

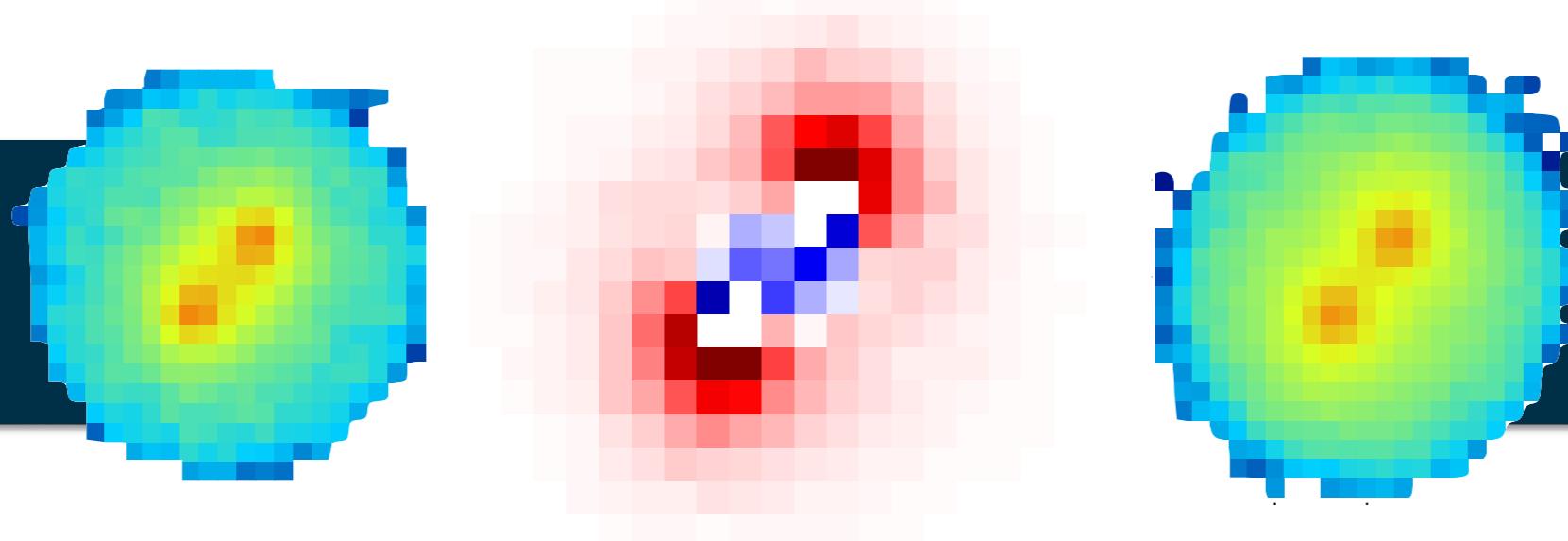
# *Modern Machine Learning*

for Classification, Regression,  
and Generation in Jet Physics



Benjamin Nachman

*Lawrence Berkeley National Laboratory*



*CERN Data Science Seminar, November 14, 2017*

# High Energy Physics at the LHC

*Center-of-mass energy = 13 TeV*



Run: 302347

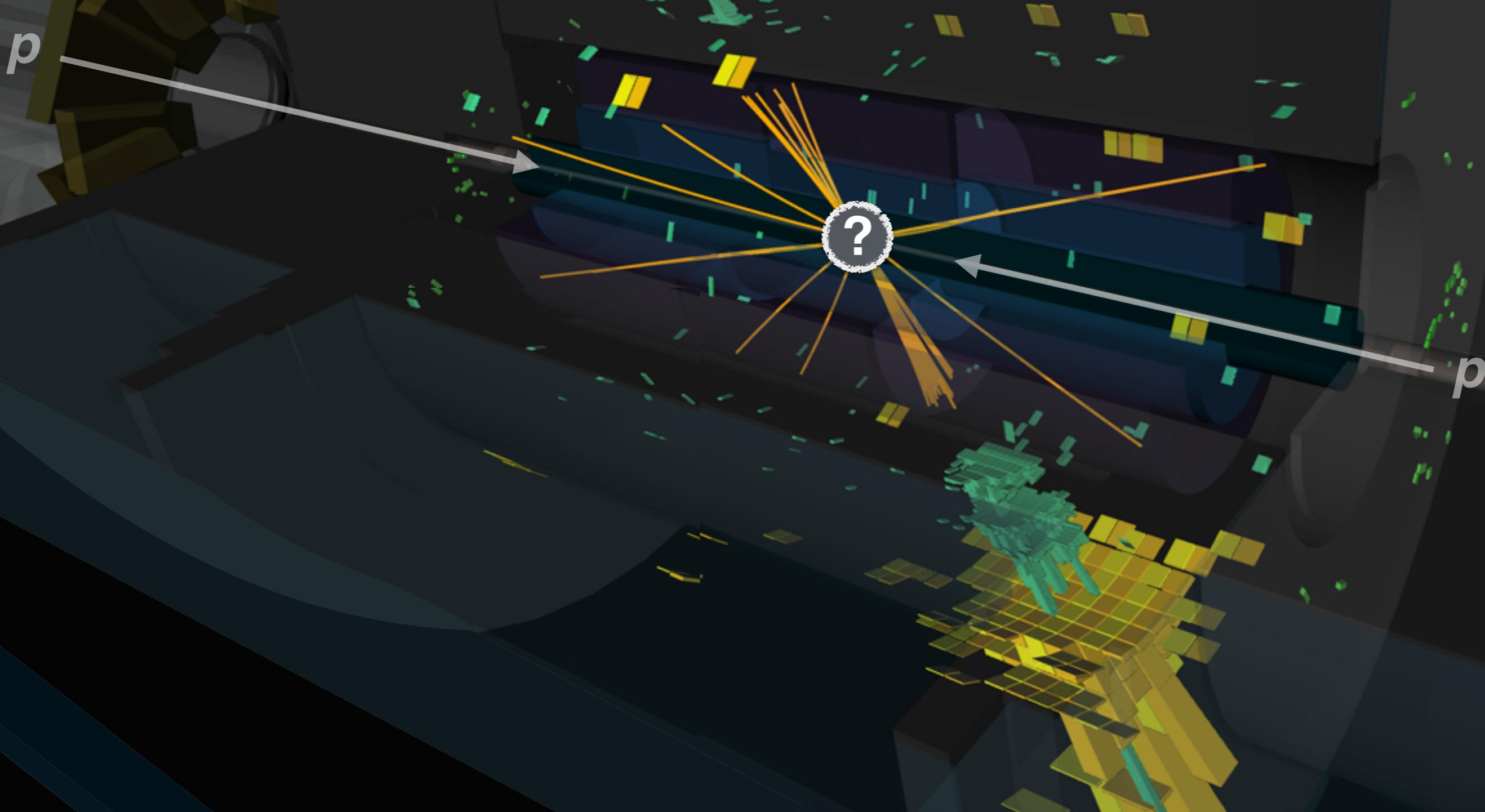
Event: 753275626

2016-06-18 18:41:48 CEST

Credit: All collision event displays from the **ATLAS** Collaboration

# High Energy Physics at the LHC

One of the critical goals of the LHC is to identify new, massive particles



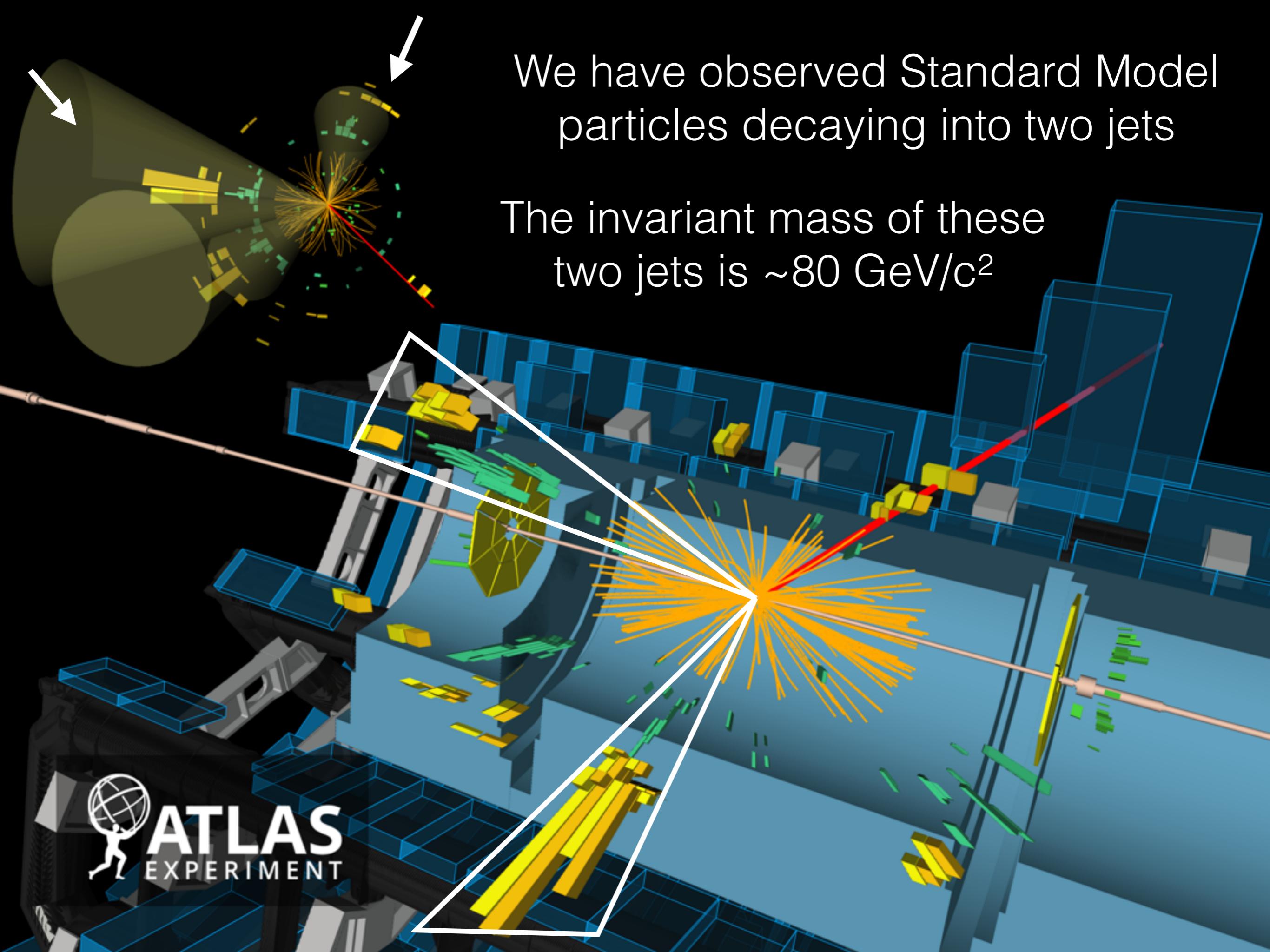
# High Energy Physics at the LHC

One of the critical goals of the LHC is to identify new, massive particles

$p$

$p$

The decay of the new particles often result in **jets**



We have observed Standard Model particles decaying into two jets

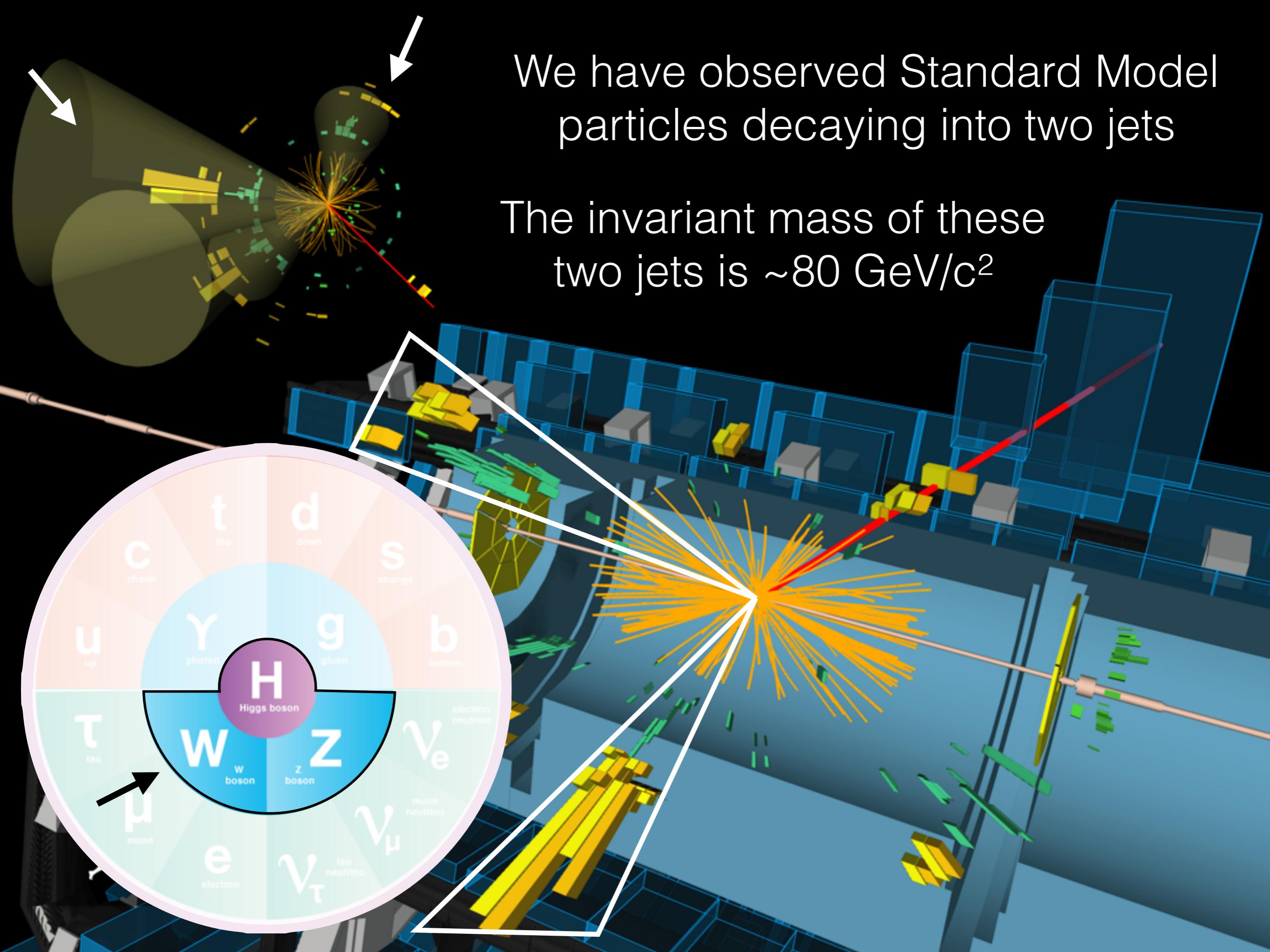
The invariant mass of these two jets is  $\sim 80 \text{ GeV}/c^2$



**ATLAS**  
EXPERIMENT

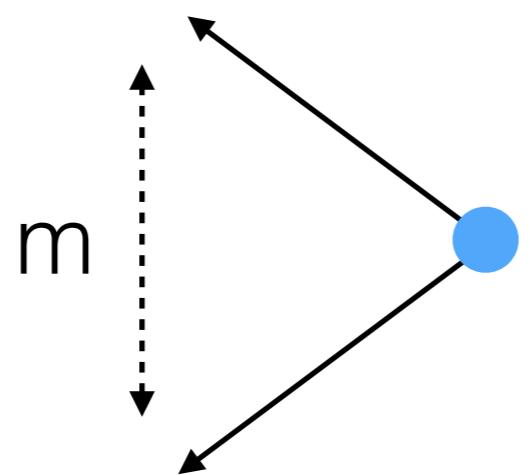
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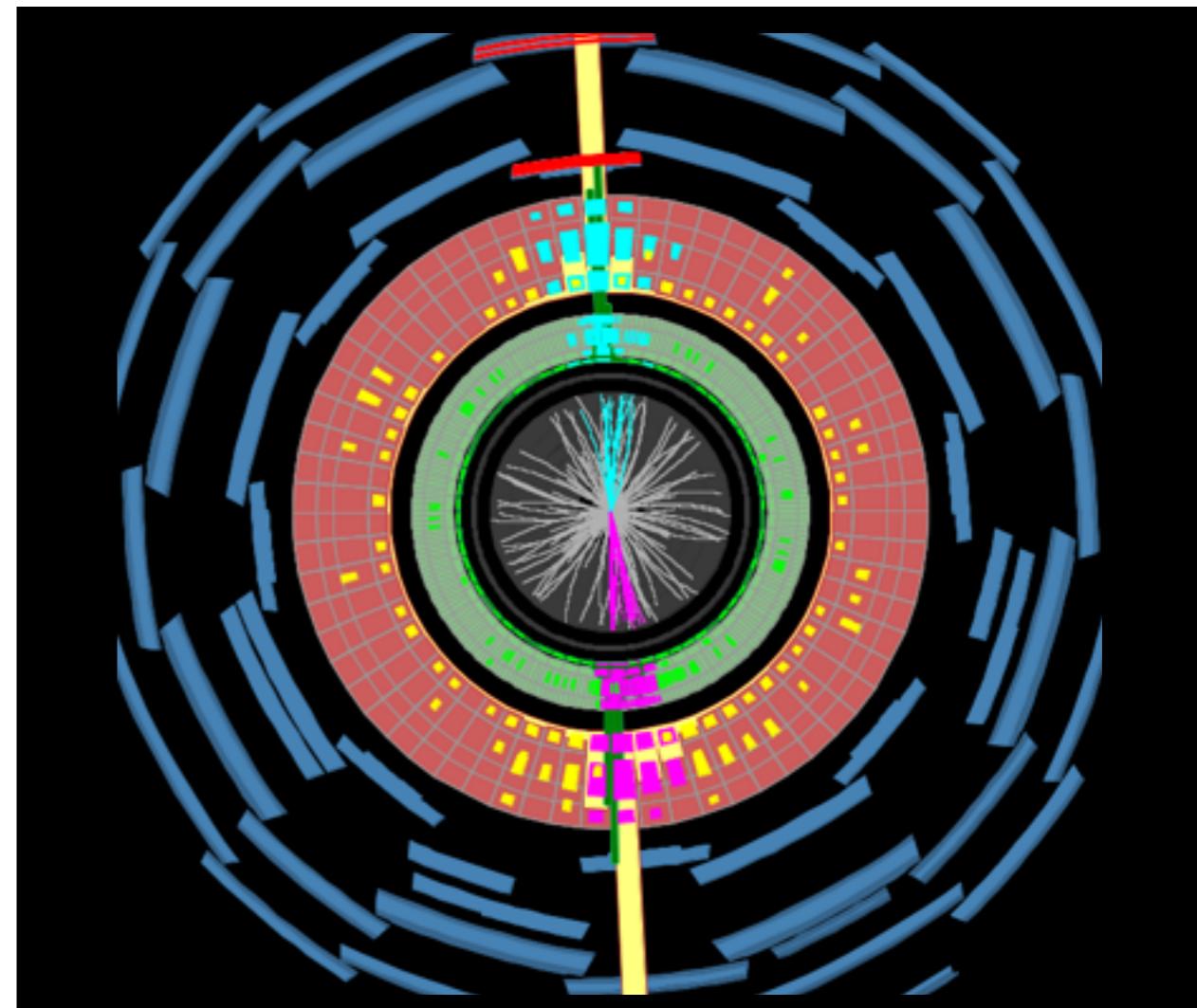
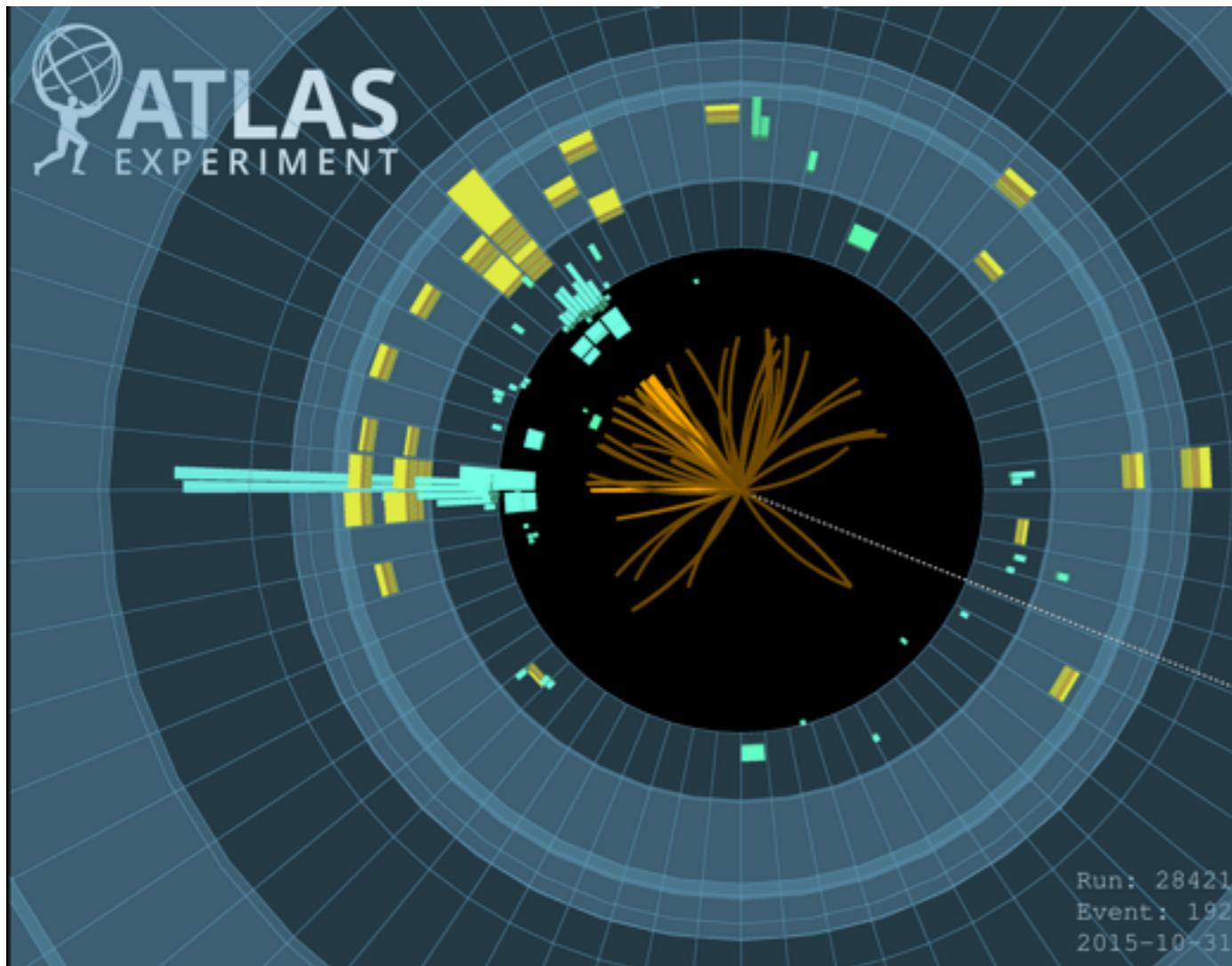
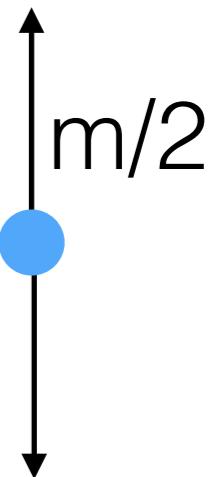


# What if you take one of those SM dijet resonances and Lorentz boost it?

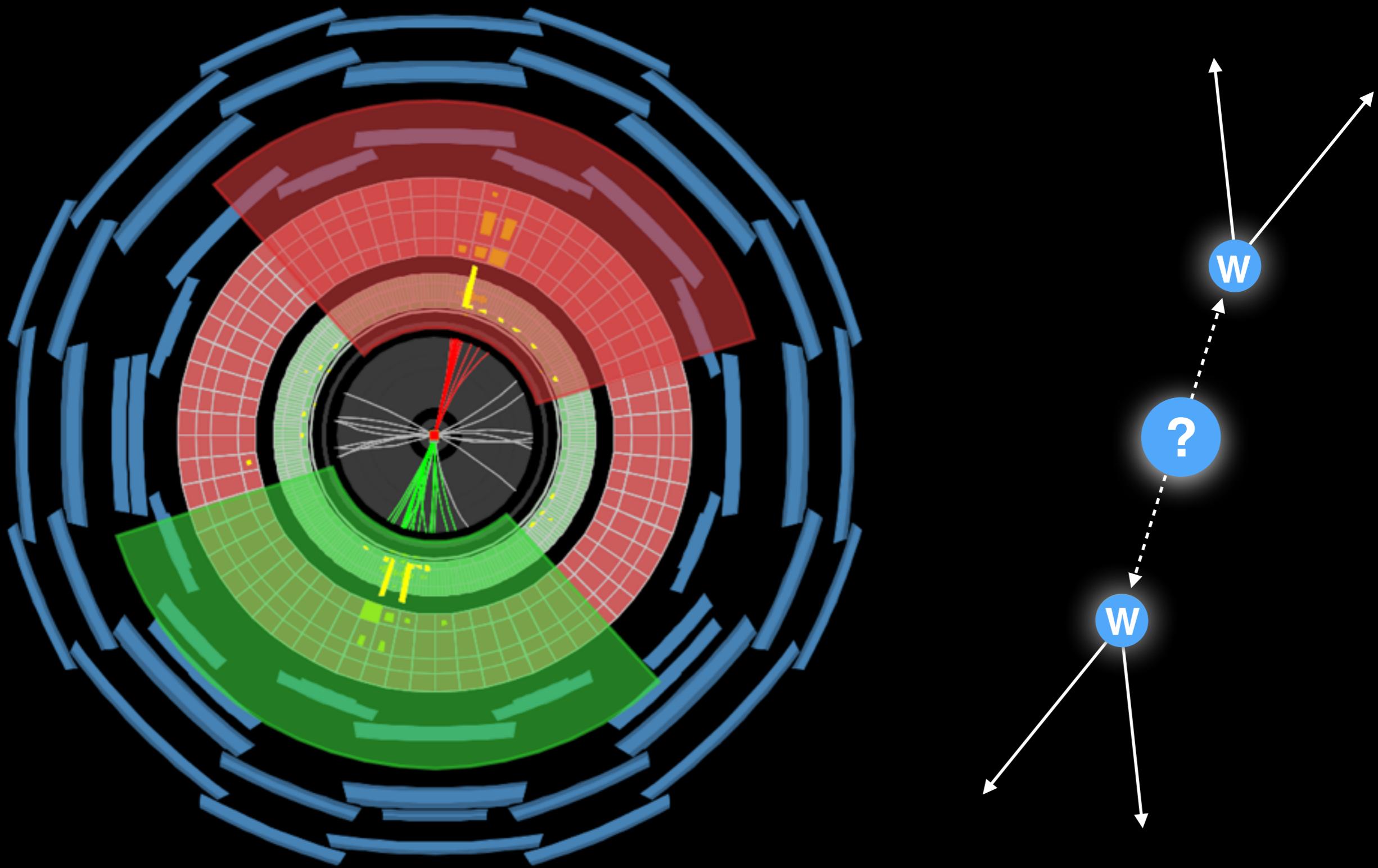
$$\phi \sim 1/\gamma = m/E$$



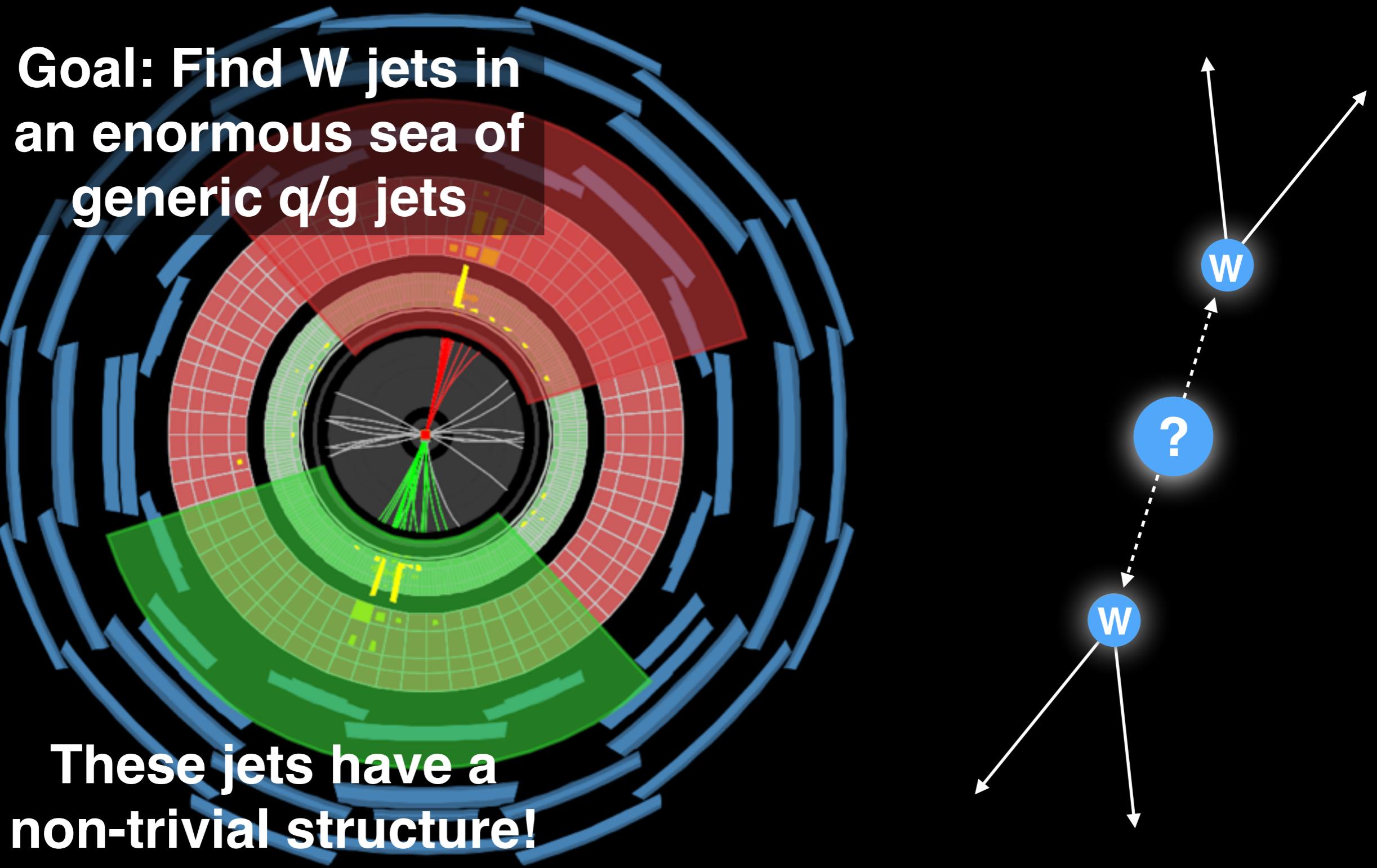
$$\gamma = E/m$$

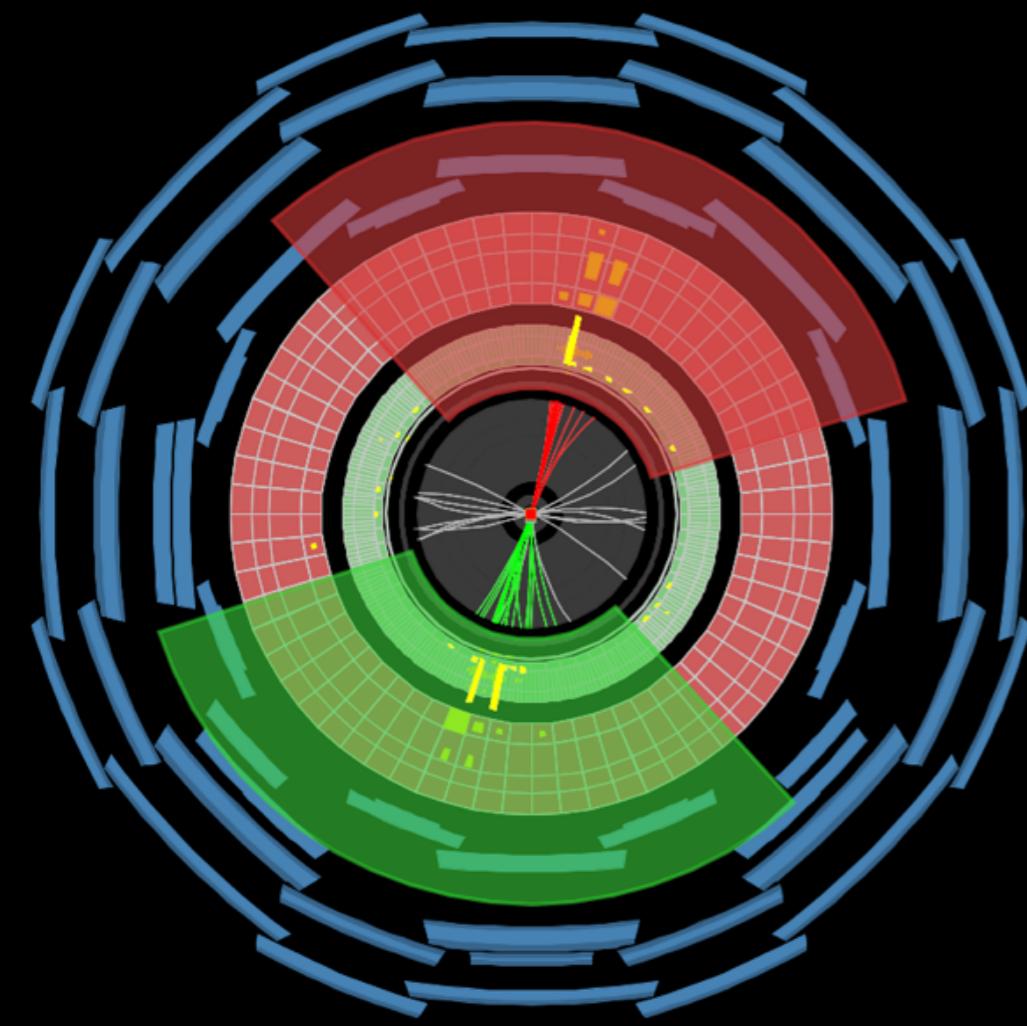


W bosons are naturally boosted if they result from the decay of something even heavier



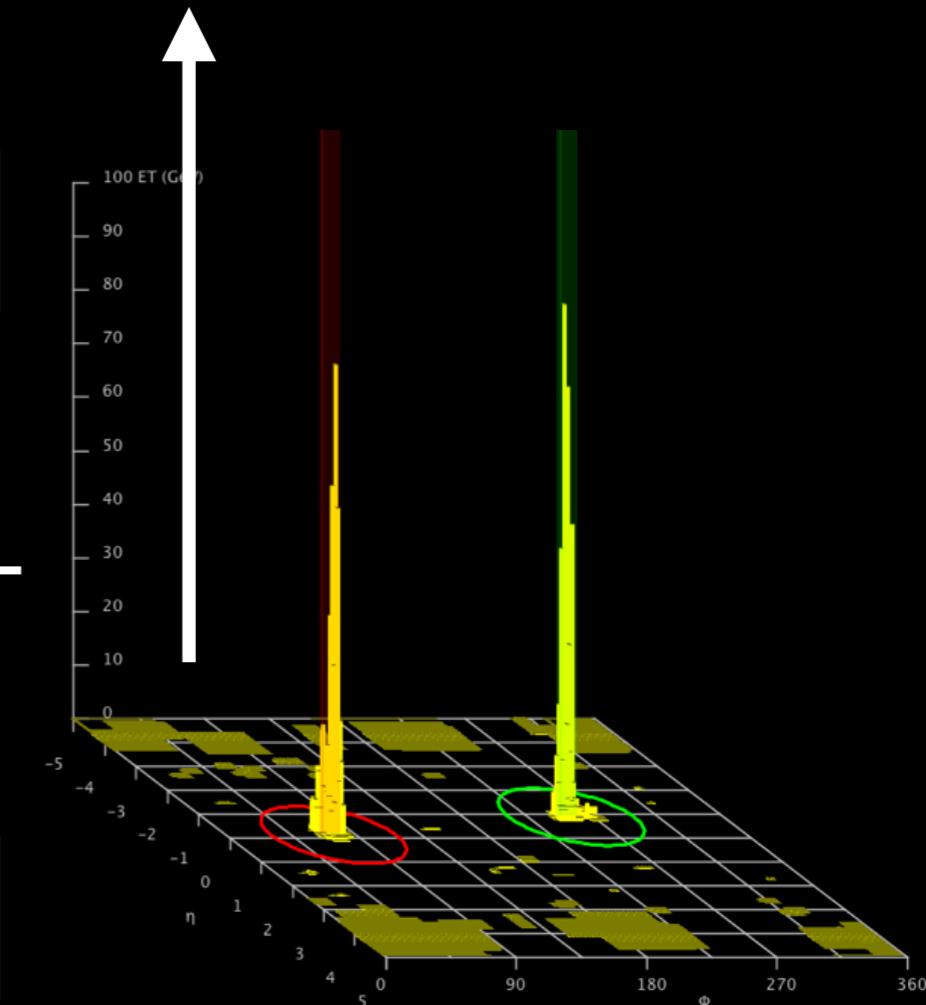
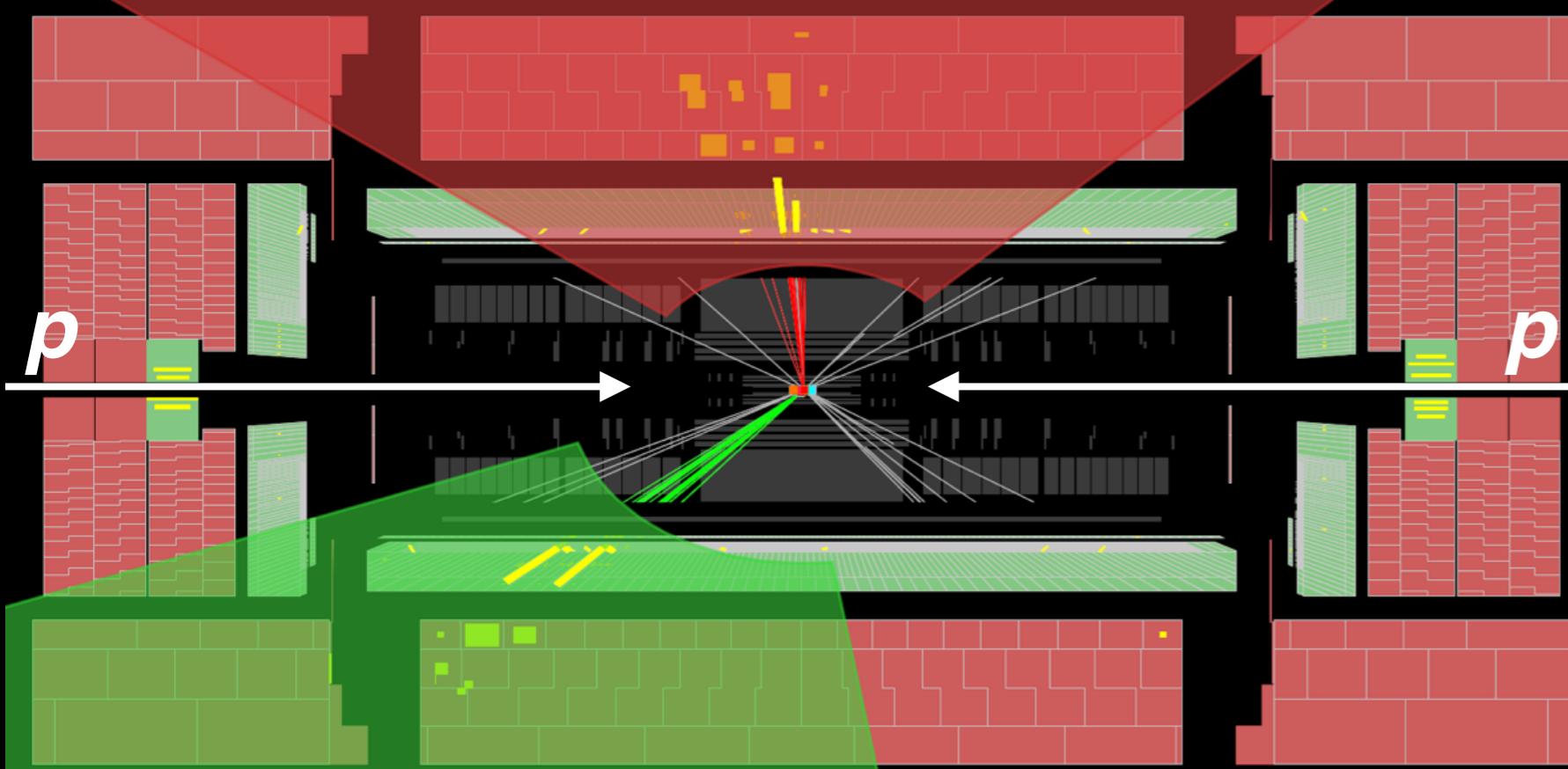
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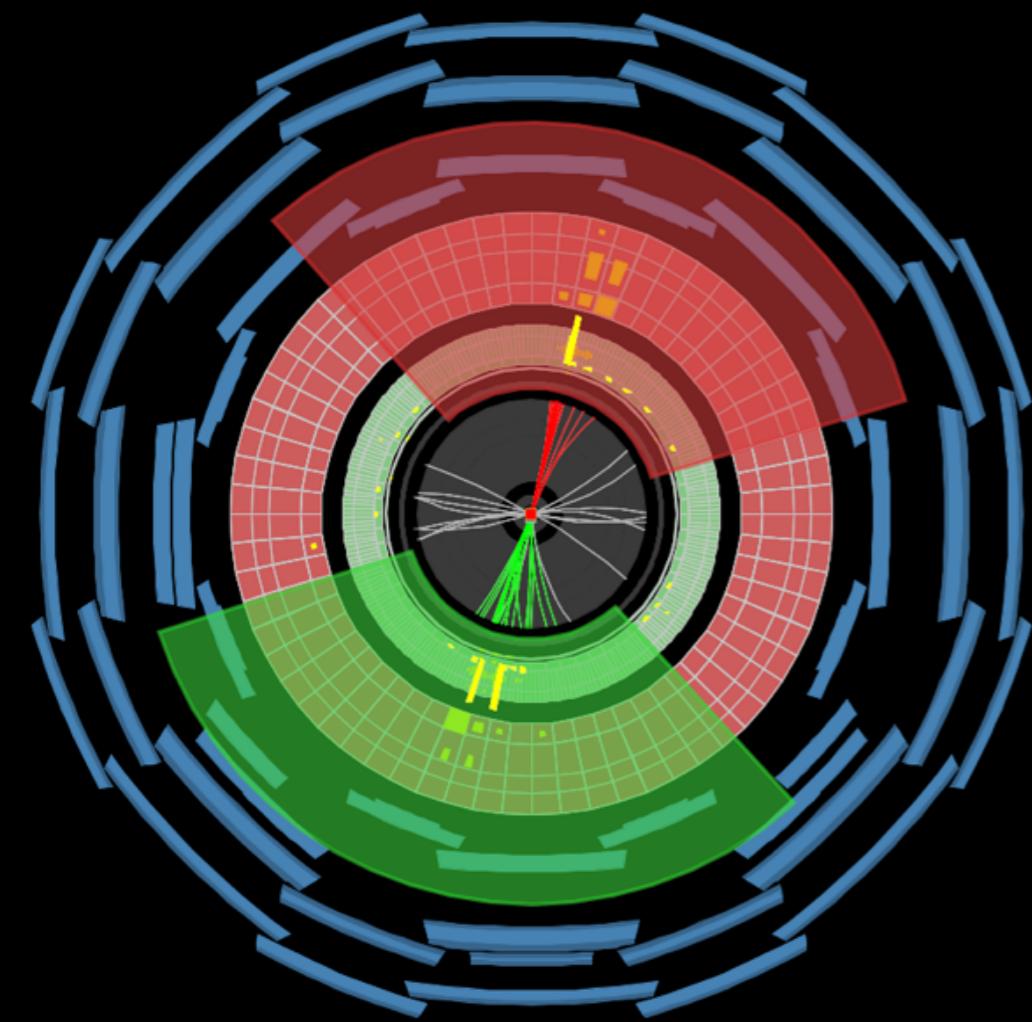


