



# Chapter 2

## Basic Structures Set, Functions, Sequences and Sums

MAD101

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# Definitions and notation

## 1 Sets

**Definition.** A set is an **unordered** collection of elements.

**Examples.**

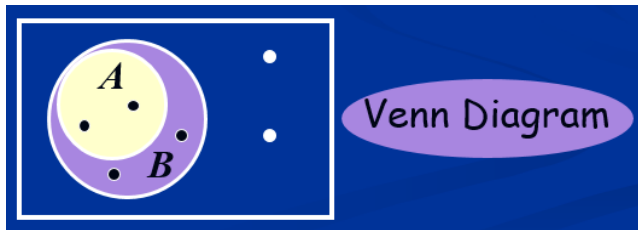
- $\{1, 2, 3\}$  is the set containing “1” and “2” and “3.”
- $\{1, 1, 2, 3, 3\} = \{1, 2, 3\}$  since repetition is irrelevant.
- $\{1, 2, 3\} = \{3, 2, 1\}$  since sets are unordered.
- $\{1, 2, 3, \dots\}$  is a way we denote an infinite set (in this case, the natural numbers).
- $\emptyset =$  is the empty set, or the set containing no element.

**Note.**  $\emptyset \neq \{\emptyset\}$

# Definitions and notation

## 1 Sets

- $x \in S$  means “ $x$  is an element of set  $S$ .”
- $x \notin S$  means “ $x$  is not an element of set  $S$ .”
- $A \subseteq B$  means “ $A$  is a subset of  $B$ .”  
 or, “ $B$  contains  $A$ .”  
 or, “every element of  $A$  is also in  $B$ .”  
 or,  $\forall x((x \in A) \rightarrow (x \in B))$





# Definitions and notation

## 1 Sets

- $A \subseteq B$  means “A is a subset of B.”
- $A \supseteq B$  means “A is a superset of B.”
- $A = B$  if and only if A and B have exactly the same elements
  - Iff,  $A \subseteq B$  and  $B \subseteq A$
  - Iff,  $A \subseteq B$  and  $A \supseteq B$
  - Iff,  $\forall x((x \in A) \leftrightarrow (x \in B))$

**Note.** To show equality of sets A and B, show:  $A \subseteq B$  and  $B \subseteq A$

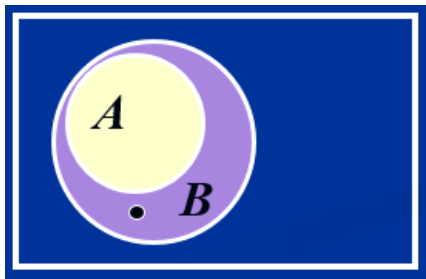
# Definitions and notation

## 1 Sets

$A \subset B$  means “A is a proper subset of B.” That means

$$A \subseteq B \text{ and } A \neq B$$

$$\forall x((x \in A) \rightarrow (x \in B)) \wedge \exists x((x \in B) \wedge (x \notin A))$$





## Example.

1 Sets

- $\{1, 2, 3\} \subseteq \{1, 2, 3, 4, 5\}$



## Example.

1 Sets

- $\{1, 2, 3\} \subseteq \{1, 2, 3, 4, 5\}$  OK
- $\{1, 2, 3\} \subset \{1, 2, 3, 4, 5\}$





## Example.

### 1 Sets

- $\{1, 2, 3\} \subseteq \{1, 2, 3, 4, 5\}$  OK
- $\{1, 2, 3\} \subset \{1, 2, 3, 4, 5\}$  OK
- $\emptyset \subseteq \{1, 2, 3\}$



## Example.

### 1 Sets

- $\{1, 2, 3\} \subseteq \{1, 2, 3, 4, 5\}$  OK
- $\{1, 2, 3\} \subset \{1, 2, 3, 4, 5\}$  OK
- $\emptyset \subseteq \{1, 2, 3\}$  OK ( $\forall x((x \in \emptyset) \rightarrow (x \in \{1, 2, 3\}))$  and  $x \in \emptyset$  FALSE)
- Is  $\emptyset \in \{1, 2, 3\}$ ?



## Example.

### 1 Sets

- $\{1, 2, 3\} \subseteq \{1, 2, 3, 4, 5\}$  OK
- $\{1, 2, 3\} \subset \{1, 2, 3, 4, 5\}$  OK
- $\emptyset \subseteq \{1, 2, 3\}$  OK ( $\forall x((x \in \emptyset) \rightarrow (x \in \{1, 2, 3\}))$  and  $x \in \emptyset$  FALSE)
- Is  $\emptyset \in \{1, 2, 3\}$ ? NO
- Is  $\emptyset \subseteq \{\emptyset, 1, 2, 3\}$ ?



## Example.

### 1 Sets

- $\{1, 2, 3\} \subseteq \{1, 2, 3, 4, 5\}$  OK
- $\{1, 2, 3\} \subset \{1, 2, 3, 4, 5\}$  OK
- $\emptyset \subseteq \{1, 2, 3\}$  OK ( $\forall x((x \in \emptyset) \rightarrow (x \in \{1, 2, 3\}))$  and  $x \in \emptyset$  FALSE)
- Is  $\emptyset \in \{1, 2, 3\}$ ? NO
- Is  $\emptyset \subseteq \{\emptyset, 1, 2, 3\}$ ? OK
- Is  $\emptyset \in \{\emptyset, 1, 2, 3\}$ ?



## Example.

### 1 Sets

- $\{1, 2, 3\} \subseteq \{1, 2, 3, 4, 5\}$  OK
- $\{1, 2, 3\} \subset \{1, 2, 3, 4, 5\}$  OK
- $\emptyset \subseteq \{1, 2, 3\}$  OK ( $\forall x((x \in \emptyset) \rightarrow (x \in \{1, 2, 3\}))$  and  $x \in \emptyset$  FALSE)
- Is  $\emptyset \in \{1, 2, 3\}$ ? NO
- Is  $\emptyset \subseteq \{\emptyset, 1, 2, 3\}$ ? OK
- Is  $\emptyset \in \{\emptyset, 1, 2, 3\}$ ? OK



## Example.

1 Sets

- Is  $\{x\} \subseteq \{x\}$ ?



## Example.

### 1 Sets

- Is  $\{x\} \subseteq \{x\}$ ? OK
- Is  $\{x\} \in \{x, \{x\}\}$ ?



## Example.

### 1 Sets

- Is  $\{x\} \subseteq \{x\}$ ? OK
- Is  $\{x\} \in \{x, \{x\}\}$ ? OK
- Is  $\{x\} \subseteq \{x, \{x\}\}$





## Example.

### 1 Sets

- Is  $\{x\} \subseteq \{x\}$ ? OK
- Is  $\{x\} \in \{x, \{x\}\}$ ? OK
- Is  $\{x\} \subseteq \{x, \{x\}\}$ ? OK
- Is  $\{x\} \in \{x\}$ ?



