#### Stat231-CS276A

### Pattern Recognition and Machine Learning

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#### Recent advances in PRML

#### IBM Watson for Jeopardy



- 1, Understand human speech
- 2, Search and evaluates hypotheses



3, Learns from user selections

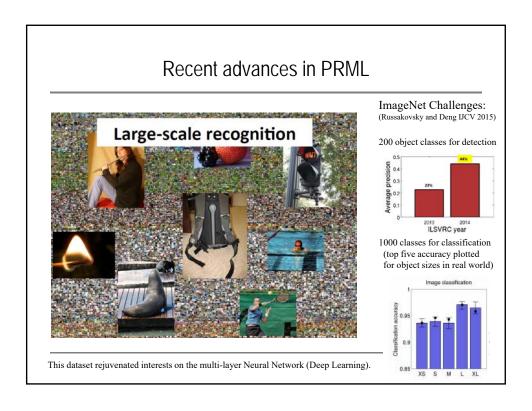
Google driverless car

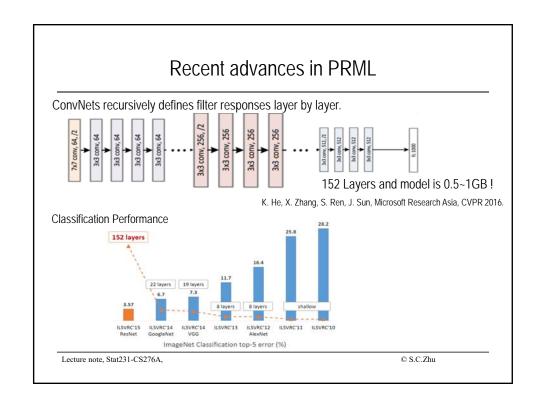


Still many obstacles remain in high level cognition.

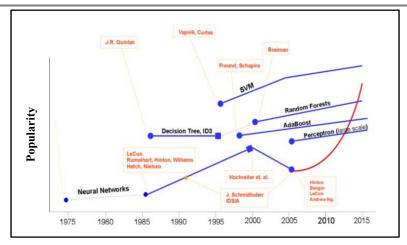
In 2012, we piloted project 3 with IBM Watson. The main method behind is Logistic regression.

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This is a slide borrowed from a DARPA manager.

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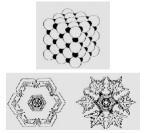
#### Lecture 1: Introduction

#### **Outline:**

- 1. Patterns in nature: a continuous spectrum.
  - --- Overview of the terminologies: concepts, models, and theories.
- 2. Applications of pattern recognition
- 3. Schools of thought in pattern recognition and machine learning
- 4. A simplistic example of pattern recognition
- 5. Overview of the four course projects

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Crystal patterns at atomic and molecular levels



Pattern, in English usually, refers to regular repeated structures. But in pattern recognition, anything that you can perceive is a pattern.

These structures can be represented by graphs or by grammars.

This is often called **syntactic pattern recognition** with **generative** models.

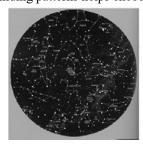
One may view a compiler for a programming language (e.g. matlab, c) as a syntactic pattern recognition system. A syntactic pattern recognition system not only classifies the input, but also extracts hierarchical (compositional) structures.

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#### **Examples of Patterns**

Constellation patterns in the sky are represented by 2D (often planar) graphs. Finding patterns helps encoding the signals.





random noise images are reported as positives or negatives, then sum Of positives minus the sum of negatives to produce a face pattern.



From Philippe Schyns and Nicola van Rijsbergen, 2014

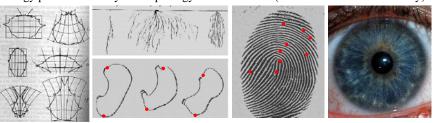
#### Human perception has a strong tendency to find patterns from almost anything.

We see (hallucinate) patterns from even random noise (psychology evidence) --- we are more likely to believe a hidden pattern than denying it when the **risk** (**reward**) for missing (discovering) a pattern is often high. This is an important aspect in **pattern discovery**.

It is formulated in the Bayesian decision theory --- considering the risk of classification.

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Biology patterns --- study in morphology and biometrics (Human ID is now an industry)



Landmarks (keypoints) are identified and matched between instances. Applications include biometrics, computational anatomy, brain mapping, forensics (fingerprint was first used in 1905 for solving a murder case, now it is used for all kinds of ID systems and smart phone login). But for other forms, like the root of plants, points cannot be registered crossing instances. They are described by stochastic models.

