

# **Algebra (Honor Track)**

## **Spring 2024**

### **Commutative Algebra**

**Notes**

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PERSONAL USE

<https://github.com/flaricy/algebra-notes>

The author hopes to take notes while learning abstract algebra. Reference books are *Introduction to communitative algebra* by Atiyah, Michael. Starts from Feb 21st, 2024.



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# 1. Group Theory

## 1.1 Groups and subgroups

**Definition 1.1.1 — direct product.** Let  $(G, *)$  and  $(H, \circ)$  be groups, then we may form a new group structure on  $G \times H$  with group operation given by

$$(g, h) \star (g', h') = (g * g', h \circ h')$$

This is called the **direct product** of G and H.

### 1.1.1 Important Examples of Groups

**Definition 1.1.2 — Dihedral groups** 二面体群.

$D_{2n}$  = symmetric group of a regular n-gon

It can be rewritten as

$$D_{2n} = \langle r, s | r^n = 1, s^2 = 1, rsr = s^{-1} \rangle$$

**Definition 1.1.3 — Permutation Groups.** Let  $\Omega$  be a set. The set

$$S_\Omega = \{\text{bijections } \sigma : \Omega \xrightarrow{\sim} \Omega\}$$

admits a group structure:

- the group operation is composition
- the identity element is *id*
- the inverse of the element  $\sigma$  is the inverse map.

This  $S_\Omega$  is called the symmetry group or the permutation group of  $\Omega$ . When  $\Omega = \{1, 2, \dots, n\}$ , we write  $S_n$  instead.

**Definition 1.1.4 — cyclic groups.** A group  $H$  is called cyclic if it can be generated by one element  $x$ , i.e.

$$H = \langle x \rangle$$

**Lemma 1.1.1** There are 2 kinds of cyclic groups up to isomorphism.

- (1)  $H \cong \mathbf{Z}_n$
- (2)  $H \cong \mathbf{Z}$

**Definition 1.1.5 — The quaternion group.**

$$Q_8 = \{1, -1, i, -i, j, -j, k, -k\}$$

## 1.1.2 exercises

■ **Example 1.1** suppose  $G$  is cyclic.

- (1) Any subgroup of  $G$  is cyclic.
- (2) If  $|G| = \infty$ , then all the subgroups but  $\{e\}$  have order of infinity.
- (3) If  $|G| = n$ , then the order of subgroup is a factor of  $n$ . For every  $d|n$ ,  $G$  has only one  $d$ -ordered group.

■ **Example 1.2**  $G$  is a group.  $\forall x \in G, x^2 = 1$ . Then  $G$  is abelian.

④ If  $G$  has an element with order  $\geq 3$ , then there exists  $a \neq b, a, b \neq 1$  such that  $ab = ba$ .

## 1.2 cosets, Lagrange theorem, quotient groups

### 1.2.1 Conjugation, normal subgroups, and quotient groups.

**Definition 1.2.1 — conjugate.** Let  $a, g \in G$ , then  $gag^{-1}$  is called the **conjugate of  $a$  by  $g$** .

**Definition 1.2.2 — 定义-命题.** If  $H$  is a subgroup of  $G$  and  $g \in G$ , then  $gHg^{-1} := \{ghg^{-1} | h \in H\}$  is a subgroup, called the conjugate of  $H$  by  $g$

*Proof.* We just need to verify that  $\forall a, b \in H, gag^{-1} \cdot (gbg^{-1})^{-1} \in gHg^{-1}$ .

**Definition 1.2.3 — normal subgroup.** If  $H \leq G$  and all conjugates of  $H$  is  $H$  itself, we denote  $H \trianglelefteq G$ . Note that this condition is also equivalent to  $gH = Hg$  (as subsets) for any  $g \in G$ .

**Definition 1.2.4 — quotient group.** Let  $H \trianglelefteq G$ , then  $\forall a, b \in G$ , we define

$$aH \cdot bH := \{kl | k \in aH, l \in bH\} = abH$$

as subsets of  $G$ . This defines a group structure on  $G/H$ , called the **quotient group** or the **factor group** of  $G$  by  $H$ .

### 1.2.2 Some Technical Results

**Proposition 1.2.1** Let  $H$  and  $K$  be subgroups of a group  $G$ . Define  $HK = \{hk | h \in H, k \in K\}$ . When  $G$  is finite, we have

$$|HK| = \frac{|H| \cdot |K|}{|H \cap K|}$$

*Proof.* Let  $HK = \bigsqcup_{i=1}^n Hk_i$ , where  $k_i$  are representatives. Since  $H \cap K \leq K$ , consider equivalence classes  $K/(H \cap K)$ . Define

$$f : \bigsqcup_{i=1}^n Hk_i \longrightarrow K/(H \cap K)$$

$$Hk_i \longleftarrow K/(H \cap K)$$

We can verify  $f$  is well-defined, injective and surjective. So  $|K|/|H \cap K| = n$  and the above proposition holds.  $\blacksquare$

The following lemmas tells when  $HK$  is a (normal) subgroup.

**Lemma 1.2.2** Let  $H$  and  $K$  be subgroups of  $G$ . If  $HK = KH$  as sets, then  $HK$  is a subgroup of  $G$ . In particular, if  $K$  is a normal subgroup, then  $hK = Kh$  for any  $h \in H$ , and thus  $HK = KH$  is a subgroup of  $G$ .

*Proof.* We need to verify that  $\forall h_1 k_1 \cdot (h_2 k_2)^{-1} = h_1 k_1 k_2^{-1} h_2^{-1} \in HK$ . Since  $h_1(k_1 k_2^{-1}) \in HK = KH$ , there exists  $h, k$  such that  $h_1 k_1 k_2^{-1} = kh$ . Then  $kh h_2^{-1} \in KH = HK$ .  $\blacksquare$

(R) The converse of the above lemma is true, i.e.  $HK$  is a subgroup  $\implies HK = KH$ .

**Lemma 1.2.3** If  $H, K$  are both normal subgroups of  $G$ , then  $HK$  is also a normal subgroup of  $G$ .

*Proof.*  $\forall g \in G$ , we have  $gHK = HgK = HKg$ .  $\blacksquare$

### 1.2.3 homomorphism

**Definition 1.2.5 — homomorphism, isomorphism.**  $f : G \rightarrow G'$ , for any  $x, y \in G$ ,  $f(xy) = f(x)f(y)$ , then  $f$  is called a homomorphism. If  $f$  is bijection, then  $f$  is an isomorphism.

(R)  $f : G \rightarrow G$  is injective (or surjective) and homomorphism,  $f$  may not be a bijection (unless  $G$  is finite.)

**Definition 1.2.6 — Kernel as a group homomorphism.** For a homomorphism  $\phi : G \rightarrow H$  of groups, the **kernel** is

$$\ker \phi = \{g \in G | \phi(g) = e_H\}$$

**Lemma 1.2.4** Let  $\phi : G \rightarrow H$  be a group homomorphism.

- (1) The image  $\phi(G)$  is a subgroup of  $H$ .
- (2) The kernel  $\ker \phi$  is a normal subgroup of  $G$ .

*Proof.* (1) It follows from that  $\phi(g_1)\phi(g_2)^{-1} = \phi(g_1g_2^{-1}) \in \phi(G)$

(2) If  $g_1, g_2 \in \ker \phi$ , then

$$\phi(g_1g_2^{-1}) = e_H e_H^{-1} = e_H$$

For any  $g' \in G$ , and any  $g \in \ker \phi$ ,

$$\phi(g'gg'^{-1}) = \phi(g')e_H\phi(g')^{-1} = e_H$$

■

**Lemma 1.2.5** A homomorphism  $\phi : G \rightarrow H$  of groups is injective if and only if  $\ker \phi = \{e_G\}$ .

**Definition 1.2.7 — ring structure on endomorphisms of an abelian group.** Let  $M$  be an abelian group. Use  $E(M)$  to denote endomorphisms of  $M$  (naturally an abelian group). We upgrade  $E(M)$  to be a ring (may not commutative) by defining

$$1 = id_M \quad \text{for } f, g \in E(M), f \cdot g := f \circ g \in E(M)$$

**Proposition 1.2.6** The above definition makes  $E(M)$  into a ring.

*Proof.* The  $(\cdot)$  operation on  $E(M)$  forms a monoid. The distributivity law can be reduced to element-wise operation. ■

### 1.3 isomorphism theorems, composition series, statement of Holder Theorem

#### 1.3.1 isomorphism theorems

**Theorem 1.3.1 — The first isomorphism theorem.** If  $\phi : G \rightarrow H$  is a homomorphism of groups, then  $\ker \phi \trianglelefteq G$  and

$$G/\ker \phi \cong \phi(G)$$

In general, if  $\phi : G \rightarrow H$  is a homomorphism,  $\ker \phi \subseteq N \trianglelefteq G$ , then  $\phi(N) \trianglelefteq \phi(G)$ , and

$$G/N \cong \phi(G)/\phi(N)$$

*Proof.* For any  $\phi(g) \in \phi(G)$ ,  $\phi(g)\phi(N)(\phi(G))^{-1} = \phi(gNg^{-1}) = \phi(N)$ . Hence,  $\phi(N)$  is normal. Define  $f : G/N \rightarrow \phi(G)/\phi(N)$  by  $f(gN) = \phi(g)\phi(N)$ .

- $f$  is well-defined. If  $g = g'n, n \in N$ , then  $\phi(g)\phi(N) = \phi(g')\phi(n)\phi(N) = \phi(g')\phi(N)$ .
- Can verify  $f$  is homomorphism, injective. It's obvious  $f$  is surjective.

