
Chapter 3:

Geometric Transformations

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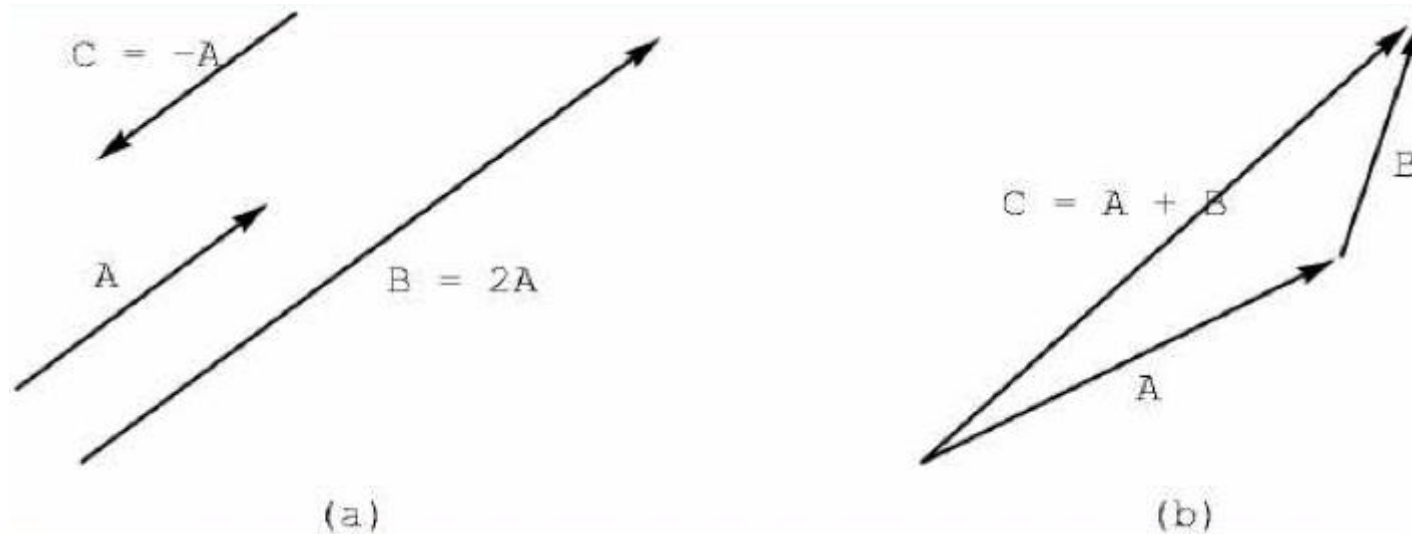
Geometric objects and Its representations

Scalars

- Scalars α, β, γ from a *scalar field*
- Operations $\alpha + \beta, \alpha \cdot \beta, 0, 1, -\alpha, ()^{-1}$
- “Expected” laws apply
- Examples: rationals or reals with addition and multiplication

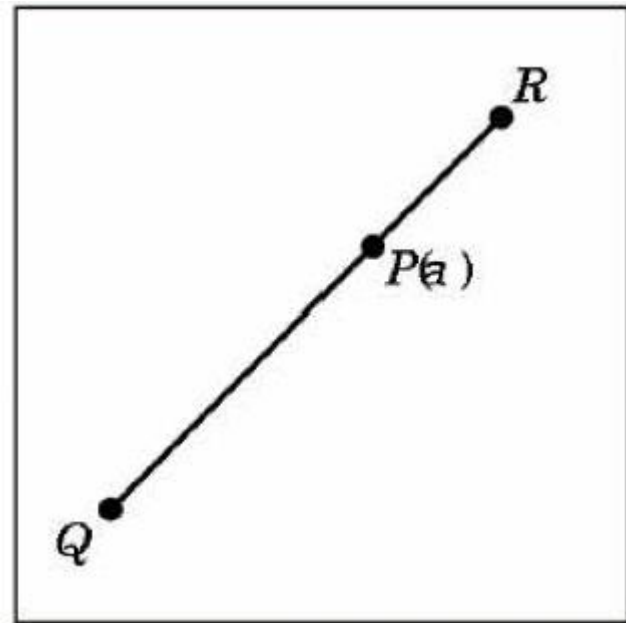
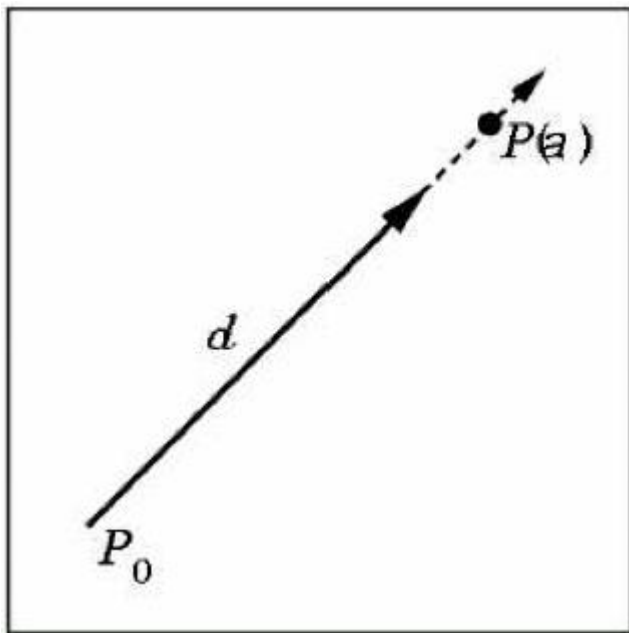
Vectors

- Vectors u, v, w from a *vector space*
- Vector addition $u + v$, subtraction $u - v$
- Zero vector $\mathbf{0}$
- Scalar multiplication αv



Lines and line Segments

- Parametric form of line: $\mathbf{P}(\alpha) = \mathbf{P}_o + \alpha \mathbf{d}$



