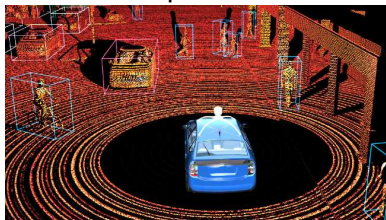


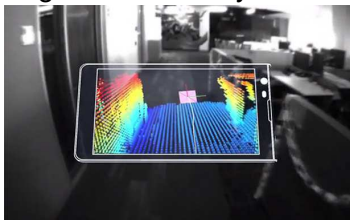
The Need for 3D Deep Learning

Robot Perception



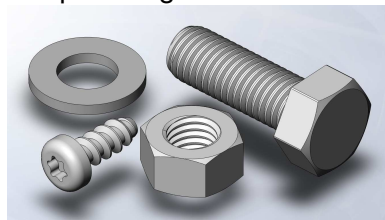
source: Scott J Grunewald

Augmented Reality



source: Google Tango

Shape Design



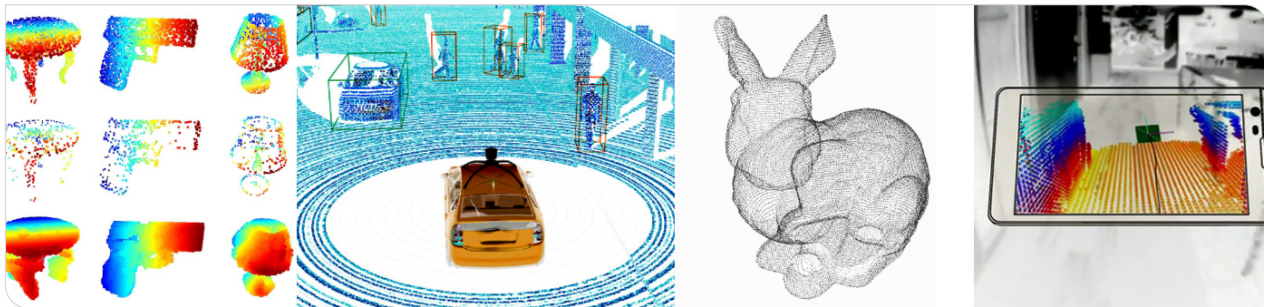
source: solidworks

A number of emerging 3D applications shape the need for 3D deep learning.

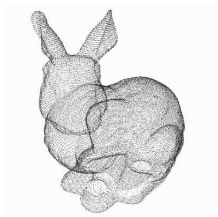
PointNet: Deep Learning on Point Sets for 3D Classification and Segmentation

Felix Karg | 29. Juni 2022

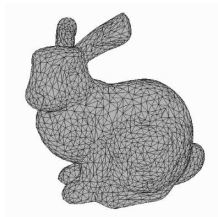
Betreuer: Antonio Zea



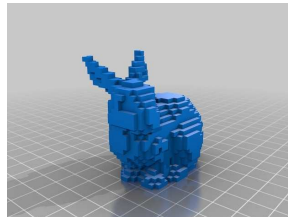
Common Representations of 3D Data



Point Cloud



Mesh



Volumetric



View Rendering

Contrary to 2D, 3D has many different popular representations.

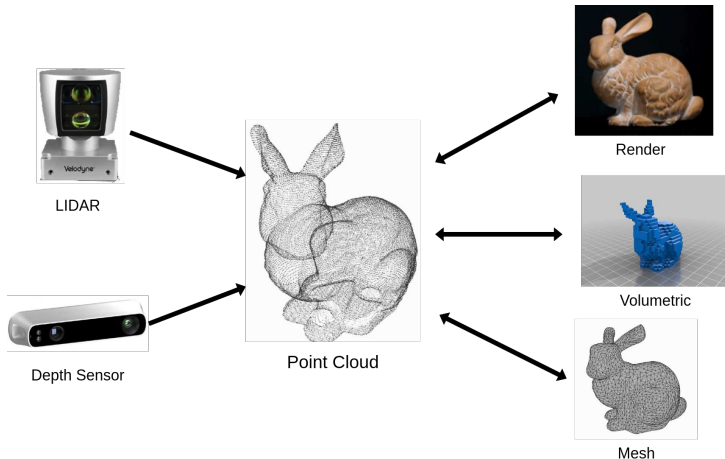
Figures and captions (partially) from CVPR presentation to [Qi+17a].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
| ●○ | ○○○ | ○○ | ○○○○○ | ○○○ | ○○ | ○○○○○ | ○○○○○ | ○○ | ○○ |

Canonical Representation: Point Cloud

- Point cloud is close to **raw depth sensor data**
- Point cloud is **canonical** (easy conversion from and to other representations)

Individual figures from CVPR presentation to [Qi+17a]



Representation
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Related Work
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PointNet
○○

Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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Impact
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Conclusion
○○