Thus,  $f$  is isomorphism. ■

**Theorem 1.3.2 — The second homomorphism theorem.** Let  $G$  be a group, and let  $A \leq G$  be a subgroup and  $B \trianglelefteq G$  a normal subgroup. Then  $AB$  is a subgroup of  $G$ ,  $B \trianglelefteq AB$ ,  $A \cap B \trianglelefteq A$ , and

$$AB/B \cong A/(A \cap B)$$

*Proof.* By lemma 1.2.2 we know  $AB$  is a subgroup of  $G$ .

For any  $ab \in AB$ , since  $B$  is normal to  $G$ ,  $abB = aB = Ba$  and  $aB = aBb = Bab$ . So  $B \trianglelefteq AB$ .

It is clear that  $A \cap B \leq A$ . For any  $a \in A, x \in A \cap B$ , we have  $axa^{-1} \in B$ , since  $B$  is normal. Also  $axa^{-1} \in A$ , since  $x \in A$ . So  $A \cap B \trianglelefteq A$ .

To show the isomorphism, we define  $\phi : AB \rightarrow A/(A \cap B)$  by  $\phi(ab) = a(A \cap B)$ . It's easy to verify that  $\phi$  is well-defined, surjective and a homomorphism, with  $\ker \phi = B$ . By Theorem 1.3.1, we know the statement is true.

$$\begin{array}{ccc} AB & \xrightarrow{\phi} & A/(A \cap B) \\ & \searrow q & \swarrow f \\ & AB/B & \end{array}$$

■

**Theorem 1.3.3 — The third isomorphism theorem.** Let  $G$  be a group and  $H, K$  be normal subgroups with  $H \leq K$ . Then  $K/H \trianglelefteq G/H$ , and

$$(G/H)/(K/H) \cong G/K$$

*Proof.* Consider the map

$$\phi : G/H \longrightarrow G/K$$

$$gH \longmapsto gK$$

- $\phi$  is well-defined. We can simply redefine  $\phi$  as  $\phi(gH) = gH \cdot K = gK$  as product of subsets of  $G$ .
- $\phi$  is homomorphism. Easy to verify.
- $\phi$  is surjective.
- $\ker \phi = \{gH | gK = K\} = \{gH | g \in K\} = K/H$ . So  $K/H \trianglelefteq G/H$ . And by the first isomorphism theorem, we statement holds.

■

**Theorem 1.3.4 — The fourth isomorphism theorem/ Lattice isomorphism theorem.** Let  $G$  be a group and  $N \trianglelefteq G$ . Then there is a bijection

$$\{\text{subgroups of } G \text{ containing } N\} \longleftrightarrow \{\text{subgroups of } G/N\}$$

$$A \longmapsto A/N$$

$$\pi^{-1}(\bar{A}) \longleftarrow \bar{A}$$

where  $\pi : G \rightarrow G/N$  is the natural projection.

This bijection preserves

- inclusion of groups
- intersections
- normality of subgroups
- quotients of subgroups

Visually, we have: Lattice of subgroups of  $G$  containing  $N \iff$  Lattice of subgroups of  $G/N$ .

### 1.4 Lattice

**Definition 1.4.1** Let  $(S, \leq)$  be a set equipped with a partial order.  $(S, \leq)$  is called a *Lattice* if any  $x, y \in S$ ,  $\{x, y\}$  has a maximal lower bound and a minimal upper bound. The lower bound is denoted by  $x \wedge y$ , while the upper bound is denoted by  $x \vee y$ .

■ **Example 1.3** 设  $n$  为正整数,  $A_n$  为  $n$  的所有正因数的集合, 则  $A_n$  关于整除关系构成格。

■

■ **Example 1.4** 设  $P(B)$  为  $B$  的幂集, 则  $P(B)$  关于包含关系  $\subseteq$  构成格, 称为幂集格。 ■

■ **Example 1.5 — 子群格.** 群  $G$  的所有子群, 关于包含关系。 ■

## 1.5 composition series, Jordan-Holder Theorem, simplicity of An, direct product groups

**Definition 1.5.1 — composition series.** In a group  $G$ , a series of subgroups

$$\{0\} = N_0 \leq N_1 \leq \dots \leq N_k = G$$

such that  $N_{i-1} \trianglelefteq N_i$  and  $N_i/N_{i-1}$  is a simple group for  $1 \leq i \leq k$  is called **composition series**. In this case,  $N_i/N_{i-1}$  is called a **composition factor**.

**Definition 1.5.2 — solvable.** A group  $G$  is called **solvable** if there exists a composition series

$$\{0\} = N_0 \leq N_1 \leq \dots \leq N_k = G$$

such that  $N_i/N_{i-1}$  is abelian.

**Corollary 1.5.1** a finite group is solvable if and only if all the composition factors are  $\mathbf{Z}_p$ .

**Theorem 1.5.2 — Jordan-Holder.** Let  $G$  be a non-trivial group,

(1)  $G$  has a composition series.

(2) Assume that a group  $G$  has the following two composition series,

$$\{0\} = A_0 \leq A_1 \leq \dots \leq A_m = G, \quad \{0\} = B_0 \leq B_1 \leq \dots \leq B_n = G$$

then  $m = n$  and there exists a bijection  $\sigma : \{1, 2, \dots, m\} \rightarrow \{1, 2, \dots, n\}$

$$A_{\sigma(i)}/A_{\sigma(i-1)} \cong B_i/B_{i-1}$$

for  $i = 1, 2, \dots, m$

*Proof.* to be written ■

### 1.5.1 The simplicity of $A_n, n \geq 5$

#### Proposition 1.5.3

## 1.6 recognizing direct product, group actions, semi-direct product

### 1.6.1 recognizing direct products

**Theorem 1.6.1 — criterion of direct product group.** Suppose  $G$  is a group with subgroups  $H, K$  such that

- (1)  $H, K$  are normal.
- (2)  $H \cap K = \{1\}$

Then  $HK \cong H \times K$

*Proof.* Recall that Lemma 1.2.1 and 1.2.2 ensures that  $HK = KH$  are normal subgroup of  $G$ . Consider the map

$$\phi : H \times K \longrightarrow HK$$

$$(h, k) \longmapsto hk$$

- $\phi$  is a homomorphism.  $\phi((h_1, k_1)(h_2, k_2)) = \phi((h_1 h_2, k_1 k_2)) = h_1 h_2 k_1 k_2$ . It suffices to show that  $h_2 k_1 = k_1 h_2$ , or  $h_2 k_1 h_2^{-1} k_1^{-1} = 1$ . Since  $h_2 k_1 h_2^{-1} \in K, k_1 h_2^{-1} k_1^{-1} \in H$ , we know  $h_2 k_1 h_2^{-1} k_1^{-1} \in H \cap K = \{1\}$ .
- $\phi$  is surjective.
- $\ker \phi = \{(h, k) : hk = 1\} = \{(1, 1)\}$ . ■

### 1.6.2 group actions

**Definition 1.6.1** Let  $G$  be a group and  $X$  a set. A left  $G$ -action on  $X$  is a map

$$G \times X \rightarrow X$$

$$(g, x) \mapsto g \cdot x$$

satisfying the following conditions:

- (1) for any  $x \in X$ ,  $e \cdot x = x$
- (2) for any  $g, h \in G$  and  $x \in X$ , we have

$$g(hx) = (gh)x$$

- (R) for any  $g \in G$ , the induced  $X \rightarrow X$  given by  $x \mapsto g \cdot x$  is a bijection. Because the inverse is given by  $x \mapsto g^{-1}x$ .

**Definition 1.6.2 — conjugate action.** for  $g \in G$ , consider

$$\begin{aligned} Ad_g : G &\rightarrow G \\ Ad_g(x) &:= gxg^{-1} \end{aligned}$$

**Proposition 1.6.2** Let  $G$  be a group acting on a set  $X$ . Then we have a natural homomorphism from  $G$  to the permutation group of  $X$ :

$$\Phi : \quad G \longrightarrow S_X$$

$$g \longmapsto (\phi_g : x \mapsto g \cdot x)$$

In fact, to given a group action is equivalent to give a homomorphism  $\Phi : G \rightarrow S_X$ .

*Proof.*  $\Phi(gh) = \phi_{gh}$ , and  $\phi_g \circ \phi_h(x) = g \cdot (h \cdot x) = (gh) \cdot x = \phi_{gh}(x)$ . ■

**Definition 1.6.3** (1) If the above  $\Phi$  is injective, we say this action is **faithful**.  
 (2) If  $\Phi$  is trivial, i.e.  $\phi_g = id$  for any  $g \in G$ , we say the action is **trivial**.

**Theorem 1.6.3 — Cayley.** Every group is isomorphic to a subgroup of some symmetry group. If  $|G| = n$ , then  $G$  is isomorphic to a subgroup of  $S_n$ .

*Proof.* Consider Prop. 1.6.2, it induces a homomorphism (injective)  $G \rightarrow S_G$ . ■

### 1.6.3 Automorphism groups

**Definition 1.6.4** An **automorphism** of a group  $G$  is an isomorphism  $\sigma : G \rightarrow G$ . Then

$$Aut(G) := \{\text{automorphisms of } G\}$$

forms a group. It's a subgroup of  $S_G$ .

**Definition 1.6.5 — Inner automorphism.**

$$Inn(G) := \{Ad_g : g \in G\}$$

**Proposition 1.6.4**

$$Inn(G) \trianglelefteq Aut(G)$$

*Proof.* note that  $\sigma Ad_g \sigma^{-1} = Ad_{\sigma(g)}$ . ■

### 1.6.4 semi-direct products

## 1.7 Stabilizers, orbits of group actions, class equations

### 1.7.1 Stabilizers and orbits of group actions

**Definition 1.7.1** Let  $G$  be a group acting on a set  $X$ . For each  $x \in X$ ,

- define the **stabilizer subgroup** at  $x$  to be  $Stab_G(x) = \{g \in G | g \cdot x = x\}$
- define the **orbit** of  $x$  to be  $Orb_G(x) = \{g \cdot x | g \in G\} \subseteq X$

- define the **fixed points** of set  $X$  to be  $X^G = \{x \in X \mid \forall g, gx = x\}$ . Then for any  $x \in X^G$ ,  $\text{Stab}_G(x) = G$ .

