Dear Editor-in-Chief, Associate Editor, and Reviewers:

We are submitting our work titled "DCSA: Distributed Channel-Storage Architecture for Flow-Based Microfluidic Biochips" to IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems (TCAD). A preliminary version of this paper was presented at the Proceedings of the 54th Annual Design Automation Conference (DAC), 2017, which is appended to this manuscript. The most significant new contribution compared with the conference version is that we propose an effective flow-path planning method to realize the manipulation of actual fluid transportation/caching in a certain biochip architecture with distributed channel storage. We also introduce a deadlock-removal strategy and two transportation-conflict elimination techniques to solve the design challenges involved in the flow-path planning stage fundamentally. Furthermore, more content has been added to clearly illustrate the details of the proposed concept of distributed channel storage, and more experimental results have been presented to demonstrate the effectiveness of the updated synthesis framework.

The enhancements and extensions to the conference paper are summarized as follows:

- 1) In Section I, the complete design flow of microfluidic biochips, including resource binding and scheduling, physical design, as well as flow-path planning is described in detail. New contributions of this work are also concluded in the last part of this section.
- 2) In Section II-B, with the proposed concept of distributed channel storage, design challenges involved in the flow-path planning stage, including transportation conflict and caching deadlock, are analyzed in detail.
- 3) In Section II-B-1), the basic ideas of two transportation-conflict elimination techniques are presented, including a scheduling adjustment method and an architecture adjustment method.
- 4) In Section II-B-2), the basic idea for solving the deadlock problem in flow-path planning is discussed.
- 5) In Section II-C, an updated problem formulation for the synthesis of flow-based microfluidic biochips in a distributed channel-storage architecture is presented, which considers the resource binding and scheduling, architectural synthesis, as well as flow-path planning simultaneously.
- 6) In Section IV-A, we formulate the design of flow-path planning in a distributed channelstorage architecture into an integer linear programming (ILP) model, and thus present an effective method to map all the transportation tasks specified in the scheduling scheme to real flow paths.
- 7) In Section IV-B, we present an ILP-based method to solve the deadlock problem in the flow-path planning stage effectively.
- 8) In Section IV-C, the two transportation-conflict elimination techniques discussed in Section II-B are implemented based on an ILP model and an improved genetic algorithm, respectively.
- 9) In Section V, the proposed framework is evaluated in a systematic manner by implementing multiple sets of experiments. Moreover, snapshots of a synthesized chip architecture with flow paths are also presented in the last part of this section.

We appreciate your time and effort in reviewing this submission, and look forward to hearing your comments and questions.

Yours sincerely,

Chunfeng Liu, Xing Huang, Bing Li, Hailong Yao, Paul Pop, Tsung-Yi Ho and Ulf Schlichtmann

# DCSA: Distributed Channel-Storage Architecture for Flow-Based Microfluidic Biochips

Chunfeng Liu, Xing Huang, Bing Li, Hailong Yao, Paul Pop, Tsung-Yi Ho and Ulf Schlichtmann

Abstract-Flow-based microfluidic biochips have attracted much attention in the EDA community due to their miniaturized size and execution efficiency. Previous research, however, still follows the traditional computing model with a dedicated storage unit, which actually becomes a bottleneck of the performance of biochips. In this paper, we propose a distributed channel-storage architecture (DCSA) to cache fluid samples inside flow channels temporarily. Since distributed storage can be accessed more efficiently than a dedicated storage unit and channels can switch between the roles of transportation and storage easily, biochips with this architecture can achieve a higher execution efficiency even with fewer resources. Furthermore, we also address the flow-path planning that enables the manipulation of actual fluid transportation/caching on a chip. Simulation results confirm that the execution efficiency of a bioassay can be improved by up to 28%, while the number of valves in the biochip can be reduced significantly. Also, flow paths for transportation tasks can be constructed and planned automatically with minimum extra resources.

*Index Terms*—Microfluidic biochips, channel storage, scheduling, architectural synthesis, flow-path mapping.

#### I. Introduction

The emergence of microfluidic biochips has reshaped the traditional biochemical procedures due to their high execution efficiency and miniaturized fluid manipulation [2], [3]. With this miniaturization, biochemical assays can be scaled down to nanoliter volumes, so that precious reagents can be saved to reduce the cost of experiments. Since operations executed on a biochip are automated and controlled by a microcontroller, the reliability in executing biochemical experiments can also be improved significantly compared with the traditional manual-based experimental flow [4]. Accordingly, this lab-on-a-chip

A preliminary version of this paper was published in the Proceedings of the 54th Annual Design Automation Conference (DAC), 2017 [1]. Major extensions beyond [1] include a detailed analysis of the design challenges involved in flow-path planning, an updated problem formulation considering resource binding and scheduling, architectural synthesis, and flow-path planning simultaneously, an effective ILP-based flow-path planning method, a deadlock removal strategy, two transportation-conflict elimination techniques, as well as comprehensive experimental evaluations.

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device is now increasingly being used in many areas of biochemistry and biomedical applications, such as immunoassays [5], drug discovery [6], and DNA analysis [7].

Microfluidic biochips based on continuous flow use valves to control the movement of samples and reagents. The structure of a biochip is illustrated in Fig. 1(a) [8]. On top of the glass substrate, a flow channel is constructed for the transportation of fluids. Above the flow channel, a control channel connected to an air pressure source is used to control the open/closed state of the valve. Both channels are built from elastic materials, so that air pressure in the control channel squeezes the flow channel below to block the movement of the fluid. Conversely, if the pressure in the control channel is released, the fluid can resume its movement.

With valves as the basic controlling components, complex devices can be constructed [9]. For example, the structure of a mixer is shown in Fig. 1(b) [10]. The three valves at the top form a peristaltic pump by switching alternately at a sufficiently high frequency to drive the mixing of fluids. Besides mixers, a dedicated storage unit can also be built from channels and valves. Fig. 1(c) shows the schematic of a biochip with a mixer connected to a storage unit [11], where eight side-by-side cells are used to store fluid samples. Furthermore, at a port of the storage unit, valves in a multiplexer-like structure direct a fluid sample to enter or leave a specific cell. This concept of dedicated storage, however, suffers from several limitations including constrained capacity of the storage unit, limited access bandwidth at input/output ports, and large area occupation [12].

Biochips are used to execute operations in biochemical assays, whose protocols are usually described by sequencing graphs. In Fig. 2(a), the sequencing graph of the mixing phase of the polymerase chain reaction (PCR) is illustrated [13]. This assay takes eight input samples  $(i_1-i_8)$  and processes them with seven mixing operations  $(o_1-o_7)$  to generate copies of a DNA sequence. Moreover, the edges in the sequencing graph define the dependency of operations, where a parent operation should always be executed before its child operations.

To design a high-efficiency chip architecture for bioassay execution, the traditional design flow is usually divided into two phases [14]. In the first stage, biochemical operations are bound to specific devices and scheduled to execute according to the dependencies specified by the sequencing graph. The target of this step is to find a resource binding and scheduling solution such that the total execution time of the bioassay is minimized. Then, in the second stage, physical design is performed to assign exact locations for the allocated devices and construct flow channels to connect them, thereby generating a

Fig. 1: Components and structure of flow-based biochips. (a) Valve structure. (b) Mixer. (c) A biochip with eight storage cells [11].

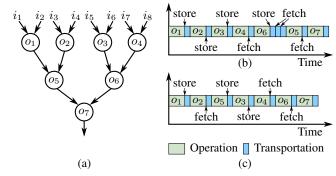
biochip architecture with minimized total cost.