Point Cloud Features

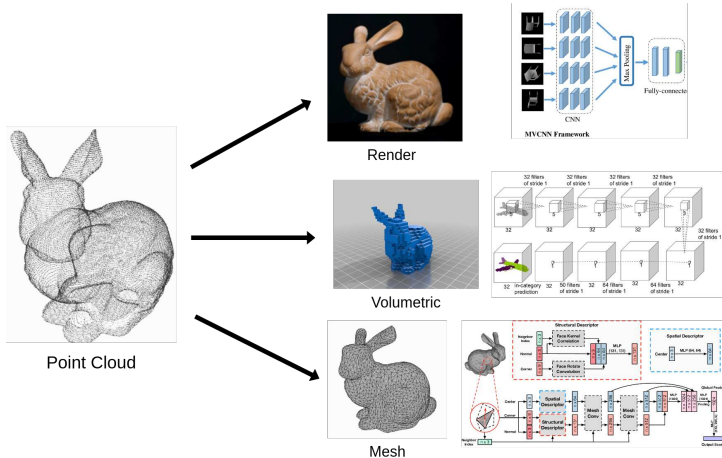
| Feature Name | Supports Texture / Color | Local / Global / Regional | Best Use Case |
|--------------|--------------------------|---------------------------|--|
| PFH | No | L | 2.5D Scans (Pseudo single position range images) |
| FPH | No | L | |
| VFH | No | G | Object detection with basic pose estimation |
| CVFH | No | R | Object detection with basic pose estimation, detection of partial objects |
| RIFT | Yes | L | Real world 3D-Scans with no mirror effects. RIFT is vulnerable against flipping. |
| RSD | No | L | |
| NARF | No | L | 2.5D (Range Images) |
| ESF | No | G | |

Overview from <https://github.com/PointCloudLibrary/pcl/wiki/Overview-and-Comparison-of-Features>

Most existing point cloud features are **handcrafted for specific tasks**.

| | | | | | | | | | |
|----------------------|---------------------|----------------|--------------------------|---------------------------------|--------------------|------------------|------------------------|--------------|------------------|
| Representation ○○ | Related Work ●○○ | PointNet ○○ | Unordered Input ○○○○○ | Geometric Transformation ○○○ | Architecture ○○ | Results ○○○○○ | Visualization ○○○○○ | Impact ○○ | Conclusion ○○ |
|----------------------|---------------------|----------------|--------------------------|---------------------------------|--------------------|------------------|------------------------|--------------|------------------|

Conversion to Other Representations



Figures from:

- Bunnies: CVPR presentation to [Qi+17a]
- MVCNN: [LXL19]
- 3D-CNN: Supplemental to [Qi+17a]
- Mesh-Net: [Fen+19]

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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Impact
○○

Conclusion
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Research Question:

Can we achieve effective **feature learning directly** on point clouds?

Representation
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Related Work
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PointNet
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Unordered Input
○○○○○

Geometric Transformation
○○○

Architecture
○○

Results
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Visualization
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Impact
○○

Conclusion
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Introduction to PointNet

- End-to-end learning for unordered point cloud data
- Unified framework for previously separate and specialized tasks
 - Object Classification
 - Object Part Segmentation
 - Semantic Scene parsing

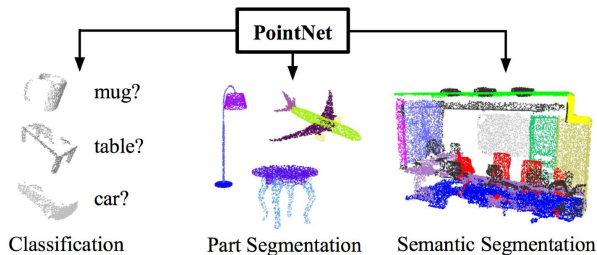
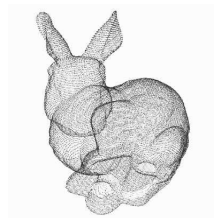


Figure from [Qi+17a].

Challenges

- Unordered point sets as input
 - Model needs to be invariant to $N!$ permutations



Point cloud figure from CVPR presentation to [Qi+17a].

Geometric transformation figure from [i2t19].

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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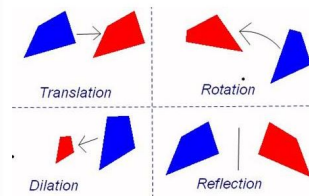
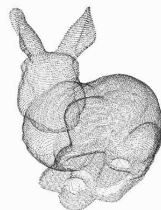
Visualization
○○○○○

Impact
○○

Conclusion
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Challenges

- Unordered point sets as input
 - Model needs to be invariant to $N!$ permutations
- Invariance under geometric transformations
 - Geometric transformations applied to point cloud data should not alter classification results



Point cloud figure from CVPR presentation to [Qi+17a].

Geometric transformation figure from [j2t19].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
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Unordered Point Sets

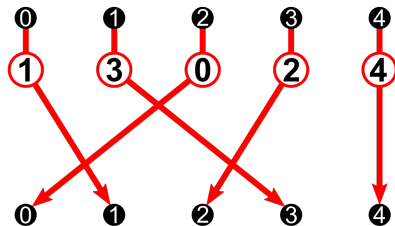
A set of points $p_i := (x_i, y_i, z_i)$

$$\{p_1, p_2, \dots, p_n\}$$

might be represented by any of its vector

permutations $[p_{\pi_1}, p_{\pi_2}, \dots, p_{\pi_n}]$ for any permutation π .