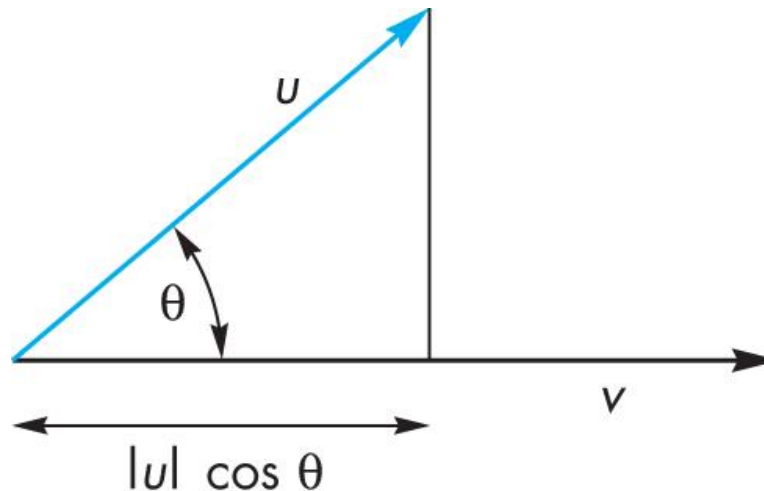
- Line segment between Q and R :
$$\mathbf{P}(\alpha) = (1 - \alpha)\mathbf{Q} + \alpha \mathbf{R} \quad \text{for } 0 \leq \alpha \leq 1$$

Dot Product (Projection)

- Dot product projects one vector onto another vector

$$\mathbf{u} \cdot \mathbf{v} = \mathbf{u}_1 \mathbf{v}_1 + \mathbf{u}_2 \mathbf{v}_2 + \mathbf{u}_3 \mathbf{v}_3 = |\mathbf{u}| |\mathbf{v}| \cos(\theta)$$

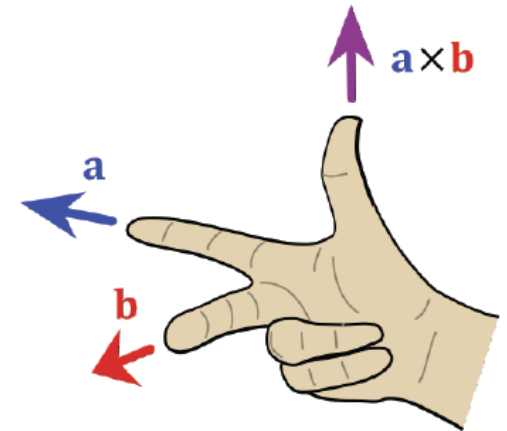
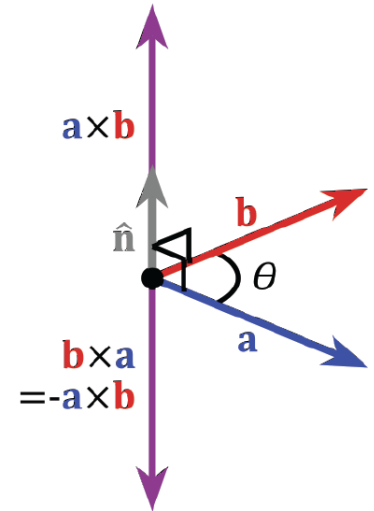
$$pr_{\mathbf{v}} \mathbf{u} = (\mathbf{u} \cdot \mathbf{v}) \mathbf{v} / |\mathbf{v}|^2$$



Cross Product

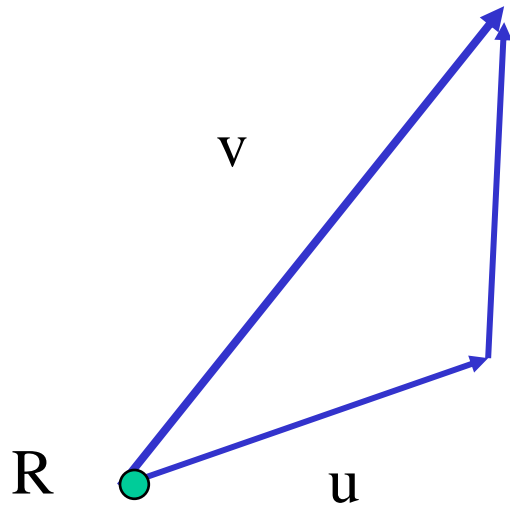
$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} \times \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix} = \begin{pmatrix} a_2 b_3 - a_3 b_2 \\ a_3 b_1 - a_1 b_3 \\ a_1 b_2 - a_2 b_1 \end{pmatrix}$$

- $|\mathbf{a} \times \mathbf{b}| = |\mathbf{a}| |\mathbf{b}| \sin(\theta)$
- Cross product is perpendicular to both \mathbf{a} and \mathbf{b}
- Right-hand rule

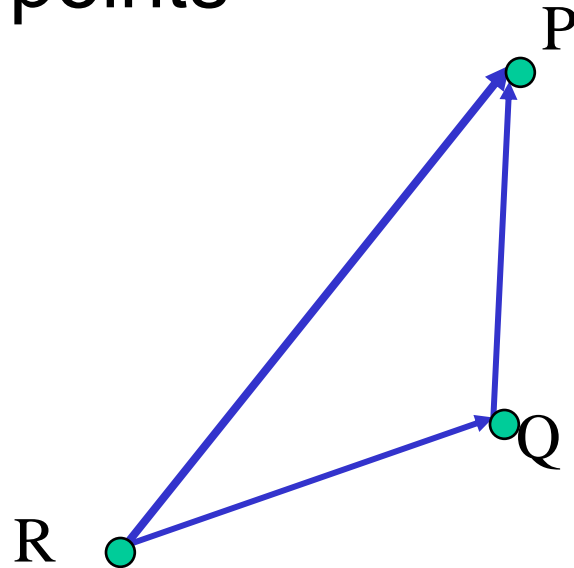


Planes

- A plane can be defined by a point and two vectors or by three points



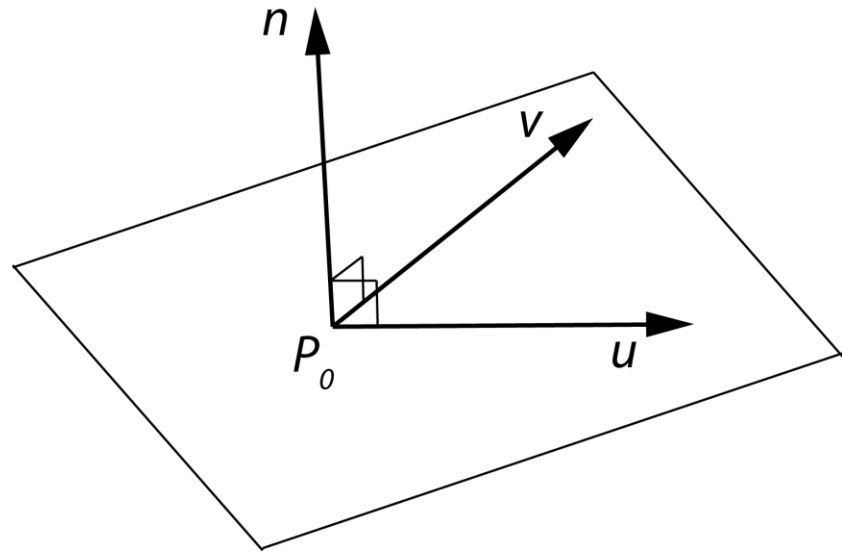
$$P(\alpha, \beta) = R + \alpha u + \beta v$$