**Proposition 1.7.1** Let  $G$  be a group acting on a set  $X$  and  $x \in X$ .

- (1)  $\text{Stab}_G(x)$  is a subgroup.
- (2) For  $x, y \in X$ , either  $\text{Orb}_G(x) = \text{Orb}_G(y)$  or  $\text{Orb}_G(x) \cap \text{Orb}_G(y) = \emptyset$ .  $X$  is the disjoint union of orbits for the  $G$ -action.
- (3) If  $y \in \text{Orb}_G(x)$ , i.e.  $y = g \cdot x$  for some  $g \in G$ , then  $\text{Stab}_G(y) = g \text{Stab}_G(x) g^{-1}$ . Namely, the stabilizers at different points of an orbit are conjugate to each other.

*Proof.* all very trivial. ■

■ **Example 1.6 — conjugacy classes.** Definition 1.6.2 gives a group action of  $G$  on itself.

- (1) If  $G$  is abelian, the conjugacy class of  $a \in G$  is just  $\{a\}$ .
- (2) For  $G = GL_n(\mathbb{C})$ , every matrix can be conjugated into a Jordan block.

$$\{\text{conjugacy classes of } G\} \iff \{\text{Jordan canonical form (with nonzero eigenvalues up to permutation)}\}$$

(3)  $G = S_n$ , the conjugacy classes are in one-to-one correspondence with partitions of  $n = n_1 + n_2 + \dots + n_t$ . ■

**Definition 1.7.2 — centralizer, center, normalizer.** Let  $G$  be a group,  $H$  a subgroup, and  $S \subseteq G$  a subset.

- (1) The subgroup  $C_G(S) := \{g \in G \mid \text{for every } s \in S, gsg^{-1} = s\}$  is called the **centralizer** of  $S$  in  $G$
- (2) The subgroup  $Z(G) := \{g \in G \mid \forall h \in G, ghg^{-1} = h\} = C_G(G)$  is called the **center** of  $G$ .
- (3) The subgroup  $N_G(H) := \{g \in G \mid gHg^{-1} = H\}$  is called the **normalizer** of  $H$  in  $G$ .

(R) Note that  $Z(G)$  is abelian.

**Proposition 1.7.2** (1) The Conjugation action induces a homomorphism  $Ad : G \rightarrow \text{Aut}(G)$ . Then  $Z(G) = \ker(Ad)$ . Thus,  $Z(G)$  is a normal subgroup of  $G$ .

*Proof.*  $\ker(Ad) = \{g \mid Ad_g = id\}$  ■

**Proposition 1.7.3**  $G/Z(G) \cong \text{Inn}(G)$

*Proof.* Could be directly implied by Proposition 1.7.2 and fundamental homomorphism theorem. ■

**Definition 1.7.3 —  $G$ -equivariant.** Let  $G$  be a group acting on two sets  $X$  and  $Y$ . We say a map  $\phi : X \rightarrow Y$  is  $G$ -equivalent if  
for all  $g \in G, x \in X$ , we have  $\phi(g \cdot x) = g \cdot \phi(x)$ .

**Definition 1.7.4 — transitive.** Let  $G$  be a group acting on a set  $X$ . We say that the action is **transitive** if

for any  $x, y \in X$ , there exists  $g \in G$ , such that  $x = gy$ .

**Proposition 1.7.4** If a group  $G$  acts transitively on a set  $X$ , for every element  $x \in X$ , put  $H = Stab_G(x)$ . Then there is a  $G$ -equivalent bijection

$$\phi : G/H \xrightarrow{\cong} X$$

$$gH \longrightarrow gx$$

(Here  $G/H$  is not a quotient group, but simply equivalence class)

*Proof.* verify that  $\phi$  is well-defined, bijective, surjective, and preserves group action. ■

**Corollary 1.7.5** Let  $G$  be a group acting on a set  $X$ . For each  $x \in X$ ,  $G$  acts transitively on  $Orb_G(x)$ , thus we have

$$Orb_G(x) \cong G/Stab_G(x)$$

as  $G$ -equivalence. And

$$X \cong \bigsqcup_{G\text{-orbits } G \cdot x} G/Stab_G(x)$$

**Corollary 1.7.6** If  $X$  is a finite set,  $G$  is a  $p$ -group acting on  $X$ , then

$$|X| \equiv |X^G| \pmod{p}$$

*Proof.* A direct corollary from Corollary 1.7.4 ■

## 1.7.2 class equations

分类方程

**Theorem 1.7.7 — class equation.** Let  $G$  be a finite group (acting on itself by conjugation)

(1) For each  $g \in G$ , the number of elements in its conjugacy class is

$$|Ad_G(g)| = \frac{|G|}{C_G(g)} = [G : C_G(g)]$$

(2) If  $g_1, g_2, \dots, g_r$  are representatives of conjugacy classes of  $G$  that are not contained in  $Z(G)$ , then

$$|G| = |Z(G)| + \sum_{i=1}^r [G : C_G(g_i)]$$

**Proposition 1.7.8** For a non-trivial  $p$ -group,  $Z(G)$  is nontrivial.

## 1.8 Sylow's Theorem

**Definition 1.8.1** For  $p$ :prime,

- (1) A  $p$ -group is a finite group whose order is a power of  $p$ .
- (2) If  $G$  is a finite group of order  $|G| = p^r m$ , and  $p \nmid m$ , a subgroup  $H$  of  $G$  of order exactly  $p_r$  is called a **Sylow  $p$ -subgroup**. write

$$Syl_p(G) := \{ \text{Sylow } p\text{-subgroup of } G \} \quad \text{and} \quad n_p := |Syl_p(G)|$$

**Theorem 1.8.1 — Sylow's theorem.** Let  $G$  be a finite group with  $|G| = p_r m, p \nmid m$ .

- (First Sylow Theorem) Sylow  $p$ -subgroup exists.
- (Second Sylow Theorem) If  $P$  is a Sylow  $p$ -subgroup, and  $Q \leq G$  is of  $p$ -power order, then there exists  $g \in G$  such that  $Q \leq gPg^{-1}$  (note that  $gPg^{-1}$  is also a Sylow  $p$ -subgroup).
- In other words, we have
  - all Sylow  $p$ -subgroups are conjugate.
  - all subgroups of  $p$ -power order is contained in a Sylow  $p$ -subgroup.
- (Third Sylow Theorem)  $n_p = |Syl_p(G)|$  satisfies
  - (1)  $n_p \equiv 1 \pmod{p}$
  - (2)  $n_p \mid m$

*proof of first Sylow Theorem – version 1.* Induce on  $|G|$ . When  $|G| = 1$ , trivial.

Suppose that the theorem is proved for finite groups of order  $< n$ . Let  $G$  be a finite group of order  $n = p^r m, p \nmid m$ .

Case 1: If  $r = 0$ , trivial. Case 2: If  $p \mid |Z(G)|$ , then  $Z(G)$  is a finitely generated abelian group. So

$$Z(G) = \mathbb{Z}_p^{r_1} \times \dots \times \mathbb{Z}_p^{r_s} \times \dots$$

We write  $Z(G)_p$  for the  $p$ -part of  $Z(G)$ ; then  $|Z(G)_p| = p^{r'} \geq 1$  for some  $r' \geq 1$ .

Consider the quotient homomorphism

$$G \xrightarrow{\pi} G/Z(G)_p =: \bar{G}$$

where the quotient  $\bar{G}$  has order  $p^{r-r'}m < n$ . By inductive hypothesis,  $\bar{G}$  contains a Sylow  $p$ -subgroup  $H := \bar{H}$  of order  $p^{r-r'}$ . Then  $\pi^{-1}(\bar{H})$  is a subgroup of  $G$  (By the fourth isomorphism theorem) of order

$$|\bar{H}| \cdot |\ker \pi| = p^r.$$

So  $H$  is a Sylow  $p$ -subgroup of  $G$ .

Case 3: If  $p \nmid |Z(G)|$  but  $p \mid |G|$ .

Then class equation

$$|G| = |Z(G)| + \sum_{i=1}^t [G : C_G(g_i)]$$

follows that there exists some  $i$  such that  $[G : C_G(g_i)]$  is not divisible by  $p$ . Thus  $|C_G(g_i)|$  has order  $p^r m'$  for some  $m' \mid m$  but  $m' \neq m$ . By inductive hypothesis we know there exists a Sylow  $p$ -subgroup  $H$  of  $C_G(g_i)$ , which is also a subgroup of  $G$ . ■

- (R) The above proof can be easily modified to show a stronger result:  
If  $|G| = p^r m$ , then for every  $0 \leq k \leq r$ , there exists some subgroup  $H \leq G$ , such that  $|H| = p^k$ .

Now introduce a similar theorem, which is also an application of group action and orbit decomposition.

**Theorem 1.8.2 — A. L. Cauchy.**  $G$  is finite,  $p$  is a divisor of  $|G|$ , then there exists  $g \in G$  such that  $\text{ord}(g) = p$ .