Searching for new particles decaying into boosted W bosons requires **looking at the radiation pattern inside jets**

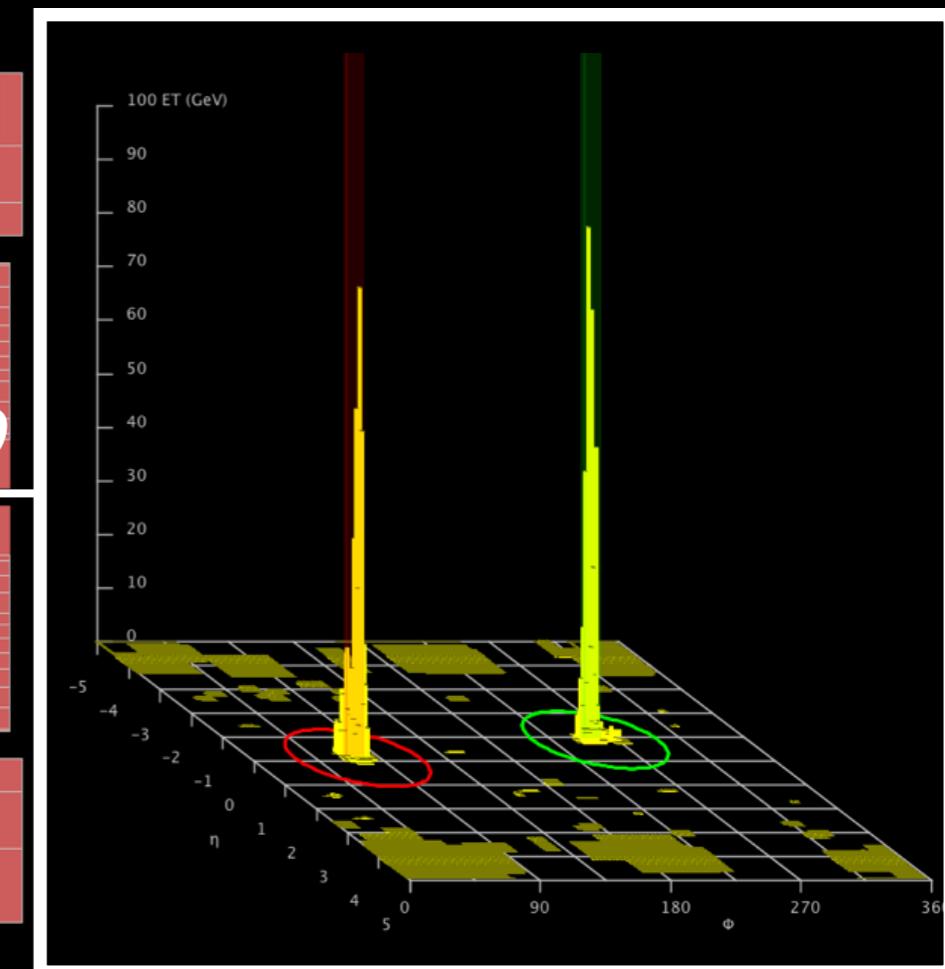
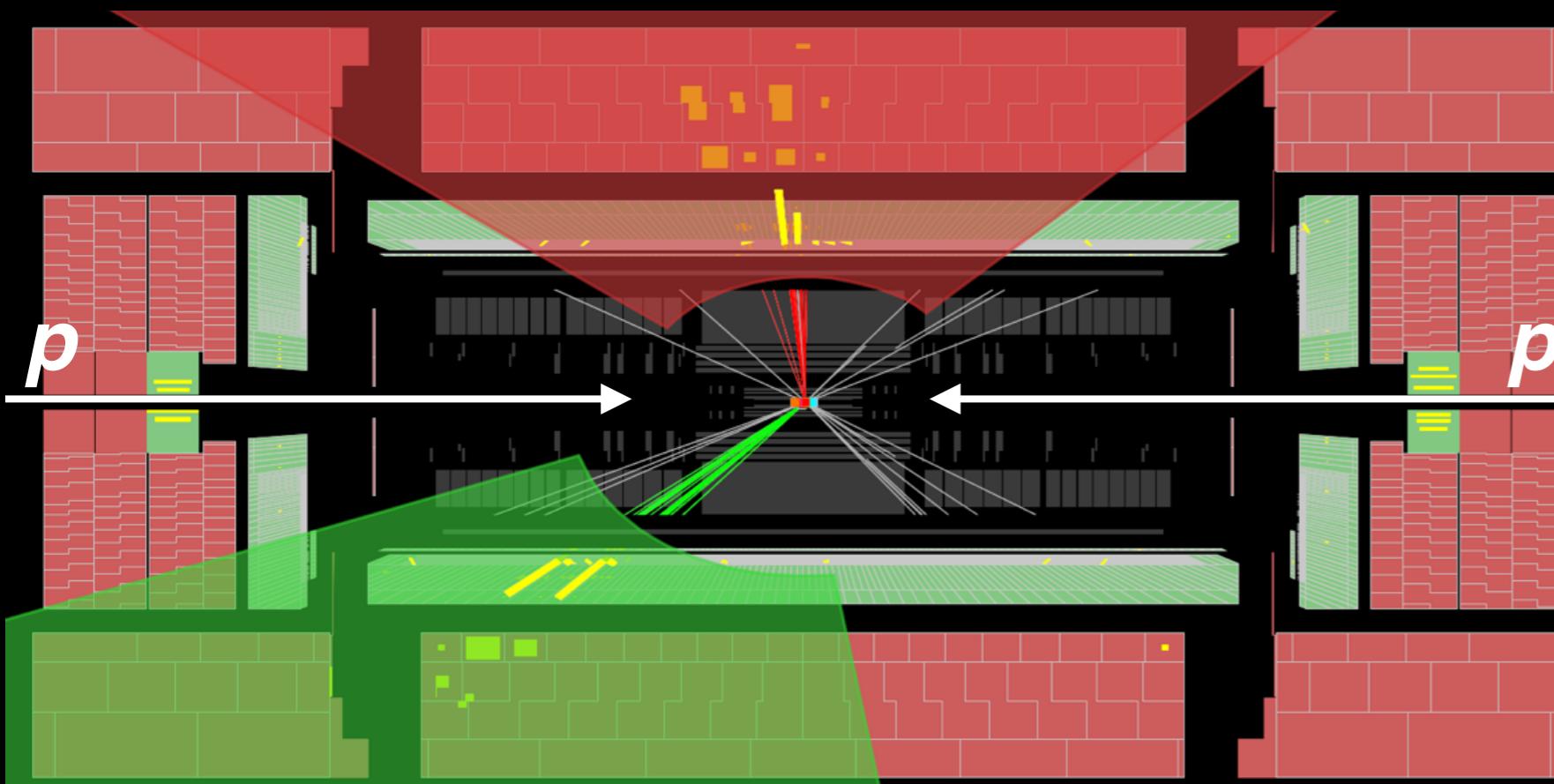
momentum transverse to the beam ( $p_T$ )



*Up next: jet images*



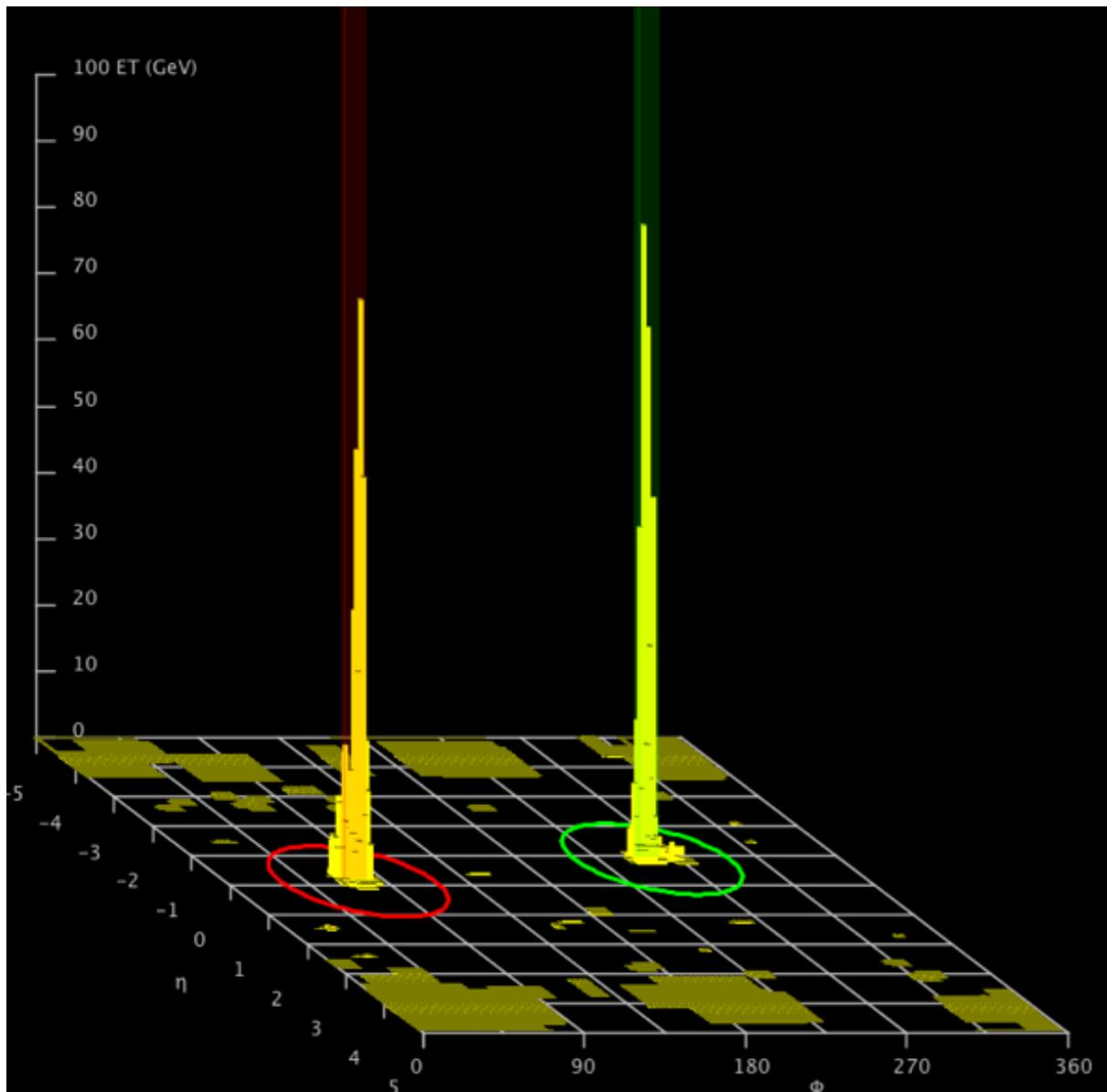
like a digital image!



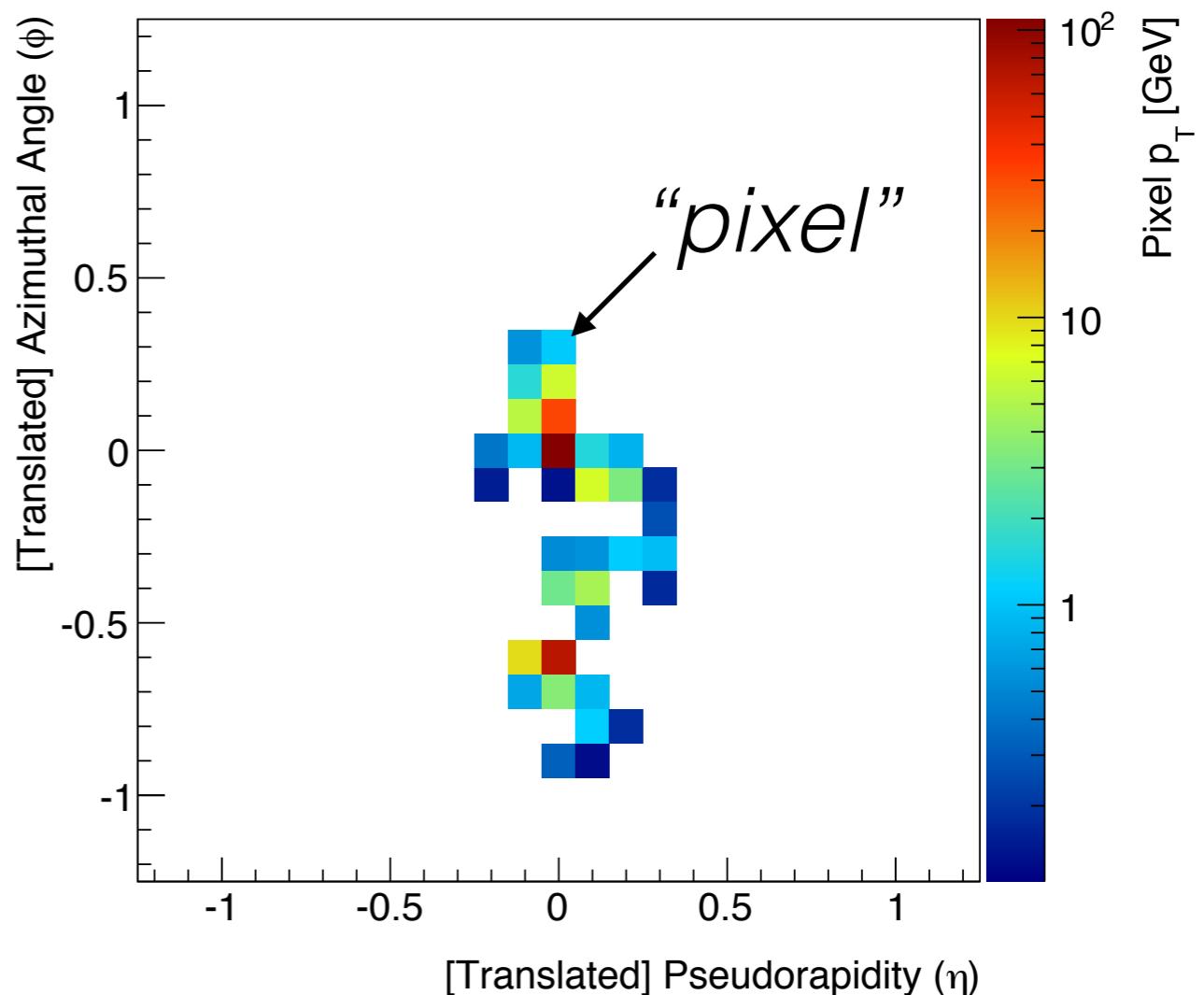
N.B. this is not the only way to represent a jet - more on that later

## *the Jet Image*

J. Cogan et al. JHEP 02 (2015) 118



Boosted W



*nothing like a  
'natural' image!*

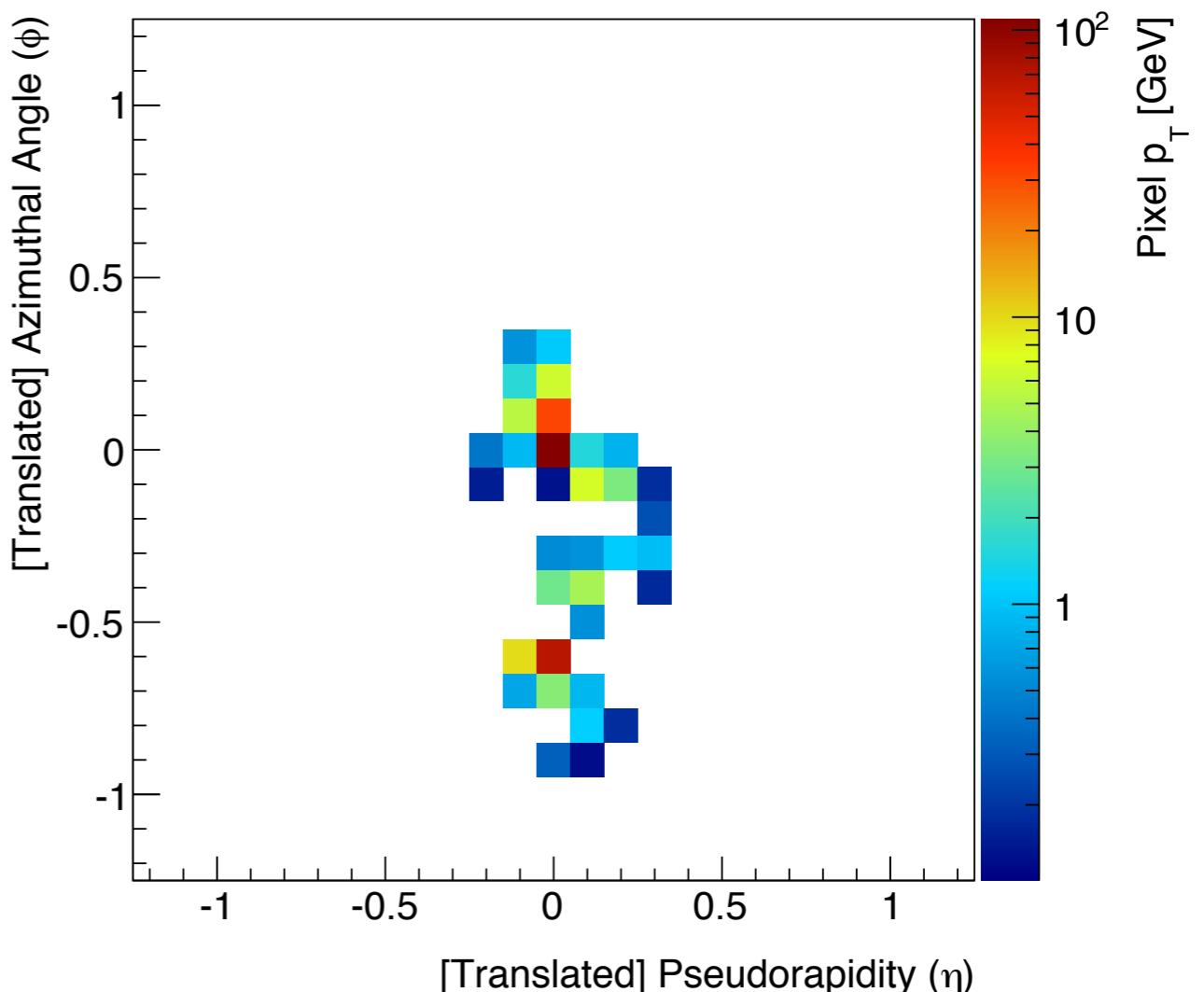
*the Jet Image*

J. Cogan et al. JHEP 02 (2015) 118



Credit: Peter G Trimming (Wikipedia)

Boosted W

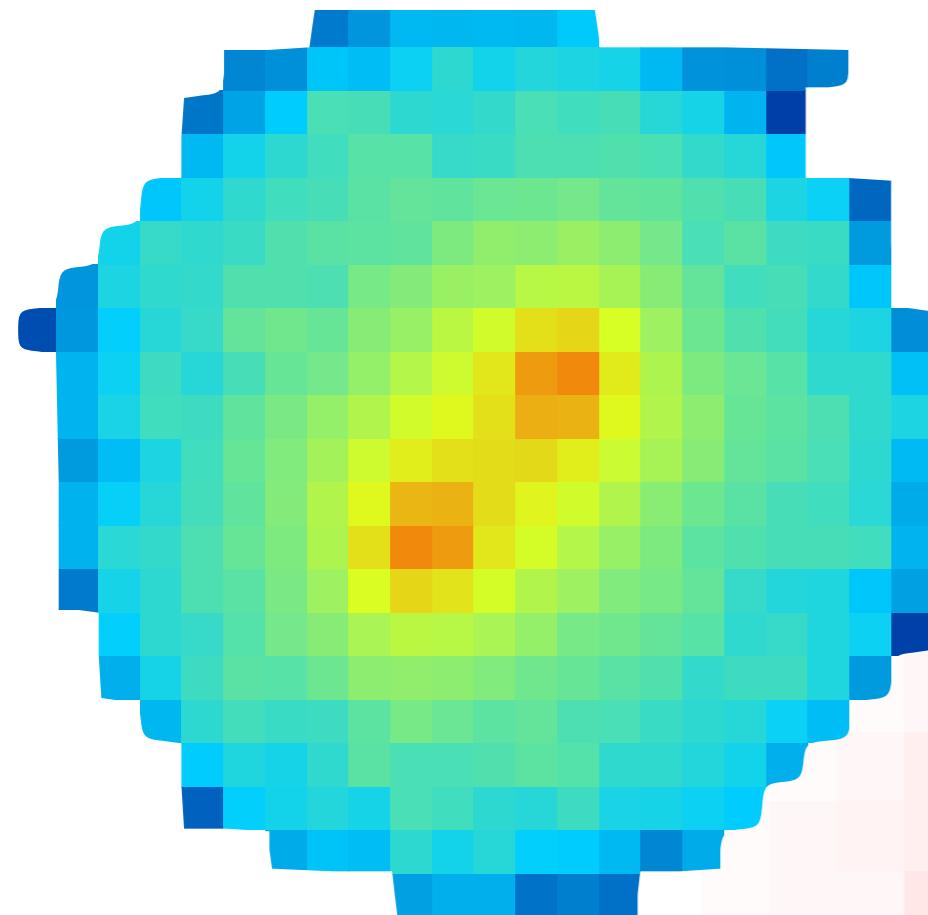


*no smooth edges, clear features, low  
occupancy (number of hit pixels)*

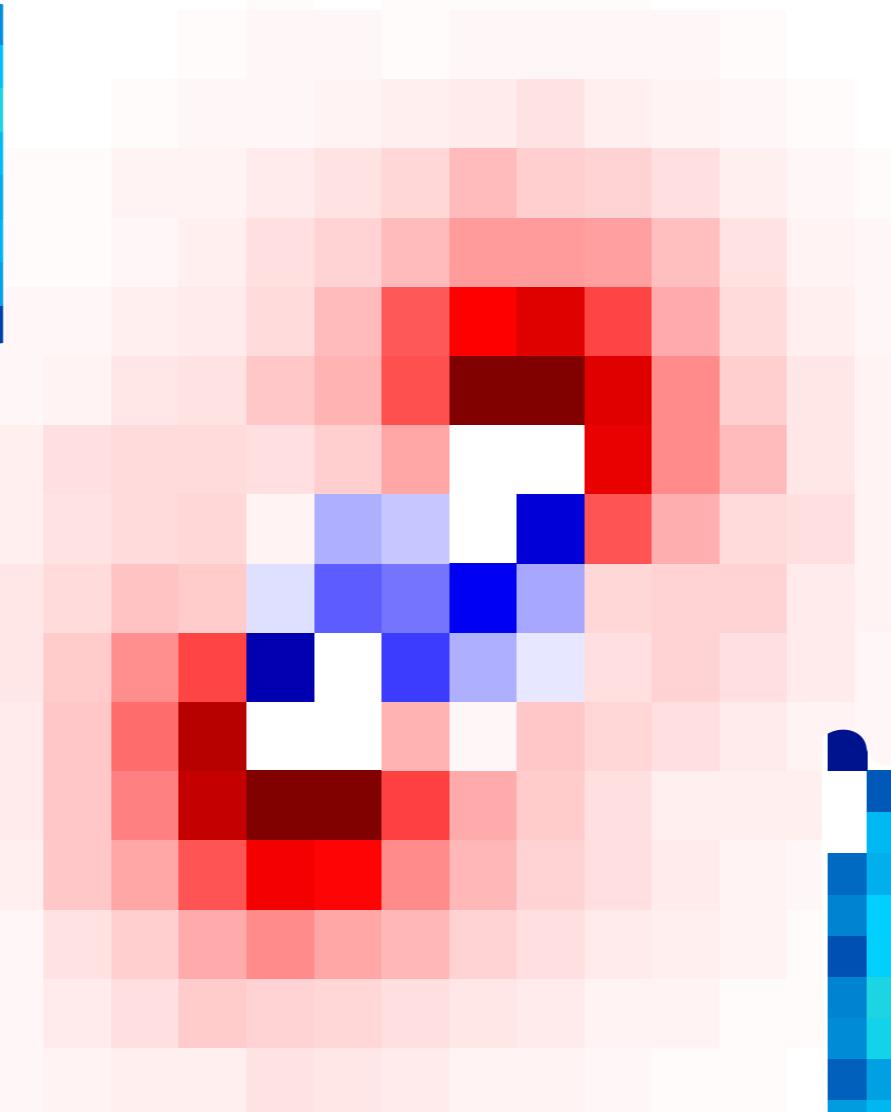
# Why images?

**Can directly visualize physics**

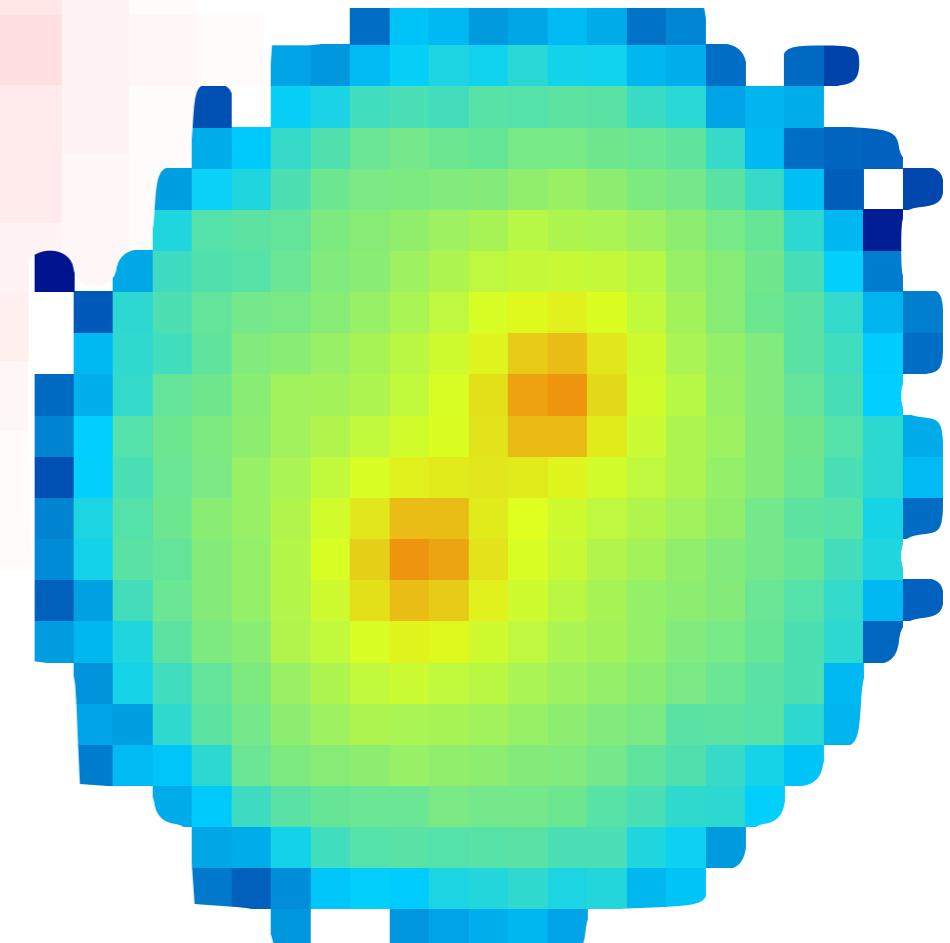
and we can benefit from the extensive image processing literature



$W \rightarrow q\bar{q}$

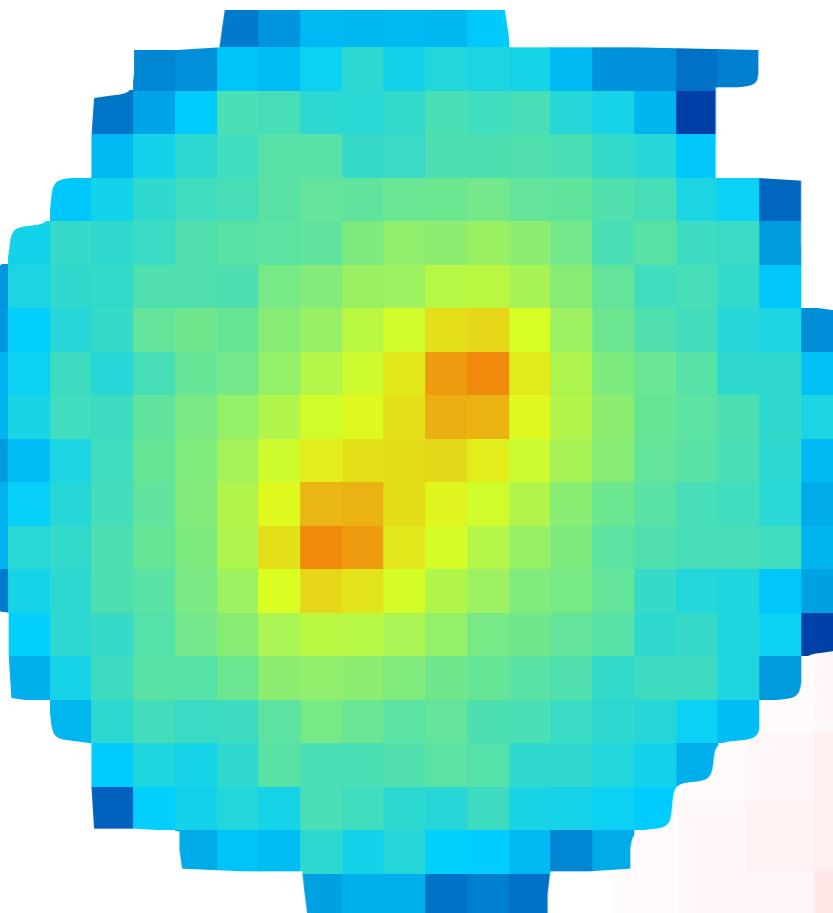


$g \rightarrow q\bar{q}$



there is information encoded in the physical distance between pixels

# Why images?



$W \rightarrow q\bar{q}$

radiates like a dipole  
(no net charge)

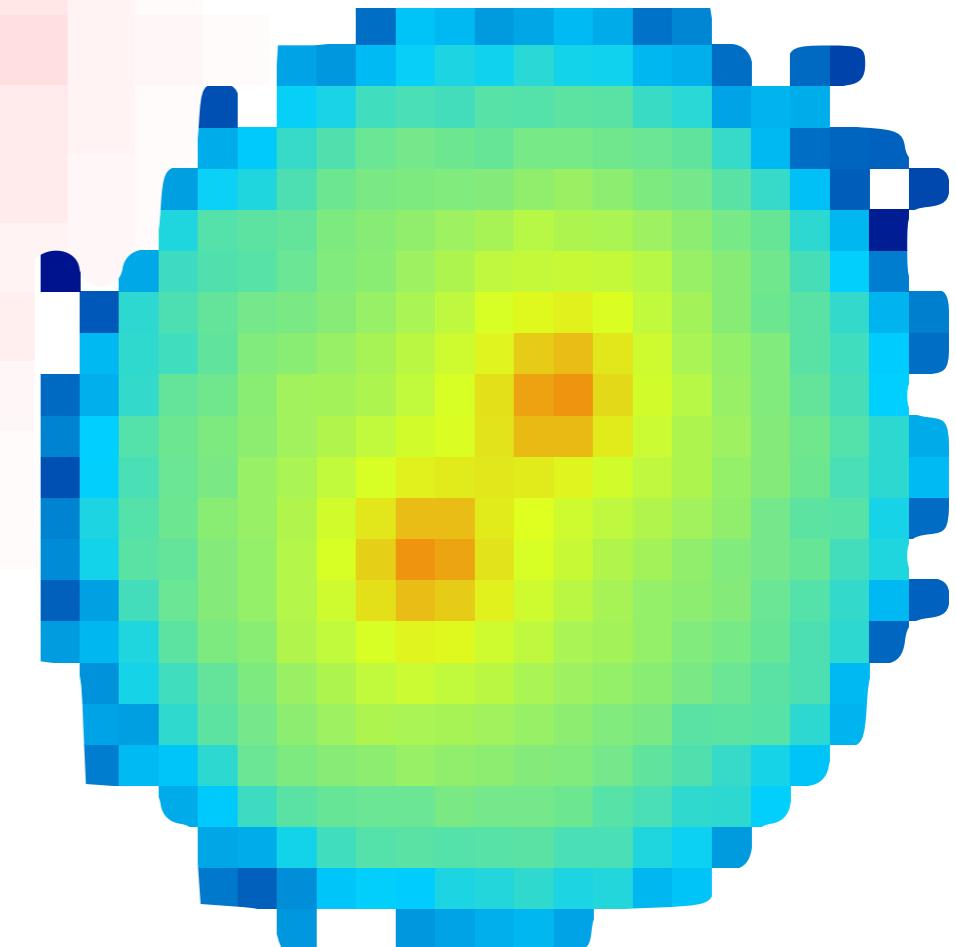
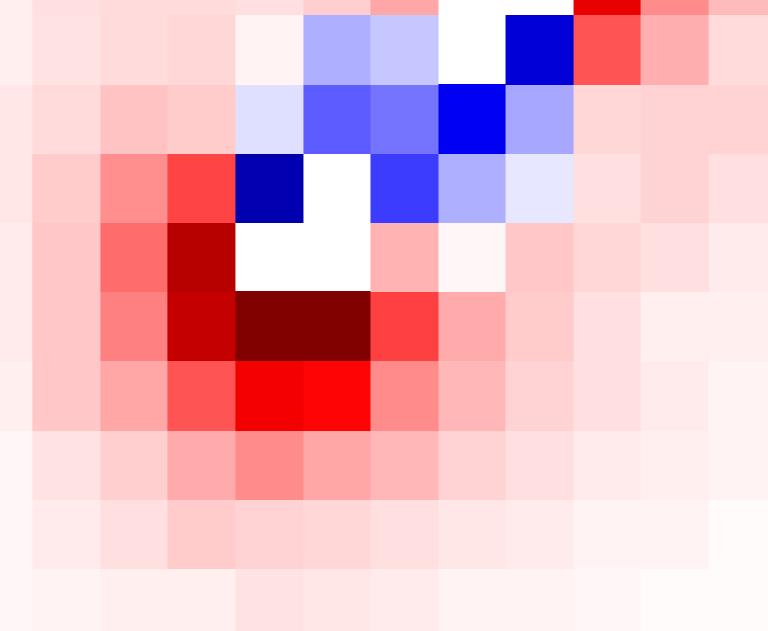
there is information encoded in the  
physical distance between pixels

**Can directly visualize physics**

and we can benefit from the  
extensive image processing literature

net strong-force charge

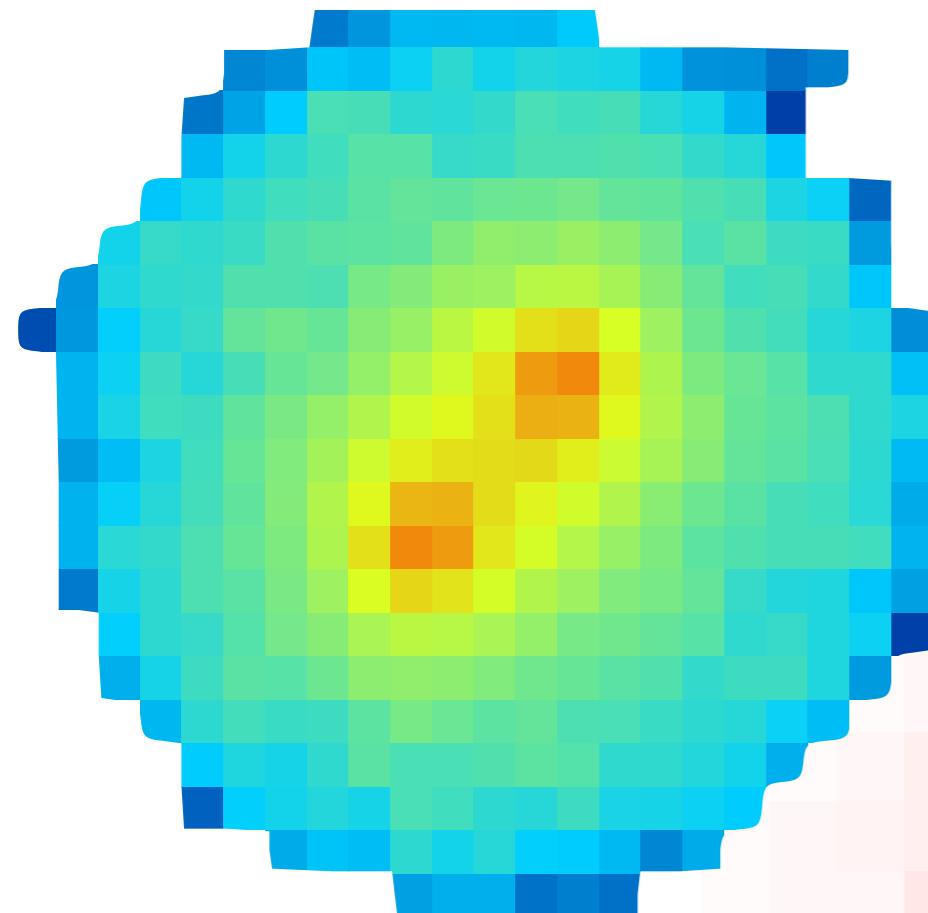
$g \rightarrow q\bar{q}$



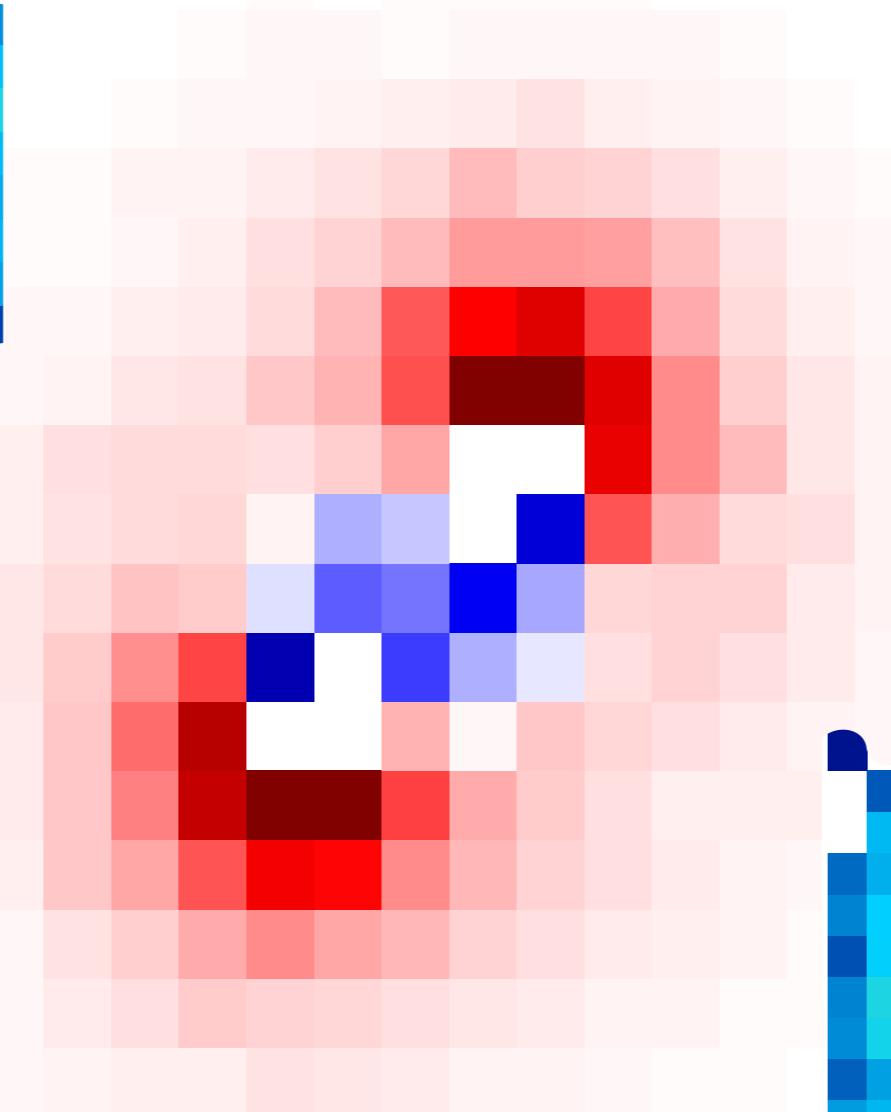
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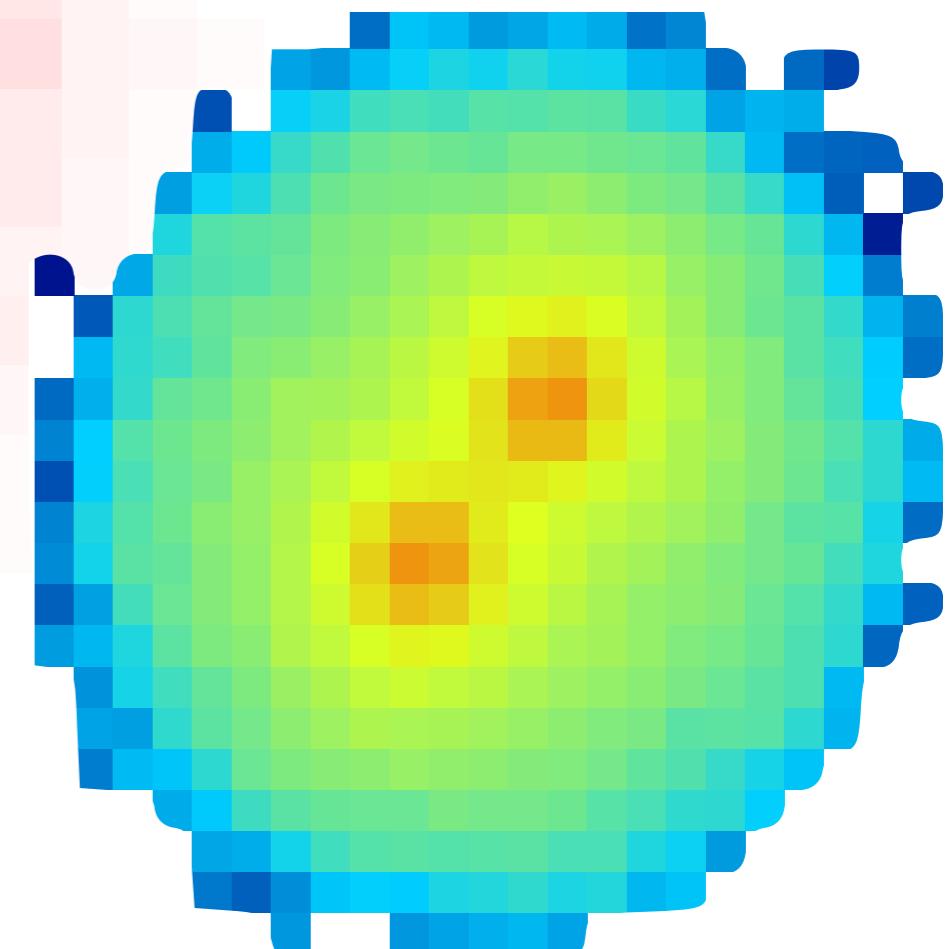
and we can benefit from the extensive image processing literature



