Chapter 9: Virtual Memory





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Background

Demand Paging

Copy-on-Write

Page Replacement

Allocation of Frames

Thrashing

Memory-Mapped Files

Allocating Kernel Memory

Other Considerations

Operating-System Examples





Objectives

To describe the benefits of a virtual memory system

To explain the concepts of demand paging, page-replacement algorithms, and allocation of page frames

To discuss the principle of the working-set model

To examine the relationship between shared memory and memory-mapped files

To explore how kernel memory is managed





Background

Code needs to be in memory to execute, but entire program rarely used

Error code, unusual routines, large data structures

Entire program code not needed at same time

Consider ability to execute partially-loaded program

Program no longer constrained by limits of physical memory

Each program takes less memory while running -> more programs run at the same time

 Increased CPU utilization and throughput with no increase in response time or turnaround time

Less I/O needed to load or swap programs into memory -> each user program runs faster





Background (Cont.)

Virtual memory – separation of user logical memory from physical memory

Only part of the program needs to be in memory for execution

Logical address space can therefore be much larger than physical address space

Allows address spaces to be shared by several processes

Allows for more efficient process creation

More programs running concurrently

Less I/O needed to load or swap processes





Background (Cont.)

Virtual address space – logical view of how process is stored in memory

Usually start at address 0, contiguous addresses until end of space

Meanwhile, physical memory organized in page frames

MMU must map logical to physical

Virtual memory can be implemented via:

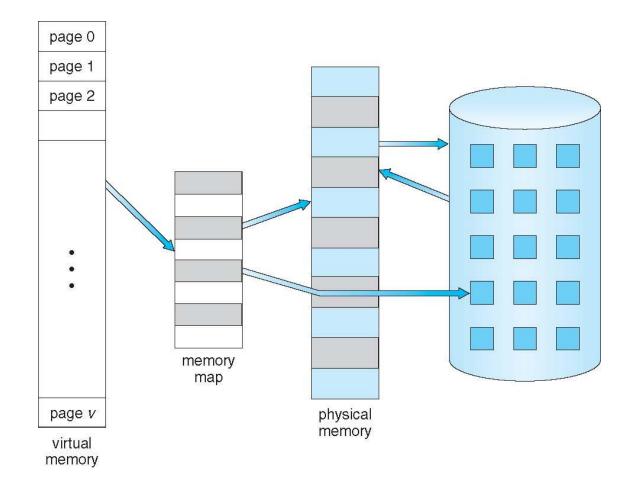
Demand paging

Demand segmentation





Virtual Memory That is Larger Than Physical Memory







Virtual-address Space

Usually design logical address space for stack to start at Max logical address and grow "down" while heap grows "up"

Maximizes address space use

Unused address space between the two is hole

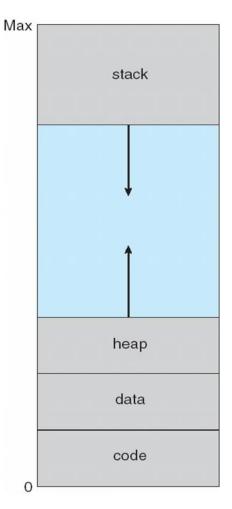
 No physical memory needed until heap or stack grows to a given new page

Enables sparse address spaces with holes left for growth, dynamically linked libraries, etc

System libraries shared via mapping into virtual address space

Shared memory by mapping pages readwrite into virtual address space

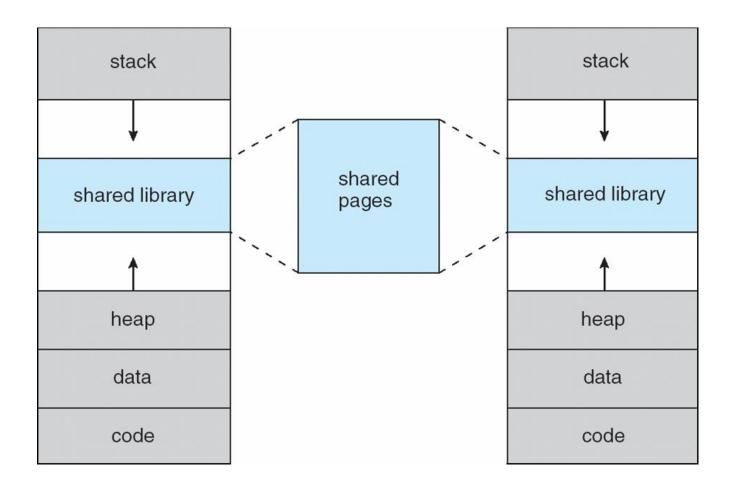
Pages can be shared during fork(), speeding process creation







Shared Library Using Virtual Memory







Demand Paging

Could bring entire process into memory at load time

Or bring a page into memory only when it is needed

Less I/O needed, no unnecessary I/O

Less memory needed

Faster response

More users

Similar to paging system with swapping (diagram on right)

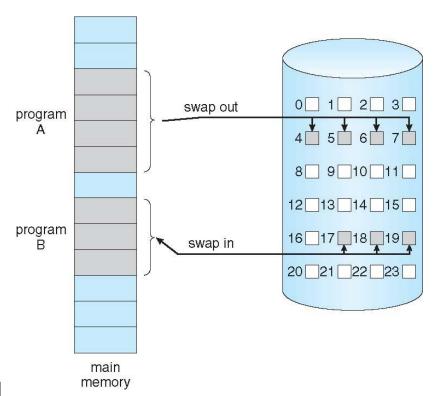
Page is needed ⇒ reference to it

invalid reference ⇒ abort

not-in-memory \Rightarrow bring to memory

Lazy swapper – never swaps a page into memory unless page will be needed

Swapper that deals with pages is a pager







Operating System Concepts – 9th Edition

Basic Concepts

With swapping, pager guesses which pages will be used before swapping out again

Instead, pager brings in only those pages into memory

How to determine that set of pages?

