

1. Proof that the amortized cost for a single operation applied to dynamic table is constant, expansion and contraction are allowed

Define the amortized cost  $\hat{C}_i$  of the  $i$ -th operation with respect to potential function  $\phi \rightarrow \hat{C}_i = c_i + \underbrace{\phi(D_i)}_{\text{the actual cost of the } i\text{-th operation}} - \underbrace{\phi(D_{i-1})}_{\text{the data structure that results after applying the } i\text{-th operation to data structure } D_{i-1}}$

Define  $T.size$ : size of the table,  $T.num$ : number of items in the table

### ① For table expansion

- if  $T.size = T.num$ , we allocate a new table of size  $= 2 \cdot T.size$
- define a potential function  $\phi$  that is 0 immediately after an expansion but builds to the table size by the time the table is full.  $\rightarrow \phi(T) = 2 \cdot T.num - T.size$

$\rightarrow$  If the  $i$ -th operation does trigger an expansion, then we have  $\hat{size}_i = 2 \cdot \hat{size}_{i-1}$ ,

$\boxed{x_{i-1} < \frac{1}{2}}$  and  $\hat{size}_{i-1} = num_{i-1} = num_i - 1$ , which implies that  $\hat{size}_i = 2 \cdot (num_i - 1)$ .  
Thus, the amortized cost of the operation (Table-insert) is

$$\begin{aligned}\hat{C}_i &= c_i + \phi_i - \phi_{i-1} \\ &= num_i + (2 \cdot num_i - \hat{size}_i) - (2 \cdot num_{i-1} - \hat{size}_{i-1}) \\ &= num_i + (2 \cdot num_i - 2(num_i - 1)) - (2(num_i - 1) - (num_i - 1)) \\ &= num_i + 2 - (num_i - 1) \\ &= 3\end{aligned}$$

$\rightarrow$  If the  $i$ -th operation cannot trigger an expansion, then we have  $\hat{size}_i = \hat{size}_{i-1}$ , the amortized cost of the  $i$ -th operation is

$$\boxed{x_i < \frac{1}{2}} \quad \begin{aligned}\hat{C}_i &= c_i + \phi_i - \phi_{i-1} \\ &= 1 + (2 \cdot num_i - \hat{size}_i) - (2 \cdot num_{i-1} - \hat{size}_{i-1}) \\ &= 1 + (2 \cdot num_i - \hat{size}_i) - (2(num_i - 1) - \hat{size}_i) \\ &= 3\end{aligned}$$

$\therefore$  the amortized cost of a Table-insert operation is at most 3

### ② For table contraction

- If  $x_{i-1} \geq \frac{1}{2}$ , Table-delete cannot contract, so  $c_i = 1$  and  $\hat{size}_i = \hat{size}_{i-1}$

case 1 = if  $x_{i-1} \geq \frac{1}{2}$

$$\begin{aligned}\hat{C}_i &= c_i + \phi_i - \phi_{i-1} \\ &= 1 + (2 \cdot num_i - \hat{size}_i) - (2 \cdot num_{i-1} - \hat{size}_{i-1}) \\ &= 1 + (2(num_i - 1) - \hat{size}_i) - (2(num_{i-1} - 1) - \hat{size}_{i-1}) \\ &= 1 + (-2) \\ &= -1\end{aligned}$$

case 2 = if  $x_{i-1} < \frac{1}{2} \rightarrow$  contraction

$$\begin{aligned}\hat{C}_i &= c_i + \phi_i - \phi_{i-1} \\ &= (num_i + 1) + (\hat{size}_i / 2 - num_i) - (\hat{size}_i / 2 - num_{i-1}) \\ &= (num_i + 1) + ((num_i + 1) - num_i) - ((2 \cdot num_i + 2) - (2 \cdot num_i + 1)) \\ &= 1\end{aligned}$$

$\therefore$  the amortized cost of each operation is bounded by a constant

2. Compute  $A_1(u)$ ,  $A_2(u)$ ,  $A_3(u)$ ,  $A_4(u)$

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$$A_k(\bar{j}) = \begin{cases} \bar{j} + 1 & \text{if } k = 0 \\ A_{k-1}^{(2^{\bar{j}}+1)}(\bar{j}) & \text{if } k \geq 1 \end{cases}$$

$$\text{Basic case: } A_1^{(0)}(\bar{j}) = \bar{j} = 2^0(\bar{j}+1)-1$$

$$A_1^{(1)}(\bar{j}) = 2^1(\bar{j}+1)-1$$

$$A_2^{(1)}(\bar{j}) = A_1^{(2^1+1)}(\bar{j}) = 2^{\bar{j}+1}(\bar{j}+1)-1$$

$$A_3^{(1)}(\bar{j}) = A_2^{(2^1+1)}(\bar{j}) = A_2(A_2(\bar{j})) = 2^2(\bar{j}+1)-1 = 2^2(\bar{j})$$

$$A_4^{(1)}(\bar{j}) = A_3^{(2^1+1)}(\bar{j}) = A_3(A_3(\bar{j})) = A_3(2^2(\bar{j})) = A_3^{(2^{2^1+1})}(2^2(\bar{j}))$$

$$A_{k-1}^{(0)}(\bar{j}) = \bar{j}$$

$$A_{k-1}^{(1)}(\bar{j}) = A_{k-1}(A_{k-1}^{(0)}(\bar{j})) \text{ for } \bar{j} \geq 1$$

$$\gg A_2(2^2(\bar{j})) = 2^{2^2(\bar{j})}(\bar{j}+1)-1 > 2^{2^2(\bar{j})} = (2^2)^{\bar{j}+1} = 16^{\bar{j}+1} > 10^{80}$$