$W \rightarrow q\bar{q}$



$g \rightarrow q\bar{q}$

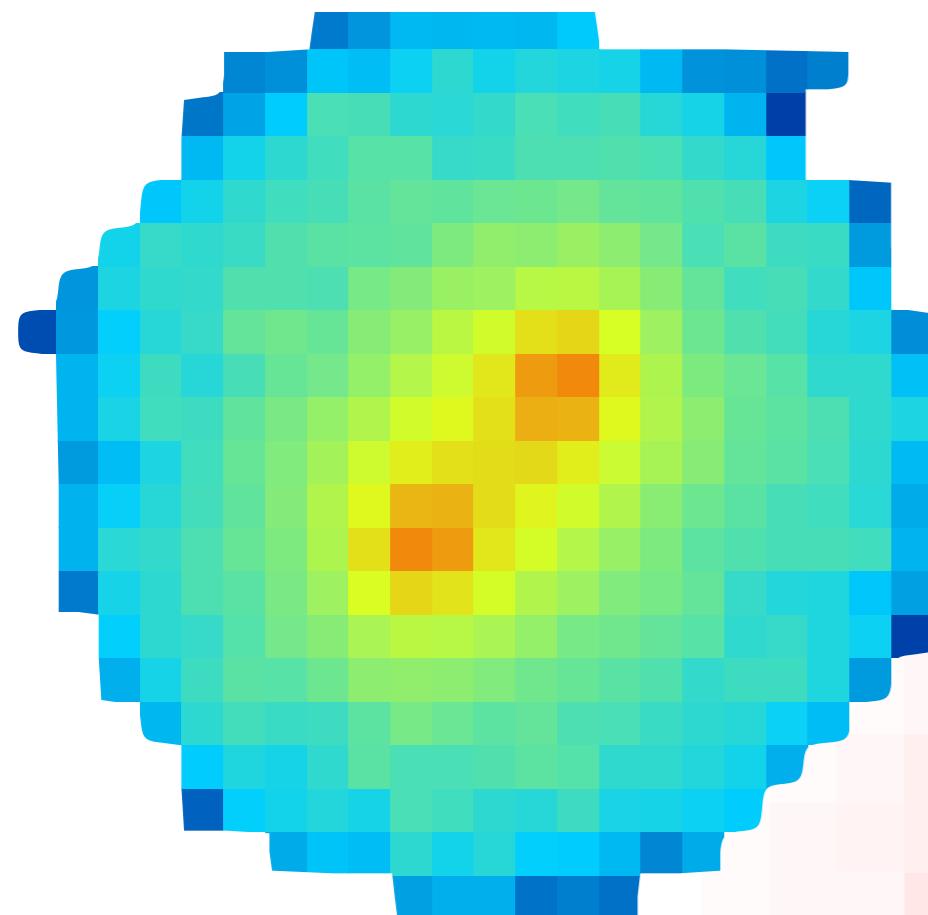


there is information encoded in the physical distance between pixels

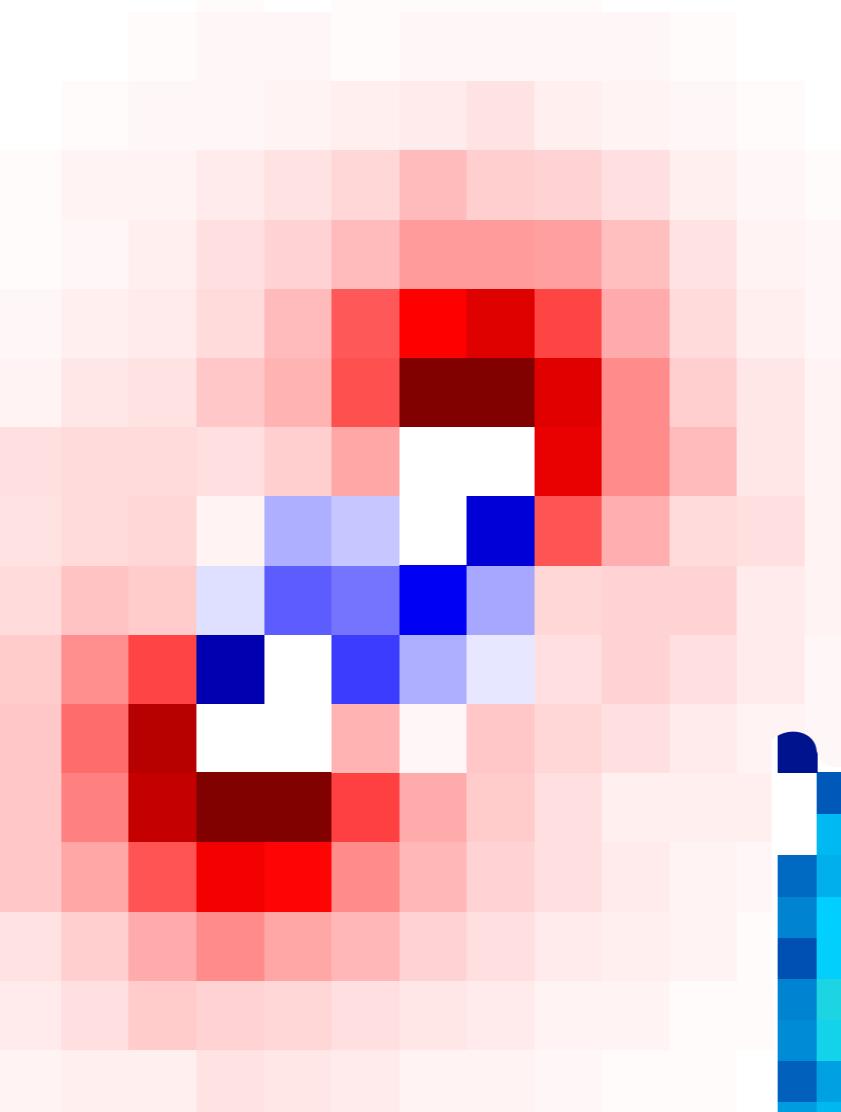
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Can directly visualize physics

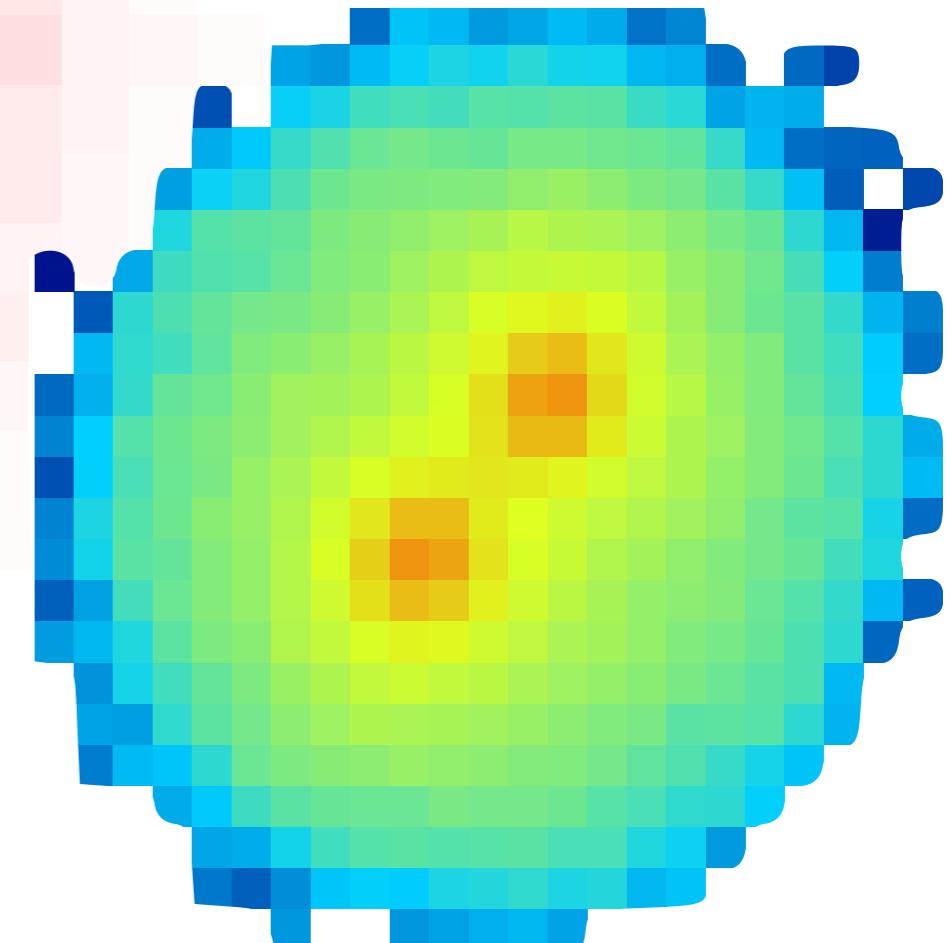
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$W \rightarrow q\bar{q}$



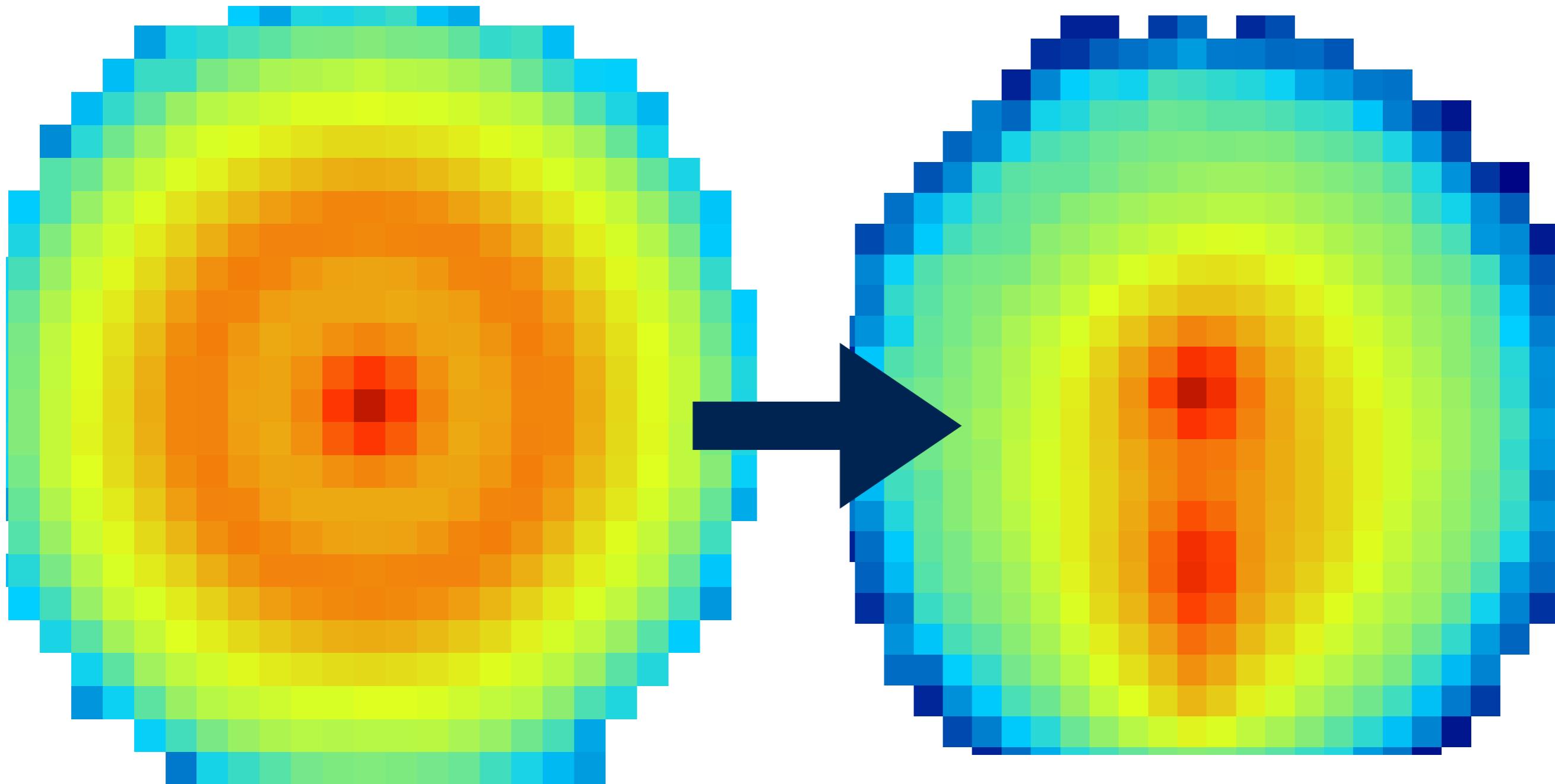
$g \rightarrow q\bar{q}$



there is information encoded in the physical distance between pixels

# Pre-processing & spacetime symmetries

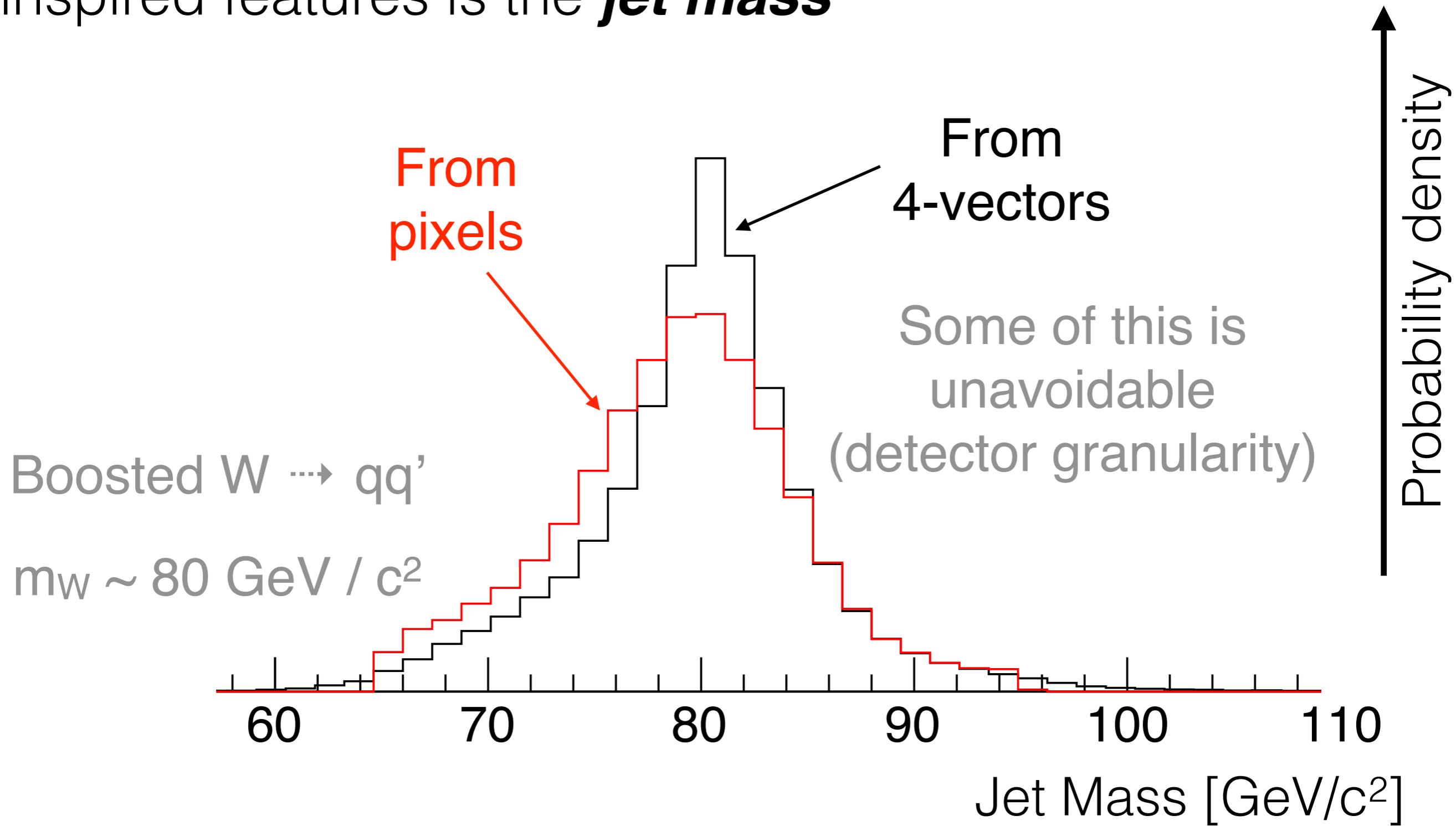
One of the first typical steps is pre-processing



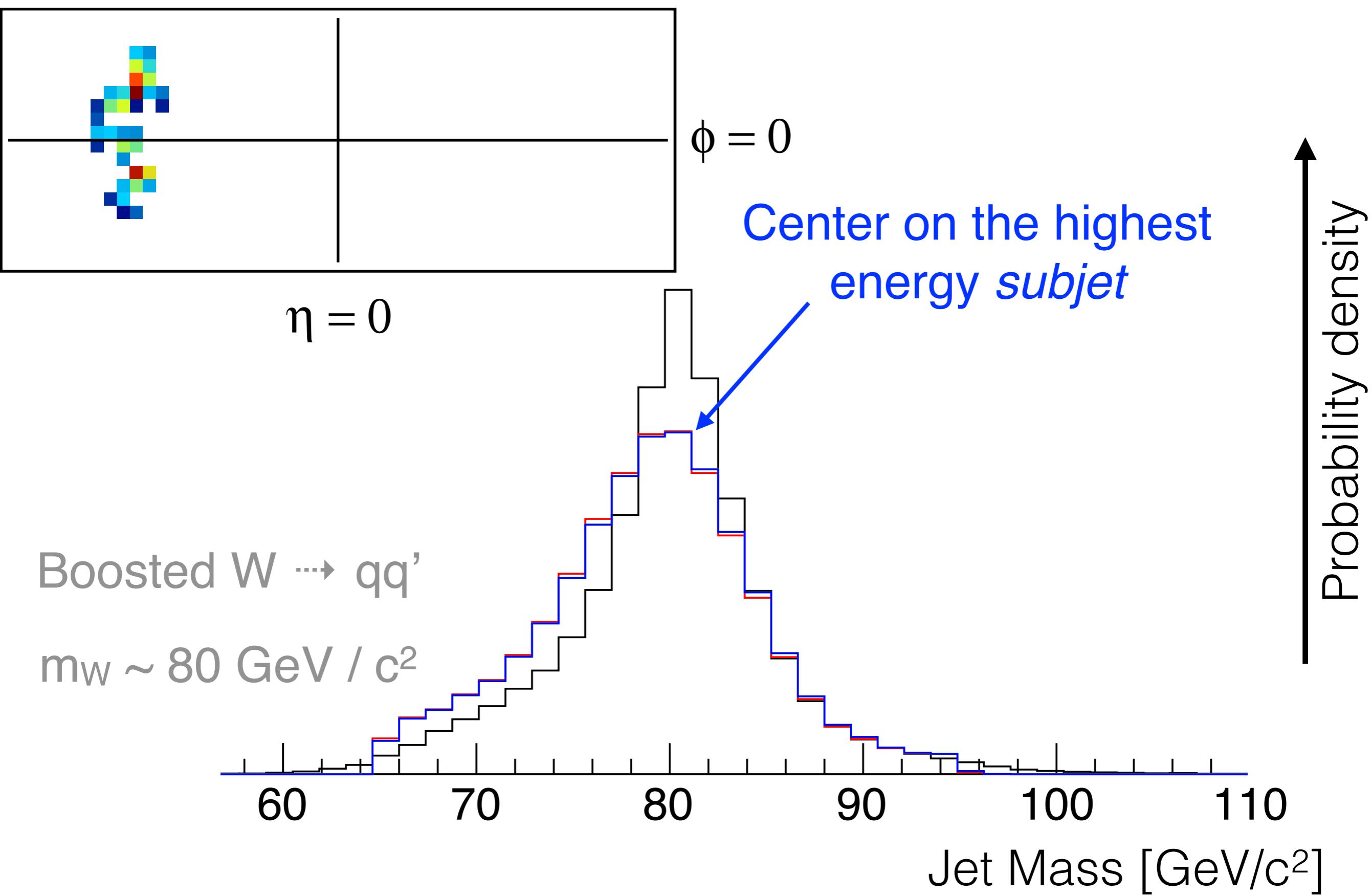
Can help to learn faster & smarter; but must be careful!

# Pre-processing & spacetime symmetries

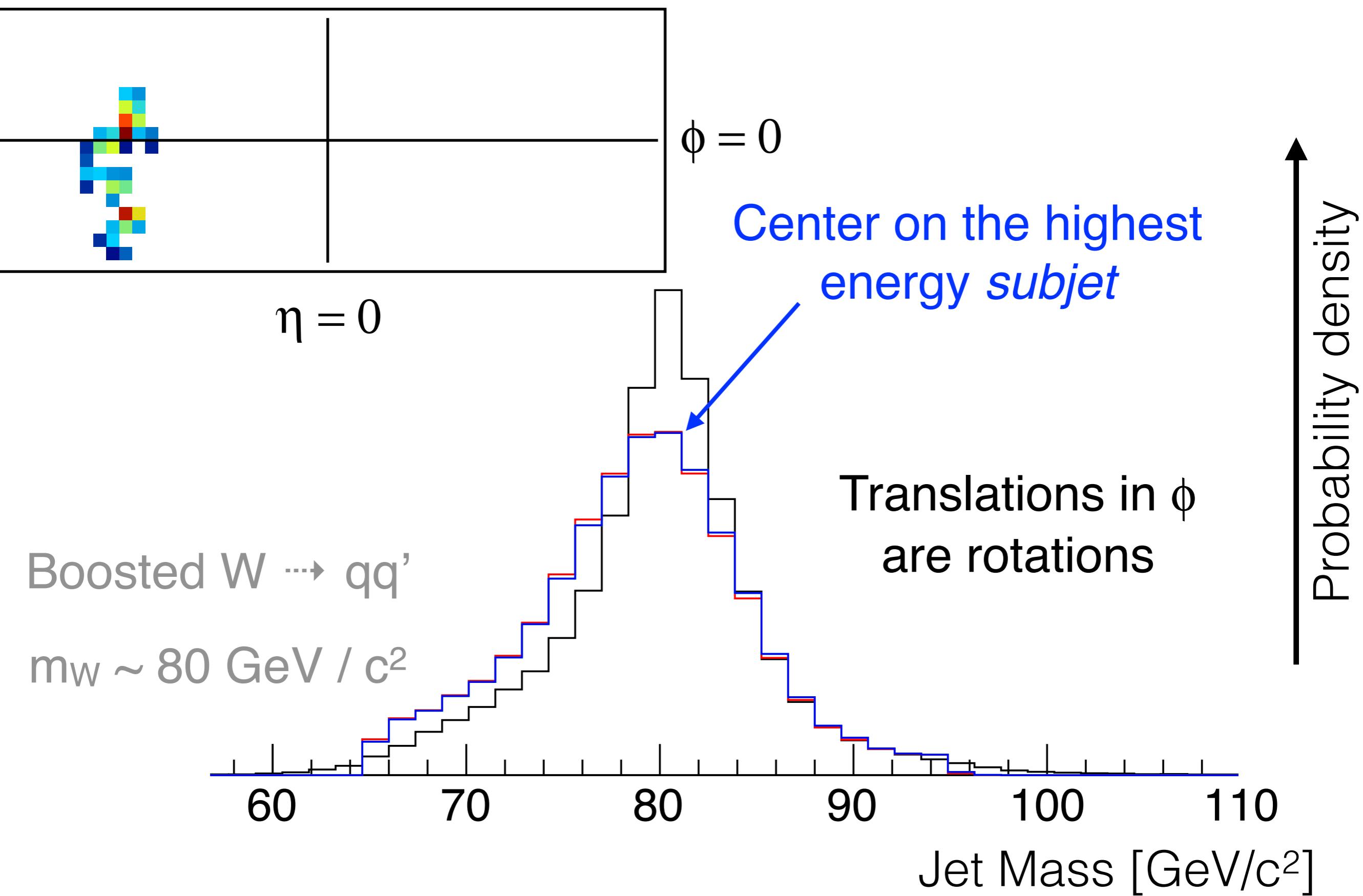
One of the most useful physics-inspired features is the ***jet mass***



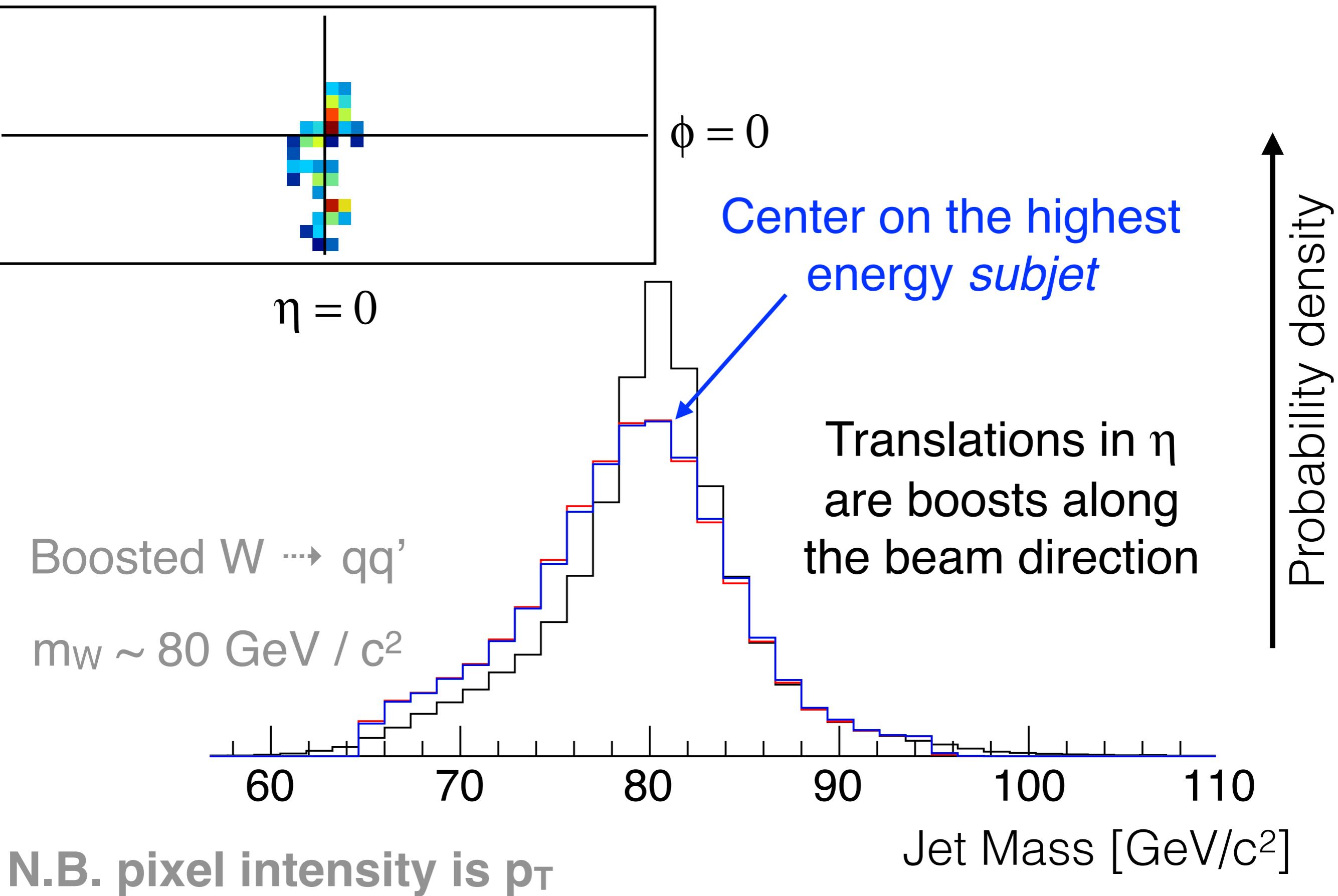
# Pre-processing & spacetime symmetries



# Pre-processing & spacetime symmetries

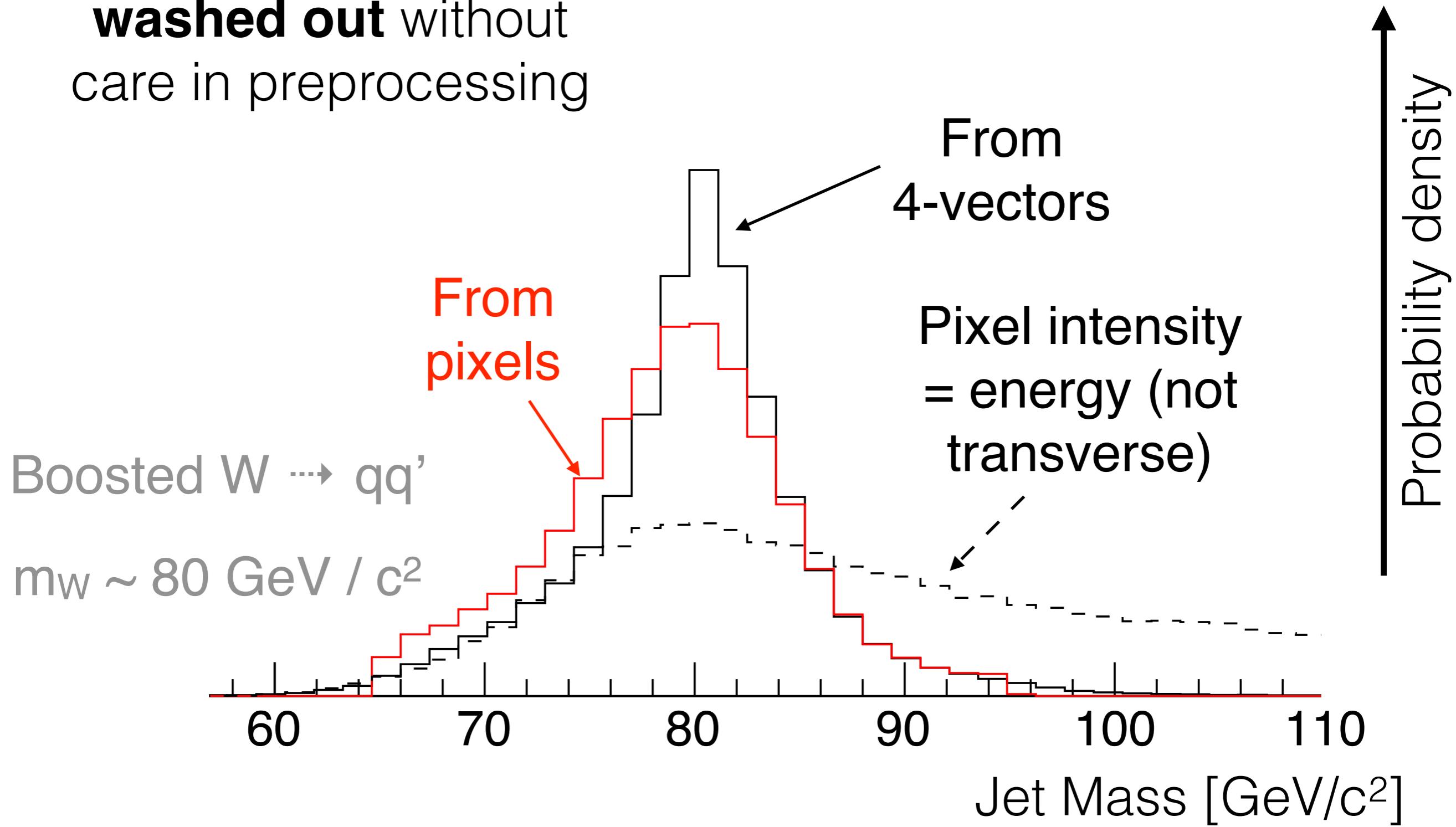


# Pre-processing & spacetime symmetries



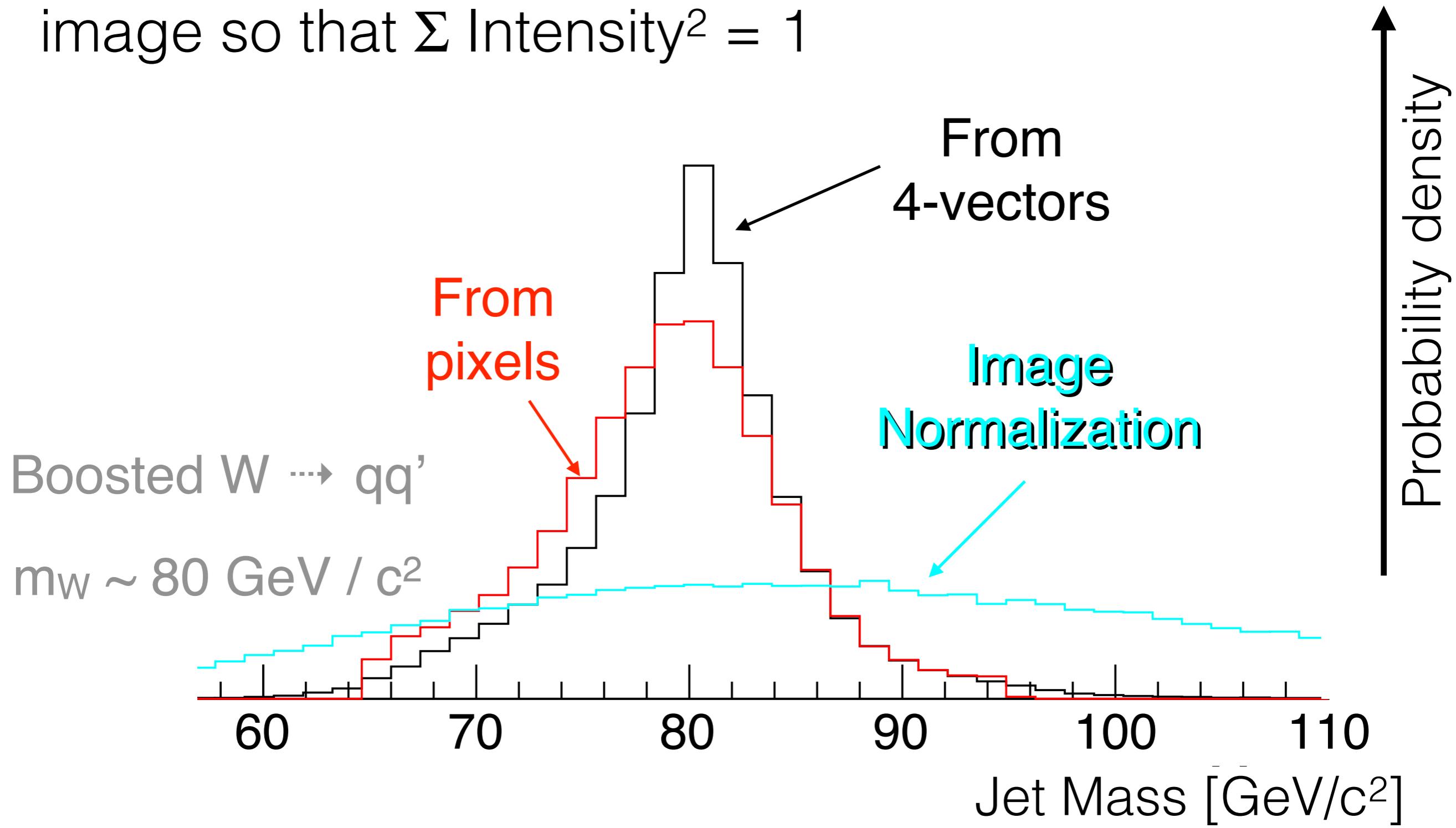
# Pre-processing & spacetime symmetries

**Information** can be  
**washed out** without  
care in preprocessing

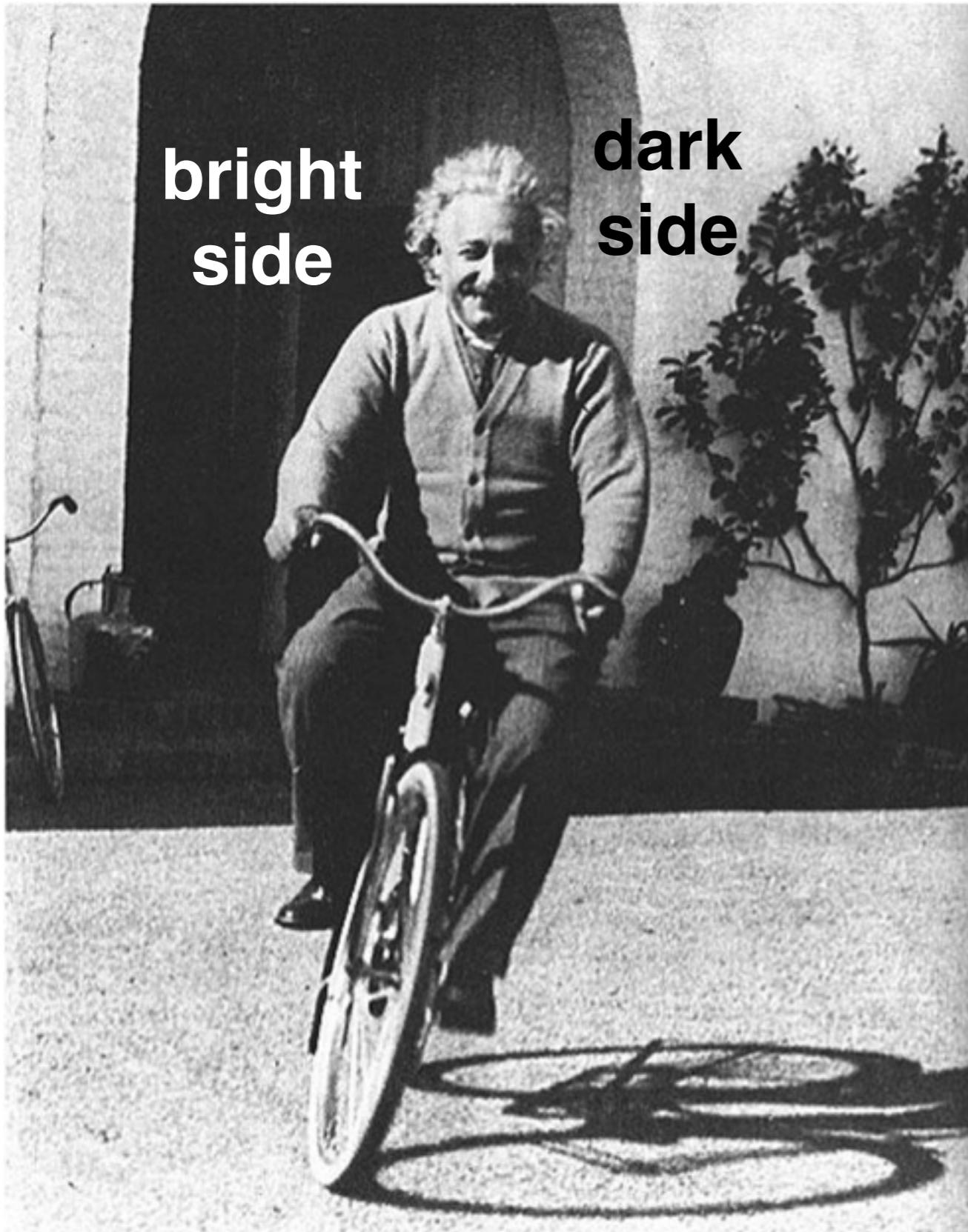


# Pre-processing & spacetime symmetries

It is common to normalize each image so that  $\sum \text{Intensity}^2 = 1$



# Intuition via analogy *why normalization can hurt*

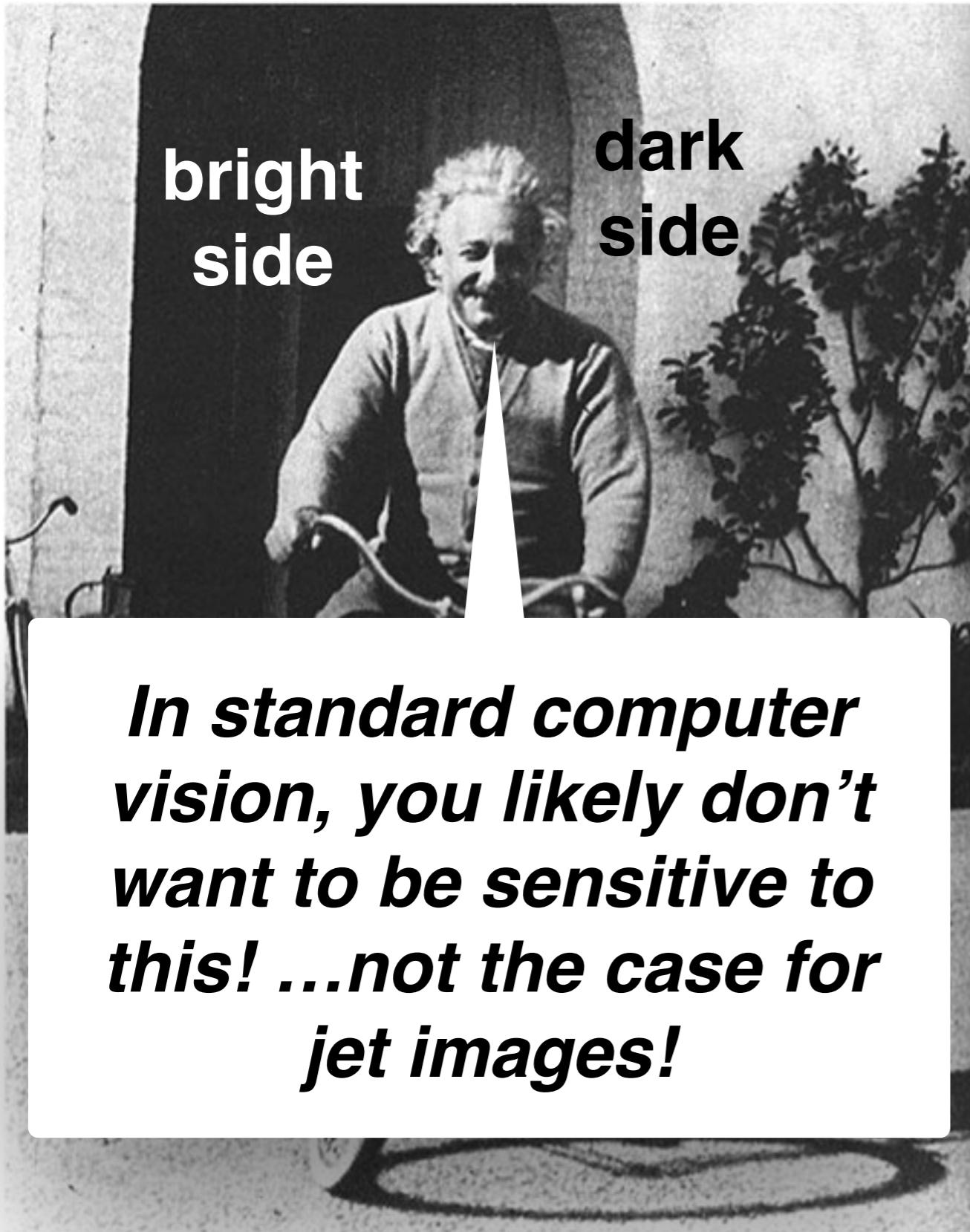


In both pictures, total intensity of Einstein's face is about the same.



However, his face's **image mass** is quite different!