With a certain biochip architecture, when executing a bioassay, each fluid transportation task needs to be mapped to one or more continuous flow-channels to form a complete flow path between devices. Moreover, to drive the movement of fluid sample and recover the corresponding waste liquid, a pressure pump and a waste port need to be placed at the start and end of the flow path, respectively. More importantly, all these flow paths as well as the placement positions of corresponding pressure pumps and waste ports should be considered systematically, so that all the transportation tasks can be performed without any conflict, while the extra resources (e.g., pumps, ports, etc.) introduced to the biochip are minimized.

Over the past few years, a number of methodologies have been proposed to deal with the design challenges of biochips. The method in [14] proposes a top-down flow to generate a biochip architecture while minimizing the execution time of the assay. The methods in [15] and [16] propose to solve the flow-channel routing problem considering obstacles, while placement/routing iterations are performed in [17] to reduce flow-channel crossings. Control logic synthesis is investigated in [18] to reduce the number of control ports, in [19] to optimize valve switching considering the relation between control patterns, and in [20] to match lengths of control channels. The method in [21] proposes to solve the controlport minimization problem in both high-level synthesis and physical design stages. In [22], flow layer and control layer codesign is proposed to achieve valid routing on both layers iteratively, and in [23] interactions on both flow and control layers are modeled by an integer linear programming (ILP) formulation to achieve a better codesign efficiency. All the aforementioned design methods are, however, still based on the traditional dedicated storage architecture. The vast flexibility of flow channels in both fluid transportation and caching has so far been ignored.

In this paper, we propose the first synthesis framework that models distributed channel storage and time multiplexing at intersections of flow channels to execute bioassays efficiently. Our contributions are summarized as follows:

• Instead of using a dedicated storage unit, we cache



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Fig. 2: Sequencing graph and different schemes of scheduling with one mixer. (a) Sequencing graph of PCR. (b) Scheduling with four store operations. Required storage capacity is three. (c) Scheduling with three store operations. Required storage capacity is two. The execution time of the assay with the second schedule is shorter.

intermediate fluid samples in flow channels with time multiplexing at the intersections of channels, so that not only the access bandwidth limit at the ports of the dedicated storage unit is overcome, but also the efficiency of channels and valves is improved.

- This is the first work to consider storage minimization from scheduling to architectural synthesis, so that the execution time of the assay can be reduced effectively.
- The problem of flow-path planning on a certain biochip architecture with distributed channel storage is addressed for the first time. With the proposed method, the manipulation of actual fluid transportation/caching can be realized without any conflict. Meanwhile, extra resources introduced to the biochip can also be minimized.
- Two transportation-conflict elimination techniques, including a scheduling adjustment technique and an architecture adjustment technique, are proposed to fundamentally address the resource contention problem in flowpath planning. Moreover, a deadlock-removal strategy is proposed to effectively coordinate the execution of both fluid transportation and caching.
- Simulation results on multiple benchmarks confirm that the proposed framework leads to shorter execution time of bioassays, lower total cost of biochips, and higher efficiency of resource utilization.

The rest of this paper is organized as follows. In Section II, we explain the motivation of storage synthesis and analyze the design challenges involved in flow-path planning, thereby formulating the problem we address in this paper. In Section III, we describe the techniques to reduce storage usage and to model distributed channel storage in scheduling and architectural synthesis. In Section IV, the proposed method for solving the flow-path planning problem is described in detail. Section V presents the simulation results. Finally, the conclusions are drawn in Section VI.

Fig. 3: Storage mechanisms. (a) Storage with dedicated unit. (b) Channel storage. (c) Port of dedicated storage unit.

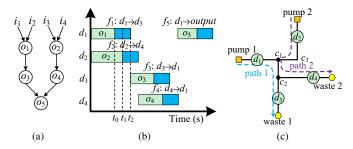
#### II. MOTIVATION AND PROBLEM FORMULATION

# A. Storage Minimization and Proposed Concept of Distributed Channel Storage

The sequencing graph of an assay defines the dependency of operations. These operations are scheduled to devices in a given order for execution. Different schedules, however, yield different storage usage and transportation requirements. In Fig. 2(b) and 2(c), two schedules for the PCR assay are shown, where one mixer is used to execute the operations. The first schedule executes the operations in the order  $o_1 \rightarrow o_2 \rightarrow$  $o_3 \rightarrow o_4 \rightarrow o_6 \rightarrow o_5 \rightarrow o_7$ . After executing  $o_1$ , the intermediate result should be transported to the storage unit, so that the device can be reused to execute  $o_2$ . When  $o_6$  is executed, it takes the result of  $o_4$  from the mixer directly as one input and fetches the result of  $o_3$  from the storage unit. In this schedule, in total four storage operations and four fetch operations are needed. In addition, the results of  $o_1$ ,  $o_2$  and  $o_3$  stay in the storage unit at the same time, so that the capacity requirement of the storage unit is three. In the schedule in Fig. 2(c) with the execution order  $o_1 \rightarrow o_2 \rightarrow o_5 \rightarrow o_3 \rightarrow o_4 \rightarrow o_6 \rightarrow o_7$ , however, there are only three store and three fetch operations, leading to a storage capacity of only two units. In addition, the execution time of the assay in the second schedule is even shorter.

The comparison of the two schedules in Fig. 2(b) and 2(c) demonstrates that the schedule scheme affects the transportation of fluid samples as well as the required capacity of the storage unit, and the execution time of the assay may be unnecessarily prolonged if storage and transportation are not considered properly. This important problem, however, has not been dealt with by previous methods.

When a fluid sample is stored, it is transported to the storage unit through a channel. The diagram of a simple chip with one mixer and one storage unit is shown in Fig. 3(a). When this chip is used to execute the operation  $o_1 \rightarrow o_2 \rightarrow o_5$  in the schedule in Fig. 2(c), the mixer first stores the result of  $o_1$  in the storage unit. After  $o_2$  is finished, the result of  $o_1$  is fetched back to the mixer to execute the operation  $o_5$ . In this case, the channel itself instead of a dedicated storage unit is sufficient to store the intermediate result from  $o_1$ , as shown in Fig. 3(b). This example demonstrates that fluid samples can in fact be cached within temporary storage constructed from channel segments. This distributed storage can also overcome the bandwidth limit problem at the ports of the dedicated storage unit illustrated in Fig. 1(c) and Fig. 3(c),



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Fig. 4: Flow-path planning. (a) Sequencing graph of a bioassay. (b) A scheduling and binding solution with minimized execution time. (c) Flow paths constructed on a given chip architecture.

where multiple fluid samples must be queued when they access the storage unit simultaneously.

## B. Design Challenges in Flow-Path Planning

When executing bioassays on a microfluidic biochip, fluid samples need to be transported to specific devices/channel segments at certain time windows according to the scheduling and binding solution and this, undoubtedly, requires a carefully planned flow-channel network to complete these tasks. For a transportation task between two devices denoted as  $d_i$  and  $d_j$ , to drive the movement of fluid, an external pressure source or a mechanical pump needs to be connected to  $d_i$  to produce thrust. Moreover, to avoid channel blockage after the completion of the corresponding operation, waste liquid that is not needed anymore should be discarded, thus requiring a waste port connected to  $d_j$ . As a consequence, the pump, the two devices, the waste port, as well as the channel segments connecting them form the complete flow path of the transportation task.

We take the sequencing graph shown in Fig. 4(a) as an example to illustrate the flow-path planning on a certain biochip. Fig. 4(b) shows a scheduling and binding solution with minimized execution time of the bioassay described in Fig. 4(a), where four devices  $(d_1-d_4)$  are allocated and five transportation tasks  $(f_1-f_5)$  are scheduled to execute corresponding operations. For example, in task  $f_1$ , the resulting fluid of operation  $o_1$  is required to be transported to device  $d_3$  as an input sample of operation  $o_3$ , leading to a flow path from  $d_1$  to  $d_3$ . Fig. 4(c) shows a given biochip architecture, on which path 1 is a feasible flow path starting with a mechanical pump, passing through devices  $d_1$  and  $d_3$ , and ending at a waste port. Note that a valid flow path should bypass those devices occupied by other on-going operations as well as flow channels used for fluid caching.