Need new MMU functionality to implement demand paging

If pages needed are already memory resident

No difference from non demand-paging

If page needed and not memory resident

Need to detect and load the page into memory from storage

- Without changing program behavior
- Without programmer needing to change code



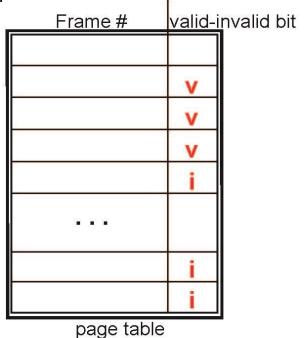


Valid-Invalid Bit

With each page table entry a valid–invalid bit is associated (v ⇒ in-memory – memory resident, i ⇒ not-in-memory)

Initially valid—invalid bit is set to i on all entries

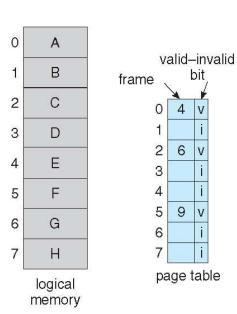
Example of a page table snapshot:

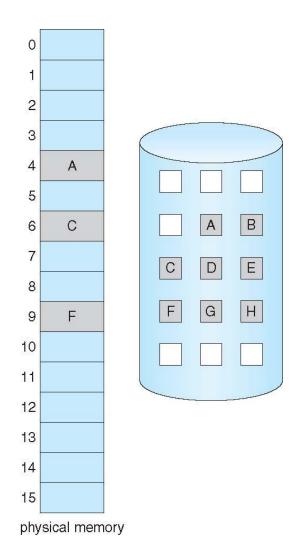


During MMU address translation, if valid–invalid bit in page table entry is $\mathbf{i} \Rightarrow$ page fault

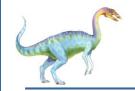


Page Table When Some Pages Are Not in Main Memory









Page Fault

If there is a reference to a page, first reference to that page will trap to operating system:

page fault

1. Operating system looks at another table to decide:

Invalid reference ⇒ abort

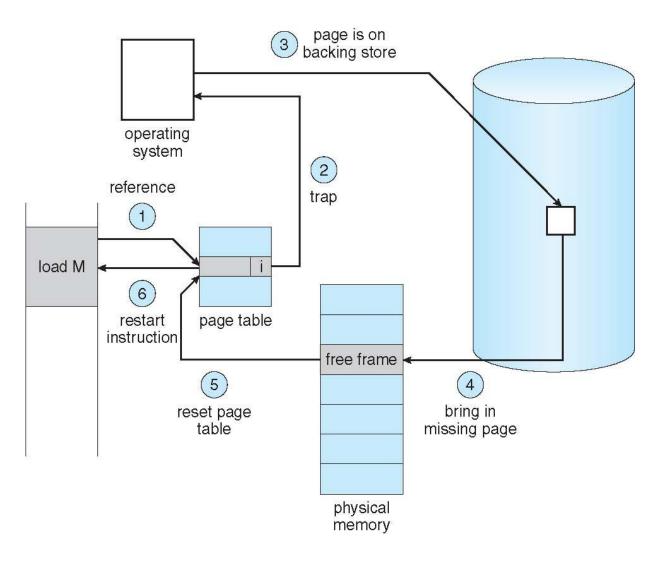
Just not in memory

- Find free frame
- 3. Swap page into frame via scheduled disk operation
- Reset tables to indicate page now in memory Set validation bit = v
- 5. Restart the instruction that caused the page fault





Steps in Handling a Page Fault







Aspects of Demand Paging

Extreme case – start process with *no* pages in memory

OS sets instruction pointer to first instruction of process, nonmemory-resident -> page fault

And for every other process pages on first access

Pure demand paging

Actually, a given instruction could access multiple pages -> multiple page faults

Consider fetch and decode of instruction which adds 2 numbers from memory and stores result back to memory

Pain decreased because of locality of reference

Hardware support needed for demand paging

Page table with valid / invalid bit

Secondary memory (swap device with swap space)

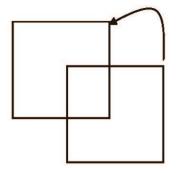
Instruction restart





Instruction Restart

Consider an instruction that could access several different locations block move



auto increment/decrement location Restart the whole operation?

What if source and destination overlap?

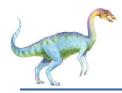




Performance of Demand Paging

Stages in Demand Paging (worse case)

- 1. Trap to the operating system
- 2. Save the user registers and process state
- 3. Determine that the interrupt was a page fault
- 4. Check that the page reference was legal and determine the location of the page on the disk
- 5. Issue a read from the disk to a free frame:
 - Wait in a queue for this device until the read request is serviced
 - Wait for the device seek and/or latency time
 - 3. Begin the transfer of the page to a free frame
- 6. While waiting, allocate the CPU to some other user
- 7. Receive an interrupt from the disk I/O subsystem (I/O completed)
- 8. Save the registers and process state for the other user
- 9. Determine that the interrupt was from the disk
- 10. Correct the page table and other tables to show page is now in memory
- 11. Wait for the CPU to be allocated to this process again
- 12. Restore the user registers, process state, and new page table, and then resume the interrupted instruction



Performance of Demand Paging (Cont.)