*Proof.* Let  $H = \mathbb{Z}/p\mathbb{Z}$  (note that it's a p-group) acts on the following set

$$X = \{(g_1, \dots, g_p) | g_1 \dots g_p = 1\}$$

Since  $g_p$  is uniquely determined by  $(g_1, \dots, g_{p-1})$ , we know  $|X| = p^{p-1}$ . We define the group action by

$$\bar{k} \cdot (g_1, \dots, g_p) = (g_{1+k}, g_{2+k}, \dots, g_{p+k})$$

The "+" operation in the index is under modulo. The fixed points of  $X$  is

$$X^H = \{(g_1, \dots, g_p) \in X | \forall \bar{k}, \bar{k} \cdot (g_1, \dots, g_p) = (g_1, \dots, g_p)\} = \{(g, g, \dots, g) \in X\} = \{(g, \dots, g) | g^p = 1\}$$

Since  $(1, \dots, 1) \in X^H$ ,  $X^H$  is not empty.

By Corollary 1.7.5, we know  $|X| \equiv |X^H| (\text{mod } p)$ , which implies  $|X^H| \equiv 0 (\text{mod } p)$ . Hence, there exists some  $g \in G$ ,  $\text{ord}(g) = p$ . ■

We also use group action to prove the second Sylow Theorem.

*proof of the second Sylow Theorem.* Let  $P \leq G$  be a Sylow p-subgroup,  $Q \leq G$  a subgroup of p-power order.

When  $|Q| = 1$ , done.

Now assume  $|Q| = p^{r'}$  with  $r' \geq 1$ . Consider the translation action of  $Q$  on  $G/P$

$$Q \curvearrowright G/P$$

by  $q \cdot gP := qgP$ .

Then we have

$$|G/P| = \sum_{i=1}^t |Q/\text{Stab}_i|$$

Since the left side is not divisible by  $p$ , there exists some  $i$  such that  $[Q : \text{Stab}_i]$  is not divisible by  $p$ . Let

$$Q' = \{q \in Q | qgP = gP\} = \text{Stab}_i \leq Q$$

Then  $|Q'| = p^{r'} = |Q|$ . So  $Q' = Q$ . For any  $q \in Q$ , we have  $qgP = gP \implies qg \in gP \implies q \in gPg^{-1}$ . So we deduce that  $Q \leq gPg^{-1}$ . ■

**Corollary 1.8.3** All Sylow subgroups are conjugate.

*Proof.* Note that  $gPg^{-1}$  is also a Sylow p-subgroup if  $P \in Syl_p(G)$ . ■

**Corollary 1.8.4**  $|Syl_p(G)| = 1 \iff$  there is a Sylow p-subgroup  $P$  is normal.

*Proof.* By Corollary 1.7.10, it's trivial. ■

**Corollary 1.8.5** If  $P$  is a Sylow p-subgroup, then  $N_G(N_G(P)) = N_G(P)$ , and  $N_G(P)$  contains a unique Sylow p-subgroup, which is  $P$ .

*Proof.* Since  $P \trianglelefteq N_G(P)$ , by Corollary 1.7.11,  $N_G(P)$  contains a unique normal Sylow p-subgroup.  $P$  is a group, so  $N_G(P) \subseteq N_G(N_G(P))$ .

For any  $g \in G$  such that  $gN_G(P)g^{-1} = N_G(P)$ , we have  $gPg^{-1} \in N_G(P)$  is a Sylow p-subgroup in  $N_G(P)$ . Thus,  $gPg^{-1} = P$ , which is equivalent to  $g \in N_G(P)$ . ■

*proof of the third Sylow Theorem.* (1) Consider the conjugation action of  $G$  on  $Syl_p(G)$ . By second Sylow theorem we know this action is **transitive** (There is only one orbit). From this, we deduce that for some  $P \in Syl_p(G)$

$$n_p = |Syl_p(G)| = \frac{|G|}{|N_G(P)|} = \frac{p^r \cdot m}{p^r \cdot [N_G(P) : P]}$$

Thus,  $n_p \mid m$ .

(2) Choose any Sylow p-subgroup  $P$ . Consider the conjugation action of  $P$  on  $Syl_p(G)$ . Then we have

$$n_p = \sum_{\text{orbits } Ad_P(P_i)} |P/Stab_P(P_i)|$$

If  $Stab_P(P_i) \neq P$ , then  $p \mid |P/Stab_P(P_i)|$ .

If  $Stab_P(P_i) = P$ , then  $P \subseteq N_G(P_i)$ . By Corollary 1.7.12 we know there is a unique Sylow p-subgroup in  $N_G(P_i)$ . So  $P = P_i$ . It follows that  $n_p \equiv 1 \pmod{p}$ . ■

### 1.8.1 Applications of Sylow's theorem

### 1.9 Exercises

**Proposition 1.9.1** Define  $End(G) := Hom(G, G)$ . Prove that  $End(\mathbb{Z}_n) \cong \mathbb{Z}_n$ . Consider  $f_p : x \mapsto px$  under modulo operation.

**Proposition 1.9.2**  $N \leq G$ ,  $[G : N] = 2$ , then  $N \trianglelefteq G$ .

**Proposition 1.9.3**  $|G| = pm$ , where  $p$  is prime and  $m < p$ . Prove that any  $p$ -ordered subgroup of  $G$  is normal. In fact, by the third Sylow's Theorem,  $G$  has a unique Sylow- $p$  subgroup, which is normal.

*hint.* Let  $H \leq G$ ,  $|H| = p$ , we assert  $xHx^{-1} = H$ . If not, use Lagrange's Theorem to show that  $H \cap xHx^{-1} = e$ . By Theorem 1.6.1, we know  $|HK| = p^2 > |G|$ . ■



## 2. Rings and Fields

**Definition 2.0.1 — ring.**  $(R, +, \cdot)$  is called a ring if

1.  $(R, +)$  is an abelian group.
2.  $(R, \cdot)$  is a semigroup.
3. left and right distributivity law of  $\cdot$  over  $+$ .

**Definition 2.0.2 — commutative ring, 么环, zero-divisor, integral domain, division ring.**

**Definition 2.0.3 — field.** A commutative ring  $R$  with an identity element such that  $R^\times$  is a group under  $\cdot$ .

**Theorem 2.0.1** A non-trivial finite ring without zero divisor is a division ring.

**Corollary 2.0.2** A finite integral domain is a field.

**Definition 2.0.4 — characteristic of a ring without zero divisor.**

**Definition 2.0.5 — left(right) ideal.** Let  $R$  be a ring,  $I \subseteq R$  and  $(I, +)$  is a subgroup of  $(R, +)$ . If  $RI \subseteq I$ , then  $I$  is called a left ideal; If  $IR \subseteq I$ , then  $I$  is called a right ideal. If  $I$  is both a left and right ideal, then  $I$  is called an ideal.

**Definition 2.0.6 — generated ideal.** Let  $R$  be a ring,  $\emptyset \neq T \subseteq R$ , define  $\langle T \rangle = \cap\{I : T \subseteq I, I \text{ is an ideal of } R\}$ . When  $T = \{a\}$ , we use  $\langle a \rangle$  to denote the **principal ideal** generated by  $a$ .

**Theorem 2.0.3**  $R$  is a ring, then

$$\langle a \rangle = \left\{ \sum_i x_i a y_i + sa + at + n \cdot a : \forall x_i, y_i, s, t \in R, n \in \mathbb{Z} \right\}$$

**Corollary 2.0.4** Let  $R$  be a ring,

- when  $R$  is commutative,  $\langle a \rangle = \{sa + na : s \in R, n \in \mathbb{Z}\}$ .
- when  $R$  contains 1,  $\langle a \rangle = \{\sum_i x_i a y_i\}$ .
- when  $R$  contains 1 and is commutative,  $\langle a \rangle = Ra$ .

### 3. Commutative Rings and Ideals

If not pointed out specifically, the notion "ring" refers to a commutative ring with an identity element.

#### 3.1 rings, ideals, quotient rings

**Definition 3.1.1 — ring homomorphism.** Let  $A, B$  be rings,  $f : A \rightarrow B$  is a homomorphism when

(1)  $f(x+y) = f(x) + f(y)$ . So  $f$  is a homomorphism of abelian groups.

(2)  $f(xy) = f(x)f(y)$ ,  $f(1) = 1$ . So  $f$  is a homomorphism between the monoids  $(A, \cdot)$  and  $(B, \cdot)$ .

**Definition 3.1.2 — ideal of a ring.** An ideal  $I$  of a ring  $A$  is an additive subgroup and is such that  $AI \subseteq I$ .

■ **Example 3.1** Every ring  $A$  has 2 trivial ideals:  $\{0\}$  and  $A$ . ■

Below,  $I$  denotes the ideal of ring  $A$ .

**Definition 3.1.3 — quotient ring.** Define multiplication in the quotient group  $A/I$  by

$$(a+I) \cdot (b+I) = ab+I$$

It is well defined. Now  $A/I$  is made into a ring called the *quotient ring*. The mapping  $\phi : A \rightarrow A/I$  which maps each  $x \in A$  to its coset  $x+I$  is a surjective ring homomorphism.

**Proposition 3.1.1** There is a one-to-one order preserving correspondence between

$$\{J | I \subseteq J \subseteq A, J : \text{ideal}\} \xleftarrow{1:1} \{\bar{J} | \text{ideal } \bar{J} \subseteq A/I\}$$

$$J \longmapsto J+I$$

$$\phi^{-1}(\bar{J}) \longleftarrow \bar{J}$$

*Proof.* First, Let's show that  $J + I$  is an ideal in  $A/I$ .

$J + I$  is abelian : trivial;  $\forall x + I \in A/I, (x + I) \cdot (J + I) = (Jx + I) \subseteq (J + I)$ , since  $J$  is an ideal.  
Second, we can verify this mapping to be invertible. ■

**Corollary 3.1.2** If  $f : A \rightarrow B$  is any ring homomorphism, the *kernel* of  $f (= f^{-1}(0))$  is an ideal of  $A$ , and the image of  $f (= f(A))$  is a subring  $C$  of  $B$ , but may not be an ideal.

