

# **Algebra (Honor Track)**

## **Spring 2024**

### **Commutative Algebra**

**Notes**

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PERSONAL USE

<https://github.com/flaricy/algebra-notes>

The author hopes to take notes while learning abstract algebra. Reference books are *Introduction to communitative algebra* by Atiyah, Michael. Starts from Feb 21st, 2024.



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# 1. Group Theory

## 1.1 Groups and subgroups

**Definition 1.1.1 — direct product.** Let  $(G, *)$  and  $(H, \circ)$  be groups, then we may form a new group structure on  $G \times H$  with group operation given by

$$(g, h) \star (g', h') = (g * g', h \circ h')$$

This is called the **direct product** of G and H.

### 1.1.1 Important Examples of Groups

**Definition 1.1.2 — Dihedral groups** 二面体群.

$D_{2n}$  = symmetric group of a regular n-gon

It can be rewritten as

$$D_{2n} = \langle r, s | r^n = 1, s^2 = 1, rsr = s^{-1} \rangle$$

**Definition 1.1.3 — Permutation Groups.** Let  $\Omega$  be a set. The set

$$S_\Omega = \{\text{bijections } \sigma : \Omega \xrightarrow{\sim} \Omega\}$$

admits a group structure:

- the group operation is composition
- the identity element is *id*
- the inverse of the element  $\sigma$  is the inverse map.

This  $S_\Omega$  is called the symmetry group or the permutation group of  $\Omega$ . When  $\Omega = \{1, 2, \dots, n\}$ , we write  $S_n$  instead.

**Definition 1.1.4 — cyclic groups.** A group  $H$  is called cyclic if it can be generated by one element  $x$ , i.e.

$$H = \langle x \rangle$$

**Lemma 1.1.1** There are 2 kinds of cyclic groups up to isomorphism.

- (1)  $H \cong \mathbf{Z}_n$
- (2)  $H \cong \mathbf{Z}$

**Definition 1.1.5 — The quaternion group.**

$$Q_8 = \{1, -1, i, -i, j, -j, k, -k\}$$

## 1.2 cosets, Lagrange theorem, quotient groups

### 1.2.1 Conjugation, normal subgroups, and quotient groups.

**Definition 1.2.1 — conjugate.** Let  $a, g \in G$ , then  $gag^{-1}$  is called the **conjugate of  $a$  by  $g$** .

**Definition 1.2.2 — 定义-命题.** If  $H$  is a subgroup of  $G$  and  $g \in G$ , then  $gHg^{-1} := \{ghg^{-1} | h \in H\}$  is a subgroup, called the **conjugate of  $H$  by  $g$**

*Proof.* We just need to verify that  $\forall a, b \in H$ ,  $gag^{-1} \cdot (gbg^{-1})^{-1} \in gHg^{-1}$ . ■

**Definition 1.2.3 — normal subgroup.** If  $H \trianglelefteq G$  and all conjugates of  $H$  is  $H$  itself, we denote  $H \trianglelefteq G$ . Note that this condition is also equivalent to  $gH = Hg$  (as subsets) for any  $g \in G$ .

**Definition 1.2.4 — quotient group.** Let  $H \trianglelefteq G$ , then  $\forall a, b \in G$ , we define

$$aH \cdot bH := \{kl | k \in aH, l \in bH\} = abH$$

as subsets of  $G$ . This defines a group structure on  $G/H$ , called the **quotient group** or the **factor group** of  $G$  by  $H$ .

### 1.2.2 Some Technical Results

**Proposition 1.2.1** Let  $H$  and  $K$  be subgroups of a group  $G$ . Define  $HK = \{hk | h \in H, k \in K\}$ . When  $G$  is finite, we have

$$|HK| = \frac{|H| \cdot |K|}{|H \cap K|}$$

*Proof.* to be written ■

The following lemmas tells when  $HK$  is a (normal) subgroup.

**Lemma 1.2.2** Let  $H$  and  $K$  be subgroups of  $G$ . If  $HK = KH$  as sets, then  $HK$  is a subgroup of  $G$ . In particular, if  $K$  is a normal subgroup, then  $hK = Kh$  for any  $h \in H$ , and thus  $HK = KH$  is a subgroup of  $G$ .