# Intuition via analogy *why normalization can hurt*



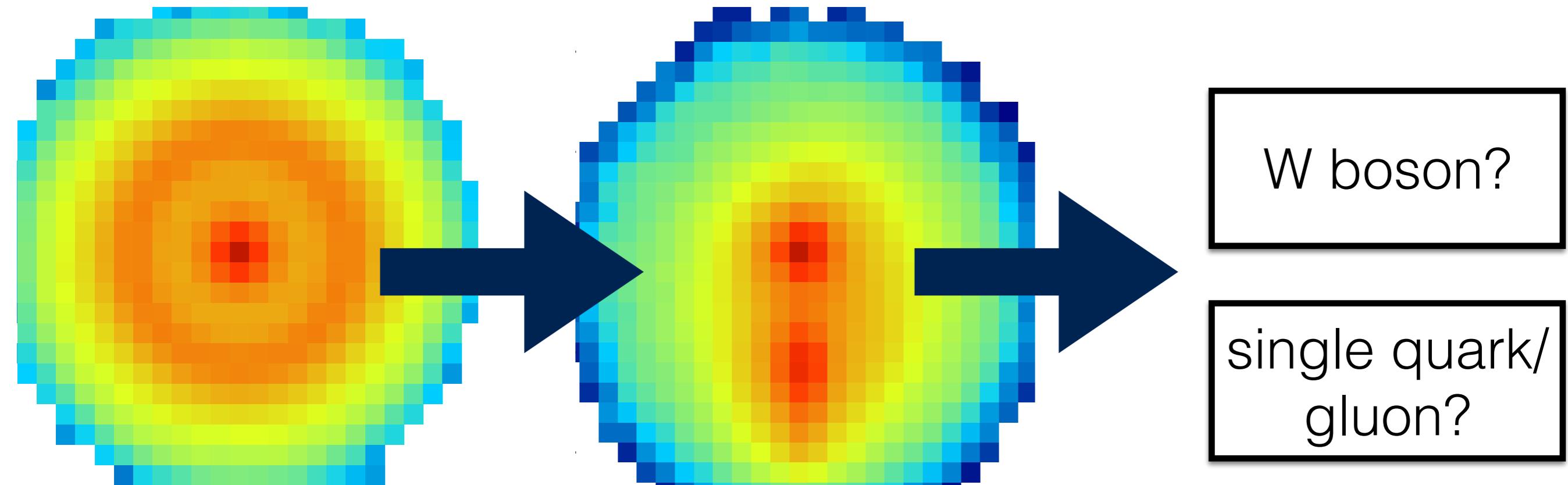
***In standard computer vision, you likely don't want to be sensitive to this! ...not the case for jet images!***

In both pictures, total intensity of Einstein's face is about the same.



However, his face's **image mass** is quite different!

Now, with a carefully processed image, we can ask: where did this jet come from?

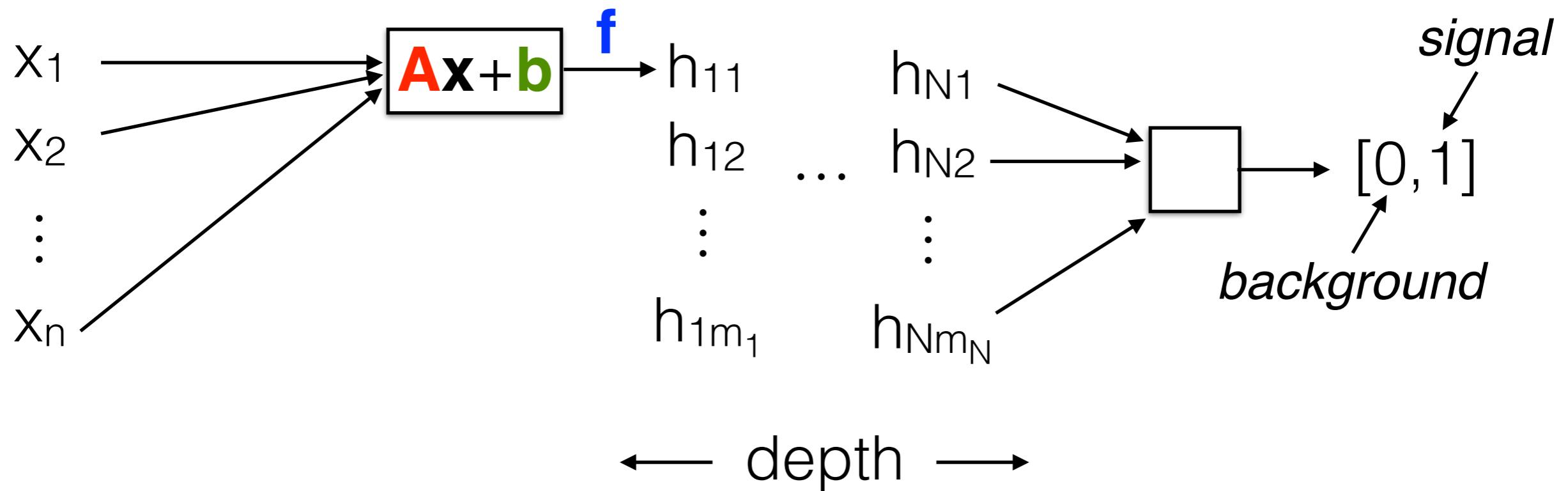


ultimate classification is achieved with modern machine learning using **all pixels as input!**

# Modern Deep NN's for Classification

**Neural Network:** composition of functions  $\mathbf{f}(\mathbf{Ax} + \mathbf{b})$  for inputs  $\mathbf{x}$  (features) matrix  $\mathbf{A}$  (weights), bias  $\mathbf{b}$ , non-linearity  $\mathbf{f}$ .

N.B. I'm not mentioning biology - there may be a vague resemblance to parts of the brain, but that is not what modern NN's are about.



# Modern Deep NN's for Classification

Neural Network: composition of functions  $\mathbf{f}(\mathbf{Ax} + \mathbf{b})$  for inputs  $\mathbf{x}$  (features) matrix  $\mathbf{A}$  (weights), bias  $\mathbf{b}$ , non-linearity  $\mathbf{f}$ .

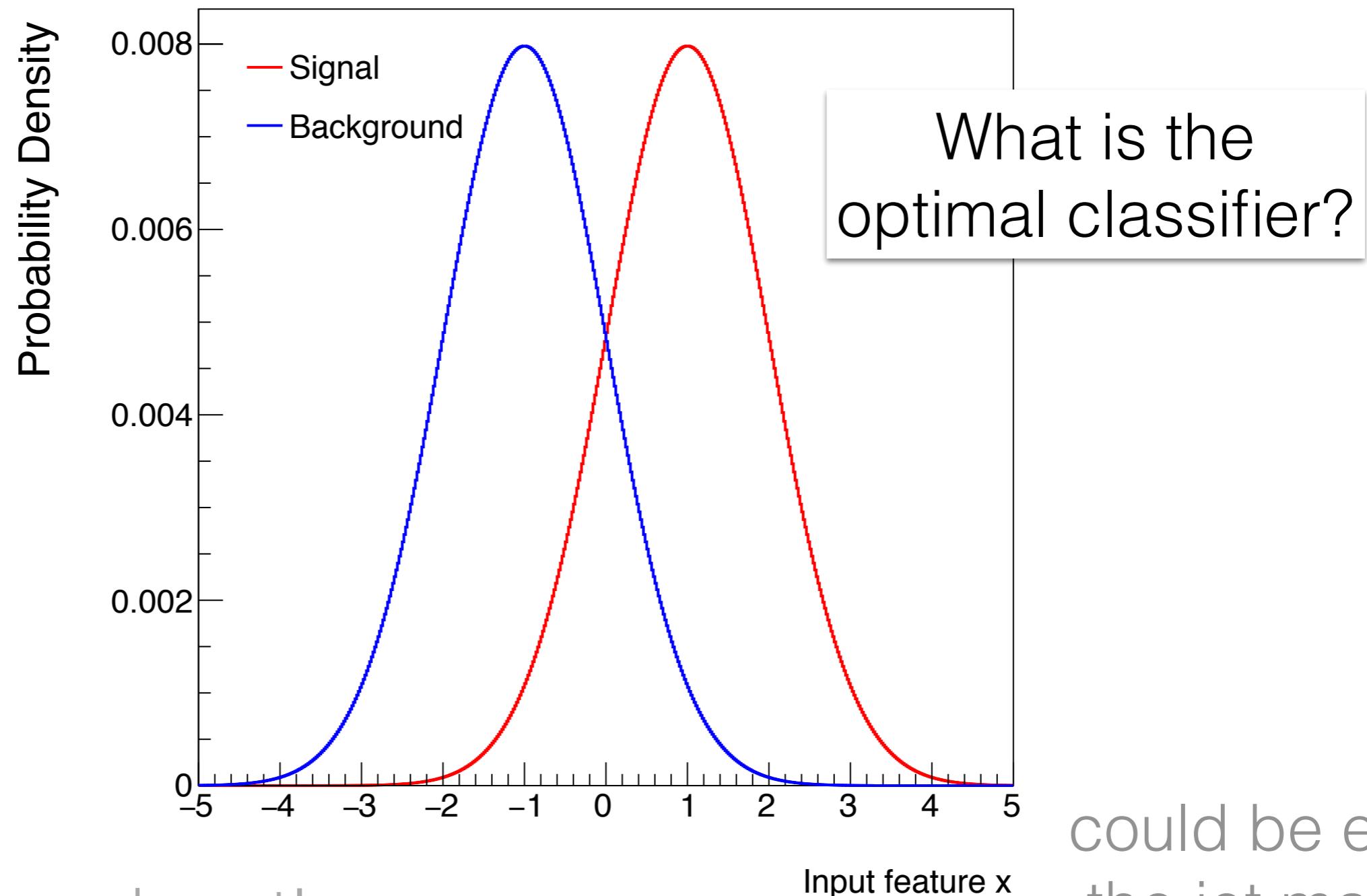
**Fact: NN's can approximate “any” function.**

Why  
useful?

For classification, there is an optimal function to learn: the likelihood ratio,  $LL(x) = ps(x) / p_B(x)$ .

# Getting into the machine's mind

Let's consider an important special case:  
binary classification in 1D

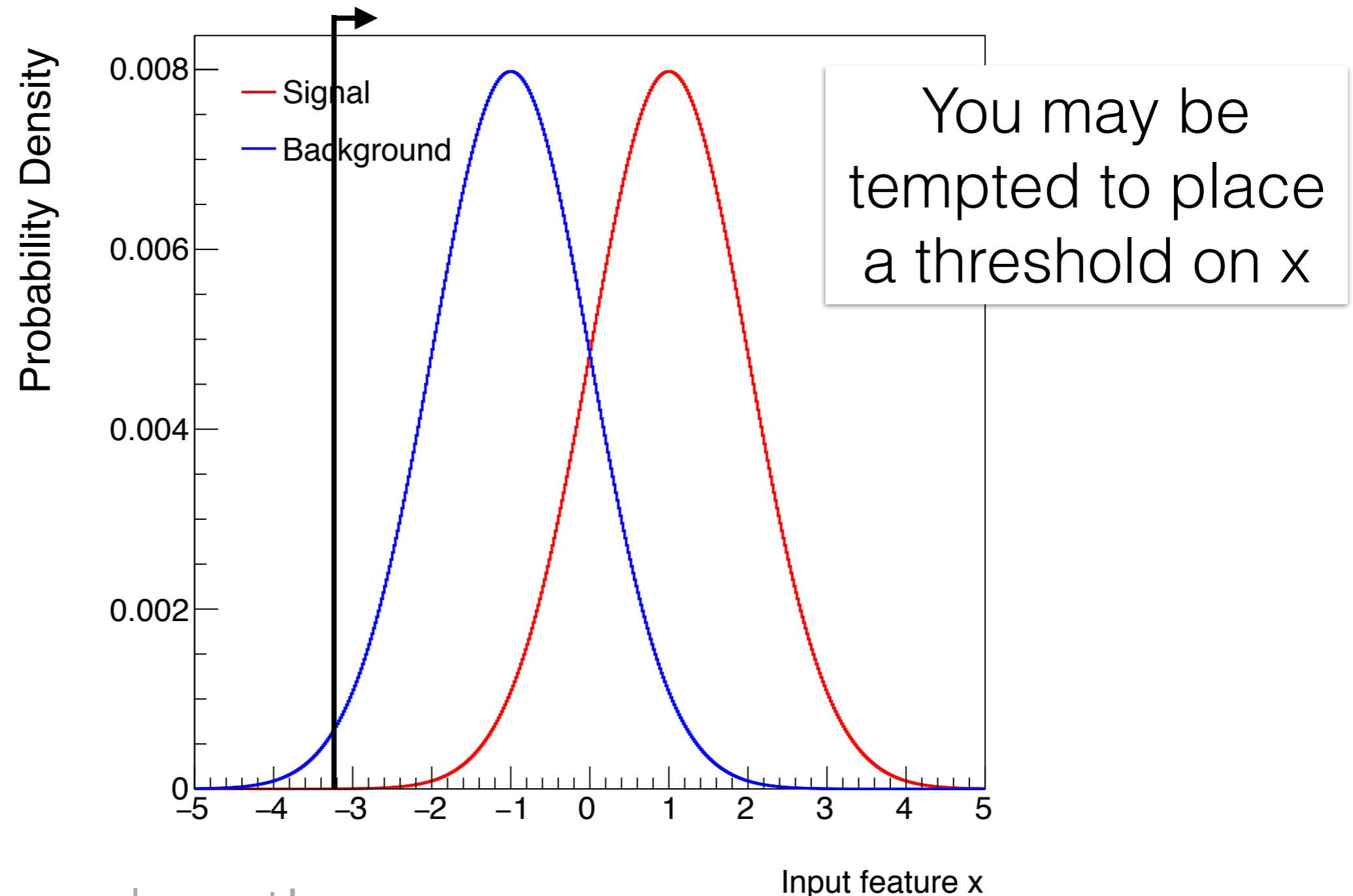


could be e.g.  
the jet mass

⇒ Try this example out!

# Getting into the machine's mind

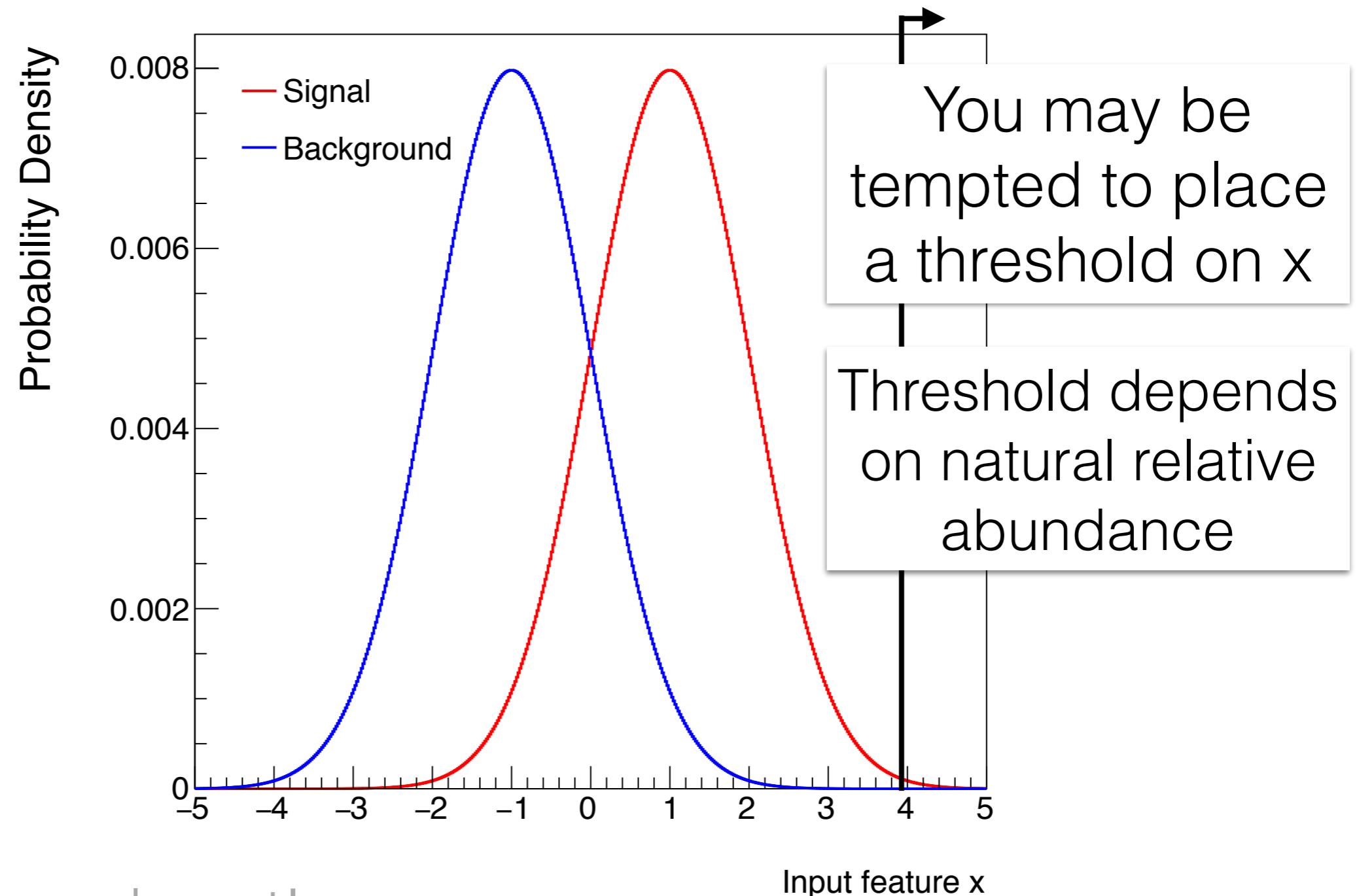
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⇒ Try this example out!

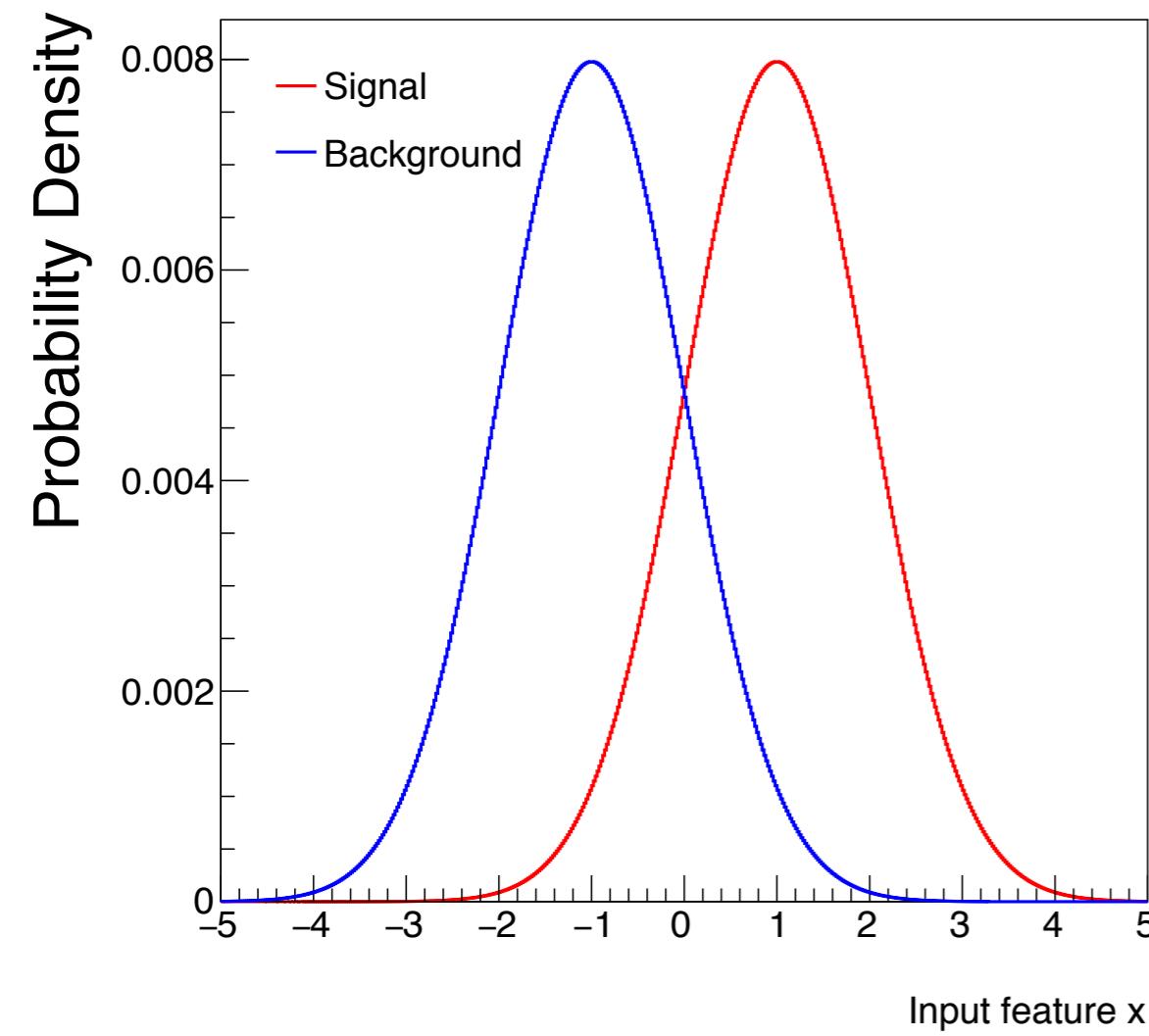
# Getting into the machine's mind

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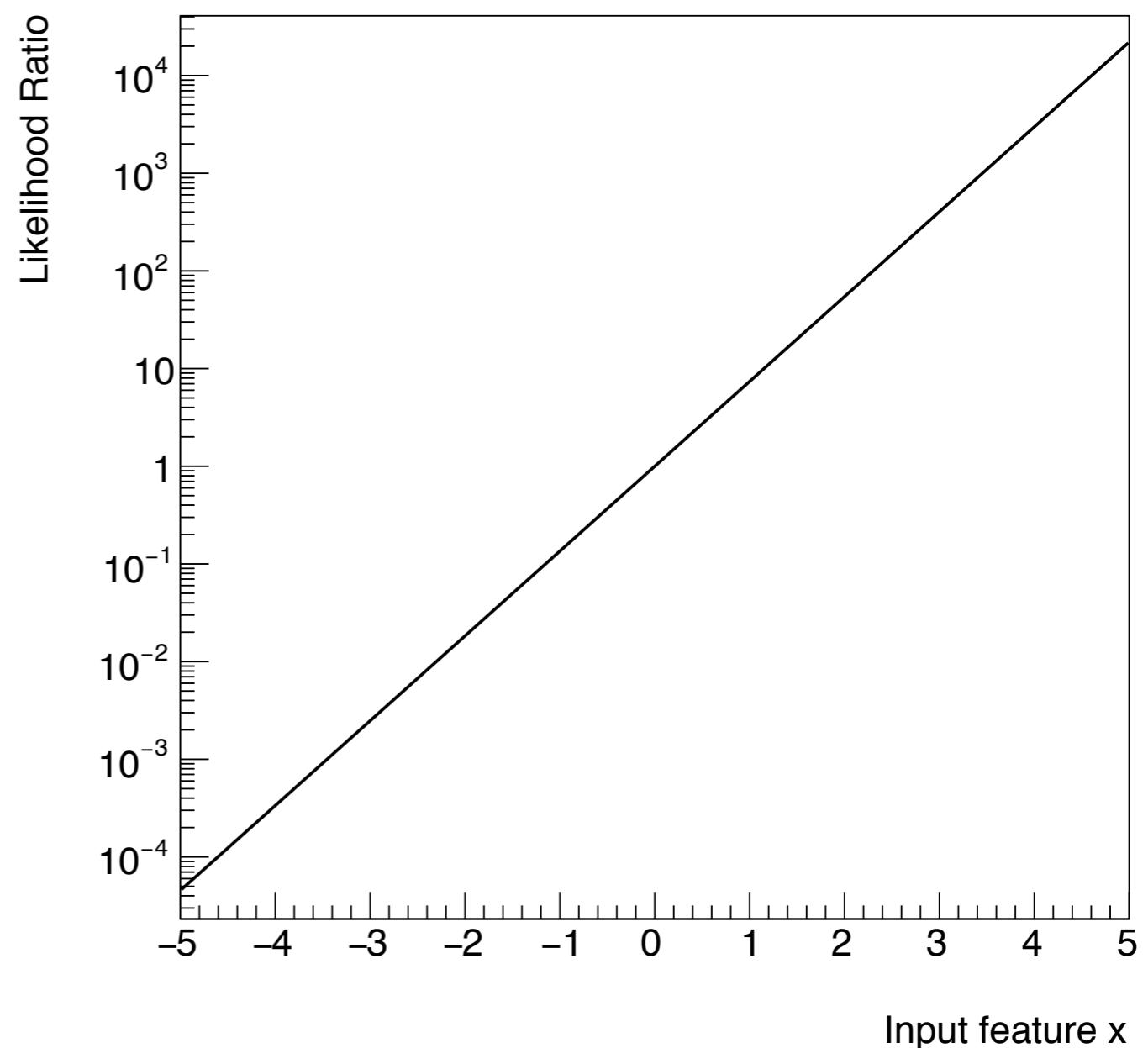


⇒ Try this example out!

# Getting into the machine's mind



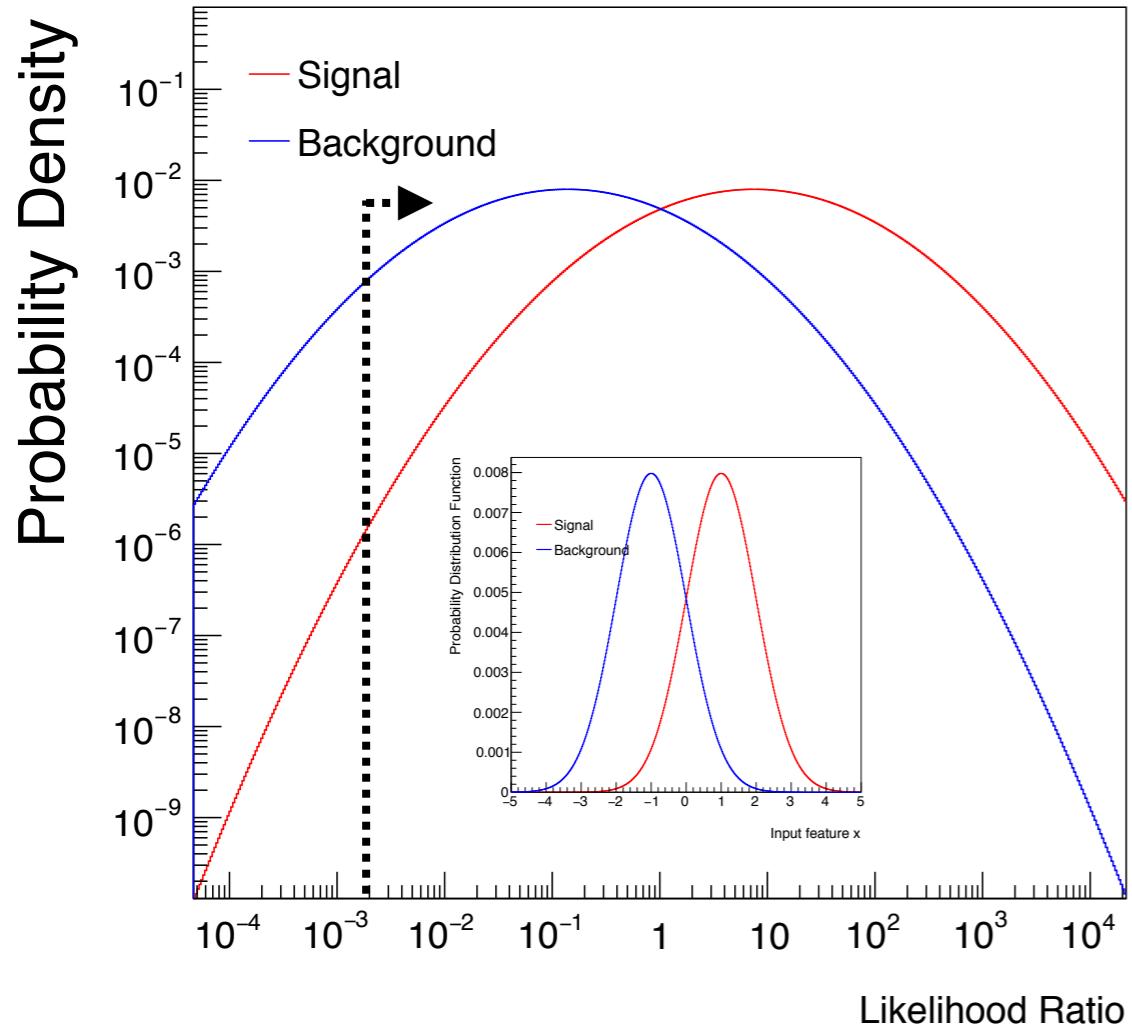
Is the simple  
threshold cut optimal?



In this simple case, the log LL is proportional to  $x$ :  
**no need for non-linearities!**

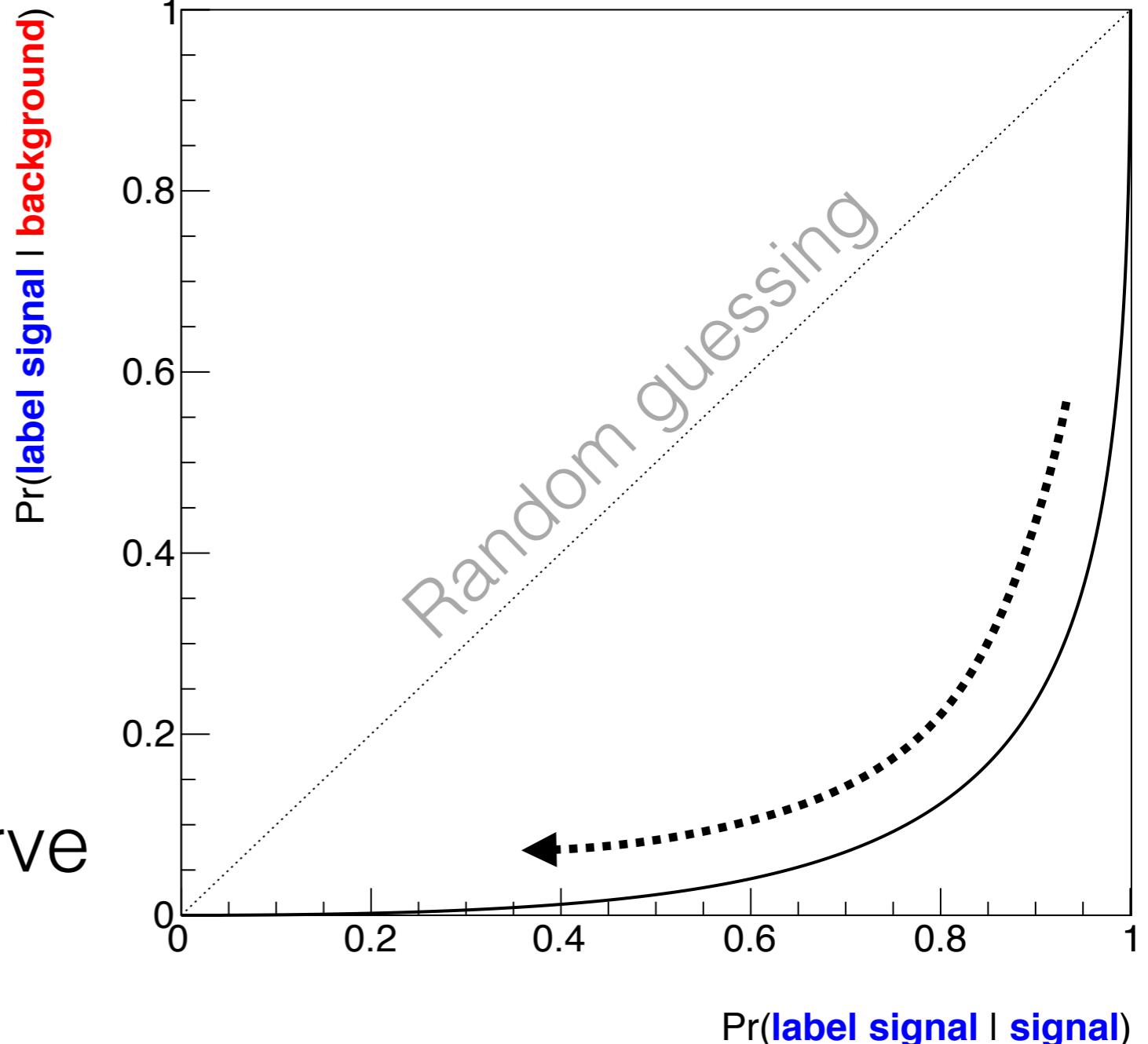
*Threshold cut is optimal*

# Getting into the machine's mind



“Receiver Operating  
Characteristic” (**ROC**) Curve

The optimal  
procedure is a  
threshold on the LL

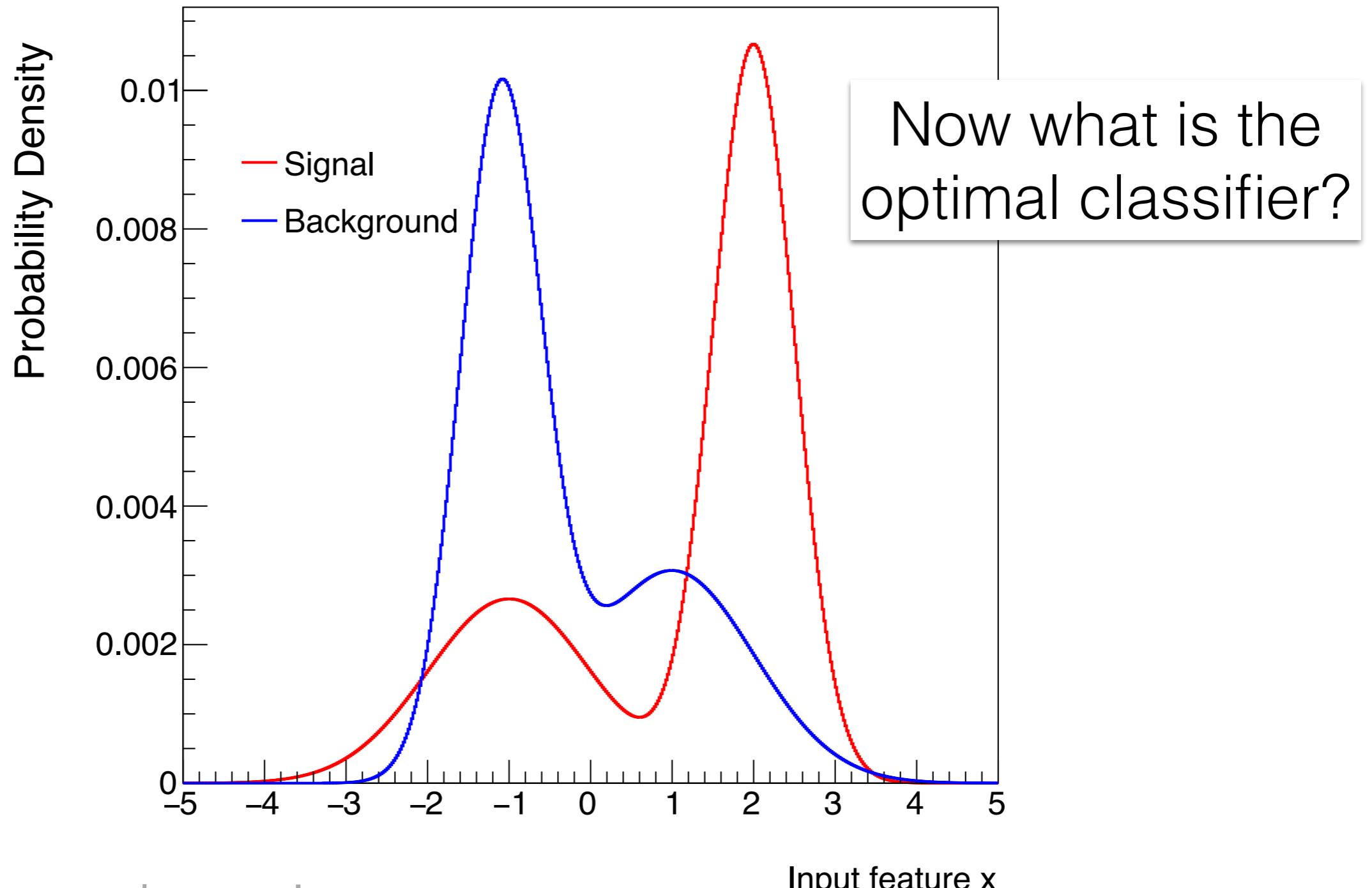


→ Try this example out!

# Getting into the machine's mind

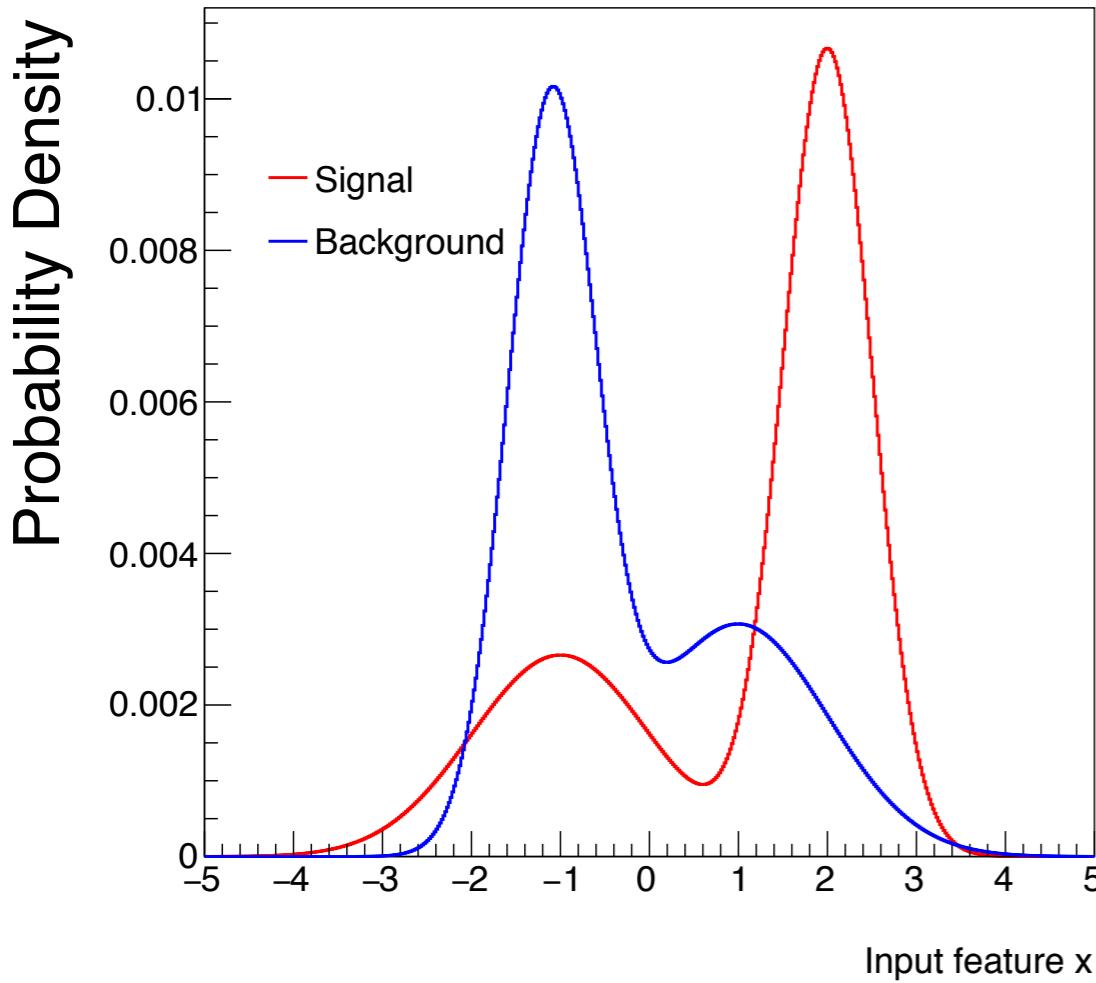
What if the distribution of  $x$  is complicated?

Real life is complicated!

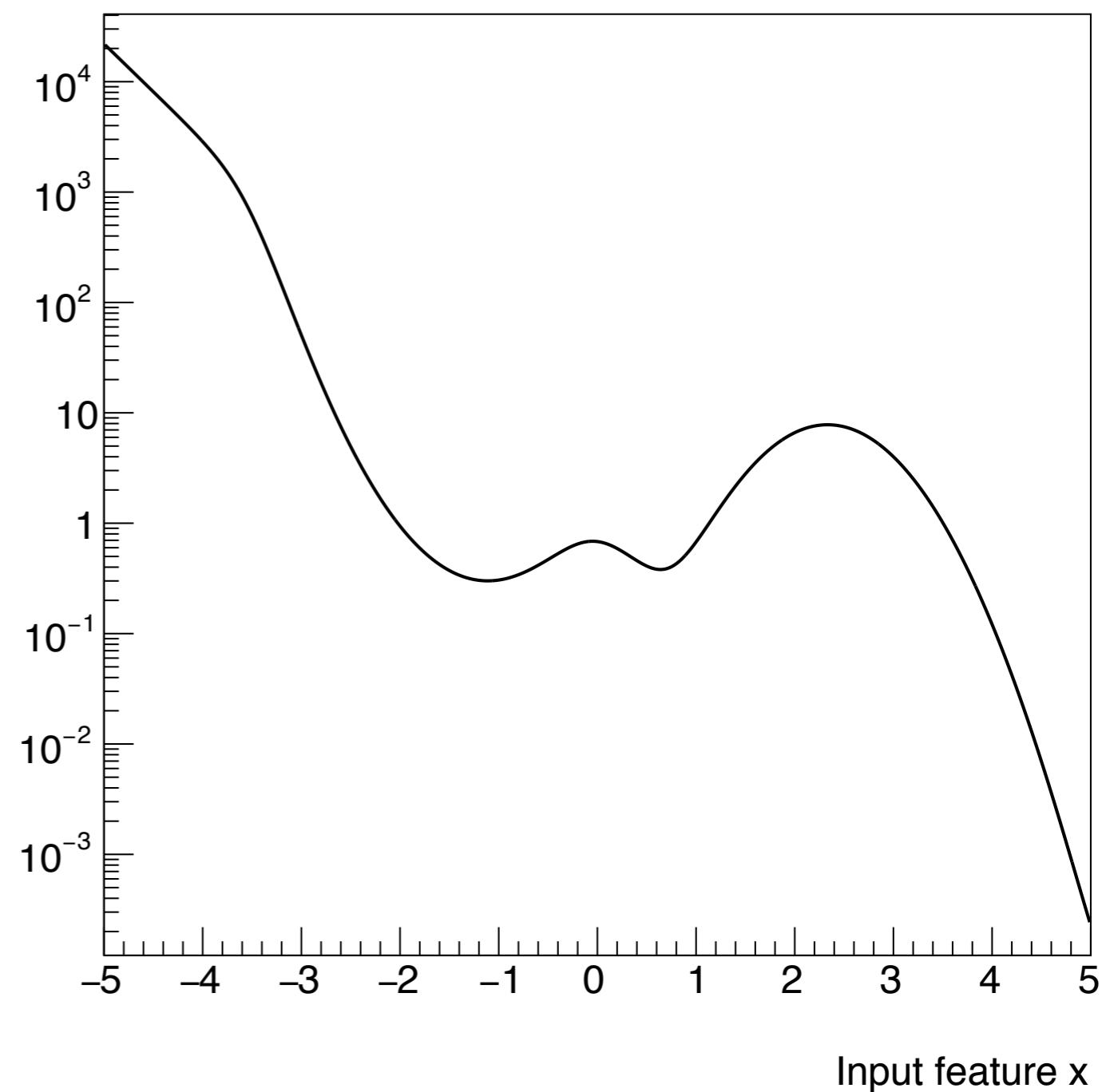


⇒ Try this example out!

