
Chapter 3:

Geometric Transformations

-Rotation-

Sang Il Park
Dept. of Software

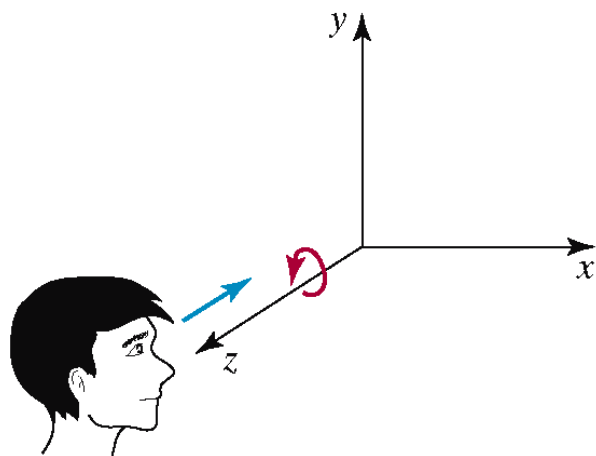
Examples of Affine Transformations

• 3D rotation

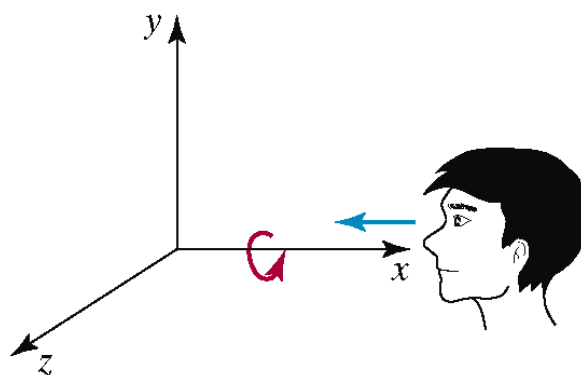
$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

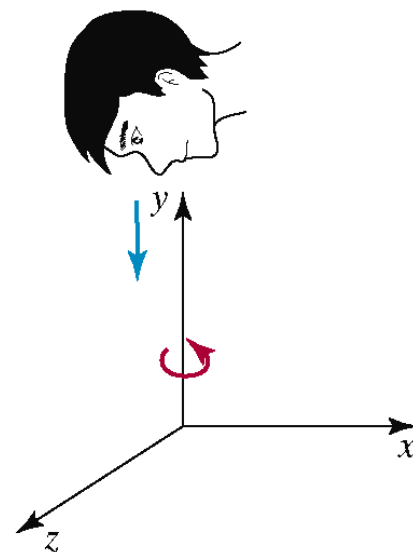
$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$



(a)



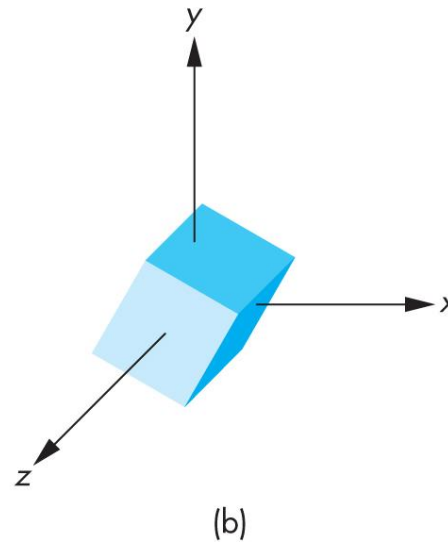
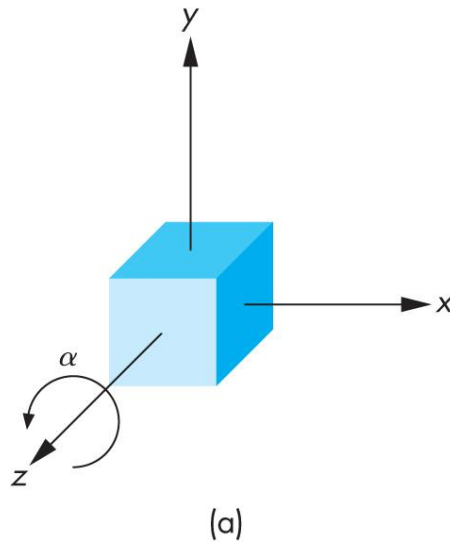
(b)



(c)

3D Rotation Matrix about Z Axis

$$\mathbf{R} = \mathbf{R}_Z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



3D Rotation about x and y axes

- Same argument as for rotation about z axis
 - For rotation about x axis, x is unchanged
 - For rotation about y axis, y is unchanged

$$\mathbf{R} = \mathbf{R}_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{R} = \mathbf{R}_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

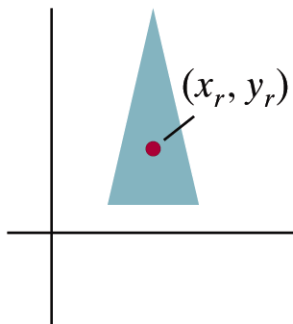
2D Pivot-Point Rotation

- Rotation with respect to a pivot point (x, y)

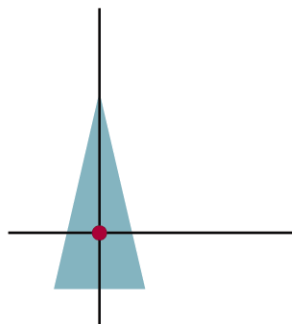
$$T(x, y) \cdot R(\theta) \cdot T(-x, -y)$$

$$= \begin{pmatrix} 1 & 0 & x \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & -x \\ 0 & 1 & -y \\ 0 & 0 & 1 \end{pmatrix}$$

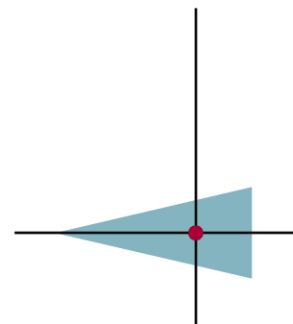
$$= \begin{pmatrix} \cos \theta & -\sin \theta & x(1 - \cos \theta) + y \sin \theta \\ \sin \theta & \cos \theta & y(1 - \cos \theta) - x \sin \theta \\ 0 & 0 & 1 \end{pmatrix}$$



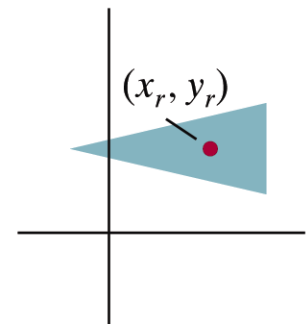
(a)



(b)



(c)



(d)

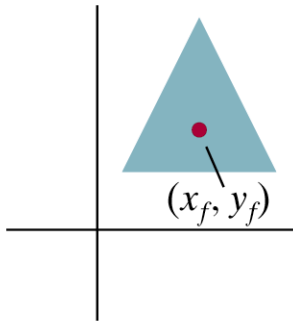
2D Fixed-Point Scaling

- Scaling with respect to a fixed point (x_f, y_f)

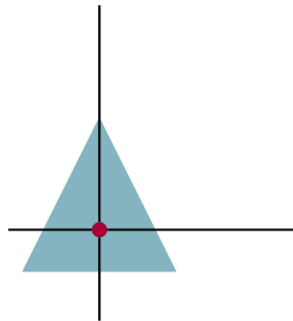
$$T(x, y) \cdot S(s_x, s_y) \cdot T(-x, -y)$$

$$= \begin{pmatrix} 1 & 0 & x \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & -x \\ 0 & 1 & -y \\ 0 & 0 & 1 \end{pmatrix}$$