Three major activities

Service the interrupt – careful coding means just several hundred instructions needed

Read the page – lots of time

Restart the process – again just a small amount of time

Page Fault Rate $0 \le p \le 1$

if p = 0 no page faults

if p = 1, every reference is a fault

Effective Access Time (EAT)

 $EAT = (1 - p) \times memory access$

+ p (page fault overhead

+ swap page out

+ swap page in)





Demand Paging Example

Memory access time = 200 nanoseconds

Average page-fault service time = 8 milliseconds

$$EAT = (1 - p) \times 200 + p$$
 (8 milliseconds)

$$= (1 - p \times 200 + p \times 8,000,000)$$

$$= 200 + p \times 7,999,800$$

If one access out of 1,000 causes a page fault, then

EAT = 8.2 microseconds.

This is a slowdown by a factor of 40!!

If want performance degradation < 10 percent

$$220 > 200 + 7,999,800 \times p$$

$$20 > 7,999,800 \times p$$

< one page fault in every 400,000 memory accesses





Demand Paging Optimizations

Swap space I/O faster than file system I/O even if on the same device

Swap allocated in larger chunks, less management needed than file system

Copy entire process image to swap space at process load time

Then page in and out of swap space

Used in older BSD Unix

Demand page in from program binary on disk, but discard rather than paging out when freeing frame

Used in Solaris and current BSD

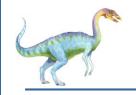
Still need to write to swap space

- Pages not associated with a file (like stack and heap) anonymous memory
- Pages modified in memory but not yet written back to the file system

Mobile systems

Typically don't support swapping

Instead, demand page from file system and reclaim read-only pages (such as code)



Copy-on-Write

Copy-on-Write (COW) allows both parent and child processes to initially **share** the same pages in memory

If either process modifies a shared page, only then is the page copied COW allows more efficient process creation as only modified pages are copied

In general, free pages are allocated from a **pool** of **zero-fill-on-demand** pages

Pool should always have free frames for fast demand page execution

Don't want to have to free a frame as well as other processing on page fault

Why zero-out a page before allocating it?

vfork() variation on fork() system call has parent suspend and child using copy-on-write address space of parent

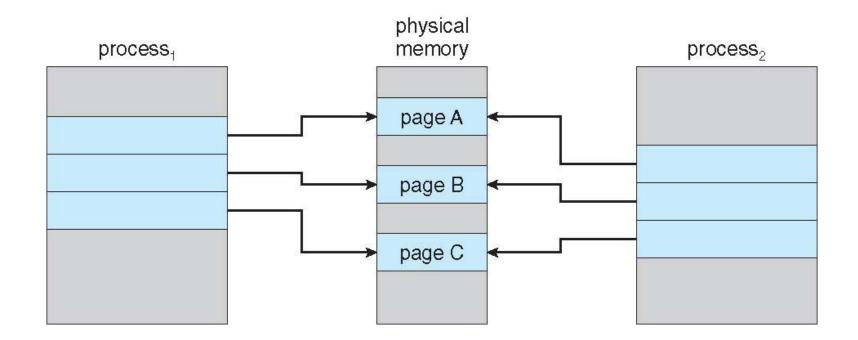
Designed to have child call exec()

Very efficient





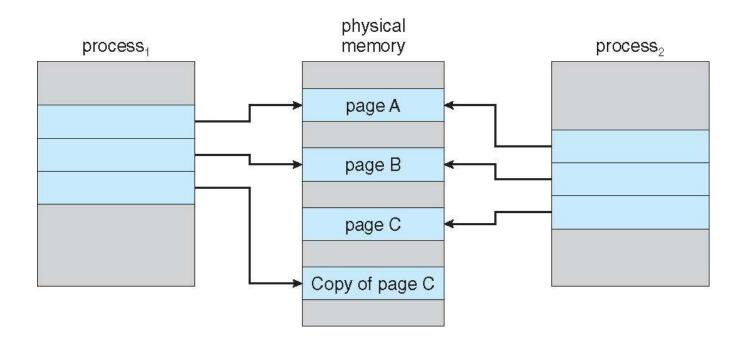
Before Process 1 Modifies Page C







After Process 1 Modifies Page C







What Happens if There is no Free Frame?

Used up by process pages

Also in demand from the kernel, I/O buffers, etc

How much to allocate to each?

Page replacement – find some page in memory, but not really in use, page it out

Algorithm – terminate? swap out? replace the page?

Performance – want an algorithm which will result in minimum number of page faults

Same page may be brought into memory several times





Page Replacement

Prevent over-allocation of memory by modifying pagefault service routine to include page replacement

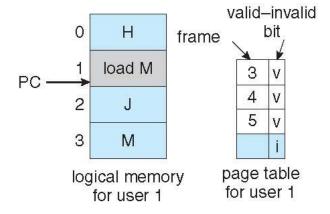
Use modify (dirty) bit to reduce overhead of page transfers – only modified pages are written to disk

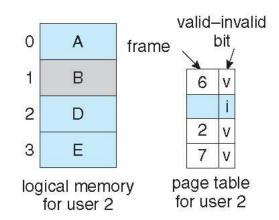
Page replacement completes separation between logical memory and physical memory – large virtual memory can be provided on a smaller physical memory

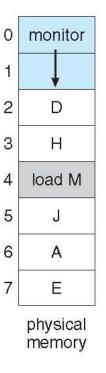


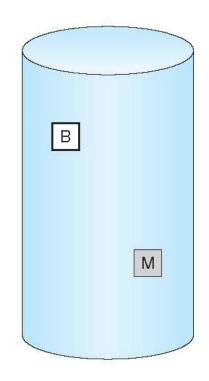


Need For Page Replacement













Basic Page Replacement

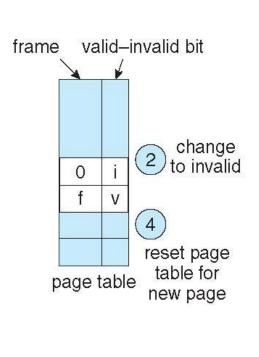
- 1. Find the location of the desired page on disk
- Find a free frame:
 - If there is a free frame, use it
 - If there is no free frame, use a page replacement algorithm to select a victim frame
 - Write victim frame to disk if dirty
- Bring the desired page into the (newly) free frame; update the page and frame tables
- 4. Continue the process by restarting the instruction that caused the trap