Since point cloud data is orderless, it requires invariance over input permutations when consumed directly.



Example Permutation.

Figure under CC-BY-SA 4.0 from [Wat22]

Solution: Symmetric Functions

Symmetric functions are invariant over argument permutations π :

$$f(x_1, x_2, \dots, x_n) \equiv f(x_{\pi_1}, x_{\pi_2}, \dots, x_{\pi_n})$$

Solution: Symmetric Functions

Symmetric functions are invariant over argument permutations π :

$$f(x_1, x_2, \dots, x_n) \equiv f(x_{\pi_1}, x_{\pi_2}, \dots, x_{\pi_n})$$

Examples for symmetric functions:

- max
- sum / addition
- mean

Q: How to integrate a symmetric function into a neural network architecture?

One Symmetric Function is All You Need

A concatenation of functions $(\gamma \circ g(h, ..))$ is symmetric if the central function g is symmetric:

$$f(x_1, x_2, \dots, x_n) = \gamma \circ g(h(x_1), \dots, h(x_n))$$

One Symmetric Function is All You Need

A concatenation of functions $(\gamma \circ g(h, \dots))$ is symmetric if the central function g is symmetric:

$$f(x_1, x_2, \dots, x_n) = \gamma \circ g(h(x_1), \dots, h(x_n))$$

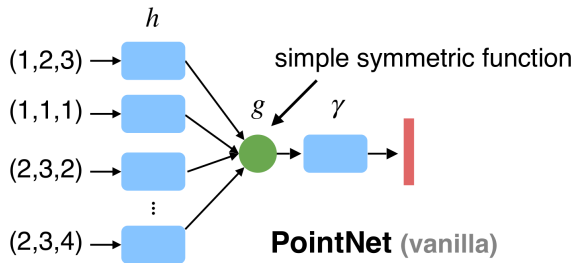


Figure from CVPR presentation to [Qi+17a].

Universal Set Function Approximation

PointNet (vanilla) is a universal set function approximator.

Theorem

A Hausdorff continuous symmetric function $f : 2^X \mapsto \mathbb{R}$ can be arbitrarily approximated by PointNet.

Universal Set Function Approximation

PointNet (vanilla) is a universal set function approximator.

Theorem

A Hausdorff continuous symmetric function $f : 2^X \mapsto \mathbb{R}$ can be arbitrarily approximated by PointNet.

$$\left| f(S) - \gamma \left(\underbrace{g_{x_i \in S} \{h(x_i)\}}_{\text{PointNet (vanilla)}} \right) \right| < \varepsilon$$

with $S \subseteq \mathbb{R}^d$

For details see [Qi+17a] and supplemental material.

Basic PointNet Architecture

In practice, both h and γ are **multi-layer perceptrons (MLP)** as generic function approximators. Empirically, **max pooling** provides the best results as symmetric function:

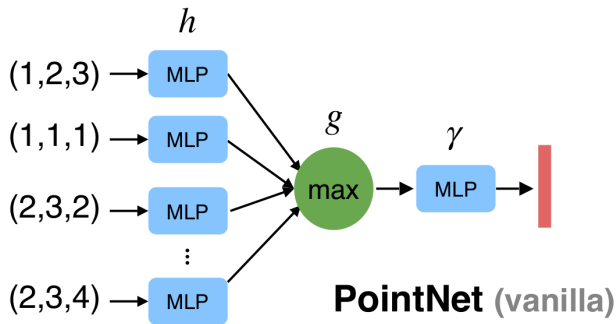


Figure from CVPR presentation to [Qi+17a].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
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Geometric Transformations

In particular, point cloud classification should be invariant to:

- Translation
- Rotation
- Scaling (Dilation)

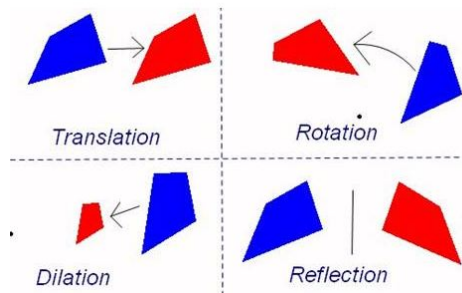


Figure from [i2t19].

Input Alignment by Transformer Network

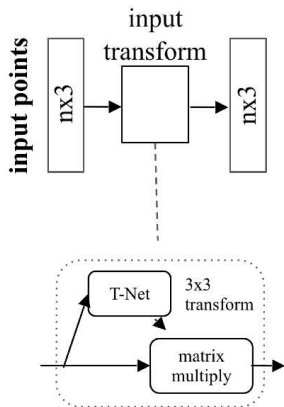


Figure from CVPR presentation to [Qi+17a].

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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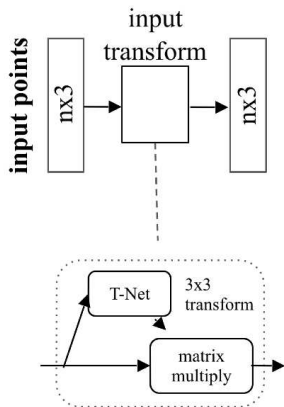
Results
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Visualization
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Impact
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Conclusion
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Input Alignment by Transformer Network



Solution

Have a transformer network (T-Net) figure out data-dependent transformations.

