

# Prefix Sum Fenwick Tree

# Outline

- ▶ Range Sum
  - ▶ 1D
- ▶ Prefix Sum
  - ▶ 1D
  - ▶ 2D
- ▶ Fenwick Tree (Binary Indexed Tree)
  - ▶ 1D

# ADT: 1D Range Sum

- ▶ Objects: a sequence  $S=(s_1,\dots,s_n)$  of summable elements
- ▶ Operations:
  - ▶ Create( $S,A[1,..,n]$ ): initial a sequence  $S$  containing  $A[1], \dots, A[n]$ .
  - ▶ Update( $S,i,x$ ): update the  $i^{\text{th}}$  element  $S$  to  $x$ .
  - ▶ RangeSum( $S,i,j$ ): return  $s_i+s_{i+1}+\dots+s_j$ .

# Range Sum

1	2	3	4	5	6	7	8	9	
0	1	1	1	0	2	1	1	0	
1	2	3	4	5	6	7	8	9	
0	1	2	3	3	5	6	7	7	1
	1	2	3	3	5	6	7	7	2
		1	2	2	4	5	6	6	3
			1	1	3	4	5	5	4
				0	2	3	4	4	5
					2	3	4	4	6
						1	2	2	7
							1	1	8
								0	9

Straightforward:  
Store all range  
sums in a 2D  
table.

Space:  $O(n^2)$

Query:  $O(1)$

Update?

# Range Sum: Update $s_5=1$

1	2	3	4	5	6	7	8	9
0	1	1	1	1	2	1	1	0

1	2	3	4	5	6	7	8	9	
0	1	2	3	4	6	7	8	8	1
	1	2	3	4	6	7	8	8	2
		1	2	3	5	6	7	7	3
			1	2	4	5	6	6	4
				1	3	4	5	5	5
					2	3	4	4	6
						1	2	2	7
							1	1	8
								0	9

Straightforward:  
Updating an entry  
takes  $O(n^2)$  time.

# Prefix Sums

- ▶ Let  $p_0=0$  and  $p_i=s_1+s_2+\dots+s_i$ . We say  $(p_0,\dots,p_n)$  is the prefix sums of  $(s_1,\dots,s_n)$ .
  - ▶ Note:  $p_i=p_{i-1}+s_i$ . This fact allow us to evaluate  $(p_0,\dots,p_n)$  in  $O(n)$ .
- ▶ Compute  $s_i+\dots+s_j$ : use  $p_j-p_{i-1}$ .
- ▶ Build time:  $O(n)$
- ▶ Query:  $O(1)$
- ▶ Update?

# Prefix Sum: Update $s_5=1$

	0	1	2	3	4	5	6	7	8	9
A[i]		0	1	1	1	0	2	1	1	0
P[i]	0	0	1	2	3	3	5	6	7	7

	0	1	2	3	4	5	6	7	8	9
A[i]		0	1	1	1	1	2	1	1	0
P[i]	0	0	1	2	3	4	6	7	8	8

# Prefix Sums: 2D

- ▶ Consider an  $n$ -by- $n$  matrix  $A=(a_{i,j})$ .
- ▶ Let  $p_{0,0}=0$  and  $p_{i,j}=\sum_{0<x\leq i}\sum_{0<y\leq j}a_{i,j}$  for  $i>0$  or  $j>0$ . We say  $P=(p_{i,j})$  is the prefix sums of  $A$ .
  - ▶ Note:  $p_{i,j}=p_{i-1,j}+p_{i,j-1}+a_{i,j}-p_{i-1,j-1}$ . This fact allow us to evaluate  $P$  in  $O(n^2)$ .
- ▶ How to compute  $\sum_{L\leq x\leq R}\sum_{B\leq y\leq U}a_{i,j}$ ?
  - ▶  $(p_{R,U})-(p_{L-1,U})-(p_{R,B-1})+(p_{L-1,B-1})$



# Prefix Sums: 2D

A	1	2	3	4	5
1	0	0	0	0	1
2	0	1	1	0	0
3	0	0	0	2	0
4	1	0	1	0	0
5	0	1	0	0	1

P	1	2	3	4	5
1					
2					
3					
4					
5					

# Prefix Sums: 2D

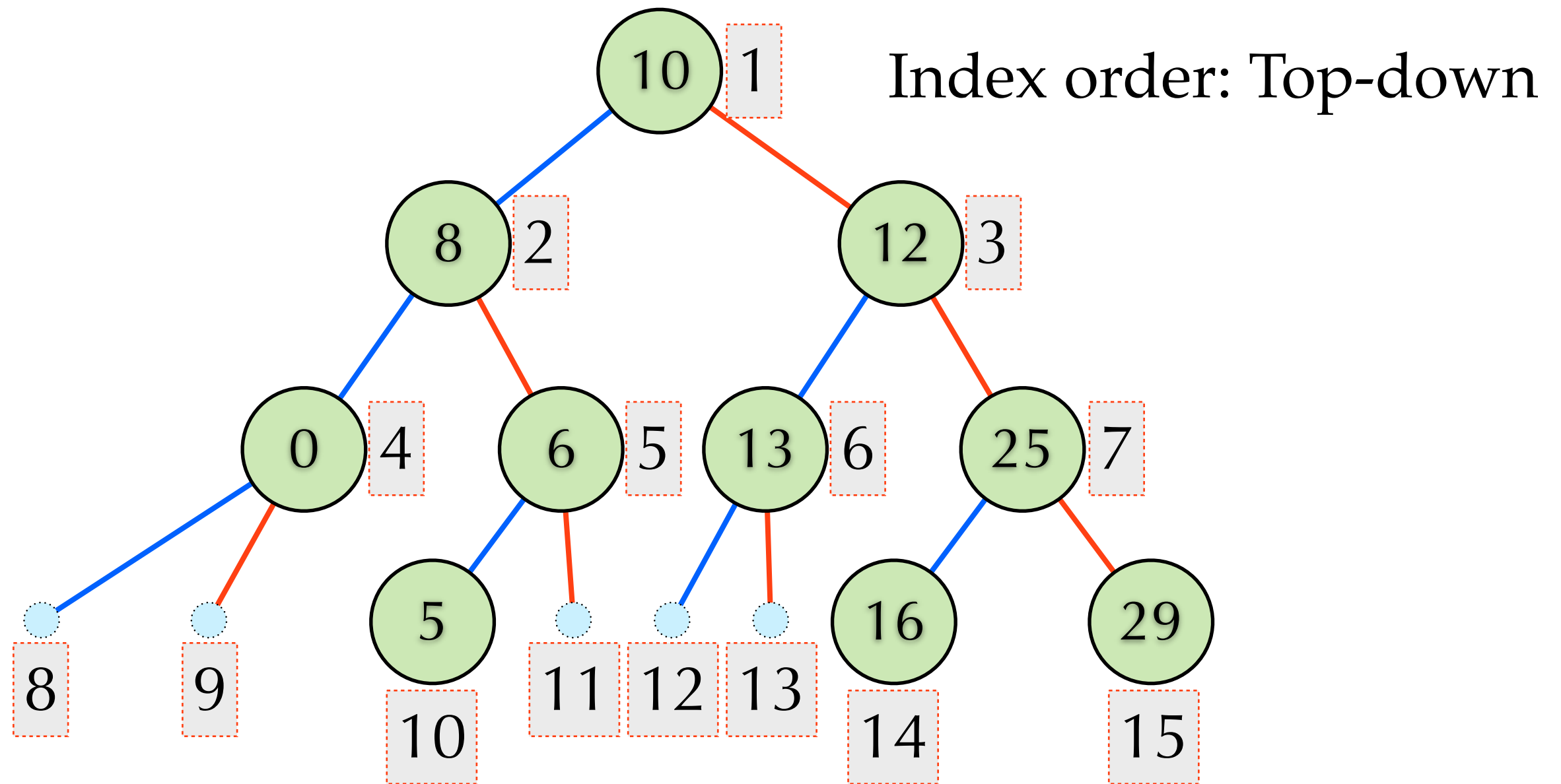
A	1	2	3	4	5
1	0	0	0	0	1
2	0	1	1	0	0
3	0	0	0	2	0
4	1	0	1	0	0
5	0	1	0	0	1

P	1	2	3	4	5
1	0	0	0	0	1
2	0	1	2	2	3
3	0	1	2	4	5
4	1	2	4	6	7
5	1	3	5	7	9

# Fenwick Trees

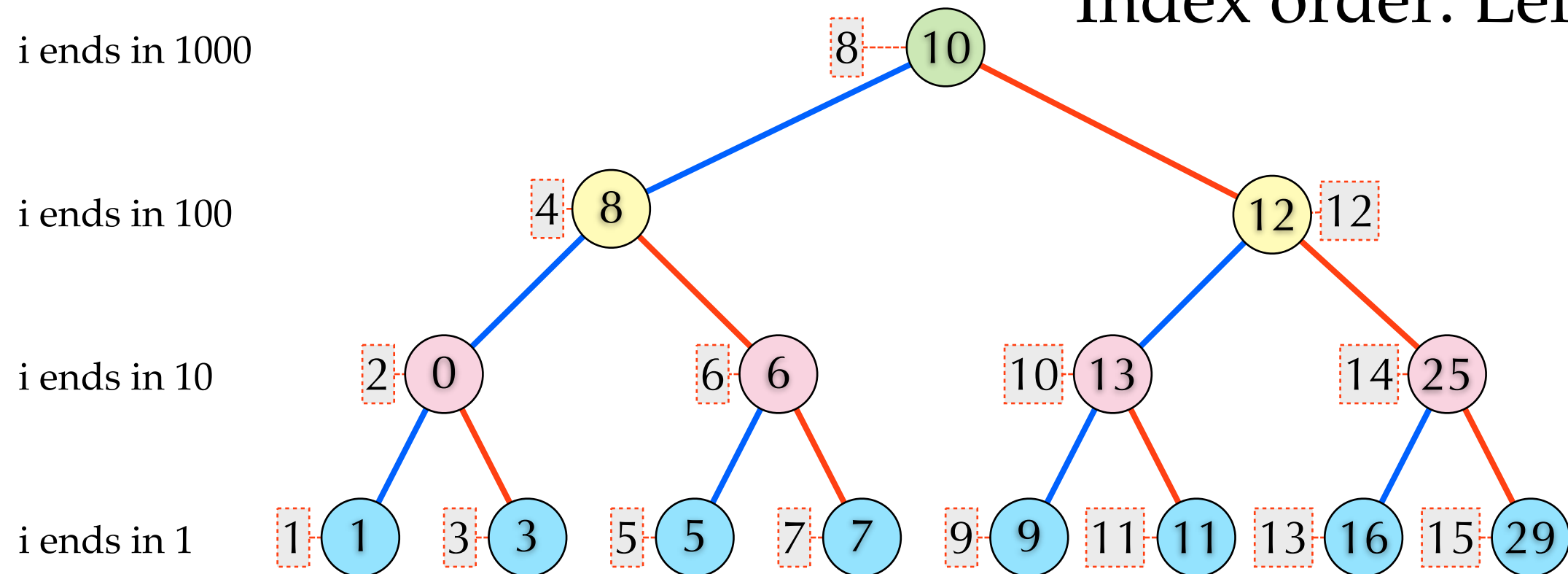
- ▶ Provide efficient prefix sum query and update for an array with an easy implementation.
- ▶ Initial build:  $O(n \log n)$  or  $O(n)$ ?
- ▶ Update  $s_i$ :  $O(\log n)$
- ▶ Prefix Sum  $s_1 + \dots + s_i$ :  $O(\log n)$
- ▶ Range Sum  $s_i + \dots + s_j$ :  $O(\log n)$