$$P(\alpha, \beta) = R + \alpha(Q - R) + \beta(P - R)$$

Planes and normal

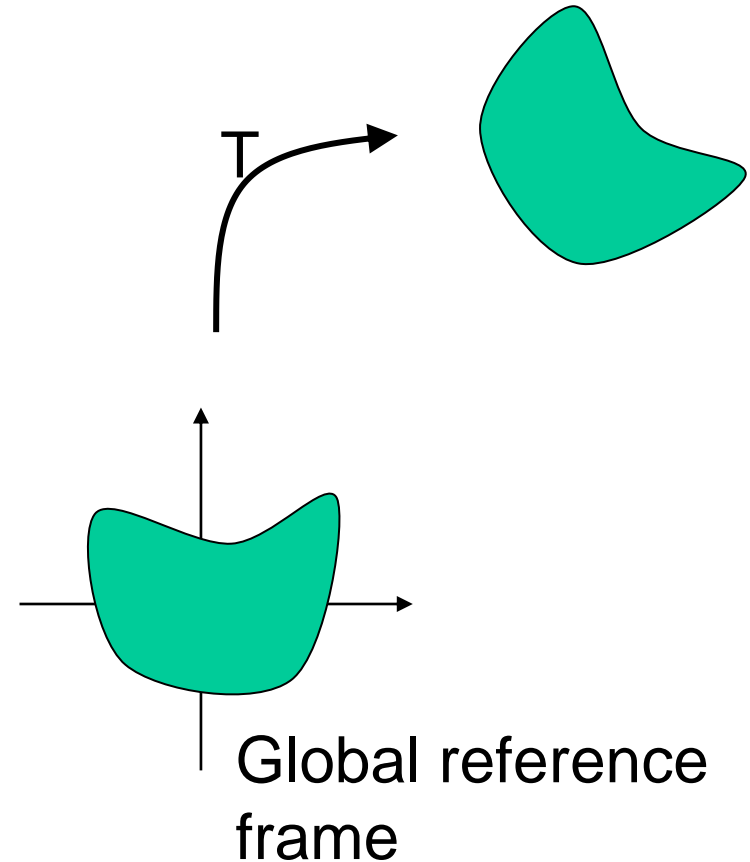
- Plane defined by point P_0 and vectors u and v
- u and v should not be parallel
- Parametric form:
 $T(\alpha, \beta) = P_0 + \alpha u + \beta v$
(α and β are scalars)
- $n = u \times v / |u \times v|$ is the normal
- $n \cdot (P - P_0) = 0$ if and only if P lies in plane



Geometric Transformations

Transformations

- Linear transformations
- Rigid transformations
- Affine transformations
- Projective transformations



Homogeneous Coordinates

- Any affine transformation between 3D spaces can be represented by a 4x4 matrix

$$T(\mathbf{p}) = \begin{pmatrix} \mathbf{M}_{3 \times 3} & \mathbf{T}_{3 \times 1} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{p}_{3 \times 1} \\ 1 \end{pmatrix}$$

- Affine transformation is *linear* in homogeneous coordinates

Projective Spaces

- Homogeneous coordinates
 - $(x, y, z, w) = (x/w, y/w, z/w, 1)$
 - Useful for handling perspective projection

- But, it is algebraically inconsistent !!

$$(1,0,0,1) + (1,1,0,1) = (2,1,0,2) = (1, \frac{1}{2}, 0, 1)$$

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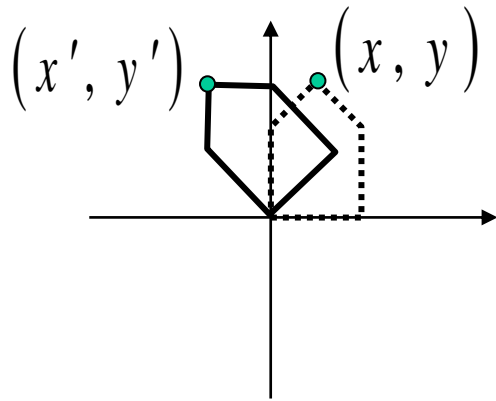
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$$(1,0,0,1) + (2,2,0,2) = (3,2,0,3) = (1, \frac{2}{3}, 0, 1)$$

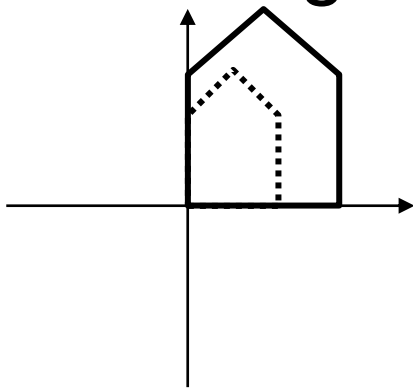
Examples of Affine Transformations

- 2D rotation



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

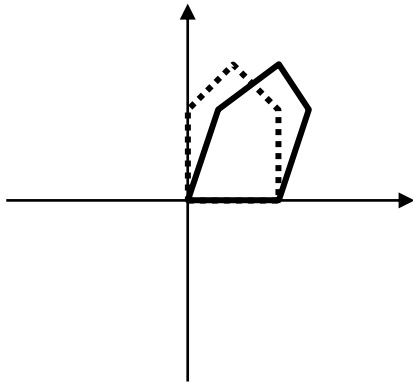
- 2D scaling



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} s_x x \\ s_y y \\ 1 \end{pmatrix}$$

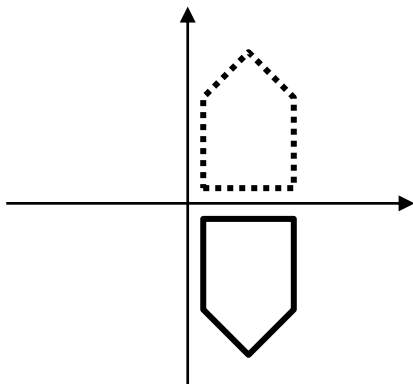
Examples of Affine Transformations

- 2D shear



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & d & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} x + dy \\ y \\ 1 \end{pmatrix}$$