*Proof.* Consider the embedding mapping

$$\mathbb{Q} \hookrightarrow \mathbb{Q}[X]$$

The image is absolutely not an ideal. ■

**Theorem 3.1.3 — fundamental homomorphism theorem.**  $f : A \rightarrow B$  is a ring homomorphism,  $I$  is the kernel of  $f$ ,  $g(a+I) := f(a)$  then  $g$  is a ring isomorphism.

$$\begin{array}{ccccc} A & \xrightarrow{f} & \text{Im}(f) & \hookrightarrow & B \\ & \searrow \phi & \uparrow g & & \\ & & A/I & & \end{array}$$

### 3.2 Chinese Remainder Theorem

**Theorem 3.2.1** Let  $N \in \mathbb{N}^+, N = n_1 n_2 \dots n_k$ , where  $n_i, n_j (i \neq j)$  are coprime. We have

$$\begin{aligned} \phi : \mathbb{Z}/N\mathbb{Z} &\rightarrow \prod_{i=1}^k \mathbb{Z}/n_i\mathbb{Z} \\ [x]_N &\mapsto ([x_i]_{n_i})_{i=1}^k \end{aligned}$$

is an isomorphism of rings.

### 3.3 zero-divisors, nilpotent elements, units

**Definition 3.3.1 — zero-divisor.** a zero-divisor in a ring  $A$  is an element  $x$  for which there exists  $y \neq 0$  in  $A$  such that  $xy = 0$

**Definition 3.3.2 — integral domain.** a ring with no zero-divisors  $\neq 0$  and not a zero ring.

**Definition 3.3.3 — nilpotent.** An element  $x \in A$  is *nilpotent* if  $x^n = 0$  for some  $n > 0$ .

(R) A nilpotent element is a zero-divisor.

**Definition 3.3.4 — unit** 可逆元. A unit in  $A$  is an element  $x$  such that  $xy = 1$  for some  $y \in A$ . Note that  $y$  is uniquely determined by  $x$ , and is written as  $x^{-1}$ .

(R) The units in  $A$  form a abelian group under multiplication.

**Definition 3.3.5 — field.** A field is a ring  $A$  which  $1 \neq 0$  and every non-zero elem. is a unit.

**Proposition 3.3.1** Let  $A$  be a ring  $\neq 0$ . The following are equivalent:

- (1)  $A$  is a field;
- (2) The only ideals in  $A$  are  $0$  and  $(1)$ ;
- (3) Every non-trivial homomorphism of  $A$  into a non-zero ring  $B$  is injective.

### 3.4 prime ideals and maximal ideals

**Definition 3.4.1 — prime ideal.** An ideal  $\mathfrak{p}$  in  $A$  is *prime* if  $\mathfrak{p} \neq (1)$  and if  $xy \in \mathfrak{p} \implies x \in \mathfrak{p}$  or  $y \in \mathfrak{p}$

**Definition 3.4.2 — maximal ideal.** An ideal  $\mathfrak{m}$  in  $A$  is *maximal* if  $\mathfrak{m} \neq (1)$  and if there is no ideal  $\alpha$  such that  $\mathfrak{m} \subset \alpha \subset (1)$ (strict inclusion).

(R)  $\mathfrak{m}$  can be  $\{0\}$ .

**Proposition 3.4.1**  $\mathfrak{p}$  is prime  $\iff A/\mathfrak{p}$  is an integral domain.

*Proof.* Easy to verify. ■

**Proposition 3.4.2**  $\mathfrak{m}$  is maximal  $\iff A/\mathfrak{m}$  is a field. Hence, a maximal ideal is prime.

*Proof.* By Proposition 2.1.1 and Proposition 2.2.1, the statement holds. ■

**Proposition 3.4.3** If  $f : A \rightarrow B$  is a ring homomorphism and  $q$  is a prime ideal of  $B$ , then  $f^{-1}(q)$  is a prime ideal in  $A$ .

*Proof.* If  $a, b \in A$  such that  $f(a) = f(b) \in q$ . Then  $f(a - b) = f(a) - f(b) \in q$ . Thus,  $f^{-1}(q)$  is abelian. For any  $a \in f^{-1}(q), x \in A$ , we have  $f(ax) = f(a)f(x) \in Bq = q$ . Thus,  $f^{-1}(q)$  is an ideal. For any  $a, b \in A, ab \in f^{-1}(q) \iff f(ab) \in q \iff f(a) \cdot f(b) \in q \iff f(a) \in q \vee f(b) \in q \iff a \in f^{-1}(q) \vee b \in f^{-1}(q) \iff f^{-1}(q)$  is a prime ideal. ■

(R) If  $m$  is a maximal ideal of  $B$ , it is not necessarily true that  $f^{-1}(m)$  is maximal in  $A$ . Consider  $A = \mathbb{Z}, B = \mathbb{Q}, m = \{0\}$ .

**Theorem 3.4.4** Every ring  $A \neq 0$  has at least one maximal ideal.

This theorem relies on Zorn's Lemma. We first introduce it.

**Definition 3.4.3 — chain in a partially ordered set.** Let  $S$  be a non-empty partially ordered set. A subset  $T$  of  $S$  is a chain if either  $x \leq y$  or  $y \leq x$  for every pair of elements in  $T$ .

**Lemma 3.4.5 — Zorn.** If every chain  $T$  of  $S$  has an upper bound in  $S$ , then  $S$  has at least one maximal element. Zorn's Lemma is equivalent to the axiom of choice.

*Proof.* Let's prove theorem 2.3.4, using Zorn's Lemma.

Let  $\Sigma = \{I : I \text{ is ideal}, I \neq (1)\}$ . Order  $\Sigma$  by inclusion.  $\Sigma$  is not empty, since  $0 \in \Sigma$ . For each chain, consider the union as another ideal  $\neq (1)$  to be an upper bound. Then Zorn's lemma yields that there is a maximal element. ■

(R) If  $A$  is Noetherian, we can avoid the use of Zorn's lemma.

**Corollary 3.4.6** If  $a \neq (1)$  is an ideal of  $A$ , there exists a maximal ideal of  $A$  containing  $a$ .

*Proof.* Replace  $\Sigma$  by  $\{I : I \text{ is ideal containing } a, I \neq (1)\}$  in the proof of Theorem 2.3.4 . ■

**Corollary 3.4.7** Every non-unit of  $A$  is contained in a maximal ideal.

**Definition 3.4.4 — local ring, residue field.** If a ring  $A$  has exactly one maximal ideal  $m$  (e.g. fields), then  $A$  is called a *local ring*. The field  $k = A/m$  is called the residue field of  $A$ .

**Proposition 3.4.8** Let  $A$  be a ring and  $m \neq (1)$  an ideal of  $A$  such that  $\forall x \in A - m$  is a unit in  $A$ . Then  $A$  is a local ring and  $m$  its maximal ideal.

First, we observe the following

**Lemma 3.4.9** Every element in a maximal ideal is not a unit.

*proof of Proposition 2.3.8.* From corollary 2.3.6 and lemma 2.3.9 we know  $m$  is a maximal ideal. Also from lemma 2.3.9, we know there doesn't exist other maximal ideals. Thus,  $A$  is a local ring. ■

**Proposition 3.4.10** Let  $A$  be a ring and  $m$  a maximal ideal, such that every element of  $1 + m$  is a unit in  $A$ . Then  $A$  is a local ring.

*Proof.* Make an analogy to Bezout Theorem. Let  $x \in A - m$ . Since  $m$  is maximal, the ideal generated by  $x$  and  $m$  is  $(1)$ , hence there exists  $y \in A, t \in m$  such that  $xy + t = 1$ . Thus  $xy = 1 - t \in 1 + m$ , which means  $x$  is a unit. ■

■ **Example 3.2**  $A = F[X_1, \dots, X_n], F : \text{field}$ . Let  $f \in A$  be an irreducible polynomial. By unique factorization, the ideal  $(f)$  is prime. When  $n \geq 2$ , it's not a *principal ideal domain*. ■

■ **Example 3.3** Every ideal in  $\mathbf{Z}$  is of the form  $(m)$  for some  $m \geq 0$ . The ideal is prime  $\iff m = 0$  or is a prime number. For all ideals  $(p)$  are maximal. ■

**Definition 3.4.5 — principal integral domain.** an integral domain where every ideal is principal.

**Proposition 3.4.11** Every non-zero prime ideal is maximal in principal integral domain.

*Hint.* The cancellation law applies in the integral domain.

Let  $(x)$  be a prime ideal and  $(x) \subset (y)$ . Then  $x = yz$  for some  $z$ . since  $y \notin (x)$ , we know  $z \in (x)$ . Thus  $z = xt$  and  $x = ytx$ , which implies  $yt = 1$ , and  $(y) = 1$ . ■

### 3.5 nilradical and Jacobson radical

**Proposition 3.5.1** The set  $\mathfrak{N}$  of all nilpotent elements in a ring  $A$  is an ideal, and  $A/\mathfrak{N}$  has no nilpotent element  $\neq 0$ .

*Proof.* For any  $x, y \in \mathfrak{N}$ , there exists  $n \geq 0$  such that,  $(x - y)^n = 0$ . Thus,  $x - y \in \mathfrak{N}$  and  $\mathfrak{N}$  is abelian group. It's easy to show that  $\mathfrak{N}$  is an ideal. If there exists  $a \in A$ , such that  $\exists n > 0$ ,  $(a + \mathfrak{N})^n = 0 = a^n + \mathfrak{N}$ , then  $a \in \mathfrak{N}$ . Hence,  $A/\mathfrak{N}$  has no non-zero nilpotent element. ■

The ideal  $\mathfrak{N}$  is called the *nilradical* of  $A$ .