# Binary Tree: Array



# Fenwick Tree (Binary Indexed Tree)

Index order: Left-right



i	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
S <sub>i</sub>	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Fenwick Tree

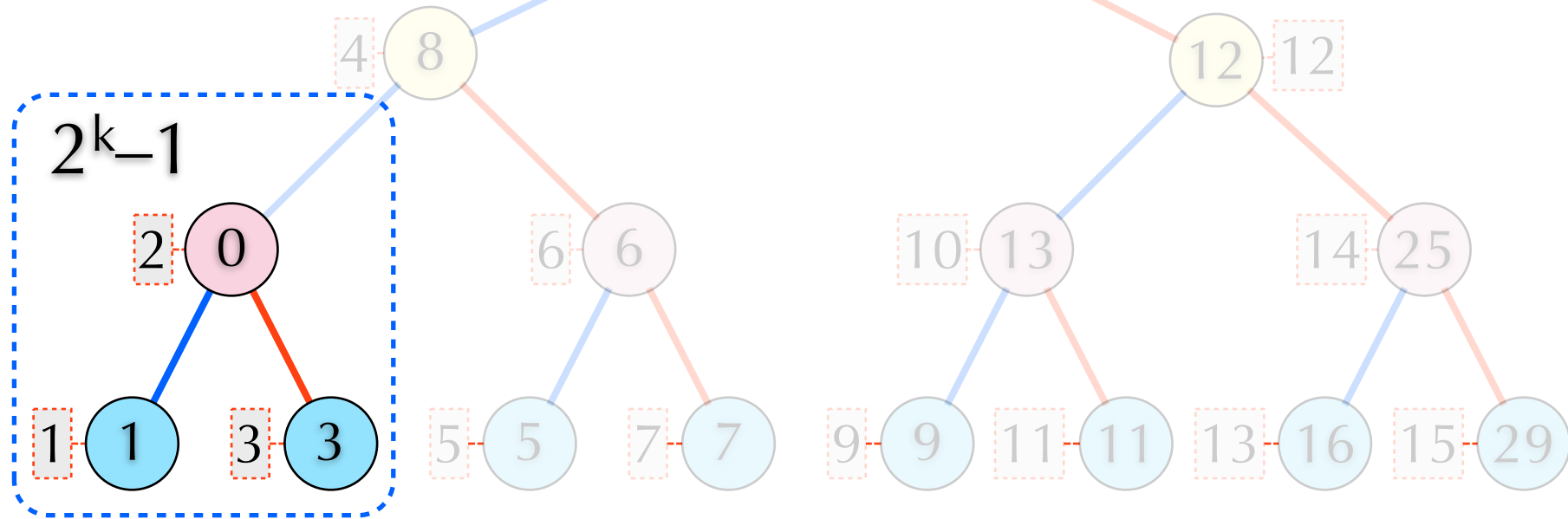
A complete binary subtree has  $2^k - 1$  nodes.

i ends in 1000

i ends in 100

i ends in 10

i ends in 1



i	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
S <sub>i</sub>	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Fenwick Tree

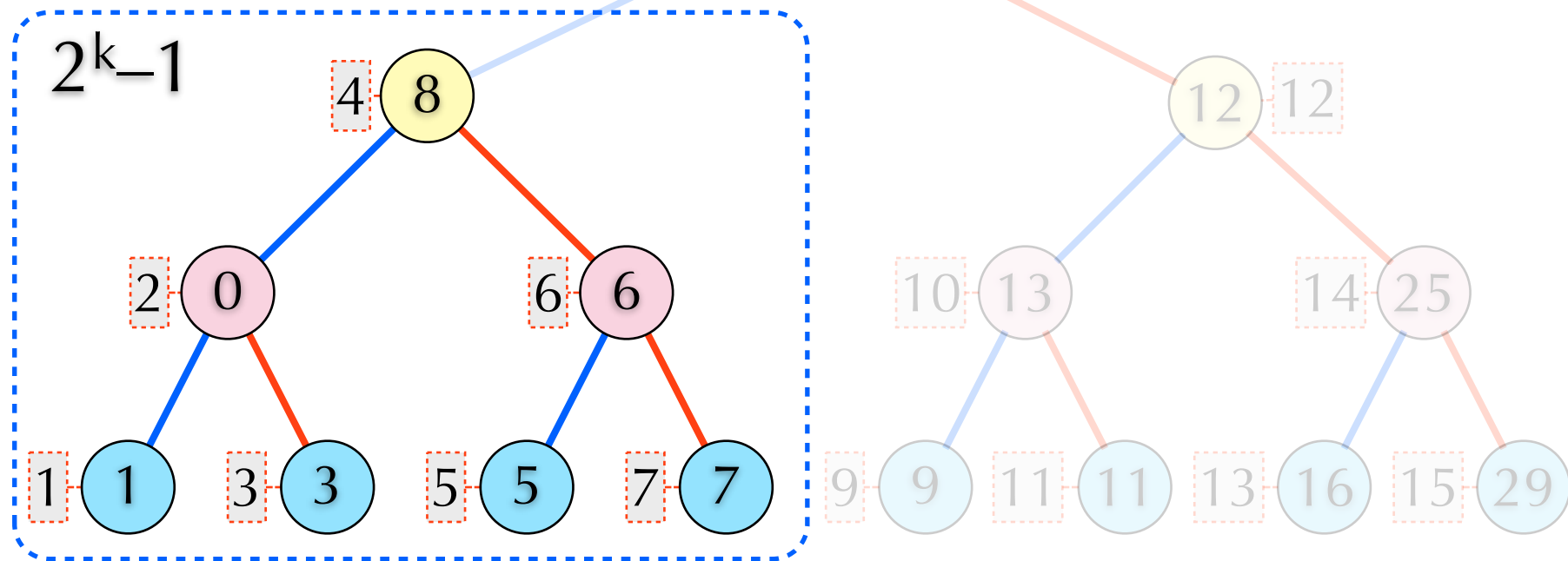
A complete binary subtree has  $2^k - 1$  nodes.

i ends in 1000

i ends in 100

i ends in 10

i ends in 1



i	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
S <sub>i</sub>	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Fenwick Tree

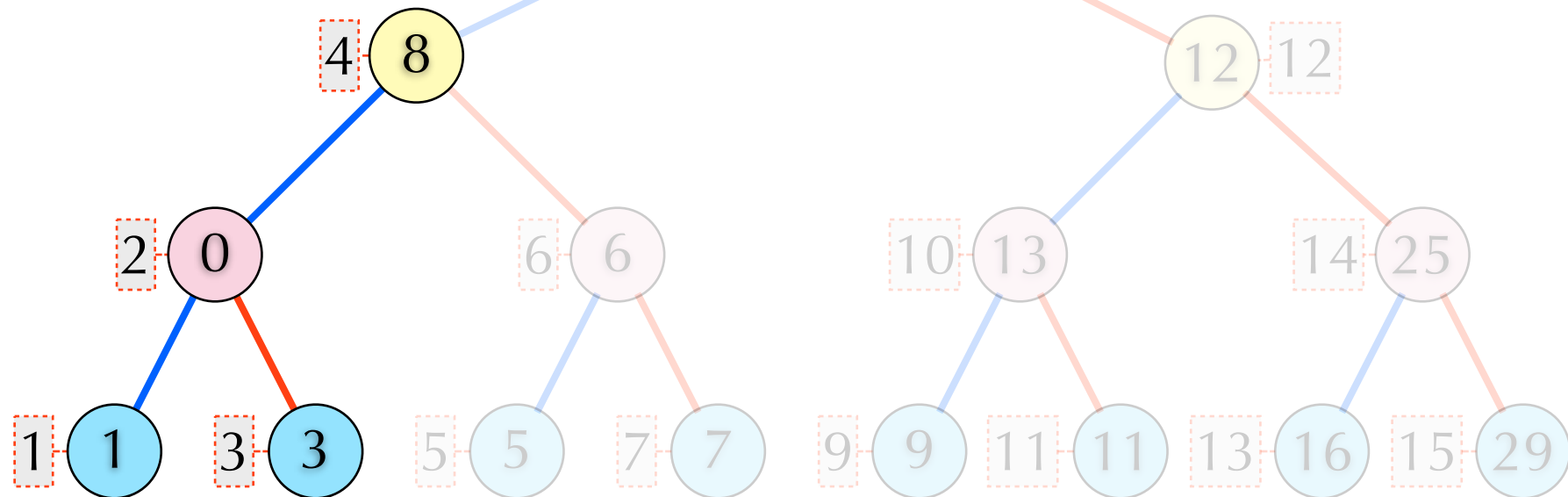
If a complete binary tree has  $2^k - 1$  nodes, then its root has index  $2^{k-1}$  and its left half has  $2^{k-1} - 1$  nodes.

i ends in 1000

i ends in 100

i ends in 10

i ends in 1



i	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
S <sub>i</sub>	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29



# Fenwick Tree

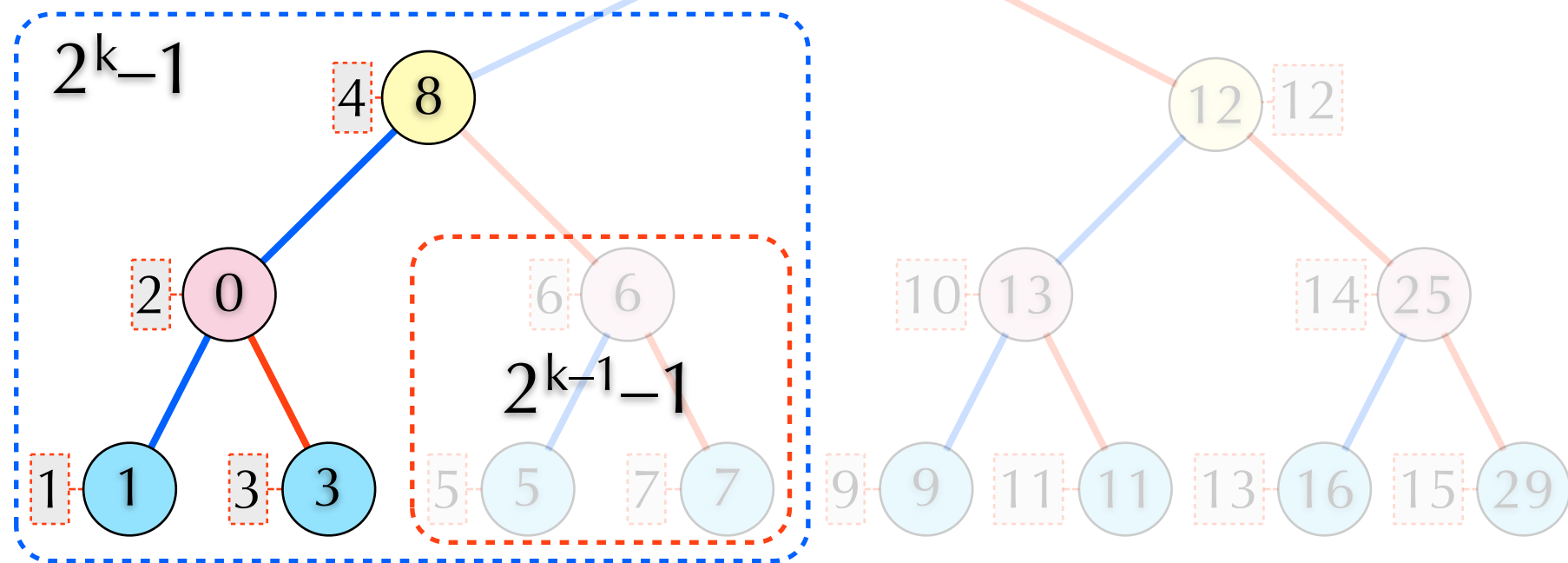
If a complete binary tree has  $2^k - 1$  nodes, then its root has index  $2^{k-1}$  and its left half has  $2^{k-1} - 1$  nodes.