## Example.

### 1 Sets

- Is  $\{x\} \subseteq \{x\}$ ? OK
- Is  $\{x\} \in \{x, \{x\}\}$ ? OK
- Is  $\{x\} \subseteq \{x, \{x\}\}$ ? OK
- Is  $\{x\} \in \{x\}$ ? NOT OK



# Ways to define sets

## 1 Sets

- Explicitly:  $\{\text{John, Paul, George, Ringo}\}$
- Implicitly:  $\{1, 2, 3, \dots\}$ , or  $\{2, 3, 5, 7, 11, 13, 17, \dots\}$
- Set builder:  $\{x : x \text{ is prime}\}$ ,  $\{x | x \text{ is odd}\}$
- In general  $\{x : P(x)\}$ , where  $P(x)$  is some predicate. We read “*the set of all  $x$  such that  $P(x)$* ”



# Cardinality

## 1 Sets

If  $S$  is finite, then the **cardinality** of  $S$ ,  $|S|$ , is the number of distinct elements in  $S$ .

**Example.**

$$S = \{1, 2, 3\} \rightarrow |S|=3$$

$$S = \{2, 4, 1, 7\} \rightarrow |S|=4$$

$$S = \emptyset \rightarrow |S|=0$$

$$S = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\} \rightarrow |S|=3$$

$$S = \{1, 2, 3, \dots\} \rightarrow |S| \text{ is infinite.}$$

# Power sets

## 1 Sets

If  $S$  is a set, then the power set of  $S$  is  $P(S) = 2^S = \{x : x \subseteq S\}$ . We say, “ $P(S)$  is the set of all subsets of  $S$ .”

### Example.

- If  $S = \{a\}$  then  $2^S = \{\emptyset, \{a\}\}$
- If  $S = \{a, b\}$  then  $2^S = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$
- If  $S = \emptyset$  then  $2^S = \{\emptyset\}$
- If  $S = \{\emptyset, \{\emptyset\}\}$  then  $2^S = \{\emptyset, \{\emptyset\}, \{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\}$

**Note.** If  $S$  finite, then  $|2^S| = 2^{|S|}$  (If  $|S| = n$ , then  $|2^S| = 2^n$ )

- If  $S = \{a\}$  then  $2^S = \{\emptyset, \{a\}\} \rightarrow 2^S = 2$
- If  $S = \{a, b\}$  then  $2^S = \{\emptyset, \{a\}, \{b\}, \{a, b\}\} \rightarrow |2^S| = 2^2 = 4$
- If  $S = \emptyset$  then  $2^S = \{\emptyset\} \rightarrow |2^S| = 2^0 = 1$
- If  $S = \{\emptyset, \{\emptyset\}\}$  then  $2^S = \{\emptyset, \{\emptyset\}, \{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\} \rightarrow |2^S| = 2^2 = 4$

# Cartesian Product

## 1 Sets

The **Cartesian Product** of two sets A and B is  $A \times B = \{(a, b) : a \in A \wedge b \in B\}$

**Example.**

If  $A = \{\text{Lâm, Bình, Chi}\}$ , and  $B = \{\text{Xung, Ca}\}$ , then

$$A \times B = \{(\text{Lâm, Xung}), (\text{Lâm, Ca}), (\text{Bình, Xung}), (\text{Bình, C}), (\text{Chi, Xung}), (\text{Chi, Ca})\}$$

**Notes.**

- $(a, b) = (c, d)$  iff  $a = c$ , and  $b = d$

- $A, B \text{ finite} \rightarrow |A \times B| = |A||B|$

If  $A = \{\text{Lâm, Bình, Chi}\} \rightarrow |A|=3$ , and  $B = \{\text{Xung, Ca}\} \rightarrow |B|=2$

$$A \times B = \{(\text{Lâm, Xung}), (\text{Lâm, Ca}), (\text{Bình, Xung}), (\text{Bình, C}), (\text{Chi, Xung}), (\text{Chi, Ca})\} \rightarrow |A \times B| = 3.2 = 6$$



# Cartesian Product

## 1 Sets

The Cartesian Product of  $n$  sets  $A_1, A_2, \dots, A_n$  is:

$$A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) : a_i \in A_i, \forall i = 1, 2, \dots, n\}$$

**Note.**

$$A^n = A \times A \times A \times \dots \times A (n \text{ times}) = \{(a_1, a_2, \dots, a_n) : a_i \in A, \forall i = 1, 2, \dots, n\}$$

**Example.**

$$A = \{a, b\}, B = \{1, 2, 3\}, C = \{0, 1\}$$

$$A \times B \times C = \{(a, 1, 0), (a, 1, 1), (a, 2, 0), (a, 2, 1), (a, 3, 0), (a, 3, 1), \\ (b, 1, 0), (b, 1, 1), (b, 2, 0), (b, 2, 1), (b, 3, 0), (b, 3, 1)\}$$

$$|A \times B \times C| = |A||B||C| = 2.3.2 = 12$$

## Quizz

### 1 Sets

Given  $A = \{0, \emptyset\}$ . Find the cardinality of  $P(A \times A)$ .

Select one:

- ☐ a. 2
- ☐ b.  $\{(0, \emptyset), (0, 0), (\emptyset, \emptyset), (\emptyset, 0)\}$
- ☐ c. 4
- ☐ d. 16



## Quizz

### 1 Sets

Given  $A = \{0, \emptyset\}$ . Find the cardinality of  $P(A \times A)$ .

Select one:

- ☐ a. 2
- ☐ b.  $\{(0, \emptyset), (0, 0), (\emptyset, \emptyset), (\emptyset, 0)\}$
- ☐ c. 4
- ☐ d. 16

Ans: d ( $|A|=2 \rightarrow |A \times A| = 4 \rightarrow P(A \times A) = 2^4 = 16$ )



## Quizz

### 1 Sets

(i) How many bit strings of length 8 are there?

(ii) How many bit strings of length 8 begin with 10 or 01?

Select one:

- ☐ a. (i)  $2^7$  (ii)  $2^7$
- ☐ b. (i)  $2^8$  (ii)  $2^6$
- ☐ c. (i)  $2^8$  (ii)  $2^7$
- ☐ d. (i)  $2^7$  (ii)  $2^8$

## Quizz

### 1 Sets

(i) How many bit strings of length 8 are there?

(ii) How many bit strings of length 8 begin with 10 or 01?

Select one:

- ☐ a. (i)  $2^7$  (ii)  $2^7$
- ☐ b. (i)  $2^8$  (ii)  $2^6$
- ☐ c. (i)  $2^8$  (ii)  $2^7$
- ☐ d. (i)  $2^7$  (ii)  $2^8$

Ans: C (abcdefgh from 1 and 0)



## Quizz

1 Sets

How many bit strings of length 8 begin with 11 or end with 00?

Select one:

- ☐ a.  $2^6 - 2^4$
- ☐ b.  $2 \cdot 2^6 - 2^4$
- ☐ c.  $2 \cdot 2^4$
- ☐ d.  $2^4$



## Quizz

1 Sets

How many bit strings of length 8 begin with 11 or end with 00?