*Proof.* We need to verify that  $\forall h_1 k_1 \cdot (h_2 k_2)^{-1} = h_1 k_1 k_2^{-1} h_2^{-1} \in HK$ . Since  $h_1(k_1 k_2^{-1}) \in HK = KH$ , there exists  $h, k$  such that  $h_1 k_1 k_2^{-1} = kh$ . Then  $kh_2^{-1} \in KH = HK$ . ■

**Lemma 1.2.3** If  $H, K$  are both normal subgroups of  $G$ , then  $HK$  is also a normal subgroup of  $G$ .

*Proof.*  $\forall g \in G$ , we have  $gHK = HgK = HKg$ . ■

### 1.2.3 homomorphism

**Definition 1.2.5 — Kernel as a group homomorphism.** For a homomorphism  $\phi : G \rightarrow H$  of groups, the **kernel** is

$$\ker \phi = \{g \in G | \phi(g) = e_H\}$$

**Lemma 1.2.4** Let  $\phi : G \rightarrow H$  be a group homomorphism.

- (1) The image  $\phi(G)$  is a subgroup of  $H$ .
- (2) The kernel  $\ker \phi$  is a normal subgroup of  $G$ .

*Proof.* (1) It follows from that  $\phi(g_1)\phi(g_2)^{-1} = \phi(g_1g_2^{-1}) \in \phi(G)$

(2) If  $g_1, g_2 \in \ker \phi$ , then

$$\phi(g_1g_2^{-1}) = e_H e_H^{-1} = e_H$$

For any  $g' \in G$ , and any  $g \in \ker \phi$ ,

$$\phi(g'gg'^{-1}) = \phi(g')e_H\phi(g')^{-1} = e_H$$

■

**Lemma 1.2.5** A homomorphism  $\phi : G \rightarrow H$  of groups is injective if and only if  $\ker \phi = \{e_G\}$ .

## 1.3 isomorphism theorems, composition series, statement of Holder Theorem

### 1.3.1 isomorphism theorems

**Theorem 1.3.1 — The first isomorphism theorem.** If  $\phi : G \rightarrow H$  is a homomorphism of groups, then  $\ker \phi \trianglelefteq G$  and

$$G/\ker \phi \cong \phi(G)$$

**Theorem 1.3.2 — The second homomorphism theorem.** Let  $G$  be a group, and let  $A \leq G$  be a subgroup and  $B \trianglelefteq G$  a normal subgroup. Then  $AB$  is a subgroup of  $G$ ,  $B \trianglelefteq AB$ ,  $A \cap B \trianglelefteq A$ , and

$$AB/B \cong A/(A \cap B)$$

*Proof.* By lemma 1.2.2 we know  $AB$  is a subgroup of  $G$ .

For any  $ab \in AB$ , since  $B$  is normal to  $G$ ,  $abB = aB = Ba$  and  $aB = aBb = Bab$ . So  $B \trianglelefteq AB$ .

It is clear that  $A \cap B \leq A$ . For any  $a \in A, x \in A \cap B$ , we have  $axa^{-1} \in B$ , since  $B$  is normal. Also  $axa^{-1} \in A$ , since  $x \in A$ . So  $A \cap B \trianglelefteq A$ .

To show the isomorphism, we define  $\phi : AB \rightarrow A/(A \cap B)$  by  $\phi(ab) = a(A \cap B)$ . It's easy to verify that  $\phi$  is well-defined, surjective and a homomorphism, with  $\ker \phi = B$ . By Theorem 1.3.1, we know

the statement is true.

$$\begin{array}{ccc}
 AB & \xrightarrow{\phi} & A/(A \cap B) \\
 & \searrow q & \nearrow f \\
 & AB/B &
 \end{array}$$

■

**Theorem 1.3.3 — The third isomorphism theorem.** Let  $G$  be a group and  $H, K$  be normal subgroups with  $H \leq K$ . Then  $K/H \trianglelefteq G/H$ , and

$$(G/H)/(K/H) \cong G/K$$

*Proof.* Consider the map

$$\phi : G/H \longrightarrow G/K$$

$$gH \longmapsto gK$$

- $\phi$  is well-defined. We can simply redefine  $\phi$  as  $\phi(gH) = gH \cdot K = gK$  as product of subsets of  $G$ .
- $\phi$  is homomorphism. Easy to verify.
- $\phi$  is surjective.
- $\ker \phi = \{gH | gK = K\} = \{gH | g \in K\} = K/H$ . So  $K/H \trianglelefteq G/H$ . And by the first isomorphism theorem, we statement holds.

