

# Innovative non-conformal finite element methods for augmented surgery

Internship presentation

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# Introduction

# Presentation of the teams

## MIMESIS

- project-team as sub-team of MLMS ("Machine Learning, Modélisation et Simulation") of Inria
- **Aim** : to create real-time digital twins of an organ
- **Scientific challenges** :
  - scientific computing
  - data assimilation
  - machine learning
  - control
- **Main application domains** :
  - surgical training
  - surgical guidance during complex interventions

# Scientific context

A COMPLETER !!

# Objectives - Deliverables

## Objectives :

- Train a Fourier Neural Operator (FNO), with  $\phi$ -FEM solutions, to predict the solutions of a given PDE.
- Apply a correction on the FNO predictions.

**Aim :** Neural networks are very fast, but not very accurate  
=> finite element methods are used to improve prediction accuracy.

## Deliverables :

- a [weekly tracking report](#) ( in French)
- a [github repository](#) containing all the code allowing to reproduce the results presented in this report
- a [report](#) of the internship
- an [online report](#) generated with a tool called antora (made by a github CI)

# Problem considered

**Poisson problem with Dirichlet conditions :**

Find  $u : \Omega \rightarrow \mathbb{R}^d (d = 1, 2, 3)$  such that

$$\begin{cases} -\Delta u = f, & \text{in } \Omega, \\ u = g, & \text{on } \partial\Omega, \end{cases}$$

with  $\Delta$  the Laplace operator,  $\Omega$  a smooth bounded open set and  $\partial\Omega$  its boundary.



# General methods and tools

Standard FEM method

$\phi$ -FEM method

Fourier Neural Operator (FNO)

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# Presentation of standard FEM method

## Variational Problem :

Find  $u \in V$  such that  $a(u, v) = l(v), \forall v \in V$

where  $V$  is a Hilbert space,  $a$  is a bilinear form and  $l$  is a linear form.

## Approach Problem :

Find  $u_h \in V_h$  such that  $a(u_h, v_h) = l(v_h), \forall v_h \in V$

with  $u_h$  an approximate solution in  $V_h$ , a finite-dimensional space dependent on  $h$  such that  $V_h \subset V, \dim V_h = N_h < \infty, \forall h > 0$

As  $u_h = \sum_{i=1}^{N_h} u_i \varphi_i$  with  $(\varphi_1, \dots, \varphi_{N_h})$  a basis of  $V_h$ , finding an approximation of the PDE solution implies solving the following linear system:

$$AU = b$$

with

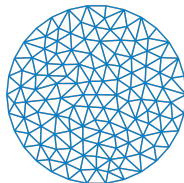
$$A = (a(\varphi_i, \varphi_j))_{1 \leq i, j \leq N_h}, \quad U = (u_i)_{1 \leq i \leq N_h} \quad \text{and} \quad b = (l(\varphi_j))_{1 \leq j \leq N_h}$$

# In practice

- Construct a mesh of our  $\Omega$  geometry with a family of elements (in 2D: triangle, rectangle; in 3D: tetrahedron, parallelepiped, prism) defined by

$$\mathcal{T}_h = \{K_1, \dots, K_{N_e}\}$$

where  $N_e$  is the number of elements.



- Construct a space of piece-wise affine continuous functions, defined by

$$V_h := P_{C,h}^k = \{v_h \in C^0(\bar{\Omega}), \forall K \in \mathcal{T}_h, v_h|_K \in \mathbb{P}_k\}$$

where  $\mathbb{P}_k$  is a vector space of polynomials of total degree less than or equal to  $k$ .

# General methods and tools

Standard FEM method

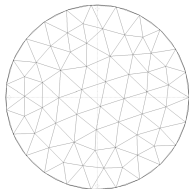
$\phi$ -FEM method

Fourier Neural Operator (FNO)

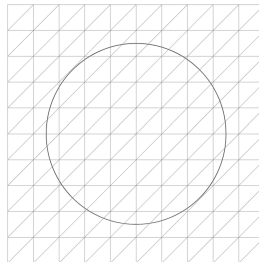
# Context

**Idea :**  $\phi$ -FEM method = new fictitious domain finite element method that does not require a mesh conforming to the real boundary.