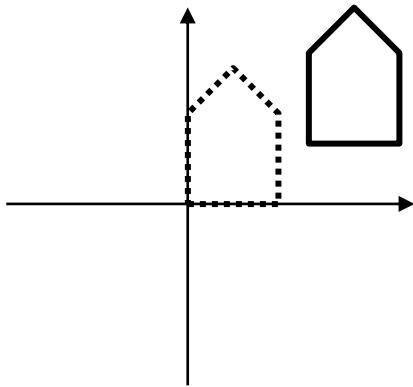
- 2D reflection



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} x \\ -y \\ 1 \end{pmatrix}$$

Examples of Affine Transformations

- 2D translation



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} x + t_x \\ y + t_y \\ 1 \end{pmatrix}$$

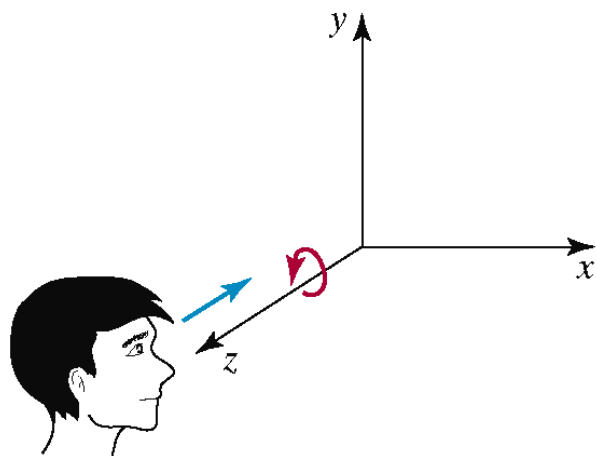
Examples of Affine Transformations

• 3D rotation

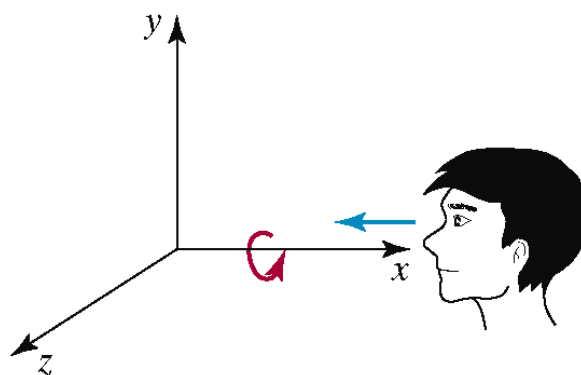
$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

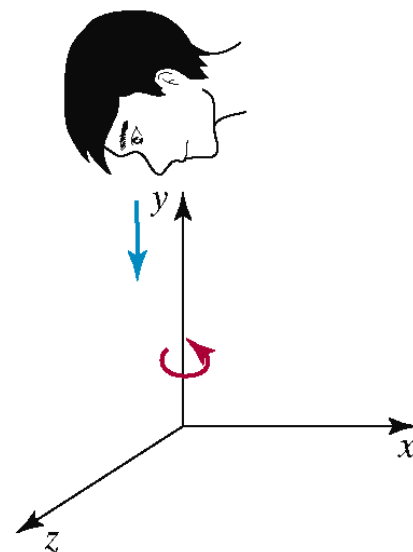
$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$



(a)



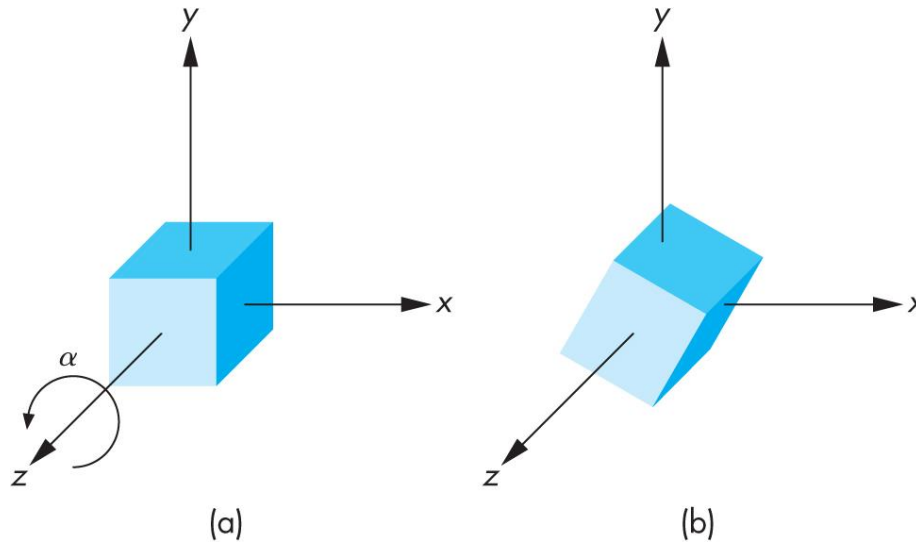
(b)



(c)

3D Rotation Matrix about Z Axis

$$\mathbf{R} = \mathbf{R}_Z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



3D Rotation about x and y axes

- Same argument as for rotation about z axis
 - For rotation about x axis, x is unchanged
 - For rotation about y axis, y is unchanged

$$\mathbf{R} = \mathbf{R}_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{R} = \mathbf{R}_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

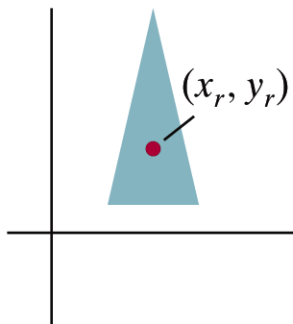
2D Pivot-Point Rotation

- Rotation with respect to a pivot point (x, y)

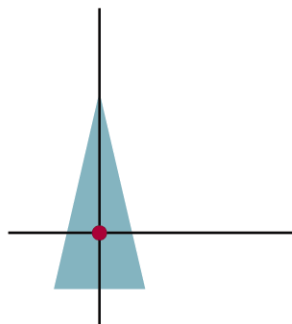
$$T(x, y) \cdot R(\theta) \cdot T(-x, -y)$$

$$= \begin{pmatrix} 1 & 0 & x \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & -x \\ 0 & 1 & -y \\ 0 & 0 & 1 \end{pmatrix}$$