i ends in 1000

i ends in 100

i ends in 10

i ends in 1



i	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
S <sub>i</sub>	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Fenwick Tree

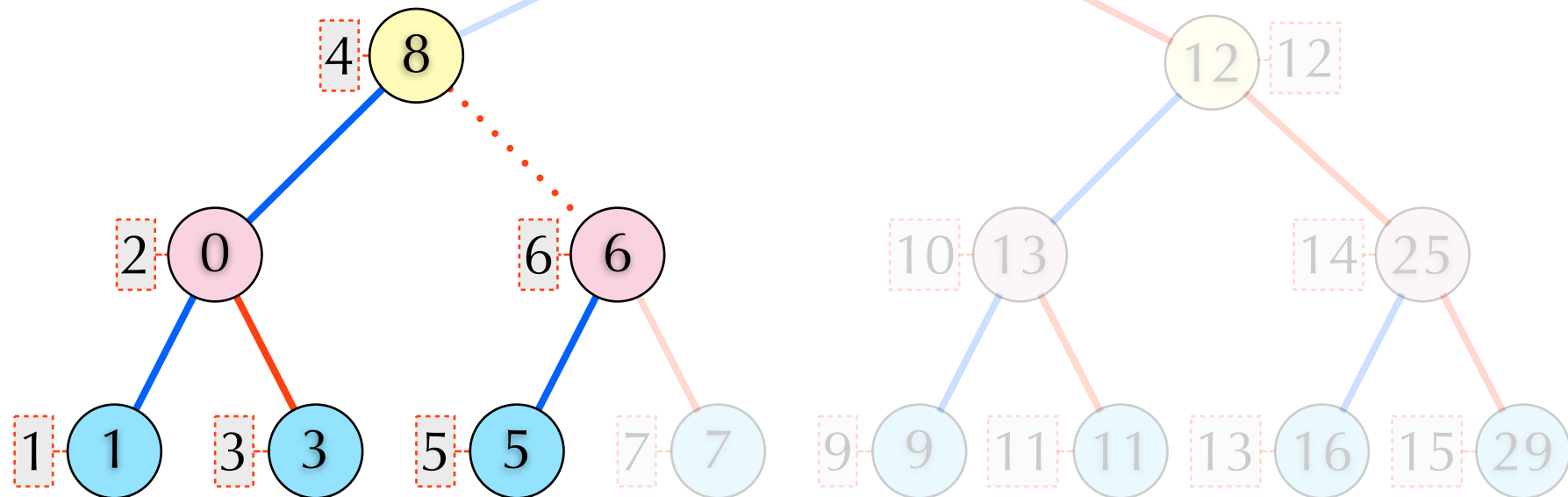
A node has index  $\times 10^i$  if it is  $i$  level higher than the leaves: the set of nodes on its left consists of left halves of complete subtrees.

$i$  ends in 1000

$i$  ends in 100

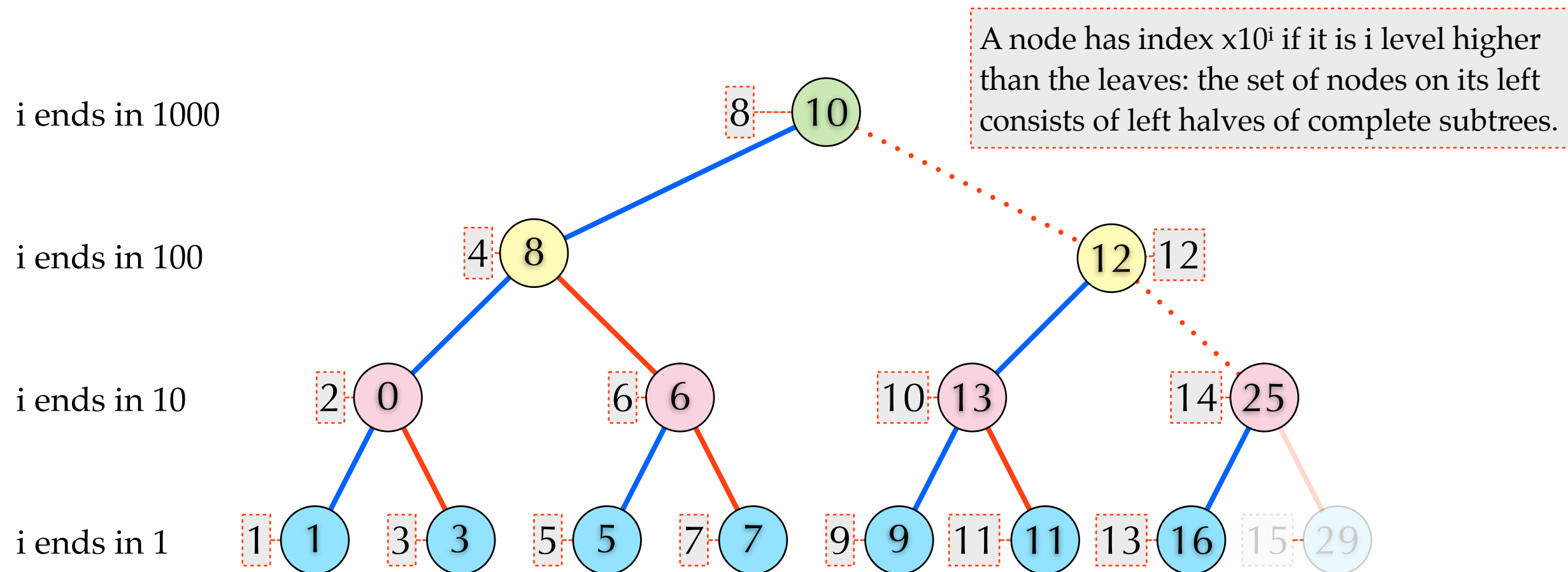
$i$  ends in 10

$i$  ends in 1



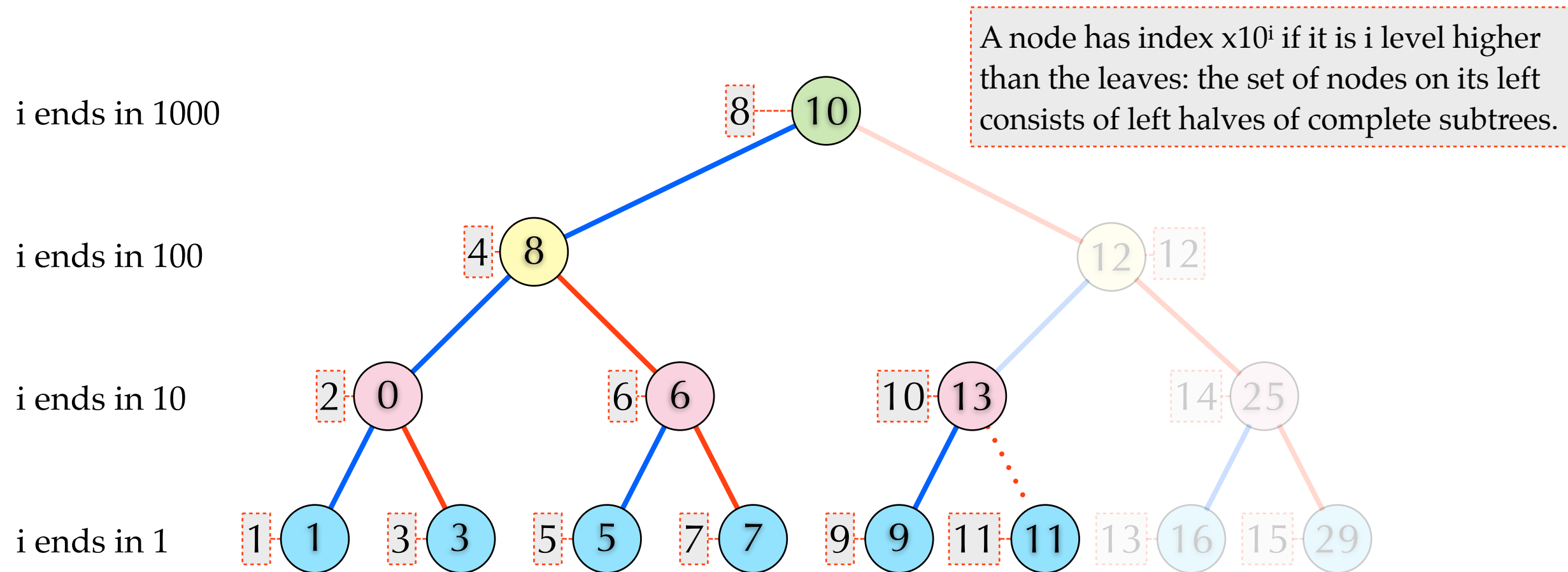
$i$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$S_i$	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Fenwick Tree



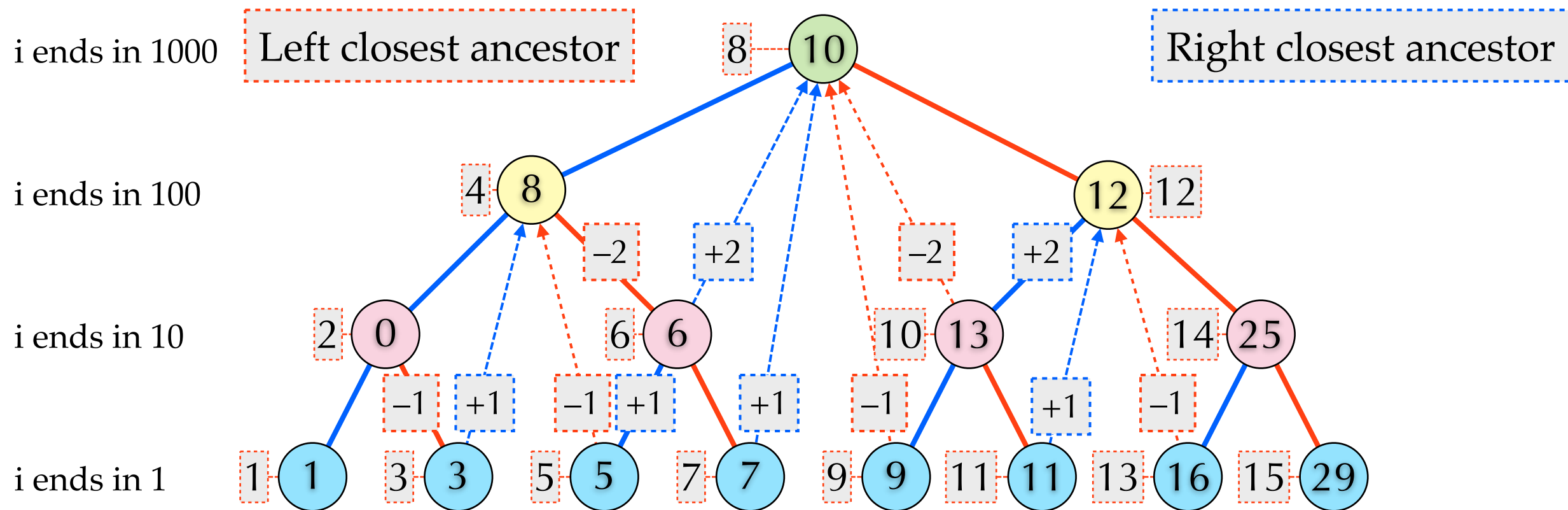
$i$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$S_i$	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Fenwick Tree



i	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
S <sub>i</sub>	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