A T-Net is a PointNet (vanilla) with a matrix as output.

Figure from CVPR presentation to [Qi+17a].

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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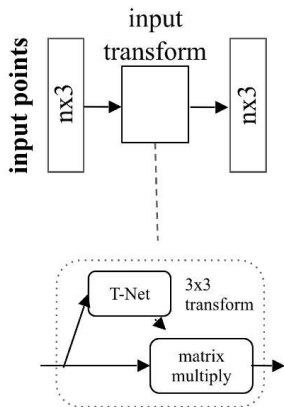
Results
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Visualization
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Conclusion
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Input Alignment by Transformer Network



Solution

Have a transformer network (T-Net) figure out data-dependent transformations.

A T-Net is a PointNet (vanilla) with a matrix as output.

Additionally, regularize matrix close to orthogonal:

$$L_{reg} = ||I - AA^T||_F^2$$

Figure from CVPR presentation to [Qi+17a].

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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Conclusion
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Effects of T-Net and Regularization

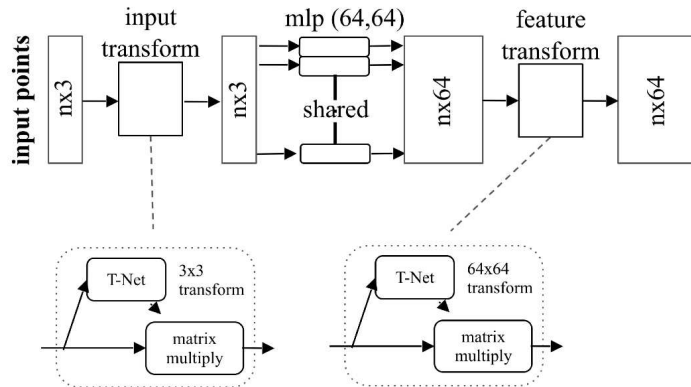


Figure from CVPR presentation to [Qi+17a].

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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Impact
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Conclusion
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Effects of T-Net and Regularization

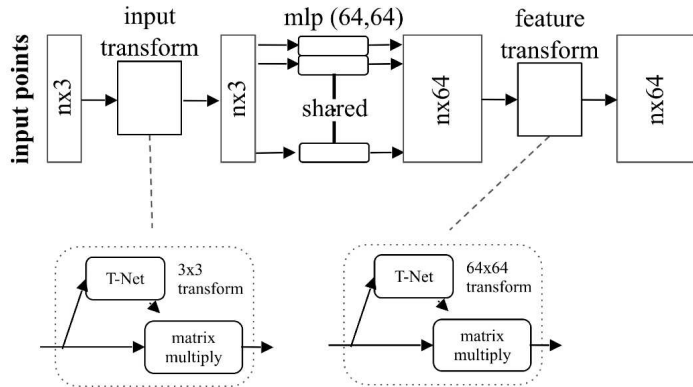


Figure from CVPR presentation to [Qi+17a].

| Transform | accuracy |
|------------------------|-------------|
| none | 87.1 |
| input (3x3) | 87.9 |
| feature (64x64) | 86.9 |
| feature (64x64) + reg. | 87.4 |
| both | 89.2 |

Effects of input feature transforms. Based on overall classification accuracy on the ModelNet40 [Wu+15] test set. Table from [Qi+17a].

PointNet Classification Network

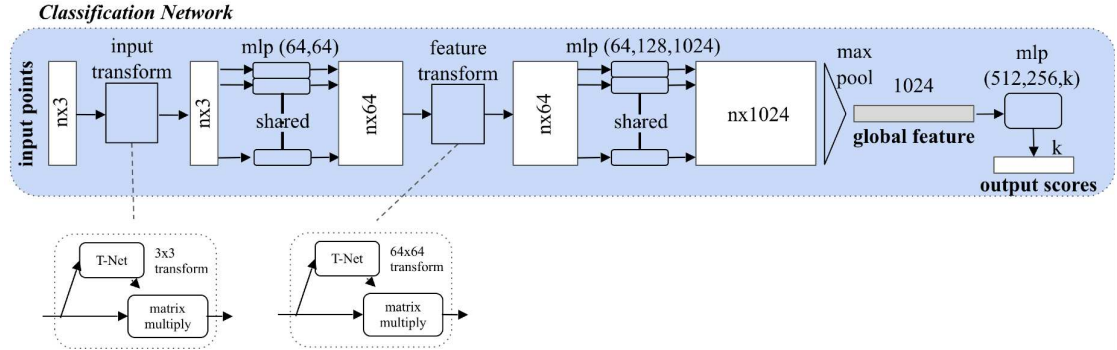


Figure from CVPR presentation to [Qi+17a].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
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Extension to PointNet Segmentation Network

