

说的都是phase 2的，带value的，成为vote了。phase 1不在voting的考虑范围内

MODULE *Voting*

This is a high-level algorithm in which a set of processes cooperatively choose a value.

EXTENDS *Integers*

CONSTANT *Value*, The set of choosable values.
 Acceptor, A set of processes that will choose a value.
 Quorum The set of "quorums", where a quorum" is a
 "large enough" set of acceptors

Here are the assumptions we make about quorums.

ASSUME *QuorumAssumption* $\triangleq \wedge \forall Q \in \text{Quorum} : Q \subseteq \text{Acceptor}$
 $\wedge \forall Q1, Q2 \in \text{Quorum} : Q1 \cap Q2 \neq \{\}$

THEOREM *QuorumNonEmpty* $\triangleq \forall Q \in \text{Quorum} : Q \neq \{\}$

Ballot is a set of "ballot numbers". For simplicity, we let it be the set of natural numbers. However, we write *Ballot* for that set to distinguish ballots from natural numbers used for other purposes.

Ballot $\triangleq \text{Nat}$

In the algorithm, each acceptor can cast one or more votes, where each vote cast by an acceptor has the form $\langle b, v \rangle$ indicating that the acceptor has voted for value v in ballot b . A value is chosen if a quorum of acceptors have voted for it in the same ballot.

The algorithm's variables.

VARIABLE *votes*, *votes*[a] is the set of votes cast by acceptor a
 maxBal *maxBal*[a] is a ballot number. Acceptor a will cast
 further votes only in ballots numbered $\geq \text{maxBal}[a]$

The type-correctness invariant.

TypeOK $\triangleq \wedge \text{votes} \in [\text{Acceptor} \rightarrow \text{SUBSET} (\text{Ballot} \times \text{Value})]$
 $\wedge \text{maxBal} \in [\text{Acceptor} \rightarrow \text{Ballot} \cup \{-1\}]$

We now make a series of definitions and assert some simple theorems about those definitions that lead to the algorithm.

VotedFor(a, b, v) $\triangleq \langle b, v \rangle \in \text{votes}[a]$ vote是带了b和v的，已经有v。不是phase 1
 True iff acceptor a has voted for v in ballot b .

ChosenAt(b, v) $\triangleq \exists Q \in \text{Quorum} :$
 $\forall a \in Q : \text{VotedFor}(a, b, v)$ 判断出现了chosen，即形成决议
 True iff a quorum of acceptors have all voted for v in ballot b .

chosen $\triangleq \{v \in \text{Value} : \exists b \in \text{Ballot} : \text{ChosenAt}(b, v)\}$ 一系列已经chosen的value
 The set of values that have been chosen.

DidNotVoteAt(a, b) $\triangleq \forall v \in \text{Value} : \neg \text{VotedFor}(a, b, v)$

Server a ,没有为ballot b 透选票。也就是被更大的ballot跳过了

为什么直接说vote，不说phase 1？
 因为未形成决议的phase1，需要保证的比较简单

$$\begin{aligned} \text{CannotVoteAt}(a, b) &\triangleq \wedge \text{maxBal}[a] > b \\ &\wedge \text{DidNotVoteAt}(a, b) \end{aligned}$$

Because acceptor a will not cast any more votes in a ballot numbered $< \text{maxBal}[a]$, this implies that a has not and will never cast a vote in ballot b .

说的是choosable, 不是votable。注意含义区别
如果别人要求用ballot c , choose x , 那么必须有个quorum Q2同意。但是已经存在quorum Q1, 选择了 (b, v) , 或者 $\text{max}b > c$ 则不可能存在Q2, 凑不够, 因为Q1和Q2必然相交。

$$\begin{aligned} \text{NoneOtherChoosableAt}(b, v) &\triangleq \text{如果都vote了}(b, v), \text{那么就不能换}v\text{了。还有可能, 就是部分server的maxBal} > b, \text{也不可能在接受}v\text{以外的值。} \\ &\exists Q \in \text{Quorum} : \text{所以肯定不会接受}(b, w), w \neq v. \text{注意, 这是针对ballot } b\text{来说的。换更大的, 不一定如此} \\ &\forall a \in Q : \text{VotedFor}(a, b, v) \vee \text{CannotVoteAt}(a, b) \end{aligned}$$