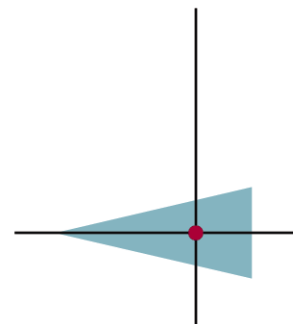
$$= \begin{pmatrix} \cos \theta & -\sin \theta & x(1 - \cos \theta) + y \sin \theta \\ \sin \theta & \cos \theta & y(1 - \cos \theta) - x \sin \theta \\ 0 & 0 & 1 \end{pmatrix}$$



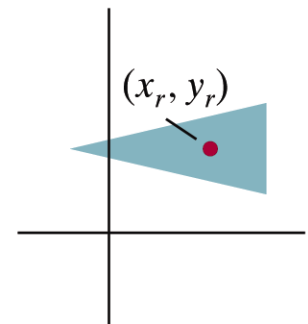
(a)



(b)



(c)



(d)

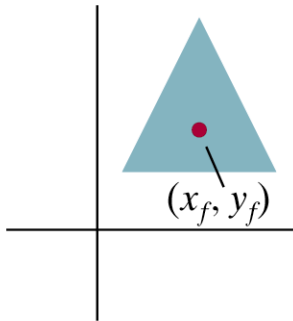
2D Fixed-Point Scaling

- Scaling with respect to a fixed point (x, y)

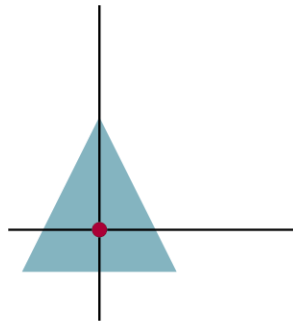
$$T(x, y) \cdot S(s_x, s_y) \cdot T(-x, -y)$$

$$= \begin{pmatrix} 1 & 0 & x \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & -x \\ 0 & 1 & -y \\ 0 & 0 & 1 \end{pmatrix}$$

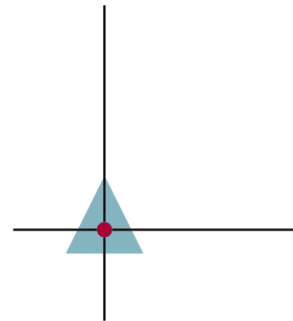
$$= \begin{pmatrix} s_x & 0 & (1-s_x) \cdot x \\ 0 & s_y & (1-s_y) \cdot y \\ 0 & 0 & 1 \end{pmatrix}$$



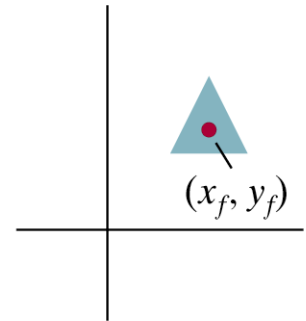
(a)



(b)



(c)

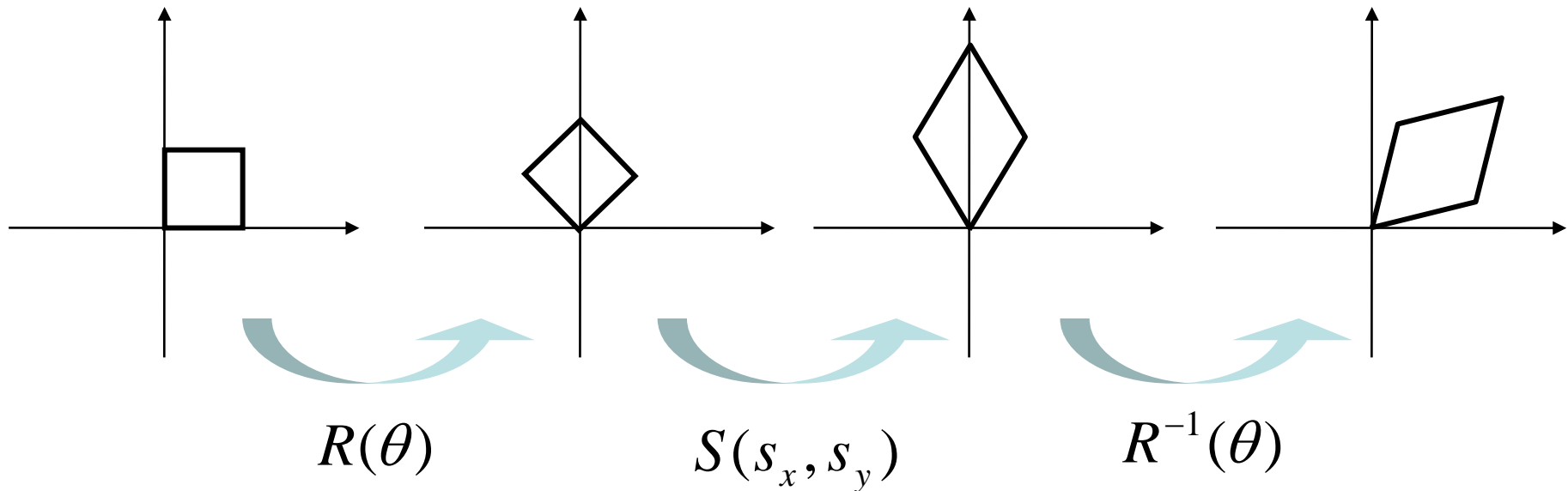


(d)

Scaling Direction

- Scaling along an arbitrary axis

$$R^{-1}(\theta) \cdot S(s_x, s_y) \cdot R(\theta)$$



Properties of Affine Transformations

- Any *affine transformation* between 3D spaces can be represented as a combination of a *linear transformation* followed by *translation*
- An affine transf. maps *lines* to *lines*
- An affine transf. maps *parallel lines* to *parallel lines*
- An affine transf. preserves *ratios of distance* along a line
- An affine transf. does not preserve absolute distances and angles

Rigid Transformations

- A *rigid transformation* T is a mapping between affine spaces
 - T maps vectors to vectors, and points to points
 - T preserves distances between all points
 - T preserves cross product for all vectors (to avoid reflection)
- In 3-spaces, T can be represented as