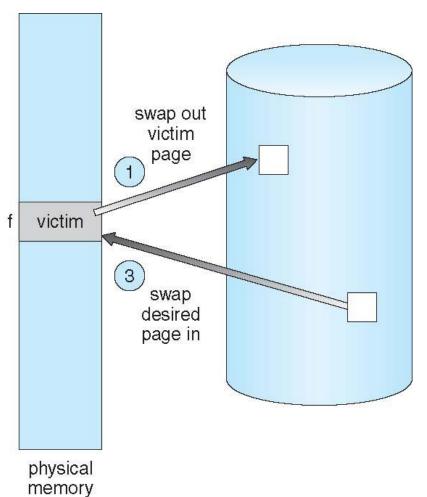
Note now potentially 2 page transfers for page fault – increasing EAT





Page Replacement







Page and Frame Replacement Algorithms

Frame-allocation algorithm determines

How many frames to give each process

Which frames to replace

Page-replacement algorithm

Want lowest page-fault rate on both first access and re-access

Evaluate algorithm by running it on a particular string of memory references (reference string) and computing the number of page faults on that string

String is just page numbers, not full addresses

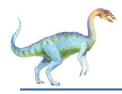
Repeated access to the same page does not cause a page fault

Results depend on number of frames available

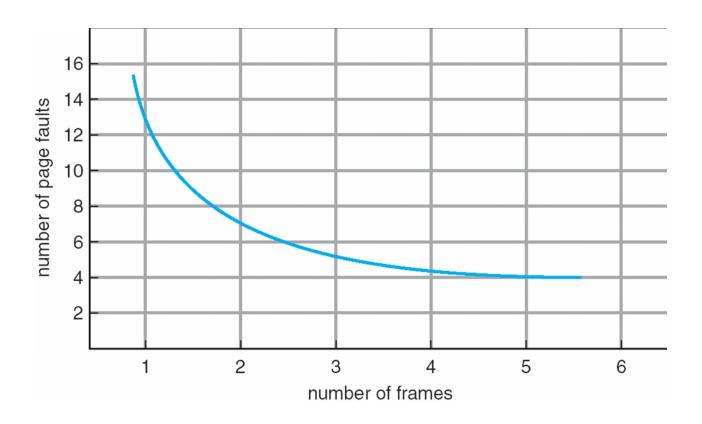
In all our examples, the **reference string** of referenced page numbers is

7,0,1,2,0,3,0,4,2,3,0,3,0,3,2,1,2,0,1,7,0,1





Graph of Page Faults Versus The Number of Frames







First-In-First-Out (FIFO) Algorithm

Reference string: 7,0,1,2,0,3,0,4,2,3,0,3,0,3,2,1,2,0,1,7,0,1

3 frames (3 pages can be in memory at a time per process)

15 page faults

Can vary by reference string: consider 1,2,3,4,1,2,5,1,2,3,4,5

Adding more frames can cause more page faults!

Belady's Anomaly

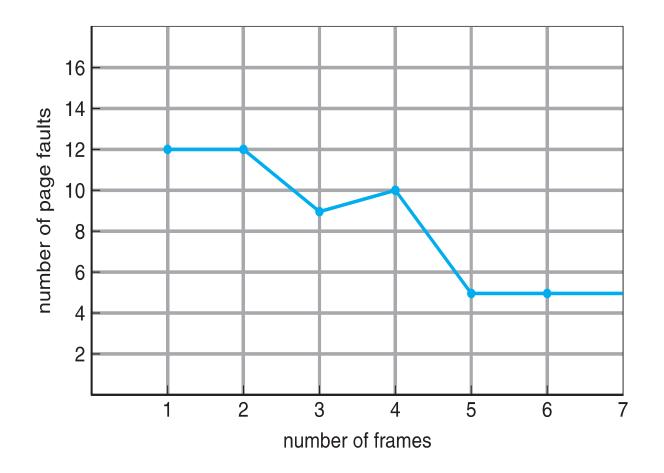
How to track ages of pages?

Just use a FIFO queue





FIFO Illustrating Belady's Anomaly







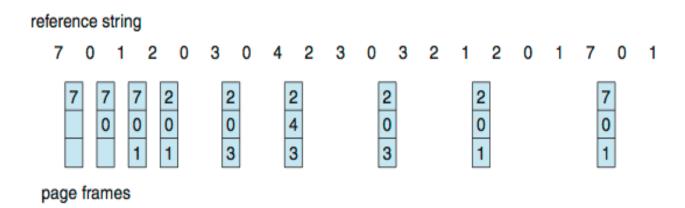
Optimal Algorithm

Replace page that will not be used for longest period of time 9 is optimal for the example

How do you know this?

Can't read the future

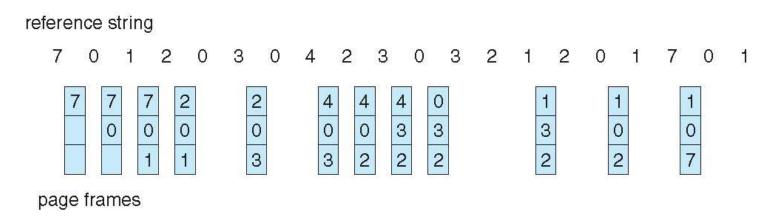
Used for measuring how well your algorithm performs





Least Recently Used (LRU) Algorithm

Use past knowledge rather than future
Replace page that has not been used in the most amount of time
Associate time of last use with each page



12 faults – better than FIFO but worse than OPT Generally good algorithm and frequently used But how to implement?





LRU Algorithm (Cont.)