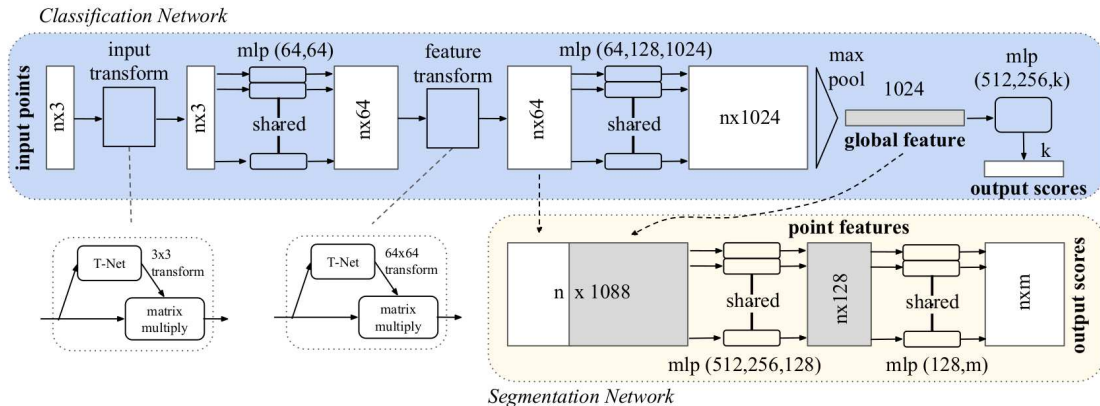


Figure from [Qi+17a].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
| oo | ooo | oo | ooooo | ooo | o● | oooooo | ooooo | oo | oo |

Results on Object Classification

| | input | #views | accuracy avg. class | accuracy overall |
|---------------------|--------|--------|------------------------|---------------------|
| SPH [KFR03] | mesh | - | 68.2 | - |
| 3DShapeNets [Wu+15] | volume | 1 | 77.3 | 84.7 |
| VoxNet [MS15] | volume | 12 | 83.0 | 85.9 |
| Subvolume [Qi+16] | volume | 20 | 86.0 | 89.2 |
| LFD [Wu+15] | image | 10 | 75.5 | - |
| MVCNN [Su+15] | image | 80 | 90.1 | - |
| Ours baseline | point | - | 72.6 | 77.4 |
| Ours PointNet | point | 1 | 86.2 | 89.2 |

Classification results on ModelNet40. PointNet achieves state-of-the-art among deep nets on 3D input. Table from [Qi+17a].

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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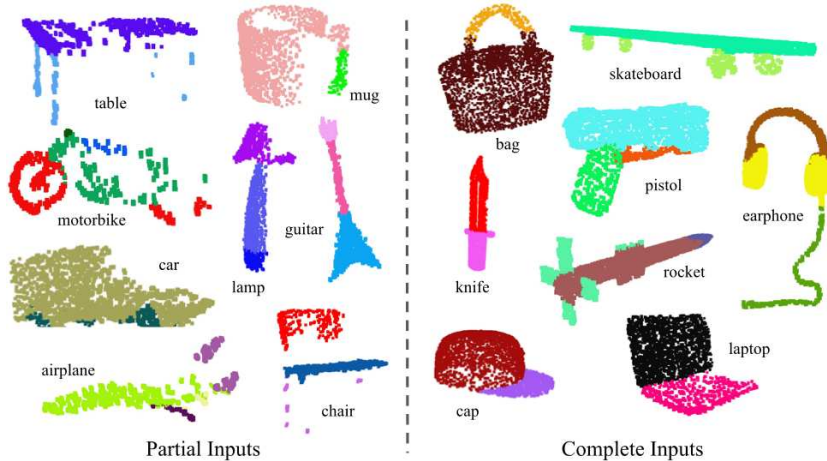
Results
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Visualization
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Impact
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Conclusion
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Visualization of Object Part Segmentation



Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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Impact
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Conclusion
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Results on Object Part Segmentation

| | mean | aero | bag | cap | car | chair | ear phone | guitar | knife | lamp | laptop | motor | mug | pistol | rocket | skate board | table |
|----------|-------------|-------------|-------------|-------------|-------------|-------------|--------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|----------------|-------------|
| # shapes | | 2690 | 76 | 55 | 898 | 3758 | 69 | 787 | 392 | 1547 | 451 | 202 | 184 | 283 | 66 | 152 | 5271 |
| Wu [24] | - | 63.2 | - | - | - | 73.5 | - | - | - | 74.4 | - | - | - | - | - | - | 74.8 |
| Yi [26] | 81.4 | 81.0 | 78.4 | 77.7 | 75.7 | 87.6 | 61.9 | 92.0 | 85.4 | 82.5 | 95.7 | 70.6 | 91.9 | 85.9 | 53.1 | 69.8 | 75.3 |
| 3DCNN | 79.4 | 75.1 | 72.8 | 73.3 | 70.0 | 87.2 | 63.5 | 88.4 | 79.6 | 74.4 | 93.9 | 58.7 | 91.8 | 76.4 | 51.2 | 65.3 | 77.1 |
| Ours | 83.7 | 83.4 | 78.7 | 82.5 | 74.9 | 89.6 | 73.0 | 91.5 | 85.9 | 80.8 | 95.3 | 65.2 | 93.0 | 81.2 | 57.9 | 72.8 | 80.6 |

Segmentation results on ShapeNet part dataset. The metric used is mIoU(%) on points. Figure/Table from [Qi+17a].