Select one:

- ☐ a.  $2^6 - 2^4$
- ☐ b.  $2 \cdot 2^6 - 2^4$
- ☐ c.  $2 \cdot 2^4$
- ☐ d.  $2^4$

Ans: B ( $11abcdef + abcdef00 - 11abcd00$ )



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## 2 Set operations

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# Union

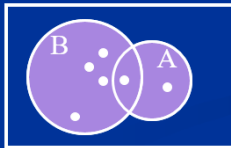
## 2 Set operations

The **union** of two sets  $A$  and  $B$  is:

$$A \cup B = \{x : x \in A \vee x \in B\}$$

If  $A = \{\text{Charlie, Lucy, Linus}\}$ , and  
 $B = \{\text{Lucy, Desi}\}$ , then

$$A \cup B = \{\text{Charlie, Lucy, Linus, Desi}\}$$



# Intersection

## 2 Set operations

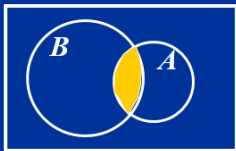
The *intersection* of two sets  $A$  and  $B$  is:

$$A \cap B = \{x : x \in A \wedge x \in B\}$$

If  $A = \{\text{Charlie, Lucy, Linus}\}$ , and

$B = \{\text{Lucy, Desi}\}$ , then

$$A \cap B = \{\text{Lucy}\}$$

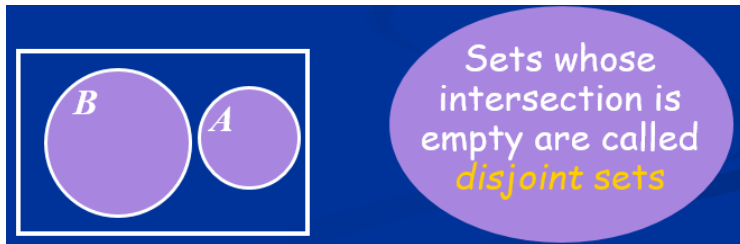




## Example.

### 2 Set operations

If  $A = \{x : x \text{ is a US president}\}$ , and  $B = \{x : x \text{ is in this room}\}$ , then  $A \cap B = \{x : x \text{ is a US president in this room}\} = \emptyset$ .



# Complement

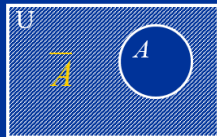
## 2 Set operations

The *complement* of a set  $A$  is:

$$\overline{A} = \{x : x \notin A\}$$

If  $A = \{x : x \text{ is not shaded}\}$ , then

$$\overline{A} = \{x : x \text{ is shaded}\}$$



$$\begin{aligned} \overline{\emptyset} &= U \\ \text{and} \\ U &= \overline{\emptyset} \end{aligned}$$

# Difference and symmetric difference

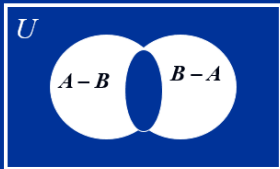
## 2 Set operations

The *symmetric difference*,  $A \oplus B$ , is:

$$A \oplus B = \{ x : (x \in A \wedge x \notin B) \vee (x \in B \wedge x \notin A) \}$$

$$= (A - B) \cup (B - A)$$

$$= \{ x : x \in A \oplus x \in B \}$$



# Set Identities

## 2 Set operations

### ■ Identity

$$A \cap U = A$$

$$A \cup \emptyset = A$$

### ■ Domination

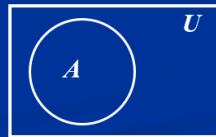
$$A \cup U = U$$

$$A \cap \emptyset = \emptyset$$

### ■ Idempotent

$$A \cup A = A$$

$$A \cap A = A$$



# Set Identities

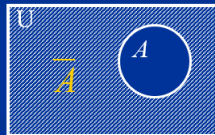
## 2 Set operations

■ *Excluded Middle*

$$A \cup \bar{A} = U$$

■ *Uniqueness*

$$A \cap \bar{A} = \emptyset$$



■ *Double complement*

$$\overline{\bar{A}} = A$$

# Set Identities

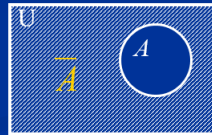
## 2 Set operations

■ *Excluded Middle*  $A \cup \overline{A} = U$

■ *Uniqueness*

$$A \cap \overline{A} = \emptyset$$

■ *Double complement*  $\overline{\overline{A}} = A$



# Set Identities

## 2 Set operations

### ■ *Commutativity*

$$A \cup B = B \cup A$$

$$A \cap B = B \cap A$$

### ■ *Associativity*

$$(A \cup B) \cup C = A \cup (B \cup C)$$

$$(A \cap B) \cap C = A \cap (B \cap C)$$

### ■ *Distributivity*

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$

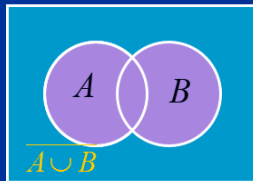
$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$

# Set Identities

## 2 Set operations

■ *DeMorgan's I*       $\overline{A \cup B} = \bar{A} \cap \bar{B}$

■ *DeMorgan's II*       $\overline{A \cap B} = \bar{A} \cup \bar{B}$







# Computer Representation of Sets

## 2 Set operations

- Let  $U = \{x_1, x_2, \dots, x_n\}$ , and choose an arbitrary order of the elements of  $U$ , say

$$x_1, x_2, \dots, x_n$$

- Let  $A \subseteq U$ . Then the **bit string representation** of  $A$  is the bit string of length  $n$  :  $a_1 a_2 \dots a_n$  such that  $a_i = 1$  if  $x_i \in A$ , and 0 otherwise.

**Example.** Let  $U = \{x_1, x_2, x_3, x_4, x_5, x_6\}$  and  $A = \{x_1, x_3, x_5, x_6\}$ . Then the bit string representation of  $A$  is 101011

# Computer Representation of Sets

## 2 Set operations

Let  $U = \{x_1, x_2, x_3, x_4, x_5, x_6\}$  and  $A = \{x_1, x_3, x_5, x_6\}$ ,  $B = \{x_2, x_3, x_6\}$ . Then we have a quick way of finding the bit string corresponding to of  $A \cup B$  and  $A \cap B$

	$A$	1	0	1	0	1	1
	$B$	0	1	1	0	0	1
Bit-wise OR	$A \cup B$	1	1	1	0	1	1
Bit-wise AND	$A \cap B$	0	0	1	0	0	1



## Quizz

### 2 Set operations

Let  $U = \{0,1,2,3,4,5,6,7,8,9\}$ .

Given the subsets  $A = \{1,2,3,4,8\}$ ,  $B = \{0,5,6,7,9\}$ . The bit string representing the subset  $A - B$  is ...

Select one:

- ☐ a. 00 1110 0010
- ☐ b. 01 1110 0110
- ☐ c. 01 1110 0010
- ☐ d. 00 1011 0010



## Quizz

### 2 Set operations

Let  $U = \{0,1,2,3,4,5,6,7,8,9\}$ .

Given the subsets  $A = \{1,2,3,4,8\}$ ,  $B = \{0,5,6,7,9\}$ . The bit string representing the subset  $A - B$  is ...

Select one:

- ☐ a. 00 1110 0010
- ☐ b. 01 1110 0110
- ☐ c. 01 1110 0010
- ☐ d. 00 1011 0010

Ans: C



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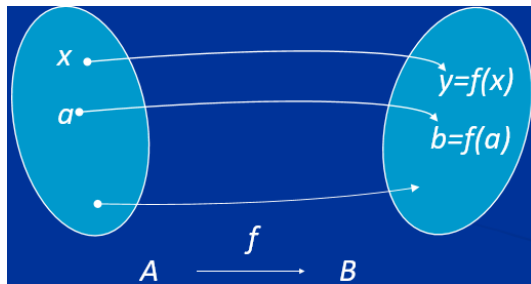
## 3 Functions

- ▶ Sets
- ▶ Set operations
- ▶ Functions
- ▶ Sequences
- ▶ Summations
- ▶ Problems

# Introduction

## 3 Functions

**Definition.** A **function**  $f$  is a rule that assigns to each element  $x$  in a set  $A$  exactly **one** element  $y=f(x)$  in a set  $B$ .