Counter implementation

Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter

When a page needs to be changed, look at the counters to find smallest value

Search through table needed

Stack implementation

Keep a stack of page numbers in a double link form:

Page referenced:

- move it to the top
- requires 6 pointers to be changed

But each update more expensive

No search for replacement

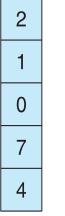
LRU and OPT are cases of **stack algorithms** that don't have Belady's Anomaly

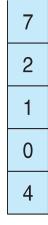


Use Of A Stack to Record Most Recent Page References

reference string











LRU Approximation Algorithms

LRU needs special hardware and still slow

Reference bit

With each page associate a bit, initially = 0

When page is referenced bit set to 1

Replace any with reference bit = 0 (if one exists)

We do not know the order, however

Second-chance algorithm

Generally FIFO, plus hardware-provided reference bit

Clock replacement

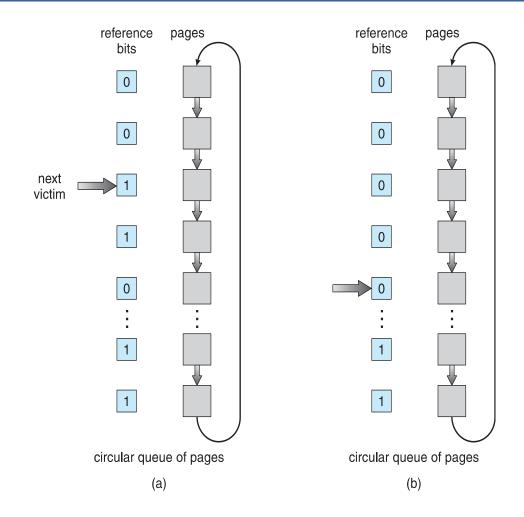
If page to be replaced has

- ▶ Reference bit = 0 -> replace it
- reference bit = 1 then:
 - set reference bit 0, leave page in memory
 - replace next page, subject to same rules





Second-Chance (clock) Page-Replacement Algorithm







Enhanced Second-Chance Algorithm

Improve algorithm by using reference bit and modify bit (if available) in concert

Take ordered pair (reference, modify)

- 1. (0, 0) neither recently used not modified best page to replace
- (0, 1) not recently used but modified not quite as good, must write out before replacement
- 3. (1, 0) recently used but clean probably will be used again soon
- 4. (1, 1) recently used and modified probably will be used again soon and need to write out before replacement

When page replacement called for, use the clock scheme but use the four classes replace page in lowest non-empty class

Might need to search circular queue several times





Counting Algorithms

Keep a counter of the number of references that have been made to each page

Not common

Lease Frequently Used (LFU) Algorithm: replaces page with smallest count

Most Frequently Used (MFU) Algorithm: based on the argument that the page with the smallest count was probably just brought in and has yet to be used





Page-Buffering Algorithms

Keep a pool of free frames, always

Then frame available when needed, not found at fault time

Read page into free frame and select victim to evict and add to free pool

When convenient, evict victim

Possibly, keep list of modified pages

When backing store otherwise idle, write pages there and set to non-dirty

Possibly, keep free frame contents intact and note what is in them

If referenced again before reused, no need to load contents again from disk

Generally useful to reduce penalty if wrong victim frame selected





Applications and Page Replacement

All of these algorithms have OS guessing about future page access

Some applications have better knowledge – i.e. databases

Memory intensive applications can cause double buffering

OS keeps copy of page in memory as I/O buffer

Application keeps page in memory for its own work

Operating system can given direct access to the disk, getting out of the way of the applications

Raw disk mode

Bypasses buffering, locking, etc





Allocation of Frames

Each process needs *minimum* number of frames

Example: IBM 370 – 6 pages to handle SS MOVE instruction:

instruction is 6 bytes, might span 2 pages

2 pages to handle from

2 pages to handle to

Maximum of course is total frames in the system

Two major allocation schemes

fixed allocation

priority allocation

Many variations





Fixed Allocation

Equal allocation – For example, if there are 100 frames (after allocating frames for the OS) and 5 processes, give each process 20 frames

Keep some as free frame buffer pool

Proportional allocation – Allocate according to the size of process

Dynamic as degree of multiprogramming, process sizes

change

$$-s_i = \text{size of process } p_i$$

$$-S = \sum s_i$$

$$-m = total number of frames$$

$$-a_i = \text{allocation for } p_i = \frac{s_i}{S} \times m$$

$$m = 64$$

$$s_1 = 10$$

$$s_2 = 127$$

$$a_1 = \frac{10}{137} \cdot 62 \times 4$$

$$a_2 = \frac{127}{137} \cdot 62 \gg 57$$





Priority Allocation

Use a proportional allocation scheme using priorities rather than size

If process P_i generates a page fault, select for replacement one of its frames select for replacement a frame from a process with lower priority number





Global vs. Local Allocation

Global replacement – process selects a replacement frame from the set of all frames; one process can take a frame from another

But then process execution time can vary greatly

But greater throughput so more common

Local replacement – each process selects from only its own set of allocated frames

More consistent per-process performance

But possibly underutilized memory





Non-Uniform Memory Access

So far all memory accessed equally

Many systems are NUMA – speed of access to memory varies

Consider system boards containing CPUs and memory, interconnected over a system bus

Optimal performance comes from allocating memory "close to" the CPU on which the thread is scheduled

And modifying the scheduler to schedule the thread on the same system board when possible

Solved by Solaris by creating Igroups

- Structure to track CPU / Memory low latency groups
- Used my schedule and pager
- When possible schedule all threads of a process and allocate all memory for that process within the Igroup





Thrashing

If a process does not have "enough" pages, the page-fault rate is very high

Page fault to get page

Replace existing frame

But quickly need replaced frame back

This leads to:

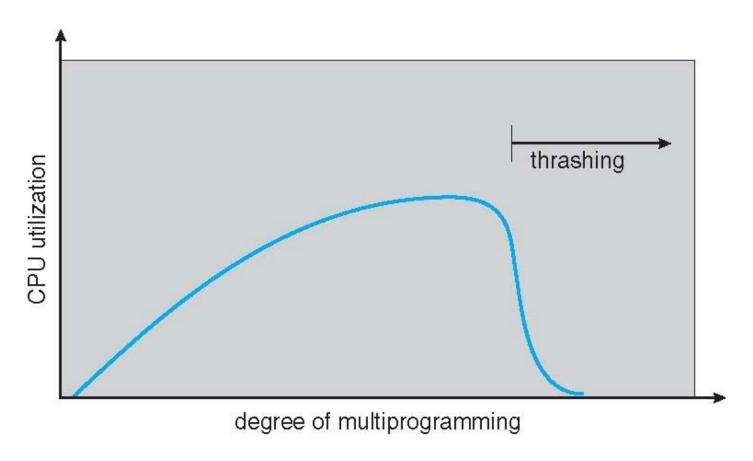
- Low CPU utilization
- Operating system thinking that it needs to increase the degree of multiprogramming
- Another process added to the system

Thrashing = a process is busy swapping pages in and out





Thrashing (Cont.)







Demand Paging and Thrashing

Why does demand paging work?

Locality model

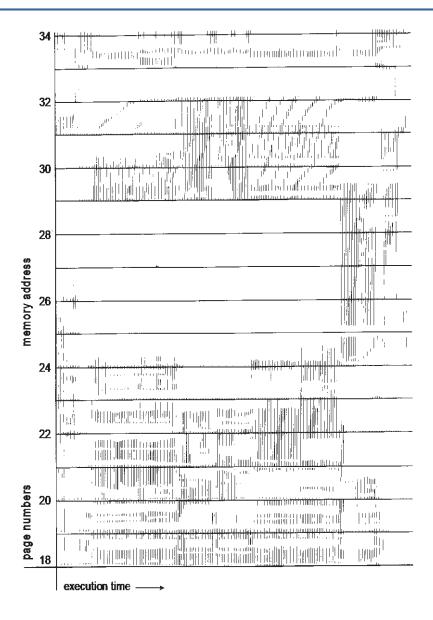
Process migrates from one locality to another Localities may overlap

Why does thrashing occur? Σ size of locality > total memory size Limit effects by using local or priority page replacement





Locality In A Memory-Reference Pattern







Working-Set Model

 Δ = working-set window = a fixed number of page references

Example: 10,000 instructions

 WSS_i (working set of Process P_i) =

total number of pages referenced in the most recent Δ (varies in time)

if Δ too small will not encompass entire locality

if Δ too large will encompass several localities

if $\Delta = \infty \Rightarrow$ will encompass entire program

 $D = \Sigma WSS_i \equiv \text{total demand frames}$

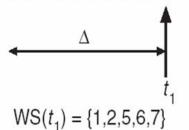
Approximation of locality

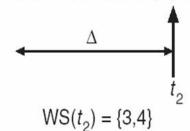
if $D > m \Rightarrow$ Thrashing

Policy if D > m, then suspend or swap out one of the processes

page reference table

... 2615777751623412344434344413234443444...









Keeping Track of the Working Set

Approximate with interval timer + a reference bit

Example: $\Delta = 10,000$

Timer interrupts after every 5000 time units

Keep in memory 2 bits for each page

Whenever a timer interrupts copy and sets the values of all reference bits to 0

If one of the bits in memory = $1 \Rightarrow$ page in working set

Why is this not completely accurate?

Improvement = 10 bits and interrupt every 1000 time units



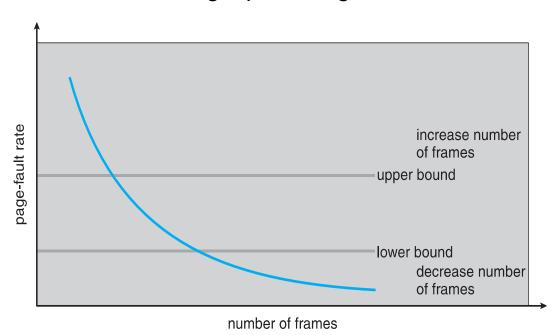


Page-Fault Frequency

More direct approach than WSS

Establish "acceptable" page-fault frequency (PFF) rate and use local replacement policy

If actual rate too low, process loses frame
If actual rate too high, process gains frame

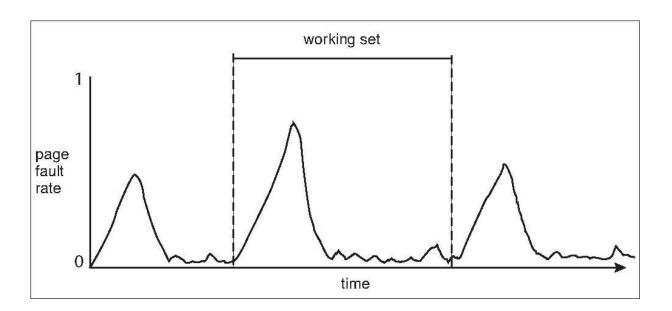






Working Sets and Page Fault Rates

- n Direct relationship between working set of a process and its page-fault rate
- Morking set changes over time
- n Peaks and valleys over time







Memory-Mapped Files

Memory-mapped file I/O allows file I/O to be treated as routine memory access by mapping a disk block to a page in memory

A file is initially read using demand paging

A page-sized portion of the file is read from the file system into a physical page

Subsequent reads/writes to/from the file are treated as ordinary memory accesses

Simplifies and speeds file access by driving file I/O through memory rather than read() and write() system calls

Also allows several processes to map the same file allowing the pages in memory to be shared

But when does written data make it to disk?