■

**Theorem 1.3.4 — The fourth isomorphism theorem/ Lattice isomorphism theorem.** Let  $G$  be a group and  $N \trianglelefteq G$ . Then there is a bijection

$$\{\text{subgroups of } G \text{ containing } N\} \longleftrightarrow \{\text{subgroups of } G/N\}$$

$$A \longmapsto A/N$$

$$\pi^{-1}(\bar{A}) \longleftarrow \bar{A}$$

where  $\pi : G \rightarrow G/N$  is the natural projection.

This bijection preserves

- inclusion of groups
- intersections
- normality of subgroups
- quotients of subgroups

Visually, we have: Lattice of subgroups of  $G$  containing  $N \iff$  Lattice of subgroups of  $G/N$ .

### 1.4 Lattice

**Definition 1.4.1** Let  $(S, \leq)$  be a set equipped with a partial order.  $(S, \leq)$  is called a *Lattice* if any  $x, y \in S, \{x, y\}$  has a maximal lower bound and a minimal upper bound. The lower bound is denoted by  $x \wedge y$ , while the upper bound is denoted by  $x \vee y$ .

■ **Example 1.1** 设  $n$  为正整数,  $A_n$  为  $n$  的所有正因数的几何, 则  $A_n$  关于整除关系构成格。

■

■ **Example 1.2** 设  $P(B)$  为  $B$  的幂集, 则  $P(B)$  关于包含关系  $\subseteq$  构成格, 称为幂集格。 ■

■ **Example 1.3** — 子群格. 群  $G$  的所有子群, 关于包含关系。 ■

## 1.5 composition series, Jordan-Holder Theorem, simplicity of $A_n$ , direct product groups

**Definition 1.5.1 — composition series.** In a group  $G$ , a series of subgroups

$$\{0\} = N_0 \leq N_1 \leq \dots \leq N_k = G$$

such that  $N_{i-1} \trianglelefteq N_i$  and  $N_i/N_{i-1}$  is a simple group for  $1 \leq i \leq k$  is called **composition series**. In this case,  $N_i/N_{i-1}$  is called a **composition factor**.

**Definition 1.5.2 — solvable.** A group  $G$  is called **solvable** if there exists a composition series

$$\{0\} = N_0 \leq N_1 \leq \dots \leq N_k = G$$

such that  $N_i/N_{i-1}$  is abelian.

**Corollary 1.5.1** a finite group is solvable if and only if all the composition factors are  $\mathbf{Z}_p$ .

**Theorem 1.5.2 — Jordan-Holder.** Let  $G$  be a non-trivial group,

(1)  $G$  has a composition series.

(2) Assume that a group  $G$  has the following two composition series,

$$\{0\} = A_0 \leq A_1 \leq \dots \leq A_m = G, \quad \{0\} = B_0 \leq B_1 \leq \dots \leq B_n = G$$

then  $m = n$  and there exists a bijection  $\sigma : \{1, 2, \dots, m\} \rightarrow \{1, 2, \dots, n\}$

$$A_{\sigma(i)}/A_{\sigma(i-1)} \cong B_i/B_{i-1}$$

for  $i = 1, 2, \dots, m$

*Proof.* to be written

### 1.5.1 The simplicity of $A_n$ , $n \geq 5$

**Proposition 1.5.3**





## 2. Rings and Ideals

If not pointed out specifically, the notion "ring" refers to a commutative ring with an identity element.

### 2.1 rings, ideals, quotient rings

**Definition 2.1.1 — ring homomorphism.** Let  $A, B$  be rings,  $f : A \rightarrow B$  is a homomorphism when

(1)  $f(x+y) = f(x) + f(y)$ . So  $f$  is a homomorphism of abelian groups.

(2)  $f(xy) = f(x)f(y)$ ,  $f(1) = 1$ . So  $f$  is a homomorphism between the monoids  $(A, \cdot)$  and  $(B, \cdot)$ .

**Definition 2.1.2 — ideal of a ring.** An ideal  $I$  of a ring  $A$  is an additive subgroup and is such that  $AI \subseteq I$ .

■ **Example 2.1** Every ring  $A$  has 2 trivial ideals:  $\{0\}$  and  $A$ . ■

Below,  $I$  denotes the ideal of ring  $A$ .

**Definition 2.1.3 — quotient ring.** Define multiplication in the quotient group  $A/I$  by

$$(a+I) \cdot (b+I) = ab+I$$

It is well defined. Now  $A/I$  is made into a ring called the *quotient ring*. The mapping  $\phi : A \rightarrow A/I$  which maps each  $x \in A$  to its coset  $x+I$  is a surjective ring homomorphism.