- $A$  is the **domain**,  $B$  is the **codomain** of  $f$ .
- $b = f(a)$  is the **image** of  $a$  and  $a$  is the **preimage** of  $b$ .
- The **range** of  $f$  is the set  $\{f(a), a \in A\}$

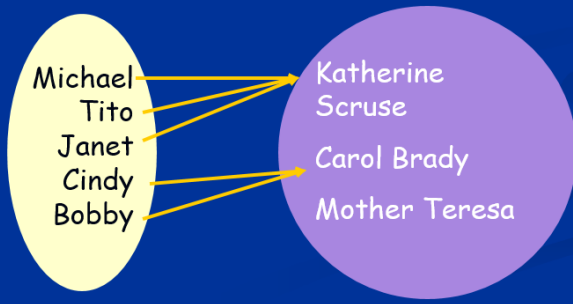
## Example.

### 3 Functions

$A = \{\text{Michael, Tito, Janet, Cindy, Bobby}\}$

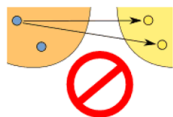
$B = \{\text{Katherine Scruse, Carol Brady, Mother Teresa}\}$

Let  $f: A \rightarrow B$  be defined as  $f(a) = \text{mother}(a)$ .



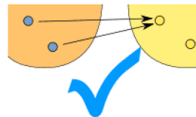
## Example.

### 3 Functions



(one-to-many)

This is **NOT** OK in a function



(many-to-one)

But this **is** OK in a function

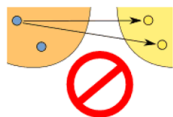
What are functions?

1.  $f : \mathbb{Z} \rightarrow \mathbb{R} : f(x) = x^2 + 2 \rightarrow$



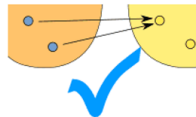
## Example.

### 3 Functions



(one-to-many)

This is **NOT** OK in a function



(many-to-one)

But this **is** OK in a function

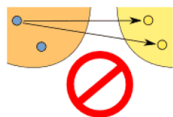
What are functions?

$$1. f : \mathbb{Z} \rightarrow \mathbb{R} : f(x) = x^2 + 2 \rightarrow \text{YES}$$

$$2. f : \mathbb{Z} \rightarrow \mathbb{R} : f(x) = \frac{1}{(x-1)^2} + 5x \rightarrow$$

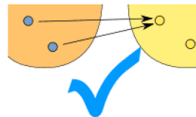
## Example.

### 3 Functions



(one-to-many)

This is **NOT** OK in a function



(many-to-one)

But this **is** OK in a function

What are functions?

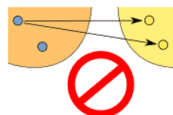
$$1. f : \mathbb{Z} \rightarrow \mathbb{R} : f(x) = x^2 + 2 \rightarrow \text{YES}$$

$$2. f : \mathbb{Z} \rightarrow \mathbb{R} : f(x) = \frac{1}{(x-1)^2} + 5x \rightarrow \text{NO}$$

$$3. f : \mathbb{R} \rightarrow \mathbb{R} : f(x) = \frac{2x+5}{7} \rightarrow$$

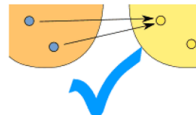
## Example.

### 3 Functions



(one-to-many)

This is **NOT** OK in a function



(many-to-one)

But this **is** OK in a function

What are functions?

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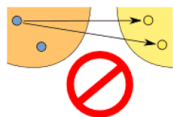
2.  $f : \mathbb{Z} \rightarrow \mathbb{R} : f(x) = \frac{1}{(x-1)^2} + 5x \rightarrow \text{NO}$

3.  $f : \mathbb{R} \rightarrow \mathbb{R} : f(x) = \frac{2x+5}{7} \rightarrow \text{YES}$

4.  $f : \mathbb{Z} \rightarrow \mathbb{R} : f(x) = \frac{(2x+5)^2}{7-2x} \rightarrow$

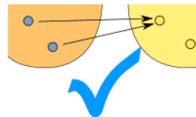
## Example.

### 3 Functions



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4.  $f : \mathbb{Z} \rightarrow \mathbb{R} : f(x) = \frac{(2x+5)^2}{7-2x} \rightarrow \text{YES}$



# Functions as sets of ordered pairs

## 3 Functions

A function can be defined as a set of ordered pairs:  $\{(a, b) | b = f(a), a \in A\}$

**Example.**  $\{(2, 4), (3, 5), (7, 3)\}$  is a function that says "2 is related to 4", "3 is related to 5", "7 is related to 3"

**Notes.**

- The domain is  $\{2, 3, 7\}$  (input values)
- The range is  $\{4, 5, 3\}$  (output values)



# Some Important Functions

## 3 Functions

1. **Ceiling.**  $f(x) = \lceil x \rceil$  the least integer  $y$  so that  $x \leq y$ . **Example.**
  - a.  $\lceil 1.2 \rceil =$



# Some Important Functions

## 3 Functions

1. **Ceiling.**  $f(x) = \lceil x \rceil$  the least integer  $y$  so that  $x \leq y$ . **Example.**

a.  $\lceil 1.2 \rceil = 2$

b.  $\lceil -1.2 \rceil =$



# Some Important Functions

## 3 Functions

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  - a.  $\lceil 1.2 \rceil = 2$
  - b.  $\lceil -1.2 \rceil = -1$
  - c.  $\lceil 1 \rceil = 1$
2. **Floor.**  $f(x) = \lfloor x \rfloor$  the greatest integer  $y$  so that  $y \leq x$ 
  - a.  $\lfloor 1.8 \rfloor =$





# Some Important Functions

## 3 Functions

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# Some Important Functions

## 3 Functions

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c.  $\lfloor -5 \rfloor =$



# Some Important Functions

## 3 Functions

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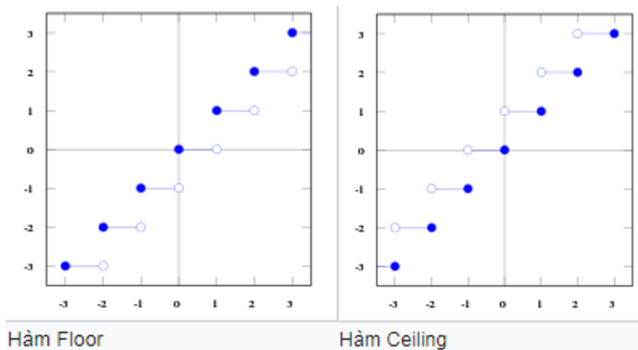
b.  $\lfloor -1.8 \rfloor = -2$

c.  $\lfloor -5 \rfloor = -5$



**Note.**  $\lfloor x \rfloor \leq x \leq \lceil x \rceil$ . What is  $\lceil -1.1 + \lfloor 1.1 \rfloor \rceil$

**Note.**  $\lfloor x \rfloor \leq x \leq \lceil x \rceil$ . What is  $\lceil -1.1 + \lfloor 1.1 \rfloor \rceil = 0$





## Quizz

### 3 Functions

Let  $f$  be floor function and  $g$  be ceiling function.  
Which of the following is true ?