Periodically and / or at file close() time

For example, when the pager scans for dirty pages





Memory-Mapped File Technique for all I/O

Some OSes uses memory mapped files for standard I/O Process can explicitly request memory mapping a file via mmap () system call

Now file mapped into process address space

For standard I/O (open(), read(), write(), close()), mmap
anyway

But map file into kernel address space

Process still does read() and write()

Copies data to and from kernel space and user space

Uses efficient memory management subsystem

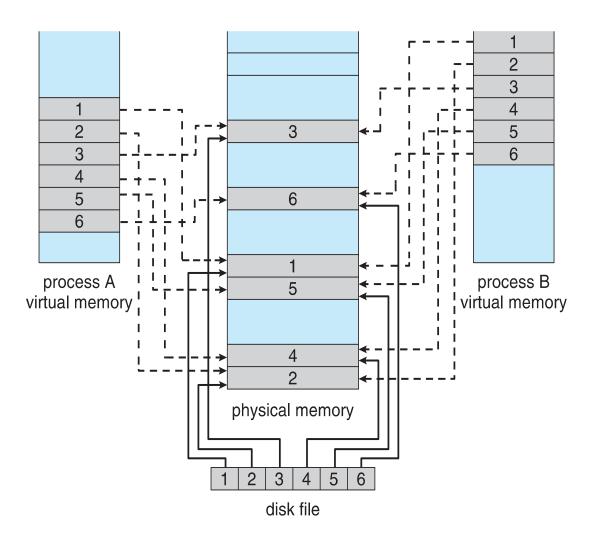
Avoids needing separate subsystem

COW can be used for read/write non-shared pages

Memory mapped files can be used for shared memory (although again via separate system calls)



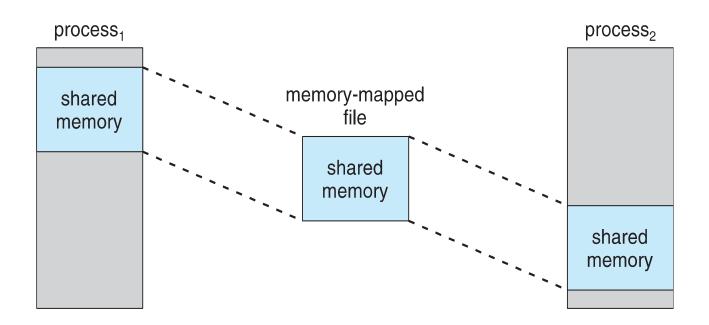
Memory Mapped Files







Shared Memory via Memory-Mapped I/O







Shared Memory in Windows API

First create a file mapping for file to be mapped

Then establish a view of the mapped file in process's virtual address space

Consider producer / consumer

Producer create shared-memory object using memory mapping features

Open file via CreateFile(), returning a HANDLE

Create mapping via CreateFileMapping() creating a named shared-memory object

Create view via MapViewOfFile()

Sample code in Textbook





Allocating Kernel Memory

Treated differently from user memory

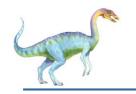
Often allocated from a free-memory pool

Kernel requests memory for structures of varying sizes

Some kernel memory needs to be contiguous

▶ I.e. for device I/O





Buddy System

Allocates memory from fixed-size segment consisting of physicallycontiguous pages

Memory allocated using power-of-2 allocator

Satisfies requests in units sized as power of 2

Request rounded up to next highest power of 2

When smaller allocation needed than is available, current chunk split into two buddies of next-lower power of 2

Continue until appropriate sized chunk available

For example, assume 256KB chunk available, kernel requests 21KB Split into $A_{L\ and}\ A_{R}$ of 128KB each

- One further divided into B_L and B_R of 64KB
 - One further into C_L and C_R of 32KB each one used to satisfy request

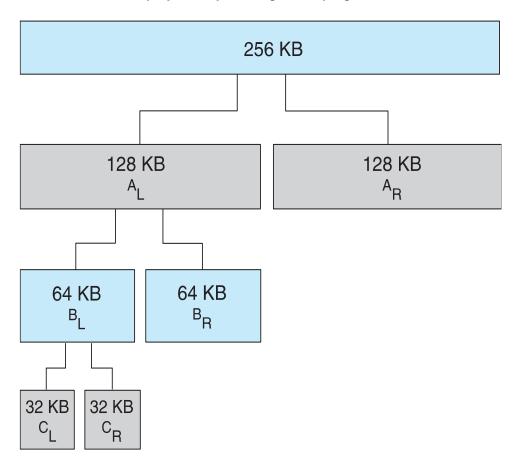
Advantage – quickly coalesce unused chunks into larger chunk

Disadvantage - fragmentation



Buddy System Allocator

physically contiguous pages







Slab Allocator

Alternate strategy

Slab is one or more physically contiguous pages

Cache consists of one or more slabs

Single cache for each unique kernel data structure

Each cache filled with **objects** – instantiations of the data structure

When cache created, filled with objects marked as free

When structures stored, objects marked as used

If slab is full of used objects, next object allocated from empty slab

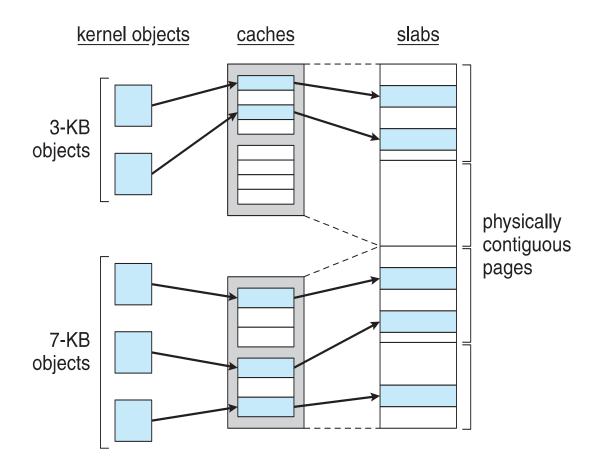
If no empty slabs, new slab allocated

Benefits include no fragmentation, fast memory request satisfaction

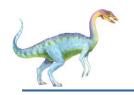




Slab Allocation







Slab Allocator in Linux

For example process descriptor is of type struct task_struct

Approx 1.7KB of memory

New task -> allocate new struct from cache

Will use existing free struct task struct

Slab can be in three possible states

- 1. Full all used
- 2. Empty all free
- 3. Partial mix of free and used

Upon request, slab allocator

- 1. Uses free struct in partial slab
- 2. If none, takes one from empty slab
- 3. If no empty slab, create new empty