# Getting into the machine's mind



Likelihood Ratio

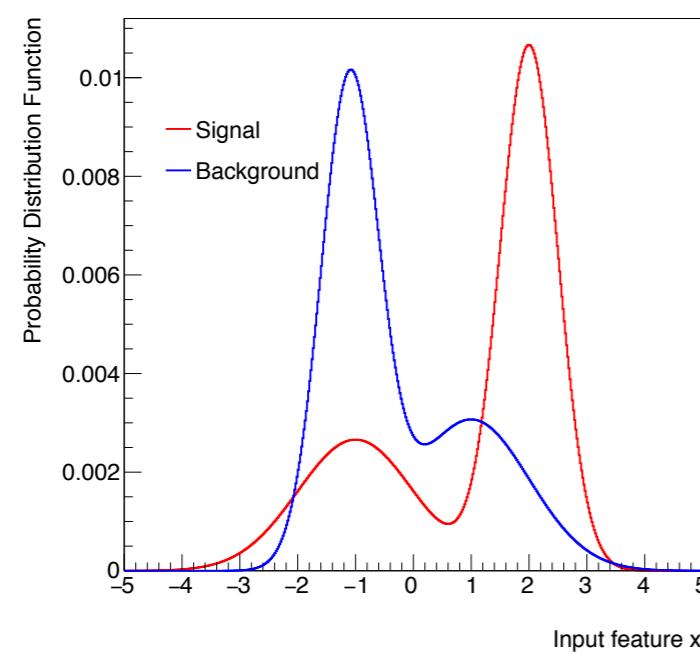
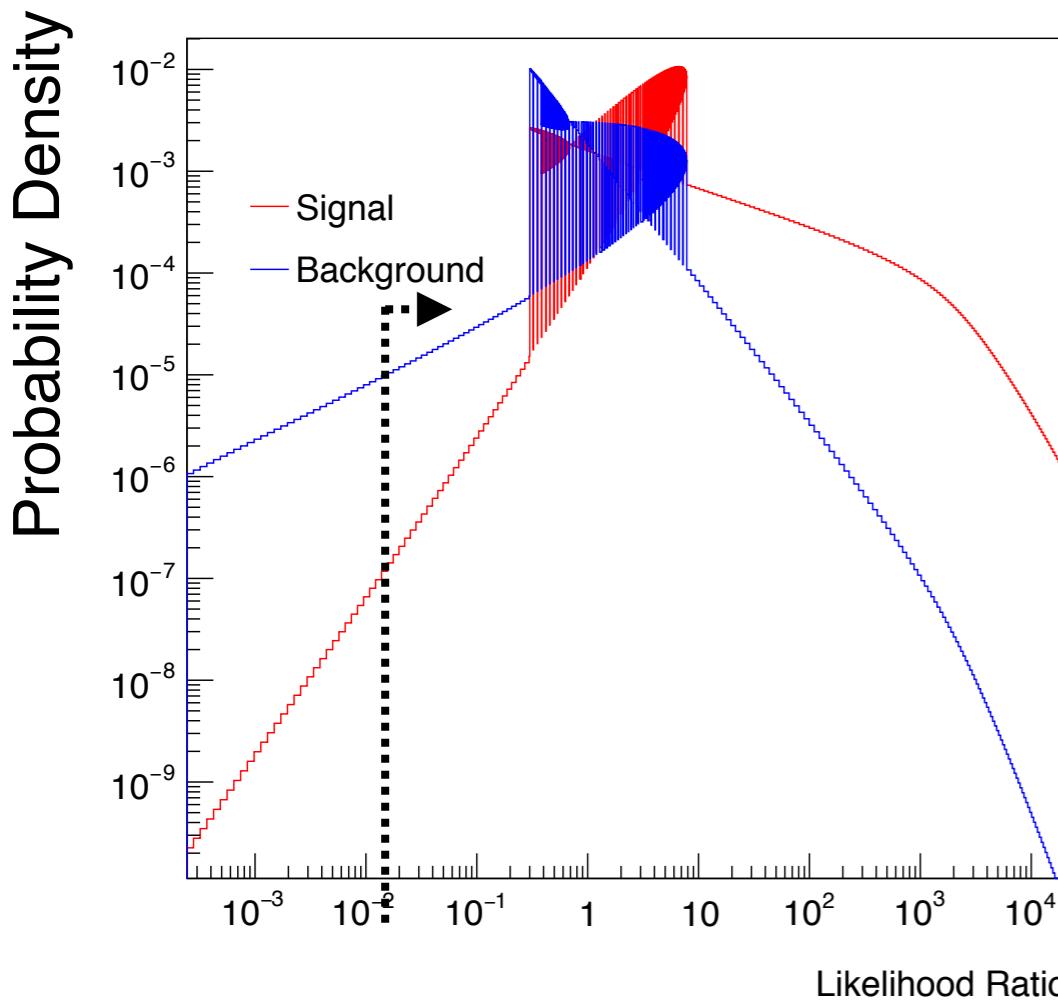


A threshold on  $x$   
would be sub-optimal

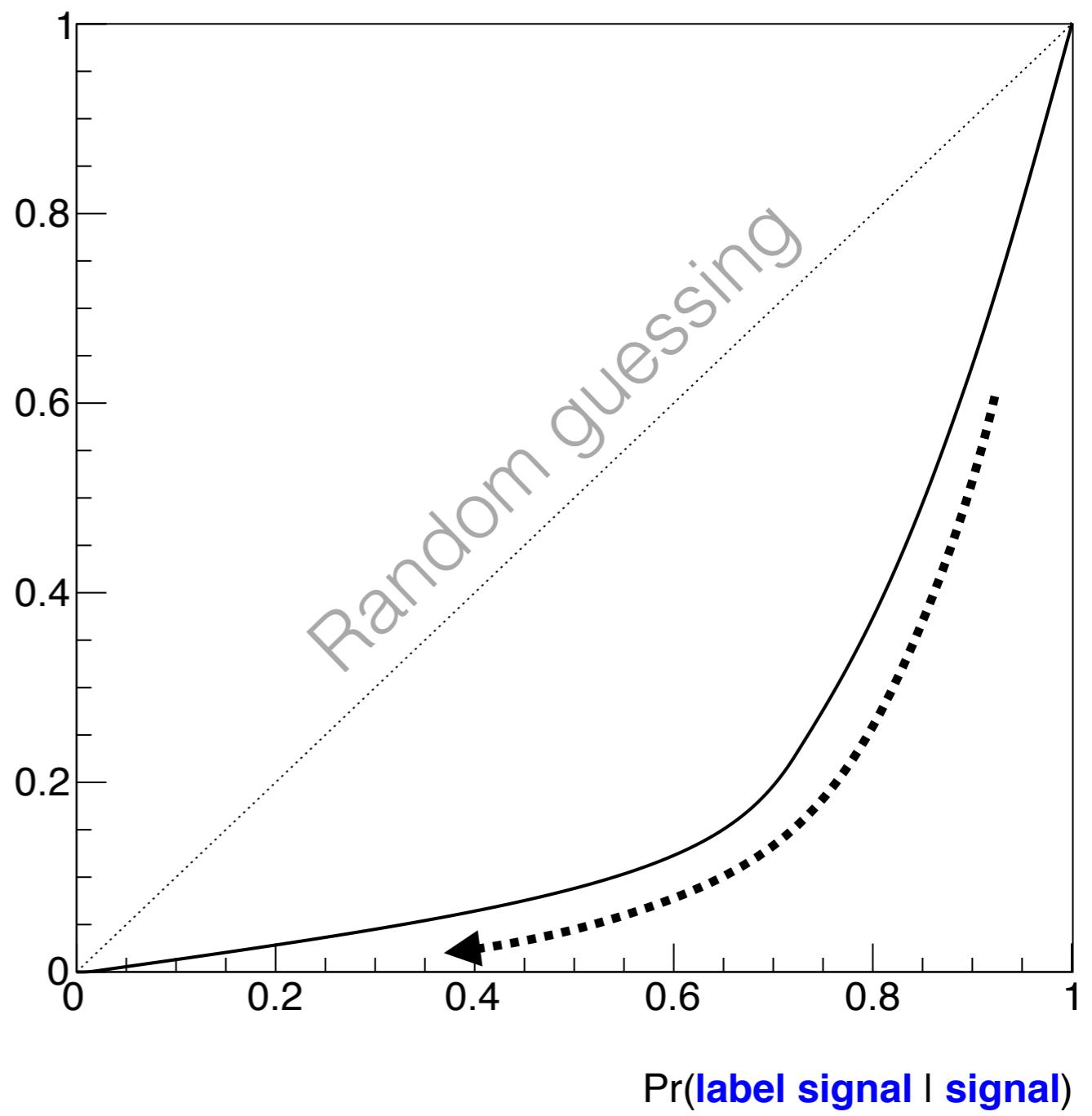
In this case, LL is highly  
non-linear function of  $x$

⇒ Try this example out!

# Getting into the machine's mind



$\Pr(\text{label signal} \mid \text{background})$

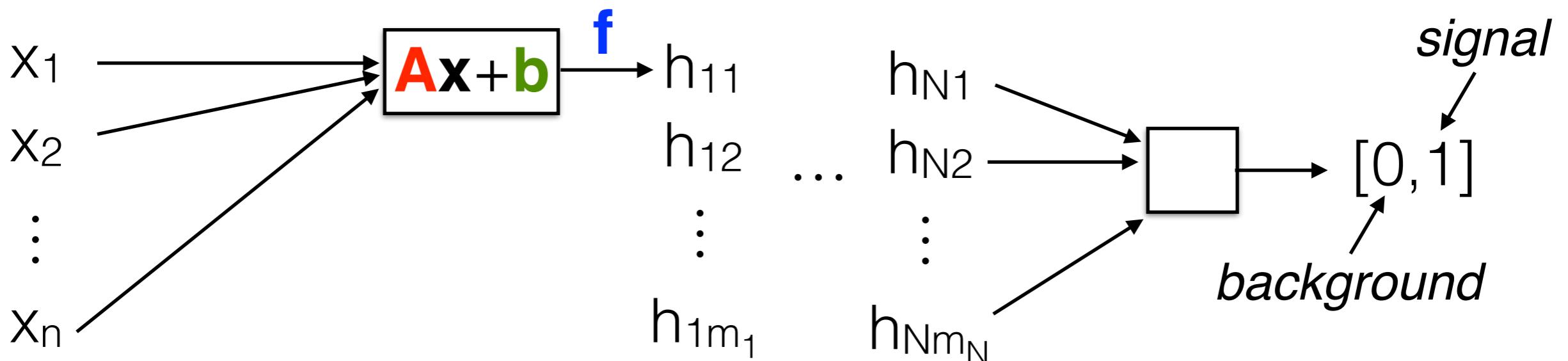


# The curse of dimensionality

In principle, you can do the same thing in  $N > 1$  dimensions. However, it very quickly gets out of hand!

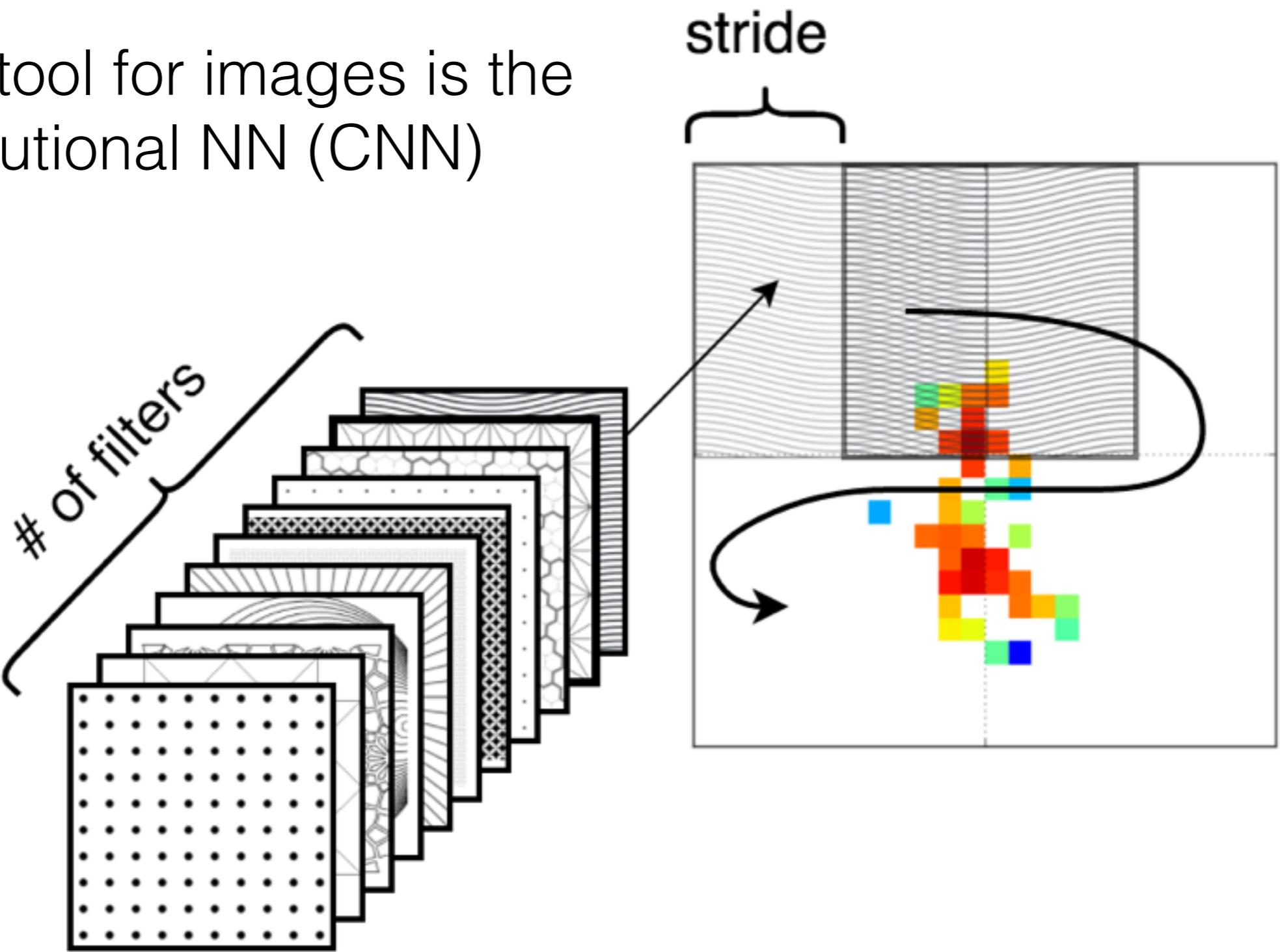
**That is where NN's come in.**

*Image  $\sim 1000$  dimensional*



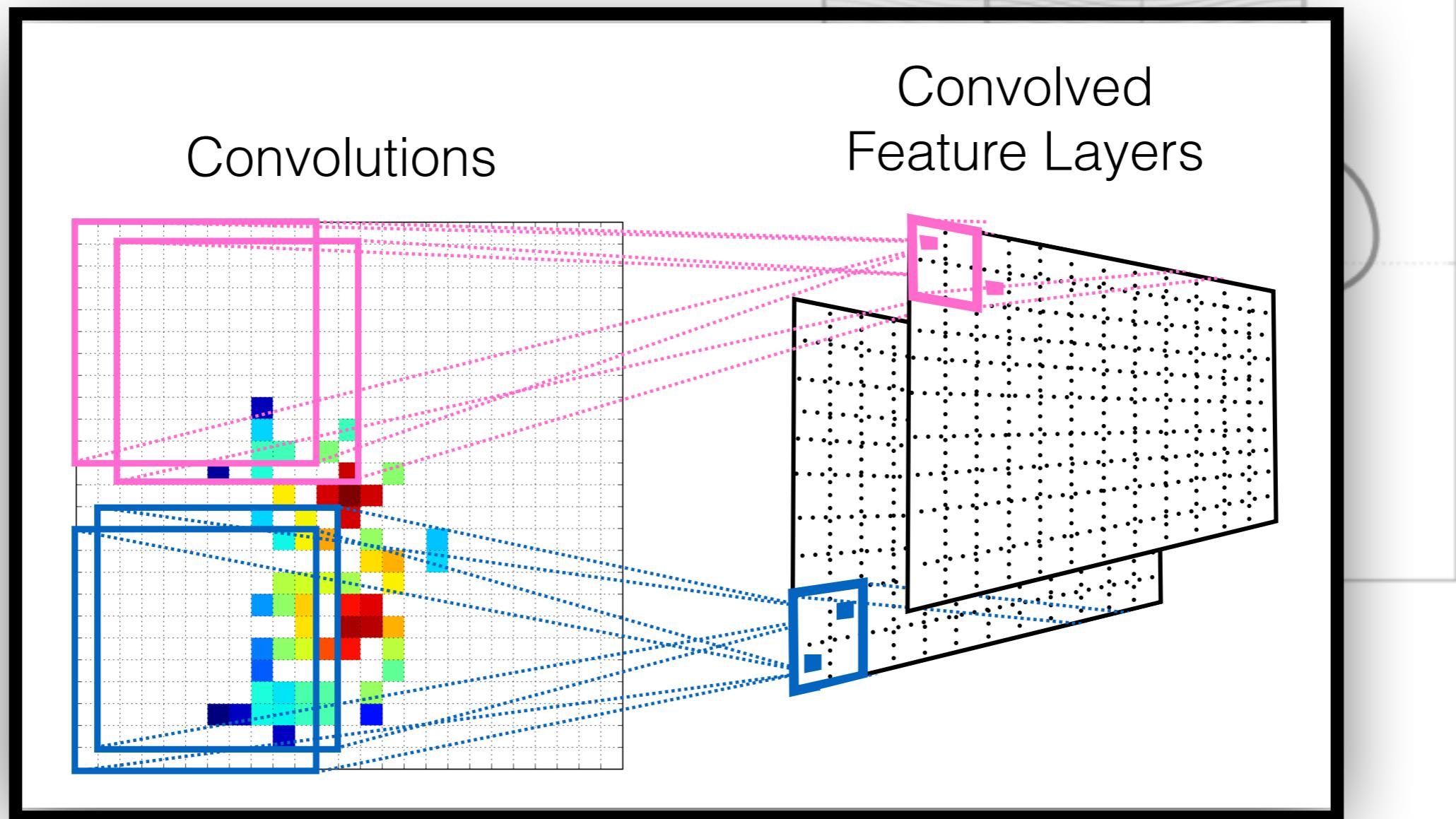
*Let's see how we can use DNN's for jet image classification*

Common tool for images is the convolutional NN (CNN)



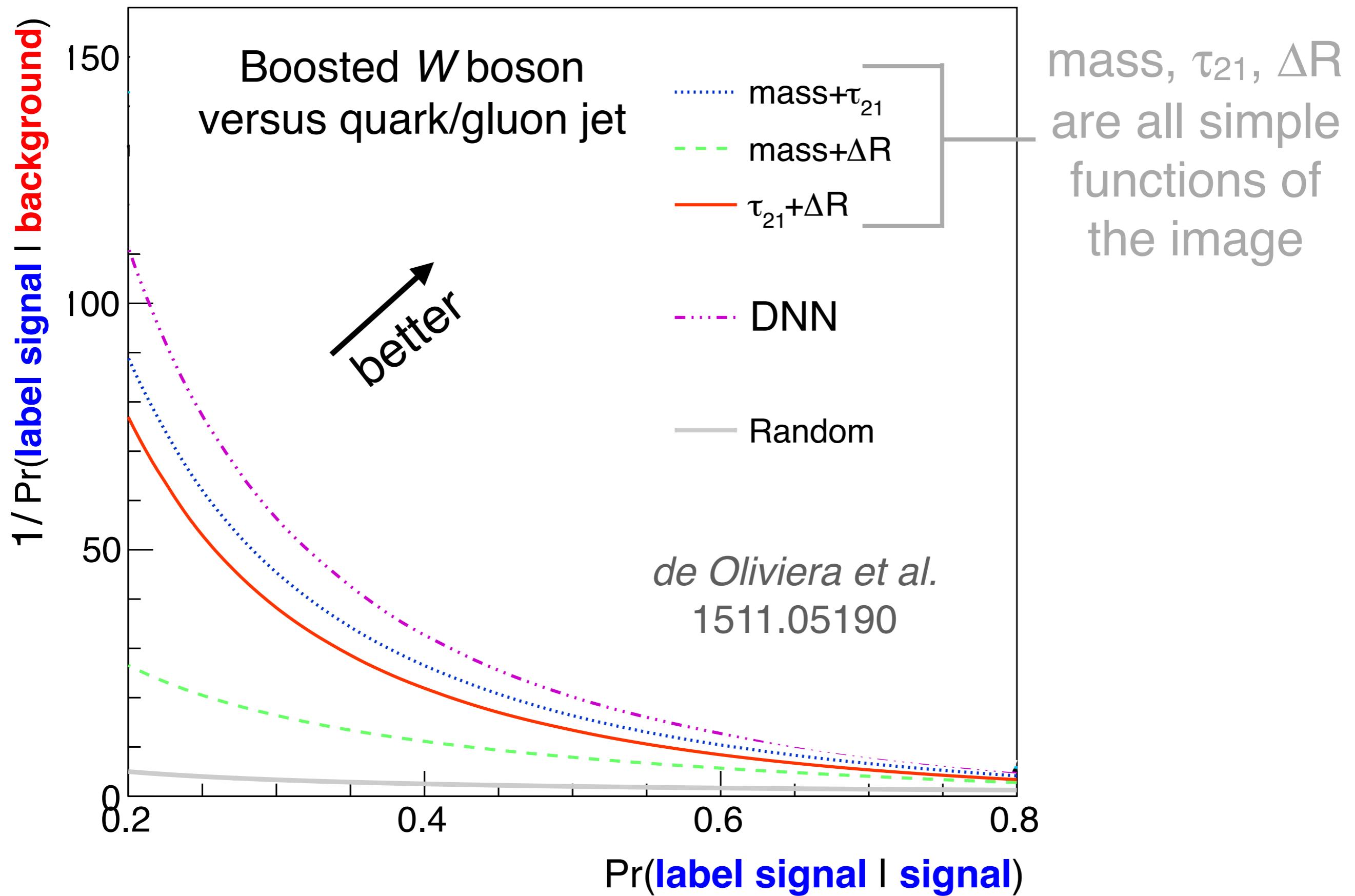
The filter is like the **A**, only the dimensionality is now the filter size ( $\ll n$ ) and not the image size ( $n$ ).

Common tool for images is the convolutional NN (CNN)

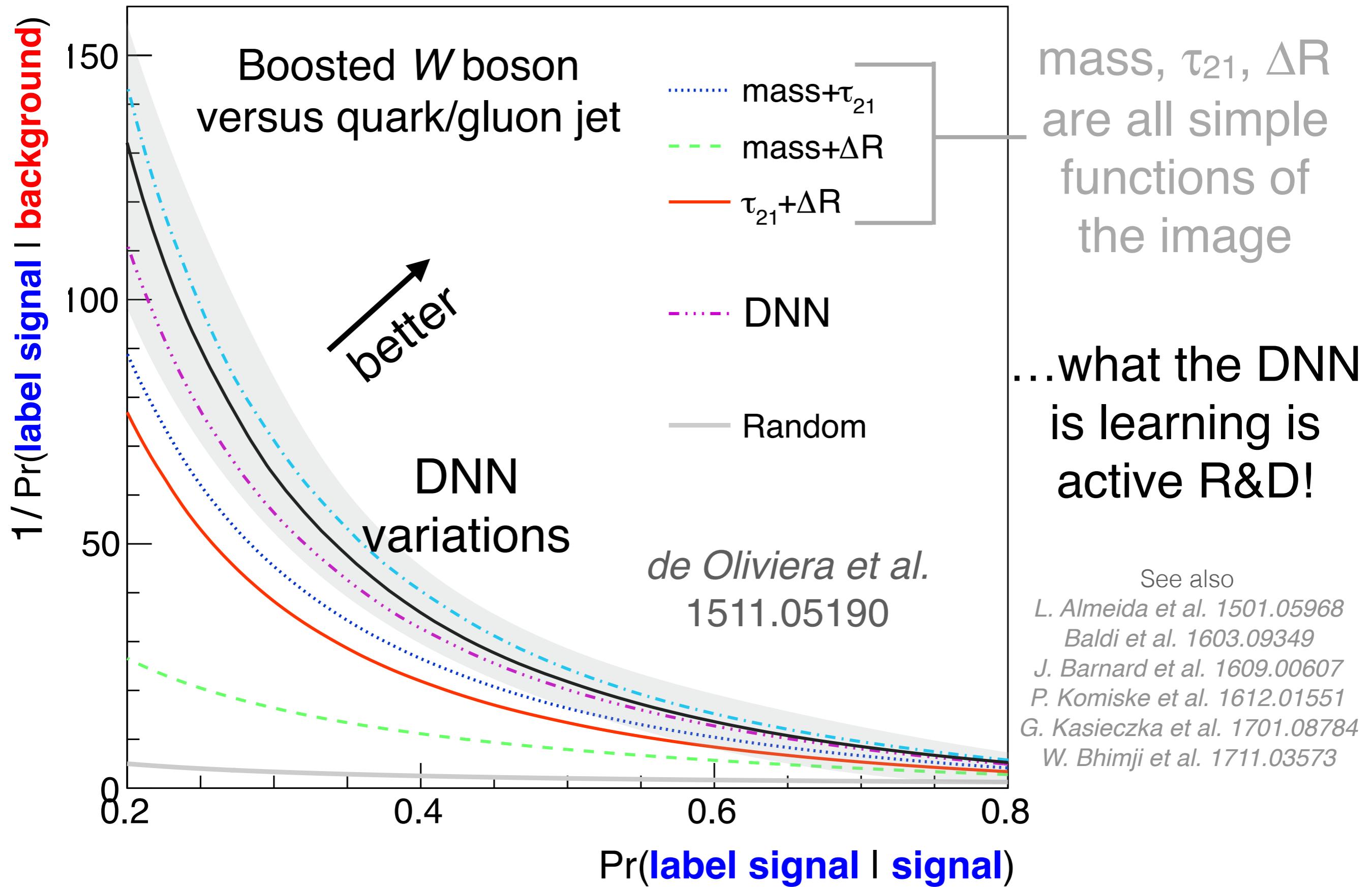


The filter is like the **A**, only the dimensionality is now the filter size ( $\ll n$ ) and not the image size ( $n$ ).

# Modern Deep NN's for Classification

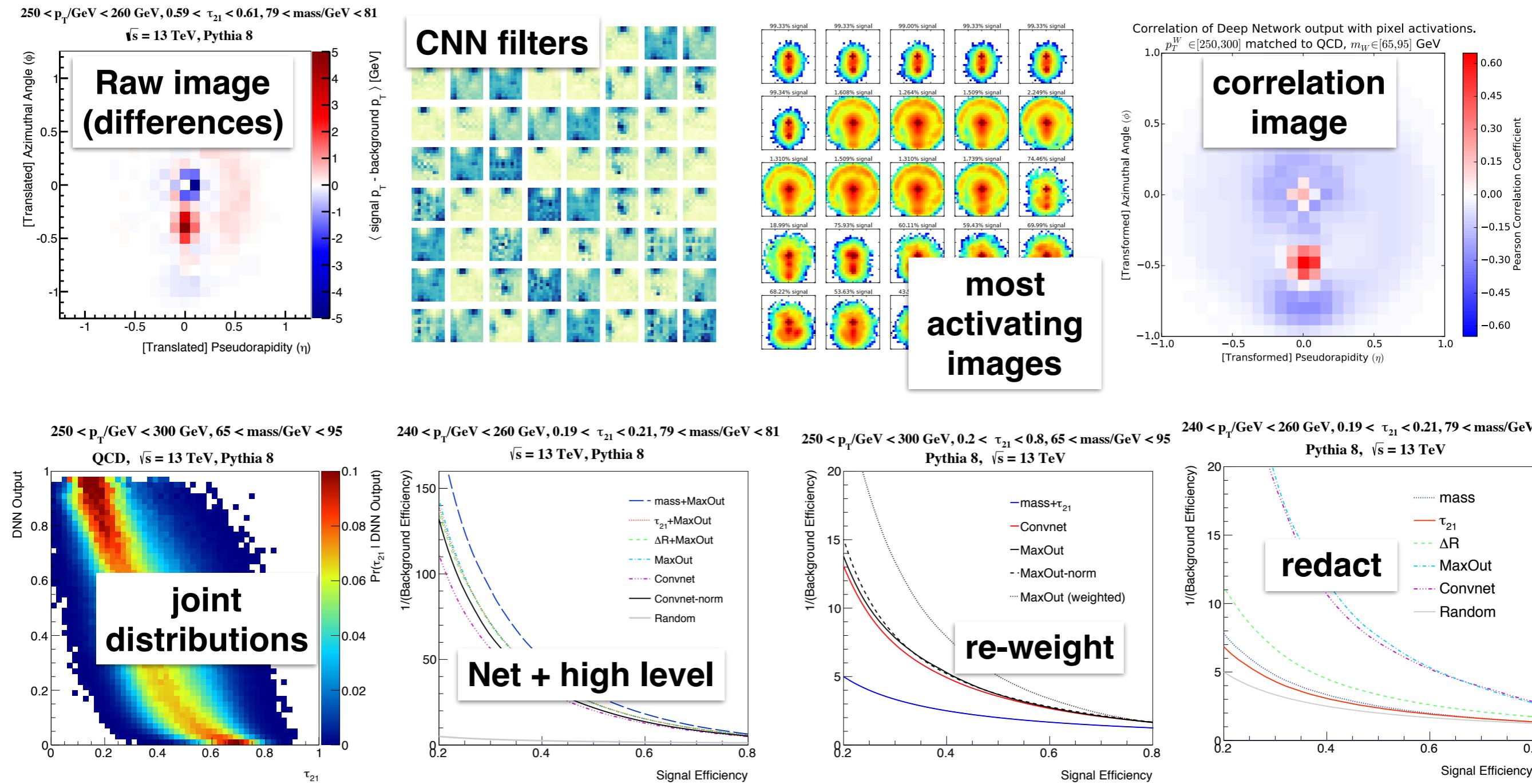


# Modern Deep NN's for Classification



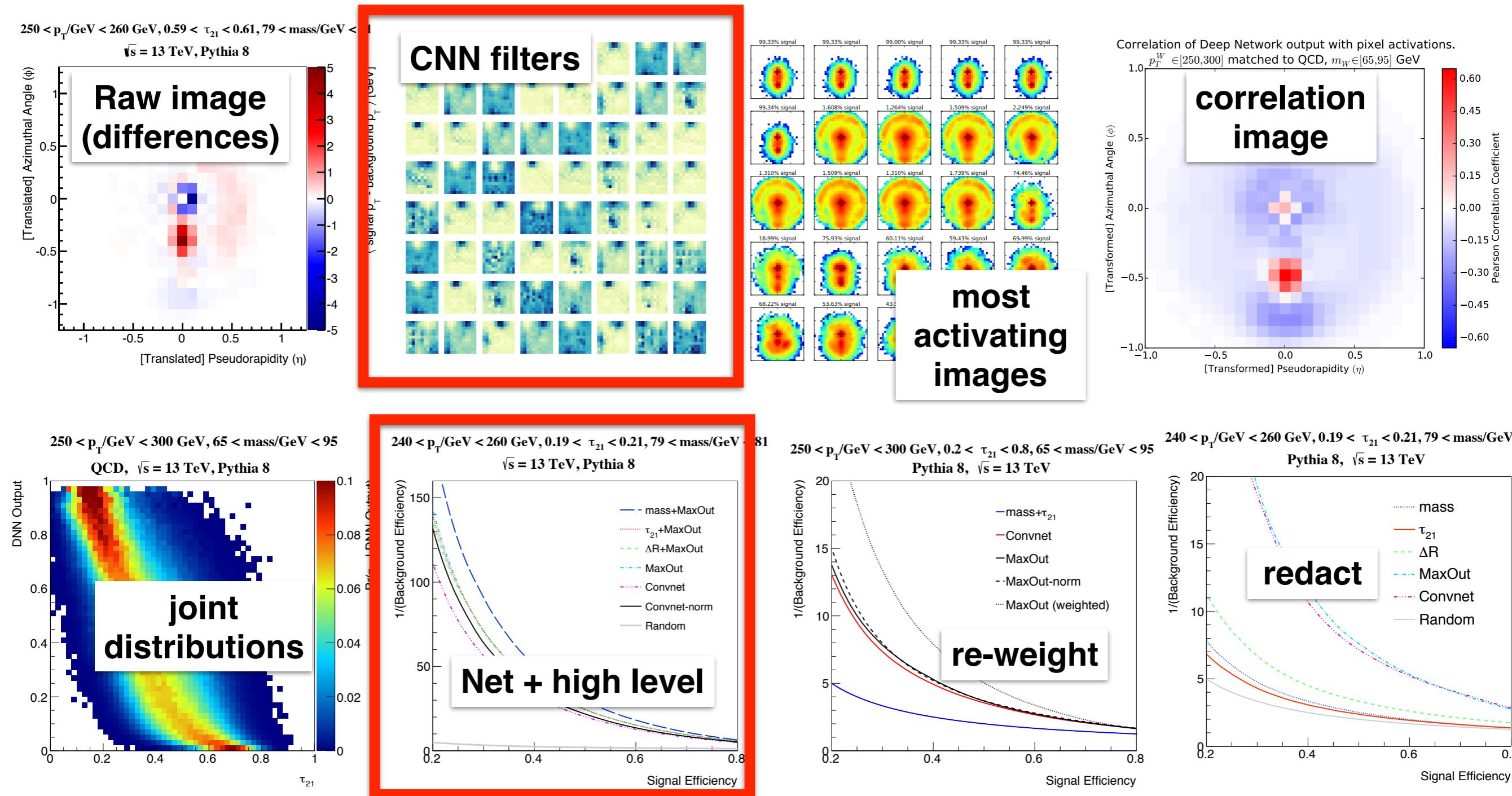
# Learning about Learning

Opening the **box** is critical for improving robustness



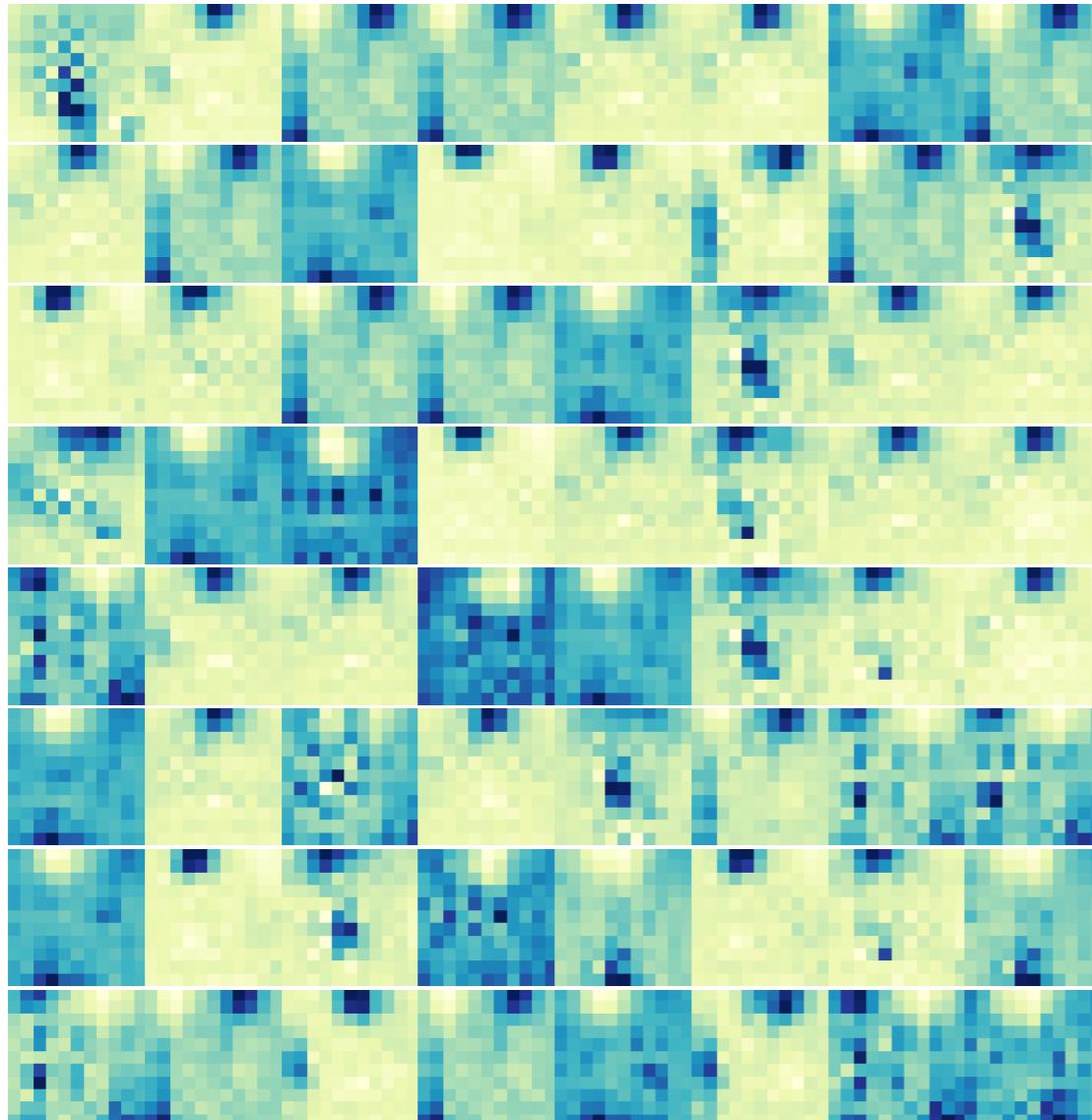
# Learning about Learning

Opening the **box** is critical for improving robustness



# Convolutional Filters

Filters are images! Can visualize ‘higher-level features’ learned by the network



Jet Images

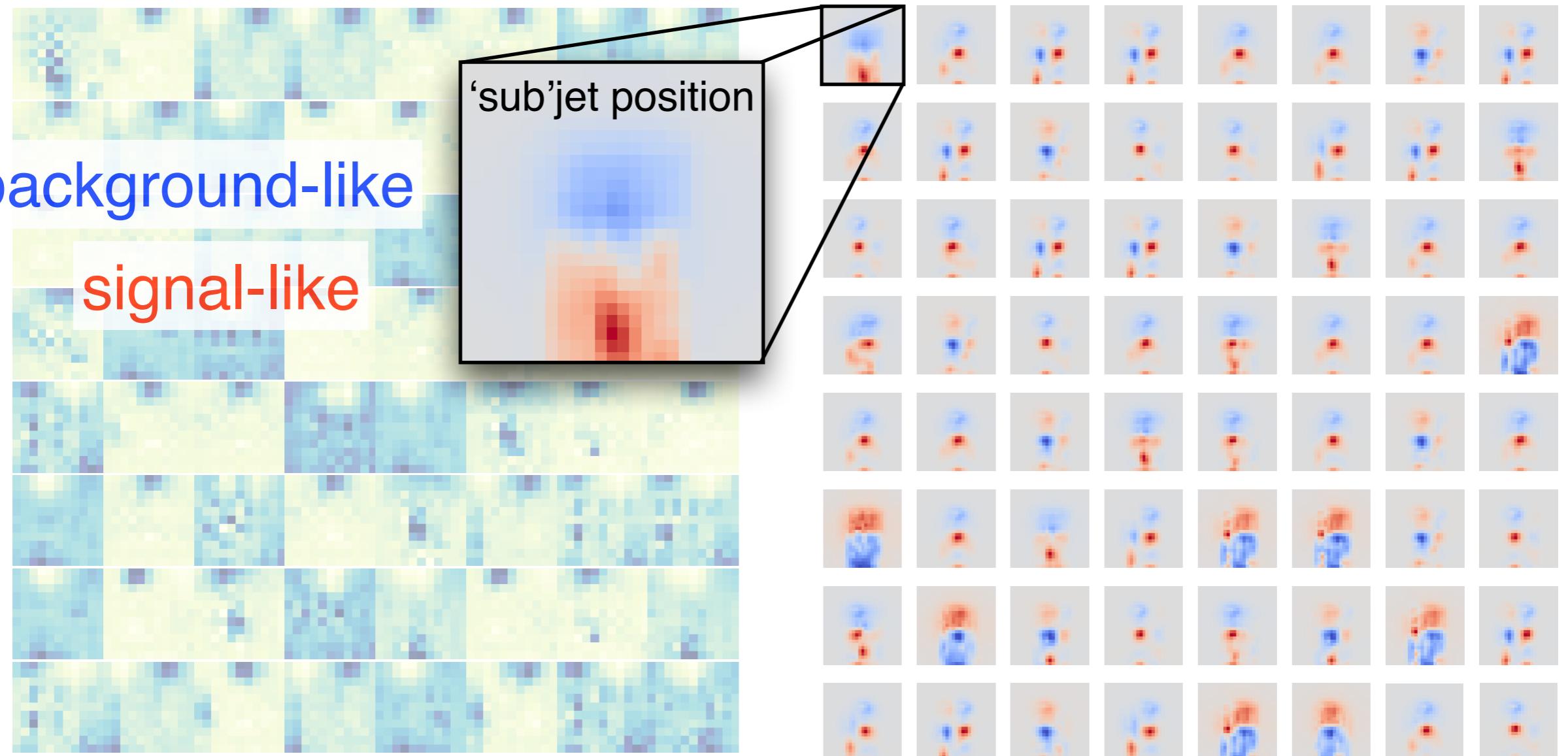
*learned edge detection*



“Natural” Images

# Convolutional Filters

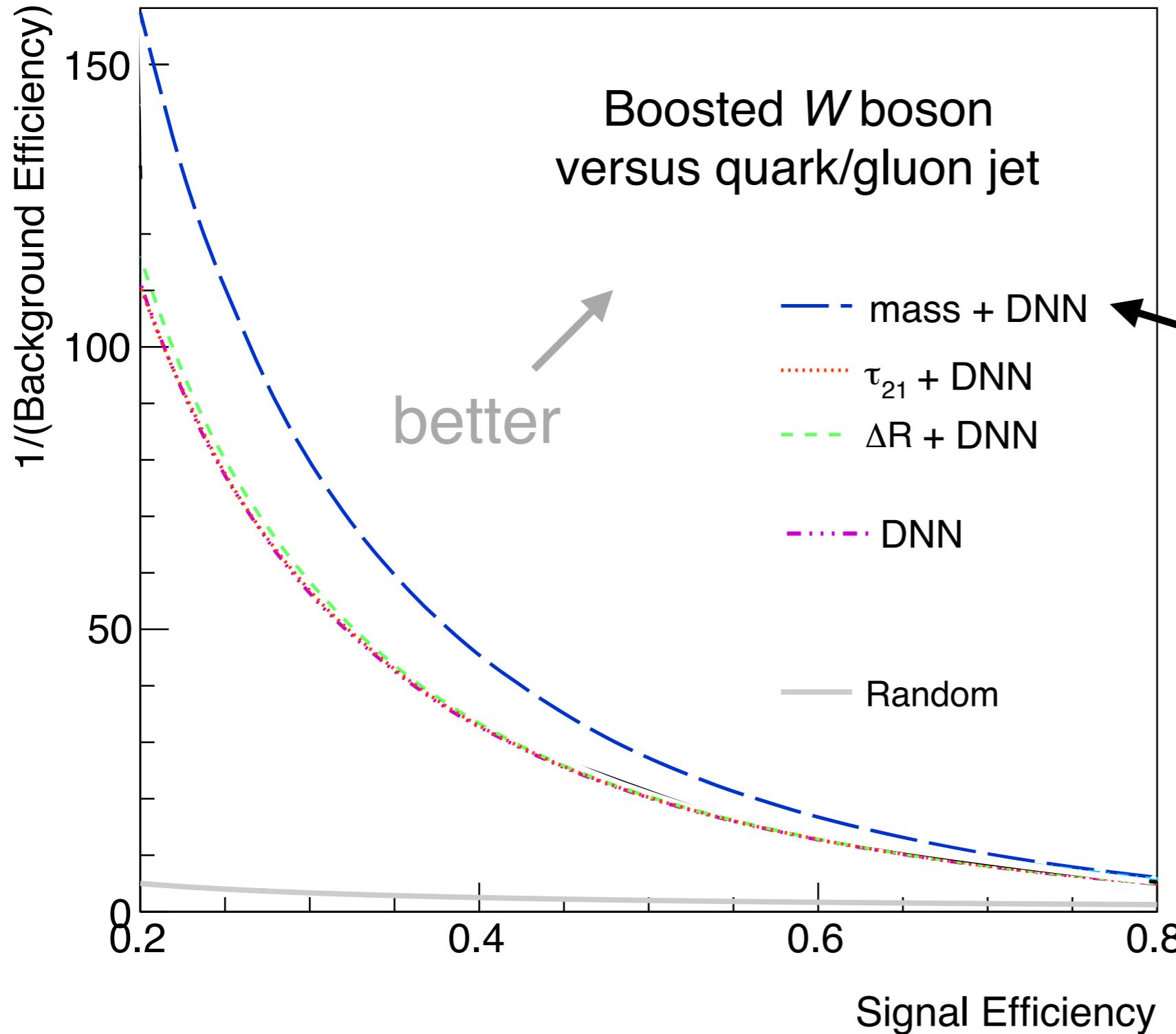
Filters are images! Can visualize ‘higher-level features’ learned by the network



Jet Images Layer 1 Filters

Filters convolved with  
signal - background

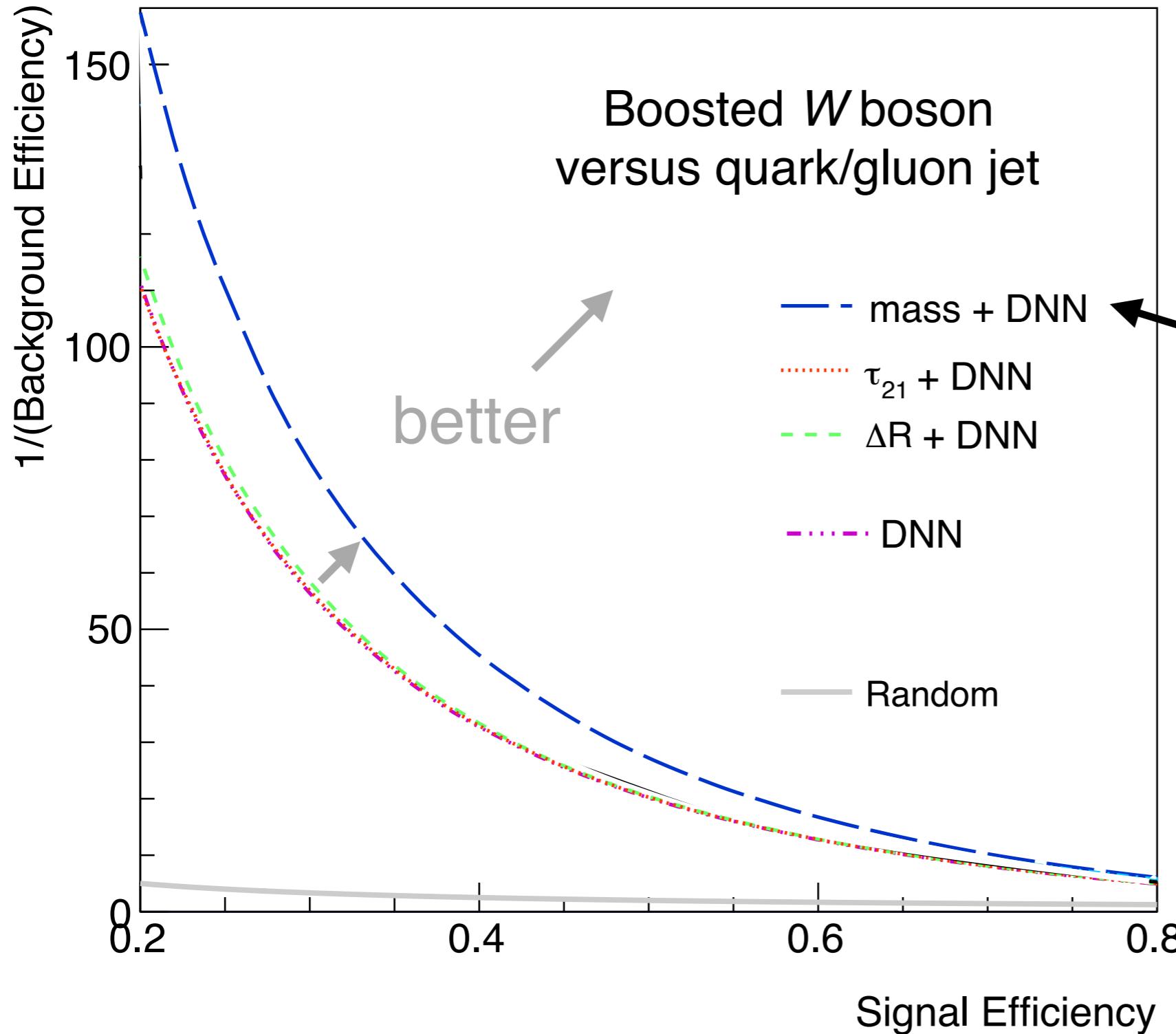
# Is there more to learn that we know about?