**Proposition 3.5.2** The nilradical of  $A$  is the intersection of all the prime ideals of  $A$ .

*Proof.* We observe that every nilpotent element belongs to any prime ideal. Hence,  $\mathfrak{N} \subseteq \bigcap_{p: \text{prime ideal } p}$ . On the other side, for each element within the intersection of all prime ideals, 试图用Zorn's lemma寻找一个极大理想, 证明这也是一个prime ideal. 从而non-nilpotent element不属于这个ideal. ■

**Definition 3.5.1 — Jacobson radical.** The Jacobson radical  $\mathfrak{N}$  of  $A$  is defined to be the intersection of all the maximal ideals of  $A$ .

It can be characterized as

**Proposition 3.5.3**  $x \in \mathfrak{N} \iff 1 - xy$  is a unit for all  $y \in A$ .

*Proof.*  $\implies$ : Suppose  $1 - xy$  is not a unit. By corollary 2.3.7 it belongs to some maximal ideal  $m$ . But  $x \in \mathfrak{N} \subseteq m$ , hence  $xy \in m$  and  $1 \in m$ , which is absurd.

$\impliedby$ : 考虑Bezout定理。If  $x \notin m$  for some maximal ideal  $m$ , then  $m + (x)$  generate the unit ideal  $(1)$ , so that  $u + xy = 1$  for some  $u \in m, y \in A$ . Hence  $1 - xy \in m$  is not a unit. ■

### 3.6 operations on ideals

**Definition 3.6.1 — intersection.** the ideal  $A \cap B$

 The union of  $A, B$  is typically not an ideal.

**Definition 3.6.2 — sum.** the ideal  $A + B$

**Definition 3.6.3 — product.**  $AB$  denotes the ideal generated by elements in set  $AB$ , i.e.  $AB = \{\sum_{\text{finite}} a_i b_i : a_i \in A, b_i \in B\}$

**Definition 3.6.4 — coprime.** ideals  $A, B$  are coprime if  $A + B = (1)$ .

- (R) different prime ideals are not necessarily coprime. For example, let  $A = F[X, Y]$ ,  $p_1 = (X)$ ,  $p_2 = (Y)$ .

**Definition 3.6.5** Let  $A$  be a ring and  $\alpha_1, \dots, \alpha_n$  ideals of  $A$ . Define a homomorphism

$$\phi : A \rightarrow \prod_{i=1}^n (A/\alpha_i)$$

by the rule  $\phi(x) = (x + \alpha_1, \dots, x + \alpha_n)$ .

- (R) Let  $a, b$  be ideals of ring  $A$ , then  $ab \subseteq a \cap b$

**Proposition 3.6.1** (1) If  $a_i, a_j$  are coprime whenever  $i \neq j$ , then  $\prod a_i = \cap a_i$ .  
 (2)  $\phi$  is surjective  $\iff a_i, a_j$  are coprime whenever  $i \neq j$ .  
 (3)  $\phi$  is injective  $\iff \cap a_i = (0)$

*Proof.* The third statement can be shown by  $\ker \phi = \cap \alpha_i$  ■

- (R) (2) is the generalized form of Chinese Remainder Theorem.

**Proposition 3.6.2** Let  $p_1, \dots, p_n$  be prime ideals and let  $\alpha$  be an ideal contained in  $\cup_{i=1}^n p_i$ . Then  $\alpha \subseteq p_i$  for some  $i$ .

*Proof.* Prove by induction on  $n$  in the form

$$a \not\subseteq p_i (1 \leq i \leq n) \implies a \not\subseteq \cup_{i=1}^n p_i$$

$n = 1$  : trivial. If  $n > 1$  and the result is true for  $n - 1$ , then for each  $i$  there exists  $x_i \in a$  such that  $x_i \notin p_j (\forall j \neq i)$ . If there is some  $i$  such that  $x_i \notin p_i$ , succeed. If not, then  $x_i \in p_i$  for all  $i$ , consider

$$y = \sum_{i=1}^n x_1 x_2 \dots x_{i-1} x_{i+1} \dots x_n$$

.

**Proposition 3.6.3** Let  $a_1, \dots, a_n$  be ideals and  $p$  be a prime ideal,  $p \supseteq \cap_{i=1}^n a_i$ . Then  $p \supseteq a_i$  for some  $i$ . If  $p = \cap a_i$ , then  $p = a_i$  for some  $i$ .

*Proof.* We observe that if  $x, y \notin p$ , then  $xy \notin p$ . ■

**Definition 3.6.6 — ideal quotient.** If  $a, b$  are ideals in a ring  $A$  then their *ideal quotient* is

$$(a : b) = \{x \in A : xb \subseteq a\}$$

(R)  $(a : b)$  is an ideal.

**Definition 3.6.7 — annihilator.**  $(0 : b)$  is called the *annihilator* of  $b$  and denoted by  $\text{Ann}(b)$ .

### 3.7 extension and contraction

let  $f : A \rightarrow B$  be a ring homomorphism.

**Definition 3.7.1 — extension.** If  $a$  is an ideal in  $A$ , we define the *extension*  $a^e$  to be the ideal generated by  $f(a)$  in  $B$ . Explicitly,  $a^e$  is the set of all sums  $\sum y_i f(x_i)$  where  $x_i \in a, y_i \in B$ .

**Definition 3.7.2 — contraction.** If  $b$  is an (prime) ideal of  $B$ , then  $f^{-1}(b)$  is always an (prime) ideal of  $A$ , called the *contraction*  $b^c$  of  $b$ .

To show its correctness, we have the following

**Proposition 3.7.1** Let  $f : A \rightarrow B$  be a surjective ring homomorphism. There is a one-to-one correspondence between the ideals of  $f(A) = B$  and ideals of  $A$  which contain  $\ker f$ , and prime ideals correspond to prime ideals.

$$\{\text{ideals of } A : A \supseteq \ker f\} \xleftarrow{1:1} \{\text{ideals of } B\}$$

$$I \longmapsto f(I)$$

$$f^{-1}(J) \longleftarrow J$$

*Proof.* We only show that prime-ideal correspondence. If  $I$  is prime, for any  $f(a), f(b)$  where  $a, b \in I$ ,  $f(a)f(b) \in f(I) \iff f(ab) \in f(I) \iff ab \in I \iff a \in I \text{ or } b \in I \iff f(a) \in f(I) \text{ or } f(b) \in f(I)$ . Thus,  $f(I)$  is prime. The other side is similar. ■

### 3.8 polynomial rings

Here, we mainly consider integral domain or field to be the ring. We will use the notion of **degree**.

**Lemma 3.8.1** Let  $R$  be an integral domain. For all non-zero  $f, g \in R[X]$  we have  $\deg(fg) = \deg f + \deg g$ . And  $R[X]$  is also an integral domain, with  $R[X]^\times = R^\times$ .

Now about polynomials over a field  $F$ .

**Proposition 3.8.2 — 带余除法.** For any  $a, d \in F[X], d \neq 0$ , there exists unique  $q, r \in F[X]$  such that  $\deg(r) < \deg(d), a = dq + r$ . Here, we define  $\deg(0) = -\infty$ .

*Proof.* To find  $r$ , consider set  $\{a - dq : q \in F[X]\}$ . There exists element such that  $\deg(a - dq)$  is minimal. ■

**Definition 3.8.1 — root.** For a commutative ring  $R$ ,  $f \in R[X], a \in R$  such that  $f(a) = 0$ . Then  $a$  is called a root of  $f$ .

By proposition 2.7.2, we immediately get

**Proposition 3.8.3**  $f(a) = 0 \iff (X - a)|f$ .

As to the number of roots, we have

**Proposition 3.8.4**  $F : \text{field}, f \in F[X] - \{0\}$ , then  $f$  has at most  $\deg f$  roots in  $F$ .

*Proof.* Use proposition 2.7.3 and induce on the degree of  $f$ . ■

**Definition 3.8.2 — Fraction Field of an integral domain.** Let  $A$  be an integral domain, use  $\text{Frac}(A)$  to denote ...

With fraction field, we can extend proposition 2.7.4,

**Lemma 3.8.5** Let  $R$  be an integral domain,  $f \in R[X] - \{0\}$ , then  $f$  has at most  $\deg f$  different roots in  $R$ .



## 4. Module Theory

### 4.1 modules and module homomorphisms

**Definition 4.1.1** Let  $A$  be a commutative ring. An  $A$ -module is an abelian group  $M$  on which  $A$  acts linearly, i.e. for any  $a, b \in A, x, y \in M$

$$a(x+y) = ax + ay \quad (a+b)x = ax + bx \quad (ab)x = a(bx) \quad 1x = x$$

**Proposition 4.1.1** There is a one-on-one correspondence between all  $A$ -module structure on  $M$  (denoted as  $Mod_A(M)$ ) and ring homomorphisms  $Hom(A, E(M))$ .

*Proof.* check both sides.

$$Mod_A(M) \xleftarrow{1:1} Hom(A, E(M))$$

$$M \xrightarrow{\quad\quad\quad} (a \mapsto (m \mapsto am))$$

$$a \cdot m := f(a)(m) \xleftarrow{\quad\quad\quad} f$$

■

- **Example 4.1** 1) An ideal  $\alpha$  of  $A$  is an  $A$ -module.  
2) If  $A$  is a field, then  $A$ -module = vector space.  
3) If  $A = k[X]$  where  $k$  is a field, then  $A$ -module is a  $k$ -vector space with a linear transformation.  
4) If  $A = \mathbb{Z}$  then  $\mathbb{Z}$ -module = Abelian group.