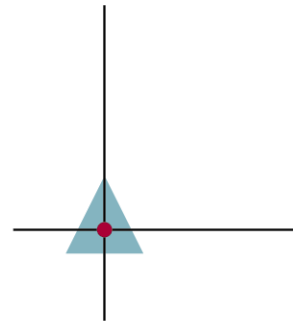
$$= \begin{pmatrix} s_x & 0 & (1-s_x) \cdot x \\ 0 & s_y & (1-s_y) \cdot y \\ 0 & 0 & 1 \end{pmatrix}$$



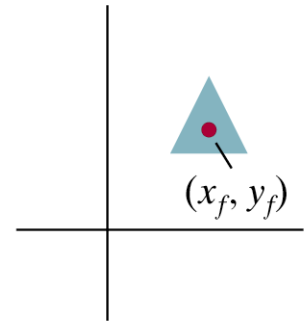
(a)



(b)



(c)

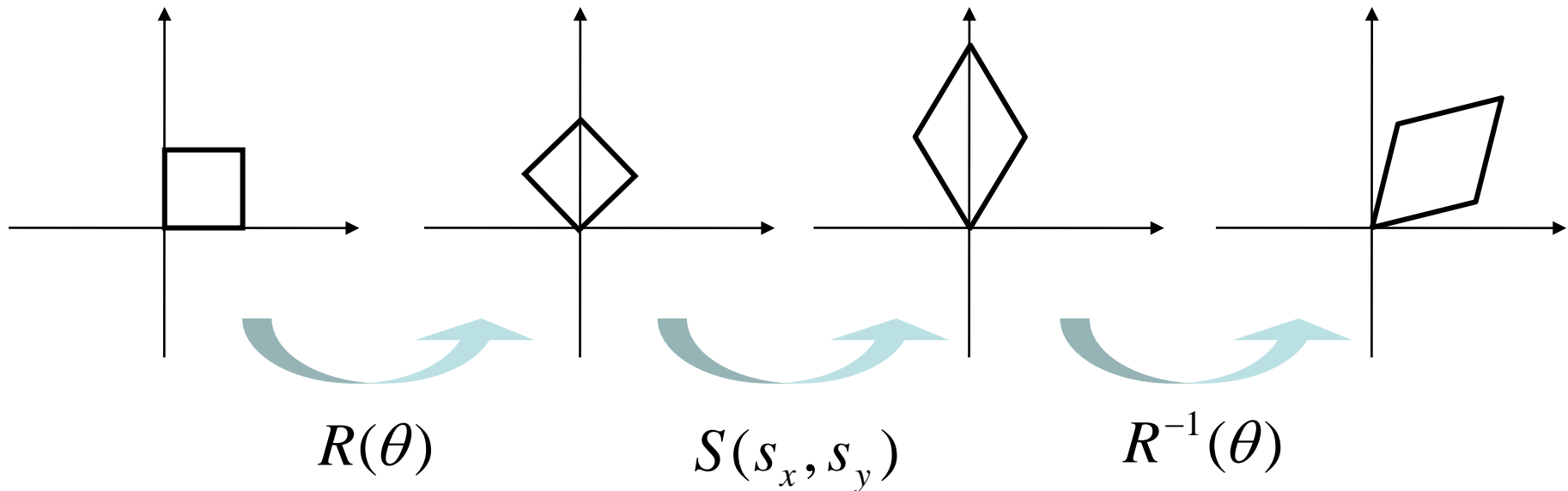


(d)

Scaling Direction

- Scaling along an arbitrary axis

$$R^{-1}(\theta) \cdot S(s_x, s_y) \cdot R(\theta)$$



Properties of Affine Transformations

- Any *affine transformation* between 3D spaces can be represented as a combination of a *linear transformation* followed by *translation*
- An affine transf. maps *lines* to *lines*
- An affine transf. maps *parallel lines* to *parallel lines*
- An affine transf. preserves *ratios of distance* along a line
- An affine transf. does not preserve absolute distances and angles

Rigid Transformations

- A *rigid transformation* T is a mapping between affine spaces
 - T maps vectors to vectors, and points to points
 - T preserves distances between all points
 - T preserves cross product for all vectors (to avoid reflection)
- In 3-spaces, T can be represented as

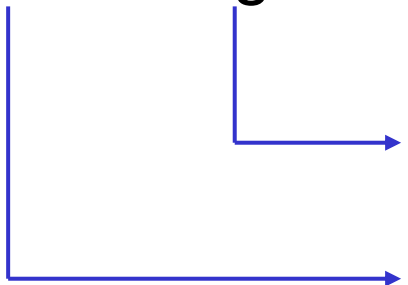
$$T(\mathbf{p}) = \mathbf{R}_{3 \times 3} \mathbf{p}_{3 \times 1} + \mathbf{T}_{3 \times 1}, \quad \text{where}$$
$$\mathbf{R} \mathbf{R}^T = \mathbf{R}^T \mathbf{R} = \mathbf{I} \quad \text{and} \quad \det \mathbf{R} = 1$$

Rigid Body Rotation

- Rigid body transformations allow only **rotation** and **translation**

- Rotation matrices form $SO(3)$

- Special orthogonal group


$$R R^T = R^T R = I \quad (\text{Distance preserving})$$
$$\det R = 1 \quad (\text{No reflection})$$

Rigid Body Rotation

- R is normalized
 - The squares of the elements in any row or column sum to 1
- $$\mathbf{R} \mathbf{R}^T = \mathbf{R}^T \mathbf{R} = \mathbf{I}$$
- R is orthogonal
 - The dot product of any pair of rows or any pair columns is 0
- The rows (columns) of R correspond to the vectors of the principle axes of the rotated coordinate frame

3D Rotation About Arbitrary Axis

- How to rotate around \mathbf{u} vector
(\mathbf{u} = given rotation axis)
- ➔ Rotate about x and y axes to make \mathbf{u} align with the z -axis

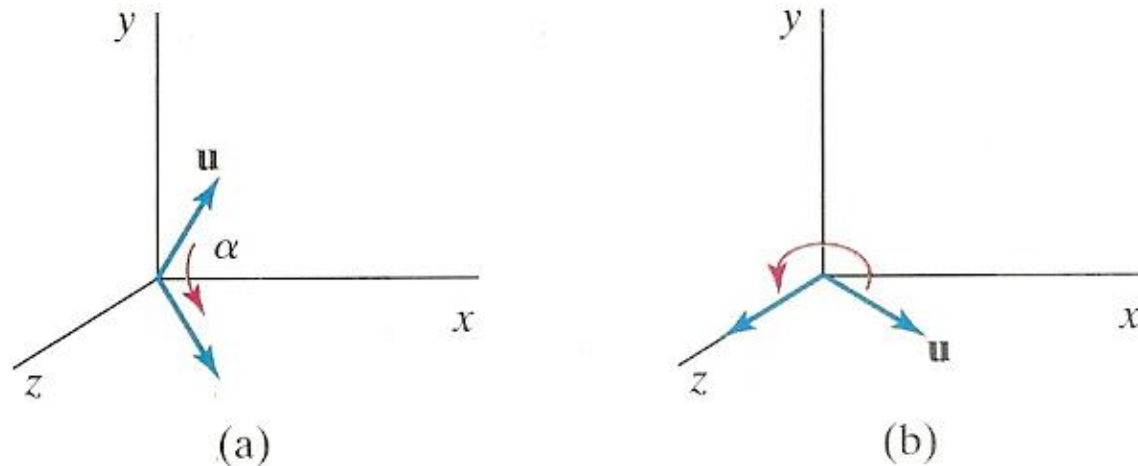


FIGURE 5-45 Unit vector \mathbf{u} is rotated about the x axis to bring it into the xz plane (a), then it is rotated around the y axis to align it with the z axis (b).