Idea: explicitly  
combine NN with  
a known feature

Has learned  
image mass?

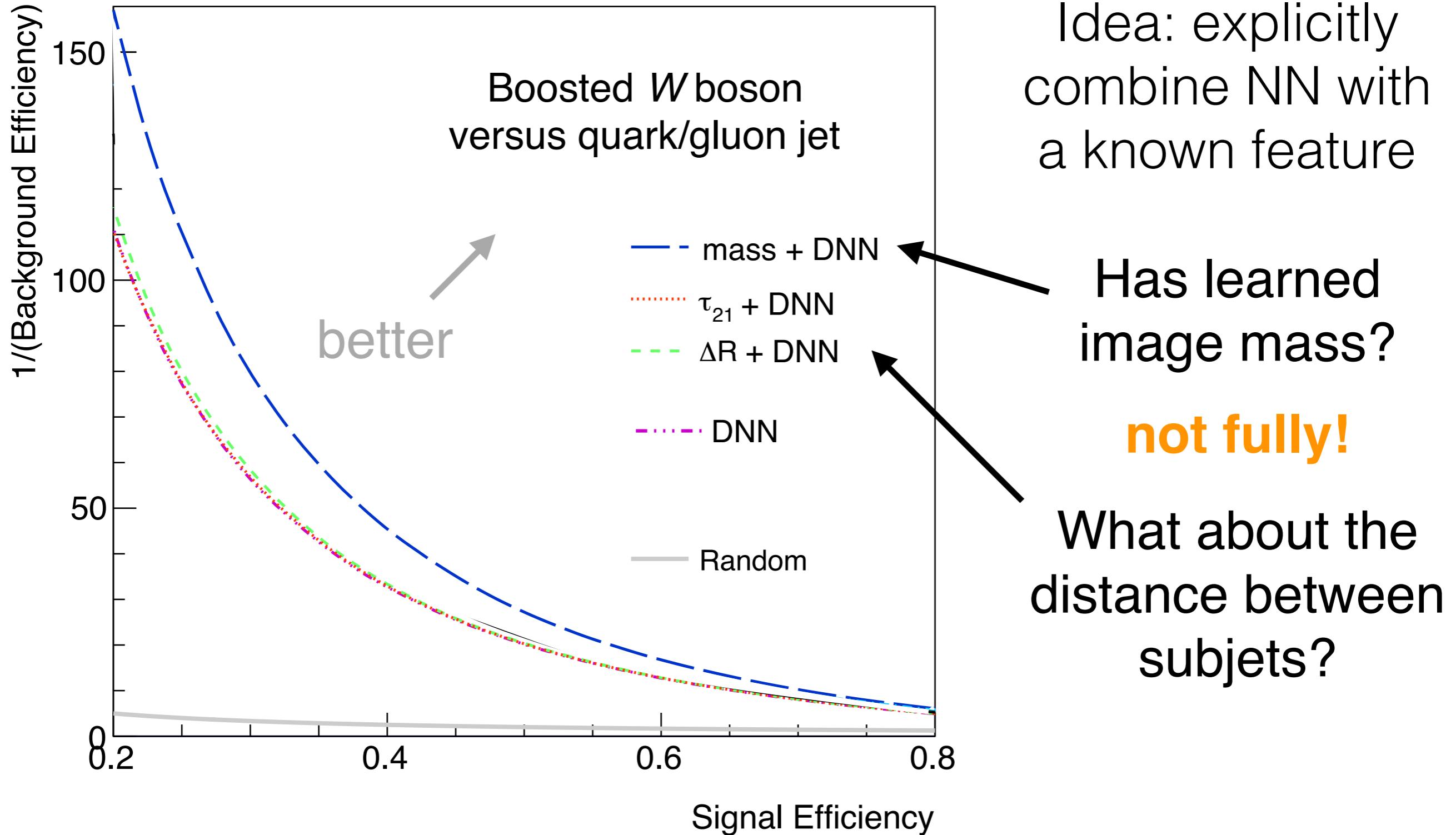
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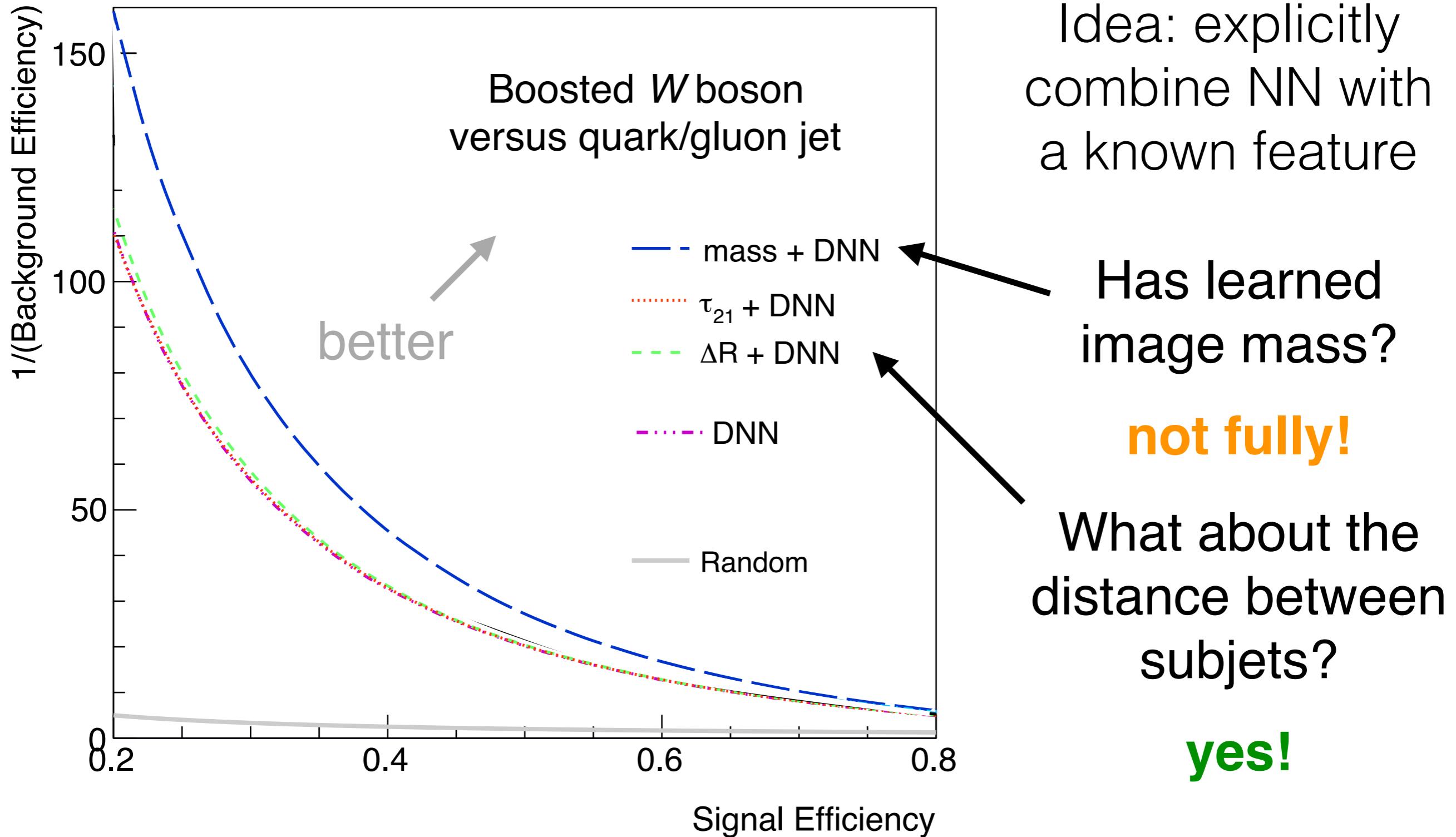
Idea: explicitly  
combine NN with  
a known feature

Has learned  
image mass?  
**not fully!**

# Is there more to learn that we know about?



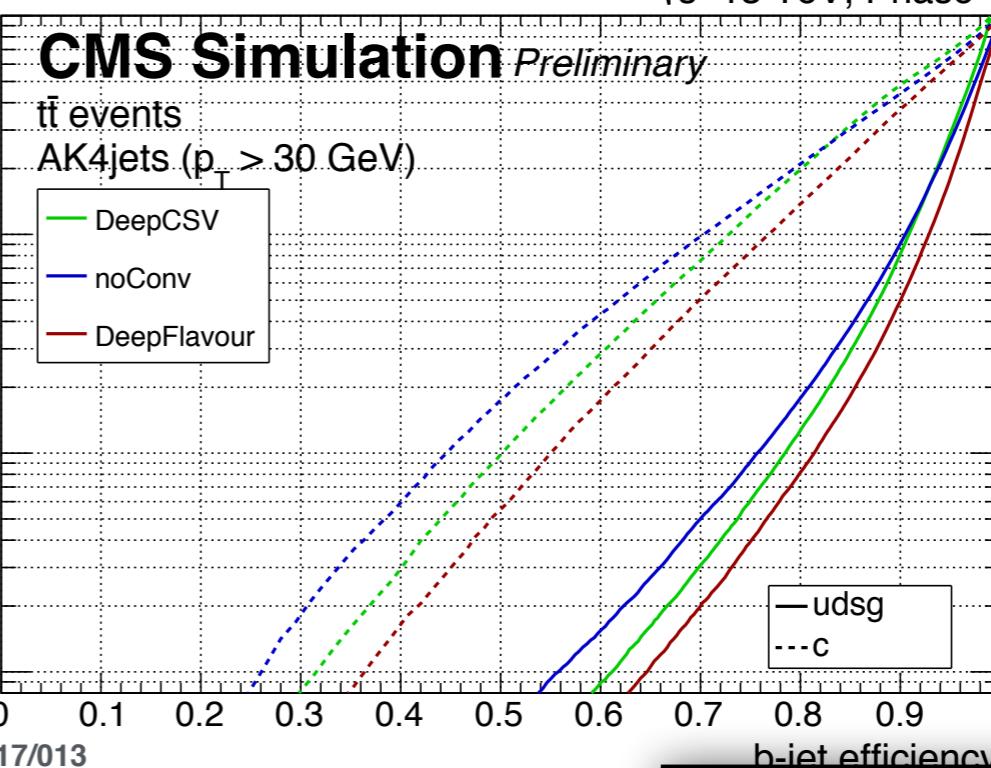
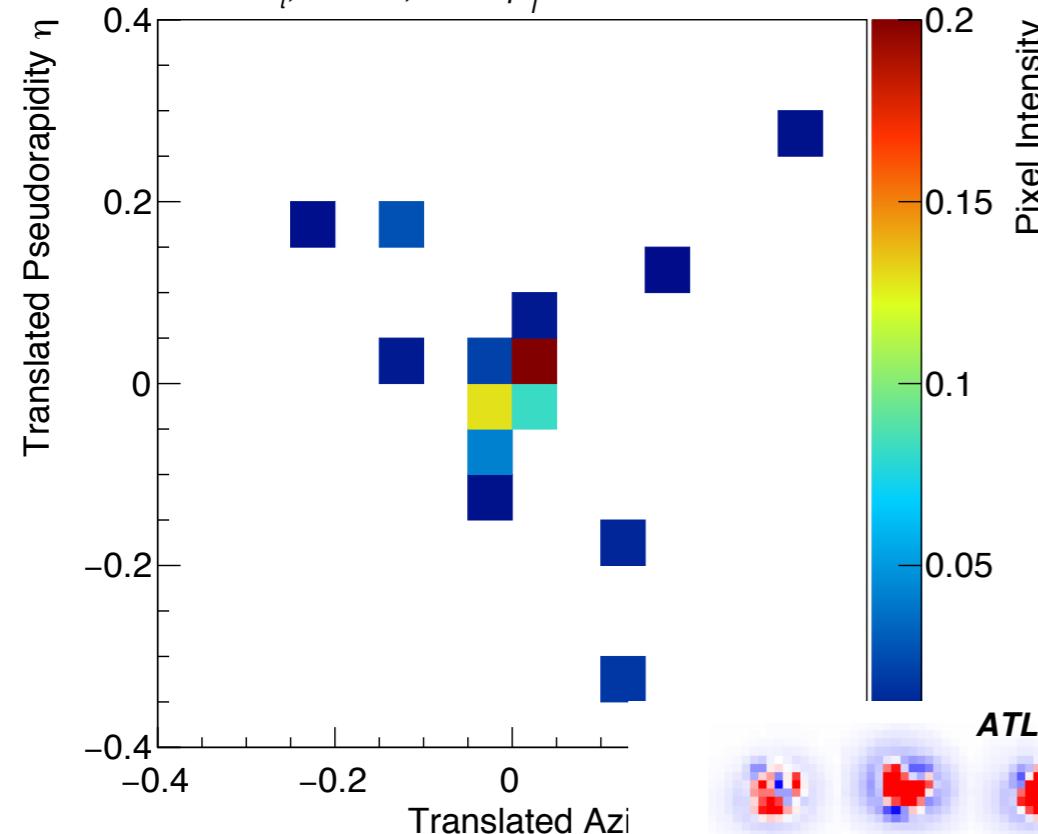
# Is there more to learn that we know about?



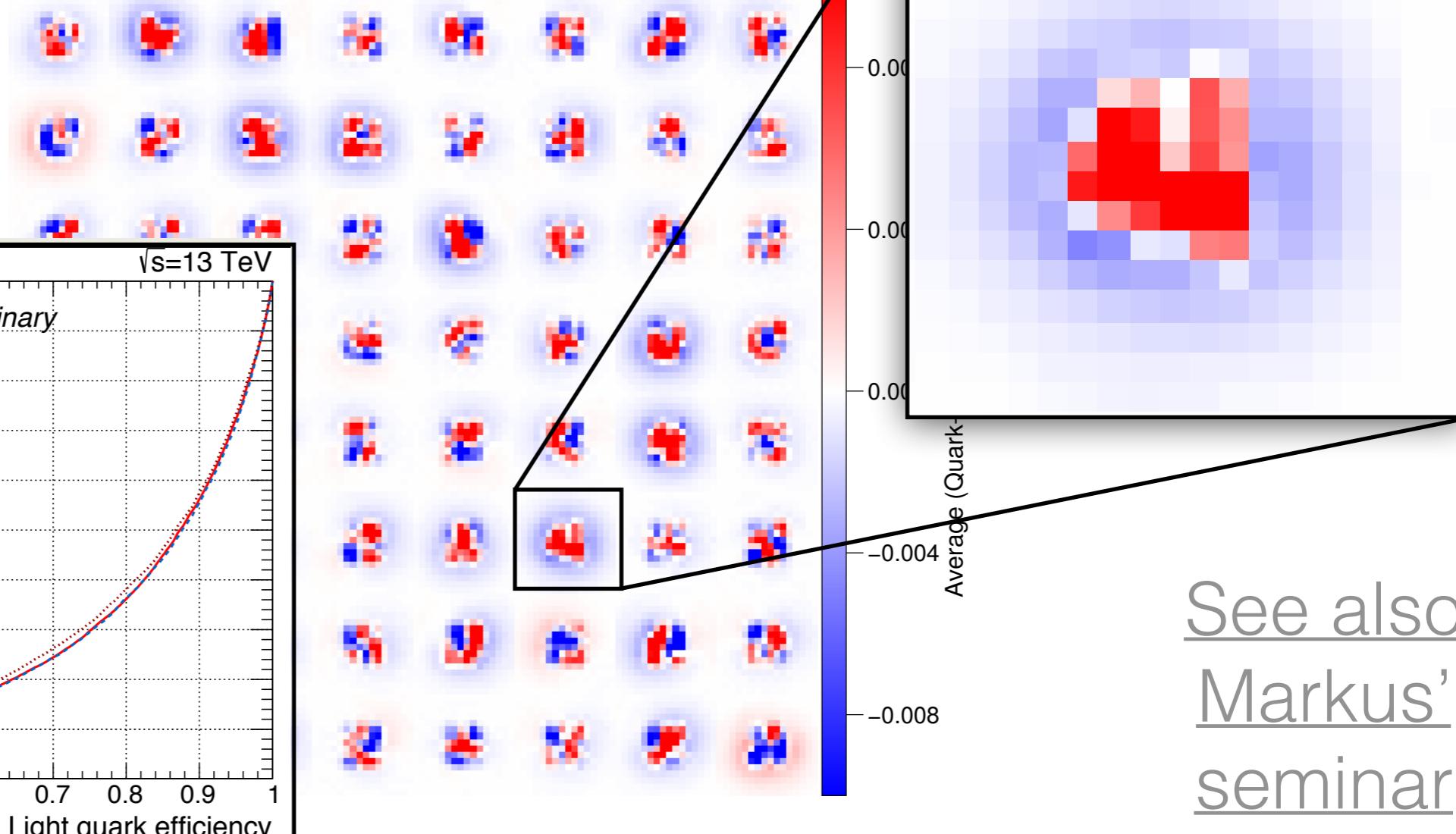
**ATLAS** Simulation Preliminary

Gluon Jets, Track Constituents

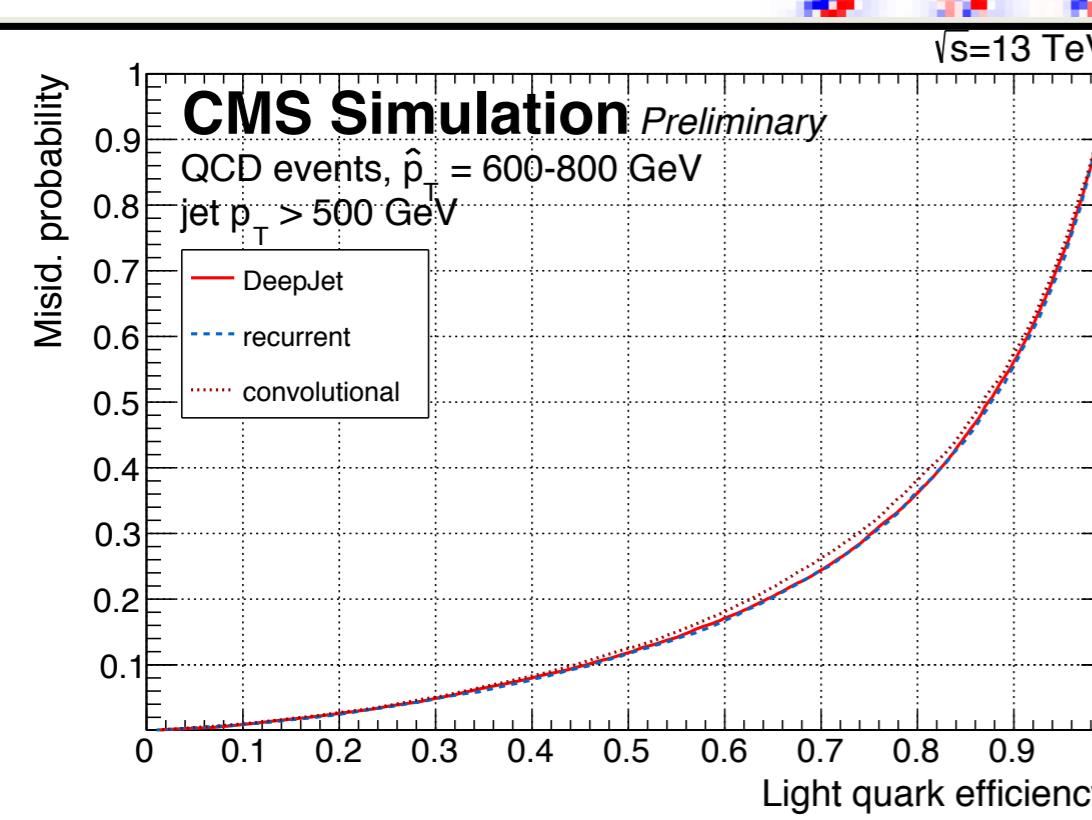
anti- $k_t$ ,  $R = 0.4$ ,  $150 < p_T/\text{GeV} < 200$



**ATLAS** Simulation Internal

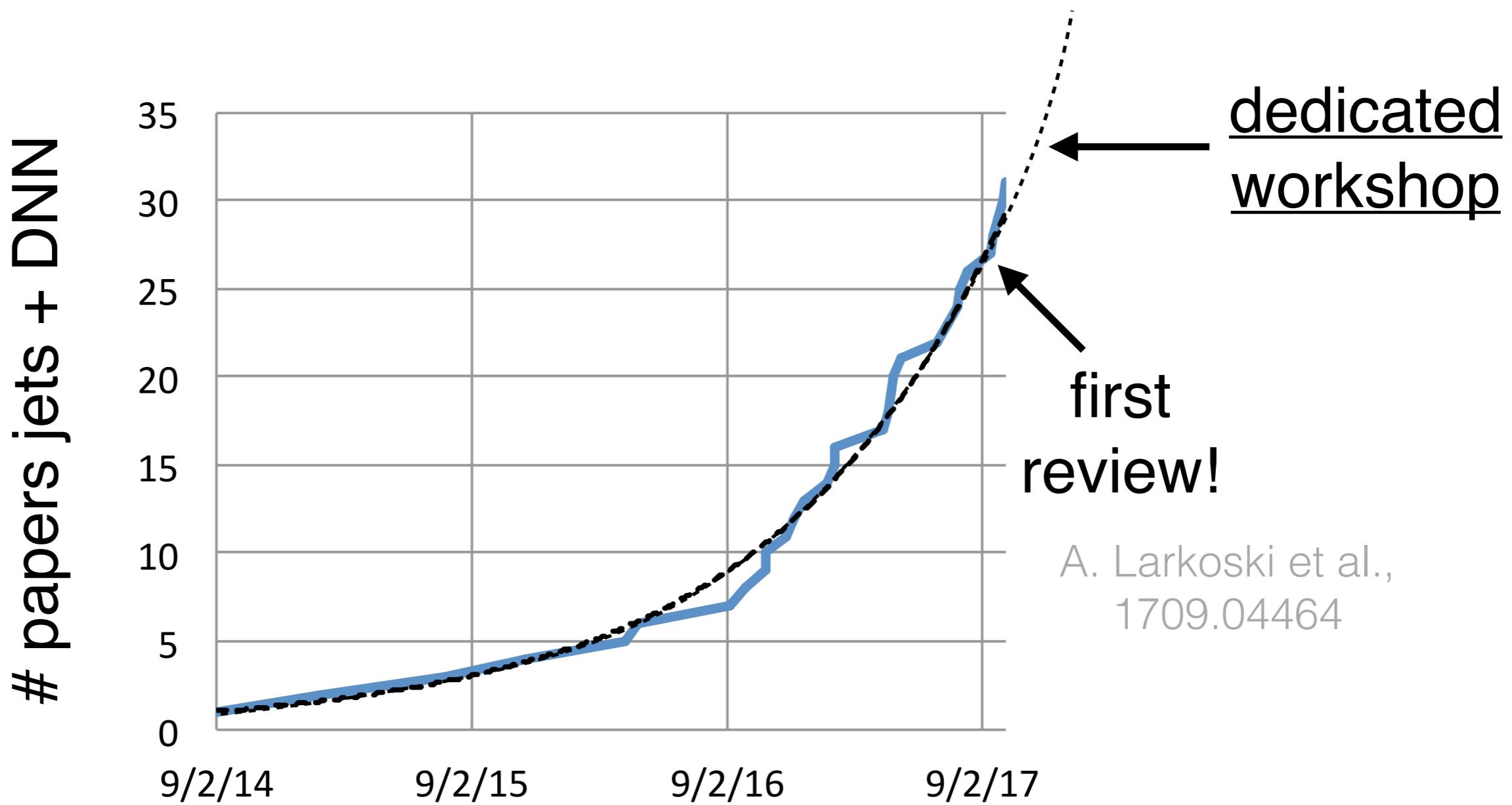


See also  
Markus'  
seminar



# Exciting New Directions

So far only scratches the surface  
...this is a very active field of research!

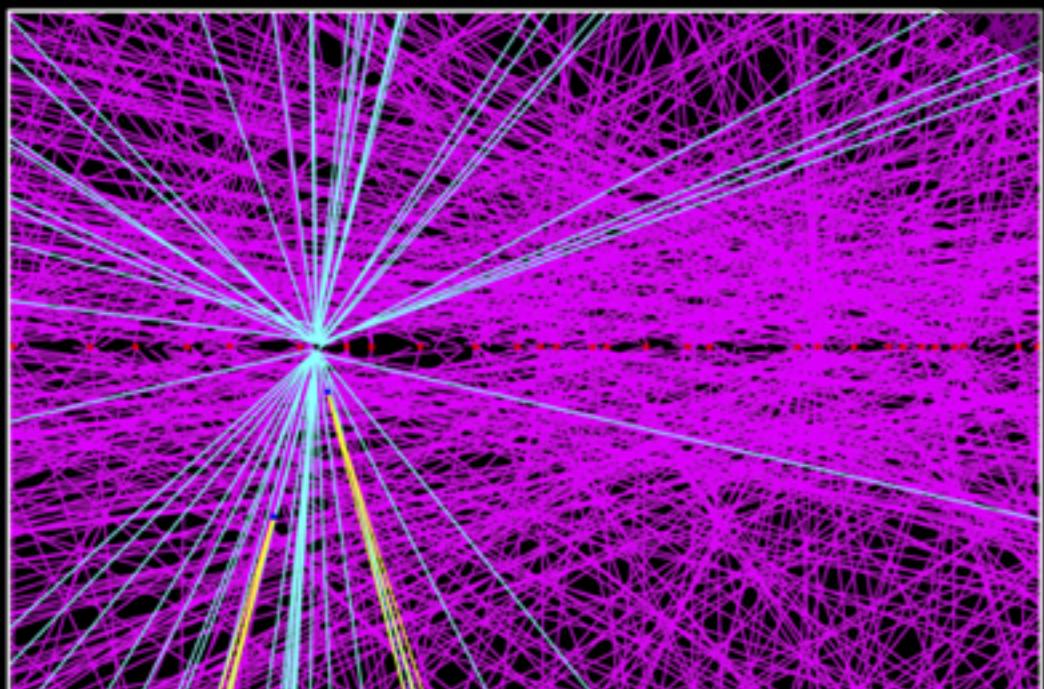


# Exciting New Directions I: Removing Noise

$pp$  collisions at the LHC  
don't happen one at a time!

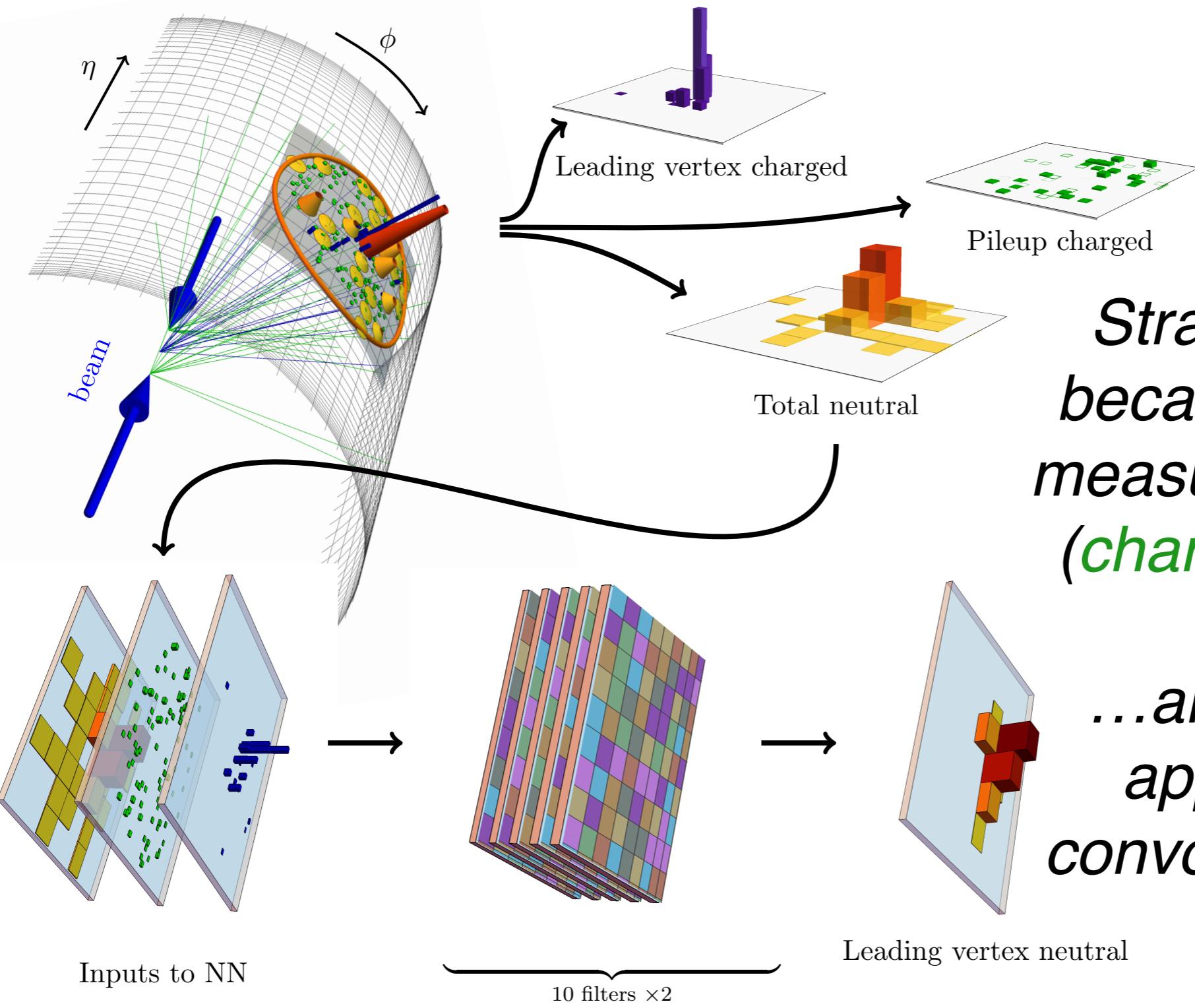


the extra collisions are called **pileup**  
and add soft radiation on top of our jets



this is akin to image  
de-noising - we can  
use ML for that!

# Exciting New Directions I: Removing Noise



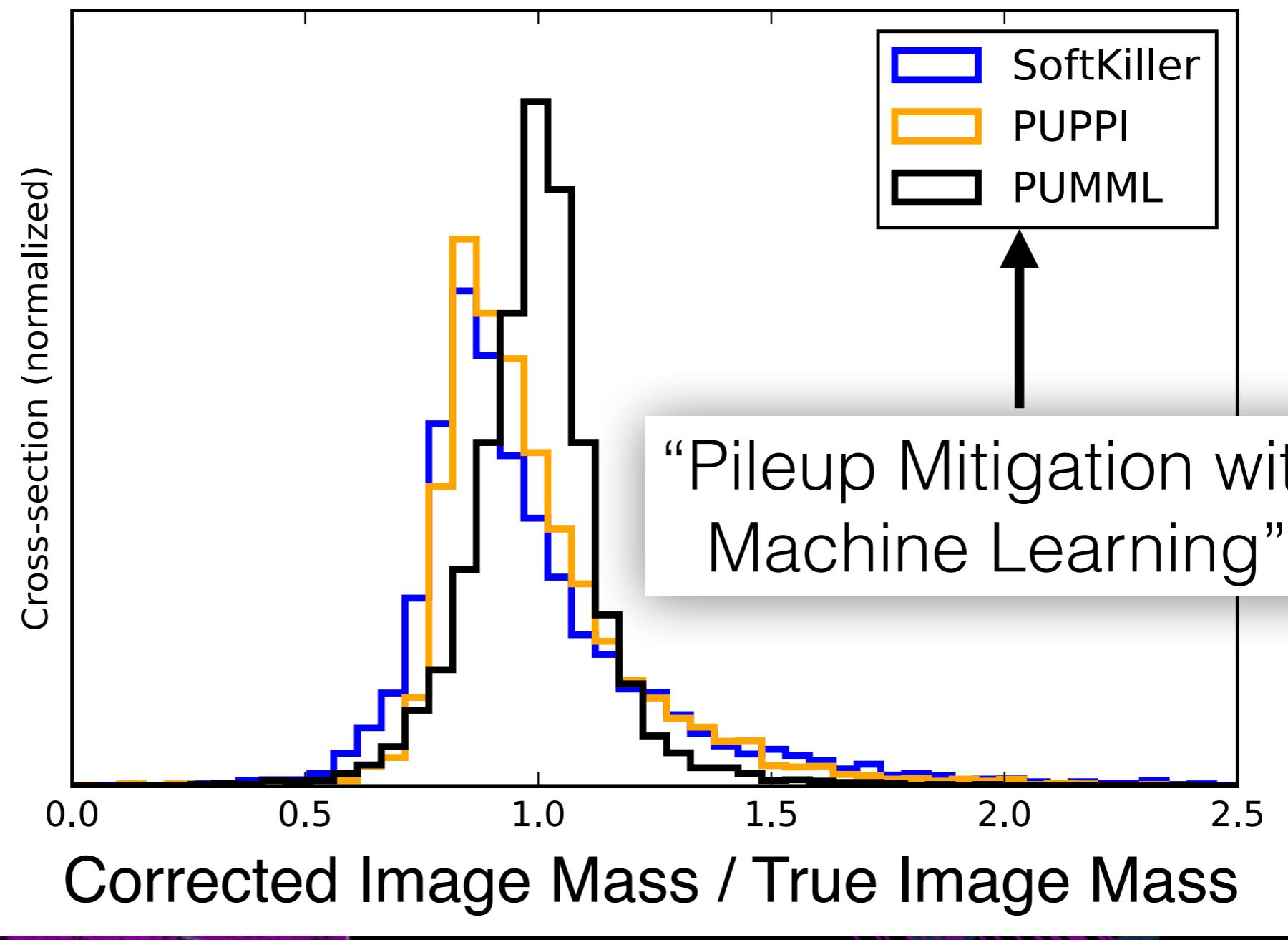
*Strange noise  
because we can  
measure  $\sim 2/3$  of it  
(charged pileup)*

*...also a natural  
application of  
convolutional NNs!*

# Exciting New Directions I: Removing Noise



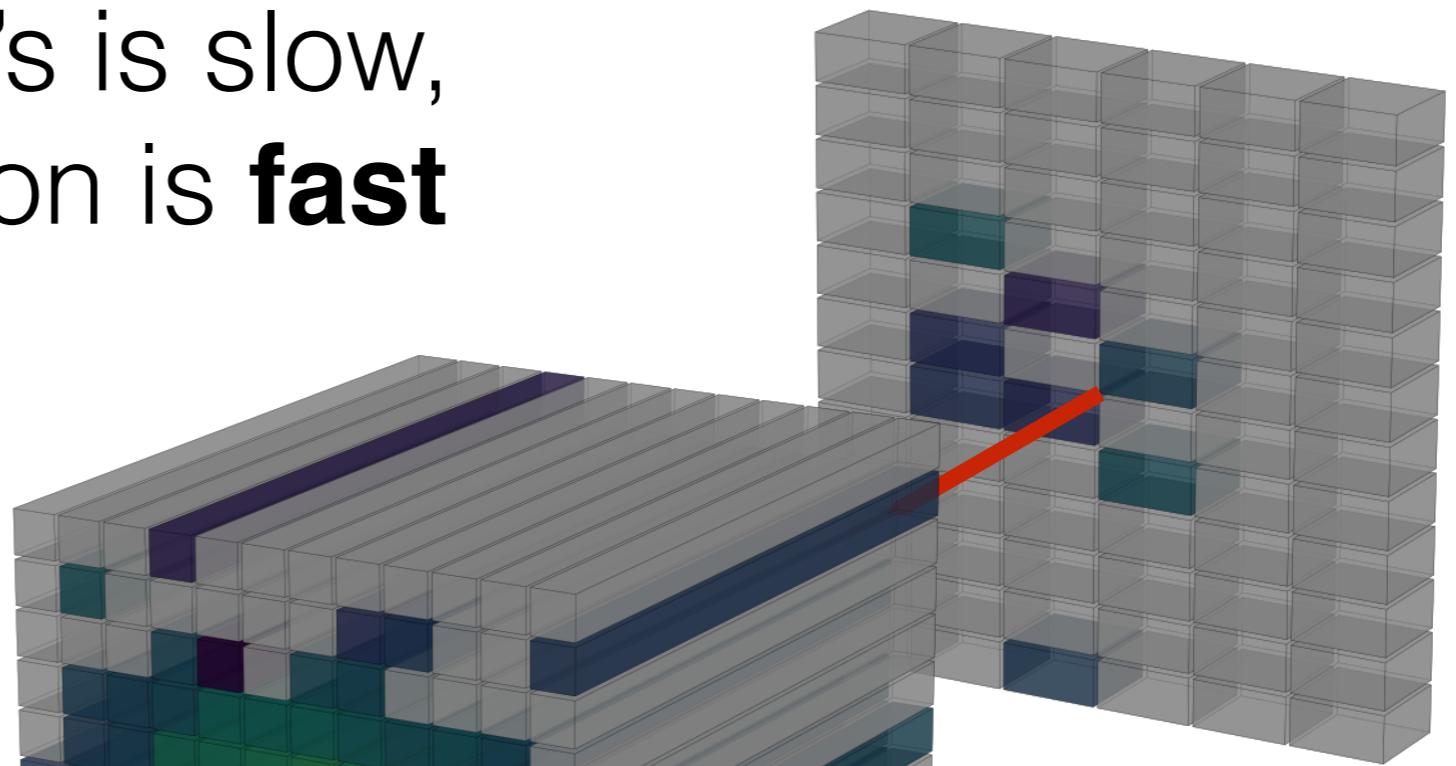
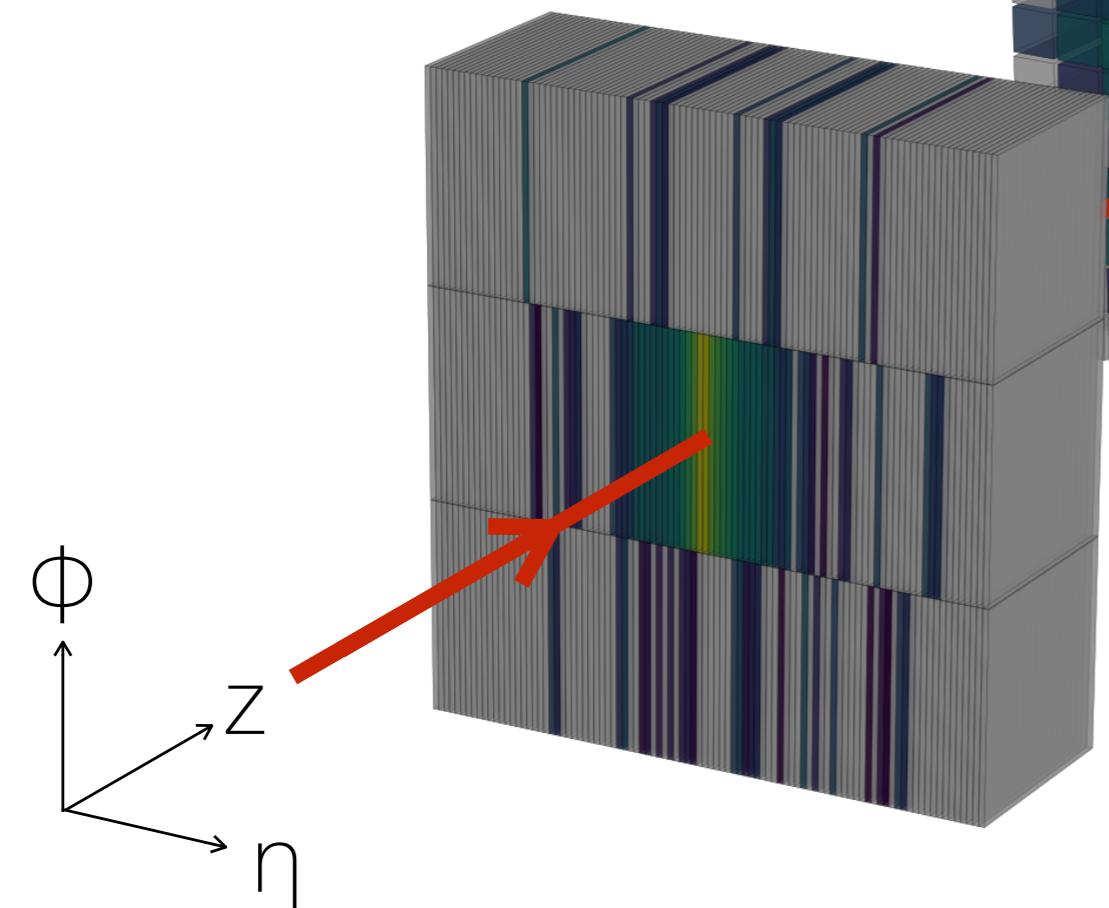
ATLAS  
IMENT  
LAS ITK