**Definition 4.1.2 — module homomorphism.** Let  $M, N$  be  $A$ -modules. A mapping  $f : M \rightarrow N$

■

is an  $A$ -homomorphism if

$$f(x+y) = f(x) + f(y) \quad f(ax) = a \cdot f(x)$$

**Definition 4.1.3** The set of all  $A$ -module homomorphisms from  $M$  to  $N$  can be turned into an  $A$ -module as follows

$$(f+g)(x) := f(x) + g(x) \quad (af)(x) := a(f(x))$$

This  $A$ -module is denoted by  $\text{Hom}_A(M, N)$

## 4.2 submodules and quotient modules

**Definition 4.2.1** A submodule  $M'$  of  $M$  is a subgroup of  $M$  which is closed under  $A$ -action.

**Definition 4.2.2 — quotient.** The abelian group  $M/M'$  inherits an  $A$ -module structure by defining

$$a(x+M') = ax+M'$$

The quotient map  $\pi : M \rightarrow M/M'$  is an  $A$ -module homomorphism.

**Proposition 4.2.1 — A generalization of lattice homomorphism theorem in ring ideals.** There is a one-to-one order-preserving correspondence between submodules of  $M$  which contains  $M'$  and submodules of  $M/M'$ .

**Definition 4.2.3 — kernel, image.** If  $f : M \rightarrow N$  is an  $A$ -module homomorphism, define

$$\ker(f) = \{x \in M : f(x) = 0 \in N\} \quad \text{Im}(f) = f(M) \quad \text{Coker}(f) = N/\text{Im}(f)$$

**Proposition 4.2.2**  $\ker f$  is a submodule of  $M$ ,  $\text{Im}(f)$  is a submodule of  $N$ .

**Proposition 4.2.3**

$$M/\ker f \cong \text{Im}(f)$$

## 4.3 operations on submodules

Let  $(M_i)_{i \in I}$  be a family of submodules of  $M$ .

**Definition 4.3.1 — sum.**  $\sum M_i$  is the set of all finite sums  $\sum x_i$ , where  $x_i \in M_i$ . It's the smallest submodule that contains all the  $M_i$ .

**Definition 4.3.2 — intersection.** The intersection  $\cap M_i$  is again a submodule of  $M$ .

**Definition 4.3.3** Let  $\alpha$  be an ideal of  $A$ ,  $M : A$ -module then  $\alpha M$  is a sub  $A$ -module.

**Definition 4.3.4 — annihilator.**

## 4.4 direct sum and product

## 4.5 finitely generated modules

**Definition 4.5.1 — free module.** A *free  $A$ -module* is one which is isomorphic to some  $\bigoplus_{i \in I} A$ . A finitely generated free  $A$ -module is isomorphic to  $A \oplus \cdots \oplus A$  ( $n$  summands), which is denoted by  $A^n$ .

**Proposition 4.5.1**  $M$  is a finitely generated  $A$ -module  $\iff M$  is isomorphic to a quotient of  $A^n$  for some integer  $n > 0$ .

*Proof.*  $\implies$  : consider

$$\phi : A^n \longrightarrow M$$

$$(a_i) \longmapsto \sum a_i x_i$$

Then  $M \cong A / \ker \phi$ .

$\impliedby$  : consider

$$\begin{array}{ccc} A^n & \xrightarrow{f} & M \\ & \searrow q & \swarrow \phi \\ & A^n/N & \end{array}$$

Then  $f := q \circ \phi$  is surjective homomorphism. And  $(f(e_i))$  generates  $M$  since  $(e_i)$  generates  $A^n$ , where  $e_i = (0, 0, \dots, 1, \dots, 0)$ .  $\blacksquare$

**Proposition 4.5.2** Let  $M$  be a finitely generated  $A$ -module, let  $\alpha$  be an ideal of  $A$ ,  $\phi \in E(M)$  such that  $\phi(M) \subseteq \alpha M$ . Then there exists  $(a_i)$  such that

$$\phi^n + a_1 \phi^{n-1} + \dots + a_n = 0$$

*Proof.* Let  $x_1, \dots, x_n$  be generators of  $M$ , then each  $\phi(x_i) \in \alpha M$ , so that  $\phi(x_i) = \sum_j a_{ij} x_j$ , where  $a_{ij} \in \alpha$ . So  $\phi$  is equivalent to a matrix over ring  $\alpha$ . But Cayley – Hamilton theorem, we know  $\text{Char}_\phi(\phi) = 0 \in E(M)$ . 参考高代的证明。  $\blacksquare$

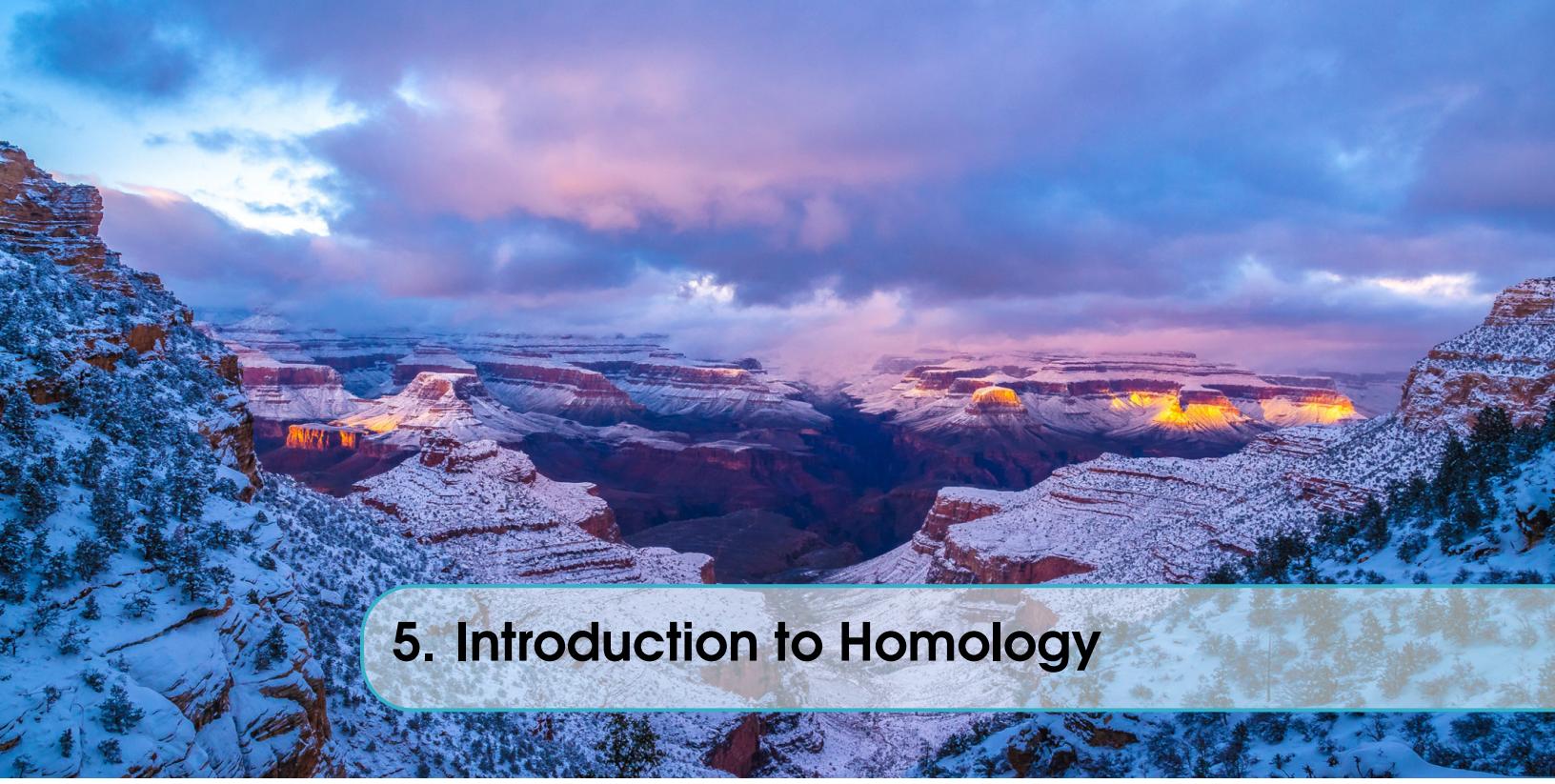
**Corollary 4.5.3** Let  $M$  be a finitely generated  $A$ -module,  $\alpha$  be an ideal of  $A$  such that  $\alpha M = M$ . Then there exists  $x \equiv 1 \pmod{\alpha}$  such that  $xM = 0$ .

*Proof.* take  $\phi = id$  in Proposition 3.5.2, and  $x = 1 + a_1 + \dots + a_n$ .  $\blacksquare$

**Theorem 4.5.4 — Nakayama's lemma.** Let  $M$  be a finitely generated  $A$ -module and  $\alpha$  an ideal of  $A$  contained in the Jacobson radical  $\mathfrak{R}$  of  $A$ . Then  $\alpha M = M$  implies  $M = 0$ .

*Proof.* By 3.5.3 we get some  $x \equiv 1 \pmod{\mathfrak{R}}$ , and  $x$  is a unit in  $A$ . Hence  $M = 0$ .  $\blacksquare$





## 5. Introduction to Homology

**Definition 5.0.1 — A-module category.** Suppose  $A$  is a commutative ring with an identity, we denote as  $Mod_A$  as the class of all  $A$ —modules.

**Definition 5.0.2 — complex 复形, exact 正和.** Suppose  $M$  is a sequence of  $A$ —modules and  $A$ —homomorphisms

$$\dots \longrightarrow M_{i-1} \xrightarrow{f_i} M_i \xrightarrow{f_{i+1}} M_{i+1} \longrightarrow \dots$$

is said to be a *complex* if for any  $i$ ,  $f_{i+1} \circ f_i = 0$ , i.e.  $Im(f_i) \subseteq \ker f_{i+1}$ .

we say it's *exact at  $M_i$* , if  $Im(f_i) = \ker f_{i+1}$

we say it is *exact*, if it's exact at all  $M_i$ .