1) Transportation conflict: Since several transportation tasks may be performed concurrently in a scheduling scheme, to avoid potential transportation conflicts, flow paths among these tasks need to be considered systematically. Let us revisit the scheduling scheme in Fig. 4(b). Since the time windows for executing transportation tasks  $f_1$  and  $f_2$  overlap with each other, the corresponding flow paths cannot share any on-chip resource, including pump ports, channel segments, etc. For

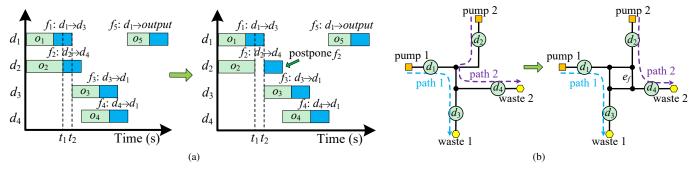


Fig. 5: Strategies for transportation conflict elimination. (a) Scheduling adjustment. (b) Architecture ajustment.

example,  $path\ 1$  and  $path\ 2$  in Fig. 4(c) are flow paths constructed for tasks  $f_1$  and  $f_2$ , respectively. These two paths, however, are essentially in transportation conflict with each other, since switches  $c_1$  and  $c_2$  as well as the channel segment between them are shared by both paths. Worse still, we cannot even find a valid path-planning solution for the two tasks due to the limited on-chip resources in Fig. 4(c).

Accordingly, we propose two conflict elimination techniques, *scheduling adjustment* and *architecture adjustment* as illustrated in Fig. 5, to fundamentally solve the transportation conflict discussed above.

Scheduling adjustment: The goal of scheduling adjustment is to eliminate transportation conflicts in a flow-path planning solution by postponing the execution of some transportation tasks. As illustrated in Fig. 5(a), to eliminate the conflict between the flow paths described in Fig. 4(c), the execution of transportation task  $f_2$  is postponed by the scheduling adjustment operation, so that tasks  $f_1$  and  $f_2$  do not overlap in their execution time. As a result, the shared channel segment between switches  $c_1$  and  $c_2$  in Fig. 4(c) can be used by both paths sequentially, although the completion time of the bioassay is increased slightly.

Architecture adjustment: Another strategy to eliminate the transportation conflicts is to introduce extra resources to the biochip architecture directly, so that the previously shared on-chip resources can be released from conflicts. For example, in Fig. 5(b), a channel segment  $e_f$  with two extra switches is added to the chip architecture, leading to a new flow path for transportation task  $f_2$ . Since the new path does not share any resource with that of task  $f_1$ , the previous conflict is removed from the chip successfully.

2) Caching deadlock: Another issue that needs to be considered during flow-path planning is caching deadlock. Since flow channels in a distributed channel-storage architecture fulfill the dual functions of transportation and caching, channel segments used for fluid caching may block the normal transportation of other fluids, thereby leading a deadlock of the flow-channel network. For example, when mapping the scheduling scheme described in Fig. 6(a) to the biochip architecture shown in Fig. 6(b), the resulting fluids of operations  $o_1$  and  $o_2$  need to be transported from devices  $d_1$  and  $d_2$  to  $d_3$ , respectively. To wait for the completion of operation  $o_3$ , whose resulting fluid is another input of operation  $o_4$ , the two fluids are temporally cached in channel segments  $e_1$  and  $e_2$ ,

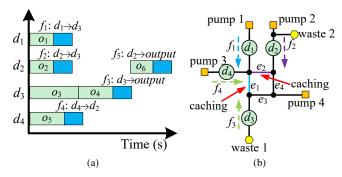


Fig. 6: Illustration of caching deadlock. (a) Scheduling scheme of a bioassay. (b) A flow-path planning solution with caching deadlock.

and thus blocking the flow path of transportation task  $f_4$ . To solve this problem, one feasible solution is to desynchronize the execution of these transportation tasks by employing an idea similar to the proposed scheduling adjustment strategy. For example, if the execution of  $f_4$  is postponed to a point in time that the fluid cached in either  $e_1$  or  $e_2$  has been removed, the deadlock in Fig. 6(b) can be eliminated. Another way to solve this problem is to release these blocked channels by directly moving the corresponding cached fluids to other unused channel segments. For example, if the resulting fluid of  $o_2$  is moved to either channel segment  $e_3$  or  $e_4$  for caching, the deadlock can also be eliminated.

## C. Problem Formulation

Based on the proposed concept of distributed channel storage, the synthesis problem considering architecture design and path planning in flow-based microfluidic biochips can be formulated as follows:

*Inputs*: The sequencing graph of a biochemical assay; the execution duration of all operations; the maximum numbers of devices allowed in the chip.

Outputs: A schedule minimizing intermediate fluid storage; a channel caching schedule including the locations and the time slots of fluid samples stored temporarily in channels; a biochip architecture with the exact flow paths to manipulate the transportation/caching of fluid samples without conflict.

*Objectives*: Minimizing the overall resource usage and the completion time of the bioassay.

TABLE I: Variables and Constraints for Scheduling and Binding

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Variables	S <b>:</b>								
<i>O</i> :	set of nodes (operations) in the sequencing	graph;							
$o_i$ :	operation indexed by $i$ ;								
$t_i^s$ :	starting time of operation $o_i$ ;								
$t_i^e$ :	ending time of operation $o_i$ ;								
$u_i$ :	duration of operation $o_i$ ;								
E:	set of edges in the sequencing graph;								
$(o_i, o_j)$ :	edge from $o_i$ to $o_j$ where $o_i$ is the parent	of $o_j$ ;							
$u_{i,j}$ :	transportation/storage time from $o_i$ to $o_j$ .	•							
Ď:	set of devices in the biochip;								
$d_i$ :	device indexed by $i$ ;								
$s_{i,k}$ :	a 0-1 variable representing whether $o_i$ is as	ssigned to $d_k$ .							
Constrai	nts:								
Uniquene	ss:								
	$\sum_{k=1}^{ D } s_{i,k} = 1,  \forall o_i \in O$	(1)							
Duration:									
	$t_i^s + u_i \le t_i^e,  \forall o_i \in O$	(2)							
Preceden									
	$t_i^e + u_{i,j} \le t_j^s,  \forall (o_i, o_j) \in E$	(3)							
Non-over									
	$s_{i,k} + s_{j,k} \le 1  \text{if } t_i^s < t_j^e \bigwedge t_i^e > t_j^s,$ $D$	$\forall o_i, o_j \in O, \ d_k \in $ $\tag{4}$							

# III. SYNTHESIS OF BIOCHIPS CONSIDERING STORAGE AND CACHING

Storage and caching should be considered from scheduling to architectural synthesis to reduce storage requirements and to construct channels for distributed storage. In addition, chip area should be reduced as much as possible by the result of architectural synthesis. Correspondingly, the proposed method includes three major parts: 1) intermediate fluid storage is minimized in scheduling and binding, 2) all the allocated devices are assigned to exact locations on a biochip, and 3) channel segments that cache intermediate fluid samples are constructed among devices, leading to a distributed channel-storage system which fulfills the tasks of transportation and storage at the same time.

## A. Minimizing Storage in Scheduling and Binding

Scheduling and binding assign operations in a given sequencing graph to time slots of specific devices. To optimize storage requirements, we formulate the scheduling and binding task as an ILP problem.

The variables and constraints for scheduling and binding are listed in Table I. The uniqueness constraint (1) specifies that operation  $o_i$  should be assigned to one device only. The duration constraint ensures  $o_i$  has enough time to finish. The precedence constraint (3) guarantees that a child operation must be executed later than its parents. Finally, the non-overlapping constraint (4) prevents two operations whose operation periods overlap from being executed by the same device. These constraints are common for high-level synthesis and widely used in synthesis methods for biochips [24].

