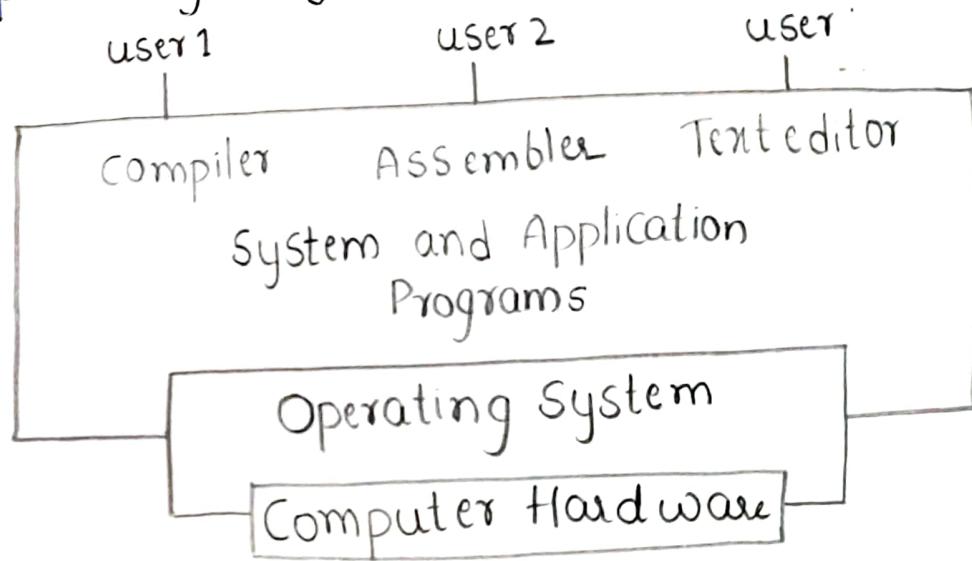


7/1/2020

## UNIT - 1

# Introduction to Operating System And Introduction to UNIX File System

What Operating Systems do?



OS is an interface between computer hardware and software (user)

or

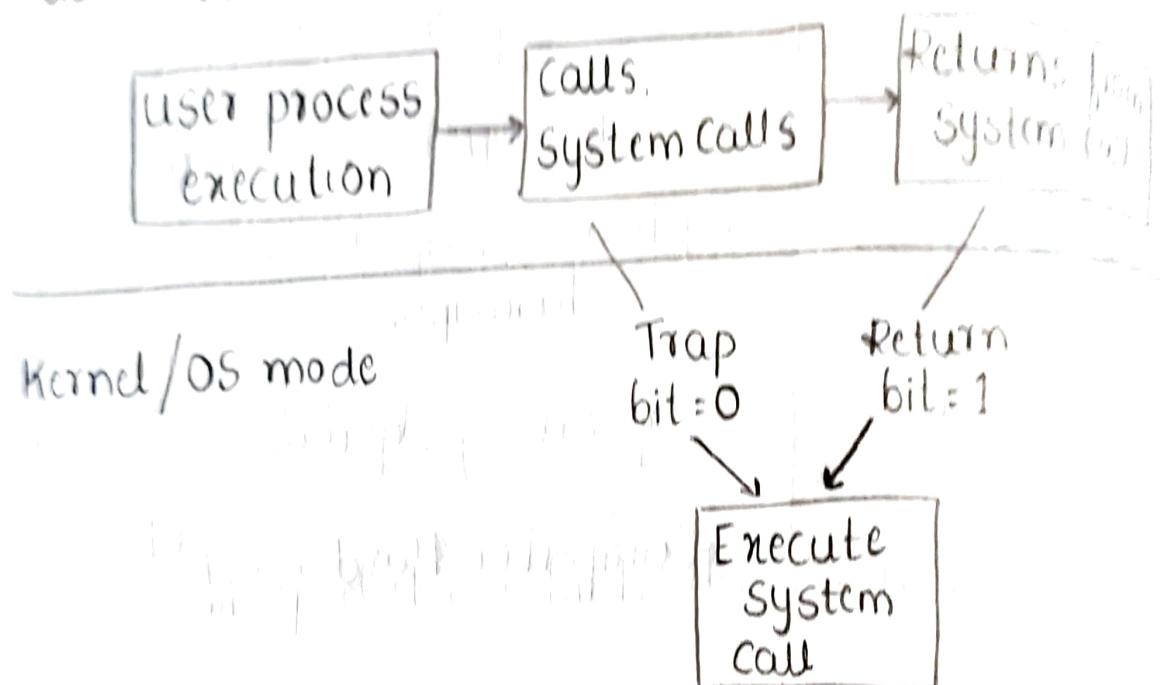
OS provides the means for proper use of or controls and co-ordinator the use of the hardware among the various application programs for the various users

9/1/2020

User view : resource utilization  
System view : resource allocation

## OS operations

User mode



To differentiate OS mode and user mode a mode bit is used, if it is 0 - OS mode

if it is 1 - user mode

Times:

Fixed  $\frac{1}{60}$  sec

Variable - 1ms - 1sec

## OS functions

- Process Management
- Create, delete process
- process synchronisation
- process deadlock
- process communication
- Create, delete user and system processes
- providing mechanisms for process synchronisation
- providing mechanisms for process communication
- providing mechanisms for deadlock handling
- Suspending and resuming processes

[process is the program i.e. currently executing]

- \* Memory Management
  - 1 Track of which part of the memory is used by whom
  - 2 decide which program and data to move in and out of memory
  - 3 allocate and deallocate memory space as and when needed.

## \* Storage Management

- 1 File Management
- 2 Mass Storage Management
- 3 Cache Management (Caching)

## 1 File management

- creating and deleting files
- creating and deleting directories to organise
- Supporting primitives for manipulating files and directories for mapping files onto secondary storage
- backing up of data

## 2 Mass storage Management

- storage allocation
- free space management
- disc scheduling

## 3 Caching

- maintaining data which are cached

## I/O Systems

- General device driver interface
- buffering, caching and spooling of data
- drivers for specific hardware devices

10/1/2020

## Protection and Security

## Distributed System

A distributed system is a collection of physically separate possibly heterogeneous computer systems that are networked to provide the users with access to the various resources that the system maintains.