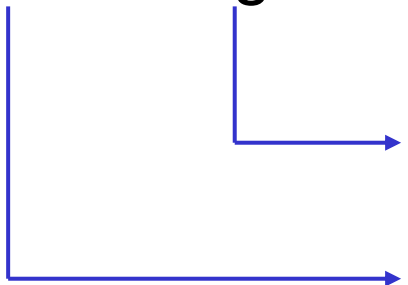
$$T(\mathbf{p}) = \mathbf{R}_{3 \times 3} \mathbf{p}_{3 \times 1} + \mathbf{T}_{3 \times 1}, \quad \text{where}$$
$$\mathbf{R} \mathbf{R}^T = \mathbf{R}^T \mathbf{R} = \mathbf{I} \quad \text{and} \quad \det \mathbf{R} = 1$$

Rigid Body Rotation

- Rigid body transformations allow only **rotation** and **translation**

- Rotation matrices form $SO(3)$

- Special orthogonal group


$$\mathbf{R} \mathbf{R}^T = \mathbf{R}^T \mathbf{R} = \mathbf{I} \quad \begin{array}{l} \text{(Distance} \\ \text{preserving)} \\ \text{(No reflection)} \end{array}$$
$$\det \mathbf{R} = 1$$

Rigid Body Rotation

- R is normalized
 - The squares of the elements in any row or column sum to 1
- $$\mathbf{R} \mathbf{R}^T = \mathbf{R}^T \mathbf{R} = \mathbf{I}$$
- R is orthogonal
 - The dot product of any pair of rows or any pair columns is 0
- The rows (columns) of R correspond to the vectors of the principle axes of the rotated coordinate frame

3D Rotation About Arbitrary Axis

- How to rotate around \mathbf{u} vector
(\mathbf{u} = given rotation axis)
- ➔ Rotate about x and y axes to make \mathbf{u} align with the z -axis

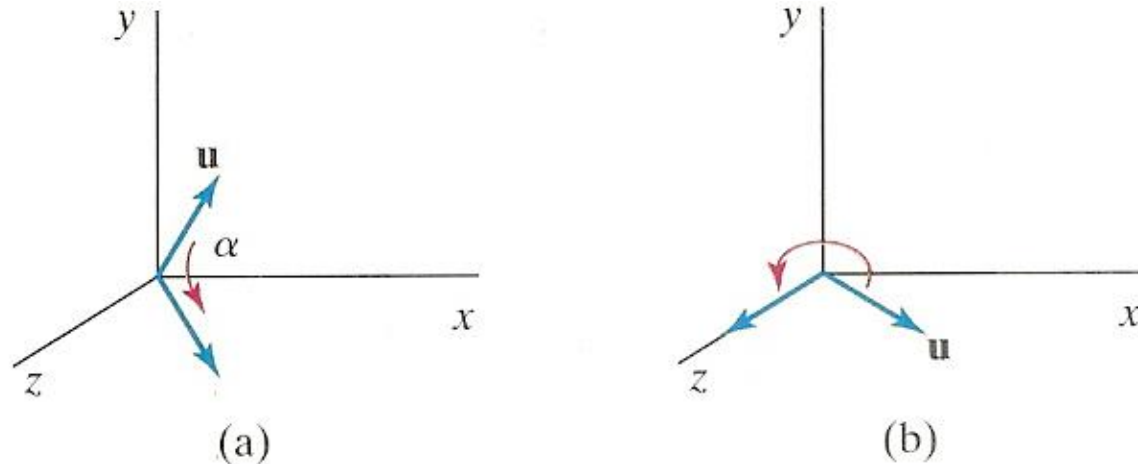


FIGURE 5-45 Unit vector \mathbf{u} is rotated about the x axis to bring it into the xz plane (a), then it is rotated around the y axis to align it with the z axis (b).

3D Rotation About Arbitrary Axis

- Rotate \mathbf{u} onto the z -axis
 - \mathbf{u}' : Project \mathbf{u} onto the yz -plane to compute angle α
 - \mathbf{u}'' : Rotate \mathbf{u} about the x -axis by angle α
 - Rotate \mathbf{u}'' onto the z -axis

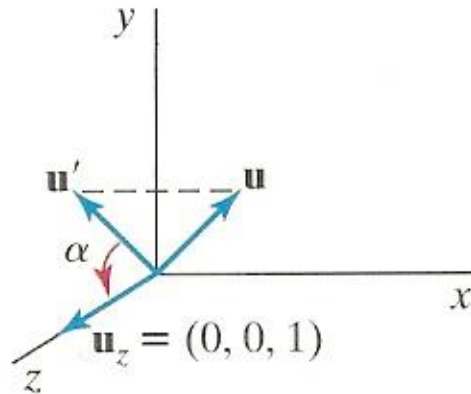


FIGURE 5-46 Rotation of \mathbf{u} around the x axis into the xz plane is accomplished by rotating \mathbf{u}' (the projection of \mathbf{u} in the yz plane) through angle α onto the z axis.

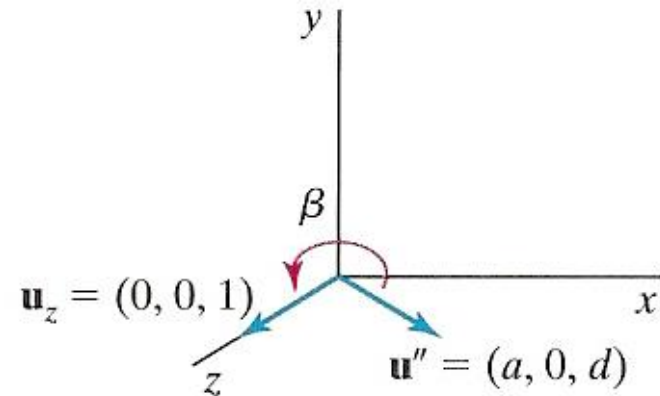


FIGURE 5-47 Rotation of unit vector \mathbf{u}'' (vector \mathbf{u} after rotation into the xz plane) about the y axis. Positive rotation angle β aligns \mathbf{u}'' with vector \mathbf{u}_z .