Slab Allocator in Linux (Cont.)

Slab started in Solaris, now wide-spread for both kernel mode and user memory in various OSes

Linux 2.2 had SLAB, now has both SLOB and SLUB allocators SLOB for systems with limited memory

 Simple List of Blocks – maintains 3 list objects for small, medium, large objects

SLUB is performance-optimized SLAB removes per-CPU queues, metadata stored in page structure





Other Considerations -- Prepaging

Prepaging

To reduce the large number of page faults that occurs at process startup

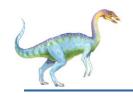
Prepage all or some of the pages a process will need, before they are referenced

But if prepaged pages are unused, I/O and memory was wasted

Assume s pages are prepaged and α of the pages is used

- Is cost of s * α save pages faults > or < than the cost of prepaging</p>
 - s * (1- α) unnecessary pages?
- a near zero ⇒ prepaging loses





Other Issues – Page Size

Sometimes OS designers have a choice

Especially if running on custom-built CPU

Page size selection must take into consideration:

Fragmentation

Page table size

Resolution

I/O overhead

Number of page faults

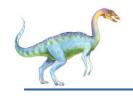
Locality

TLB size and effectiveness

Always power of 2, usually in the range 2^{12} (4,096 bytes) to 2^{22} (4,194,304 bytes)

On average, growing over time





Other Issues – TLB Reach

TLB Reach - The amount of memory accessible from the TLB

TLB Reach = (TLB Size) X (Page Size)

Ideally, the working set of each process is stored in the TLB Otherwise there is a high degree of page faults

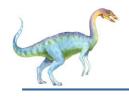
Increase the Page Size

This may lead to an increase in fragmentation as not all applications require a large page size

Provide Multiple Page Sizes

This allows applications that require larger page sizes the opportunity to use them without an increase in fragmentation





Other Issues – Program Structure

Program structure

```
int[128,128] data;
```

Each row is stored in one page

Program 1

for
$$(j = 0; j < 128; j++)$$

for $(i = 0; i < 128; i++)$
data $[i,j] = 0;$

 $128 \times 128 = 16,384$ page faults

Program 2

128 page faults



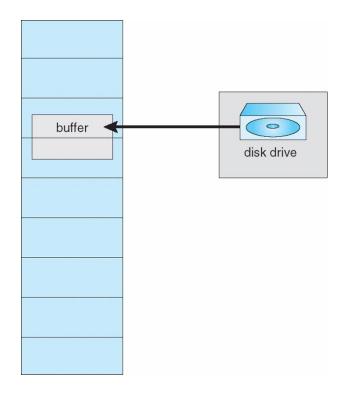


Other Issues – I/O interlock

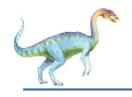
I/O Interlock – Pages must sometimes be locked into memory

Consider I/O - Pages that are used for copying a file from a device must be locked from being selected for eviction by a page replacement algorithm

Pinning of pages to lock into memory







Operating System Examples

Windows

Solaris





Windows

Uses demand paging with **clustering**. Clustering brings in pages surrounding the faulting page

Processes are assigned working set minimum and working set maximum

Working set minimum is the minimum number of pages the process is guaranteed to have in memory

A process may be assigned as many pages up to its working set maximum

When the amount of free memory in the system falls below a threshold, automatic working set trimming is performed to restore the amount of free memory

Working set trimming removes pages from processes that have pages in excess of their working set minimum





Solaris

Maintains a list of free pages to assign faulting processes

Lotsfree – threshold parameter (amount of free memory) to begin paging

Desfree – threshold parameter to increasing paging

Minfree – threshold parameter to being swapping

Paging is performed by pageout process

Pageout scans pages using modified clock algorithm

Scanrate is the rate at which pages are scanned. This ranges from slowscan to fastscan

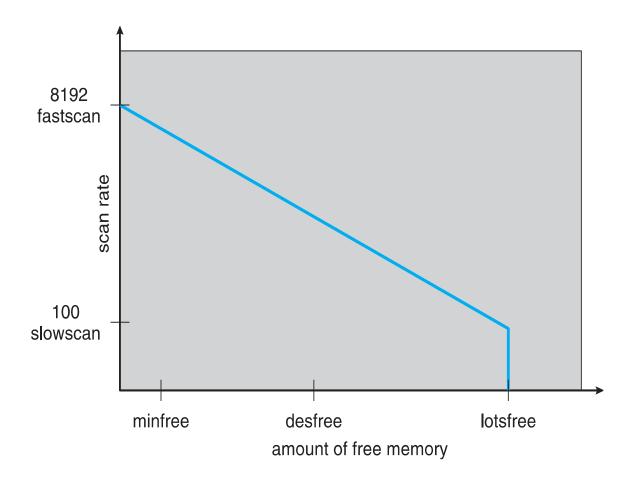
Pageout is called more frequently depending upon the amount of free memory available

Priority paging gives priority to process code pages





Solaris 2 Page Scanner





End of Chapter 9