• LAN - Local Area Network (systems connected with a room)

• WAN - Wide Area Network (systems connected with building)

• MAN - Metropolitan Area Network (systems connected within city/other buildings)

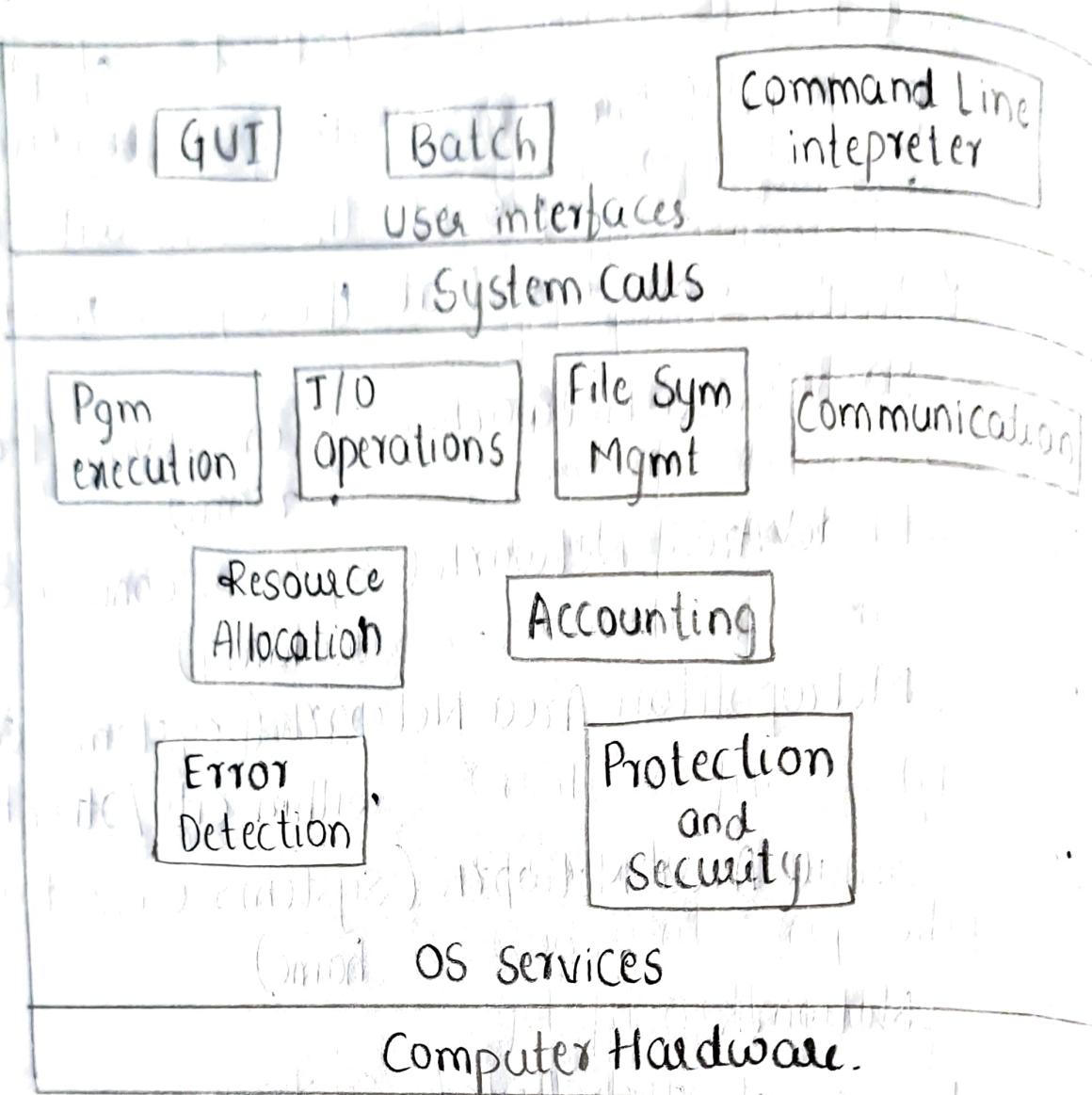
• SAN - Small Area Network (systems connected with home)

• NOS - Network OS

Advantages of Distributed Systems :

- Resource sharing
- increases computation speed
- reliability
- data availability etc.

# Operating System : Services



## System Calls :

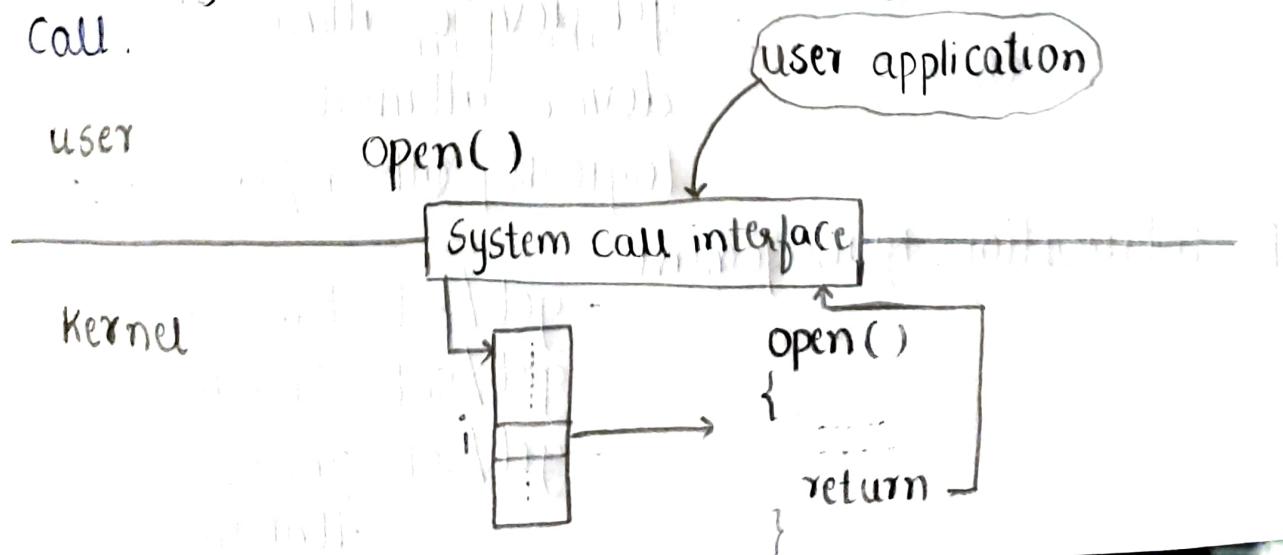
Systems calls are interfaces to operating system services

- \* A sample program to read data from one file and copy them to another file.

- Source file → Destination file
- Acquire i/p file name  
write prompt to screen  
accept i/p file name
  - Acquire o/p file name  
write prompt to screen  
accept o/p file name
  - Open i/p file  
if file does not exist, abort
  - Create o/p file  
if file exists, abort
  - Loop
    - read from i/p file
    - write to o/p file.
  - until read fails.
  - close o/p file.
  - write completion message to screen
  - terminate normally

14/1/2020

- \* Handling a user application invoking open() system call.