3D Rotation About Arbitrary Axis

- Rotate \mathbf{u}' about the x-axis onto the z-axis
 - Let $\mathbf{u}=(a,b,c)$ and thus $\mathbf{u}'=(0,b,c)$
 - Let $\mathbf{u}_z=(0,0,1)$

$$\cos \alpha = \frac{\mathbf{u}' \cdot \mathbf{u}_z}{\|\mathbf{u}'\| \|\mathbf{u}_z\|} = \frac{c}{\sqrt{b^2 + c^2}}$$

$$\begin{aligned} \mathbf{u}' \times \mathbf{u}_z &= \mathbf{u}_x \|\mathbf{u}'\| \|\mathbf{u}_z\| \sin \alpha \\ &= \mathbf{u}_x \cdot b \end{aligned} \quad \longrightarrow \quad \sin \alpha = \frac{b}{\|\mathbf{u}'\| \|\mathbf{u}_z\|} = \frac{b}{\sqrt{b^2 + c^2}}$$

3D Rotation About Arbitrary Axis

- Rotate \mathbf{u}' about the x-axis onto the z-axis
 - Since we know both $\cos \alpha$ and $\sin \alpha$, the rotation matrix can be obtained

$$\mathbf{R}_x(\alpha) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{c}{\sqrt{b^2 + c^2}} & \frac{-b}{\sqrt{b^2 + c^2}} & 0 \\ 0 & \frac{b}{\sqrt{b^2 + c^2}} & \frac{c}{\sqrt{b^2 + c^2}} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Or, we can compute the signed angle α

$$\text{atan2}\left(\frac{c}{\sqrt{b^2 + c^2}}, \frac{b}{\sqrt{b^2 + c^2}}\right)$$

- Do not use $\text{acos}()$ since its domain is limited to $[-1, 1]$

Gimble

- Hardware implementation of Euler angles
- Aircraft, Camera

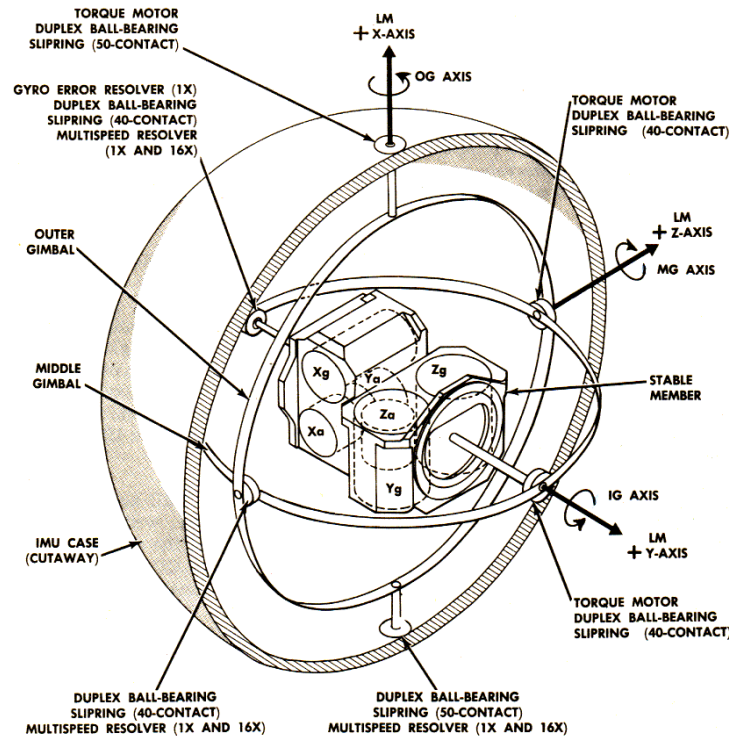
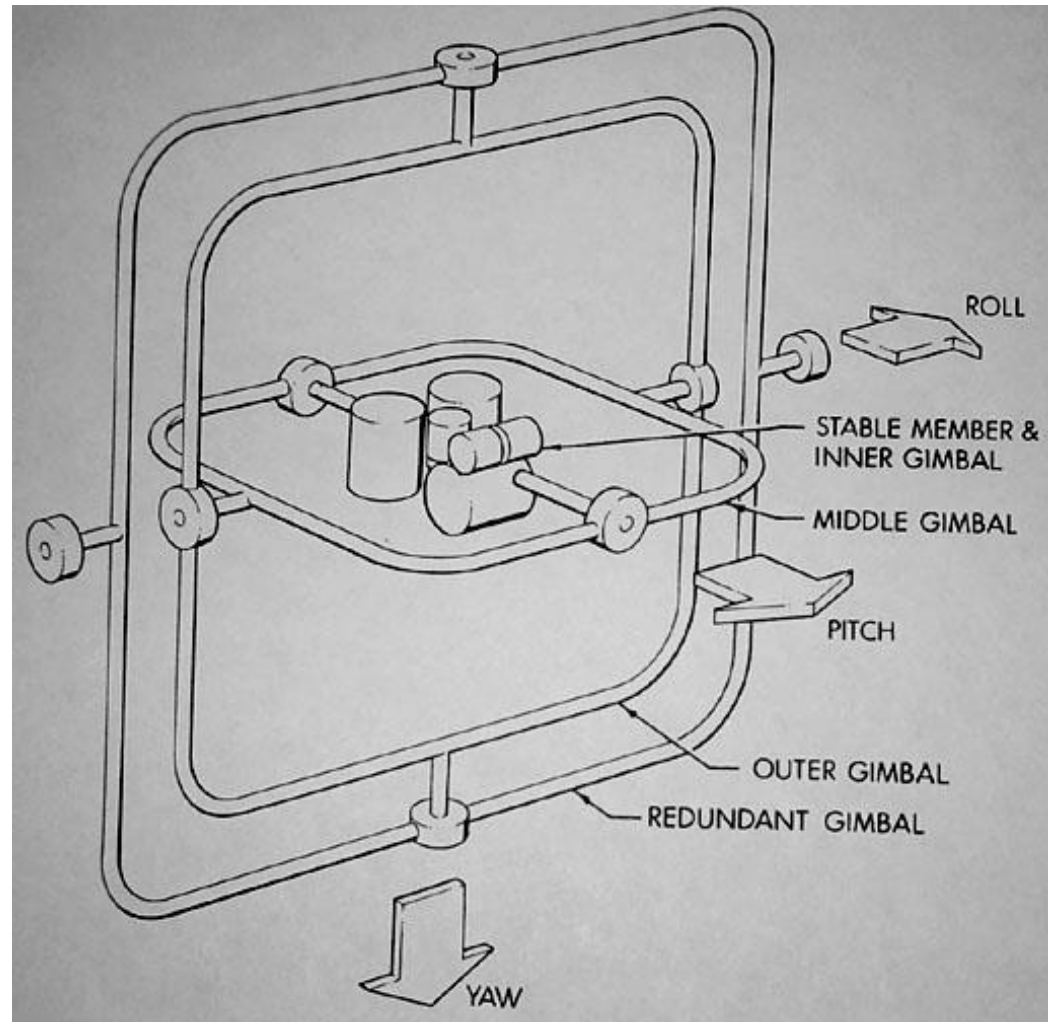