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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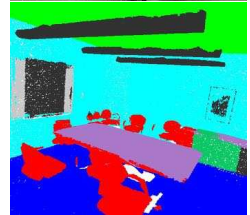
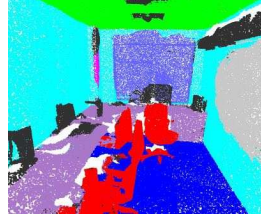
Conclusion
○○

Semantic Scene Parsing

■ Input



■ Output



Figures from [Qi+17a].

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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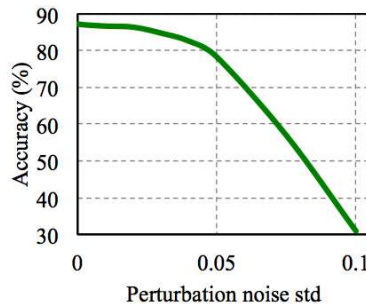
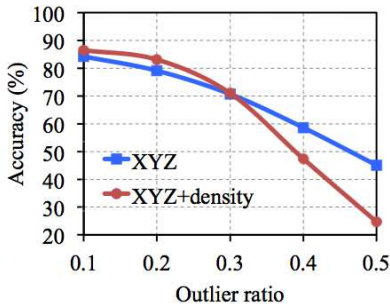
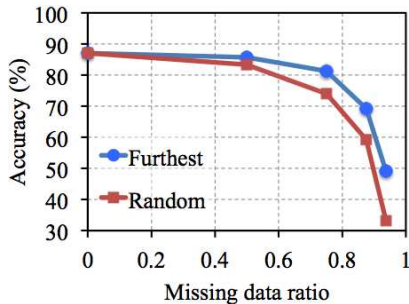
Results
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Visualization
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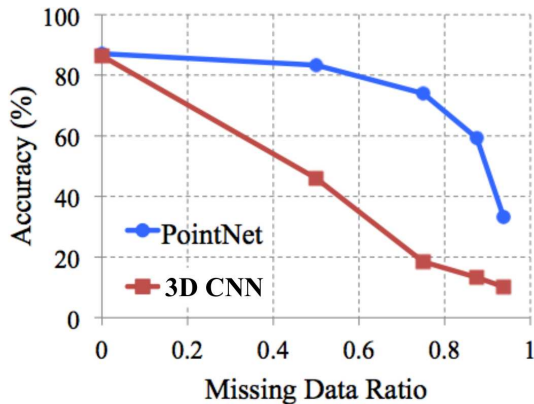
Conclusion
○○

Robustness to Data Corruption



Robustness tests. Accuracy measured on ModelNet40. Figure from [Qi+17a].

Robustness in comparison



Q: Why is PointNet so robust to missing data?

Robustness in comparison with 3D CNN. Figure from CVPR presentation to [Qi+17a].

Representation
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Related Work
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PointNet
○○

Unordered Input
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Geometric Transformation
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Architecture
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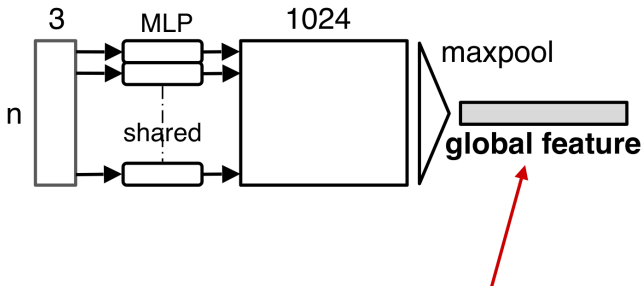
Results
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Visualization
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Impact
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Conclusion
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Visualizing Global Point Cloud Features



Which points contribute to the global feature vector? (**critical points**)
Which additional points won't affect the global feature vector? (**upper bound**)

Figure from CVPR presentation to [Qi+17a].

| | | | | | | | | | |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
| oo | ooo | oo | ooooo | ooo | oo | oooooo | ●oooo | oo | oo |

Visualizing Global Point Cloud Features

- Original Shape
- Critical Point Set
- Upper Bound Set

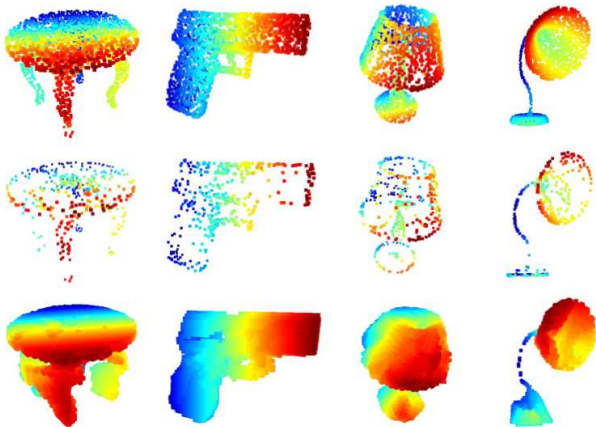


Figure from [Qi+17a].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
| oo | ooo | oo | ooooo | ooo | oo | oooooo | o●ooo | oo | oo |

Visualizing Global Point Cloud Features (OOS)