## Types of System Calls.

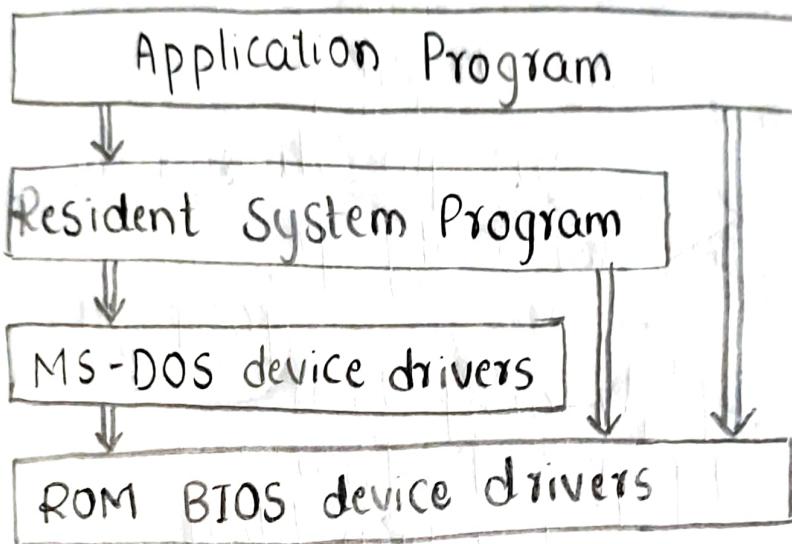
- 1 Process control : It should have system calls
  - start, stop, end, abort
  - load, execute
  - create, terminate
  - get process attributes, set process attributes
  - wait for time,
  - wait for event, signal event
  - allocate, free ~~more~~ memory.
- 2 File management :
  - create a file, delete
  - open, close
  - read, write, reposition
  - get file attributes, set file attributes
- 3 Device Management:
  - request release
  - read, write, reposition
  - get device attributes, set device attributes
  - logically attach / detach device
- 4 Information Management :
  - get / set time, date
  - get / set system data
  - get / set process / file / dev attributes.

## 5 Communications:

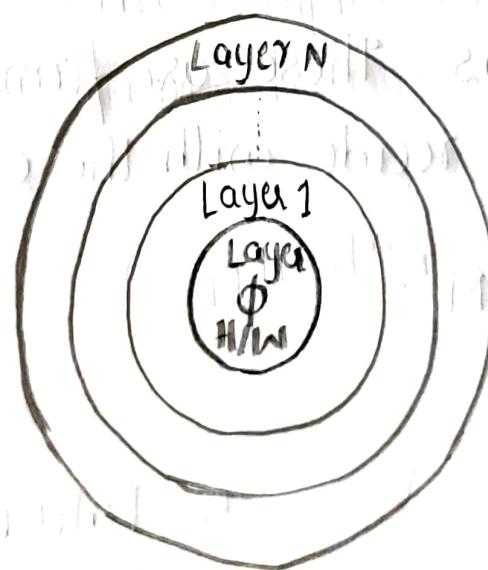
- create, delete communication connectn
- send, receive messages
- transfer status info
- attach / detach remote devices.

## OS Structure

### 1 simple structure: (MS-DOS)



### 2. Layered Structure (UNIX)



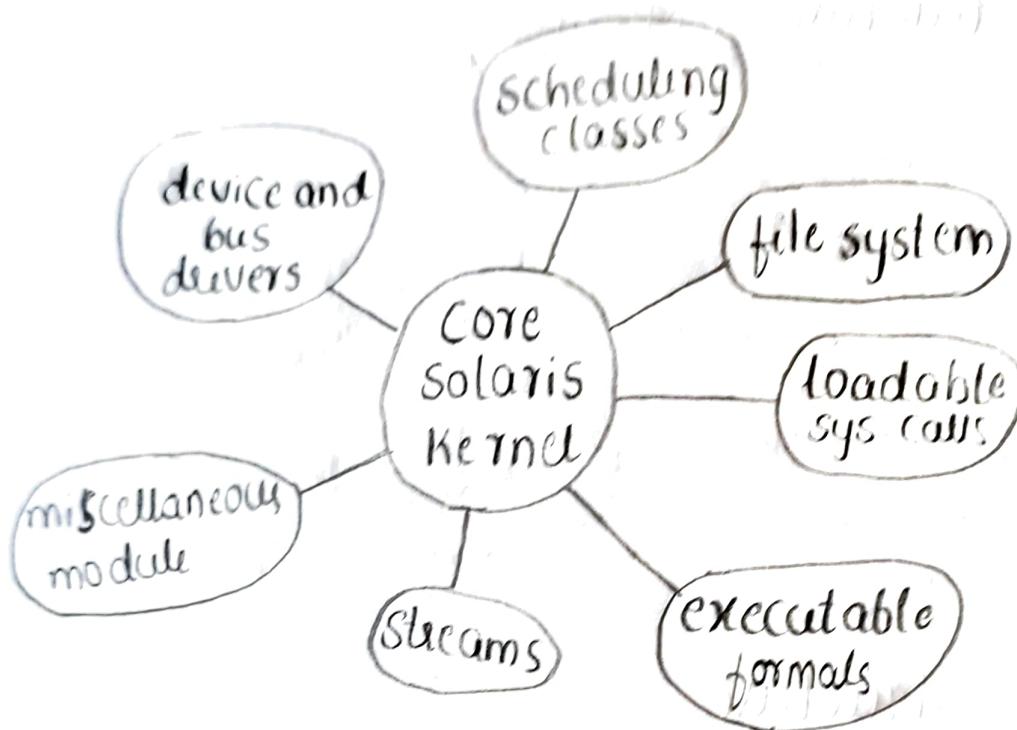
16/1/2020

### 3. MicroKernels : ( Mini - OS )

"msg Passing" , "Message Passing"

with message passing

### 4. Modules : ( OOPS concept is used )

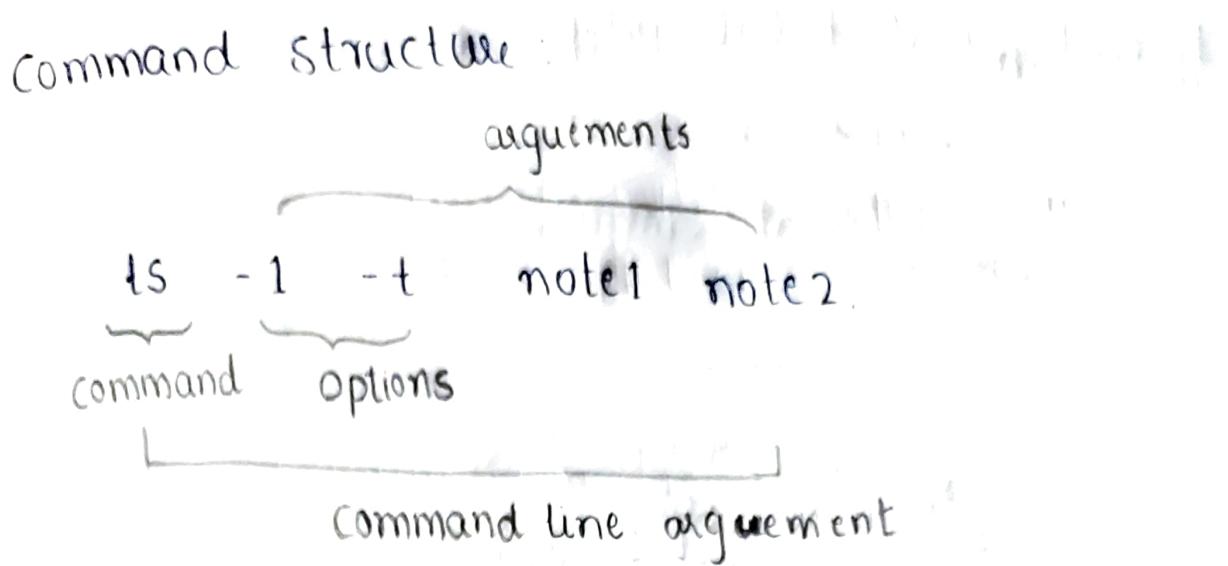


Bootstrap programs : These are firmware, pre-coded with the chip.