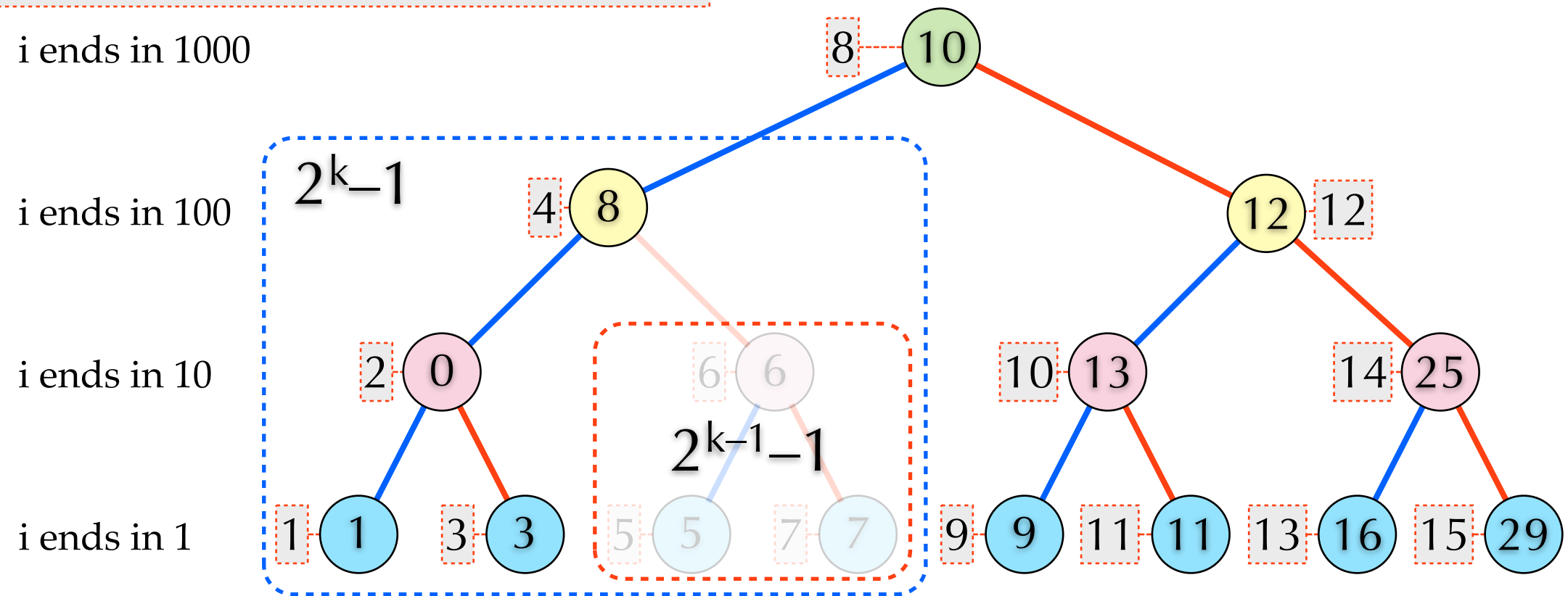
# Closest Ancestors



i	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
S <sub>i</sub>	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Parent & Child

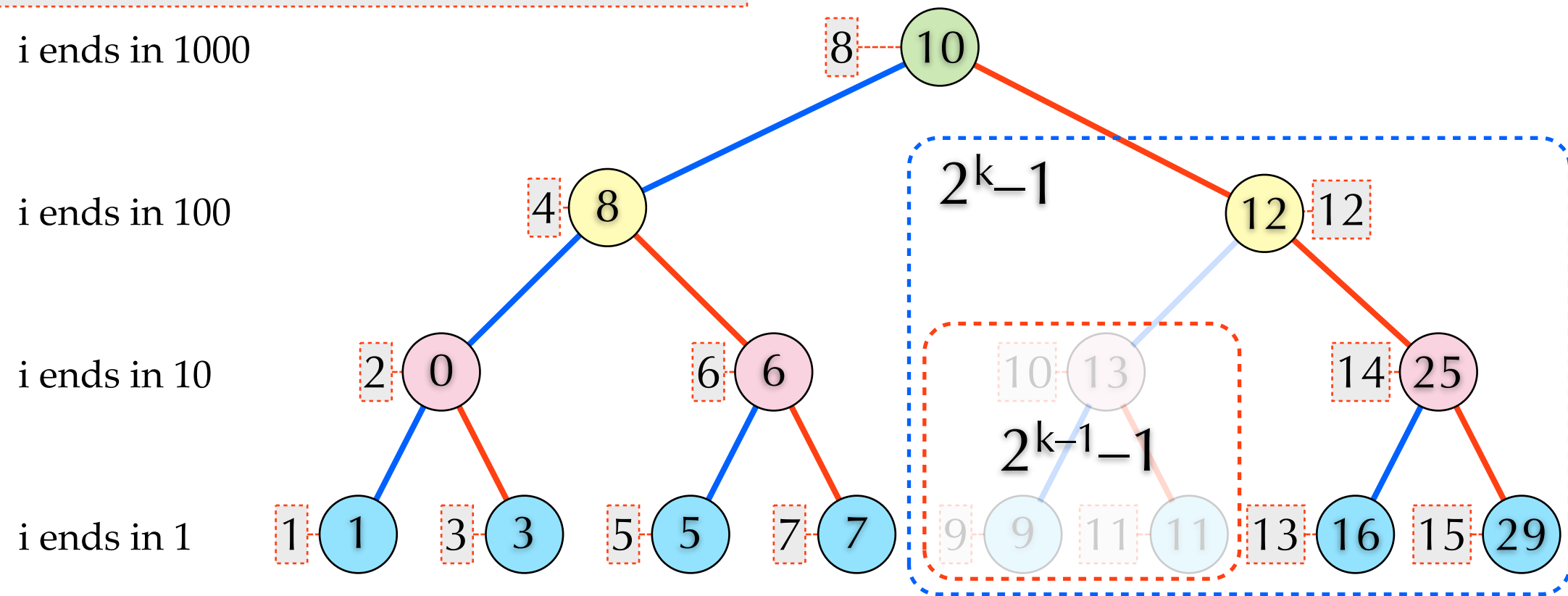
A left child  $x$ 's parent:  $x+2^{k-1}$



$i$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$S_i$	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Parent & Child

A right child  $x$ 's parent:  $x - 2^{k-1}$



$i$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$S_i$	1	0	3	8	5	6	7	10	9	13	11	12	16	25	29

# Finding Parent

- ▶ Lowest non-zero bit of  $x$ :
  - ▶  $\text{lnzb}(x) = x \ \& \ (-x)$
- ▶  $y = x - \text{lnzb}(x)$ :
  - ▶ The closest left ancestor of  $x$ .
- ▶  $z = x + \text{lnzb}(x)$ :
  - ▶ The closest right ancestor of  $x$ .
- ▶ Parent: The closest ancestor!



# Lowest Non-Zero Bit

In C, we use 2's complement to represent a negative integer:  $-x = (\sim x + 1)$ .

x	0	0	0	1	1	0	1	0	0	1	0	1	0	0	0
$\sim x$	1	1	1	0	0	1	0	1	1	0	1	0	1	1	1
1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
$-x$	1	1	1	0	0	1	0	1	1	0	1	1	0	0	0
x	0	0	0	1	1	0	1	0	0	1	0	1	0	0	0
$x \& (-x)$	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0

# Fenwick Tree: Prefix Sum

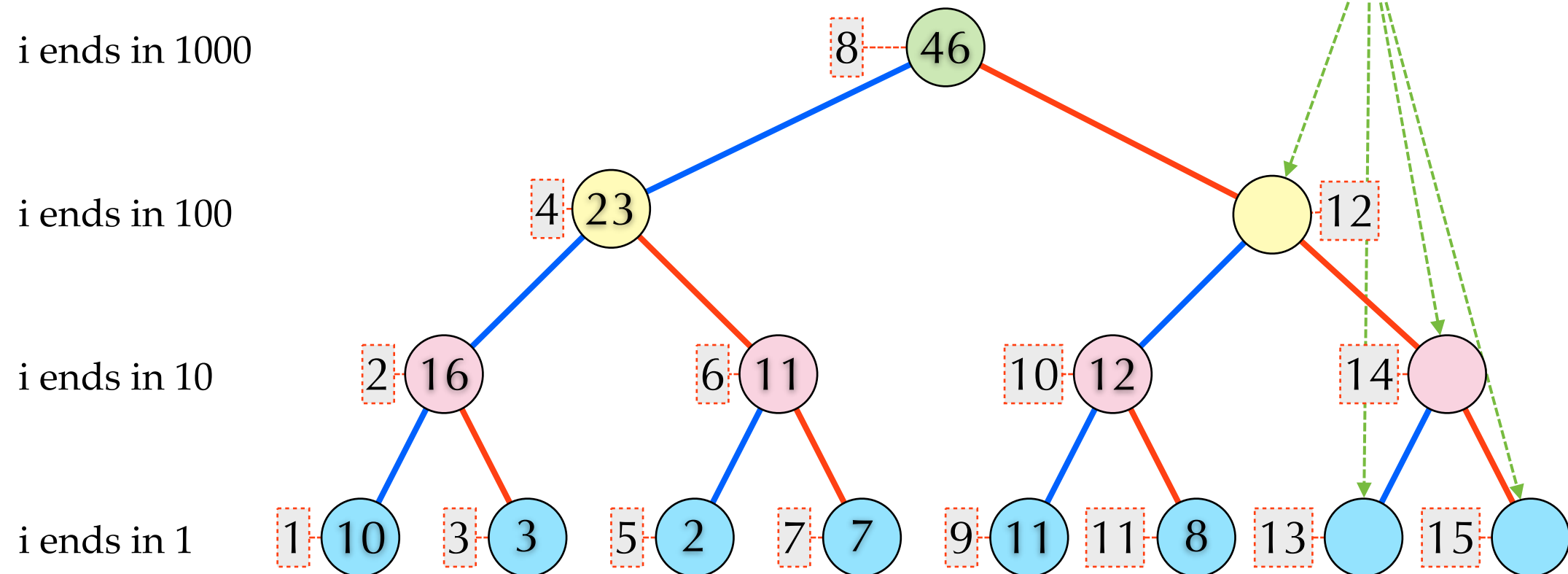
Key idea: Store the sum of left half of a subtree on its root.

ends in 1000	46										
ends in 100	23										
ends in 10	16				11				12		
ends in 1	10		3		2		7		11		8

$f_i$	10	16	3	23	2	11	7	46	11	12	8
$s_i$	10	6	3	4	2	9	7	5	11	1	8

# Fenwick Tree

Leave unused node empty.