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### **Examples of Patterns**

**Pattern discovery and association**: In plain language, a pattern often mean a set of instances associated with (or caused by) some underlying factors.







Statistics show connections between the shape of one's face (adults) and his/her Character. There is also evidence that the outline of children's face is related to alcohol abuse during pregnancy.







With fMRI, we now can look the internal patterns of brain activity and find relationships between brain activities, cognition, and behaviors.

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A pattern often exhibits a wide range of variations with nuisance factors: e.g. faces

- 1. Expression geometric deformation
- 2. lighting --- photometric deformation
- 3. 3D pose transform
- 4. Noise and occlusion

Each pattern corresponds to a set (sometimes a **manifold**) in the signal space (spanned by the **degrees of freedoms** much smaller than the signal dimensions).



The nuisance factors are called attributes when they are "useful", e.g. as social traits.

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### **Examples of Patterns**

Detecting or recognizing human faces in the real world is challenging.

We need to consider many factors to build a robust system.







- 1, Face detection with cooperative subjects has found wide commercial applications. e.g. face detection in camera and iphones.
- 2, Face recognition is getting more promising this year, after failing many companies.

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Face recognition becomes tractable with infrared camera in constrained environments.











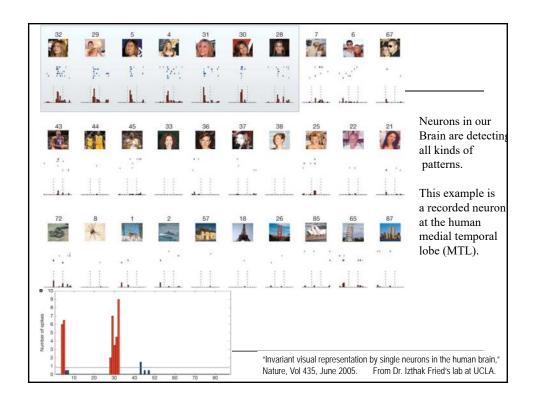


Outdoor lighting variation is the main obstacle for face recognition.

Images from Stan Li's lab/com.

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Classifying human actions, activities, and events in video. Other activities include city level (your mobile pattern recorded by GPS, Phone, e.g. Intelligent City)



Image from Jason Corso, Action Bank.

Some neurons in the pre-motor area of our brain respond to various actions, and how do we encode actions in our brain? Mirror neurons and origin of language.

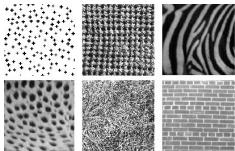
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## Types of models for patterns

How are these texture patterns represented in a human brain or a computer?

physics-based models vs. phenomenological models vs. example-based models

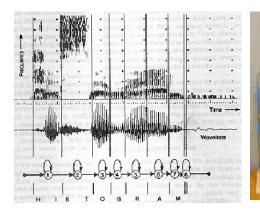


A wide variety of texture patterns are generated by various stochastic processes (chemical or physical, biologic), do we need to simulate these processes for representing the patterns?

A pattern could be represented by many ways for different purposes.

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Speech signal and hidden Markov model (level I)





An example is the model for speech recognition: People built physical models to simulate the uttering of phonemes.

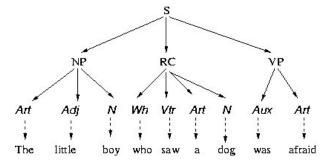
Now this problem is solved more effectively by collecting large **examples** of speech to combat accents and variations.

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## **Examples of Patterns**

Natural language and stochastic grammar (level II).



**Syntactic pattern recognition** methods were developed in the 1970s for recognizing patterns which have wide structural variations (i.e. signals have varying dimensions).

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A story generated from the rules: (level III)  $\alpha \beta^3 \delta^1 A^1 B^2 C \uparrow H^1 - I^2 K^4 \downarrow w^c$ 

A tsar (emperor in Russian), three daughters  $(\alpha)$ . The daughters go walking  $(\beta^3)$ , overstay in the garden  $(\delta^1)$ . A dragon kidnaps them  $(A^1)$ . A call for rescue  $(B^2)$ . Quest for three heros  $(C \uparrow)$ . Three battles with the dragon  $(H^1 - I^2)$ , rescue of the maidens  $(K^4)$ . Return  $(\downarrow)$ , and reward  $(w^c)$ .  $\alpha = \text{initial situation}$ 

 $eta_1 = ext{departure of elders} \\ eta_2 = ext{death of parents}$ 

I didn't update this slice. Nowadays, games are designed by stochastic  $\begin{array}{ccc} A^1 & = & \text{kidnapping of a person} \\ A^2 & = & \text{seizure of a magical agent} \\ & \cdots & \cdots \\ & & \cdots & & \cdots \\ \end{array}$ 

 $B^1 = \text{call for help}$   $D^1 = \text{test of hero}$  $E^1 = \text{sustained ordeal}$ 

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grammatical odels.