## UNIX FILE SYSTEM :

Inside Unix :

1. multi-user
2. multitasking
3. Do one thing well
4. The featureless file
5. Pattern Matching
6. Programming facility
7. Portability and Sys. calls
8. Documentation



17/1/2020

### \* Internal and external commands

ls - /bin or /user/bin

echo - build in

Eg : ls lists all the command

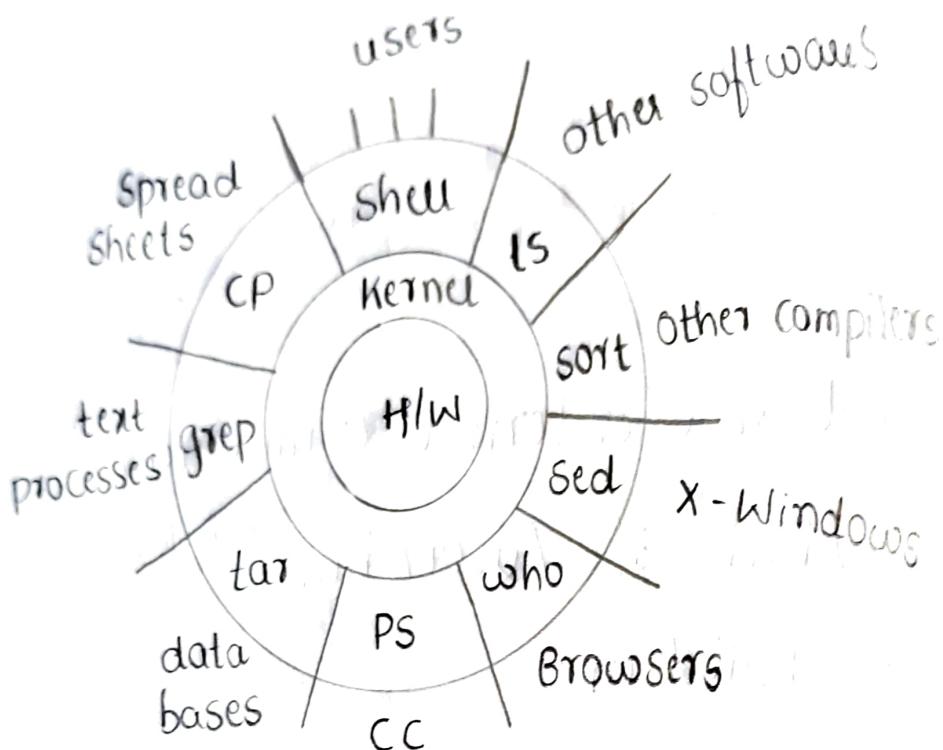
ls -l lists all files added today

ls chap1 chap2

# Kernel, shell Relationship (after bootstrap phase)

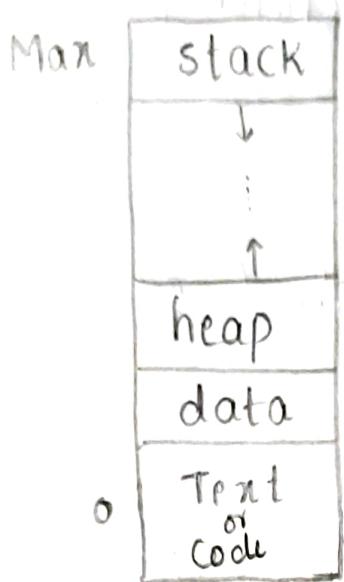
/bin/bash

or file/boot/vmlinuz



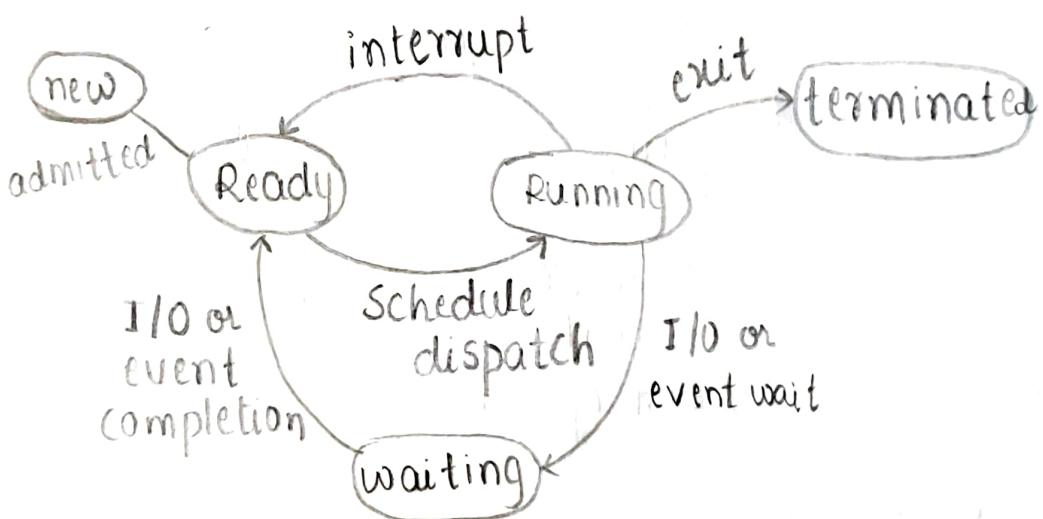
## UNIT-2

\* **Process** : Program which is under execution is called process.



program - passive entity  
process - active entity.

JMP  
1/5M  
Process State Diagram : indicates diff. state of process.



1. new : The process is being created.
2. ready : The process is waiting to be assigned to a processor.
3. running : instructions of the process are being executed

#### 4 Waiting :

The process is waiting for some event to such as an i/o completion or reception of signal or wait for the p child processes to complete execution