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

# Fenwick Tree: Query

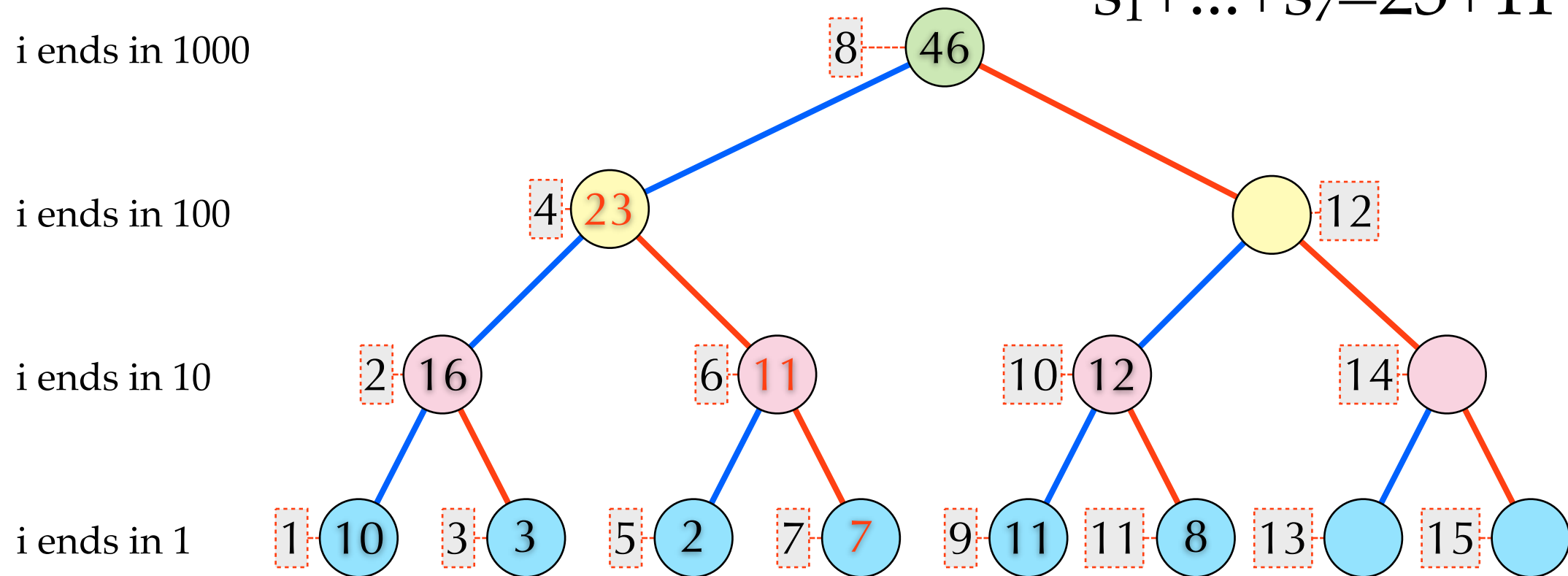
$$s_1 + \dots + s_7 = 23 + 11 + 7 = 41$$

ends in 1000	46										
ends in 100	23										
ends in 10	16				11				12		
ends in 1	10		3		2		7		11		8

$f_i$	10	16	3	23	2	11	7	46	11	12	8
$s_i$	10	6	3	4	2	9	7	5	11	1	8

# Fenwick Tree: Query

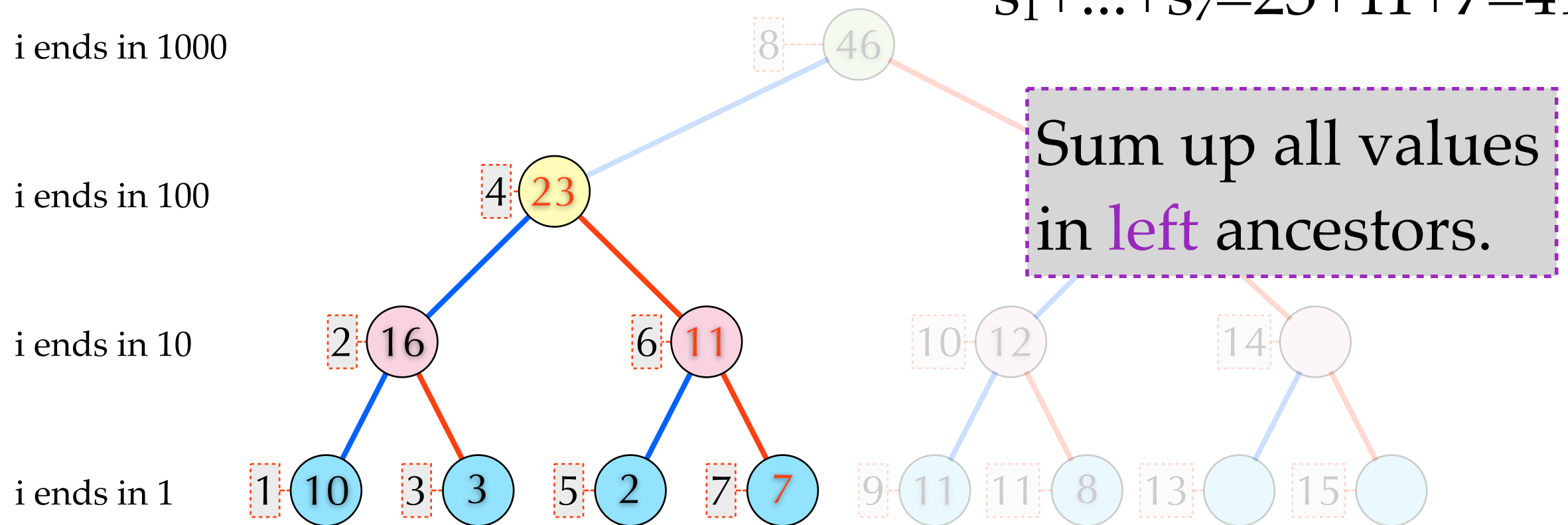
$$s_1 + \dots + s_7 = 23 + 11 + 7 = 41$$



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

# Fenwick Tree: Query

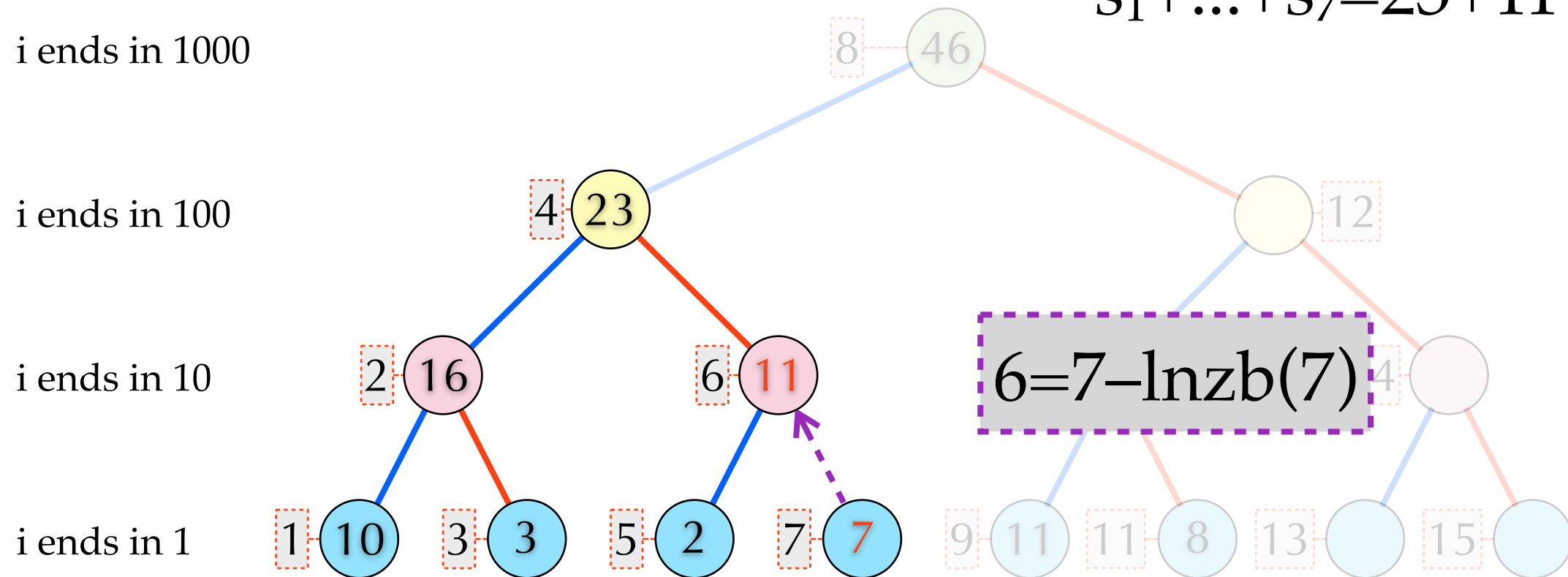
$$s_1 + \dots + s_7 = 23 + 11 + 7 = 41$$



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

# Fenwick Tree: Query

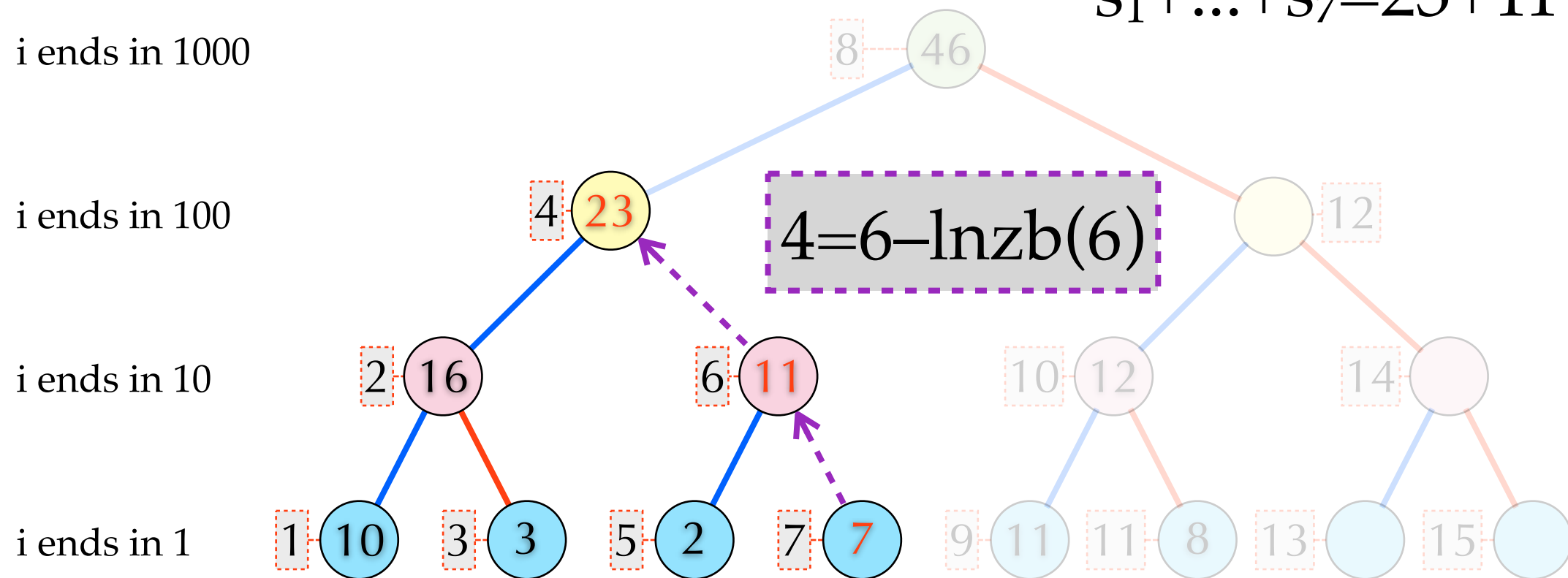
$$s_1 + \dots + s_7 = 23 + 11 + 7 = 41$$