3D Rotation About Arbitrary Axis

- Rotate \mathbf{u} onto the z -axis
 - \mathbf{u}' : Project \mathbf{u} onto the yz -plane to compute angle α
 - \mathbf{u}'' : Rotate \mathbf{u} about the x -axis by angle α
 - Rotate \mathbf{u}'' onto the z -axis

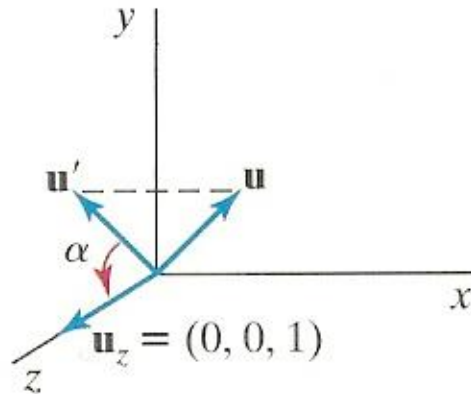


FIGURE 5-46 Rotation of \mathbf{u} around the x axis into the xz plane is accomplished by rotating \mathbf{u}' (the projection of \mathbf{u} in the yz plane) through angle α onto the z axis.

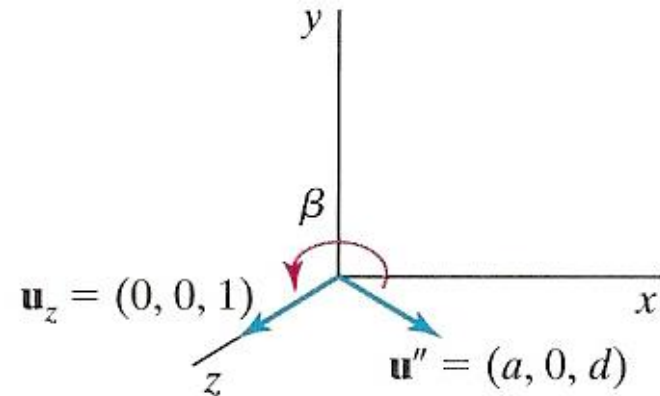


FIGURE 5-47 Rotation of unit vector \mathbf{u}'' (vector \mathbf{u} after rotation into the xz plane) about the y axis. Positive rotation angle β aligns \mathbf{u}'' with vector \mathbf{u}_z .

3D Rotation About Arbitrary Axis

- Rotate \mathbf{u}' about the x-axis onto the z-axis
 - Let $\mathbf{u}=(a,b,c)$ and thus $\mathbf{u}'=(0,b,c)$
 - Let $\mathbf{u}_z=(0,0,1)$

$$\cos \alpha = \frac{\mathbf{u}' \cdot \mathbf{u}_z}{\|\mathbf{u}'\| \|\mathbf{u}_z\|} = \frac{c}{\sqrt{b^2 + c^2}}$$

$$\begin{aligned} \mathbf{u}' \times \mathbf{u}_z &= \mathbf{u}_x \|\mathbf{u}'\| \|\mathbf{u}_z\| \sin \alpha \\ &= \mathbf{u}_x \cdot b \end{aligned} \quad \longrightarrow \quad \sin \alpha = \frac{b}{\|\mathbf{u}'\| \|\mathbf{u}_z\|} = \frac{b}{\sqrt{b^2 + c^2}}$$

3D Rotation About Arbitrary Axis

- Rotate \mathbf{u}' about the x-axis onto the z-axis
 - Since we know both $\cos \alpha$ and $\sin \alpha$, the rotation matrix can be obtained

$$\mathbf{R}_x(\alpha) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{c}{\sqrt{b^2 + c^2}} & \frac{-b}{\sqrt{b^2 + c^2}} & 0 \\ 0 & \frac{b}{\sqrt{b^2 + c^2}} & \frac{c}{\sqrt{b^2 + c^2}} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Or, we can compute the signed angle α

$$\text{atan2}\left(\frac{c}{\sqrt{b^2 + c^2}}, \frac{b}{\sqrt{b^2 + c^2}}\right)$$

- Do not use $\text{acos}()$ since its domain is limited to $[-1, 1]$

Gimble

- Hardware implementation of Euler angles
- Aircraft, Camera

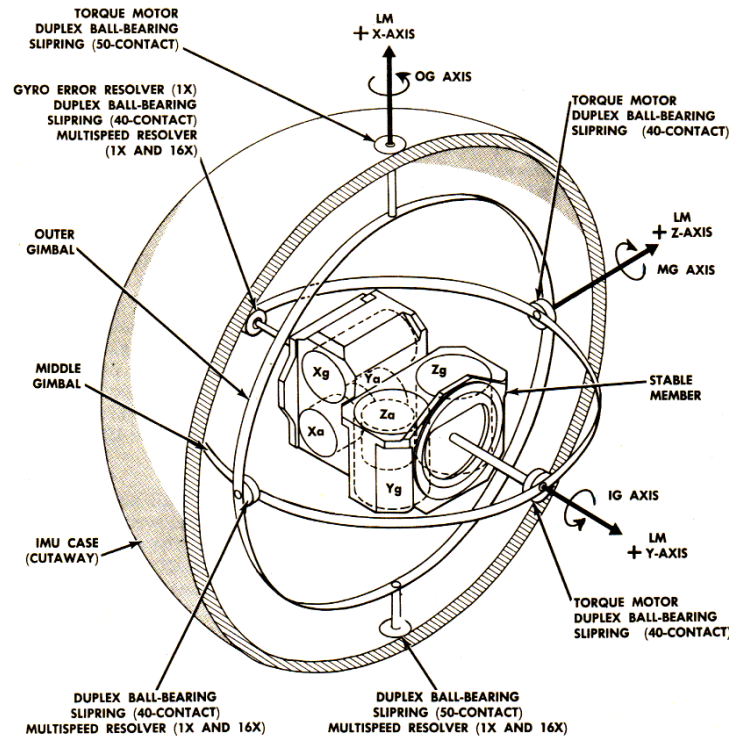
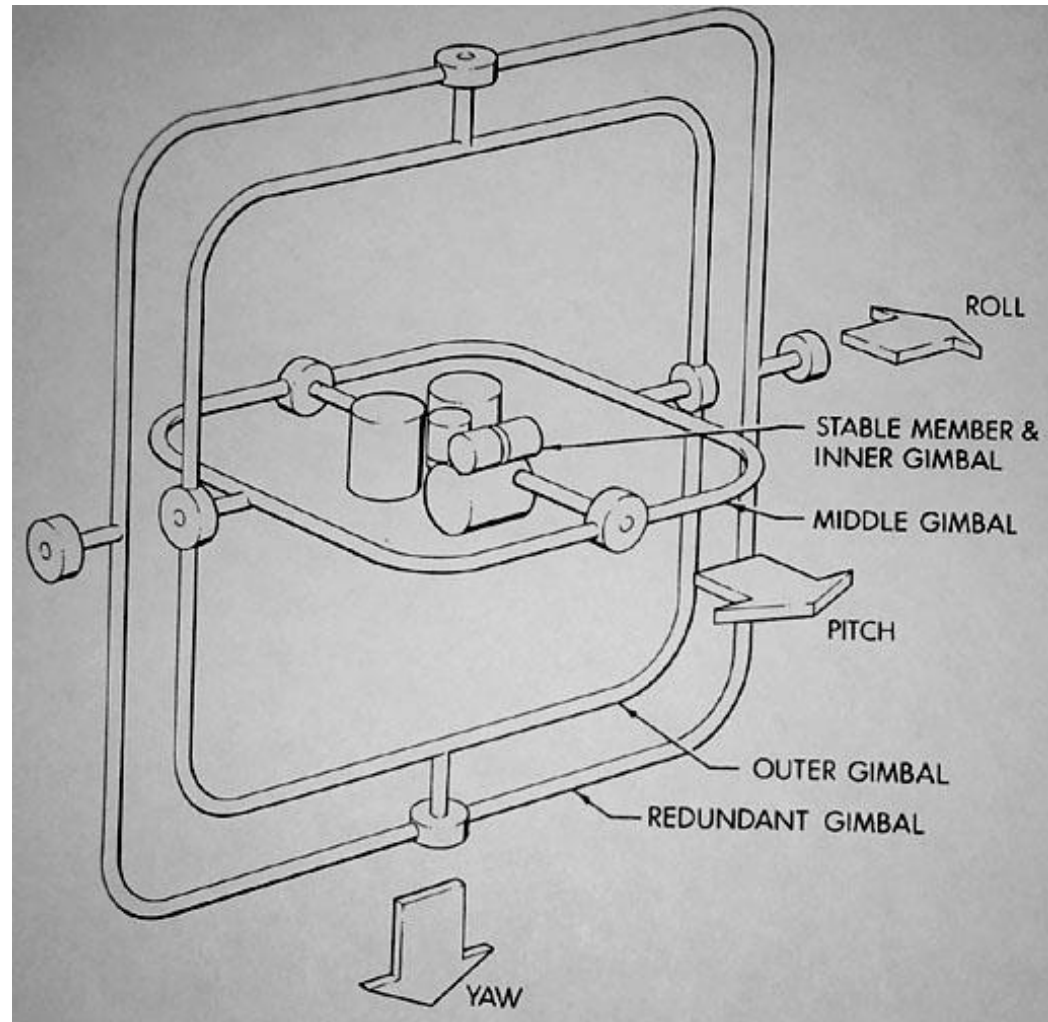