To minimize the execution time of the assay, another variable  $t^E$  is used to represent the latest ending time of all operations, constrained as

$$t_i^e \le t^E, \quad \forall o_i \in O.$$
 (5)

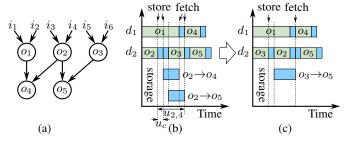


Fig. 7: Storage reduction. (a) Sequencing graph. (b) Schedule with two storage requirements. (c) Schedule with one storage requirement. The execution times of the assay with these two schedules are equal.

By minimizing  $t^E$ , the operations in the sequencing graph are assigned to proper time slots to produce a compact schedule.

To reduce storage requirements, we introduce an additional storage minimization objective. Assume that the pure transportation time from a device to another device is a constant  $u_c$ . If the schedule produces a transportation time larger than  $u_c$ , the fluid sample must be cached somewhere before it is used. Fig. 7 illustrates the schedules of executing an assay with five operations by two devices. The sequencing graph of this assay is shown in Fig. 7(a). In Fig. 7(b), operation  $o_2$  is scheduled before  $o_3$ . Consequently, the result of  $o_2$ must be stored until it is used by  $o_4$  and  $o_5$ , leading to two storage requirements. In Fig. 7(c),  $o_3$  is scheduled before  $o_2$ , leading to only one storage requirement lasting a shorter time. In this example, we can observe that the lifetime of stored fluid samples is determined by the difference between the ending time of the parent operation and the starting time of the child operation  $u_{i,j}$  defined in Table I. Consequently, the total storage requirement can be reduced by solving the following ILP problem:

minimize 
$$\alpha t^E + \beta \sum_{(o_i, o_j) \in E \bigwedge d_i \neq d_j} u_{i,j}$$
 (6)

subject to 
$$(1)$$
– $(5)$   $(7)$ 

where  $\alpha$  and  $\beta$  are constants to control the priority of execution time and storage requirement minimization.  $d_i \neq d_j$  excludes the operation pairs assigned to the same device so that they do not need transportation.

# B. Architectural Synthesis with Distributed Channel Storage

After solving the optimization problem (6)–(7), we have a schedule similar to Fig. 7(c), including the information: 1) to which devices operations are assigned; 2) the starting time and the ending time of each operation; 3) the starting time and the ending time of each fluid storage requirement.

The schedule, however, only defines the transportation requirements between devices after operations are executed. In the chip, physical channels need be constructed to conduct these transportation tasks. When a large assay is executed by several devices, transportation channels need to be built between nearly any pair of devices to move fluid samples

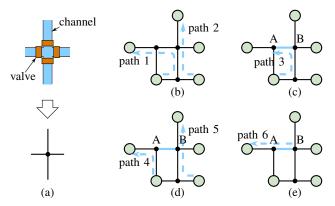


Fig. 8: Switch and channel storage. Large nodes represent devices and small nodes represent switches. (a) Switch structure. (b) Two paths sharing one channel segment with time multiplexing. (c) Fluid sample to channel storage. (d) Storage in channel segment. (d) Fluid sample from channel storage to device.

efficiently. Since the flow-layer in a biochip is only twodimensional, eventually intersections between channels cannot be avoided. At an intersection, a switch should be built to direct the transportation flow to the target device. A switch is constructed by four valves at an intersection, as shown in Fig. 8(a). At a given moment, two out of the four valves in a switch are opened to connect two channel segments. Consequently, a transportation channel between two devices becomes a path formed by several channel segments connected by switches. Such a path is called a *transportation path* henceforth.

Besides channels, the locations of devices should also be determined. These locations should be assigned together with the construction of transportation channels, because the distance and relative locations of devices affect how channels are constructed and how they intersect with each other.

Considering devices and channels together, the architecture of a biochip can be described as devices surrounded by channel segments in the form of a grid. For example, the architecture of a biochip with five devices is shown in Fig. 8(b), where the smaller nodes represent switches and the larger nodes represent devices. Transportation paths between devices are formed from channel segments connected by switches, e.g., path 1 and path 2 in Fig. 8(b). Since transportation paths are used only when there is a fluid sample traveling along it, channel segments can be reused by different paths so that the efficiency of channel segments increases.

With transportation paths formed from channel segments, the proposed distributed channel storage concept can thus be formulated. As illustrated in Fig. 3(c), a dedicated storage unit suffers bandwidth problem at its ports because multiple fluid samples must be queued when they access the storage unit at the same time. Observing that the storage cells inside a dedicated storage unit are in fact channel segments, as shown in Fig. 1(c), we distribute fluid storage directly in channel segments. For example, in Fig. 8(c), along path 3 a fluid sample is moved to the channel segment between A

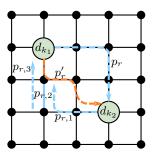


Fig. 9: Connection grid.

and B. However, the next operation using this fluid sample is scheduled later, so that the fluid sample must stay in the channel segment. During the lifetime of this storage, the channel segment between A and B cannot be used by other paths and the valves at the two ends of this channel segment should be closed. Further transportation tasks between devices are, however, still fulfilled by paths not including this channel segment, as path 4 and 5 in Fig. 8(d). When the stored fluid sample is finally needed, it is moved to the target device again by a newly constructed transportation path, shown as path 6 in Fig. 8(e).

Unlike the dedicated storage unit shown in Fig. 1(c), the distributed storage in a channel segment has a higher access efficiency. When a fluid sample stays in a channel segment, that segment is turned into a *storage segment*. When the fluid sample moves again, the segment becomes a part of the transportation path. This concept of channel role switching unifies transportation and storage, and the low-efficiency channels forming storage cells in a dedicated storage unit are excluded completely. In addition, this on-the-spot caching is closer to the target device than a dedicated storage unit, so that the execution efficiency of the assay can also be improved.

To synthesize the architecture of a biochip from its schedule requires to determine the relative locations of devices as well as channel segments and the switches connecting them as shown by the examples in Fig. 8(b)–(e). The devices, switches and their connections together form a *connection graph*. A valid connection graph should be capable of constructing all transportation paths specified in the schedule and caching intermediate fluid samples in channel segments. To reduce resource usage, the synthesized connection graph should contain as few edges as possible.

The connection graph is generated using a general  $connection\ grid$ , as shown in Fig. 9. At each node  $n_i$  on the grid, either a device or a switch can be assigned. An edge  $e_j$  represents a channel segment capable of caching a fluid sample. We use a 0-1 variable  $a_{i,k}$  to represent whether device  $d_k$  is assigned to node  $n_i$ . Since a node can be occupied by no more than one device and a device must be assigned once,  $a_{i,k}$  can be constrained as

$$\sum_{d_k \in D} a_{i,k} \le 1, \forall n_i \in N, \quad \sum_{n_i \in N} a_{i,k} = 1, \forall d_k \in D$$
 (8)

where N is the set of nodes in the connection grid and D is the set of devices.

