

## GA遗传算法：离散优化问题

### Simple GA (SGA)

|                    |  |
|--------------------|--|
| Representation     | Binary representation  |
| Recombination      | One-point crossover  |
| Mutation           | Bit-wise mutation  |
| Parent selection   | Fitness proportional selection – implemented by Roulette Wheel |
| Survivor selection | Generational, i.e, age-based replacement with $\lambda = \mu$  |

第一件事：先选表示方式

用01串筛选特征，每一个待选对应一个位

## ES演化策略：连续优化问题

### Evolutionary Strategies

|                    |  |
|--------------------|--|
| Representation     | Real-valued representation   |
| Recombination      | Discrete or arithmetic   |
| Mutation           | Gaussian perturbation  |
| Parent selection   | Uniform random   |
| Survivor selection | Fitness-based replacement by $(\mu, \lambda)$ or $(\mu + \lambda)$ |
| Speciality         | Self-adaptation of mutation step sizes                             |

- mutation: 多元高斯分布

**Discrete recombination:** Same as for binary representation, e.g.,  $m$ -point crossover and uniform crossover

**Arithmetic recombination:** Create offspring “between” parents

$$z_i = (1 - \alpha)x_i + \alpha y_i, \text{ where } \alpha \in [0,1]$$

适用于解决黑盒优化问题

## EP: 也是连续域上有穷优化

### Evolutionary Programming

- 每个个体确认产生一个子代
- 不进行recombination
- round-robin: 每个与随机k个比较, 选win最多的

Now typically applied to optimization in continuous domains, and almost merged with ES

|                    |   |            |
|--------------------|---|------------|
| Representation     | Real-valued representation                          | difference |
| Recombination      | None  |            |
| Mutation           | Gaussian perturbation                               |            |
| Parent selection   | Deterministic (each parent generates one offspring) |            |
| Survivor selection | Round-robin tournament                              |            |
| Speciality         | Self-adaptation of mutation step sizes              |            |

## GP

### Genetic Programming

- 树表示
- 子树交换, 树随机变换
- age-based

|                    |                          |
|--------------------|--------------------------|
| Representation     | Tree representation      |
| Recombination      | Exchange of subtrees     |
| Mutation           | Random change in trees   |
| Parent selection   | Fitness proportional     |
| Survivor selection | Generational replacement |

- GP执行交叉或变异

### 生成树

确定初始树最大深度dmax

- Full method (each branch has depth =  $d_{max}$ ):
  - nodes at depth  $< d_{max}$  are randomly chosen from  $F$
  - nodes at depth  $d_{max}$  are randomly chosen from  $T$
- Grow method (each branch has depth  $\leq d_{max}$ ):
  - nodes at depth  $< d_{max}$  are randomly chosen from  $F \cup T$
  - nodes at depth  $d_{max}$  are randomly chosen from  $T$

- 采样方式不同
- grow方法可能深度为0