Figure 2.1-24. IMU Gimbal Assembly



Euler Angles

- Rotation about three orthogonal axes
 - 12 combinations
 - XYZ, XYX, XZY, XZX
 - YZX, YZY, YXZ, YXY
 - ZXY, ZXZ, ZYX, ZYZ
- **Gimble lock**
 - Coincidence of inner most and outmost gimbles' rotation axes
 - Loss of degree of freedom



Euler angles

- Arbitrary rotation can be represented by three rotation along x,y,z axis

$$R_{XYZ}(\gamma, \beta, \alpha) = R_z(\alpha)R_y(\beta)R_x(\gamma)$$

$$= \begin{bmatrix} C\alpha C\beta & C\alpha S\beta S\gamma - S\alpha C\gamma & C\alpha S\beta C\gamma + S\alpha S\gamma & 0 \\ S\alpha C\beta & S\alpha S\beta S\gamma + C\alpha C\gamma & S\alpha S\beta C\gamma - C\alpha S\gamma & 0 \\ -S\beta & C\beta S\gamma & C\beta C\gamma & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Euler Angles

- Euler angles are ambiguous
 - Two different Euler angles can represent the same orientation
$$R_1 = (r_x, r_y, r_z) = (\theta, \frac{\pi}{2}, 0) \quad \text{and} \quad R_2 = (0, \frac{\pi}{2}, -\theta)$$
 - This ambiguity brings unexpected results of animation where frames are generated by interpolation.

Smooth Rotation

- Create transformations from \mathbf{M}_0 to \mathbf{M}_n *smoothly*
 - Problem: find a sequence of model-view matrices $\mathbf{M}_0, \mathbf{M}_1, \dots, \mathbf{M}_n$ for each frame to see a smooth transition
- One solution for rotation (using Euler angles):
 - Find $\mathbf{R}_0 = \mathbf{R}_{0z} \mathbf{R}_{0y} \mathbf{R}_{0x}$ and $\mathbf{R}_n = \mathbf{R}_{nz} \mathbf{R}_{ny} \mathbf{R}_{nx}$
 - Then, Create a sequence of rotation $\mathbf{R}_0, \mathbf{R}_1, \dots, \mathbf{R}_n$:
 $\mathbf{R}_i = \mathbf{R}_{iz} \mathbf{R}_{iy} \mathbf{R}_{ix}$ (where, ix, iy, iz is the interpolated angles from the beginning and the end)
 - Not very effective!
 - Quaternions can do it better!

Quaternions

- Extension of imaginary numbers from two to three dimensions
- Requires one real and three imaginary components **i**, **j**, **k**

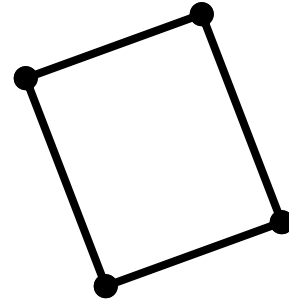
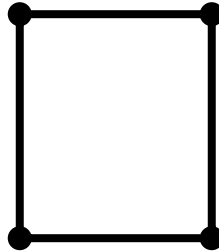
$$q = q_0 + q_1\mathbf{i} + q_2\mathbf{j} + q_3\mathbf{k}$$

- Quaternions can express rotations on sphere smoothly and efficiently. Process:
 - Model-view matrix → quaternion
 - Carry out operations with quaternions
 - Quaternion → Model-view matrix

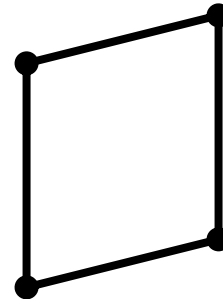
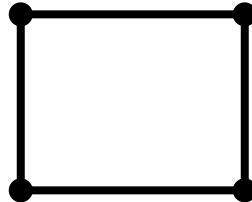
Computer Animation 수업에서 다룹니다.

Taxonomy of Transformations

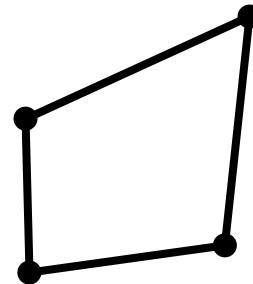
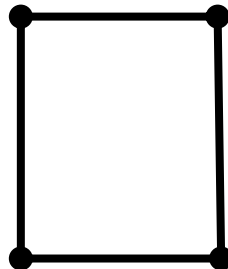
Rigid



Affine



Projective



Composite Transformations

- Composite 2D Translation

$$\begin{aligned} T &= \mathbf{T}(t_{x1}, t_{y1}) \cdot \mathbf{T}(t_{x2}, t_{y2}) \\ &= \mathbf{T}(t_{x1} + t_{x2}, t_{y1} + t_{y2}) \end{aligned}$$

$$\begin{pmatrix} 1 & 0 & t_{x2} \\ 0 & 1 & t_{y2} \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & t_{x1} \\ 0 & 1 & t_{y1} \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & t_{x1} + t_{x2} \\ 0 & 1 & t_{y1} + t_{y2} \\ 0 & 0 & 1 \end{pmatrix}$$

Composite Transformations

- Composite 2D Scaling

$$\begin{aligned} T &= \mathbf{S}(s_{x1}, s_{y1}) \cdot \mathbf{S}(s_{x2}, s_{y2}) \\ &= \mathbf{S}(s_{x1}s_{x2}, s_{y1}s_{y2}) \end{aligned}$$

$$\begin{pmatrix} s_{x2} & 0 & 0 \\ 0 & s_{y2} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} s_{x1} & 0 & 0 \\ 0 & s_{y1} & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} s_{x1} \cdot s_{x2} & 0 & 0 \\ 0 & s_{y1} \cdot s_{y2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Composite Transformations