Assume there is a transportation path  $p_r$  between device  $d_{k_1}$  and device  $d_{k_2}$  in the period between  $t_r^s$  and  $t_r^e$ , where r is the index of the path.  $t_r^s$  and  $t_r^e$  are constants determined in the scheduling and binding step in Section III-A. We use a 0-1 variable  $\epsilon_{j,r}$  to represent whether the edge  $e_j$  is on the path  $p_r$ . To construct a path between  $d_{k_1}$  and  $d_{k_2}$ , we need to guarantee that the path starts from the node for  $d_{k_1}$  and ends at the node for  $d_{k_2}$ . Consequently, at one of these two nodes, only one of the four edges incident to the node can be covered in the path. At each other node on the path, exactly two edges are covered by the path, as illustrated with path  $p_r$  in Fig. 9. Accordingly, we can construct the path with the following constraints

$$\sum_{e_{j} \in E_{i}} \epsilon_{j,r} \ge 2 - a_{i,k_{1}} - a_{i,k_{2}} - (1 - y_{i,r})M, \quad \sum_{e_{j} \in E_{i}} \epsilon_{j,r} \le y_{i,r}M$$

where  $E_i$  is the set of edges incident to node  $n_i$ ;  $y_{i,r}$  is an auxiliary 0-1 variable to indicate whether there is an edge on  $p_r$  that is incident to  $n_i$ ; M is a very large constant to transform the two situations indicated by  $y_{i,r}$  into linear constraints [25].

The path construction constraints become more complex when a storage is involved, which leads to three sub-paths: 1) from  $d_{k_1}$  to a storage segment; 2) the storage segment caching the fluid sample; 3) from the storage segment to the target device  $d_{k_2}$ . We denote the three transportation paths as  $p_{r,1}$ ,  $p_{r,2}$  and  $p_{r,3}$ , as illustrated in Fig. 9. Since the end node of  $p_{r,1}$  and the starting node of  $p_{r,3}$  can be any node on the connection grid as long as they are the two end nodes of the same edge, we use 0-1 variables  $a_{i_1,r_1}$  to represent that node  $n_{i_1}$  is the last node on the path  $p_{r,1}$  and the variables  $a_{i_2,r_2}$  to represent that node  $n_{i_2}$  is the first node on the path  $p_{r,2}$ . Afterwards, we create constraints similar to (9) for each sub path. In addition, we include the constraint that  $n_{i_1}$  and  $n_{i_2}$  are the two end nodes of the same edge.

In the schedule, there are many transportation paths at a given moment. These paths should not intersect at a node or share the same edge to avoid conflict. Therefore, we examine the paths on the connection grid at the starting time of each transportation path, because this is the moment a new transportation is initiated. At each such moment t, we constrain that all the paths existing on the connection grid should not share any edge or intersect at a node, as,

$$\sum_{e_j \in E} \epsilon_{j,r} \le 1, \forall p_r \in P_t, \quad \sum_{n_i \in N} \eta_{i,r} \le 1, \forall p_r \in P_t \quad (10)$$

where  $P_t$  is the set of paths existing at time t;  $\eta_{i,r}$  represents whether node  $n_i$  is on path  $p_r$ ; E and N are the sets of edges and nodes in the connection grid, respectively. Exception of constraint (10) is that the two ending nodes of the storage segment  $p_{r,2}$  can be passed by other paths when the fluid sample is stored, as path  $p_r'$  in Fig. 9, so that their variables  $\eta_{i,r}$  are not included in (10) when  $p_{r,2}$  exists.

When generating the architecture of the chip, we minimize the number of edges that are really used by the transportation paths to reduce resource usage. If an edge is used once by any transportation path, it should appear in the chip. We use a 0-1

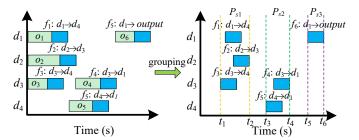


Fig. 10: Illustration of transportation-task grouping during flow-path planning.

variable  $s_j$  to represent whether a channel segment should be kept in the chip, and constrain it as

$$s_j \ge \epsilon_{i,r}, \ \forall p_r \in P$$
 (11)

where P is the set including all transportation paths.

Finally, the architecture of the biochip can be determined by solving the following optimization problem

subject to 
$$(8)$$
– $(11)$   $(13)$ 

After determining  $s_j$ , the edges and nodes that are not used in the connection grid are removed to generate the chip architecture as a planar connection graph similar to Fig. 8(b)–(e).

#### IV. FLOW-PATH PLANNING

After completing the architectural synthesis above, a biochip architecture with exact device locations as well as optimized channel connections is generated. In this subsection, the proposed flow-path planning for moving fluids will be discussed in detail. Our goal is to implement all the fluid transportation/caching tasks without any conflict, so that the bioassay can be completed within a short time. Moreover, extra resources such as ports and channel segments introduced to the biochip can also be minimized during the flow-path planning.

#### A. Flow-Path Planning on A Given Biochip Architecture

As discussed previously, transportation tasks may be performed concurrently in a scheduling scheme. To avoid conflict among them, the flow paths used for these tasks should avoid to share any on-chip resource, and this therefore requires that all the parallel tasks should be identified from the scheduling before implementing the real flow-path planning.

Accordingly, in the proposed method, we adopt an efficient sweepline algorithm [26] to divide all the transportation tasks in a scheduling into multiple groups, while ensuring that tasks in the same group will be performed in parallel. During the sweeping, a vertical line is used to scan the entire scheduling diagram from left to right along the time axis. Moreover, we maintain an active task group  $P_s$  to record all the parallel transportation tasks at the currently scanned time interval. Each time when the sweeping line encounters a transportation task  $f_r$ , if  $P_s = \emptyset$  or  $f_r$  overlaps with at least one task in  $P_s$ 

8

in their execution time,  $f_r$  will be added to  $P_s$ . Otherwise,  $P_s$  will be stored temporarily and the scanning will be continued using a new active task group with  $f_r$  as its first element. As the result of the whole scanning process, several task groups can be generated and each of them consists of a set of parallel transportation tasks. For example, Fig. 10 shows the scheduling scheme of a bioassay, where a total of six transportation tasks  $(f_1-f_6)$  are scheduled to complete the execution of specified operations. After implementing the sweepline algorithm discussed above, the transportation tasks in Fig. 10 are divided into the following three groups:

- $P_{s1}$ : {task| $f_1, f_2, f_3$ }, (time interval,  $t_1 \rightarrow t_2$ )
- $P_{s2}$ : {task| $f_4, f_5$ }, (time interval,  $t_3 \rightarrow t_4$ )
- $P_{s3}$ : {task| $f_6$ }, (time interval,  $t_5 \rightarrow t_6$ )

Since transportation conflicts can only occur among parallel tasks, this enables us to deal with the flow-path planning problem according to the task grouping result above. In other words, a flow-path planning solution without transportation conflicts can be obtained by sequentially constructing flow paths for the tasks in each group. Accordingly, based on the connection grid modelled in Fig. 9 as well as the chip architecture generated in Section III-B, we formulate the flow-path planning problem into an ILP model to efficiently find an optimized solution.

Given a task group  $P_s$  obtained by the sweepline algorithm, our goal is to find an optimized flow path for each transportation task in  $P_s$ , while ensuring that these paths do not share any on-chip resource. More specifically, for a task  $f_r \in P_s$  with  $d_i$  and  $d_j$  as its source and target devices, assume that  $d_i$  and  $d_j$  are assigned to nodes  $n_i$  and  $n_j$  in the connection grid. Since the flow path of  $f_r$  is required to start with a pump and ends with a waste port while it passes through both  $d_i$  and  $d_j$ , we use a 0-1 variable  $u_{n_k}^r$  to represent whether a node  $n_k$  is on the flow path of  $f_r$ . Thus we derive the following constraints

$$\sum_{n_k \in N_p} u_{n_k}^r = 1, \ \sum_{n_k \in N_w} u_{n_k}^r = 1$$
 (14)

$$u_{n_i}^r = 1, \ u_{n_i}^r = 1 \tag{15}$$

where  $N_p$  and  $N_w$  are nodes occupied by pump ports and waste ports, respectively. Note that the positions of these ports are scattered to some empty nodes in the connection grid.

On the other hand, if task  $f_r$  transports the resulting fluid of device  $d_i$  to a channel segment bound to edge  $e_j$  for temporary caching, constraint (15) can be reformulated as

$$u_{n_i}^r = 1, \ u_{e_i}^r = 1 \tag{16}$$

where  $u_{e_j}^r$  is a 0-1 variable representing whether an edge  $e_j$  is on the flow path of  $f_r$ .