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

# Fenwick Tree: Query

$$s_1 + \dots + s_7 = 23 + 11 + 7 = 41$$



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				



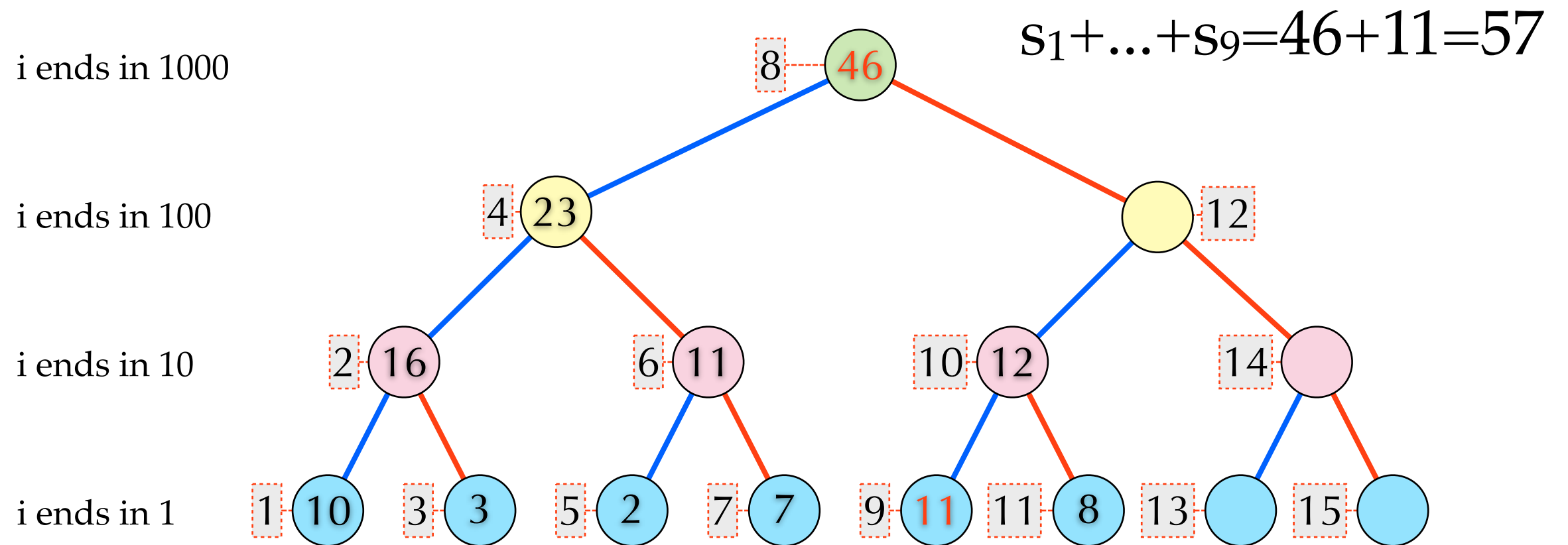
# Fenwick Tree: Query

$$s_1 + \dots + s_9 = 46 + 11 = 57$$

ends in 1000	46										
ends in 100	23										
ends in 10	16				11				12		
ends in 1	10		3		2		7		11		8

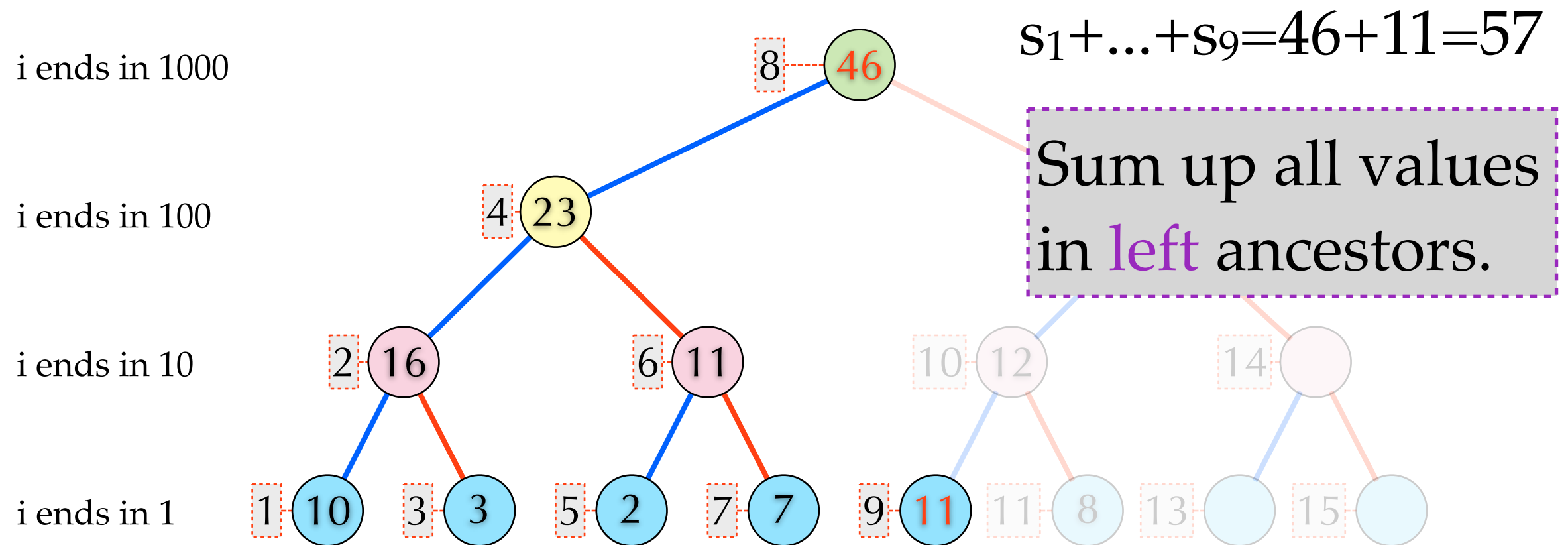
$f_i$	10	16	3	23	2	11	7	46	11	12	8
$s_i$	10	6	3	4	2	9	7	5	11	1	8

# Fenwick Tree: Query



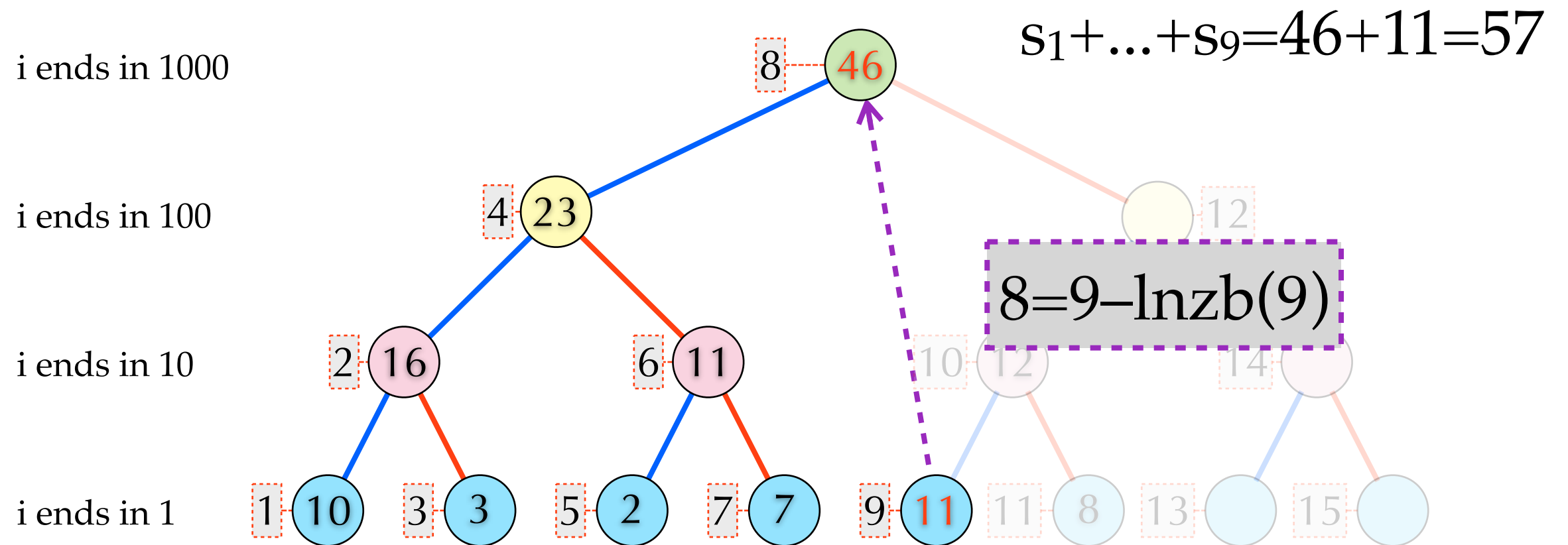
$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

# Fenwick Tree: Query



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

# Fenwick Tree: Query



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

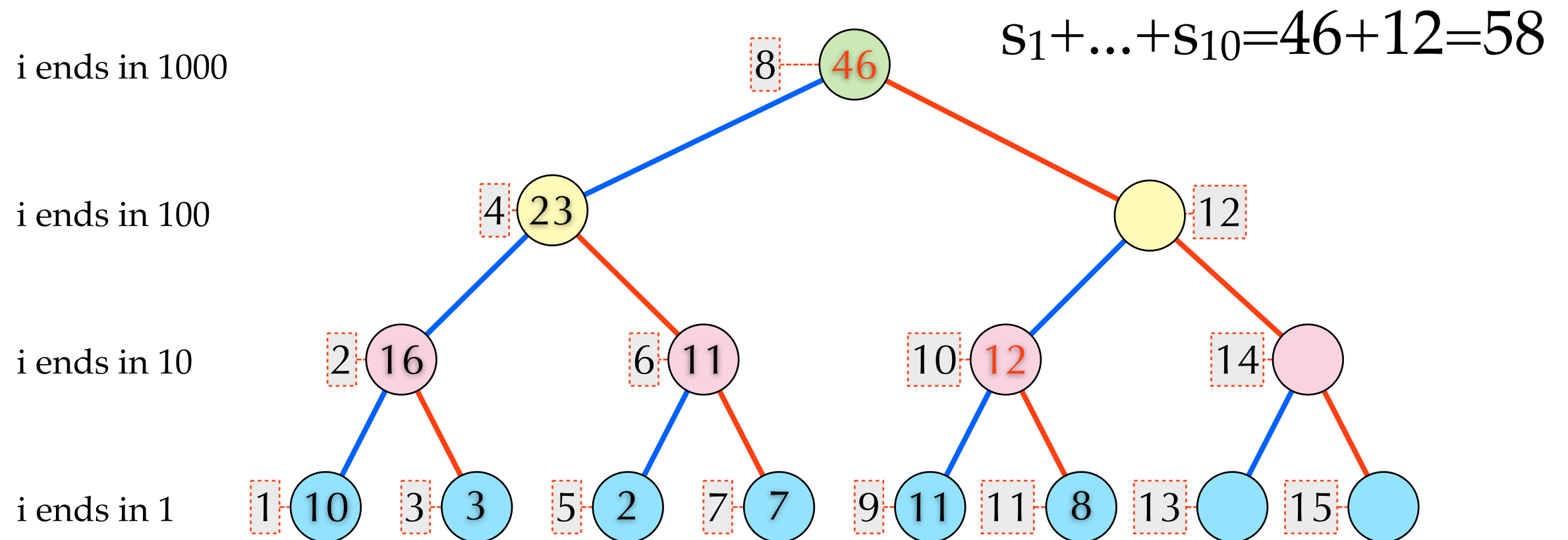
# Fenwick Tree: Query

$$s_1 + \dots + s_{10} = 46 + 12 = 58$$

ends in 1000	46										
ends in 100	23										
ends in 10	16				11				12		
ends in 1	10		3		2		7		11		8