#### 5 Terminated :

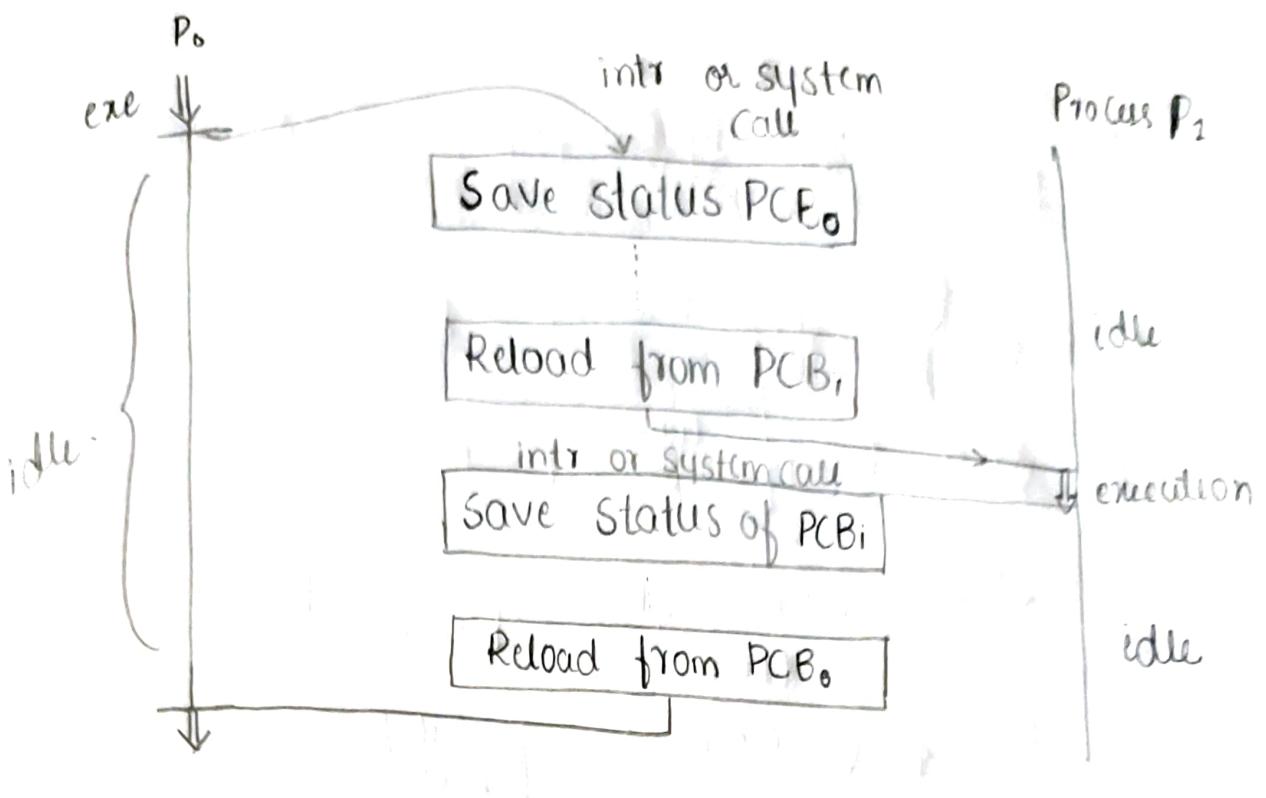
The process has finished execution

18/1/2020

### Process control Block

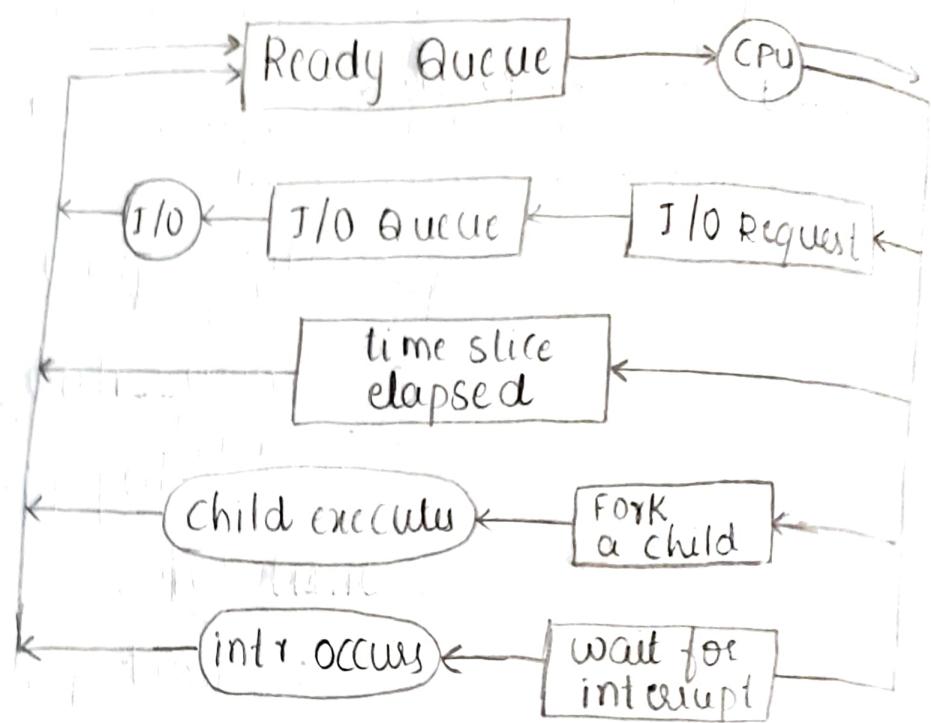
Process state
Process NO
PC
CPU
Registers
Memory limits
List of open files

\* CPU switch from process to process.



- Each process is represented in the OS by a process control block, also called task control block (PCB).
- A PCB contains the following info.
  - i. Process State
  - ii. Process NO.
  - iii. PC
  - iv. CPU Registers
  - v. Memory limits / management info.
  - vi. List of open files / CPU scheduling info
  - vii. Accounting info.
  - viii. I/O Status info.

## \* Scheduling Queues :



Queue Diagram.

Job queue, Ready queue, Device queue

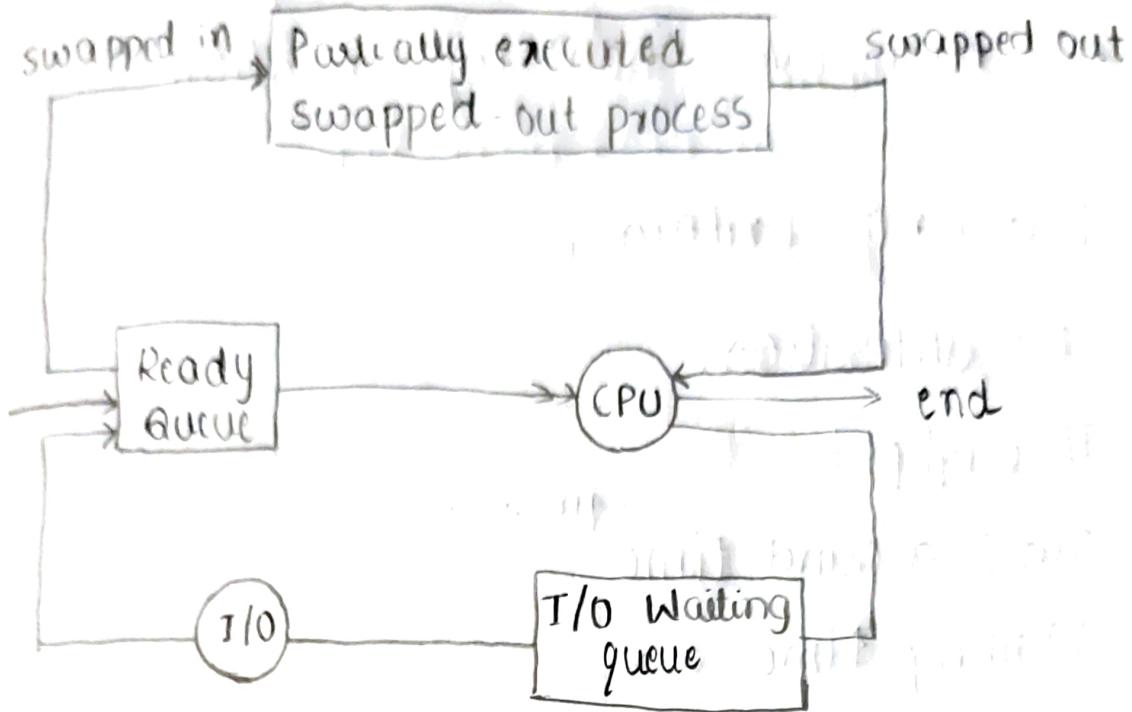
Thread - single flow of control.