Select one:

- ☐ a.  $f(-3.1) = -3$
- ☐ b.  $g(-4.5) = -4$
- ☐ c.  $g(7) = 8$
- ☐ d.  $f(5.3) = 6$



## Quizz

### 3 Functions

Let  $f$  be floor function and  $g$  be ceiling function.  
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- ☐ c.  $g(7) = 8$
- ☐ d.  $f(5.3) = 6$

Ans: b



## Quizz

### 3 Functions

Study relations in the set of real numbers  $\mathbb{R}$ :

(i)  $f: \mathbb{R} \rightarrow \mathbb{R}; f(x) = (x+1)/(x^2 + 3)$

(ii)  $f: \mathbb{R} \rightarrow \mathbb{R}; f(x) = x/(2x^2 - 6x - 1)$

Select correct statement(s)

Select one:

- ☐ a. (i) is not a function, (ii) is not a function
- ☐ b. (i) is a function, (ii) is a function
- ☐ c. (i) is not a function, (ii) is a function
- ☐ d. (i) is a function, (ii) is not a function





## Quizz

### 3 Functions

Study relations in the set of real numbers  $\mathbb{R}$ :

(i)  $f: \mathbb{R} \rightarrow \mathbb{R}; f(x) = (x+1)/(x^2 + 3)$

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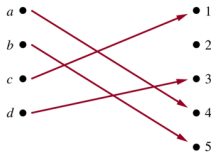
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- ☐ b. (i) is a function, (ii) is a function
- ☐ c. (i) is not a function, (ii) is a function
- ☐ d. (i) is a function, (ii) is not a function

Ans: d

# One-to-One Functions

## 3 Functions



**Definition.** A function  $f : A \rightarrow B$  is **one-to-one** (injective, an injection) if

$$\forall x, y (f(x) = f(y) \rightarrow x = y)$$

**Remarks.**

- A function  $f : A \rightarrow B$  is **one-to-one** (injective, an injection) iff

$$\forall x, y (x \neq y) \rightarrow f(x) \neq f(y)$$

- A **strictly increasing** (tăng) or **strictly decreasing** function on an interval  $I$  is one-to-one on  $I$



## Example.

### 3 Functions

The following functions are one to one or not:

1.  $f : \mathbb{Z} \rightarrow \mathbb{Z}, f(x) = x^2$



## Example.

### 3 Functions

The following functions are one to one or not:

1.  $f : \mathbb{Z} \rightarrow \mathbb{Z}, f(x) = x^2$  (No)
2.  $f : [0, +\infty) \rightarrow \mathbb{R}, f(x) = x^2 + 1$

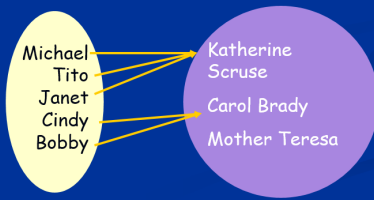
## Example.

### 3 Functions

The following functions are one to one or not:

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3. Function

$A = \{\text{Michael, Tito, Janet, Cindy, Bobby}\}$   
 $B = \{\text{Katherine Scruse, Carol Brady, Mother Teresa}\}$   
 Let  $f: A \rightarrow B$  be defined as  $f(a) = \text{mother}(a)$ .



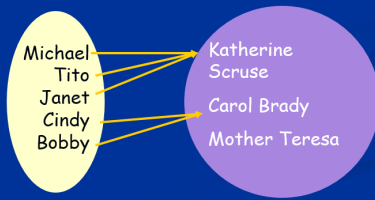
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### 3 Functions

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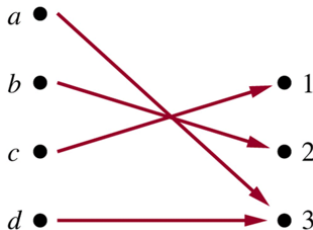
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(No)

# Onto Functions

## 3 Functions



**Definition.** A function  $f : A \rightarrow B$  is **onto** (surjective, a surjection) if

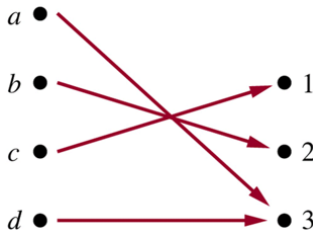
$$\forall b \in B, \exists a \in A | f(a) = b$$

**Example.** The following functions are onto or not

1.  $f : \mathbb{Z} \rightarrow \mathbb{Z}, f(x) = x^2$

# Onto Functions

## 3 Functions



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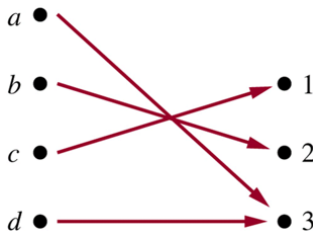
**Example.** The following functions are onto or not

1.  $f : \mathbb{Z} \rightarrow \mathbb{Z}, f(x) = x^2$  (No)
2.  $f : \mathbb{Z} \rightarrow \mathbb{Z}, f(x) = x + 1$



# Onto Functions

## 3 Functions



**Definition.** A function  $f : A \rightarrow B$  is **onto** (surjective, a surjection) if

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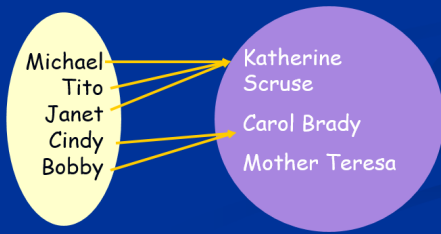
1.  $f : \mathbb{Z} \rightarrow \mathbb{Z}, f(x) = x^2$  (No)
2.  $f : \mathbb{Z} \rightarrow \mathbb{Z}, f(x) = x + 1$  (Yes)

## Example.

### 3 Functions

#### Function

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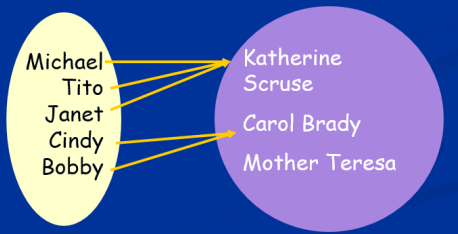


## Example.

### 3 Functions

#### Function

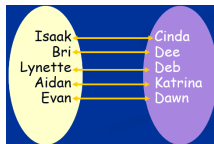
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(No)

# Bijection

## 3 Functions



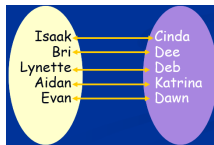
**Definition.** A function  $f : A \rightarrow B$  is **bijective** if it is **one-to-one** and **onto**. We also say that  $f$  is a **bijection**.