- Original Shape
- Critical Point Set
- Upper Bound Set

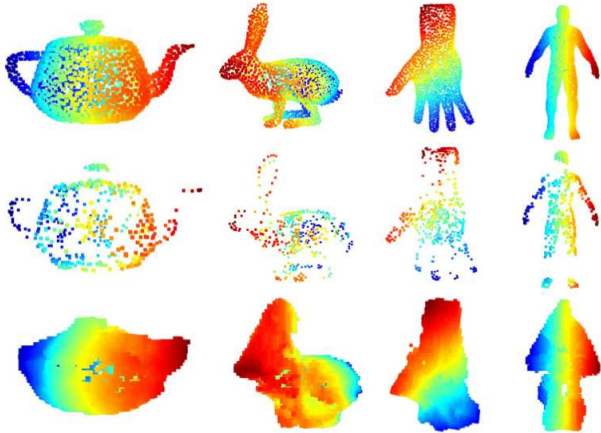
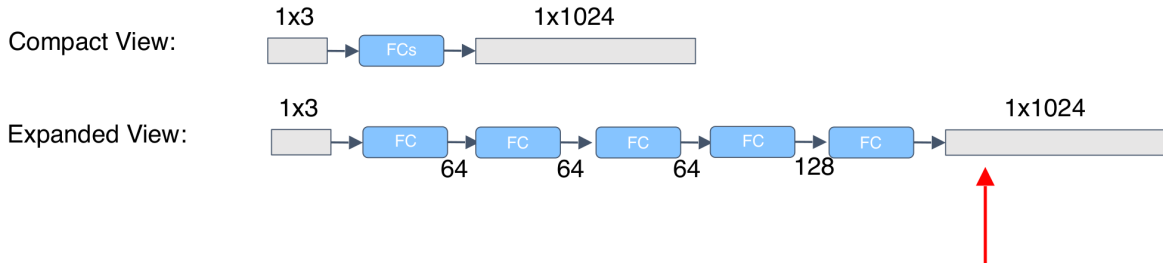


Figure from [Qi+17a].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
| oo | ooo | oo | ooooo | ooo | oo | oooooo | oo●oo | oo | oo |

Approach to Features Visualization



Which input point will activate neuron X?

Find the top-K points in a dense volumetric grid that activates neuron X.

Figure from CVPR presentation to [Qi+17a].

| | | | | | | | | | |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
| oo | ooo | oo | ooooo | ooo | oo | oooooo | ooo●oo | oo | oo |

Selective Visualization of Activation Features

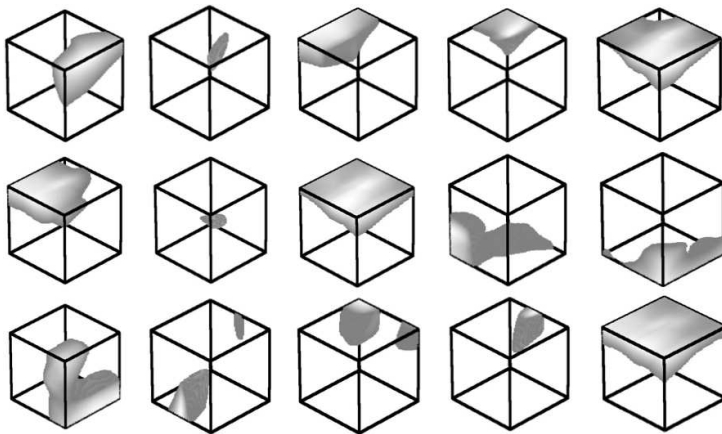


Figure from [Qi+17a].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
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Derivative Works of PointNet

Pointnet: Deep learning on point sets for 3d classification and segmentation

[PDF] thecvf.com

[CR Qi](#), [H Su](#), [K Mo](#), [LJ Guibas](#) - Proceedings of the IEEE ..., 2017 - openaccess.thecvf.com

... Our network, named **PointNet**, provides a unified architecture for applications ranging from object classification, part segmentation, to scene semantic parsing. Though simple, **PointNet** ...

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Representation
○○

Related Work
○○○

PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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Impact
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Conclusion
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Derivative Works of PointNet

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... Our network, named **PointNet**, provides a unified architecture for applications ranging from object classification, part segmentation, to scene semantic parsing. Though simple, **PointNet** ...

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Core architecture ideas were adapted in:

- A sift-like network module [JWL18]
- Similarity group proposal network [Wan+18]
- Point cloud upsampling [Yu+18]
- Application to Neuroanatomy [GW18]
- Frustum pointnets [Qi+18]
- Pointcnn [Li+18]
- many more ...

Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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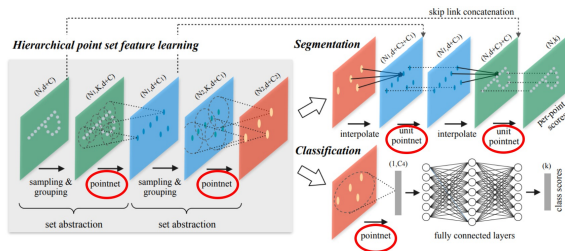
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Conclusion
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Derivative Works of PointNet II

PointNet has been used as a module in:

- PointNet++ [Qi+17b]
- SyncSpecCNN [Yi+17]
- VoxelNet [ZT18]
- ...