Figure 2.1-24. IMU Gimbal Assembly



Euler Angles

- Rotation about three orthogonal axes
 - 12 combinations
 - XYZ, XYX, XZY, XZX
 - YZX, YZY, YXZ, YXY
 - ZXY, ZXZ, ZYX, ZYZ
- **Gimble lock**
 - Coincidence of inner most and outmost gimbals' rotation axes
 - Loss of degree of freedom



Euler angles

- Arbitrary rotation can be represented by three rotation along x,y,z axis

$$R_{XYZ}(\gamma, \beta, \alpha) = R_z(\alpha)R_y(\beta)R_x(\gamma)$$

$$= \begin{bmatrix} C\alpha C\beta & C\alpha S\beta S\gamma - S\alpha C\gamma & C\alpha S\beta C\gamma + S\alpha S\gamma & 0 \\ S\alpha C\beta & S\alpha S\beta S\gamma + C\alpha C\gamma & S\alpha S\beta C\gamma - C\alpha S\gamma & 0 \\ -S\beta & C\beta S\gamma & C\beta C\gamma & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Euler Angles

- Euler angles are ambiguous
 - Two different Euler angles can represent the same orientation
$$R_1 = (r_x, r_y, r_z) = (\theta, \frac{\pi}{2}, 0) \quad \text{and} \quad R_2 = (0, \frac{\pi}{2}, -\theta)$$
 - This ambiguity brings unexpected results of animation where frames are generated by interpolation.

Smooth Rotation

- Create transformations from \mathbf{M}_0 to \mathbf{M}_n *smoothly*
 - Problem: find a sequence of model-view matrices $\mathbf{M}_0, \mathbf{M}_1, \dots, \mathbf{M}_n$ for each frame to see a smooth transition
- One solution for rotation (using Euler angles):
 - Find $\mathbf{R}_0 = \mathbf{R}_{0z} \mathbf{R}_{0y} \mathbf{R}_{0x}$ and $\mathbf{R}_n = \mathbf{R}_{nz} \mathbf{R}_{ny} \mathbf{R}_{nx}$
 - Then, Create a sequence of rotation $\mathbf{R}_0, \mathbf{R}_1, \dots, \mathbf{R}_n$:
 $\mathbf{R}_i = \mathbf{R}_{iz} \mathbf{R}_{iy} \mathbf{R}_{ix}$ (where, ix, iy, iz is the interpolated angles from the beginning and the end)
 - Not very effective!
 - Quaternions can do it better!

Quaternions

- Extension of imaginary numbers from two to three dimensions
- Requires one real and three imaginary components **i**, **j**, **k**

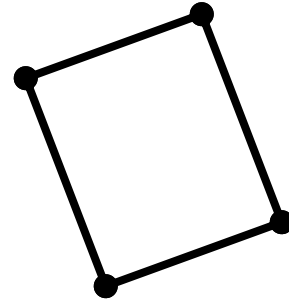
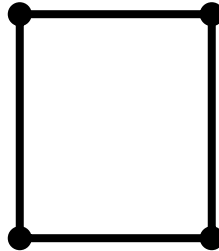
$$q = q_0 + q_1\mathbf{i} + q_2\mathbf{j} + q_3\mathbf{k}$$

- Quaternions can express rotations on sphere smoothly and efficiently. Process:
 - Model-view matrix → quaternion
 - Carry out operations with quaternions
 - Quaternion → Model-view matrix

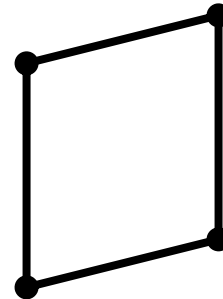
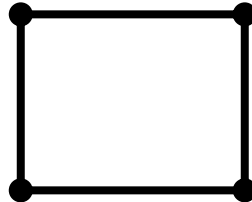
Computer Animation 수업에서 다룹니다.

Taxonomy of Transformations

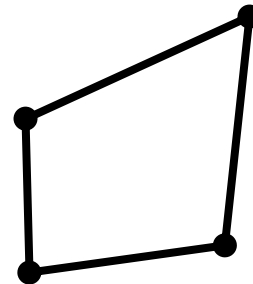
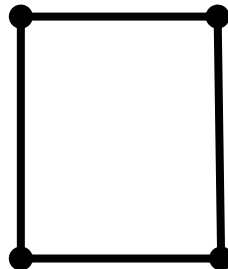
Rigid



Affine



Projective



Composite Transformations

- Composite 2D Translation

$$\begin{aligned} T &= \mathbf{T}(t_{x1}, t_{y1}) \cdot \mathbf{T}(t_{x2}, t_{y2}) \\ &= \mathbf{T}(t_{x1} + t_{x2}, t_{y1} + t_{y2}) \end{aligned}$$

$$\begin{pmatrix} 1 & 0 & t_{x2} \\ 0 & 1 & t_{y2} \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & t_{x1} \\ 0 & 1 & t_{y1} \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & t_{x1} + t_{x2} \\ 0 & 1 & t_{y1} + t_{y2} \\ 0 & 0 & 1 \end{pmatrix}$$

Composite Transformations

- Composite 2D Scaling

$$\begin{aligned} T &= \mathbf{S}(s_{x1}, s_{y1}) \cdot \mathbf{S}(s_{x2}, s_{y2}) \\ &= \mathbf{S}(s_{x1}s_{x2}, s_{y1}s_{y2}) \end{aligned}$$

$$\begin{pmatrix} s_{x2} & 0 & 0 \\ 0 & s_{y2} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} s_{x1} & 0 & 0 \\ 0 & s_{y1} & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} s_{x1} \cdot s_{x2} & 0 & 0 \\ 0 & s_{y1} \cdot s_{y2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Composite Transformations

- Composite 2D Rotation

$$\begin{aligned} T &= \mathbf{R}(\theta_2) \cdot \mathbf{R}(\theta_1) \\ &= \mathbf{R}(\theta_2 + \theta_1) \end{aligned}$$

$$\begin{pmatrix} \cos \theta_2 & -\sin \theta_2 & 0 \\ \sin \theta_2 & \cos \theta_2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_1 & -\sin \theta_1 & 0 \\ \sin \theta_1 & \cos \theta_1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} \cos(\theta_2 + \theta_1) & -\sin(\theta_2 + \theta_1) & 0 \\ \sin(\theta_2 + \theta_1) & \cos(\theta_2 + \theta_1) & 0 \\ 0 & 0 & 1 \end{pmatrix}$$