If this is true, then $\text{ChosenAt}(b, w)$ is not and can never become true for any $w \neq v$.

$$\text{SafeAt}(b, v) \triangleq \forall c \in 0 \dots (b-1) : \text{NoneOtherChoosableAt}(c, v)$$

If this is true, then no value other than v has been or can ever be chosen in any ballot numbered less than b .

$$\text{THEOREM AllSafeAtZero} \triangleq \forall v \in \text{Value} : \text{SafeAt}(0, v)$$

$$\text{THEOREM ChoosableThm} \triangleq$$

$$\forall b \in \text{Ballot}, v \in \text{Value} :$$

$$\text{ChosenAt}(b, v) \Rightarrow \text{NoneOtherChoosableAt}(b, v)$$

这是最关键的保证：一旦形成决议， b 不可能再选择别的值

$$\text{VotesSafe} \triangleq \forall a \in \text{Acceptor}, b \in \text{Ballot}, v \in \text{Value} :$$

$$\text{VotedFor}(a, b, v) \Rightarrow \text{SafeAt}(b, v)$$

这个是最基本原则，反过来就是：如果还允许在 b 之前有决议，那么不可能已经有 $\text{VotedFor}(a, b, v)$ 。我们已经到了phase 2

注意SafeAt的参数 **b**

$$\text{OneVote} \triangleq \forall a \in \text{Acceptor}, b \in \text{Ballot}, v, w \in \text{Value} :$$

$$\text{VotedFor}(a, b, v) \wedge \text{VotedFor}(a, b, w) \Rightarrow (v = w)$$

对于任意指定server a , 如果两次为同一个 b vote, 那么value一定是一个

$$\text{OneValuePerBallot} \triangleq$$

$$\forall a1, a2 \in \text{Acceptor}, b \in \text{Ballot}, v1, v2 \in \text{Value} :$$

$$\text{VotedFor}(a1, b, v1) \wedge \text{VotedFor}(a2, b, v2) \Rightarrow (v1 = v2)$$

与上一行不同, 这里考虑的是同一 b , 不同 a 之间的关系。如果vote了, 那么必须是相同值。

$$\text{THEOREM OneValuePerBallot} \Rightarrow \text{OneVote}$$

$$\text{THEOREM VotesSafeImpliesConsistency} \triangleq$$

$$\wedge \text{TypeOK}$$

$$\wedge \text{VotesSafe}$$

$$\wedge \text{OneVote}$$

$$\Rightarrow \forall \text{chosen} = \{ \}$$

$$\vee \exists v \in \text{Value} : \text{chosen} = \{v\}$$

这个集合, 就一个元素了?

==>因为是单实例, 实际上就一个元素。只有ballot number, 没有instance number

$$\text{ShowsSafeAt}(Q, b, v) \triangleq$$

$$\wedge \forall a \in Q : \text{maxBal}[a] \geq b$$

注意, 这个是用来蕴含其他的, 而不是被蕴含

$$\wedge \exists c \in -1 \dots (b-1) :$$

$$\wedge (c \neq -1) \Rightarrow \exists a \in Q : \text{VotedFor}(a, c, v)$$

$$\wedge \forall d \in (c+1) \dots (b-1), a \in Q : \text{DidNotVoteAt}(a, d)$$

都大于 **b 了**

存在一个 $c < b$, a 在ballot= c 时, 选了 v , 其次后再也没有投票过。

如果 $c \neq -1$, 一定有 a , 选择了 v 。为什么应该成立? 不明白为啥??

大于 c 且小于 b 的, 任意 d , 以及任意 a 属于 Q , 都没有为 d 投票。为什么中间都没投票? 啥情况?

感觉是因为考虑一个quorum, 而不是全集。他们那在 d 都没有vote, 那么在 d 不可能形成quorum一致。

$$\text{THEOREM ShowsSafety} \triangleq$$

$$\text{TypeOK} \wedge \text{VotesSafe} \wedge \text{OneValuePerBallot} \Rightarrow$$

$$\forall Q \in \text{Quorum}, b \in \text{Ballot}, v \in \text{Value} : \\ \text{ShowsSafeAt}(Q, b, v) \Rightarrow \text{SafeAt}(b, v)$$

任意一个Q, 如果从它的角度, b,v安全, 则整个机群(不仅仅是Q), 对于b,v都是安全的。

We now write the specification. The initial condition is straightforward.

$$\text{Init} \triangleq \wedge \text{votes} = [a \in \text{Acceptor} \mapsto \{\}] \\ \wedge \text{maxBal} = [a \in \text{Acceptor} \mapsto -1]$$

Next are the actions that make up the next-state action.