**Example.** The following functions are bijection or not

1.  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = x^3 + 1$

# Bijection

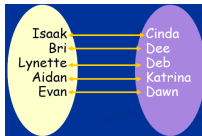
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1.  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = x^3 + 1$  (Yes)
2. Function



# Bijection

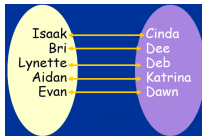
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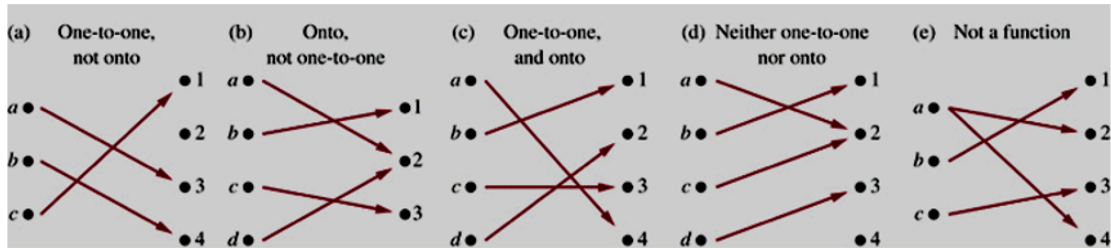
**Example.** The following functions are bijection or not

1.  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = x^3 + 1$  (Yes)
2. Function



# Example.

## 3 Functions





## Quizz

### 3 Functions

If  $f: \mathbb{Z} \rightarrow \mathbb{N}; f(x) = (2 - x)^2$ .

Which of the following statements is true?

(i)  $f$  is one-to-one

(ii)  $f$  is onto

Select one:

- ☐ a. (i)
- ☐ b. Both
- ☐ c. (ii)
- ☐ d. None

Ans: d ( $x = \pm\sqrt{y} + 2, y = 2 \rightarrow \nexists x \in \mathbb{Z}$ )





## Quizz

### 3 Functions

How many one-to-one functions are there from the set  $\{1, 2, 3\}$  to the set  $\{1, 2, 3, 4, 5, 6\}$ ?

Select one:

- ☐ a. 6.5.4
- ☐ b.  $6^3$
- ☐ c. 0
- ☐ d. 18



## Quizz

### 3 Functions

How many one-to-one functions are there from the set  $\{1, 2, 3\}$  to the set  $\{1, 2, 3, 4, 5, 6\}$ ?

Select one:

- ☐ a. 6.5.4
- ☐ b.  $6^3$
- ☐ c. 0
- ☐ d. 18

Ans: 6.5.4

Let  $B$  be the set  $\{a, b\}$ . How many functions are there from  $B^2$  to  $B$ ?

Select one:

- ☐ a. 16
- ☐ b. 8
- ☐ c. 2
- ☐ d. 4

Let  $B$  be the set  $\{a, b\}$ . How many functions are there from  $B^2$  to  $B$ ?

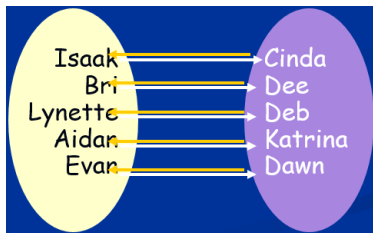
Select one:

- ☐ a. 16
- ☐ b. 8
- ☐ c. 2
- ☐ d. 4

Ans: 16 ( $|B \times B| = |B||B| = 2.2 = 4$ , mỗi phần tử thuộc  $B^2$  có 2 cách chọn ảnh)

# Inverse Functions

## 3 Functions



**Definition.** Let  $f : A \rightarrow B$  be a **bijection**. Then the **inverse function** of  $f$ , denoted by  $f^{-1}$  is the function that assigns each element  $b$  in  $B$  the unique element  $a$  in  $A$  such that  $f(a) = b$ . Thus  $f^{-1}(b) = a$ .

**Example.**

$$f^{-1}(\text{Cinda}) = \text{Isaak}, f^{-1}(\text{Dee}) = \text{Bri}, \dots, f^{-1}(\text{Dawn}) = \text{Evan}$$



## Example.

### 3 Functions

- Is the function  $f(x) = x^2$  from  $\mathbb{Z}$  to  $\mathbb{Z}$  invertible? (i.e. the inverse function exists)

The function  $f$  is not onto. Therefore it is not a bijection, and hence not invertible

- Is the function  $f(x) = x + 1$  from  $\mathbb{Z}$  to  $\mathbb{Z}$  invertible?

The function  $f$  is a bijection so it is invertible.



## Example.

### 3 Functions

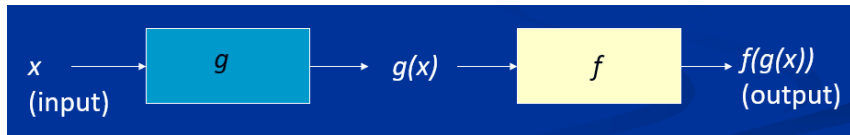
Is the function  $f(x) = x + 1$  from  $\mathbb{Z}$  to  $\mathbb{Z}$  invertible? What is its inverse?

To find the inverse, let  $y$  be any element in  $\mathbb{Z}$ , we find the element  $x$  in  $\mathbb{Z}$  such that  $y = f(x) = x + 1$ . Solving this equation we obtain  $x = y - 1$ . Hence  $f^{-1}(y) = y - 1$ .

We also write  $f^{-1}(x) = x - 1$ .

# Compositions of Functions

## 3 Functions



**Definition.** The **composition** of a function  $g : A \rightarrow B$  and a function  $f : B \rightarrow C$  is the function  $f \circ g : A \rightarrow C$  defined by

$$f \circ g(x) = f(g(x))$$

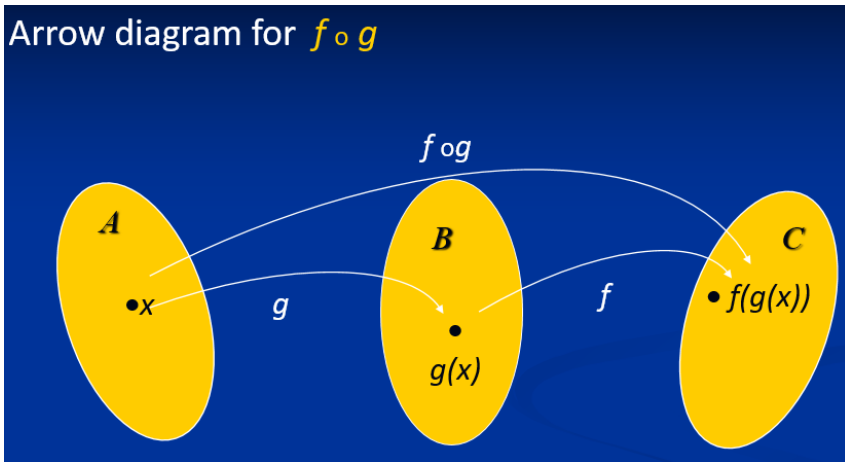
**Note.** The domain of  $f \circ g$  is also the domain of  $g$ , and the codomain of  $f \circ g$  is also the codomain of  $f$ .



# Arrow diagram for $f \circ g$

3 Functions

Arrow diagram for  $f \circ g$



## Example.

### 3 Functions

let  $f(x) = x^2$  and  $g(x) = x - 3$  are functions from  $\mathbb{R}$  to  $\mathbb{R}$ . Find  $f \circ g$  and  $g \circ f$

$$(f \circ g)(x) = f(g(x)) = f(x - 3) = (x - 3)^2$$

$$(g \circ f)(x) = g(f(x)) = g(x^2) = x^2 - 3$$

This shows that in general:  $f \circ g \neq g \circ f$



# Table of Contents

4 Sequences

- ▶ Sets
- ▶ Set operations
- ▶ Functions
- ▶ Sequences
- ▶ Summations
- ▶ Problems

# Definition.

## 4 Sequences

A **sequence**  $\{a_i\}$  is a function  $f : \mathbb{N} \rightarrow \mathbb{R}$ , where we write  $a_i$  to indicate  $f(i)$ .