Standard FEM mesh



$\phi$ -FEM mesh



**Advantage :** boundary represented by a level-set function  $\Rightarrow$  only this function will change over time during real-time simulation

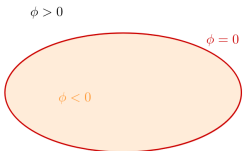
# Problem

We pose  $u = \phi w$  such that

$$\begin{cases} -\Delta(\phi w) = f, & \text{on } \Omega, \\ u = g, & \text{in } \Gamma, \end{cases}$$

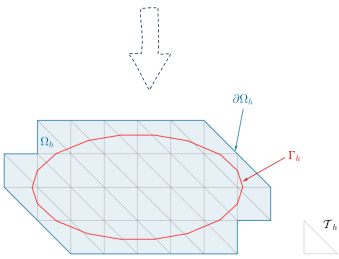
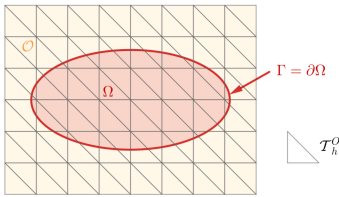
where  $\phi$  is the level-set function and  $\Omega$  and  $\Gamma$  are given by :

$$\Omega = \{\phi < 0\} \quad \text{and} \quad \Gamma = \{\phi = 0\}.$$



The level-set function  $\phi$  is supposed to be known on  $\mathbb{R}^d$  and sufficiently smooth. For instance, the signed distance to  $\Gamma$  is a good candidate

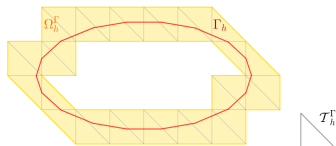
# Fictitious domain



- $\mathcal{O}$  : fictitious domain such that  $\Omega \subset \mathcal{O}$
- $\mathcal{T}_h^{\mathcal{O}}$  : simple quasi-uniform mesh on  $\mathcal{O}$
- $\phi_h = I_{h,\mathcal{O}}^{(l)}(\phi) \in V_{h,\mathcal{O}}^{(l)}$  : approximation of  $\phi$  with  $I_{h,\mathcal{O}}^{(l)}$  the standard Lagrange interpolation operator on  $V_{h,\mathcal{O}}^{(l)} = \{v_h \in H^1(\mathcal{O}) : v_h|_T \in \mathbb{P}_l(T) \ \forall T \in \mathcal{T}_h^{\mathcal{O}}\}$
- $\Gamma_h = \{\phi_h = 0\}$  : approximate boundary of  $\Gamma$
- $\mathcal{T}_h$  : sub-mesh of  $\mathcal{T}_h^{\mathcal{O}}$  defined by 
$$\mathcal{T}_h = \{T \in \mathcal{T}_h^{\mathcal{O}} : T \cap \{\phi_h < 0\} \neq \emptyset\}$$
- $\Omega_h$  : domain covered by the  $\mathcal{T}_h$  mesh defined by 
$$\Omega_h = (\cup_{T \in \mathcal{T}_h} T)^{\circ}$$
 ( $\partial\Omega_h$  its boundary)

# Facets and Cells sets

→  $\mathcal{T}_h^\Gamma \subset \mathcal{T}_h$  : contains the mesh elements cut by  $\Gamma_h$ , i.e.