21/1/2020 :

## Schedulers :

1. Long-term : It will be scheduled only once
  - It will send process to memory
  - It will control multi-programming.
2. Short term or CPU : It will be scheduled again and again.

## Medium-term scheduler



Context switch : The processor ~~is~~ switching of processor from one process to another, by saving the contents of first process

Instruction which require CPU time are called CPU bound instructions.

Instruction which require use of I/O device are called I/O bound

CPU bound

=====

I/O bound

=====

CPU bound

=====

I/O bound

=====

CPU bound

→ end with CPU bound.

## 2 Major types of scheduling

1. Preemptive : execution with pauses/stop
2. Non-preemptive : non-stop execution.

## \* Scheduling Criteria :

1 CPU utilisation.

2 Throughput - finds out how many processes finished within a time

3 Turn around time

4 Waiting time

5 Response time

1. CPU utilisation: Keeping the CPU busy as much as possible from 0 to 100%.

2. Throughput : The no. of processes that completed per time unit.

3. Turn around time : The time interval from time of submission of a process to the time of completion is turn around time.

4. Waiting time : This is the sum of time spent waiting in the ready queue

5. Response time : This is the time from the submission of a request until the first response is produced for a process.

## Scheduling-Algorithms :

1. First come first served scheduling :

The process that requests the CPU ~~for~~ first is allocated to the CPU first.

23-01-2020.

Process	Burst Time
P <sub>1</sub>	24
P <sub>2</sub>	3
P <sub>3</sub>	3

Gantt Chart

```
graph LR; P1[0 -> P1] -- "24 ms" --> P2[24 -> P2]; P2 -- "3 ms" --> P3[27 -> P3]; P3 -- "3 ms" --> End[30]
```

Waiting time for P<sub>1</sub> = 0 ms.

" " " P<sub>2</sub> = 24 ms

" " " P<sub>3</sub> = 27 ms.

$$\begin{aligned}\text{Avg.WT.} &= (\text{WT}(P_1) + \text{WT}(P_2) + \text{WT}(P_3)) / 3 \\ &= (0 + 24 + 27) / 3 = 17 \text{ ms.}\end{aligned}$$

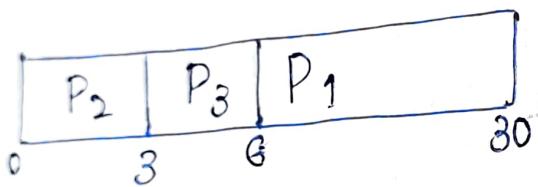
Turnaround time of  $P_1 = 24 \text{ ms}$   
 " "  $P_2 = 27 \text{ ms}$   
 " "  $P_3 = 30 \text{ ms}$

$$\text{Avg TAT} = \frac{(\text{TAT}(P_1) + \text{TAT}(P_2) + \text{TAT}(P_3))}{3}$$

$$= \frac{(24+27+30)}{3}$$

$$= 27 \text{ ms}$$

2.	$P_2$	3
	$P_3$	3
	$P_4$	24



Waiting time of  $P_1 = 6$   
 " " "  $P_2 = 0$   
 " " "  $P_3 = 3$ .

$$\text{Avg: } (6+0+3)/3$$

$$= 3 \text{ ms.}$$

Turnaround time of  $P_1 = 30 \text{ ms}$

$$P_2 = 3$$

$$P_3 = 16$$

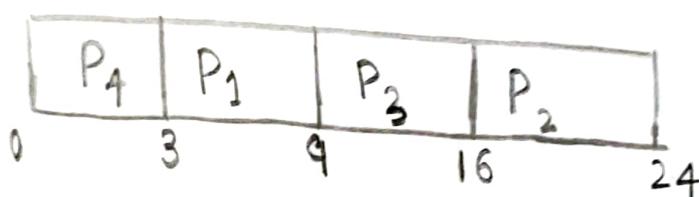
$$\text{Avg Turnaround time} = (80+3+6)/2 = 43.5$$

= 13ms.

## 2. Shortest Job First:

The process which has the shortest CPU burst time will be allocated to the CPU first

Process	Burst
P <sub>1</sub>	6
P <sub>2</sub>	8
P <sub>3</sub>	7
P <sub>4</sub>	3



$$\text{Waiting time of } P_1 = 3$$

$$P_2 = 16$$

$$P_3 = 9$$

$$P_4 = 0$$

$$\text{Avg waiting time} = (3+16+9+0)/4$$

= 7

$$\text{Turnaround time of } P_1 = 9$$

$$P_2 = 24$$

$$P_3 = 16$$

$$P_4 = 3$$

$$\text{turn around time} = (9+24+16+3)/4 \\ \text{Avg. turn around time} = 13.$$

Process      Arrived at  
3. Shortest Remaining Time / Job First.

Process	Arrived time	Burst Time
P <sub>1</sub>	0	8
P <sub>2</sub>	1	4
P <sub>3</sub>	2	9
P <sub>4</sub>	3	5

P <sub>1</sub>	P <sub>2</sub>	P <sub>2</sub>	P <sub>2</sub>	P <sub>4</sub>	P <sub>1</sub>	P <sub>3</sub>
0	1	2	3	5	10	17
P <sub>1</sub> =7	P <sub>3</sub> =9					
P <sub>2</sub> =4	P <sub>2</sub> =3	P <sub>2</sub> =2	P <sub>3</sub> =9	P <sub>3</sub> =9		
		P <sub>3</sub> =9	P <sub>3</sub> =9	P <sub>4</sub> =5		
				P <sub>4</sub> =5		

$$\text{Waiting time of } P_1 = 10 - 9 = 1$$

$$P_2 = (1 - 1) = 0$$

$$\text{Waiting time of } P_3 = (17 - 2) = 15$$

$$P_4 = (5 - 3) = 2$$

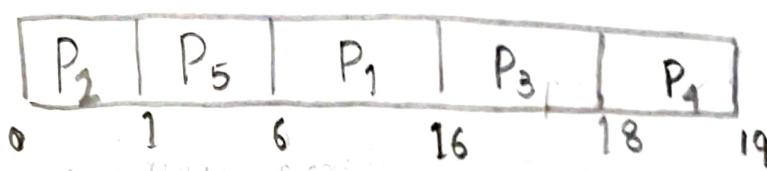
$$\text{Avg waiting time} = \frac{9+0+15+2}{4} = 6.5$$

Turnaround time = completion time - arrival time

Waiting time = turnaround time - burst time.