- Composite 2D Rotation

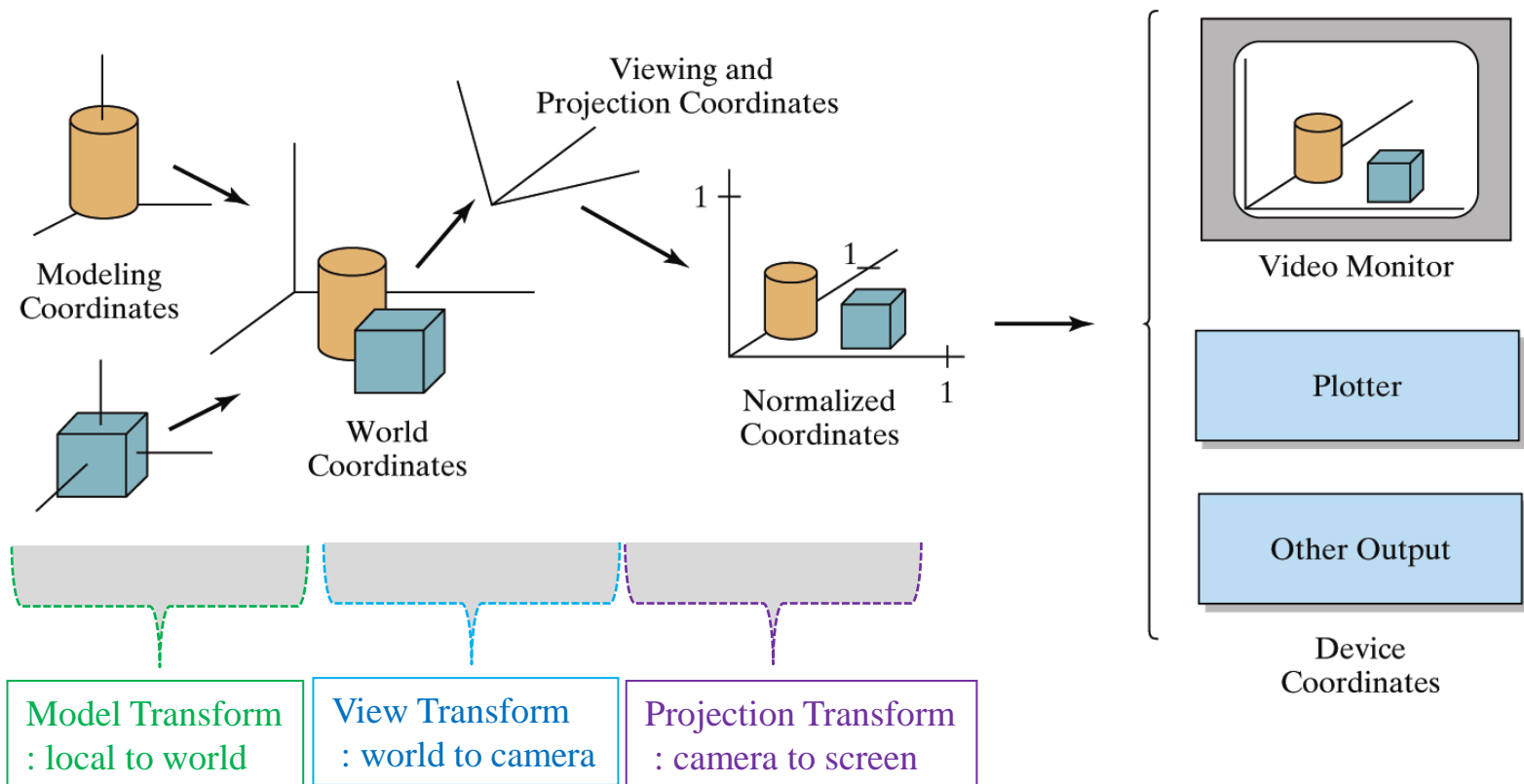
$$\begin{aligned} T &= \mathbf{R}(\theta_2) \cdot \mathbf{R}(\theta_1) \\ &= \mathbf{R}(\theta_2 + \theta_1) \end{aligned}$$

$$\begin{pmatrix} \cos \theta_2 & -\sin \theta_2 & 0 \\ \sin \theta_2 & \cos \theta_2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_1 & -\sin \theta_1 & 0 \\ \sin \theta_1 & \cos \theta_1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} \cos(\theta_2 + \theta_1) & -\sin(\theta_2 + \theta_1) & 0 \\ \sin(\theta_2 + \theta_1) & \cos(\theta_2 + \theta_1) & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

OpenGL Geometric Transformations

OpenGL Geometric Transformations

- **Consecutive Transformations in OpenGL Pipeline**

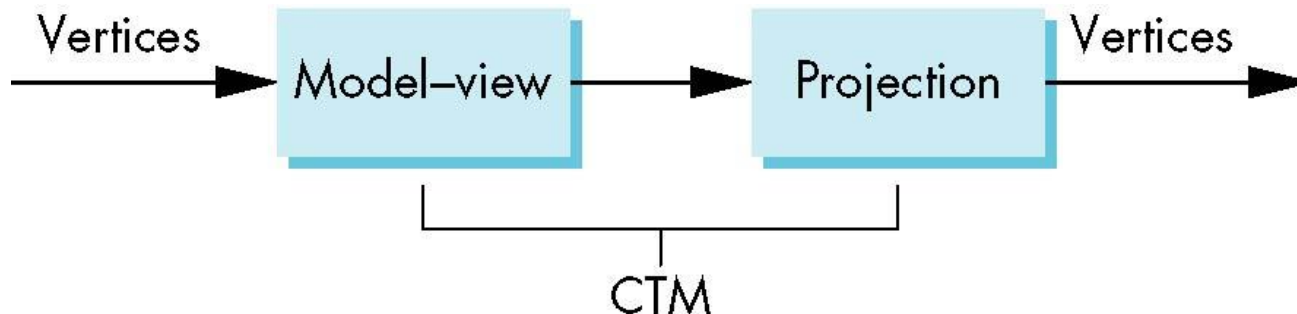


OLD OpenGL Matrices

- Two types of predefined transformations (matrices)
 - Model-View (`GL_MODELVIEW`) : model+view
 - Projection (`GL_PROJECTION`)
- Single set of functions for manipulation
- Select which to manipulated by
 - `glMatrixMode(GL_MODELVIEW);`
 - `glMatrixMode(GL_PROJECTION);`

Current Transform Matrix (CTM) in OLD OpenGL

- OpenGL had a model-view and a projection matrix in the pipeline which were concatenated together to form the CTM
- We will emulate this process



CTM: Current Transform Matrix

OLD OpenGL Geometric Transformations

- Basic Transformation:

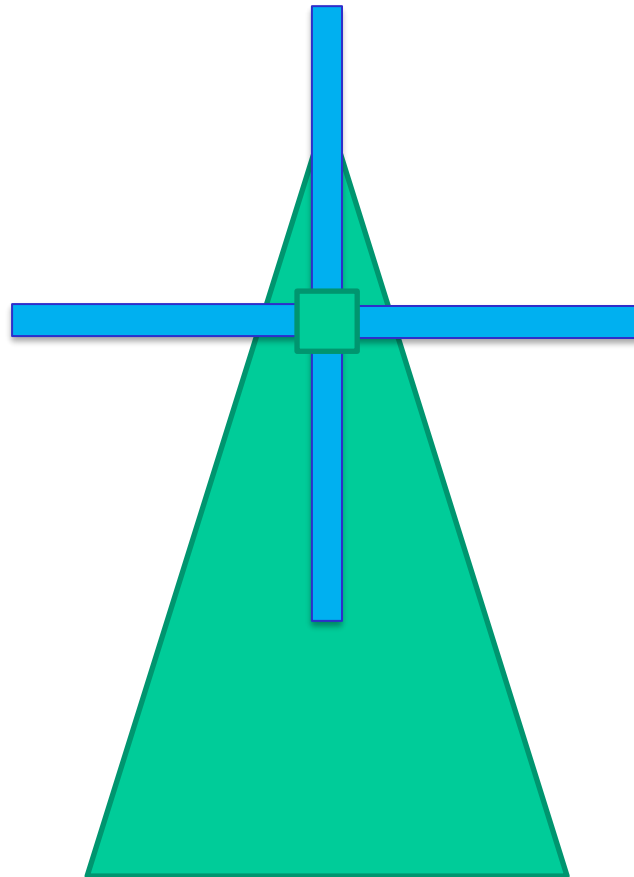
- `glLoadIdentity();`
- `glTranslatef(tx, ty, tz);`
- `glRotatef(theta, vx, vy, vz);` **angle-axis**
 - (vx, vy, vz) is automatically normalized
- `glScalef(sx, sy, sz);`
- `glLoadMatrixf(Glfloat elems[16]);`