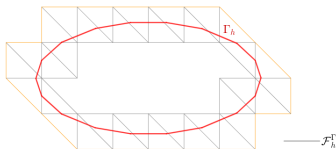


$$\mathcal{T}_h^\Gamma = \{T \in \mathcal{T}_h : T \cap \Gamma_h \neq \emptyset\},$$

→  $\Omega_h^\Gamma$  : domain covered by the  $\mathcal{T}_h^\Gamma$  mesh, i.e.

$$\Omega_h^\Gamma = \left( \cup_{T \in \mathcal{T}_h^\Gamma} T \right)^o$$

→  $\mathcal{F}_h^\Gamma$  : collects the interior facets of  $\mathcal{T}_h$  either cut by  $\Gamma_h$  or belonging to a cut mesh element, i.e.



$$\mathcal{F}_h^\Gamma = \{E \text{ (an internal facet of } \mathcal{T}_h) \text{ such that} \\ \exists T \in \mathcal{T}_h : T \cap \Gamma_h \neq \emptyset \text{ and } E \in \partial T\}$$

# Application to the Poisson problem

We start by consider the **homogeneous case** ( $g = 0$  on  $\Gamma$ ).

**Approach Problem** : Find  $w_h \in V_h^{(k)}$  such that

$$a_h(w_h, v_h) = l_h(v_h) \quad \forall v_h \in V_h^{(k)}$$

where

$$a_h(w, v) = \int_{\Omega_h} \nabla(\phi_h w) \cdot \nabla(\phi_h v) - \int_{\partial\Omega_h} \frac{\partial}{\partial n}(\phi_h w) \phi_h v + G_h(w, v),$$

$$l_h(v) = \int_{\Omega_h} f \phi_h v + G_h^{rhs}(v)$$

and

$$V_h^{(k)} = \{v_h \in H^1(\Omega_h) : v_h|_T \in \mathbb{P}_k(T), \forall T \in \mathcal{T}_h\}.$$



# Stabilization terms

$$G_h(w, v) = \underbrace{\sigma h \sum_{E \in \mathcal{F}_h^\Gamma} \int_E \left[ \frac{\partial}{\partial n}(\phi_h w) \right] \left[ \frac{\partial}{\partial n}(\phi_h v) \right]}_{\text{1st order term}} + \underbrace{\sigma h^2 \sum_{T \in \mathcal{T}_h^\Gamma} \int_T \Delta(\phi_h w) \Delta(\phi_h v)}_{\text{2nd order term}}$$

Independent parameter of  $h$       Jump on the interface  $E$

$$G_h^{rhs}(v) = \underbrace{-\sigma h^2 \sum_{T \in \mathcal{T}_h^\Gamma} \int_T f \Delta(\phi_h v)}_{\text{2nd order term}} - \underbrace{\sigma h^2 \sum_{T \in \mathcal{T}_h^\Gamma} \int_T (\Delta(\phi_h w) + f) \Delta(\phi_h v)}_{\text{2nd order term}}$$

1st term : Ghost penalty [4], ensure continuity of the solution by penalizing gradient jumps.

2nd term : require the solution to verify the strong form on  $\Omega_h^\Gamma$ .

**Purpose :**

- reduce the errors created by the "fictitious" boundary
- ensure the correct condition number of the finite element matrix
- permit to restore the coercivity of the bilinear scheme

# Non-homogeneous case

TO DO !



# General methods and tools

Standard FEM method

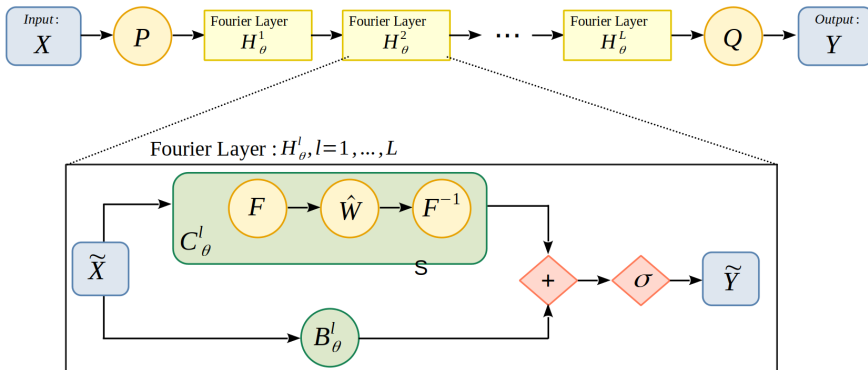
$\phi$ -FEM method

Fourier Neural Operator (FNO)