**Proposition 2.1.1** There is a one-to-one order preserving correspondence between

$$\{J | I \subseteq J \subseteq A, J : \text{ideal}\} \xleftarrow{1:1} \{\bar{J} | \text{ideal } \bar{J} \subseteq A/I\}$$

$$J \longmapsto J+I$$

$$\phi^{-1}(\bar{J}) \longleftarrow \bar{J}$$

*Proof.* First, Let's show that  $J + I$  is an ideal in  $A/I$ .

$J + I$  is abelian : trivial;  $\forall x + I \in A/I, (x + I) \cdot (J + I) = (Jx + I) \subseteq (J + I)$ , since  $J$  is an ideal.

Second, we can verify this mapping to be invertible. ■

**Corollary 2.1.2** If  $f : A \rightarrow B$  is any ring homomorphism, the *kernel* of  $f (= f^{-1}(0))$  is an ideal of  $A$ , and the image of  $f (= f(A))$  is a subring  $C$  of  $B$ , but may not be an ideal.

*Proof.* Consider the embedding mapping

$$\mathbb{Q} \longleftrightarrow \mathbb{Q}[X]$$

The image is absolutely not an ideal. ■

**Theorem 2.1.3 — fundamental homomorphism theorem.**  $f : A \rightarrow B$  is a ring homomorphism,  $I$  is the kernel of  $f$ ,  $g(a+I) := f(a)$  then  $g$  is a ring isomorphism.

$$\begin{array}{ccccc} A & \xrightarrow{f} & \text{Im}(f) & \hookrightarrow & B \\ & \searrow \phi & \uparrow g & & \\ & & A/I & & \end{array}$$

## 2.2 zero-divisors, nilpotent elements, units

**Definition 2.2.1 — zero-divisor.** a zero-divisor in a ring  $A$  is an element  $x$  for which there exists  $y \neq 0$  in  $A$  such that  $xy = 0$

**Definition 2.2.2 — integral domain.** a ring with no zero-divisors  $\neq 0$  and not a zero ring.

**Definition 2.2.3 — nilpotent.** An element  $x \in A$  is *nilpotent* if  $x^n = 0$  for some  $n > 0$ .

(R) A nilpotent element is a zero-divisor.

**Definition 2.2.4 — unit 可逆元.** A unit in  $A$  is an element  $x$  such that  $xy = 1$  for some  $y \in A$ . Note that  $y$  is uniquely determined by  $x$ , and is written as  $x^{-1}$ .

(R) The units in  $A$  form a abelian group under multiplication.

**Definition 2.2.5 — field.** A field is a ring  $A$  which  $1 \neq 0$  and every non-zero elem. is a unit.

**Proposition 2.2.1** Let  $A$  be a ring  $\neq 0$ . The following are equivalent:

- (1)  $A$  is a field;
- (2) The only ideals in  $A$  are  $0$  and  $(1)$ ;
- (3) Every non-trivial homomorphism of  $A$  into a non-zero ring  $B$  is injective.

### 2.3 prime ideals and maximal ideals

**Definition 2.3.1 — prime ideal.** An ideal  $\mathfrak{p}$  in  $A$  is *prime* if  $\mathfrak{p} \neq (1)$  and if  $xy \in \mathfrak{p} \implies x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$

**Definition 2.3.2 — maximal ideal.** An ideal  $\mathfrak{m}$  in  $A$  is *maximal* if  $\mathfrak{m} \neq (1)$  and if there is no ideal  $\alpha$  such that  $\mathfrak{m} \subset \alpha \subset (1)$  (strict inclusion).

(R)  $\mathfrak{m}$  can be  $\{0\}$ .

**Proposition 2.3.1**  $\mathfrak{p}$  is prime  $\iff A/\mathfrak{p}$  is an integral domain.

*Proof.* Easy to verify. ■

**Proposition 2.3.2**  $\mathfrak{m}$  is maximal  $\iff A/\mathfrak{m}$  is a field. Hence, a maximal ideal is prime.

*Proof.* By Proposition 2.1.1 and Proposition 2.2.1, the statement holds. ■

**Proposition 2.3.3** If  $f : A \rightarrow B$  is a ring homomorphism and  $q$  is a prime ideal of  $B$ , then  $f^{-1}(q)$  is a prime ideal in  $A$ .

