# **STAT 231 / CS 276A - Project 3**

# **Face Social Traits and Political Election Analysis by SVM**

Due Date: December 2nd

## 1 Introduction and Objectives

This project is based on a paper by Jungseock Joo et al. in ICCV 2015. We consider a wide range of face social attributes including demographic, geometric and appearance facial features, and even some high-level perceptual dimensions (i.e. attractiveness, trustworthiness etc.). Furthermore, we exploit such facial attributes to analyze election outcomes and party affiliations (GOP vs DEM) of politicians. This study is motivated by prior behavior studies in psychology, which suggest that people judge others by facial appearance. Some evidence was also found in election and jury sentencing.

Project 3 is an exercise for studying the social attributes of human faces using Support Vector Machines. Basically the goal is to:

- 1) train classifiers that can automatically infer the perceived face social traits from low-level features and
  - 2) apply the model to analyze the outcomes of real-world political elections.

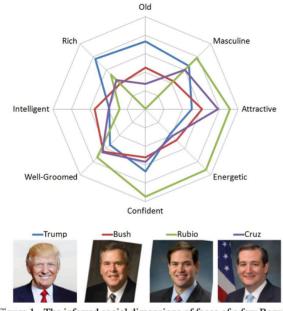


Figure 1. The inferred social dimensions of faces of a few Republican politicians who may run for 2016 presidency, predicted by our learned model.

[NOTE: All the materials and project theme are confidential, and provided only to the students in this class solely for educational purpose. Therefore, you must not distribute, use or present these materials for any purposes other than submission for this course project.]

### 2 Data and Tools

### 2.1 Images

/img # This is the set of images to train and test trait classifiers.
/M\*.jpg ## 491 images

/img-ele # This folder contains two sub-folders (senator and governor).
/governor
/G\*.jpg ## 112 images of governors
/senator
/S\*.jpg ## 116 images of senators

#### 2.2 Annotations

./train-anno.mat # This contains the perceived trait annotations (491 x 14 matrix).
The 14 variables correspond to {Old, Masculine, Baby-faced,
Competent, Attractive, Energetic, Well-groomed, Intelligent,
Honest, Generous, Trustworthy, Confident, Rich, Dominant}

# In addition, we provide you with pre-computed facial landmark coordinates (491 x 160 matrix). Each row represents 80 keypoint locations [x1, x2, ..., x80, y1, y2, ..., y80]

[NOTE: The trait annotations are obtained from ranking, thus you see *real-valued* scores instead of binary classes. Consider these options:

- a) Set an arbitrary decision threshold and divide the whole set into a positive and a negative set, or
- b) Use regression (e.g. SVR or RankingSVM).]

```
./stat-sen.mat
./stat-gov.mat  # These two files contain the pre-computed facial landmarks for the Part II images and the actual voting share differences between the candidate pairs.
```

#### 2.3 Tools

```
./libsvm_matlab/ # This is a library for Support Vector Machines. Refer to libsvm_doc.pdf for more details
./demo.m # An example script of using HoGfeatures.cc file
./HoGfeatures.cc # A mex implementation of HOG extraction.
```

#### 3 Tasks

## 3.1 Part I: Face Social Traits Classification(or Regression)

The goal of this task is to train binary SVMs (or SVRs) to predict the perceived traits (social attributes) from facial photographs. You can use the pre-computed facial keypoint locations and extract HoG (histogram of oriented gradient) features using the enclosed MATLAB function. You can further try your own favorite features.

[NOTE: We do not explicitly divide the image set into train/test sets. Therefore, you need to perform k-fold cross-validation and report the obtained accuracy.]

### 3.1.1 Part I-A: Classification by Landmarks

The first step of your assignment is to train 14 SVMs or SVRs only using the provided facial landmarks as features (no your own feature extraction step). Write a script which reads the annotation file and the landmark file and train 14 models -- one for each attribute dimension using the training examples.

After training is done, you should apply the learned classifiers on the test examples and measure performance (classification accuracy) of the classifiers. Since the labels are imbalanced (different number of positive vs negative examples), you should report the average precisions.

[NOTE: Through all experiments in this project, you need to measure both training error and testing error and report both in your report. When training SVM classifiers with LIBSVM or other libraries, you can specify a parameter ("C") to control the trade-off between classification accuracy and regularization. You should tune this parameter if you believe your classifiers are over-fitting.

### 3.1.2 Part I-B: Classification by Richer Features

The next step is to extract richer visual features (appearance) from the images. Here, you should include the HoG (histogram of oriented gradient) features and can additionally choose whatever feature you want to try such as LBP (local binary pattern) or color histogram. Then repeat the earlier step to train and test SVM classifiers, but using augmented features: [landmark] and [new appearance feature]. You can simply concatenate two types of feature vectors into one. Compare the performance with the previous one.

[NOTE: You can further implement a joint feature representation such that you first locate a facial landmark and extract the appearance feature around the location. A similar treatment to this is the "warping" strategy that you used in the project 1.]

#### 3.2 Part II: Election Outcome Prediction

## 3.2.1 Part II-A: Direct Prediction by Rich Features

Using the same feature that you developed in the section 3.1.2, train a classifier to classify the election outcome.

[NOTE: We do not divide the second image set into a train and a test set. Perform k-fold or leave-one-out cross-validation and report the average accuracy. The point is to achieve an accuracy higher than chance.]

## 3.2.2 Part II-B: Prediction by Face Social Traits

We finally consider a two-layer-model in which we first project each facial image in the 14-dimensional attribute space and classify the election outcome and the party affiliation using the attribute-based representation. Specifically, you need to apply the classifiers that you trained in the section 3.1.2 to each politician's image and collect all the outputs of 14 classifiers (use real-valued confidence instead of label). Treat these outputs in 14 categories as a new feature vector that represents the image. Since each race comprises two candidates, a simple trick is to define a pair of

politicians as one data point by subtracting a trait feature vector A from another vector B, and train a binary classifier:  $F_{AB} = F_A - F_B$ . Do not include a bias term. Then you can again train SVM classifiers using these new feature vectors. Compare the result with direct prediction in 3.2.1.

### 3.2.3 Part II-C: Analysis of Results

At a minimum, show the correlations between the facial attributes and the election outcomes. What are the facial attributes that lead to the electoral success?

### 4 Submission

Name your scripts as PI-A.m, PI-B.m, PII-A.m, etc.

Compress your report.pdf file and matlab script files (excluding given data and code or other temporary cache files) in one zip file and submit it in CCLE.