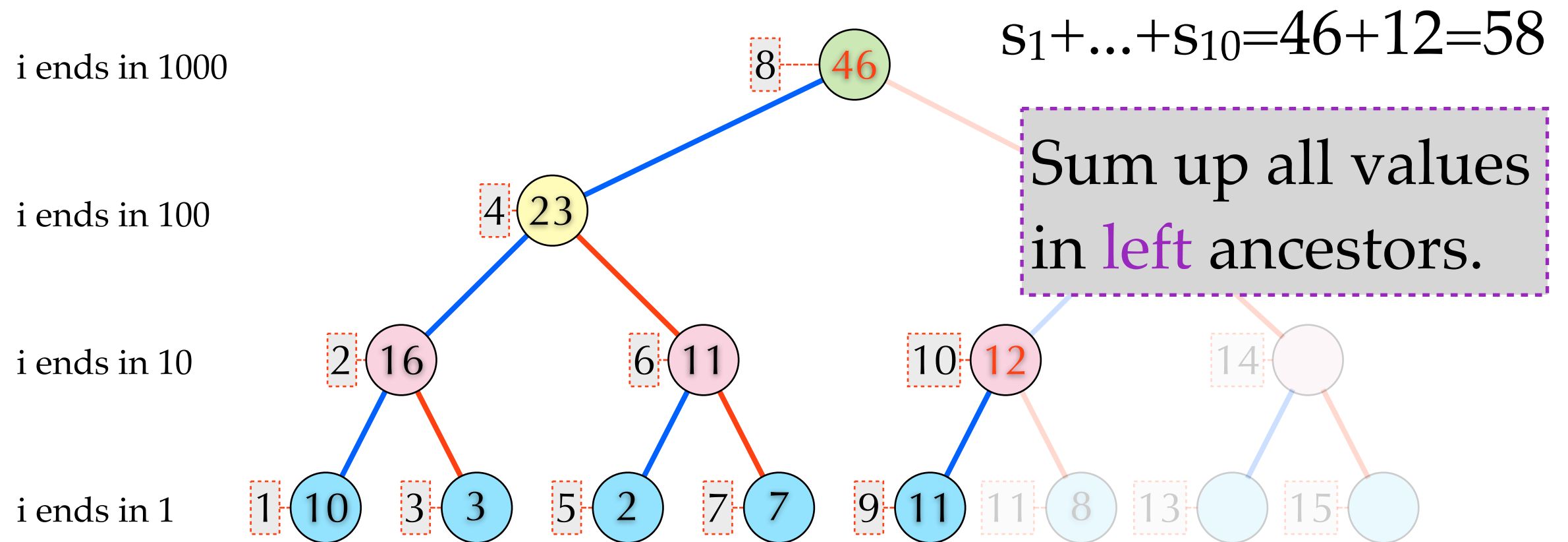
$f_i$	10	16	3	23	2	11	7	46	11	12	8
$s_i$	10	6	3	4	2	9	7	5	11	1	8

# Fenwick Tree: Query



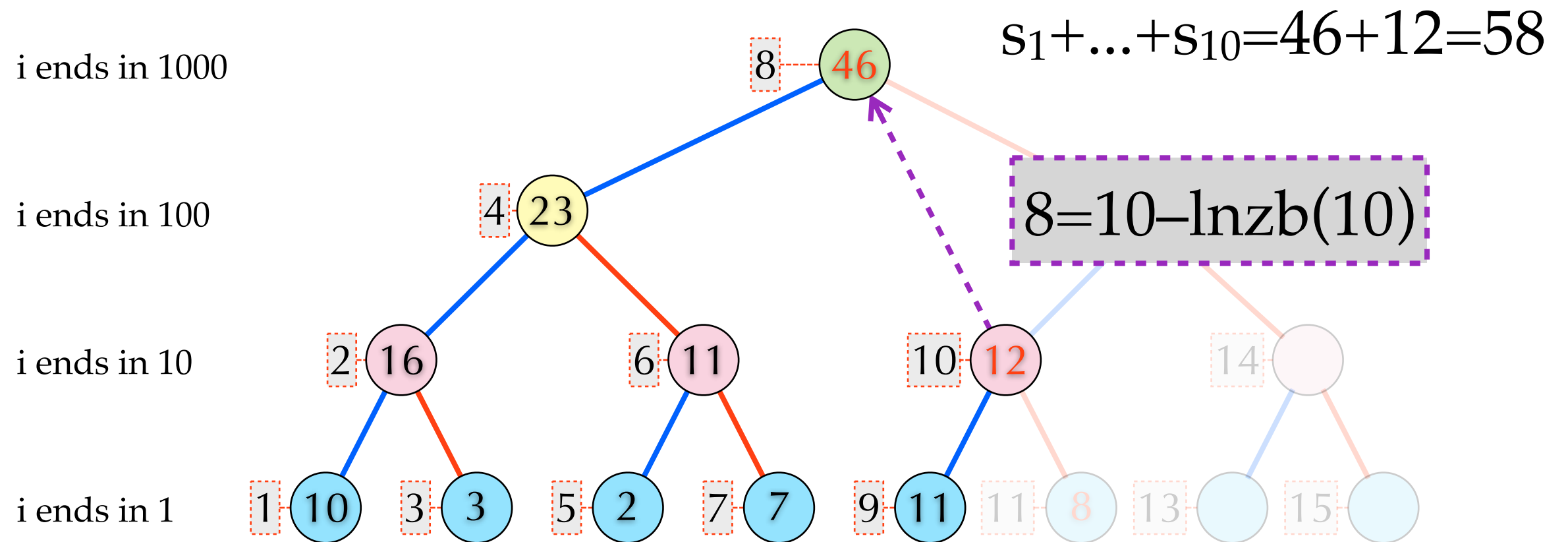
$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

# Fenwick Tree: Query



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				

# Fenwick Tree: Query



$f_i$	10	16	3	23	2	11	7	46	11	12	8				
$s_i$	10	6	3	4	2	9	7	5	11	1	8				



# Fenwick Tree: Update

update  $s_7=10$

ends in 1000	46										
ends in 100	23										
ends in 10	16				11				12		
ends in 1	10		3		2		7		11		8

$f_i$	10	16	3	23	2	11	7	46	11	12	8
$s_i$	10	6	3	4	2	9	7	5	11	1	8

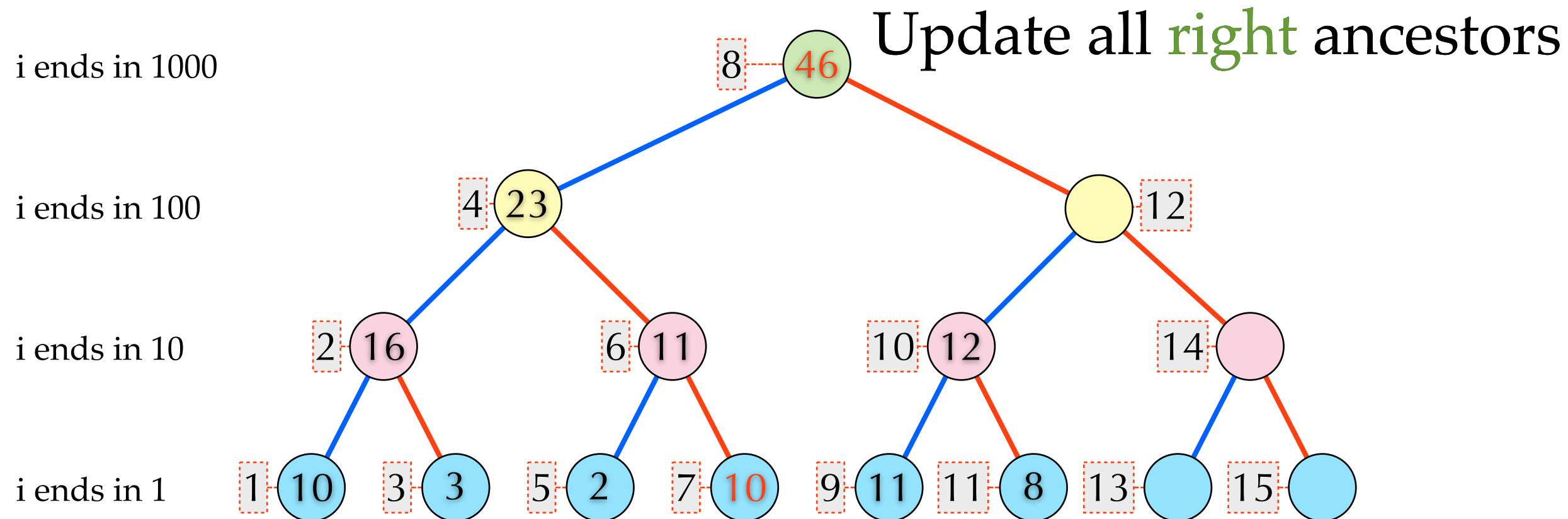
# Fenwick Tree: Update

update  $s_7=10$

ends in 1000	49										
ends in 100	23										
ends in 10	16				11				12		
ends in 1	10		3		2		10		11		8