*Proof.* If  $a, b \in A$  such that  $f(a) = f(b) \in q$ . Then  $f(a - b) = f(a) - f(b) \in q$ . Thus,  $f^{-1}(q)$  is abelian. For any  $a \in f^{-1}(q), x \in A$ , we have  $f(ax) = f(a)f(x) \in Bq = q$ . Thus,  $f^{-1}(q)$  is an ideal. For any  $a, b \in A, ab \in f^{-1}(q) \iff f(ab) \in q \iff f(a) \cdot f(b) \in q \iff f(a) \in q \vee f(b) \in q \iff a \in f^{-1}(q) \vee b \in f^{-1}(q) \iff f^{-1}(q)$  is a prime ideal. ■

(R) If  $m$  is a maximal ideal of  $B$ , it is not necessarily true that  $f^{-1}(m)$  is maximal in  $A$ . Consider  $A = \mathbb{Z}, B = \mathbb{Q}, m = \{0\}$ .

**Theorem 2.3.4** Every ring  $A \neq 0$  has at least one maximal ideal.

This theorem relies on Zorn's Lemma. We first introduce it.

**Definition 2.3.3 — chain in a partially ordered set.** Let  $S$  be a non-empty partially ordered set. A subset  $T$  of  $S$  is a chain if either  $x \leq y$  or  $y \leq x$  for every pair of elements in  $T$ .

**Lemma 2.3.5 — Zorn.** If every chain  $T$  of  $S$  has an upper bound in  $S$ , then  $S$  has at least one maximal element. Zorn's Lemma is equivalent to the axiom of choice.

*Proof.* Let's prove theorem 2.3.4, using Zorn's Lemma.

Let  $\Sigma = \{I : I \text{ is ideal}, I \neq (1)\}$ . Order  $\Sigma$  by inclusion.  $\Sigma$  is not empty, since  $0 \in \Sigma$ . For each chain, consider the union as another ideal  $\neq (1)$  to be an upper bound. Then Zorn's lemma yields that there is a maximal element. ■

(R) If  $A$  is Noetherian, we can avoid the use of Zorn's lemma.

**Corollary 2.3.6** If  $a \neq (1)$  is an ideal of  $A$ , there exists a maximal ideal of  $A$  containing  $a$ .

*Proof.* Replace  $\Sigma$  by  $\{I : I \text{ is ideal containing } a, I \neq (1)\}$  in the proof of Theorem 2.3.4 . ■

**Corollary 2.3.7** Every non-unit of  $A$  is contained in a maximal ideal.

**Definition 2.3.4 — local ring, residue field.** If a ring  $A$  has exactly one maximal ideal  $m$  (e.g. fields), then  $A$  is called a *local ring*. The field  $k = A/m$  is called the residue field of  $A$ .

**Proposition 2.3.8** Let  $A$  be a ring and  $m \neq (1)$  an ideal of  $A$  such that  $\forall x \in A - m$  is a unit in  $A$ . Then  $A$  is a local ring and  $m$  its maximal ideal.

First, we observe the following

**Lemma 2.3.9** Every element in a maximal ideal is not a unit.

*proof of Proposition 2.3.8.* From corollary 2.3.6 and lemma 2.3.9 we know  $m$  is a maximal ideal. Also from lemma 2.3.9, we know there doesn't exist other maximal ideals. Thus,  $A$  is a local ring. ■

**Proposition 2.3.10** Let  $A$  be a ring and  $m$  a maximal ideal, such that every element of  $1 + m$  is a unit in  $A$ . Then  $A$  is a local ring.

*Proof.* Make an analogy to Bezout Theorem. Let  $x \in A - m$ . Since  $m$  is maximal, the ideal generated by  $x$  and  $m$  is  $(1)$ , hence there exists  $y \in A, t \in m$  such that  $xy + t = 1$ . Thus  $xy = 1 - t \in 1 + m$ , which means  $x$  is a unit. ■

■ **Example 2.2**  $A = F[X_1, \dots, X_n], F : \text{field}$ . Let  $f \in A$  be an irreducible polynomial. By unique factorization, the ideal  $(f)$  is prime. When  $n \geq 2$ , it's not a *principal ideal domain*. ■

■ **Example 2.3** Every ideal in  $\mathbf{Z}$  is of the form  $(m)$  for some  $m \geq 0$ . The ideal is prime  $\iff m = 0$  or is a prime number. For all ideals  $(p)$  are maximal. ■

**Definition 2.3.5 — principal integral domain.** an integral domain where every ideal is principal.

**Proposition 2.3.11** Every non-zero prime ideal is maximal.

*Hint.* The cancellation law applies in the integral domain. ■



### 3. Module Theory