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## Applications of Pattern Recognition

Lie detector,

Handwritten Zip code/digit/letter recognition

Biometrics: voice, iris, finger print, face, and gait recognition

Speech/voice recognition

Smell recognition (e-nose, sensor networks)

Defect detection in chip manufacturing

Reading DNA sequences, Medical diagnosis

Detecting spam mails, ...

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## Some hard example: art and antique authentication

Is a picture drawn by a master or an amateur?





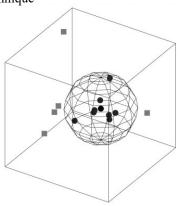
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### **Example: Art Authentication**

A multi-dimensional scaling (MDS) technique

Project a high-dimensional feature vector to 3D space so as to preserve the similarity (distance).

The circular and rectangular dots correspond to two types of styles.



(from S. Lyu, D. Rockmore, Hany Farid 2004)

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### Two Schools of Thoughts

#### 1. Discriminative methods:

The goal is to tell apart a number of patterns, say 100 people in a company, 10 digits for zip-code reading. These methods hit the discriminative target directly, without having to understand the patterns (their structures) or to develop a full mathematical description.

For example, we may tell someone is speaking English or Chinese in the hallway without understanding the words he is speaking.

"You should not solve a problem to an extent more than what you need" -Vapnik.

#### 2. Generative methods:

Bayesian school, pattern theory.

- 1). Define patterns and regularities (in graphical representations),
- 2). Specify likelihood model for how signals are generated from hidden structures
- 3). Learning probability models from ensembles of signals
- 4). Inferences.

"If you cannot solve a simple problem in vision, you may have to solve for a complex one"

Recently, the two schools are increasingly integrated, leads to lifelong continuous learning.

#### Levels of difficulties in Pattern Recognition Tasks

For example, there are many levels of tasks related to human face patterns

- 1. Face authentication (hypothesis test for one class)
- 2. Face detection (yes/no for many instances).
- 3. Face recognition (classification)
- 4. Expression recognition (smile, disgust, surprise, angry) identifiability problem.
- 5. Gender and age recognition

-----

- 6. Face sketch and from images to cartoon
  - --- needs generative models.
- 7. Face caricature

. . . . .

The simpler tasks 1-4 may be solved effectively using discriminative methods, but the tasks 5-7 will need generative methods that model faces explicitly.

From this example, we can see a problem of generalization in discriminative methods.

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### Two Schools of Thoughts

There have been long standing debates on generative vs. discriminative methods. The two schools become more and more integrated in recent years.

The discriminative methods aim directly at minimizing an utility function (e.g. classification error) and it does not need to model, represent, or "understand" the pattern explicitly. For example, we have very effective discriminative methods (Adaboost, we will introduce in project II). They can detect 99.99% faces in real images with low false alarms, and such detectors do not "know" explicitly that a face consists of two eyes, a nose and a mouth.

Discriminative methods often need large training data, say 100,000 labeled examples, and can hardly be generalized/transported. We should use them if we know for sure that the classification is all we need in an application, i.e. we don't expect to generalize the algorithm to much broader scope or utility functions.

In comparison, generative methods try to build models for the underlying patterns, and can be learned, adapted, and generalized with small data.

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#### Methods and research streams

Methods for pattern recognition:

Axis I: **generative** vs. **discriminative** 

(Bayesian vs. non-Bayesian)

Axis II: deterministic vs. stochastic

(logic/syntactic vs. statistics)

Axis III: parametric vs. semi-parametric vs. non-parametric

(the number of parameters vs. the size of training examples)

Axis IV: Supervised vs. Weakly supervised vs. unsupervised

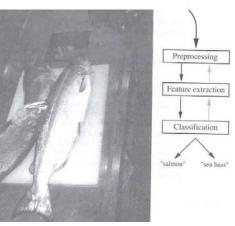
#### Examples:

Bayesian decision theory, neural networks, syntactical pattern recognition (AI), decision trees, support vector machines, boosting techniques, Deep Learning.