- Multiplication

- `glMultMatrixf(Glfloat elems[16]);`
- The current matrix is **postmultiplied** by the matrix
- Column major

Model Transformation

연습: 바람개비(풍차)만들기



Instance Transformation

- Often we need several instances of an object
 - Wheels of a car
 - Arms or legs of a figure
 - Chess pieces



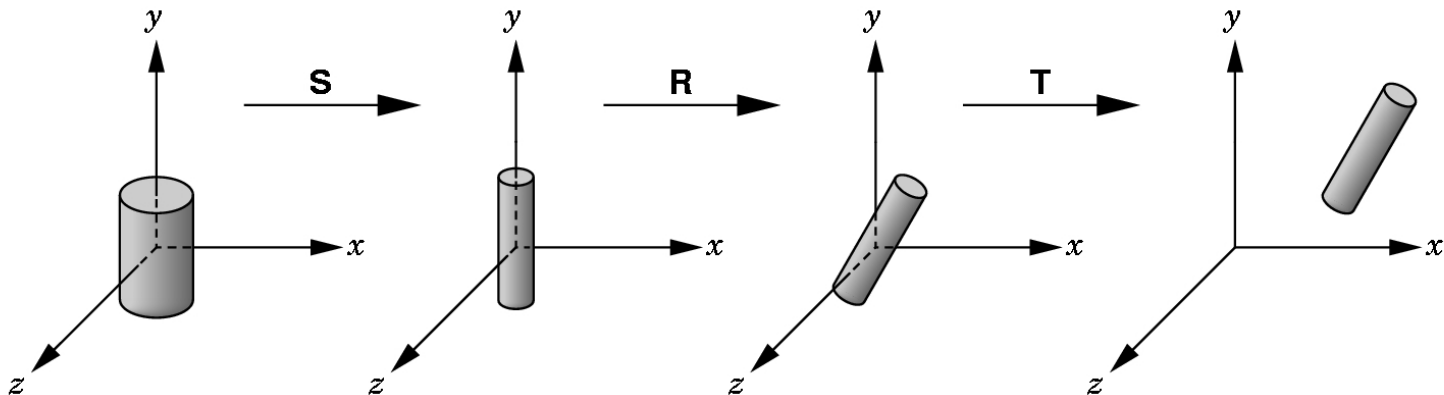
Instance Transformation

- Instances can be shared across space or time
- Write a function that renders the object in “standard” configuration
- Apply transformations to different instances
- Typical order: *scaling* → *rotation* → *translation*

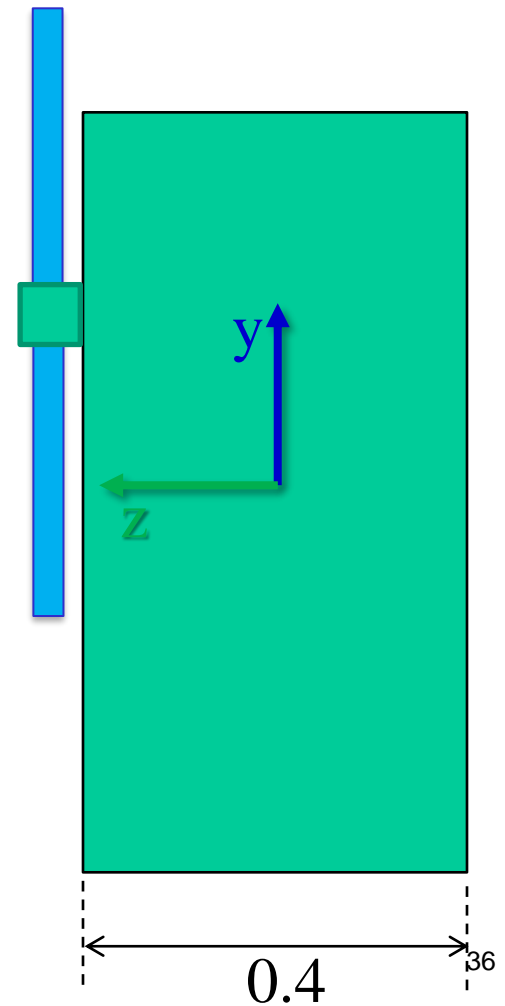
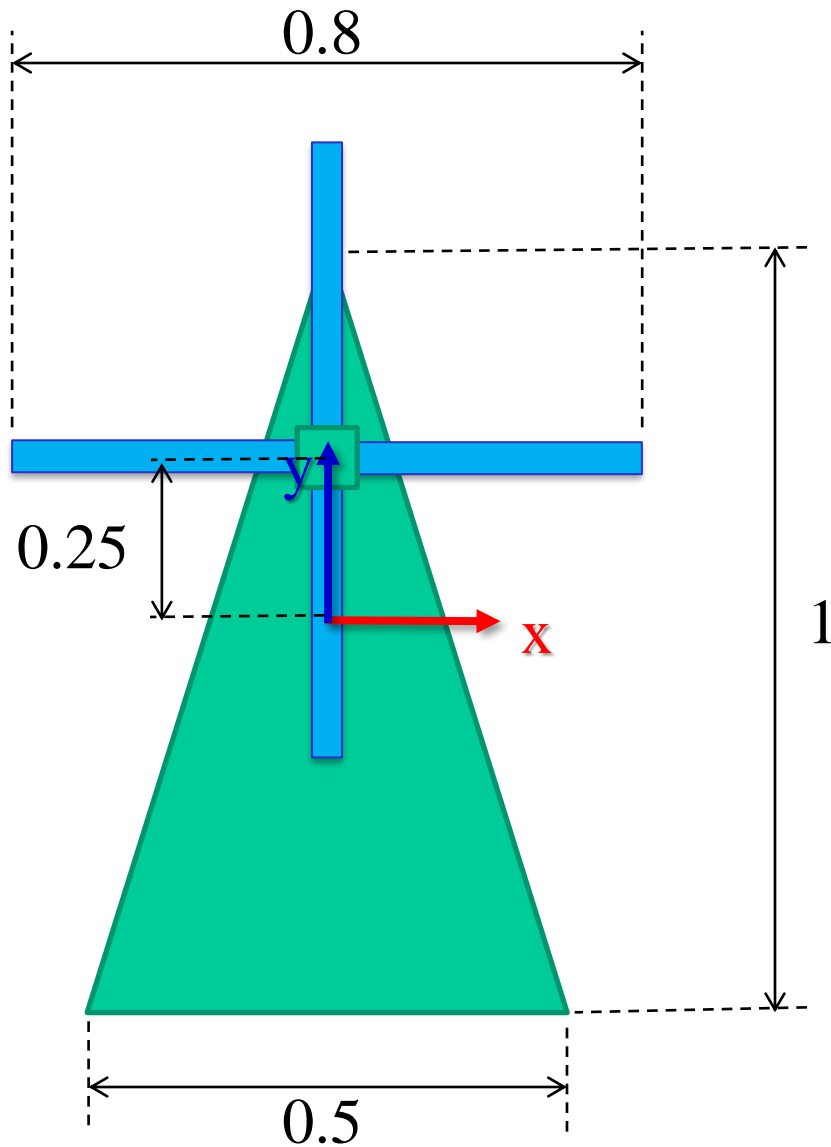


