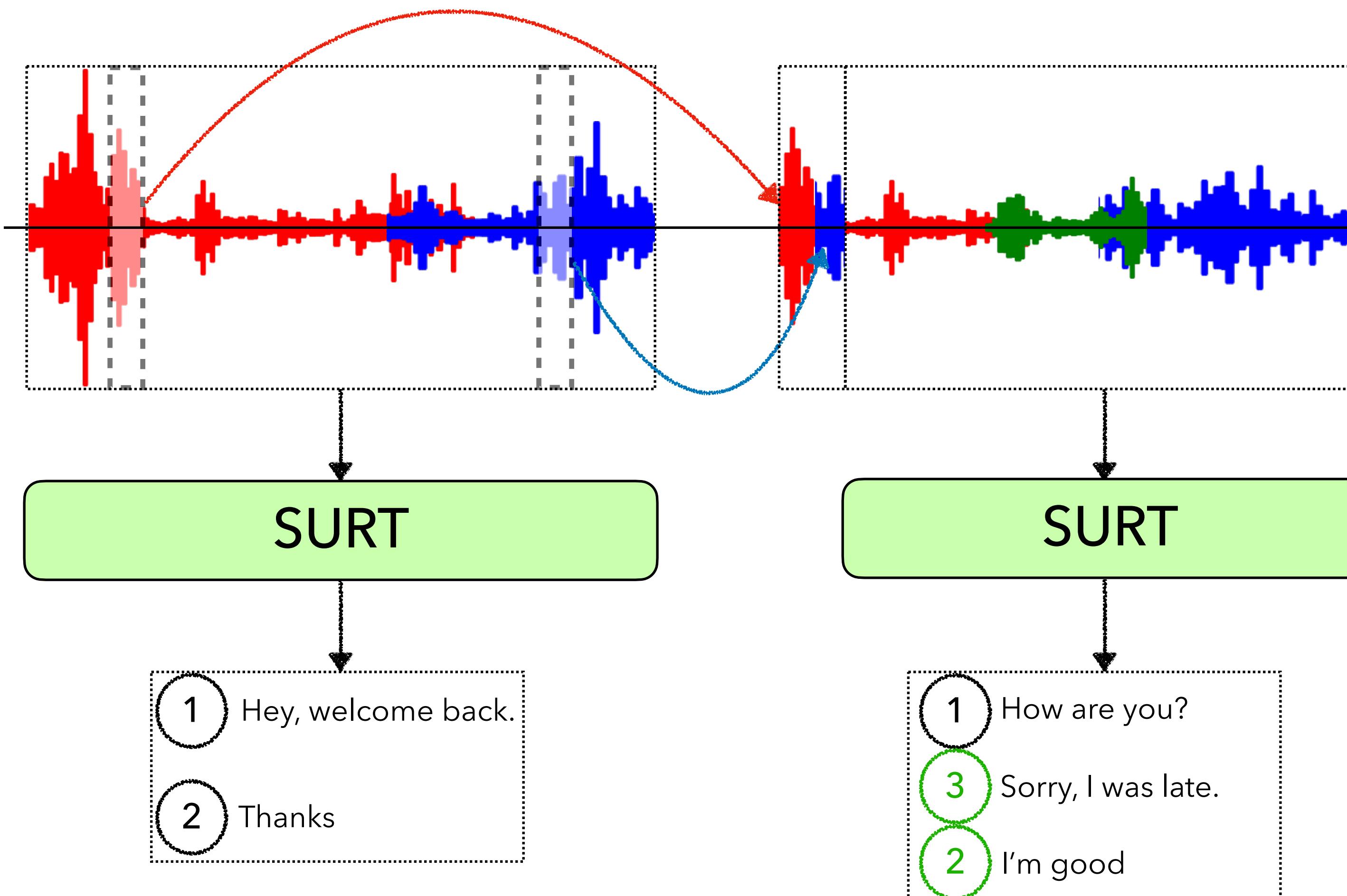
## From utterance groups to full sessions



- How to maintain relative speaker labels when processing different utterance groups within the same session?

# Speaker attribution with SURT

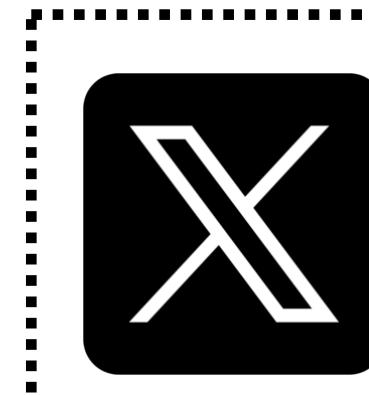
## Speaker prefixing approach



- Extract high-confidence frames of predicted speakers and prefix them in front of current input.
- Remove prefixed part from encoder representation.

# Summary

- **We showed that the same models that do transcription can also do speaker attribution with small changes!**
- For more results and analysis, please refer to our paper.
- Reviewer #5: “I assume the authors are very eager to have these results published in Odyssey since a different (and longer) format would probably have suited this content better.”



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# Extra Slides

# Speaker attribution with SURT

## Joint vs. sequential training

Experiments on simulated LibriSpeech mixtures

Method	ORC-WER	WDER	cpWER
 <b>Sequential</b>	8.5	<b>4.0</b>	<b>15.0</b>
<b>Joint</b>	<b>8.4</b>	4.5	<b>15.0</b>
<b>Sequential + joint</b>	9.2	4.3	15.3

# Speaker attribution with SURT

## Where to branch out of the main encoder?

Experiments on simulated *LibriSpeech* mixtures

Main Encoder Block	WDER	cpWER
<b>Block 0 (after embedding layer)</b>	5.4	16.7
 <b>Block 1</b>	<b>4.0</b>	<b>15.0</b>
<b>Block 2</b>	6.7	19.6
<b>Block 3</b>	8.4	23.4

# Speaker attribution with SURT

## Evaluation on AMI IHM-Mix setting

"Enrollment" = *using small chunk from speaker's enrollment speech for prefixing*

Evaluation	Method	cpWER
Utterance group	SURT w/o speaker prefix	42.3
Full session	SURT w/o speaker prefix	100.1
	SURT w/ speaker prefix (128 frames = 1.28s per speaker)	82.8
	+ enrollment	53.8