**Definition 5.0.3 — homology group.** For a complex (chain)  $M$ , since  $Im(f_i)$  is a submodule of  $\ker f_{i+1}$ , we define a group

$$H_i(M) = \frac{\ker f_{i+1}}{Im(f_i)}$$

called (*co*)homology as  $i$

■ **Example 5.1** If  $0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$  is exact, then

- $f$  is injective.
- $g$  is surjective
- $\ker g = Im(f)$

i.e.  $M/Im(f) \cong M''$ . ■

**Proposition 5.0.1 — exact test.** In the category of  $Mod_A$ , we have

1.  $M' \xrightarrow{u} M \xrightarrow{v} M'' \rightarrow 0$  is exact if and only if

For any  $N \in Mod_A$ , the sequence  $0 \rightarrow Hom_A(M'', N) \xrightarrow{\bar{v}} Hom_A(M, N) \xrightarrow{\bar{u}} Hom_A(M', N)$  is exact.

2.  $0 \rightarrow N' \xrightarrow{u} N \xrightarrow{v} N''$  is exact if and only if

For any  $M \in Mod_A$ , the sequence  $0 \rightarrow \text{Hom}(M, N') \xrightarrow{\bar{u}} \text{Hom}(M, N) \xrightarrow{\bar{v}} \text{Hom}(M, N'')$  is exact.

*Proof.* For (1).

$\Rightarrow$  The condition means  $v : \text{surj} \wedge \text{Im}(u) = \ker v$ . So  $v \circ u = 0 \in \text{Hom}(M', M'')$ . For any  $f \in \text{Hom}(M'', N)$ ,  $\bar{v}$  maps  $f$  to  $f \circ v$ . Since  $v$  is surjective, then  $f_1 \circ v = f_2 \circ v \implies f_1 = f_2$ . Thus,  $\bar{v}$  is injective. It's easy to show  $\text{Im}(\bar{v}) \subseteq \ker \bar{u}$ .  $\ker \bar{u} \subseteq \text{Im}(\bar{v})$  can be deduced by the following claim:

$$\begin{array}{ccccc} & & g & & \\ & M & \xrightarrow{v} & M'' & \xrightarrow{f} N \\ & \swarrow & & \searrow & \\ m & \longmapsto & v(m) & \longmapsto & g(m) \end{array}$$

If  $g : M \rightarrow N$  such that (for any  $v(m) = 0 \in M''$ , then  $g(m) = 0 \in N$ ), then there exists unique  $f : M'' \rightarrow N$  such that  $g = f \circ v$ , where all the maps are homomorphisms.

*proof of the claim*

Since  $v$  is surjective, the only possible  $f$  maps  $v(m)$  to  $g(m)$ . We need to verify  $f$  is a module homomorphism. First, it is well defined since  $\ker v \subseteq \ker g$ . The homomorphism follows naturally.

$\Leftarrow$  First, we show  $v$  surjective  $\Leftrightarrow M''/\text{Im}(v) = \{0\}$ . Take  $N = M''/\text{Im}(v)$ , we have

$$\text{Hom}_A(M'', N) \longleftrightarrow \text{Hom}_A(M, N)$$

$$(M'' \xrightarrow{\text{quotient}} N) \longleftrightarrow M \xrightarrow{v} M'' \xrightarrow{\text{quotient}} N = M''/\text{Im}(v)$$

Hence, the quotient map (a surjective one) is zero map, which means  $N = \{0\}$ .

Second, we show  $\text{Im}(u) \subseteq \ker v$ . The condition  $(\bar{u} \circ \bar{v})$  means the following diagram commutes for any  $N \in Mod_A$ ,  $f \in \text{Hom}_A(M'', N)$ .

$$\begin{array}{ccccc} M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' \\ & \searrow & \downarrow & & \downarrow f \\ & & \text{zero-map} & & \\ & & & & N \end{array}$$

Take  $N = M''$ ,  $f = id$ , which yields  $v \circ u = 0$ .

Finally, we show  $\ker v \subseteq \text{Im}(u)$ .

$$\begin{array}{ccccc} M' & \xrightarrow{u} & M & \xrightarrow{f=\text{quotient}} & N = M/\text{Im}(u) \\ & \swarrow & \downarrow & \nearrow & \\ & & \text{zero-map} & & \\ & & & & \\ M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' \\ & & & \nearrow & \\ & & & & M'' \end{array}$$

The conditions and the proposition can be converted as, for any  $f : M \rightarrow N$  such that  $f \circ u = \text{zero-map}$ , there exists  $g : M'' \rightarrow N$ , such that  $f = g \circ v$ . Since we know  $\text{Im}(u) \subseteq \ker v$ , we can take  $N = M/\text{Im}(u)$  and  $f = \text{quotient}$ . If  $g$  is well-defined, then  $\ker v \subseteq \ker f = \text{Im}(u)$ .

(2) to be written ■

**Definition 5.0.4 — covariant functor 共变函子.** A functor  $\mathcal{F} : \text{Mod}_A \rightarrow \text{Mod}_B$  consists of the following data

- (1) for any  $M \in \text{Mod}_A$ , give  $\mathcal{F}M \in \text{Mod}_B$ .
- (2) for any  $A$ -module homomorphism  $g : M \rightarrow N$ , give a  $B$ -module homomorphism  $\mathcal{F}(g) : \mathcal{F}M \rightarrow \mathcal{F}N$  such that

- $\mathcal{F}(g \circ h) = \mathcal{F}(g) \circ \mathcal{F}(h)$ .
- $\mathcal{F}(\text{id}_M) = \text{id}_{\mathcal{F}M}$ .

Moreover, if  $\mathcal{F} : \text{Hom}_A(M, N) \rightarrow \text{Hom}_B(\mathcal{F}M, \mathcal{F}N)$  is a group homomorphism for all  $M, N$ , i.e.  $\mathcal{F}(g + h) = \mathcal{F}(g) + \mathcal{F}(h)$ , we say  $\mathcal{F}$  is an additive functor.

**Definition 5.0.5 — contravariant functor 反变函子.** A functor  $\mathcal{F} : \text{Mod}_A^{op} \rightarrow \text{Mod}_B$  consists of the following data

- (1) for any  $M \in \text{Mod}_A$ , give  $\mathcal{F}M \in \text{Mod}_B$ .
  - (2) for any  $A$ -module homomorphism  $g : M \rightarrow N$ , give a  $B$ -module homomorphism  $\mathcal{F}(g) : \mathcal{F}N \rightarrow \mathcal{F}M$  such that
- $\mathcal{F}(g \circ h) = \mathcal{F}(h) \circ \mathcal{F}(g)$ .
  - $\mathcal{F}(\text{id}_M) = \text{id}_{\mathcal{F}M}$ .

■ **Example 5.2** Let  $M, N \in \text{Mod}_A$ , define functor

$$\text{Hom}_A(M, \cdot) : \quad \text{Mod}_A \longrightarrow \text{Mod}_A$$

$$T \longmapsto \text{Hom}_A(M, T)$$

$$(T_1 \xrightarrow{f} T_2) \longmapsto \text{Hom}(M, T_1) \longrightarrow \text{Hom}(M, T_2)$$

$$(M \rightarrow T_1) \longmapsto (M \rightarrow T_1 \xrightarrow{f} T_2)$$

This functor is additive and covariant. ■

*Proof.* check that  $\mathcal{F}(g \circ h) = \mathcal{F}(g) \circ \mathcal{F}(h)$ . Others are trivial. ■

■ **Example 5.3** In a similar way, we can define  $\text{Hom}_A(\cdot, N)$ . Show that it is a contravariant functor. ■

**Definition 5.0.6 — exact functor 正和函子.** Let  $\mathcal{F} : \text{Mod}_A \rightarrow \text{Mod}_B, \mathcal{G} : \text{Mod}_A^{op} \rightarrow \text{Mod}_B$  be additive.

- (1) Say  $\mathcal{F}$  is *left exact* if for any short exact seq  $0 \rightarrow M \rightarrow N \rightarrow R \rightarrow 0$  in  $\text{Mod}_A$ , the sequence  $0 \rightarrow \mathcal{F}M \rightarrow \mathcal{F}N \rightarrow \mathcal{F}R$  is exact.

Respectively,  $\mathcal{G}$  is *left exact* if for any short exact seq  $0 \rightarrow M \rightarrow N \rightarrow R \rightarrow 0$  in  $Mod_A$ , the sequence  $0 \rightarrow \mathcal{G}R \rightarrow \mathcal{G}N \rightarrow \mathcal{G}M$  is exact.

(2) Say  $\mathcal{F}$  is *right exact* if for any short exact seq  $0 \rightarrow M \rightarrow N \rightarrow R \rightarrow 0$  in  $Mod_A$ , the sequence  $\mathcal{F}M \rightarrow \mathcal{F}N \rightarrow \mathcal{F}R \rightarrow 0$  is exact.

Respectively,  $\mathcal{G}$  is *left exact* if for any short exact seq  $0 \rightarrow M \rightarrow N \rightarrow R \rightarrow 0$  in  $Mod_A$ , the sequence  $\mathcal{G}R \rightarrow \mathcal{G}N \rightarrow \mathcal{G}M \rightarrow 0$  is exact.

(3) Say  $\mathcal{F}$  (respectively,  $\mathcal{G}$ ) is exact if  $\mathcal{F}$  (or,  $\mathcal{G}$ ) is both left and right exact.

**Proposition 5.0.2**  $Hom_A(M, \cdot)$  and  $Hom_A(\cdot, N)$  are left exact functors.

*Proof.* By Proposition 4.0.1. ■