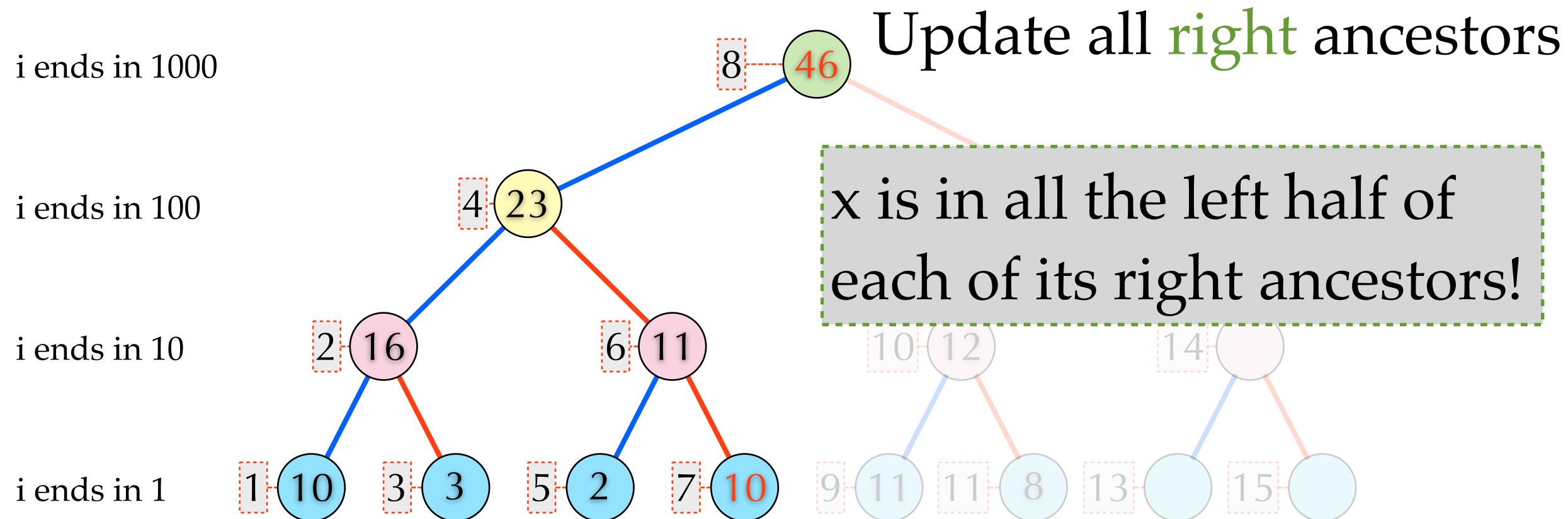
$f_i$	10	16	3	23	2	11	10	49	11	12	8
$s_i$	10	6	3	4	2	9	10	5	11	1	8

# Fenwick Tree: Update



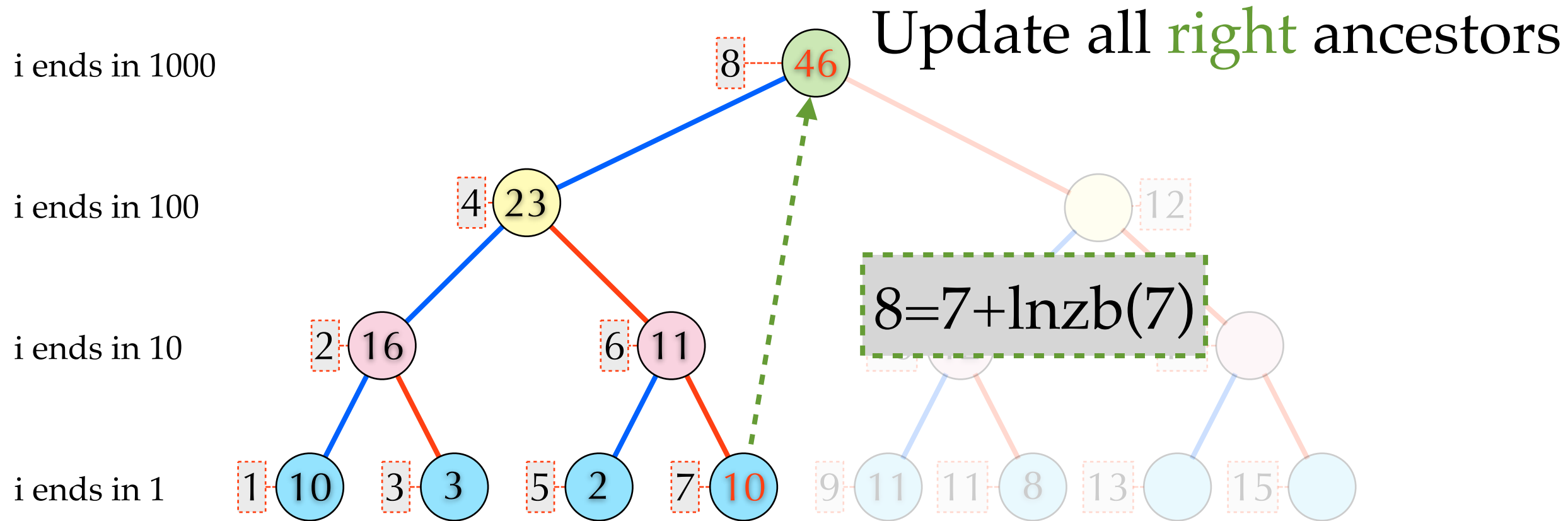
$f_i$	10	16	3	23	2	11	10	49	11	12	8				
$s_i$	10	6	3	4	2	9	10	5	11	1	8				

# Fenwick Tree: Update



$f_i$	10	16	3	23	2	11	10	49	11	12	8				
$s_i$	10	6	3	4	2	9	10	5	11	1	8				

# Fenwick Tree: Update



$f_i$	10	16	3	23	2	11	10	49	11	12	8				
$s_i$	10	6	3	4	2	9	10	5	11	1	8				

# Fenwick Tree: Update

update  $s_1=9$

ends in 1000	46										
ends in 100	23										
ends in 10	16				11				12		
ends in 1	10		3		2		7		11		8

$f_i$	10	16	3	23	2	11	7	46	11	12	8
$s_i$	10	6	3	4	2	9	7	5	11	1	8

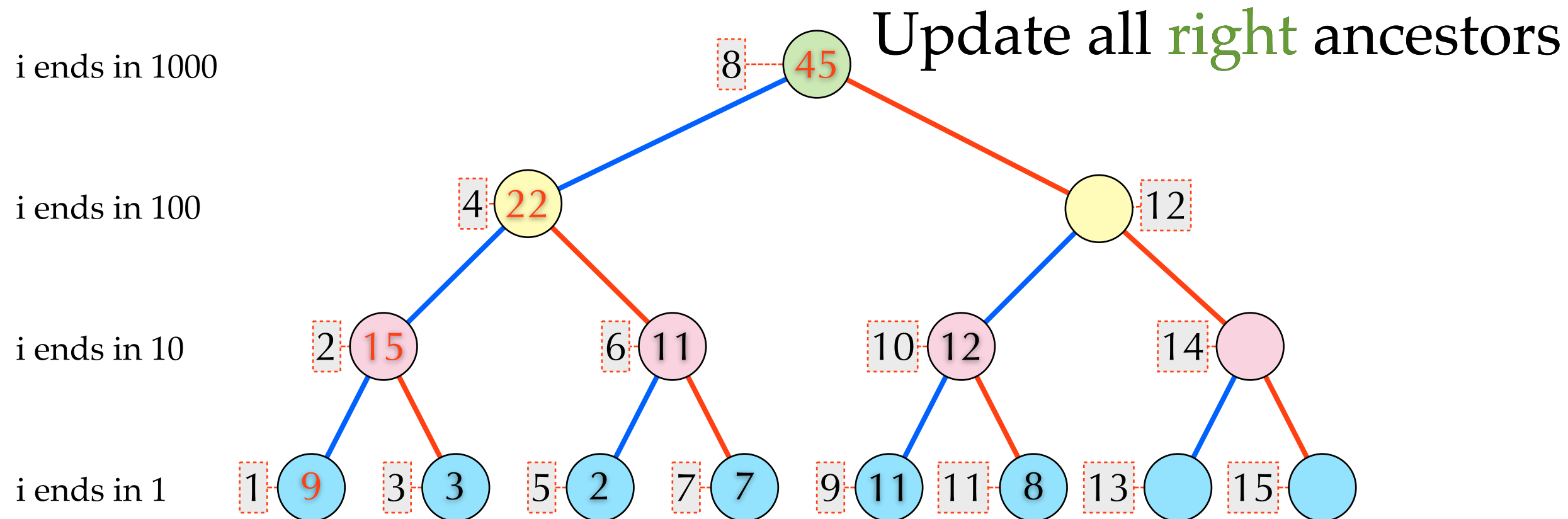
# Fenwick Tree: Update

update  $s_1=9$

ends in 1000	45										
ends in 100	22										
ends in 10	15				11				12		
ends in 1	9		3		2		7		11		8

$f_i$	9	15	3	22	2	11	7	45	11	12	8
$s_i$	9	6	3	4	2	9	7	5	11	1	8

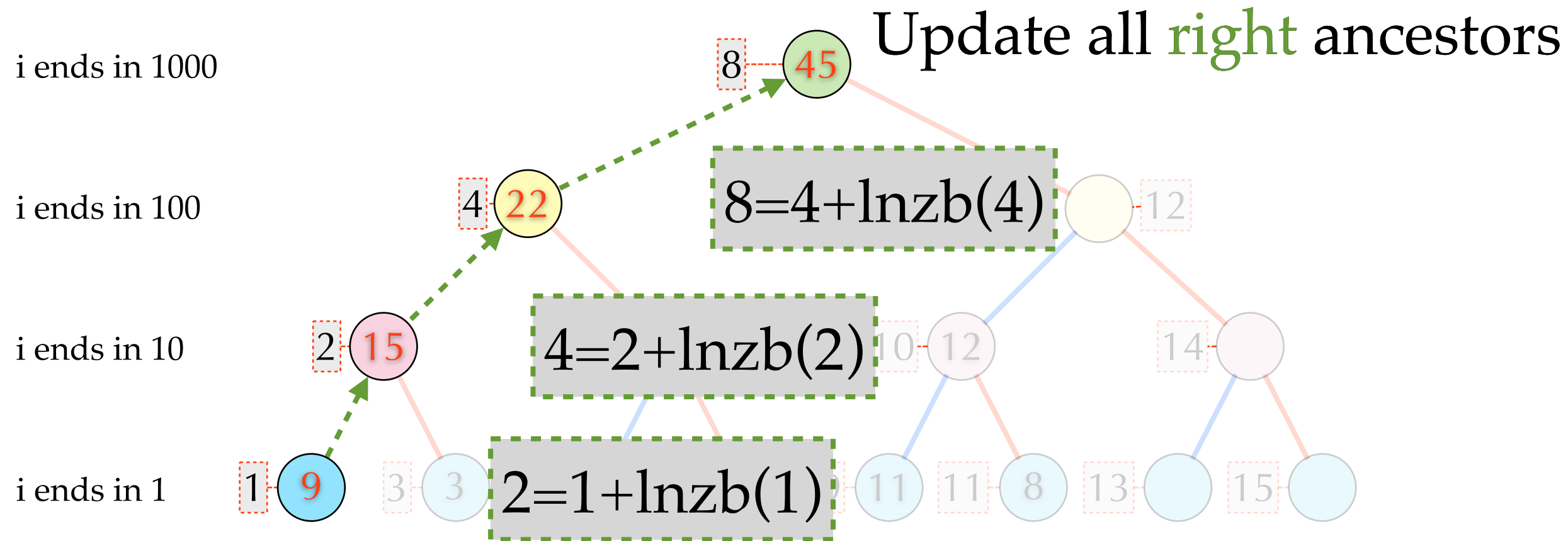
# Fenwick Tree: Update



$f_i$	9	15	3	22	2	11	7	45	11	12	8				
$s_i$	9	6	3	4	2	9	7	5	11	1	8				



# Fenwick Tree: Update



$f_i$	9	15	3	22	2	11	7	45	11	12	8				
$s_i$	9	6	3	4	2	9	7	5	11	1	8				

# Homework 7.1

- ▶ a) Prove or disprove to construct a Fenwick tree from an array  $A[1..n]$  can be done in  $O(n)$ .
- ▶ b) How to generalize Fenwick trees to support  $k$ -dimensional range sum query?
- ▶ c) How to use Fenwick trees to support range query without subtraction and negation?