In addition, channel segments on the chip, in other words edges on the grid, used for connecting the devices and ports on the flow path of  $f_r$  need to be determined. Note that at the pump port or the waste port, only one of the four adjacent edges can be covered in the path. At each other device on the path, exactly two adjacent edges are covered by the

path. Accordingly, the flow path  $f_r$  can be computed with the following constraints

$$\sum_{e_j \in E_{n_p}} u_{e_j}^r - (1 - u_{n_p}^r) M \le 1, \ \forall n_p \in N_p$$
 (17)

$$\sum_{e_j \in E_{n_p}} u_{e_j}^r + (1 - u_{n_p}^r) M \ge 1, \ \forall n_p \in N_p$$
 (18)

$$\sum_{e_j \in E_{n_w}} u_{e_j}^r - (1 - u_{n_w}^r) M \le 1, \ \forall n_w \in N_w$$
 (19)

$$\sum_{e_j \in E_{n_w}} u_{e_j}^r + (1 - u_{n_w}^r) M \ge 1, \ \forall n_w \in N_w$$
 (20)

$$\sum_{e_j \in E_{n_k}} u_{e_j}^r - (1 - u_{n_k}^r) M \le 2, \ \forall n_k \in N_d \setminus \{N_p, N_w\}$$
 (21)

$$\sum_{e_j \in E_{n_k}} u_{e_j}^r + (1 - u_{n_k}^r) M \ge 2, \ \forall n_k \in N_d \setminus \{N_p, N_w\}$$
 (22)

where  $E_{n_p}$ ,  $E_{n_w}$ , and  $E_{n_k}$  are the sets of edges adjacent to the nodes  $n_p$ ,  $n_w$ , and  $n_k$ , respectively.  $N_d$  represents all the nodes occupied by devices in the connection grid. M is a very large constant to transform the formulas above into linear constraints.

Another issue that needs to be considered is that the flow path of  $f_r$  should bypass the devices used for other on-going operations as well as the channel segments used for fluid caching. These constraints can be formulated as

$$u_{n_k}^r = 0, \ \forall n_k \in N_b \tag{23}$$

$$u_{e_i}^r = 0, \ \forall e_i \in E_b \tag{24}$$

where  $N_b$  is the set of nodes occupied by other active devices and  $E_b$  is the set of edges occupied by channels for caching.

Finally, to avoid transportation conflict between flow paths, for any transportation task  $f_s \in P_s$ , the flow paths of  $f_s$  should not share any node on the chip with that of  $f_r$ , leading to the following constraint

$$u_{n_k}^r + u_{n_k}^s \le 1, \ \forall n_k \in N$$
 (25)

where N is the set of nodes in the connection grid.

In practice, the transportation time of fluids as well as the pressure used for driving the movement of fluids are strongly affected by to the length of flow paths [27]. Hence, this length should be minimized and the exact flow paths for all the transportation tasks can be computed by solving the following optimization problem

minimize 
$$\sum_{f_r \in F_t} \sum_{e_j \in E_c} u_{e_j}^r \tag{26}$$

subject to 
$$(14)$$
– $(25)$  (27)

where  $F_t$  is the set of transportation tasks in the scheduling scheme and  $E_c$  is the set of edges occupied by channel segments in the connection graph.

#### B. Deadlock Removal

As discussed in Section II-B, deadlock may occur in a distributed channel-storage system due to the dual functions of flow channels, and this may make the optimization problem

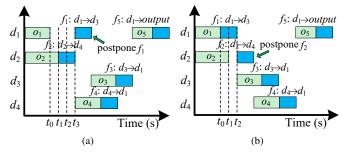


Fig. 11: Comparison on different scheduling-adjustment schemes. (a) Postpone the execution of task  $f_1$ . (b) Postpone the execution of task  $f_2$ .

defined in (26)–(27) unsolvable. To address the deadlock problem without introducing extra resources to the biochip architecture, fluids cached in those blocked paths need to be moved to other unused channel segments. To this end, we use a 0-1 variable  $m_j$  to indicate whether the fluid cached in edge  $e_j$  needs to be moved away such that the current deadlock can be eliminated. Accordingly, constraint (24) can be reformulated as

$$u_{e_i}^r - m_i \le 0, \ \forall e_j \in E_b. \tag{28}$$

Moreover, since moving fluids from a channel segment to another can lead to extra cost such as delay of bioassay, the number of movement operations needs to be minimized. Accordingly, the optimization problem defined in (26)–(27) can be reformulated as

minimize 
$$\sum_{f_r \in F_t} \sum_{e_j \in E_c} u_{e_j}^r + \sum_{e_j \in E_b} P_f * m_j \qquad (29)$$

where the penalty factor  $P_f$  is a large constant to punish the movement of cached fluids.

After solving the ILP formulation above, fluids that need to be moved away are known. In other words, if a 0-1 variable  $m_j$  is set to 1 in the ILP solution, the corresponding fluid cached in channel segment  $e_j$  should be removed so that the deadlock can be eliminated. Moreover, since the flow path for each transportation task has already been determined after solving the ILP formulation, new location for caching the removed fluid can be determined by selecting an unused channel segment without introducing new deadlock. Note that the flow path for transporting the removed fluid can also be constructed using the ILP constraints defined in (14)–(25).

# C. Conflict Elimination - Scheduling Adjustment

On the other hand, transportation conflict is another factor that may render the path-planning formulation in (26)–(27) unsolvable. Accordingly, in Section II-B, two conflict elimination strategies, i.e., scheduling adjustment and architecture adjustment, are proposed to solve this problem.

As illustrated in Fig. 5, the core idea of scheduling adjustment is to postpone the execution of some transportation tasks, so that those conflicting tasks do not overlap in their execution time. Since postponing a transportation task might trigger a chain reaction such that the execution of the complete bioassay is prolonged, choosing an appropriate postponing scheme with minimized overall time extension is crucial to the whole flowpath planning. For example, Fig. 11 shows two postponing schemes for solving the transportation conflict in Fig. 4. In Fig. 11(a), the starting time of task  $f_1$  is postponed from  $t_0$  to  $t_3$ . Since the result of operation  $o_1$  is directly related to the execution of both  $o_3$  and  $o_5$ , this leads to a  $t_3-t_0$  time extension for the complete bioassay. However, in Fig. 11(b), the starting time of  $f_2$  is postponed from  $t_1$  to  $t_2$  and the time extension introduced to the bioassay is only  $t_2-t_1$ . Although both schemes can eliminate the current transportation conflict, the goal of scheduling adjustment is to find a solution with minimized extra time extension such as in Fig. 11(b).

To realize the scheduling adjustment, we formulate the postponing schemes of transportation tasks as well as the corresponding scheduling results into an ILP model. Assume that C is the set of all feasible postponing schemes, we use a 0-1 variable  $c_j$  to represent whether the j-th scheme is select to eliminate a transportation conflict. Accordingly, constraint (25) can be reformulated as

$$u_{n_k}^r + u_{n_k}^s + c_j \le 2, \ \forall n_k \in N, \ \forall c_j \in C$$
 (31)

$$\sum_{c_j \in C} c_j = 1 \tag{32}$$

where  $p_r$  and  $p_s$  are two transforation tasks that overlap in their execution time in scheme  $c_j$ .

Moreover, to minimize the execution time of the complete bioassay, we adopt the well-known list scheduling algorithm [28] to compute the extra extension of execution time introduced by a postponing scheme, and incorporate the corresponding result into our objective function. Accordingly, the optimization problem defined in (29)–(30) can be further reformulated as

minimize 
$$\sum_{f_r \in F_t} \sum_{e_j \in E_c} u_{e_j}^r + \sum_{e_j \in E_b} P_f * m_j + \sum_{c_j \in C} d_j * c_j$$
(33)

where  $d_j$  is the extra extension of execution time introduced by the postponing scheme  $c_j$ .