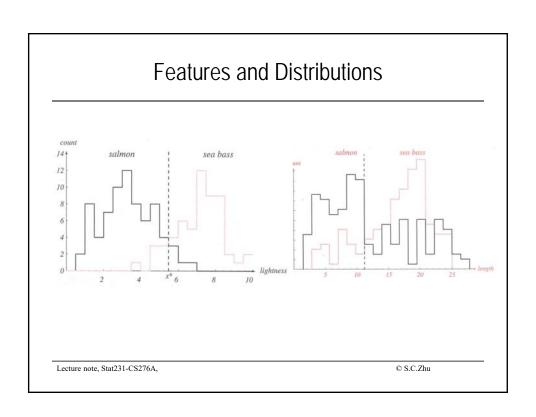
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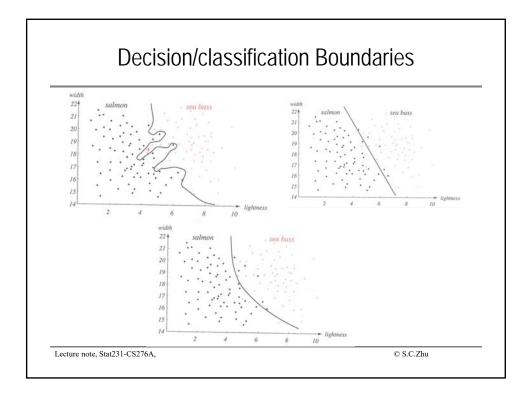
# A simple example of pattern recognition

Classifying fish into two classes: salmon and Sea Bass by discriminative method



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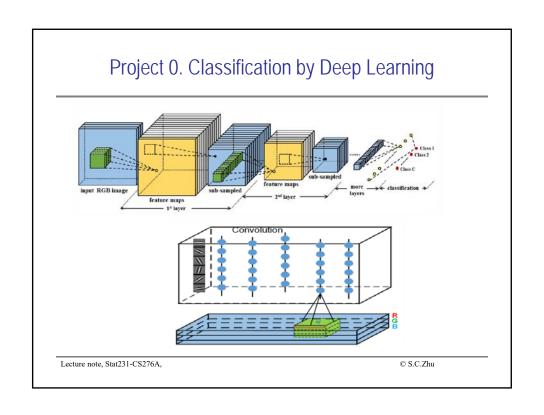


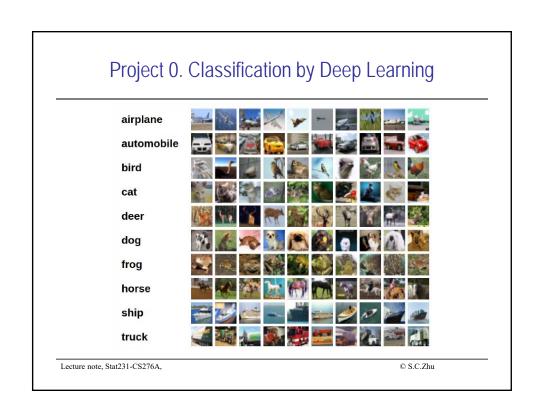


# Main Issues in Pattern Recognition

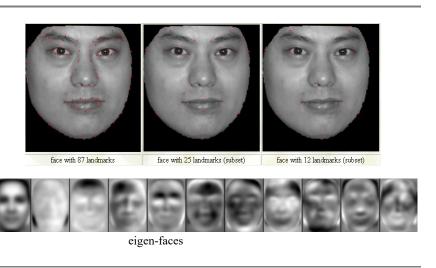
- 1. Feature selection and extraction
  - --- What are good discriminative features?
- 2. Modeling and learning
- 3. Dimension reduction, model complexity
- 4. Decisions and risks
- 5. Error analysis and validation.
- 6. Performance bounds and capacity.
- 7. Algorithms

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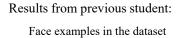
# Project I. Human face modeling



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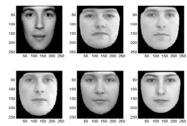
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# Project I. Human face analysis





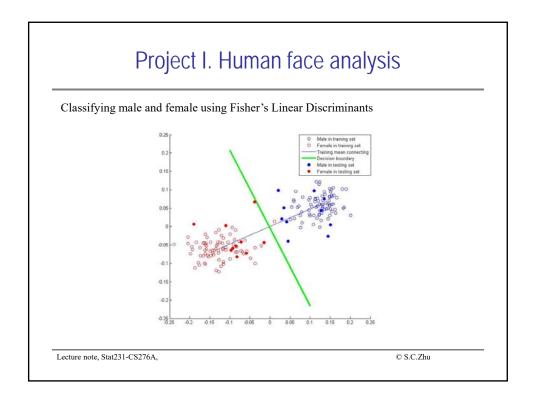
Face (lossy) reconstructed by 20 numbers