# Presentation

- widely used in PDE solving and constitute an active field of research
- FNO are Neural Operator networks : Unlike standard neural networks, which learn using inputs and outputs of fixed dimensions, neural operators **learn operators, which are mappings between spaces of functions.**
- can be evaluated at any data resolution without the need for retraining

# Architecture of the FNO

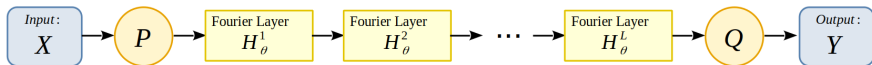


**Input**  $X$  of shape  $(bs, ni, nj, nk)$

**Output**  $Y$  of shape  $(bs, ni, nj, 1)$

with  $bs$  the batch size,  $ni$  and  $nj$  the grid resolution and  $nk$  the number of channels.

# Description of the FNO architecture



- ➔ perform a  $P$  transformation, to move to a space with more channels (to build a sufficiently rich representation of the data)
- ➔ apply  $L$  Fourier layers defined by

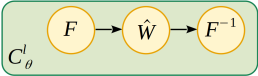
$$\mathcal{H}_\theta^l(\tilde{X}) = \sigma \left( \mathcal{C}_\theta^l(\tilde{X}) + \mathcal{B}_\theta^l(\tilde{X}) \right), \quad l = 1, \dots, L$$

with  $\tilde{X}$  the input of the current layer and

- $\sigma$  an activation function (ReLU or GELU)
  - $\mathcal{C}_\theta^l$  : convolution sublayer (convolution performed by Fast Fourier Transform)
  - $\mathcal{B}_\theta^l$  : "bias-sublayer"
- ➔ return to the target dimension by performing a  $Q$  transformation (in our case, the number of output channels is 1)

# Fourier Layer Structure

Convolution sublayer :  $C_{\theta}^l(X) = \mathcal{F}^{-1}(\mathcal{F}(X) \cdot \hat{W})$



- $\hat{W}$  : a trainable kernel
- $\mathcal{F}$  : 2D Discrete Fourier Transform (DFT) defined by

$$\mathcal{F}(X)_{ijk} = \frac{1}{ni} \frac{1}{nj} \sum_{i'=0}^{ni-1} \sum_{j'=0}^{nj-1} X_{i'j'k} e^{-2\sqrt{-1}\pi \left( \frac{i i'}{ni} + \frac{j j'}{nj} \right)}$$

$\mathcal{F}^{-1}$  : its inverse.

- $(Y \cdot \hat{W})_{ijk} = \sum_{k'} Y_{ijk'} \hat{W}_{ijk'}$  ⇒ applied channel by channel

Bias-sublayer :  $B_{\theta}^l(X)_{ijk} = \sum_{k'} X_{ijk} W_{k'k} + B_k$



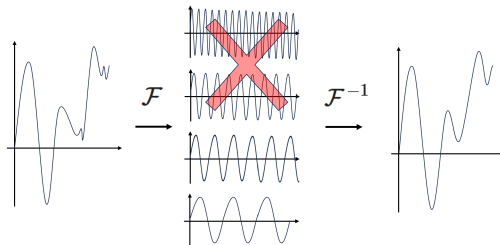
- 2D convolution with a kernel of size 1
- allowing channels to be mixed via a kernel without allowing interaction between pixels.

# Some details on the FNO

## → Mesh resolution independent :

- $P$  and  $Q$  = fully-connected multi-layer perceptron  $\Rightarrow$  perform local transformations at each point
- Fourier layers also independent of mesh resolution : learn in Fourier space so the value of the Fourier modes does not depend on the mesh resolution

## → Low pass filter : truncate high Fourier modes to ignore high frequencies $\Rightarrow$ enable a kind of regularization that helps the generalization







# Application

TO DO !



# Correction

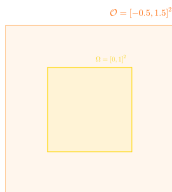
Methods considered

Theoretical results

Numerical results

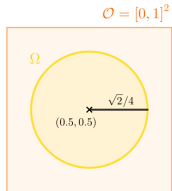
# Problems considered

1st problem considered : Trigonometric solution on a Square.