An acceptor a is allowed to increase $\text{maxBal}[a]$ to a ballot number b at any time.

$$\text{IncreaseMaxBal}(a, b) \triangleq \\ \wedge b > \text{maxBal}[a] \\ \wedge \text{maxBal}' = [\text{maxBal} \text{ EXCEPT } ![a] = b] \\ \wedge \text{UNCHANGED votes}$$

Next is the action in which acceptor a votes for v in ballot b . The first four conjuncts re enabling conditions. The first maintains the requirement that the acceptor cannot cast a vote in a ballot less than $\text{maxBal}[a]$. The next two conjuncts maintain the invariance of *OneValuePerBallot*. The fourth conjunct maintains the invariance of *VotesSafe*.

$$\text{VoteFor}(a, b, v) \triangleq \\ \wedge \text{maxBal}[a] \leq b \\ \wedge \forall vt \in \text{votes}[a] : vt[1] \neq b \\ \wedge \forall c \in \text{Acceptor} \setminus \{a\} : \\ \quad \forall vt \in \text{votes}[c] : (vt[1] = b) \Rightarrow (vt[2] = v) \\ \wedge \exists Q \in \text{Quorum} : \text{ShowsSafeAt}(Q, b, v) \text{ 为什么必须要求showsSafeAt? 难道第一次vote, 前面几轮都废掉不行?} \\ \wedge \text{votes}' = [\text{votes} \text{ EXCEPT } ![a] = @ \cup \{(b, v)\}] \\ \wedge \text{maxBal}' = [\text{maxBal} \text{ EXCEPT } ![a] = b]$$

The next-state action and the invariant.

$$\text{Next} \triangleq \exists a \in \text{Acceptor}, b \in \text{Ballot} : \text{ 为什么只有acceptor? 没有纳入Proposal的过程? 但是paxos有?} \\ \vee \text{IncreaseMaxBal}(a, b) \\ \vee \exists v \in \text{Value} : \text{VoteFor}(a, b, v)$$

$$\text{Spec} \triangleq \text{Init} \wedge \Box[\text{Next}]_{\langle \text{votes}, \text{maxBal} \rangle} \text{ 可能走了Next, 也可能啥都没变}$$

$$\text{Inv} \triangleq \text{TypeOK} \wedge \text{VotesSafe} \wedge \text{OneValuePerBallot}$$

$$\text{THEOREM Invariance} \triangleq \text{Spec} \Rightarrow \Box \text{Inv}$$

The following statement instantiates module *Consensus* with the constant *Value* of this module substituted for the constant *Value* of module *Consensus*, and the state function *chosen* defined in this module substituted for the variable *chosen* of module *Value*. More precisely, for each defined identifier *id* of module *Value*, this statement defines $C!id$ to equal the value of *id* under these substitutions.

$$C \triangleq \text{INSTANCE Consensus}$$

THEOREM $Spec \Rightarrow C!Spec$
 $\langle 1 \rangle 1. Inv \wedge Init \Rightarrow C!Init$
 $\langle 1 \rangle 2. Inv \wedge [Next]_{\langle votes, maxBal \rangle} \Rightarrow [C!Next]_{chosen}$
 $\langle 1 \rangle 3.$ QED
 $\langle 2 \rangle 1. \Box Inv \wedge \Box [Next]_{\langle votes, maxBal \rangle} \Rightarrow \Box [C!Next]_{chosen}$
 BY $\langle 1 \rangle 2$ and temporal reasoning
 $\langle 2 \rangle 2. \Box Inv \wedge Spec \Rightarrow C!Spec$
 BY $\langle 2 \rangle 1, \langle 1 \rangle 1$
 $\langle 2 \rangle 3.$ QED
 BY $\langle 2 \rangle 2, Invariance$