**Example.**

- $1, 1/2, 1/3, \dots, 1/n, \dots$
- **Finite** sequence  $\{a_i\}$ , where  $a_i = i, i = 0, 1, 2: a_0 = 0, a_1 = 1, a_2 = 2$
- **Infinite** sequence  $\{a_i\}$ , where  $a_i = i^2: a_0 = 0, a_1 = 1, a_2 = 4, \dots$
- $a_0 = 1, a_n = 2a_{n-1} - 3, n = 1, 2, \dots \rightarrow a_1 = -1, a_2 = -5, \dots$
- **Geometric progression:**  $a, ar, ar^2, \dots, ar^n, \dots$
- **Arithmetic progression:**  $a, a + d, a + 2d, \dots, a + nd, \dots$



# Table of Contents

5 Summations

- ▶ Sets
- ▶ Set operations
- ▶ Functions
- ▶ Sequences
- ▶ **Summations**
- ▶ Problems



# Introduction

## 5 Summations

- **Notation.**  $\sum_{i=1}^k a_i = a_1 + a_2 + \dots + a_k$

- **Properties.**

1.  $\sum_{i=1}^k (ca_i + db_i) = c \sum_{i=1}^k a_i + d \sum_{i=1}^k b_i$

2.  $\sum_{i=1}^k a = a + a + \dots + a = ka$

# Familiar Summation Formulae

## 5 Summations

- $\sum_{k=1}^n k = 1 + 2 + \dots + n = \frac{n(n+1)}{2}$

Let  $S = 1 + 2 + 3 + \dots + n$

Then  $S = n + (n-1) + (n-2) + \dots + 1$

$$2S = (n+1) + (n+1) + \dots + (n+1) = n(n+1)$$

$$\sum_{k=1}^n k = \frac{n(n+1)}{2}$$

- $\sum_{k=0}^n ar^k = a \frac{1-r^{n+1}}{1-r} (r \neq 1)$

# Some Useful Summation Formulae

## 5 Summations

**TABLE 2** Some Useful Summation Formulae.

<i>Sum</i>	<i>Closed Form</i>
$\sum_{k=0}^n ar^k \ (r \neq 0)$	$\frac{ar^{n+1} - a}{r - 1}, r \neq 1$
$\sum_{k=1}^n k$	$\frac{n(n+1)}{2}$
$\sum_{k=1}^n k^2$	$\frac{n(n+1)(2n+1)}{6}$
$\sum_{k=1}^n k^3$	$\frac{n^2(n+1)^2}{4}$
$\sum_{k=0}^{\infty} x^k,  x  < 1$	$\frac{1}{1-x}$
$\sum_{k=1}^{\infty} kx^{k-1},  x  < 1$	$\frac{1}{(1-x)^2}$



# Cardinality

## 5 Summations

- **Cardinality** = number of elements in a set.
- The sets **A** and **B** have the same cardinality **if and only if** there is a one-to-one correspondence from **A** to **B**
- A set that is **either finite** or has the same cardinality as the set of positive integers is called **countable**.

$a_1, a_2, a_3, \dots, a_n, \dots$

- A set that is not countable is called **uncountable**.
- When a infinite set **S** is countable, we denote the cardinality of **S** is  $|S| = \aleph_0$  (aleph null)
- For example,  $|\mathbb{N}| = \aleph_0$  because  $\mathbb{N}$  is countable and infinite but  $\mathbb{R}$  is uncountable and infinite, and we say  $|\mathbb{R}| = 2^{\aleph_0}$



## Quizz

### 5 Summations

Let  $a_n = -a_{n-2}$  for all  $n > 1$ . If  $a_0 = 3$  and  $a_1 = 5$ , find  $a_7$ .

Select one:

- ☐ a. 3
- ☐ b. 7
- ☐ c. -5
- ☐ d. -3



## Quizz

### 5 Summations

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- ☐ a. 3
- ☐ b. 7
- ☐ c. -5
- ☐ d. -3

Ans: C

## Quizz

### 5 Summations

Suppose  $a_n$  is defined recursively by:  $a_0=3$ ,  $a_{n+1}=3.a_n$ ,  $n>0$ .  
What is  $a_n$ ?

Select one:

- ☐ a.  $a_n=3^n$
- ☐ b.  $a_n=3^{n+1}$
- ☐ c.  $a_n=3n$
- ☐ d.  $a_n=3n+3$

Ans: b

## Quizz

### 5 Summations

Find  $f(2)$  and  $f(3)$  if

$$f(n) = f(n - 1) \times f(n - 2) + 1, \text{ and } f(0) = 1, f(1) = 4$$

Select one:

- ☐ a.  $f(2) = 36, f(3) = 60$
- ☐ b.  $f(2) = 30, f(3) = 66$
- ☐ c.  $f(2) = 5, f(3) = 21$
- ☐ d.  $f(2) = 15, f(3) = 20$

Ans: C



## Quizz

### 5 Summations

Study the following sequences:

$$a_n = 3n - 2, n = 1, 2, 3, \dots$$

$$b_n = b_{n-1} + 3 \text{ for } n > 1 \text{ and } b_1 = 1$$

Select true statements.

Select one or more:

- ☐ a.  $b_3 = 7$
- ☐ b.  $b_3 = 9$
- ☐ c.  $a_n = b_n$  for all  $n > 0$
- ☐ d. We can't compute  $b_n$  for all  $n > 0$

Ans: a & c



# Table of Contents

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## Sets

6 Problems

1. List the members of these sets.

- a)  $\{x \mid x \text{ is a real number such that } x^2 = 1\}$
- b)  $\{x \mid x \text{ is a positive integer less than } 12\}$
- c)  $\{x \mid x \text{ is the square of an integer and } x < 100\}$
- d)  $\{x \mid x \text{ is an integer such that } x^2 = 2\}$

2. For each of the following sets, determine whether 2 is an element of that set.

- a)  $\{x \in \mathbb{R} \mid x \text{ is an integer greater than } 1\}$
- b)  $\{x \in \mathbb{R} \mid x \text{ is the square of an integer}\}$
- c)  $\{2, \{2\}\}$
- d)  $\{\{2\}, \{\{2\}\}\}$
- e)  $\{\{2\}, \{2, \{2\}\}\}$
- f)  $\{\{\{2\}\}\}$



# Sets

## 6 Problems

3. Determine whether each of these statements is true or false.

- a)  $0 \in \emptyset$                       b)  $\emptyset \in \{0\}$                       c)  $\{0\} \subset \emptyset$                       d)  $\emptyset \subset \{0\}$   
 e)  $\{0\} \in \{0\}$                       f)  $\{0\} \subset \{0\}$                       g)  $\{\emptyset\} \subseteq \{\emptyset\}$

4. Determine whether each of these statements is true or false.

- a)  $x \in \{x\}$                       b)  $\{x\} \subseteq \{x\}$                       c)  $\{x\} \in \{x\}$   
 d)  $\{x\} \in \{\{x\}\}$                       e)  $\emptyset \subseteq \{x\}$                       f)  $\emptyset \in \{x\}$

5. What is the cardinality of each of these sets?

- a)  $\{a\}$                       b)  $\{\{a\}\}$                       c)  $\{a, \{a\}\}$                       d)  $\{a, \{a\}, \{a, \{a\}\}\}$