#### 4. Priority Scheduling

Process	Priority	Burst Time
P <sub>1</sub>	3	10
P <sub>2</sub>	1	1
P <sub>3</sub>	4	2
P <sub>4</sub>	5	1
P <sub>5</sub>	2	5



$$WT \text{ for } P_1 = 6$$

$$P_2 = 0$$

$$P_3 = 16$$

$$P_4 = 18$$

$$P_5 = 1$$

$$\text{Avg. WT} = (6+0+16+18+1)/5 = 8.2 \text{ ms.}$$

$$\text{Turnaround time of } P_1 = 16$$

$$P_2 = 1$$

$$P_3 = 18$$

$P_4 = 19$

$P_5 = 6$

$$\text{Avg. Turn around time} = \frac{(16 + 1 + 18 + 19 + 6)}{5}$$

$$= 12 \text{ ms.}$$

## 5. Round Robin Scheduling:

In this algorithm every process is given a fixed small unit of time to execute as it arrives into the ready queue.

24-1-2020

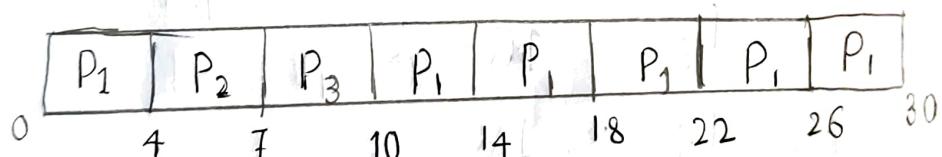
Eg: Process      CPU burst time.

$P_1$       24

$P_2$       3

$P_3$       3

Time quantum: 4



$$\text{WT of } P_1 : (10 - 4) = 6$$

$$P_2 = 4$$

$$P_3 = 7$$

$$\text{Avg WT} = (6+4+7)/3$$

$$= 17/3$$

$$= 5.6 \text{ ms}$$

$$\text{Turn around time: } T_1 = 30$$

$$T_2 = 7$$

$$T_3 = 10$$

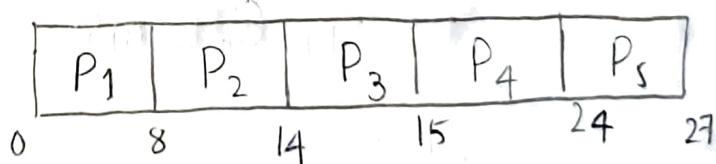
$$\text{Avg TAT} = (30+7+10)/3$$

$$= 15.6 \text{ ms.}$$

Process	CPU burst	Priority	Arrival Time
P <sub>1</sub>	8	4	0
P <sub>2</sub>	6	1	1
P <sub>3</sub>	1	2	2
P <sub>4</sub>	9	2	3
P <sub>5</sub>	3	3	4

FCFS :  2 ms

WT for P<sub>1</sub>



WT of P <sub>1</sub>	0	TAT of P <sub>1</sub>	8
P <sub>2</sub>	8	P <sub>2</sub>	14
P <sub>3</sub>	14	P <sub>3</sub>	15
P <sub>4</sub>	15	P <sub>4</sub>	24
P <sub>5</sub>	24	P <sub>5</sub>	27

$$\text{Avg WT} = (0 + 8 + 14 + 15 + 24) / 5$$

$$= 12.2 \quad \text{Avg TAT} = 88 / 5 = 17.6$$

shortest job first

## 2. SJF

P <sub>3</sub>	P <sub>5</sub>	P <sub>2</sub>	P <sub>1</sub>	P <sub>4</sub>
10	4	14	10	18

$$\text{WT of P}_1 = 10$$

$$P_2 = 4$$

$$P_3 = 0$$

$$P_4 = 18$$

$$P_5 = 1$$

$$\text{Avg WT of P} = (10 + 4 + 0 + 18 + 1) / 5$$

$$= 6.6 \text{ ms.}$$

sum around time of  $P_1$  = 10

$$P_1 + P_2 + P_3 + P_4 = 10$$

$$P_1 = 1$$

$$P_2 = 2$$

$$P_3 = 4$$

$$P_4 = 3$$

$$\text{Avg WT} = (18+10+12+4)/4$$

$(18+10+12+4)/4 = 12$

avg waiting time

SR II

	$P_1$	$P_2$	$P_3$	$P_4$	$P_5$	$P_6$	$P_7$	$P_8$	$P_9$	$P_{10}$	$P_{11}$	$P_{12}$
0	1	2	3	4	5	6	7	8	9	10	11	12
$P_1$	$P_1 \cdot 1$	$P_1 \cdot 2$	$P_1 \cdot 3$	$P_1 \cdot 4$	$P_1 \cdot 5$	$P_1 \cdot 6$	$P_1 \cdot 7$	$P_1 \cdot 8$	$P_1 \cdot 9$	$P_1 \cdot 10$	$P_1 \cdot 11$	$P_1 \cdot 12$
$P_2$	$P_2 \cdot 1$	$P_2 \cdot 2$	$P_2 \cdot 3$	$P_2 \cdot 4$	$P_2 \cdot 5$	$P_2 \cdot 6$	$P_2 \cdot 7$	$P_2 \cdot 8$	$P_2 \cdot 9$	$P_2 \cdot 10$	$P_2 \cdot 11$	$P_2 \cdot 12$
$P_3$	$P_3 \cdot 1$	$P_3 \cdot 2$	$P_3 \cdot 3$	$P_3 \cdot 4$	$P_3 \cdot 5$	$P_3 \cdot 6$	$P_3 \cdot 7$	$P_3 \cdot 8$	$P_3 \cdot 9$	$P_3 \cdot 10$	$P_3 \cdot 11$	$P_3 \cdot 12$
$P_4$	$P_4 \cdot 1$	$P_4 \cdot 2$	$P_4 \cdot 3$	$P_4 \cdot 4$	$P_4 \cdot 5$	$P_4 \cdot 6$	$P_4 \cdot 7$	$P_4 \cdot 8$	$P_4 \cdot 9$	$P_4 \cdot 10$	$P_4 \cdot 11$	$P_4 \cdot 12$
$P_5$	$P_5 \cdot 1$	$P_5 \cdot 2$	$P_5 \cdot 3$	$P_5 \cdot 4$	$P_5 \cdot 5$	$P_5 \cdot 6$	$P_5 \cdot 7$	$P_5 \cdot 8$	$P_5 \cdot 9$	$P_5 \cdot 10$	$P_5 \cdot 11$	$P_5 \cdot 12$
$P_6$	$P_6 \cdot 1$	$P_6 \cdot 2$	$P_6 \cdot 3$	$P_6 \cdot 4$	$P_6 \cdot 5$	$P_6 \cdot 6$	$P_6 \cdot 7$	$P_6 \cdot 8$	$P_6 \cdot 9$	$P_6 \cdot 10$	$P_6 \cdot 11$	$P_6 \cdot 12$
$P_7$	$P_7 \cdot 1$	$P_7 \cdot 2$	$P_7 \cdot 3$	$P_7 \cdot 4$	$P_7 \cdot 5$	$P_7 \cdot 6$	$P_7 \cdot 7$	$P_7 \cdot 8$	$P_7 \cdot 9$	$P_7 \cdot 10$	$P_7 \cdot 11$	$P_7 \cdot 12$
$P_8$	$P_8 \cdot 1$	$P_8 \cdot 2$	$P_8 \cdot 3$	$P_8 \cdot 4$	$P_8 \cdot 5$	$P_8 \cdot 6$	$P_8 \cdot 7$	$P_8 \cdot 8$	$P_8 \cdot 9$	$P_8 \cdot 10$	$P_8 \cdot 11$	$P_8 \cdot 12$
$P_9$	$P_9 \cdot 1$	$P_9 \cdot 2$	$P_9 \cdot 3$	$P_9 \cdot 4$	$P_9 \cdot 5$	$P_9 \cdot 6$	$P_9 \cdot 7$	$P_9 \cdot 8$	$P_9 \cdot 9$	$P_9 \cdot 10$	$P_9 \cdot 11$	$P_9 \cdot 12$
$P_{10}$	$P_{10} \cdot 1$	$P_{10} \cdot 2$	$P_{10} \cdot 3$	$P_{10} \cdot 4$	$P_{10} \cdot 5$	$P_{10} \cdot 6$	$P_{10} \cdot 7$	$P_{10} \cdot 8$	$P_{10} \cdot 9$	$P_{10} \cdot 10$	$P_{10} \cdot 11$	$P_{10} \cdot 12$
$P_{11}$	$P_{11} \cdot 1$	$P_{11} \cdot 2$	$P_{11} \cdot 3$	$P_{11} \cdot 4$	$P_{11} \cdot 5$	$P_{11} \cdot 6$	$P_{11} \cdot 7$	$P_{11} \cdot 8$	$P_{11} \cdot 9$	$P_{11} \cdot 10$	$P_{11} \cdot 11$	$P_{11} \cdot 12$
$P_{12}$	$P_{12} \cdot 1$	$P_{12} \cdot 2$	$P_{12} \cdot 3$	$P_{12} \cdot 4$	$P_{12} \cdot 5$	$P_{12} \cdot 6$	$P_{12} \cdot 7$	$P_{12} \cdot 8$	$P_{12} \cdot 9$	$P_{12} \cdot 10$	$P_{12} \cdot 11$	$P_{12} \cdot 12$