#### D. Conflict Elimination – Architecture Adjustment

When biochips are used for large-scale biochemical analysis, a large number of operations need to be executed with limited resources in parallel. Particularly in a distributed channel-storage architecture, a large amount of intermediate fluids needs to be cached in flow channels temporarily and this further aggravates the conflicts among transportation tasks, making the ILP model formulated in (33)–(34) very difficult to solve. Moreover, the completion of bioassays may also be prolonged severely. Accordingly, in this subsection, we implement the architecture adjustment method proposed in Section II-B by introducing more resources, such as channel segments, pumps, and waste ports, to the chip architecture, so that the large-scale transportation conflicts discussed above can be solved effectively.

To generate a new biochip architecture with minimized extra resources, we apply the heuristic optimization method Genetic Algorithm, which is first introduced by John Holland in 1975 [29]. It is developed based on the concept of Charles Darwin's theory of natural evolution, and has been found to be robust in solving complex engineering and scientific optimization problems.

In the proposed method, a population of candidate solutions, also referred to as chromosomes, is randomly initialized in the whole solution space. The encoding of each chromosome is represented in binary as strings of 0s and 1s. All the chromosomes are then evolved by iteratively updating their encodings using the genetic operators such as mutation and crossover, with the population in each iteration called a generation. In each generation, a fitness value of each chromosome is computed using an evaluation function to assess its solution quality. Finally, the algorithm terminates when a satisfactory fitness level is reached for the population.

Since each chromosome represents an augmented biochip architecture with new introduced resources, its corresponding encoding should include the following information: 1) the locations of newly introduced resources like pumps and waste ports and 2) the locations of newly introduced channel segments. Accordingly, we employ a two-dimensional 0-1 vector  $\vec{X} = [\vec{x}^d, \vec{x}^c]$  to encode a chromosome, where vector  $\vec{x}^d = [x_0^d, x_1^d, ..., x_m^d]$  is used to denote the locations of new resources in the connection grid, which can be defined as

$$x_i^d = \begin{cases} 1 & \text{if node } n_i \text{ is occupied by a new resource} \\ 0 & \text{otherwise.} \end{cases}$$
 (35)

Vector  $\vec{x}^c = [x_0^c, x_1^c, ..., x_n^c]$  is used to indicate the locations of new channel segments in the connection grid, which can be defined as

$$x_j^c = \begin{cases} 1 & \text{if edge } e_j \text{ is assigned to a new channel segment} \\ 0 & \text{otherwise.} \end{cases}$$
 (36)

In addition, the fitness of a chromosome is evaluated by three criteria during the evolution of population: 1) if the biochip architecture represented by a chromosome is invalid, in other words, if we still cannot find a feasible flow-path planning solution on the new chip, the fitness of this chromosome is set to  $-\infty$ , meaning that the newly introduced resources still cannot eliminate all the transportation conflicts, 2) if flow paths for all the transportation tasks can be constructed on the new chip architecture without any conflict, the algorithm tends to select the chromosome that leads to shorter execution time of the bioassay, and 3) our method tends to select the chromosome with minimized extra resources. Accordingly, the evaluation function used in the proposed algorithm can be formulated as

$$F(X_i) = \begin{cases} -\infty & \text{if } X_i \text{ is invalid} \\ C_1 - C_2 \times N_d - C_3 \times T_e & \text{otherwise} \end{cases}$$

where  $C_1$ ,  $C_2$ , and  $C_3$  are three constants,  $N_d$  represents the number of extra resources introduced to the chip, and  $T_e$  represents the execution time of the bioassay.

The detailed steps of the proposed architecture adjustment method can be summarized as follows:

- Based on the previously generated biochip architecture in the connection grid, chromosomes are initialized by randomly introducing new resources as well as channel segments to the chip. These chromosomes form the first generation of the population.
- 2) For each chromosome  $X_i$  in the population, the proposed flow-path planning method is employed to evaluate the biochip architecture represented by  $X_i$  and generate a fitness value  $F(X_i)$  according to (37).
- 3) All the chromosomes are sorted in non-increasing order according to their fitness values, and the first half of the population is then selected as the parent of next generation.
- 4) Genetic operators including mutation and crossover are applied to the selected chromosomes to generate new chip architectures. Mutation operation generates a new chromosome by reversing the values of some randomly selected encoding positions on a parent chromosome. Crossover operation exchanges information of some encoding positions between two parent chromosomes. Both the parent and child chromosomes form the next generation of population.
- 5) Step (2) to (4) are executed repeatedly until the maximum allowed number of iterations is reached. The biochip architecture represented by the chromosome with the best fitness value is then selected as the final solution.

#### V. SIMULATION RESULTS

The proposed method was implemented in C++ and tested using a 3.20 GHz CPU with 8 GB memory. We demonstrate the results using three real-life assays and three randomly generated assays. The information of these test cases is shown in Table II, where CPA (Colorimetric Protein Assay), IVD (In-Vitro Diagnostics) and PCR (Polymerase Chain Reaction) are real-world assays and the other three assays are randomly generated. The column |O| in Table II shows the number of operations in each assay. In the experiments, we used Gurobi [30] to solve the optimization problems.

The result of scheduling with storage minimization is shown in the columns  $c_t$ ,  $t^E$  and  $t_s$  in Table II, where  $c_t$  is the fluid-storage times in flow channels,  $t^E$  is the execution time of the assay defined in (5), and  $t_s$  is the runtime for solving the optimization problem (6)–(7), which was limited to 30 minutes for the solver to return the best-effort results. In architectural synthesis, we use a connection grid to determine device locations and channel segments connecting them. The size of the grid is shown in column G in Table II. After architectural synthesis, the numbers of edges (channel segments) and valves in the chip architecture are shown in the columns  $n_e$  and  $n_v$ , respectively. Note the valves counted in the experiments do not include those built in mixers. The runtimes to generate chip architectures are shown in the column  $t_r$ .

After completing the architectural synthesis, a certain biochip architecture with minimized total cost is generated. Then transportation tasks specified in the scheduling are

Benchmark	O	Scheduling		Architectural Synthesis			Flow-Path Planning									
		$c_t$	$t^E$	$t_s$ (s)	G	$n_e$	$n_v$	$t_r$ (s)	$n_c$	$n_t$	$n_g$	$n_{cg}$	$n_{tv}$	$d_k$	$t^{E'}$	$t_p$ (s)
RA100	100	50	1820	1800	$5 \times 5$	32	58	1867	20	50	65	56	112	4	2280	10650
RA70	70	32	1180	1800	$4 \times 4$	20	38	1819	20	50	32	25	81	1	1330	2341
CPA	55	33	1070	1800	$4 \times 4$	20	40	1817	20	50	28	24	73	2	1280	2291
RA30	30	3	670	300	$4 \times 4$	8	16	1800	20	50	4	3	35	0	710	831
IVD	12	0	280	< 1	$4 \times 4$	5	10	25	20	50	3	3	20	0	310	572
PCR	7	2	290	< 1	$4 \times 4$	5	8	20	20	50	1	1	16	0	300	121

TABLE II: Results of Scheduling, Architectural Synthesis, and Flow-Path Planning

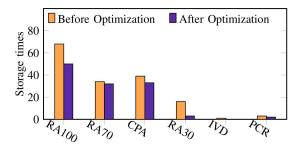


Fig. 12: Comparison of storage times with and without storage optimization.