- Level-set function (for formulation) :  $\phi(x, y) = x(1 - x)y(1 - y)$
- Level-set function (for construction) :  $\phi_c(X) = ||X - 0.5||_\infty - 0.5$
- Analytical solution :  $u_{ex}(x, y) = S \times \sin(2\pi f x + \varphi) \times \sin(2\pi f y + \varphi)$ 
  - $S \in [0, 1]$  : amplitude of the signal
  - $f \in \mathbb{N}$  : "frequency" of the signal
  - $\varphi \in [0, 1]$  : phase at the origin
- Source term :  $f(x, y) = 8\pi^2 S f^2 \sin(2\pi f x + \varphi) \sin(2\pi f y + \varphi)$

2nd problem considered : Unknown solution on a Circle.



- Level-set function :  $\phi(x, y) = -1/8 + (x - 1/2)^2 + (y - 1/2)^2$
- Source term :  $f(x, y) = \exp\left(-\frac{(x-\mu_0)^2 + (y-\mu_1)^2}{2\sigma^2}\right)$ 
  - $\sigma \sim \mathcal{U}([0.1, 0.6])$
  - $\mu_0, \mu_1 \sim \mathcal{U}([0.5 - \sqrt{2}/4, 0.5 + \sqrt{2}/4])$
- a reference solution  $u_{ref}$  : over-refined  $\mathbb{P}^1$  solution (with FEM)



# Correction

Methods considered

Theoretical results

Numerical results

# Correction by adding

We are given  $\tilde{\phi}$  an "initial" solution to the problem under consideration.  
We will consider

$$\tilde{u} = \tilde{\phi} + \tilde{C}$$

We want to find  $\tilde{C} : \Omega \rightarrow \mathbb{R}^d$  solution to the problem

$$\begin{cases} -\Delta \tilde{u} = f, & \text{on } \Omega, \\ \tilde{u} = g, & \text{in } \Gamma. \end{cases}$$

Rewriting the problem, we seek to find  $\tilde{C} : \Omega \rightarrow \mathbb{R}^d$  solution to the problem

$$\begin{cases} -\Delta \tilde{C} = \tilde{f}, & \text{on } \Omega, \\ \tilde{C} = 0, & \text{in } \Gamma. \end{cases}$$

with  $\tilde{f} = f + \Delta \tilde{\phi}$ .

In practice, it may be useful to integrate by parts the term containing  $\Delta \tilde{\phi}$ .

# Correction by multiplying

We will considering

$$\tilde{u} = \tilde{\phi}C$$

We want to find  $C : \Omega \rightarrow \mathbb{R}^d$  solution to the problem

$$\begin{cases} -\Delta(\tilde{\phi}C) = f, & \text{on } \Omega, \\ C = 1, & \text{on } \Gamma. \end{cases}$$

In the non-homogeneous case, it is important to impose the boundary conditions either by the direct method or by the dual method.

# Correction by multiplying (elevated problem)

We introduced an initial modified problem : Find  $\hat{u} : \Omega \rightarrow \mathbb{R}^d$  such that

$$\begin{cases} -\Delta \hat{u} = f, & \text{in } \Omega, \\ \hat{u} = g + m, & \text{on } \Gamma, \end{cases}$$

with  $\hat{u} = u + m$  and  $m$  a constant.

We then apply the multiplication correction on the elevated problem by considering

$$\hat{\phi} = \tilde{\phi} + m$$

and so we look for  $C : \Omega \rightarrow \mathbb{R}^d$  solution to the problem

$$\begin{cases} -\Delta(\hat{\phi}C) = f, & \text{in } \Omega, \\ C = 1, & \text{on } \Gamma. \end{cases}$$

In the case of this correction, it is important to impose the boundary conditions either by the direct method, or by the dual method.



# Correction

Methods considered

Theoretical results

Numerical results





# Correction

Methods considered

Theoretical results

Numerical results



# Conclusion



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