Architecture of PointNet++ with highlighted PointNet layers.
Figure adapted from PointNet++ [Qi+17b]

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
| ○○ | ○○○ | ○○ | ○○○○○ | ○○○ | ○○ | ○○○○○ | ○○○○○ | ●● | ○○ |

Conclusion

- PointNet is a novel deep neural network directly consuming point cloud data
- Enabling a unified approach to various 3D recognition tasks
- Task performance is on par or better than state of the art
- PointNet saw usage as a module in other architectures
- Core ideas (symmetry, T-Nets, ...) have been adapted too

Paper, code, presentation and slides are available at <https://stanford.edu/~rqi/pointnet>

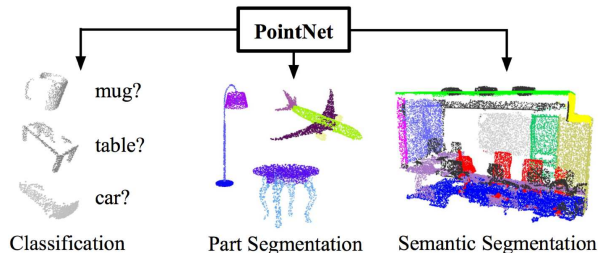
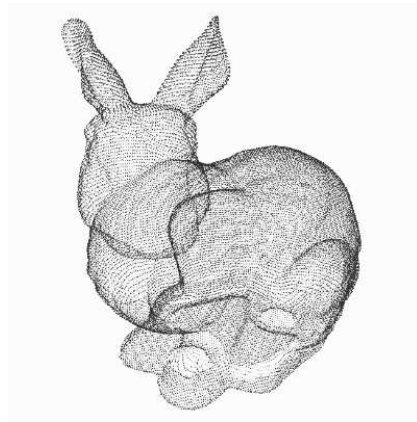


Figure from [Qi+17a].

| Representation | Related Work | PointNet | Unordered Input | Geometric Transformation | Architecture | Results | Visualization | Impact | Conclusion |
|----------------|--------------|----------|-----------------|--------------------------|--------------|---------|---------------|--------|------------|
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What are your Questions?



Representation
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Related Work
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PointNet
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Unordered Input
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Geometric Transformation
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Architecture
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Results
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Visualization
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Impact
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Conclusion
○●

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|------------|-----------------------------|--------------|-----------------|-----------------------------|
| References | Multi-Layer Perceptron ○ | Related ○ | Complexity ○ | Permutation Invariance ○ |
|------------|-----------------------------|--------------|-----------------|-----------------------------|

Sources V

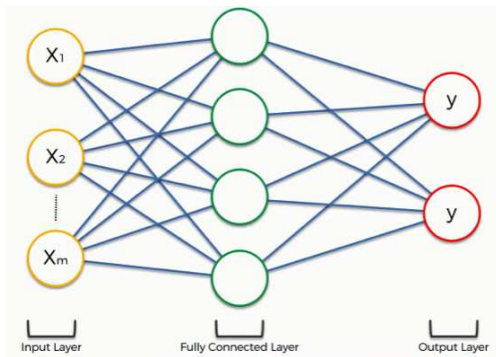
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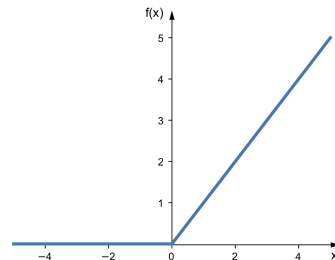
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| References | Multi-Layer Perceptron ○ | Related ○ | Complexity ○ | Permutation Invariance ○ |
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Multi-Layer Perceptron



Multi-Layer Perceptron with one fully connected layer. Alternative names include 'dense', 'fully connected' and 'mlp' layer. Figure from [Sup18].



Common activation function:
ReLU, short for Rectified Linear Unit.

References

Multi-Layer Perceptron



Related



Complexity



Permutation Invariance



Based on PointNet

A number of works build on PointNet [Qi+17a]:

- Implementations and tools for visualization: [cha19; ald21; yun21; Yan19]
- Further attempts at explaining what PointNet learned: [Zha+19; Hua+19]
- Application of PointNet to different domains and problems: [Thi+22; GW18; Tri+21; Lia+19; Zha+18; Mro+18]

Speed and Model Size

| | #params | FLOPs/sample |
|--------------------|---------|--------------|
| PointNet (vanilla) | 0.8M | 148M |
| PointNet | 3.5M | 440M |
| Subvolume [Qi+16] | 16.6M | 3633M |
| MVCNN [Su+15] | 60.0M | 62057M |

Time and space complexity of different deep learning architectures for 3D data classification. PointNet (vanilla) is the classification PointNet without input and feature T-Net transformation networks. FLOP is floating-point operations. The “M” stands for a million units. Both Subvolume and MVCNN used input data pooling from multiple rotations or views, without which they have much inferior performance. Table from [Qi+17a].

Permutation Invariance: Sorting

Unfortunately, there is no canonical order in high dim space.

| | Accuracy |
|--------------------|------------|
| Unordered Input | 12% |
| Lexsorted Input | 40% |
| LSTM | 75% |
| PointNet (vanilla) | 87% |

Validation on the ModelNet40 dataset. Table from CVPR presentation to [Qi+17a].