Sample Instance Transformation (old style)

```
glMatrixMode(GL_MODELVIEW);  
glLoadIdentity();  
glTranslatef(...);  
glRotatef(...);  
glScalef(...);  
gluCylinder(...);
```

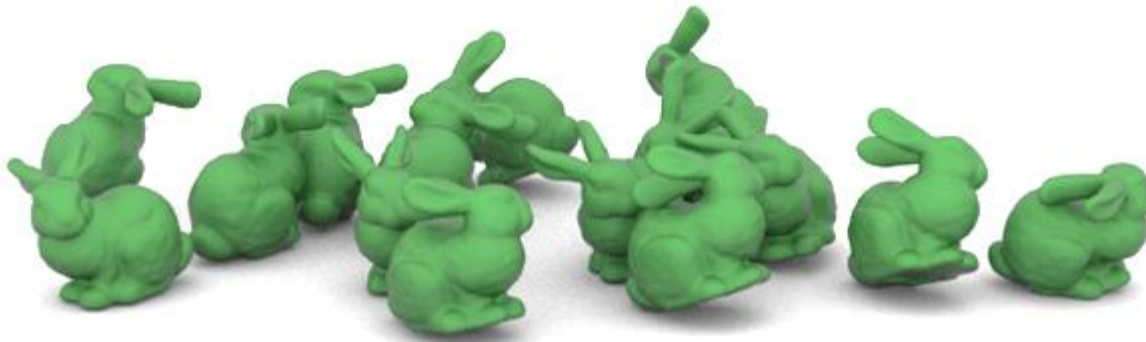


구체적인 계획

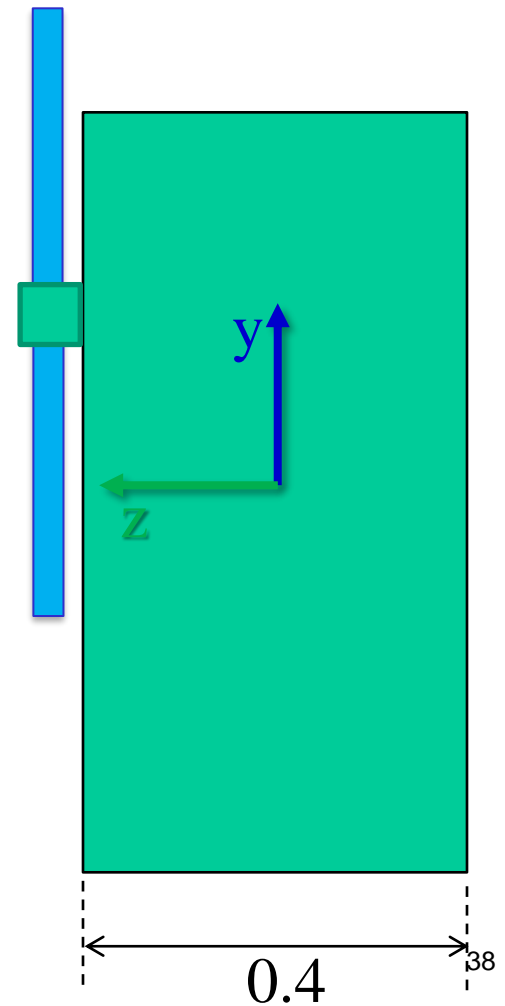
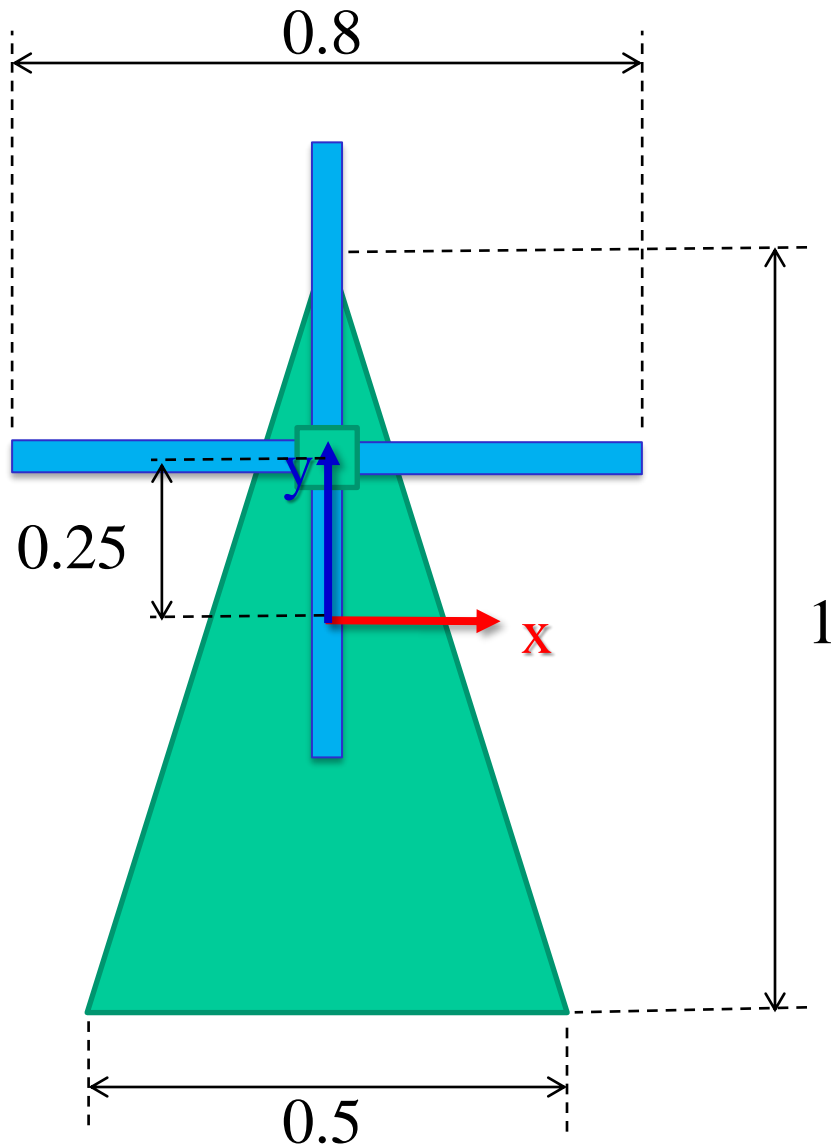


Instance Transformation

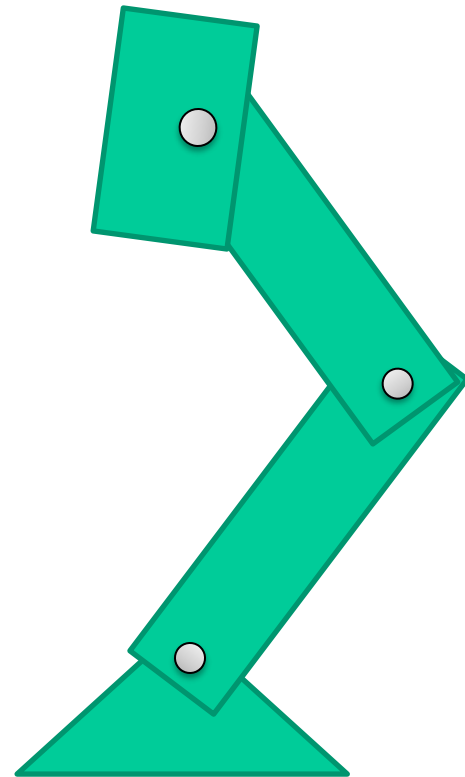
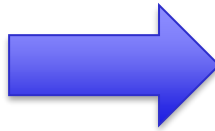
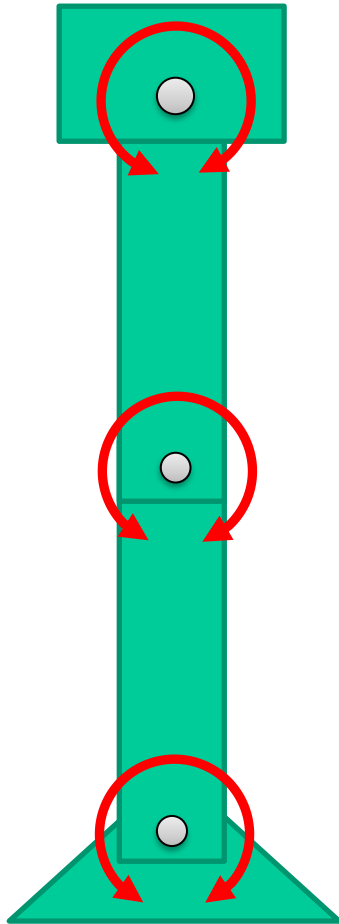
- Instances can be shared across space or time
- Write a function that renders the object in “standard” configuration
- Apply transformations to different instances
- Typical order: *scaling* → *rotation* → *translation*