Waiting time of  $P_1$  :  $11 \cdot 1 + 10$

$$P_1 = \frac{1}{1+3} = \frac{1}{4}$$

$$P_2 = 0$$

$$P_3 = \frac{1}{8}$$

$$P_4 = \frac{1}{1+3} = \frac{1}{4}$$

$$P_5 = 0$$

$$\text{Avg WT} = (10+1+\frac{15}{4})/5 = 5.8$$

$$TAT \text{ of } P_1 = 18 - 0 = 18$$

Avg WT  
9.6

$$P_2 = 11 - 1 = 10$$

5

$$P_3 = 3 + 2 = 1$$

$$P_4 = 27 - 3 = 24$$

$$P_5 = 7 - 4 = 3$$

$$\text{Avg TAT} = (18 + 10 + 1 + 24 + 3) / 5 \\ = 11.2 \text{ ms}$$

## 4 Priority

P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>	P <sub>1</sub>
0	6	7	16	19

$$WT \text{ of } P_1 = 19$$

$$P_2 = 0$$

$$P_3 = 6$$

$$P_4 = 7$$

$$P_5 = 16$$

$$TAT \text{ of } P_1 = 27$$

$$P_2 = 6$$

$$P_3 = 7$$

$$P_4 = 16$$

$$P_5 = 19$$

$$\text{Avg WT} = (19 + 0 + 6 + 7 + 16) / 5$$

$$= 9.6 \text{ ms}$$

$$\text{Avg TAT} = (27 + 6 + 7 + 16 + 19)$$

$$= 15.$$

## 5 Round Robin

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>4</sub>	P <sub>5</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>4</sub>
2	4	5	7	9	11	13	15	16	18	20	22

P <sub>1</sub>	P <sub>4</sub>	P <sub>4</sub>
22	24	26

$$\text{WT of } P_1 = (9-2) + (16-11) + (22-18) \\ = 7 + 5 + 4 = 16$$

$$P_2 = 2 + (11-4) + (18-13) \\ = 2 + 7 + 5 \\ = 14$$

$$P_3 = 4$$

$$P_4 = 5 + (13-7) + (20-15) + (26-22) \\ = 5 + 20$$

$$P_5 = 7 + (15-9) \\ = 13$$

$$\text{Avg WT} = (16 + 14 + 4 + 20 + 13) / 5 = 13.4$$

$$\text{TAT of } P_1 = 24$$

$$P_2 = 20$$

$$P_3 = 5$$

$$P_4 = 27$$

$$P_5 = 16$$

$$\text{Avg TAT} = (24 + 20 + 5 + 27 + 16) / 5 = 18.4$$

6/2/2020

## UNIT - 3

### PROCESS SYNCHRONISATION

- Co-operating process

producer code

```
while (true)
{
    while (counter <= Buffer-size);
    Buffer[in] = next-produced;
    in = (in+1) % Buffer-size;
    Counter++;
}
```

Consumer Code

```
while (true)
{
    while (Counter == 0);
    next_consumed = Buffer[out];
    out = (out+1) % Buffer-size;
    Counter--;
}
```

A situation where several processes access and manipulate the same data concurrently and the outcome of the execution depends on the particular order in which the access takes place is called race condition

Critical Section:

Each process has a segment of code called critical section in which the process may be changing common variables, updating a table, writing a file and so on

Critical section problem:

General structure:

do {

Entry section

Critical section;

Exit Section

Remainder section ;

} while (true);

1 Critical section must specify the requirements as stated below:

1 Mutual exclusion : If process  $P_i$  is executing its critical section, then no other processes can be executing in their critical sections.

2 Progress : If no process is executing in its critical section and some processes wish to enter their critical sections then only classes that are not executing in this remainder section can participate in the decision on which will enter next.

3 Bounded waiting : There exists a bound or limit on the no. of times that other processes are allowed to enter their critical sections after a process makes a request.

7/2/2020

## \* Approaches

1. Preemptive kernels - good for co-operating process
2. Non-Preemptive kernels.

## \* Peterson's solution.

Process Structure  $P_i$  : int turn, boolean flag;

do {

```
flag[i] = TRUE ;  
turn = j  
while(flag[j] && (turn == j));
```

critical section

```
flag[i] = FALSE ;
```

remainder section ;

```
} while(TRUE);
```

## Synchronisation Hardware :

```
do {
```

```
    Acquire Lock
```

```
    Critical condition;
```

```
    Release Lock
```

```
    Remainder section;
```

```
} while(TRUE);
```

8/2/2020

Semaphores :

```
wait(s)
```

```
{
```

```
    while(s <= φ);
```

```
    s--;
```

```
}
```

```
signal(s)
```

```
{
```

```
    s++;
```

```
}
```

Two types :

- i. Binary - counts only bet<sup>n</sup> 0 and 1 - generally used for implementing processes
- ii. Counting - used for resources/instances attached to the system

\* Mutual Exclusion implementation using Binary Semaphore.

do {

    waiting(mutex);

    Critical Section;

    signal(mutex);

    remainder section;

} while(TRUE);

A Semaphore 's' is an integer variable that apart from initialisation ~~whose~~ is accessed only through 2 standard atomic operations wait and signal

wait is represented as P → to test

signal . . . . . V → increment.