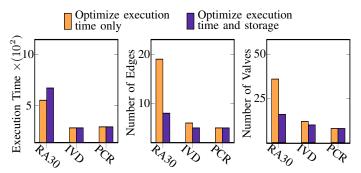


Fig. 13: Comparison of the results with and without storage optimization.

mapped to the chip, so that certain flow paths can be constructed to realize the transportation network without any conflict. Note that extra channel segments and resources may be introduced to the chip by the proposed genetic algorithm if the existing on-chip resources are not sufficient to eliminate all the transportation conflicts. The corresponding results of flowpath planning are also shown in Table II, where the columns  $n_c$  and  $n_t$  are the numbers of chromosomes and iterations used in the genetic algorithm, respectively. The total numbers of transportation-task groups generated after performing the sweepline algorithm are shown in the column  $n_q$ . Inside each group, when mapping the transportation tasks to the biochip, their corresponding flow paths may conflict with each other, leading to a conflicting group. Accordingly, the numbers of conflicting transportation-task groups are shown in the column  $n_{cq}$ . Note that all the aforementioned transportation conflicts are eliminated successfully after implementing the proposed adjustment strategies. Since introducing extra resources can further lead to more valves, the total number of valves in the

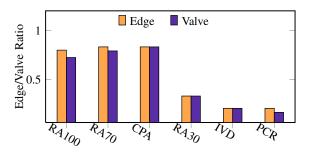


Fig. 14: Edge and valve ratios in the result of architectural synthesis compared with the original edges and valves in the connection grid.

chip after performing the architectural adjustment is shown in the column  $n_{tv}$ . Moreover, the numbers of deadlocks occurred during the flow-path planning are shown in the column  $d_k$ , and all these deadlocks can be removed by solving the ILP model defined in Section IV-B. The final execution time of the assay is shown in the column  $t^{E'}$ , which is a little bit longer than the one shown in the column  $t^E$  due to the effects of the proposed scheduling adjustment method. Finally, the runtimes of the flow-path planning are shown in the column  $t_p$ , which are acceptable for a high-quality transportation network without any conflict.

We also evaluated the effectiveness of the proposed storage optimization in scheduling and architectural synthesis. Fig. 12 shows the comparison results on storage times. It can be seen that the storage requirements in each assay are reduced significantly after applying the proposed optimization method. Moreover, Fig. 13 shows the comparison of execution time of assays, the number of edges and the number of valves in the two cases with and without storage optimization. In this comparison, it can be observed that storage optimization generated comparable execution time in the cases IVD and PCR, but the execution time of RA30 is slightly longer, which is acceptable for most biochemical experiments. However, the numbers of edges and valves in the result of RA30 are much smaller, because storage optimization improves the efficiency of channels and thus valves effectively so that fewer resources are required to execute the assays.

In architectural synthesis, we start with a connection grid. After synthesis, only the edges that are used at least once are kept in the result. The ratios of the number of used edges to the total number of all the edges in the grid is shown in Fig. 14,

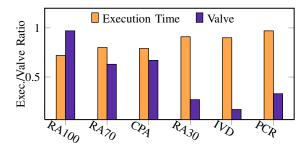


Fig. 15: Comparison of execution time and the number of valves in the results with channel caching and dedicated storage unit.

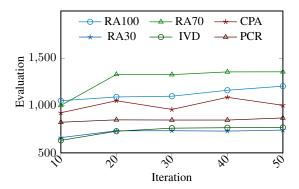
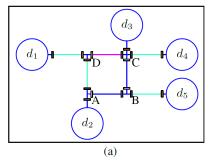


Fig. 16: Average convergence curves of the proposed genetic algorithm.

where all these ratios are smaller than 1, and a half of them are even close to 0, showing that the architectural synthesis approach confines resource usage effectively on only a part of edges to reduce resource usage. After removing the unused edges, the number of valves is also reduced, as shown by the valve ratios in Fig. 14.

In previous methods, the storage and caching problem has not been considered. When there is a storage requirement, it is usually assumed that the intermediate fluid sample is transported to a dedicated storage unit. To compare the efficiency of the synthesized chip architecture with that from the assumed storage transportation, we examine the storage requirement in the schedules generated by the proposed method. When storage requirements appear, they are assumed to queue at the entrance of a dedicated storage unit. The maximum size of the storage cells is the maximum number of fluid samples stored simultaneously in the storage unit. Due to the bandwidth limit of the storage unit, the execution of the assay is thus prolonged. In addition, the dedicated storage unit used many valves to control the access of storage cells. Channel segments and valves in the result from the proposed method and the result with a dedicated storage unit is shown in Fig. 15. From this comparison, it can be seen that the execution time and the number of valves are well below 1, leading to a more efficient execution of the assay with fewer resources.

Moreover, in the flow-path planning stage, since the proposed genetic algorithm plays an important role in determining the new biochip architecture, to evaluate its efficiency, Fig. 16 illustrates the corresponding convergence curves with respect



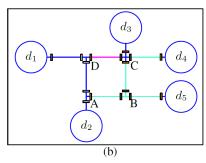


Fig. 17: Snapshots of the synthesized chip executing RA30 at (a) 35 s and (b) 45 s. The channel segments in blue are transporting and in purple are caching fluid samples.

to different bioassays. It can be seen that the average fitness level of the population is improved as iteration time increases, and the proposed algorithm reaches a convergence quickly in most of the test cases. Note that since the genetic algorithm works in a random manner, stochastic fluctuations may occur during iterations, leading to an unstable region in the convergence curve of the assay "CPA", but our algorithm can still find a feasible flow-path planning solution for this assay.

Finally, we show the execution snapshots of the assay RA30 in Fig. 17 and Fig. 18, respectively. Fig. 17 demonstrates the fluid transportation without considering the actual flow paths construction. In Fig. 17(a), a transportation path is formed as  $d_2 \rightarrow A \rightarrow B \rightarrow C \rightarrow D$  to store a fluid sample into the channel segment between C and D. In Fig. 17(b), a transportation path is constructed as  $d_1 \rightarrow D \rightarrow A \rightarrow d_2$  while the channel segment between C and D is caching a fluid sample. Fig. 18 demonstrates the fluid transportation on the chip architecture after completing the flow-path palnning. In Fig. 18(a), a flow path is formed as  $pump \rightarrow A \rightarrow d_2 \rightarrow B \rightarrow C \rightarrow D \rightarrow waste$  to store a fluid sample into the channel segment between C and D. In Fig. 18(b), a flow path is formed as  $pump \rightarrow d_1 \rightarrow D \rightarrow A \rightarrow d_2 \rightarrow waste$ , while an intermediate liquid is stored between C and D.

# VI. CONCLUSION

We have proposed the first method to generate a biochip architecture considering storage requirements. By caching fluid samples on-the-spot, fluid transportation and storage can be performed in a unified manner, leading to an efficient distributed channel-storage architecture without traditional dedicated storage unit. With this new architecture, we proposed a systematic method that considers the scheduling of biochemical

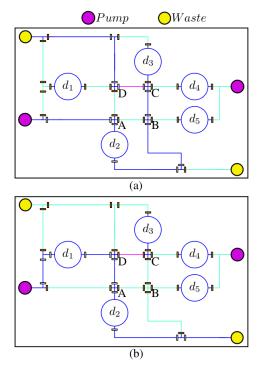


Fig. 18: Snapshots of the synthesized chip executing RA30 with constructed flow paths at (a) 35 s and (b) 45 s. The channel segments in blue are transporting and in purple are caching fluid samples.

operations, placement of allocated devices, as well as routing of transportation channels simultaneously. Moreover, after completing the architectural synthesis, transportation tasks were mapped to the generated chip dynamically to realize the actual manipulation of fluid transportation/caching without any conflict. This is also the first work to consider the flow-path planning of transportation tasks in a distributed channel-storage biochip architecture. Simulation results confirmed that with this uniform model the architecture generated by the proposed method is more efficient in executing bioassays.

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