# Exciting New Directions II: Simulation NN

Training NN's is slow,  
but evaluation is **fast**

Physics-based  
simulations of  
jets are **slow**



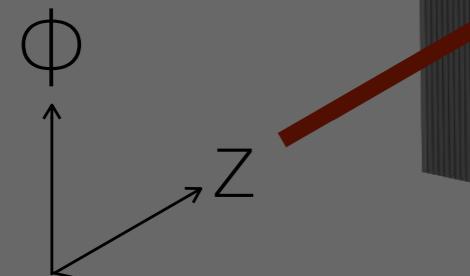
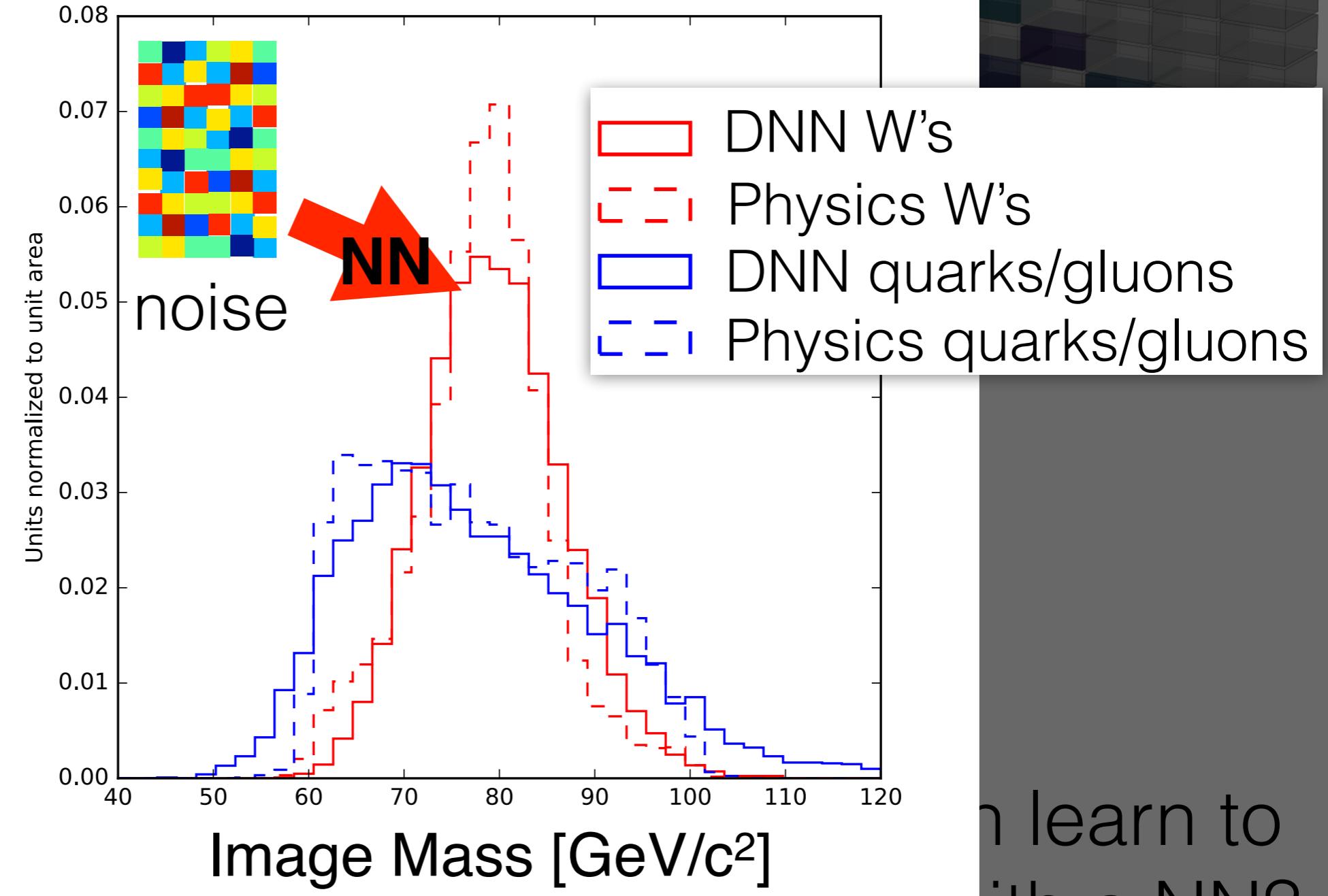
What if we can learn to  
simulate jets with a NN?

# Exciting New Directions II: Simulation NN

Physics-based  
simulation  
jets are **slow**

Training NN's is slow,

but  
 $\text{Boosted } W \rightarrow q\bar{q}', m_W \sim 80 \text{ GeV} / c^2$

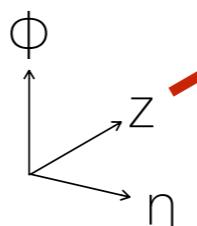
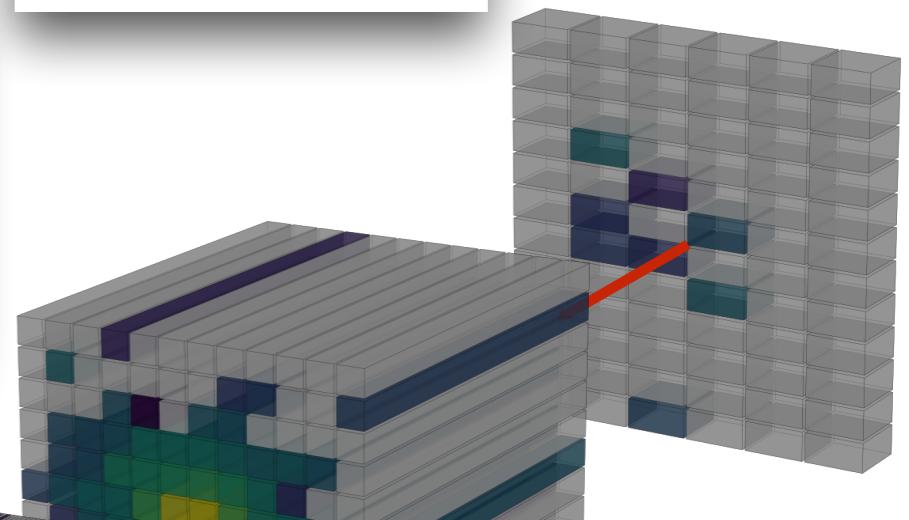
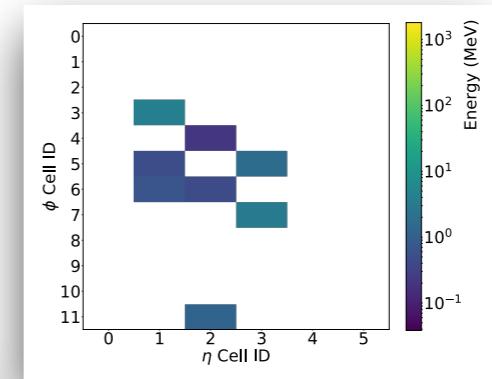
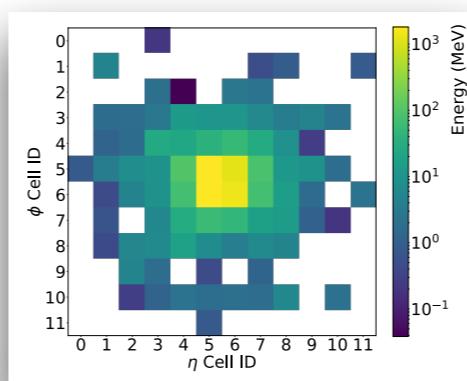
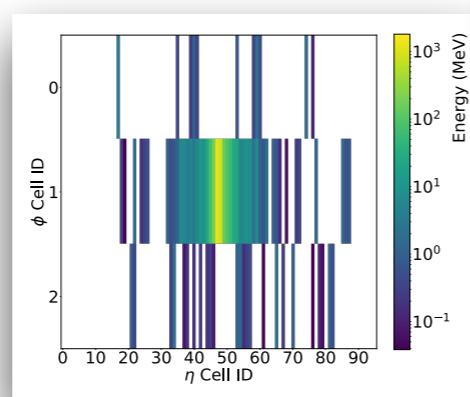


... can learn to  
simulate jets with a NN?

# + More Layers for Generation

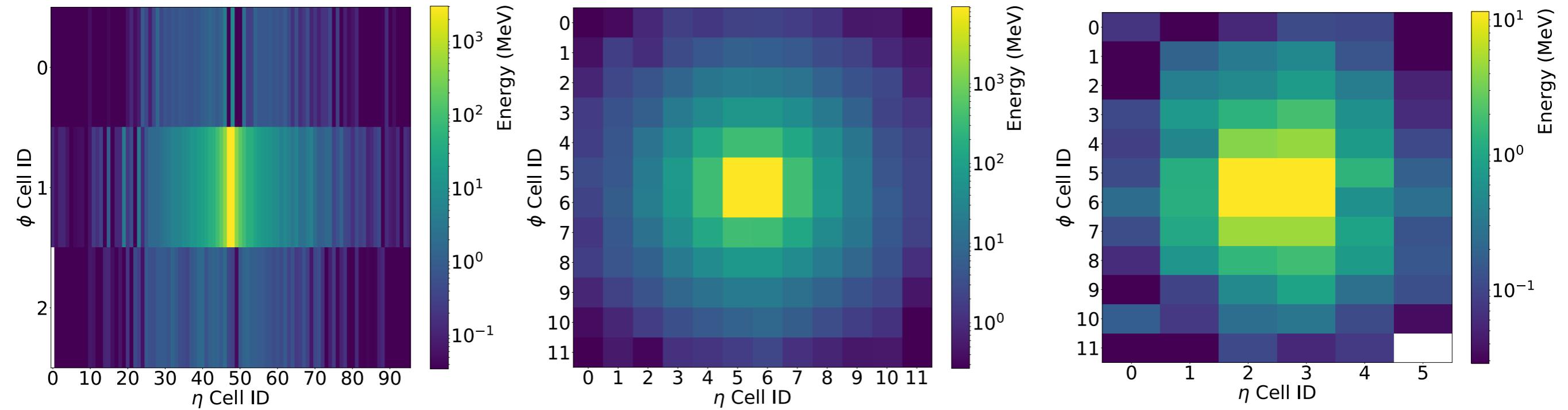
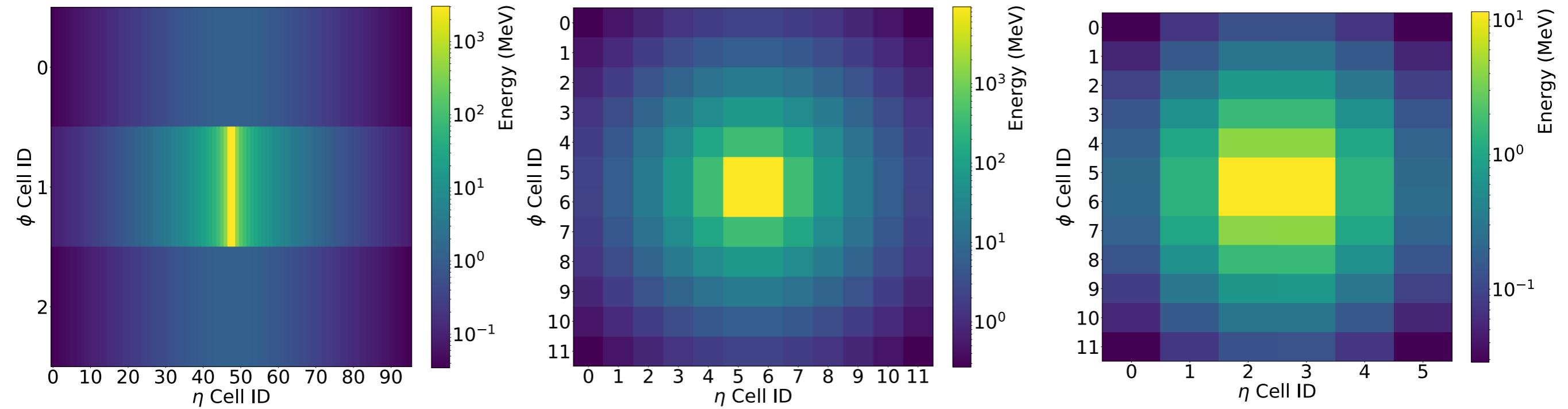
What about **multiple layers** with  
**non-uniform granularity** and a  
**causal relationship?**

Not jet images per se,  
but the technology is  
more general than jets!



# Average Images

Geant4



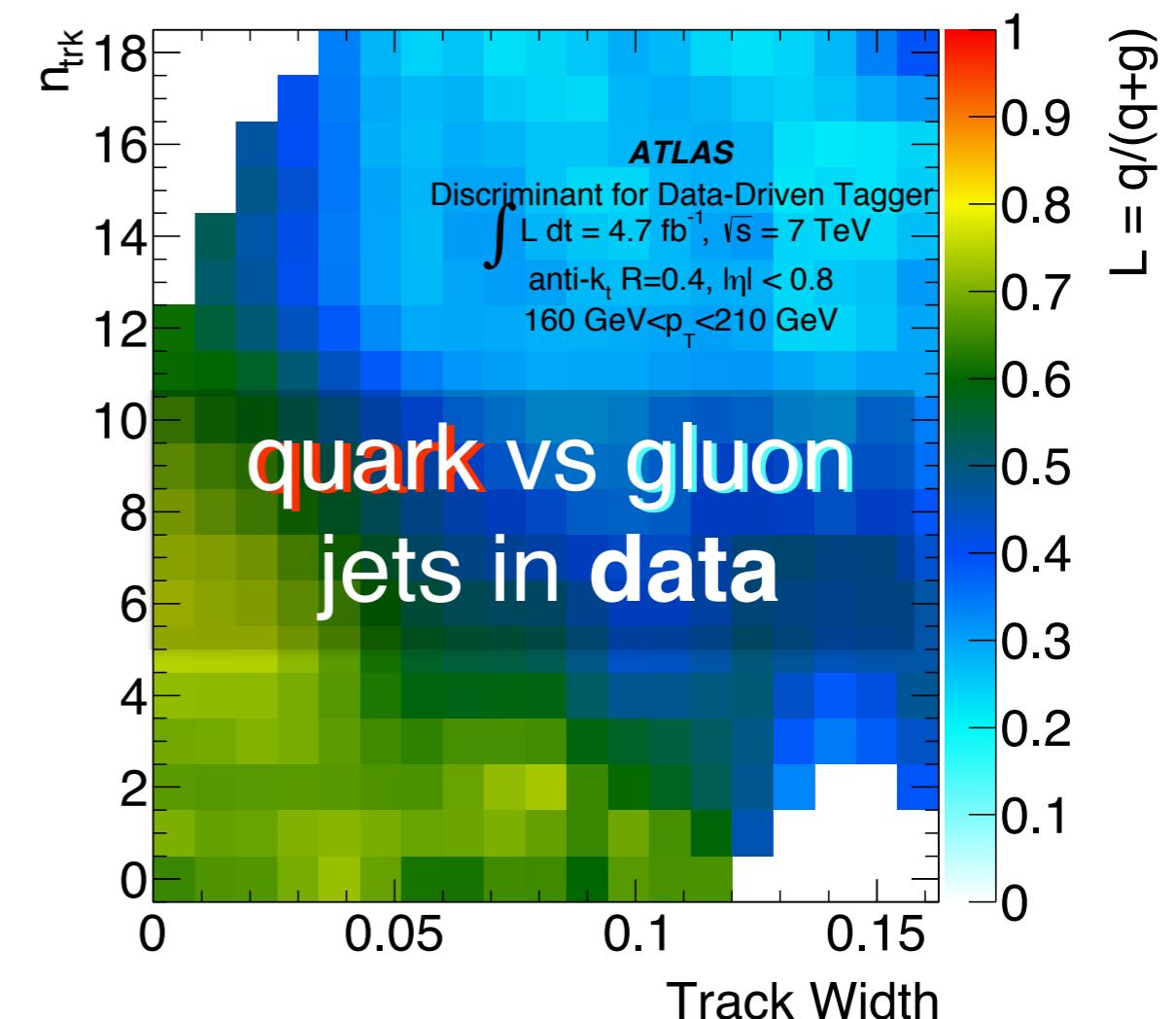
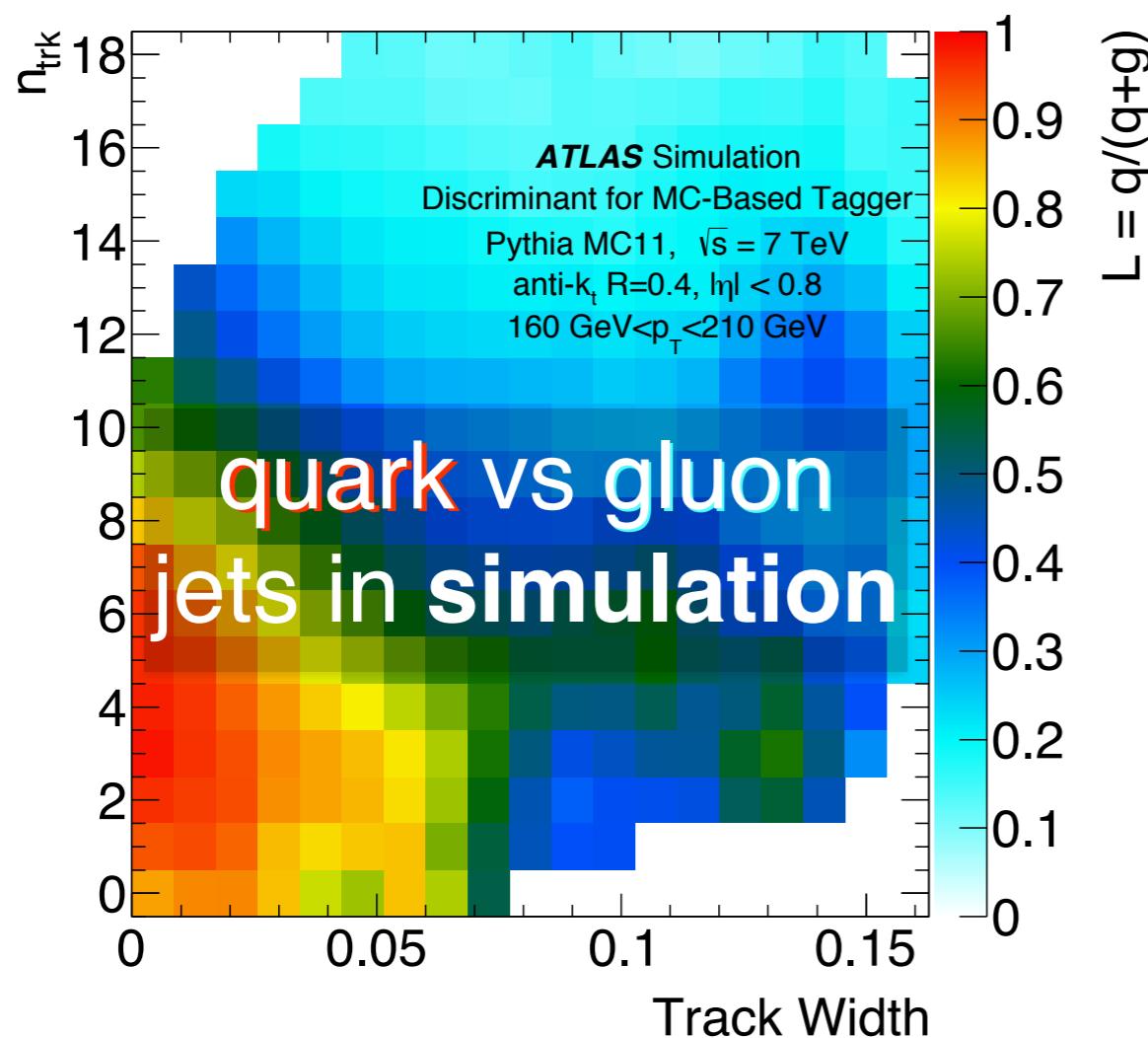
*M. Paganini et al., 1705.02355*

<b>Generation Method</b>	<b>Hardware</b>	<b>Batch Size</b>	<b>milliseconds/shower</b>	
GEANT4	CPU	N/A	1772 ←	
		1	13.1	
		10	5.11	
		128	2.19	
	GPU	1024	2.03	
CALOGAN		1	14.5	
		4	3.68	
		128	0.021	
		512	0.014	
		1024	0.012 ←	

See also [S. Vallecorsa et al. \(GeantV\)](#), [C. Guthrie et al. \(NYU\)](#), [W. Wei et al. \(LCD dataset group\)](#), [D. Salamani et al. \(Geneva\)](#), [D. Rousseau et al. \(Orsay\)](#), [L. de Oliveira et al. \(Berkeley\)](#)

# Where next III: Learning directly from data

For supervised learning, we depend on labels  
labels usually come from simulation



What if data and simulation are very different?  
...your classifier will be sub-optimal

# Where next III: Learning directly from data

Boosted  $W$  boson jets

*J. Barnard et al.*

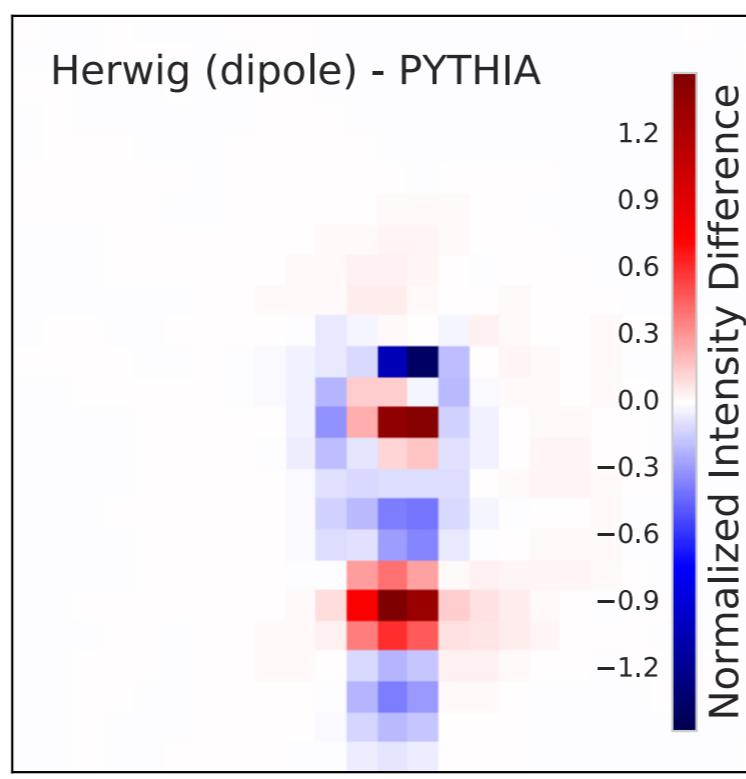
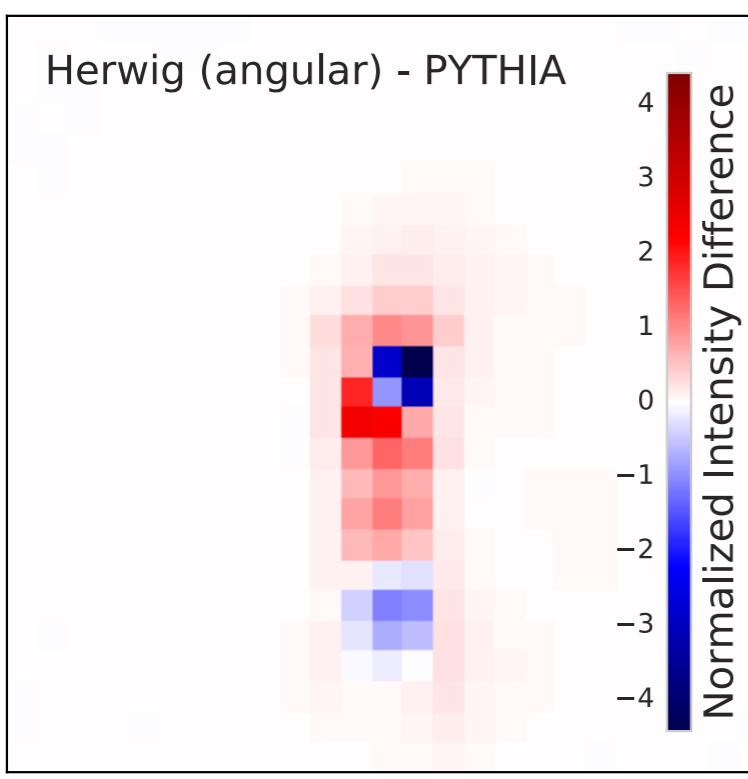
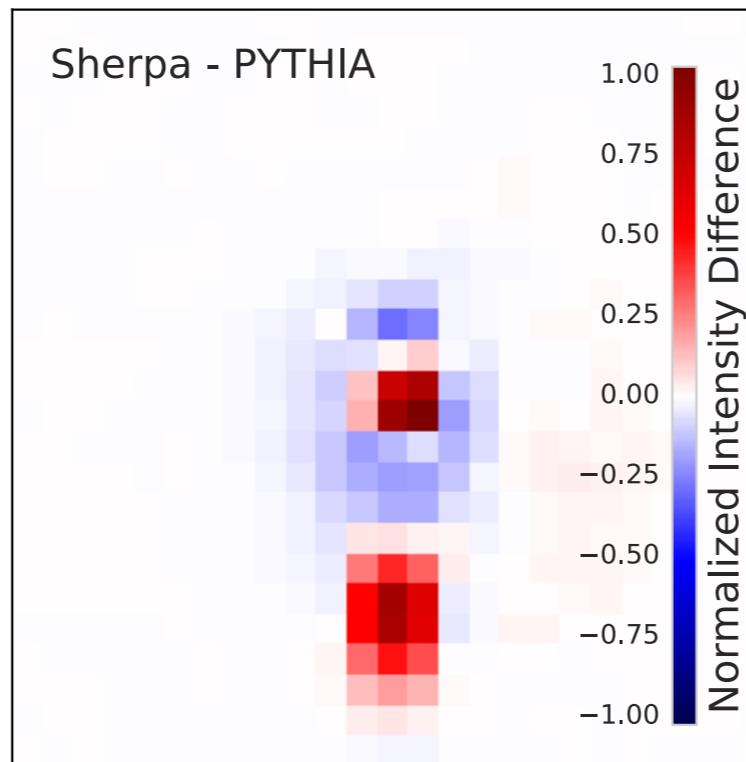
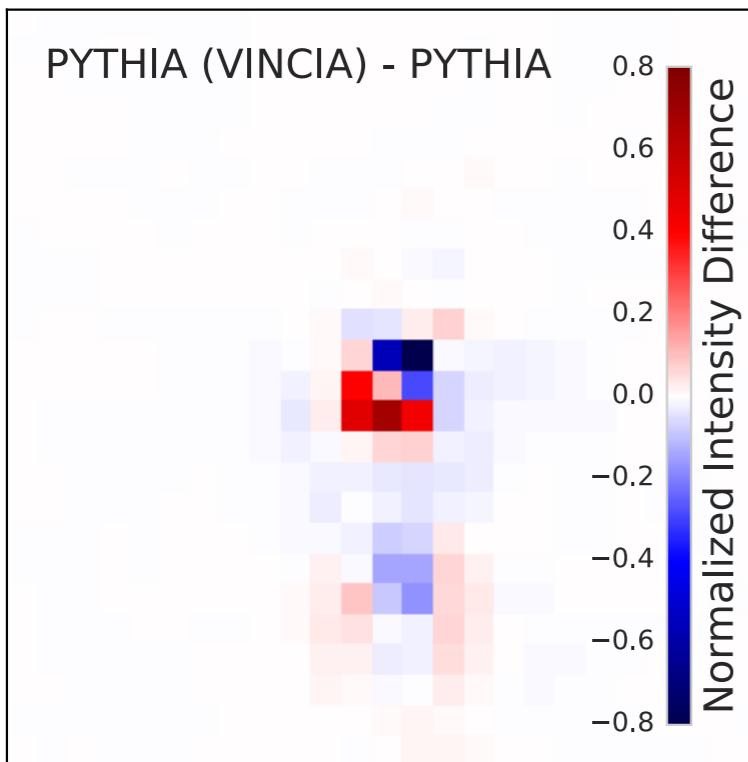
Phys. Rev. D 95, 014018 (2017)

DNN classifiers  
can **exploit**  
subtle features

subtle features are  
**hard to model !**

we need to be  
careful about which  
models we use -  
**only data is correct**

*For a mixed approach, see  
[G. Louppe et al.](#)*

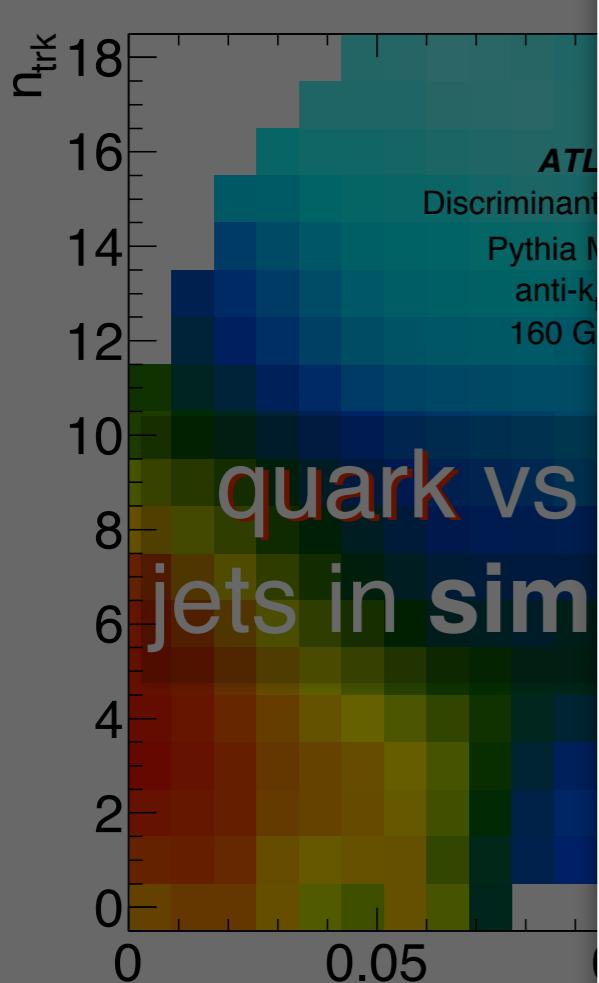


N.B. not all of these have been tuned to the same data

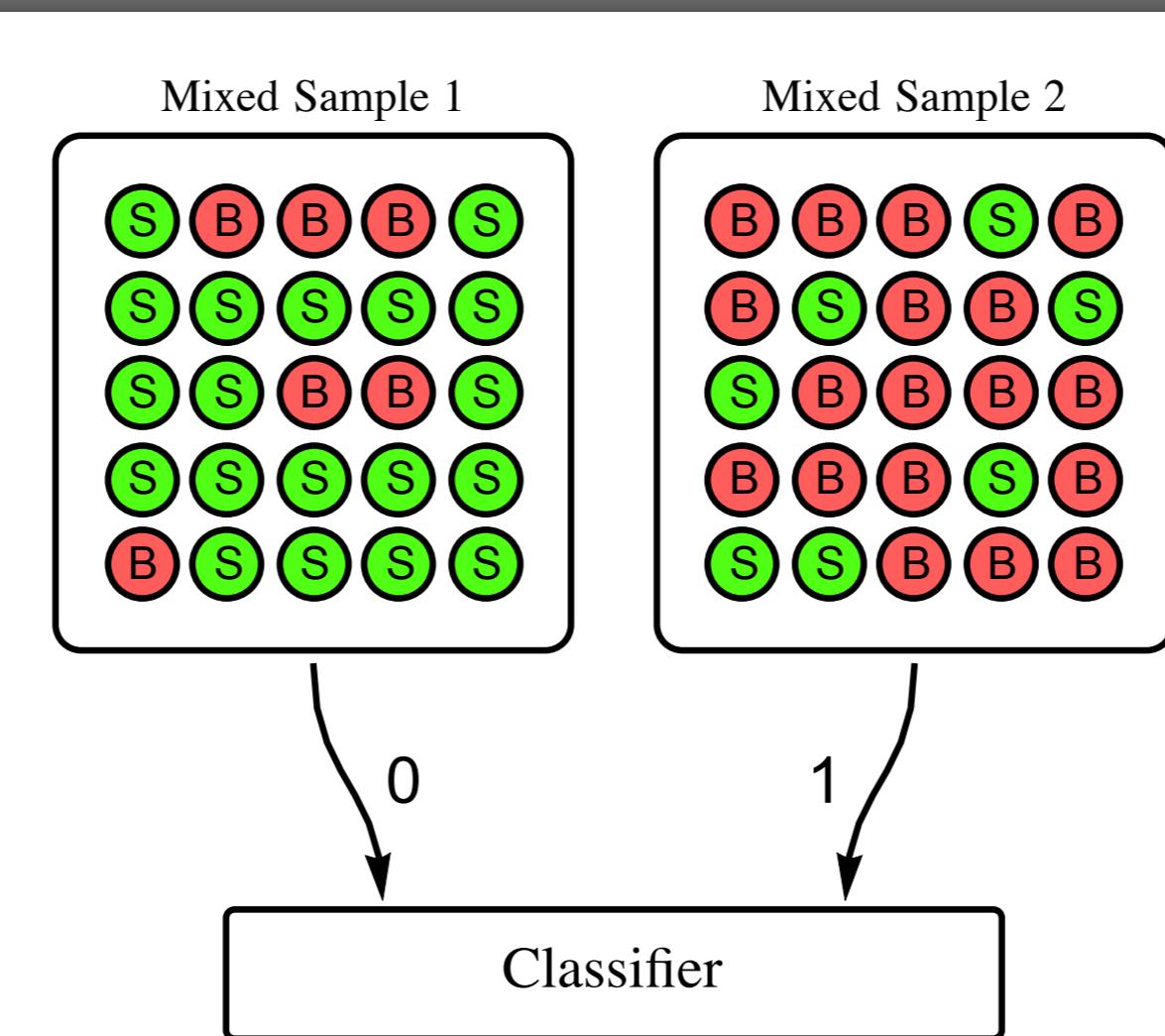
# Where next III: Learning directly from data

For supervised learning, we depend on labels

label



What if data



Solution: Train **directly on data** using mixed samples

...your classifier will be sub-optimal

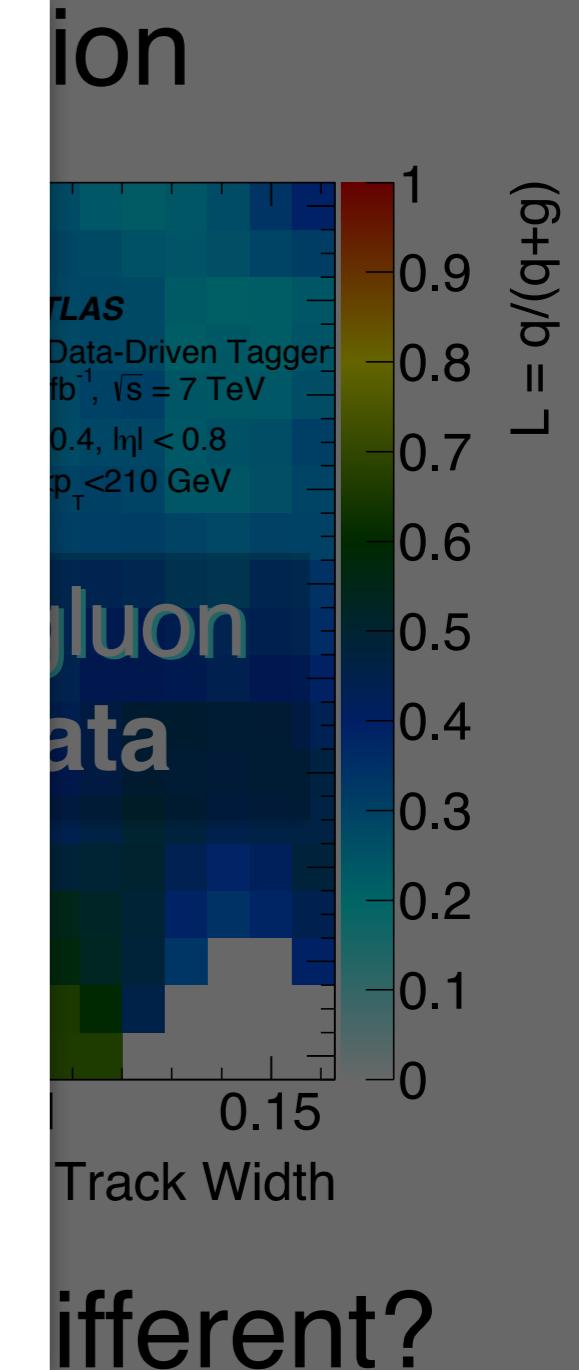
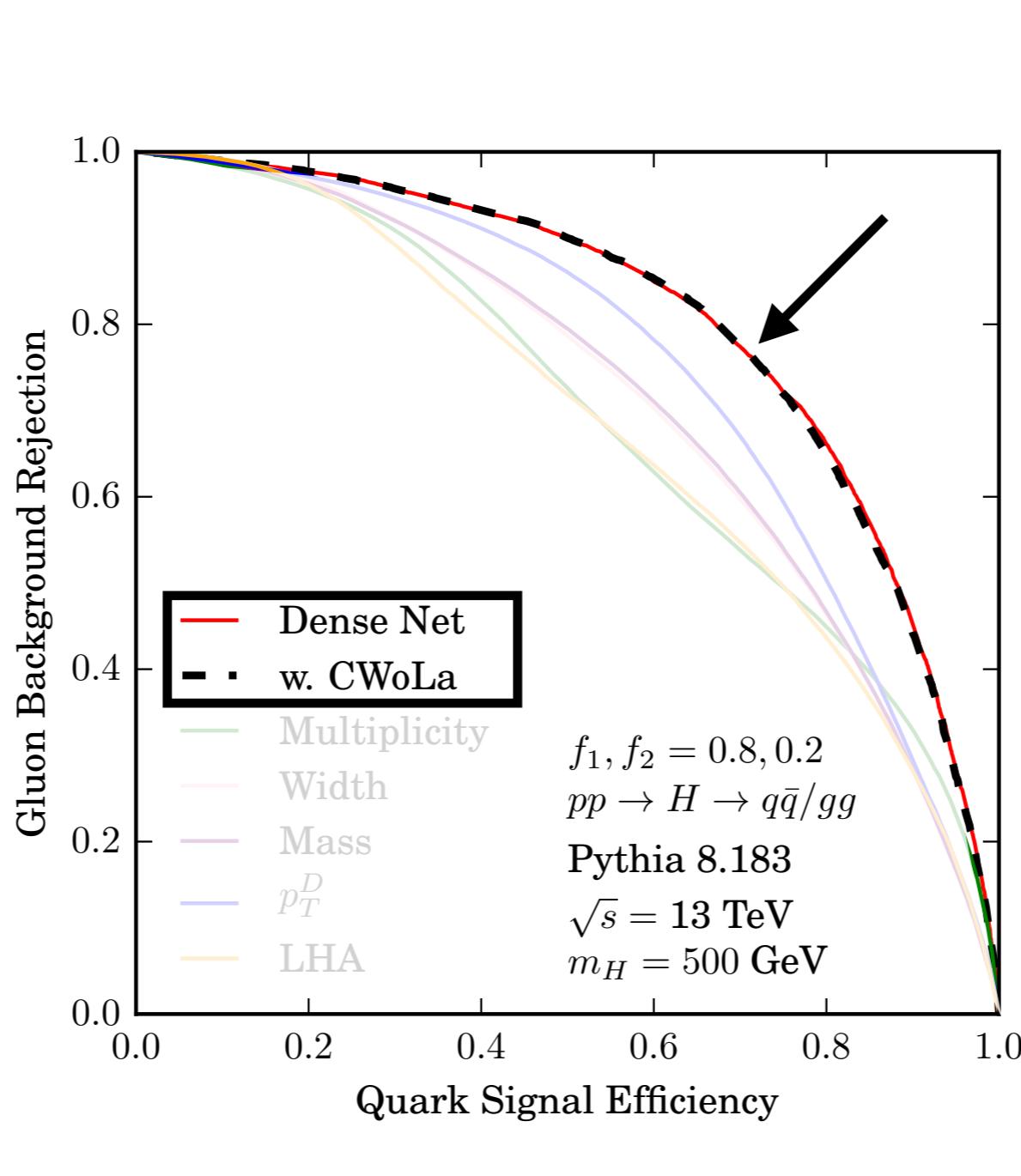
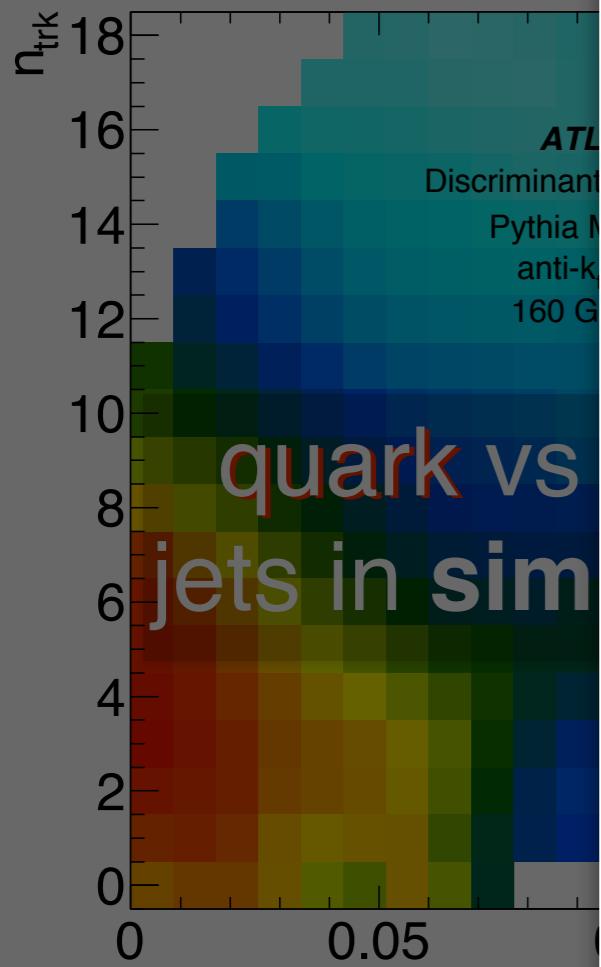
L. Dery et al., JHEP 05 (2017) 145