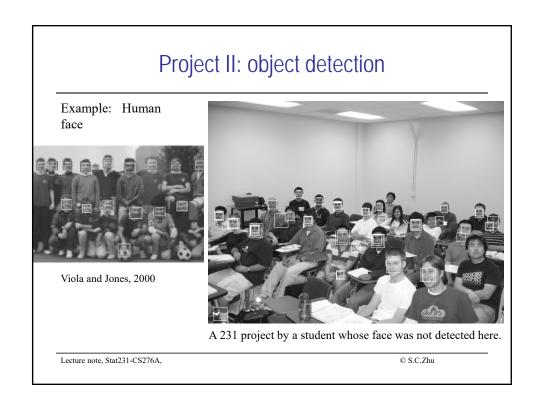


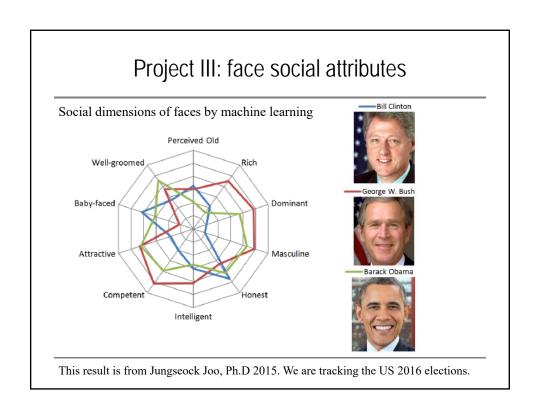
#### http://vimeo.com/user1158726/videos

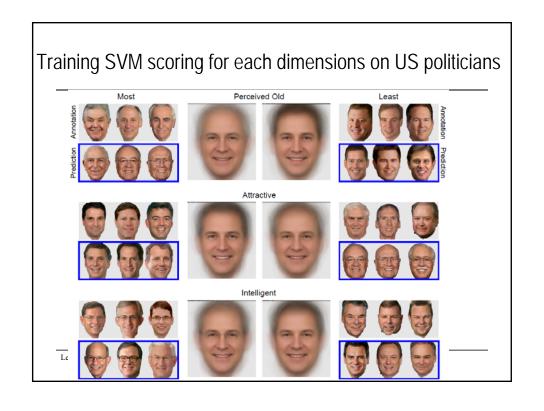
Demo made by Maria Pavlovskaia, Now at Snapchat

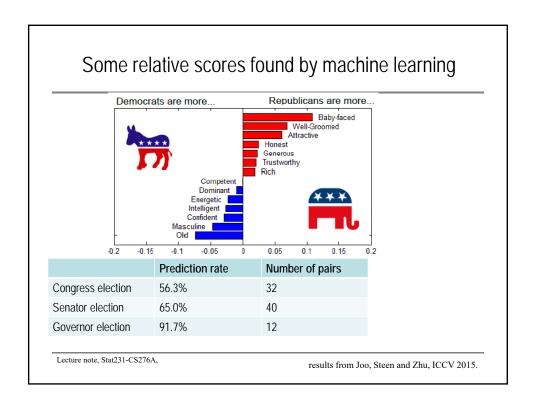
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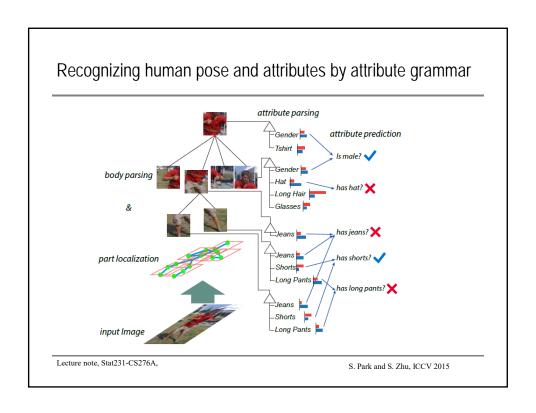
### Demos of automatic PTZ camera for reading license



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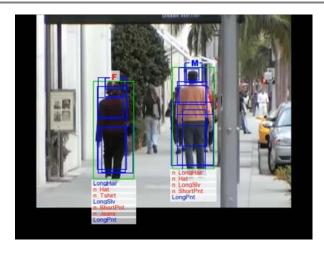


### Recognizing human pose and attributes by attribute grammar



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# Demos of recognizing human attributes from street webcam



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video files are from J. Joo and S. Park, UCLA Ph.D