6. What is the cardinality of each of these sets?

- a)  $\emptyset$                       b)  $\{\emptyset\}$                       c)  $\{\emptyset, \{\emptyset\}\}$                       d)  $\{\emptyset, \{\emptyset, \{\emptyset\}\}, \{\emptyset, \{\emptyset, \{\emptyset\}\}\}$



## Sets

6 Problems

7. Find the power set of each of these sets, where  $a$  and  $b$  are distinct elements.

- a)  $\{a\}$       b)  $\{a, b\}$       c)  $\{\emptyset, \{\emptyset\}\}$

8. How many elements does each of these sets have where  $a$  and  $b$  are distinct elements?

- a)  $P(\{a, b, \{a, b\}\})$       b)  $P(\{\emptyset, a, \{a\}, \{\{a\}\}\})$       c)  $P(P(\emptyset))$

9. Find  $A^2$  and  $A^3$  if

a)  $A = \{1, 3\}$                       b)  $A = \{1, a\}$

10. Let  $A = \{1, 2, 3\}$  and  $B = \{1, a\}$ . What is the cardinality of each of these sets?

a)  $A \times B$                       b)  $A^2$                       c)  $P(B)$                       d)  $P(B \times A)$  e)  $A \cup B$

11. Find the truth set of each of these predicates where the domain is the set of integers.

a)  $P(x): x^2 < 3$                       b)  $Q(x): x^2 > x$                       c)  $R(x): 2x + 1 = 0$

# Set operations




## 6 Problems

1. Let  $A = \{1, 2, 3, 4, 5\}$  and  $B = \{0, 3, 6\}$ . Find
  - a)  $A \cup B$       b)  $A \cap B$       c)  $A - B$       d)  $B - A$ .
2. Let  $A = \{a, b, c, d, e\}$  and  $B = \{a, b, c, d, e, f, g, h\}$ . Find
  - a)  $A \cup B$       b)  $A \cap B$       c)  $A - B$       d)  $B - A$ .
3. Find the sets  $A$  and  $B$  if  $A - B = \{1, 5, 7, 8\}$ ,  $B - A = \{2, 10\}$ , and  $A \cap B = \{3, 6, 9\}$ .
4. Let  $A$  and  $B$  be sets. Show that
  - a)  $(A \cap B) \subseteq A$                       b)  $A \subseteq (A \cup B)$                       c)  $A - B \subseteq A$
  - d)  $A \cap (B - A) = \emptyset$               e)  $A \cup (B - A) = A \cup B$       f)  $A \oplus B = (A \cup B) - (A \cap B)$ .
5. Suppose that the universal set is  $U = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$ . Express each of these sets with bit strings where the  $i^{\text{th}}$  bit in the string is 1 if  $i$  is in the set and 0 otherwise.
  - a)  $\{3, 4, 5\}$                       b)  $\{1, 3, 6, 10\}$                       c)  $\{2, 3, 4, 7, 8, 9\}$




# Functions

6 Problems


1. Why is  $f$  not a function from  $\mathbb{R}$  to  $\mathbb{R}$  if

- a)  $f(x) = 1/x$  ?       b)  $f(x) = \sqrt{x}$  ?       c)  $f(x) = \pm\sqrt{x^2+1}$  ? 

2. Determine whether  $f$  is a function from  $\mathbb{Z}$  to  $\mathbb{R}$  if

- a)  $f(n) = \pm n$        b)  $f(n) = \sqrt{n^2+1}$        c)  $f(n) = \frac{1}{n^2-4}$  

3. Find these values

- a)  $\lceil 1.1 \rceil$        b)  $\lceil -0.1 \rceil$        c)  $\lceil 4 \rceil$        d)  $\lfloor 3.2 \rfloor$  

# Functions

## 6 Problems

4. Determine whether each of these functions from  $\{a, b, c, d\}$  to itself is one-to-one (onto)

a)  $f(a) = b, f(b) = a, f(c) = c, f(d) = d$  **đơn ánh, toàn ánh  $\rightarrow$  song ánh**

b)  $f(a) = b, f(b) = b, f(c) = d, f(d) = c$  **ko đơn ánh, ko toàn ánh**

c)  $f(a) = d, f(b) = b, f(c) = c, f(d) = d$  **ko đơn ánh, ko toàn ánh**

5. Determine whether each of these functions from  $\mathbb{Z}$  to  $\mathbb{Z}$  is one-to-one (onto)

a) $f(n) = n - 1$	b) $f(n) = n^2 + 1$	c) $f(n) = n^3$	d) $f(n) = \left\lceil \frac{n}{2} \right\rceil$
<b>song ánh</b>	<b>ko đơn ánh, ko toàn ánh</b>	<b>ko đơn ánh, ko toàn</b>	<b>ko đơn ánh, nhưng toàn ánh</b>



# Functions

6 Problems

7. Determine whether each of these functions is a bijection from  $\mathbb{R}$  to  $\mathbb{R}$ .

**song ánh**

**ko song ánh vì ko đơn ánh**

**song ánh**

**song ánh**

- a)  $f(x) = -3x + 4$     b)  $f(x) = -3x^2 + 7$     c)  $f(x) = (x + 1)/(x + 2)$     d)  $f(x) = x^5 + 1$

8. Let  $S = \{-1, 0, 2, 4, 7\}$ . Find  $f(S)$  if

- a)  $f(x) = 1$     b)  $f(x) = 2x + 1$     c)  $f(x) = \left\lceil \frac{x}{5} \right\rceil$

9. Let  $f$  be the function from  $\mathbb{R}$  to  $\mathbb{R}$  defined by  $f(x) = x^2$ . Find

a)  $f^{-1}(\{1\})$

b)  $f^{-1}(\{x \mid 0 < x < 1\})$

c)  $f^{-1}(\{x \mid x > 4\})$





# Sequences and Summations

## 6 Problems

1. Find these terms of the sequence  $\{a_n\}$ , where  $a_n = 2(-3)^n + 5n$ .

a)  $a_0$               b)  $a_1$               c)  $a_4$               d)  $a_5$

2. What is the term  $a_8$  of the sequence  $\{a_n\}$  if  $a_n$  equals

a)  $2n-1$ ?              b)  $7$ ?              c)  $1 + (-1)^n$ ?              d)  $-(-2)^n$ ?

3. Find the first five terms of the sequence defined by each of these recurrence relations and initial conditions.

a)  $a_n = 6a_{n-1}$ ,  $a_0 = 2$               b)  $a_n = a_{n-1}^2$ ,  $a_1 = 2$               c)  $a_n = a_{n-1} + 3a_{n-2}$ ,  $a_0 = 1$ ,  $a_1 = 2$

# Sequences and Summations

## 6 Problems

5. What are the values of these sums?

a)  $\sum_{k=1}^5 (k+1)$

b)  $\sum_j^4 (j+2)^2$

c)  $\sum_{i=0}^2 \sum_{j=1}^3 (2i-3j)$

d)  $\sum_{i=1}^3 \sum_{j=2}^4 ij$

6. What are the values of these sums, where  $S = \{1, 3, 5, 7\}$ ?

a)  $\sum_{j \in S} \left( j + \frac{1}{j} \right)$

b)  $\sum_{j \in S} j^2$

c)  $\sum_{j \in S} 2$

7. What are the values of the following products?

a)  $\prod_{i=0}^{10} i$

b)  $\prod_{i=1}^{100} (-1)^i$

c)  $\prod_{i=0}^4 i!$



Q&A

*Thank you for listening!*