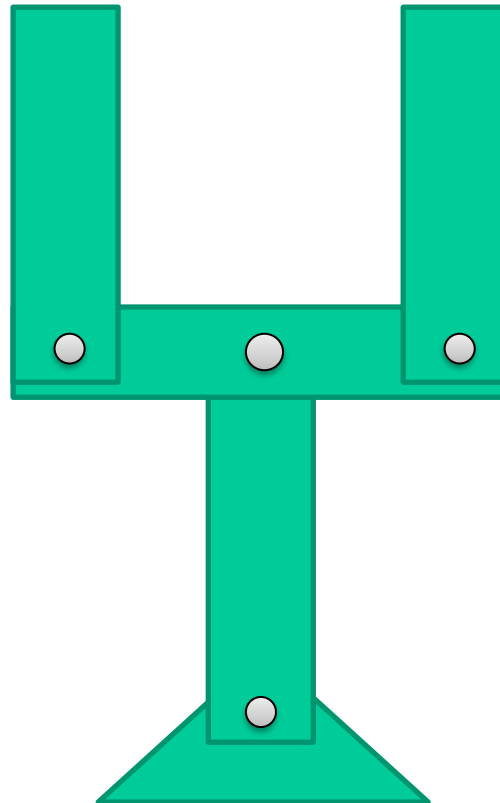
구체적인 계획



로봇 팔 만들기



로봇 팔 만들기2



Hierarchical Modeling

- A hierarchical model is created by nesting the descriptions of subparts into one another to form a tree organization

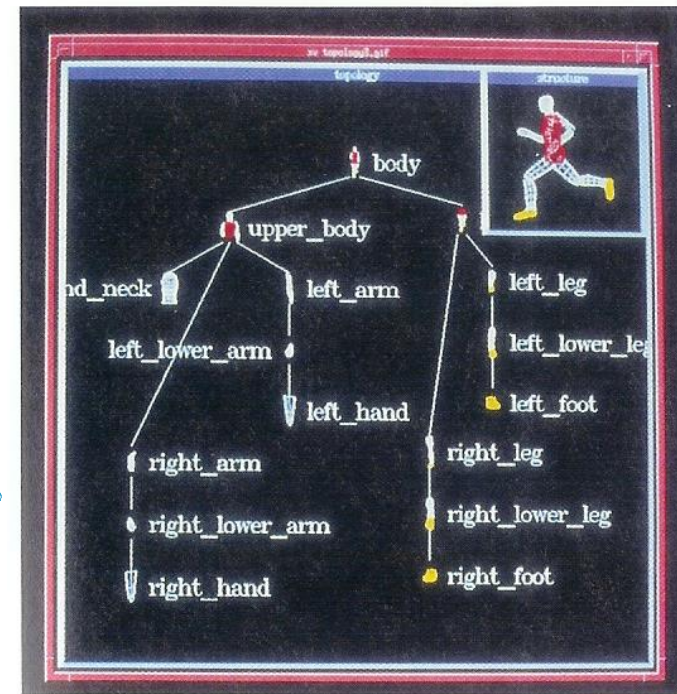
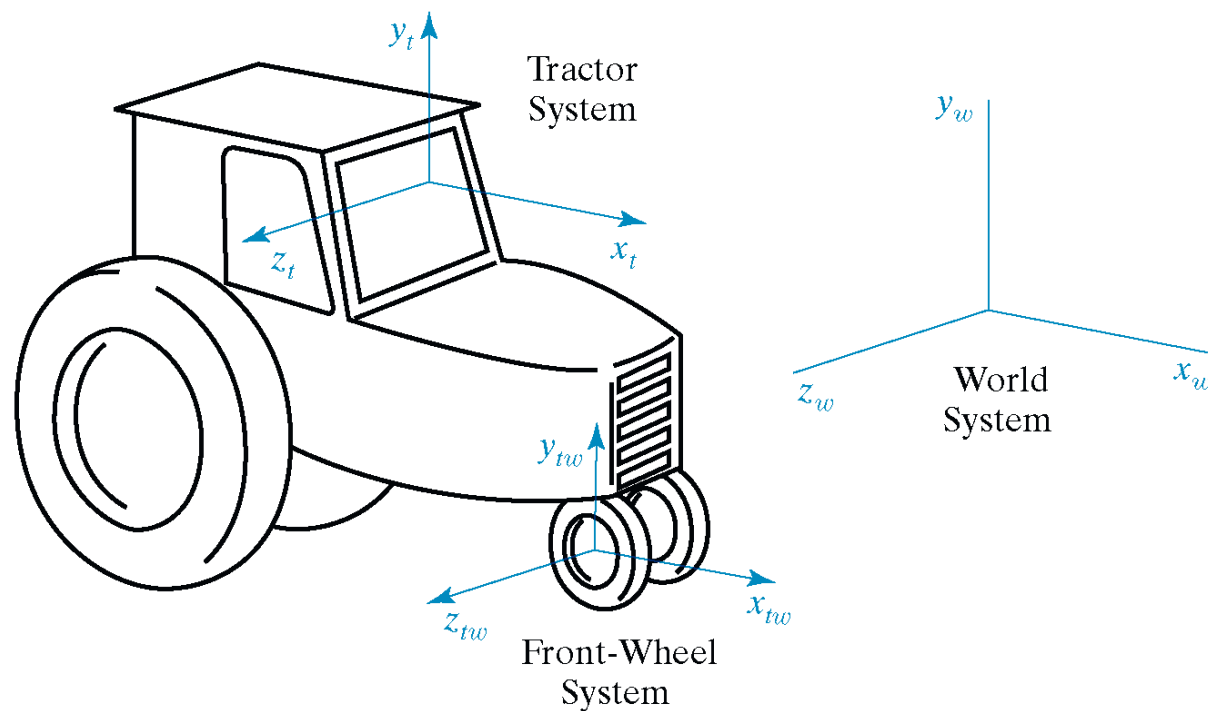


FIGURE 14-4 An object hierarchy generated using the PHIGS Toolkit package developed at the University of Manchester. The displayed object tree is itself a PHIGS structure. (Courtesy of T. L. J. Howard, J. G. Williams, and W. T. Hewitt, Department of Computer Science, University of Manchester, United Kingdom.)

OpenGL Matrix Stacks (OLD)

- Stack processing

- The top of the stack is the “current” matrix

- **glPushMatrix () ;** // Duplicate the current matrix at the top

- **glPopMatrix () ;** // Remove the matrix at the top

Matrix Stacks by your own

- We emulate Matrix Stacks by using:
 - Linked List such as *std::list* or *std::deque*
 - Or a tree structure for more generality.