E. Metodiev et al., JHEP 10 (2017) 174

# Where next III: Learning directly from data

For supervised learning, we depend on labels

label

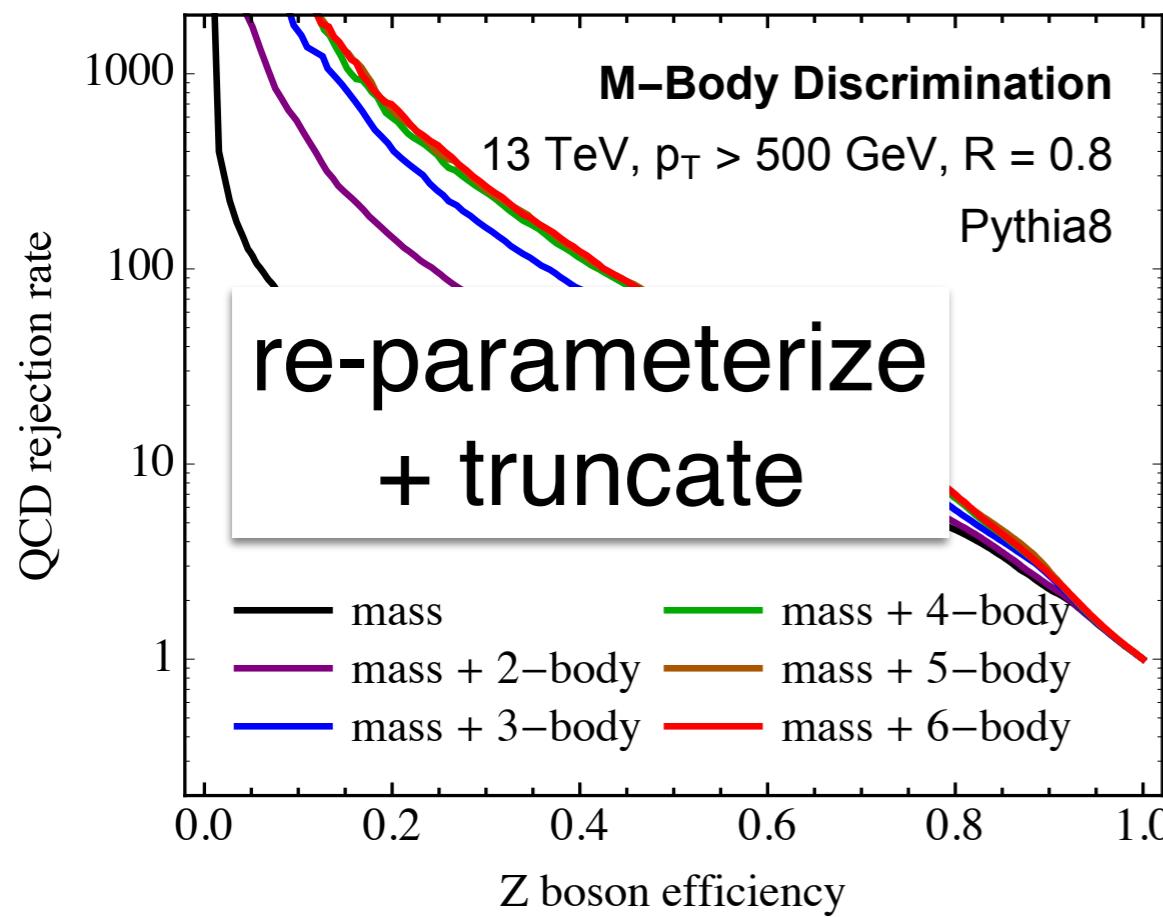
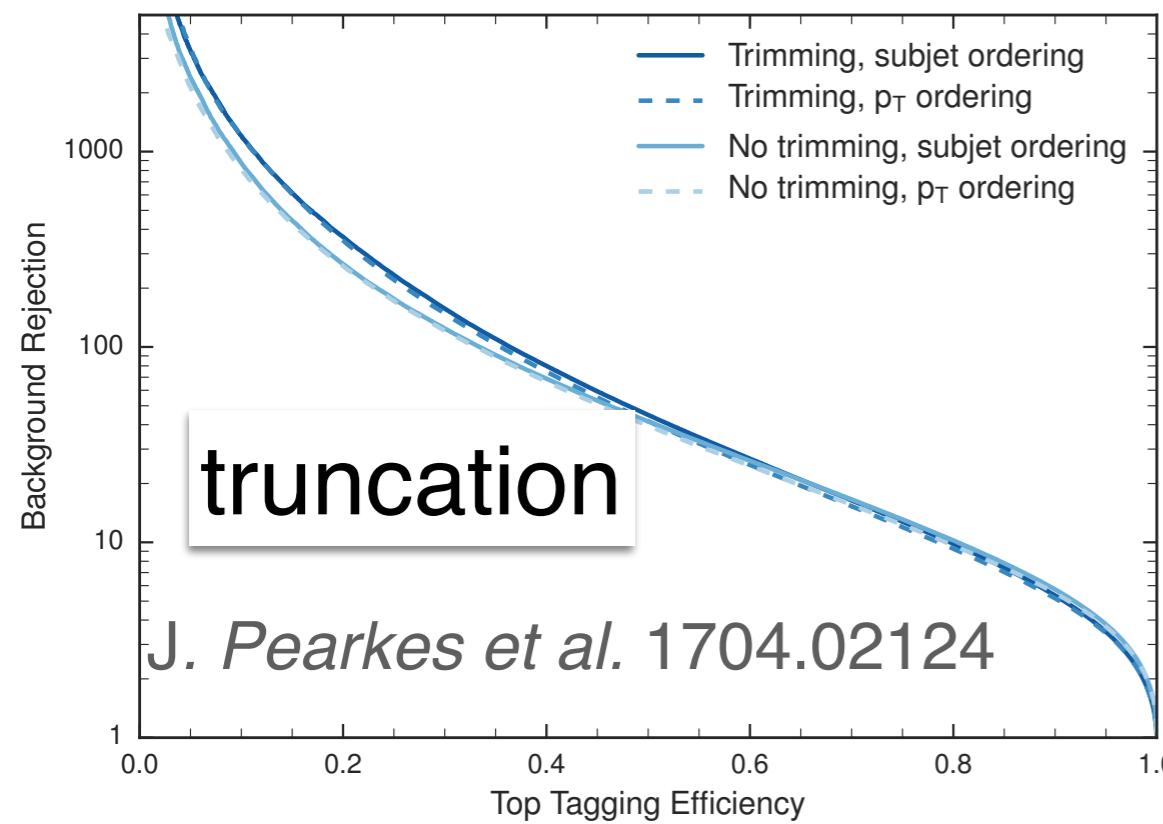


What if data

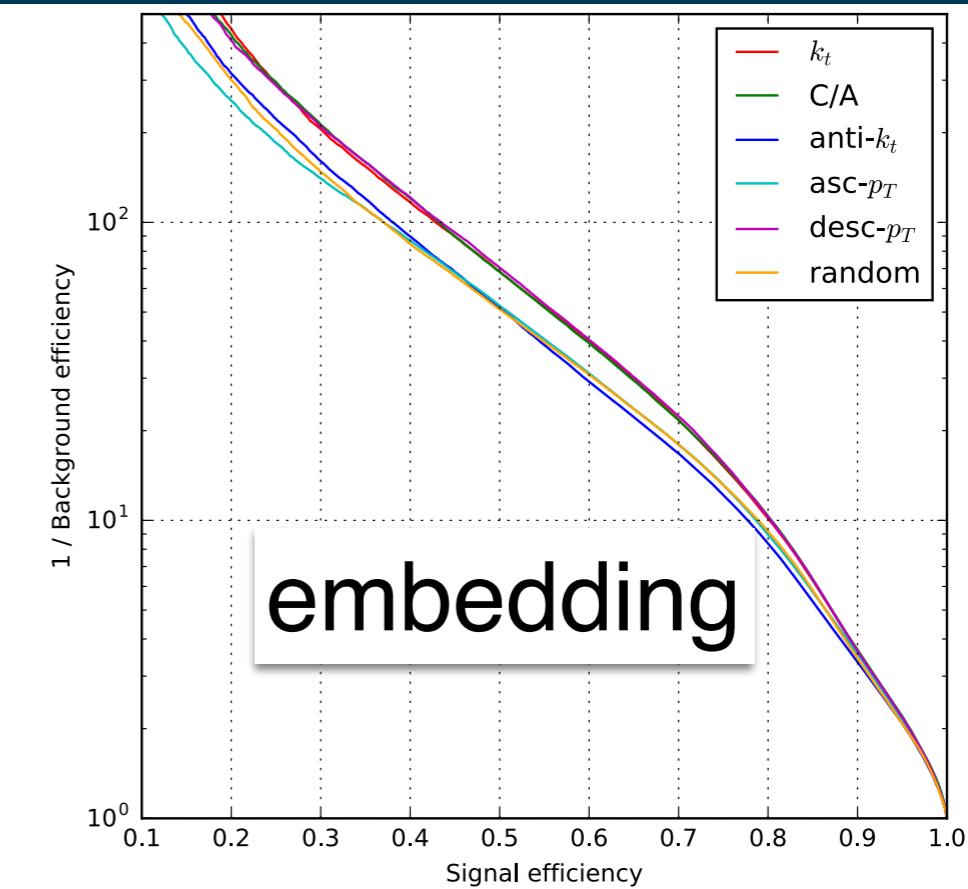
is different?

...your classifier will be sub-optimal

# Beyond Images



K. Datta et al. 1704.08249



G. Louppe et al. 1702.00748

A. Butter et al. 1707.08966  
(truncate + augment/embed)

K. Datta et al. 1710.01305 (re-param)

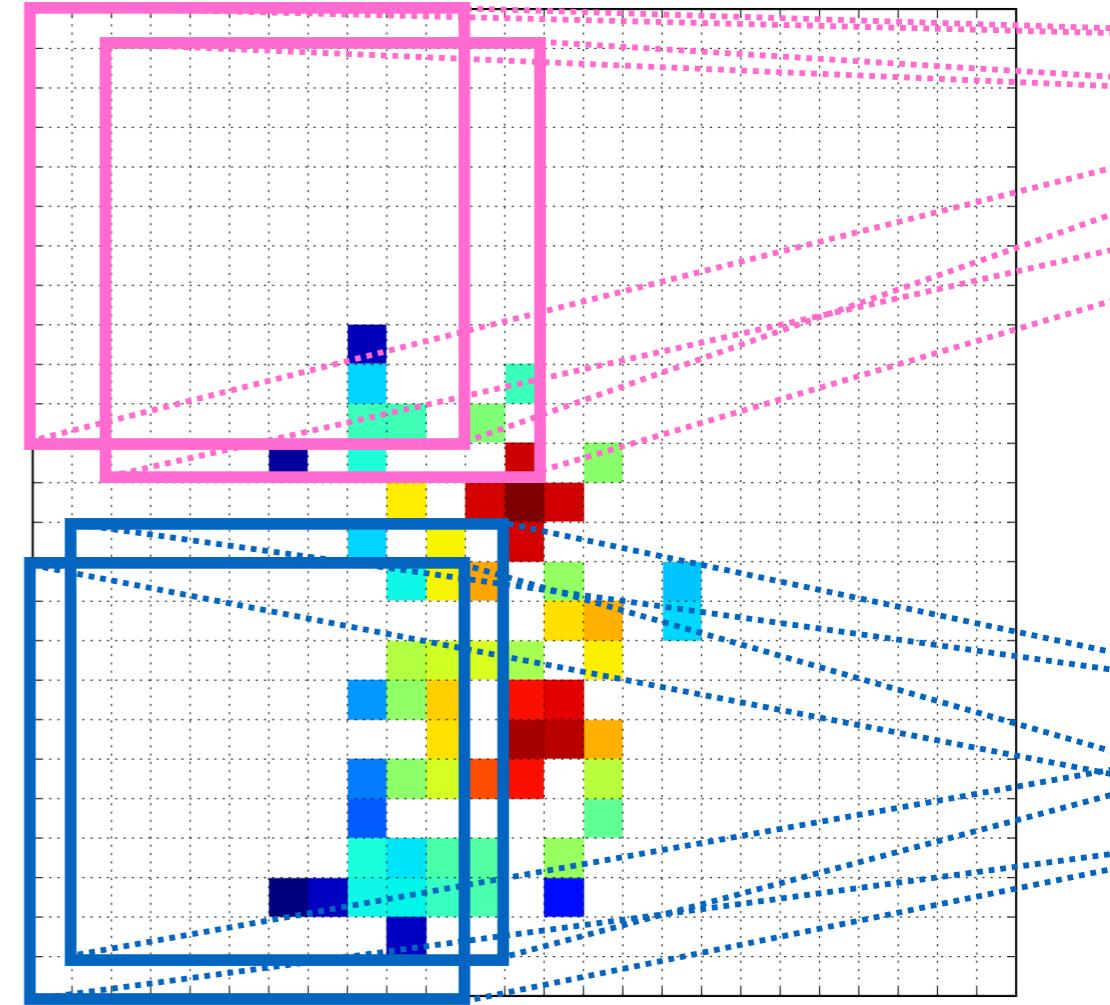
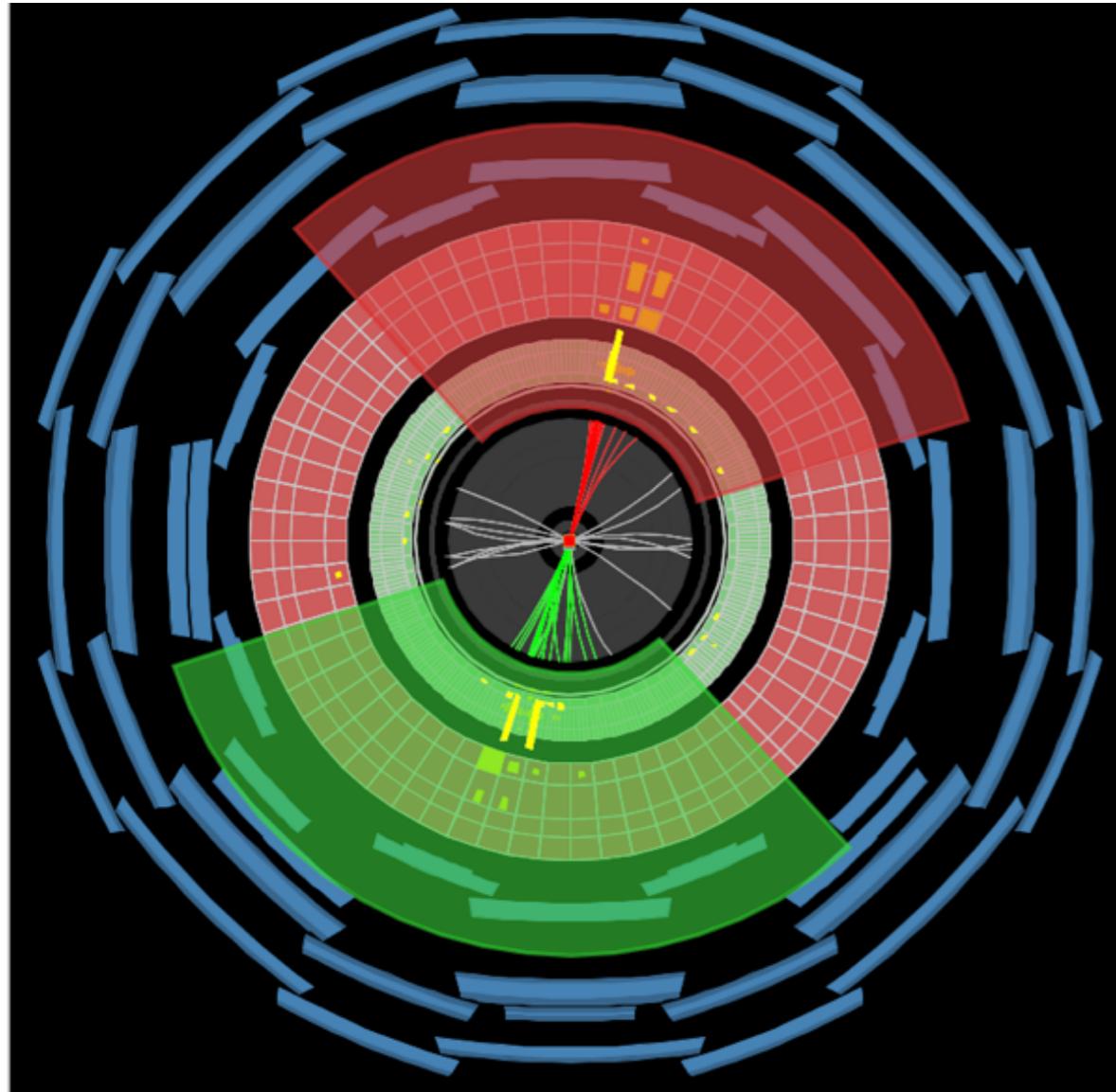
T. Cheng 1711.02633 (RNN)

J. A. Aguilar-Saavedra et al.  
1709.01087 (re-param)  
+ flavor tagging (see backup)

+ many more results at the  
dedicated workshop next month!

# Conclusions and outlook

(Jet) image-based NN classification, regression, and generation are powerful tools for fully exploiting the physics program at the LHC



**The key to robustness is to study what is being learned; this may even help us to learn something new about nature!**

# Collaborators



Lucio  
Dery

Stanford



Michela  
Paganini

Yale



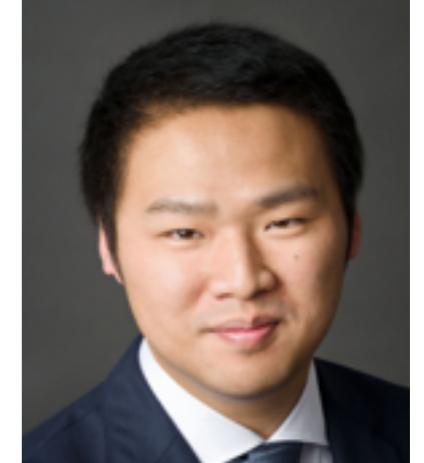
Eric  
Metodiev

MIT



Patrick  
Komiske

MIT



Zihao  
Jiang

Stanford



Francesco  
Rubbo



Luke  
de Oliveira



Michael  
Kagan

SLAC



Jesse  
Thaler

MIT



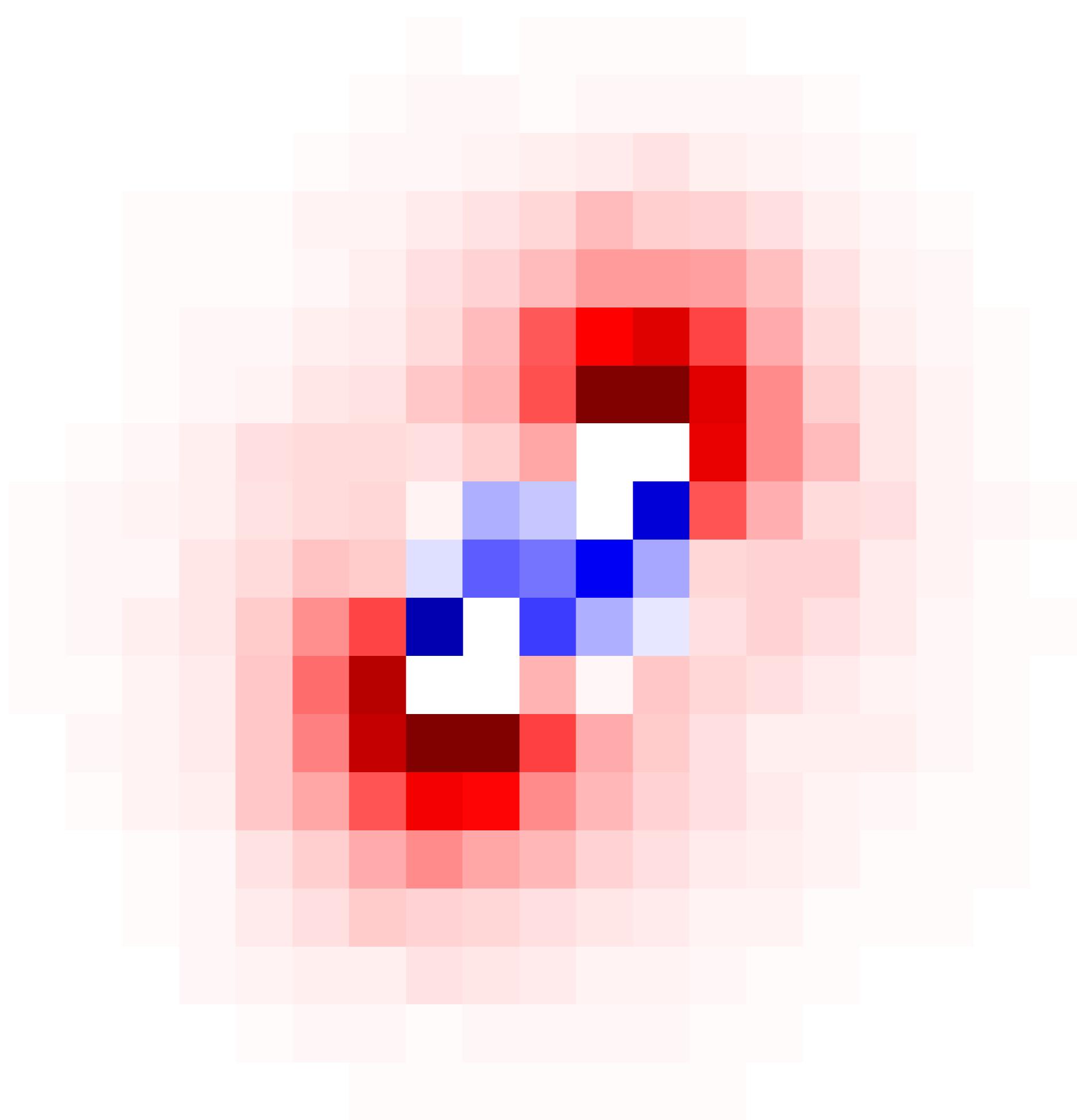
Matt  
Schwartz

Harvard



Ariel  
Schwartzman

SLAC

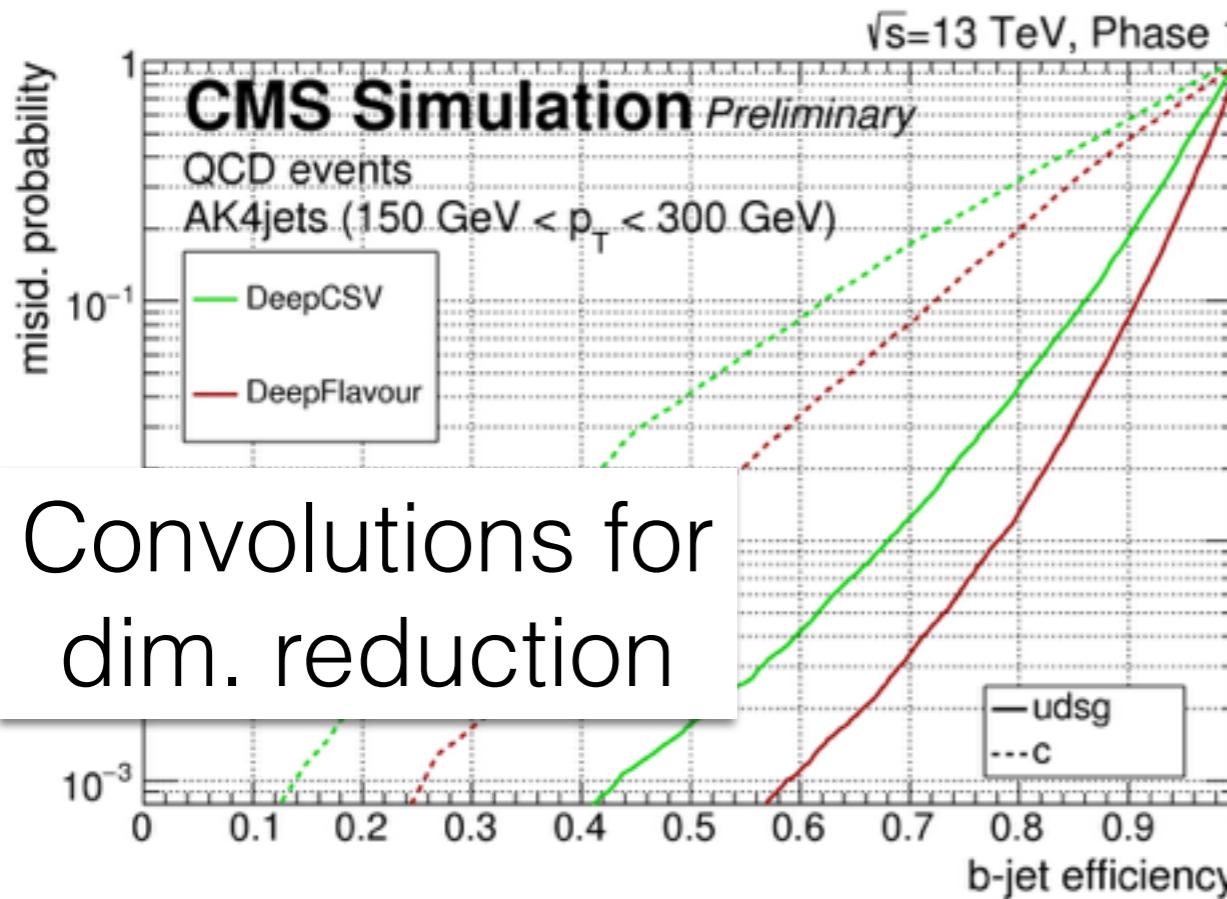
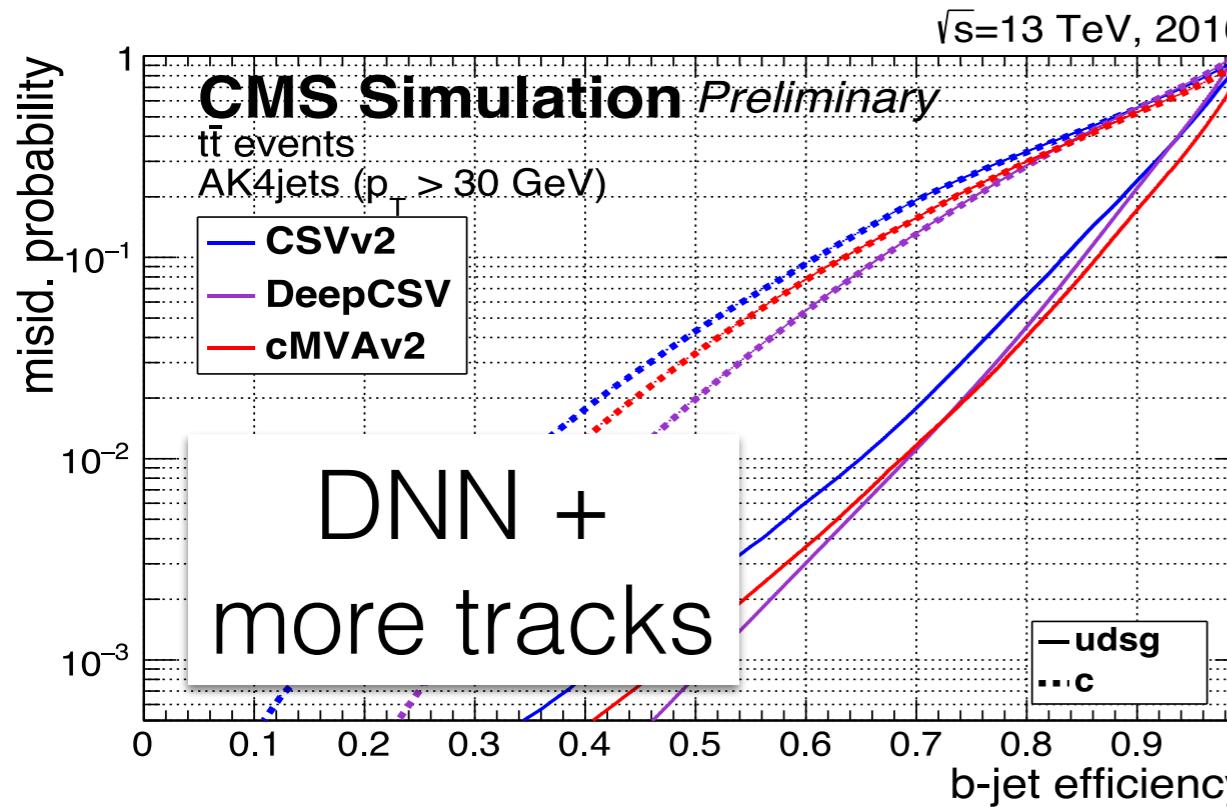


Fin.

# Backup

# b-tagging in CMS and ATLAS

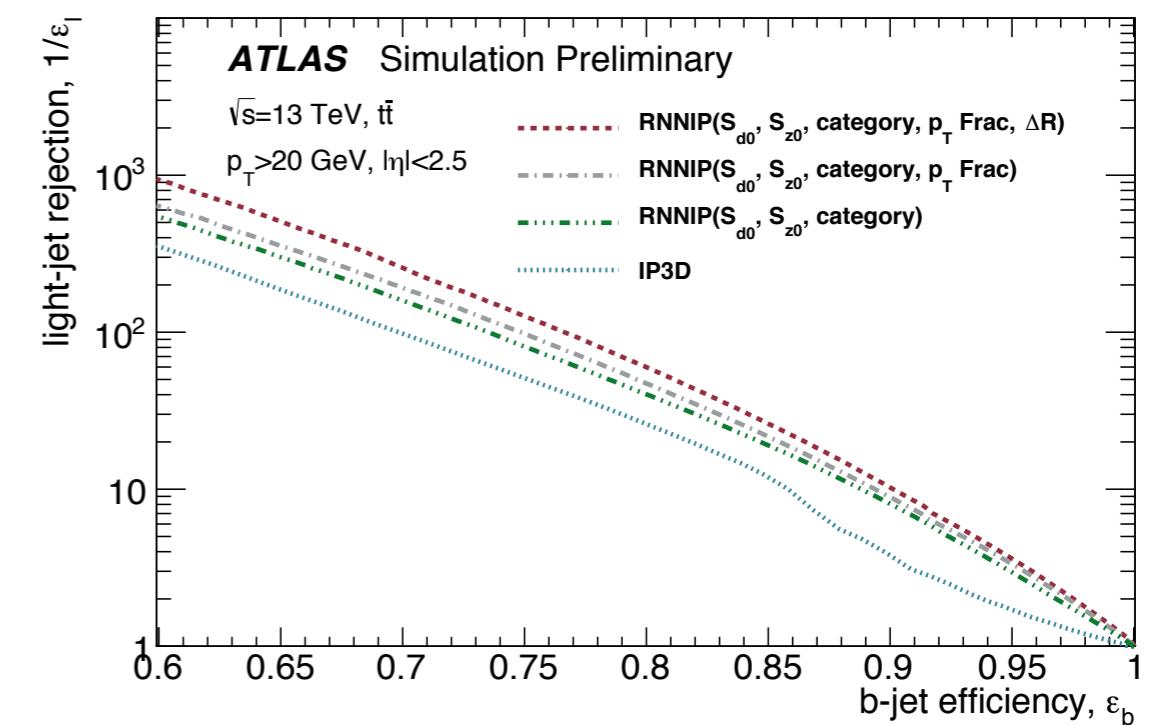
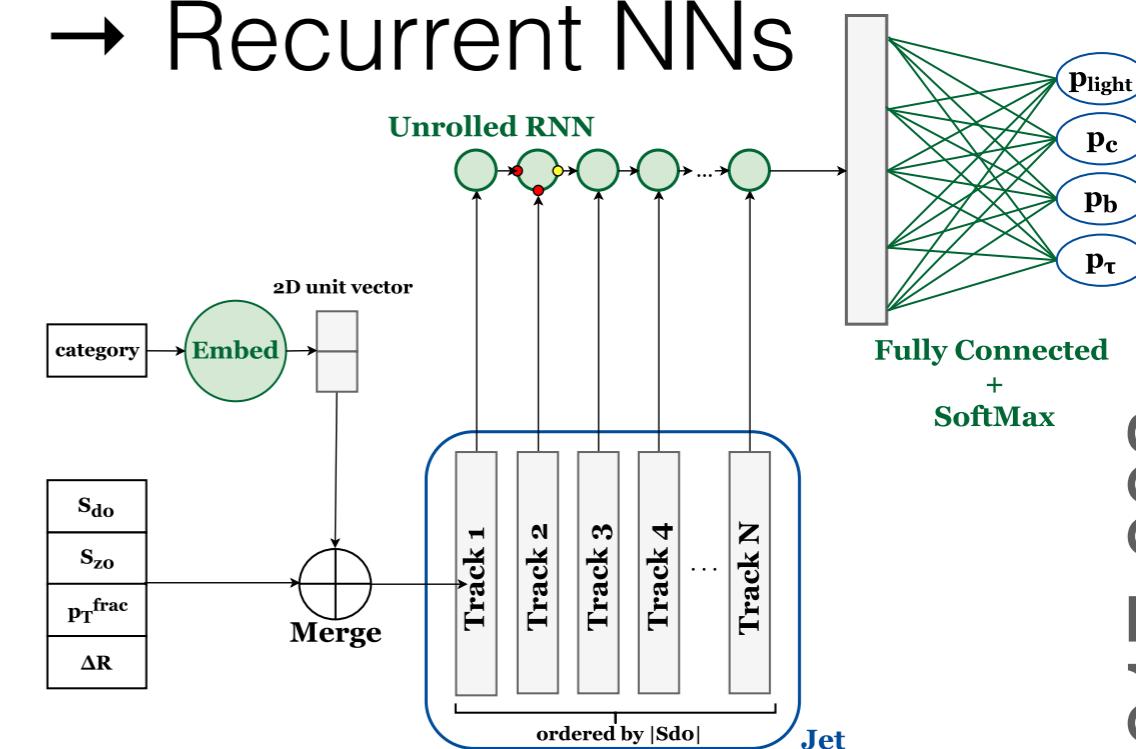
70



**CMS-DP-2017-005**

**CMS-DP-2017-013**

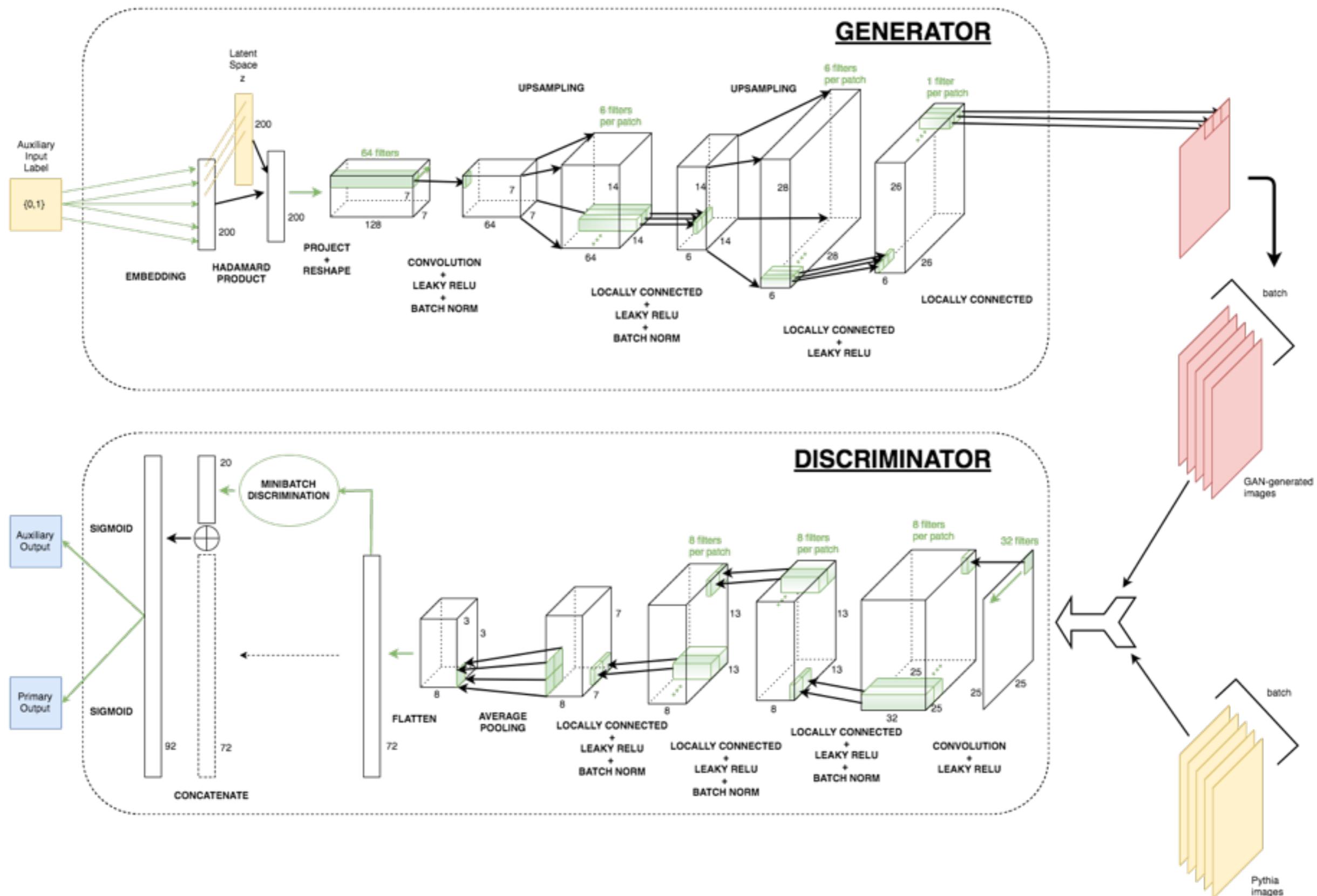
Sequence of tracks  
→ Recurrent NNs



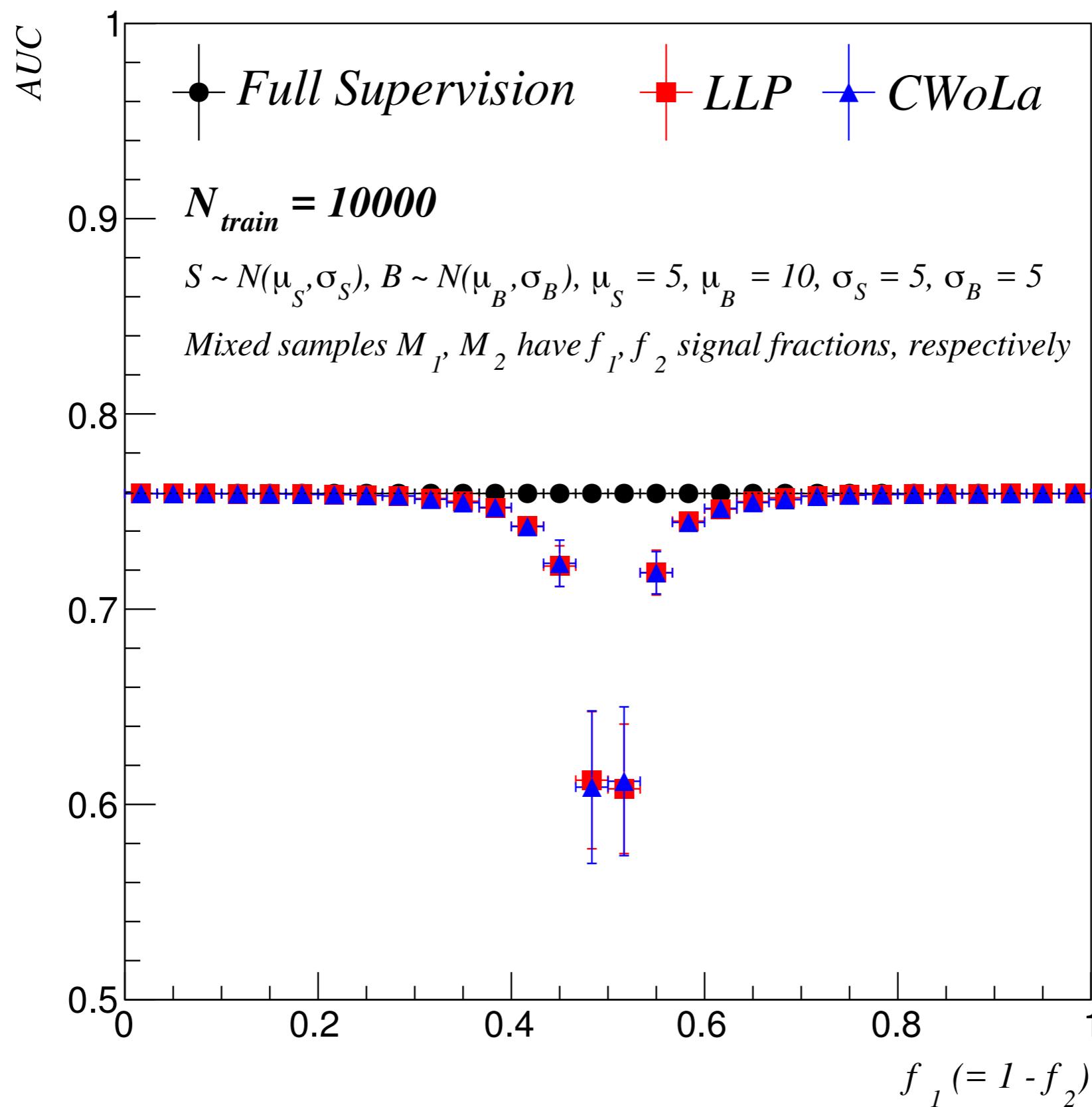
**ATL-PHYS-PUB-2017-003**

# Locally Aware GAN (LAGAN)

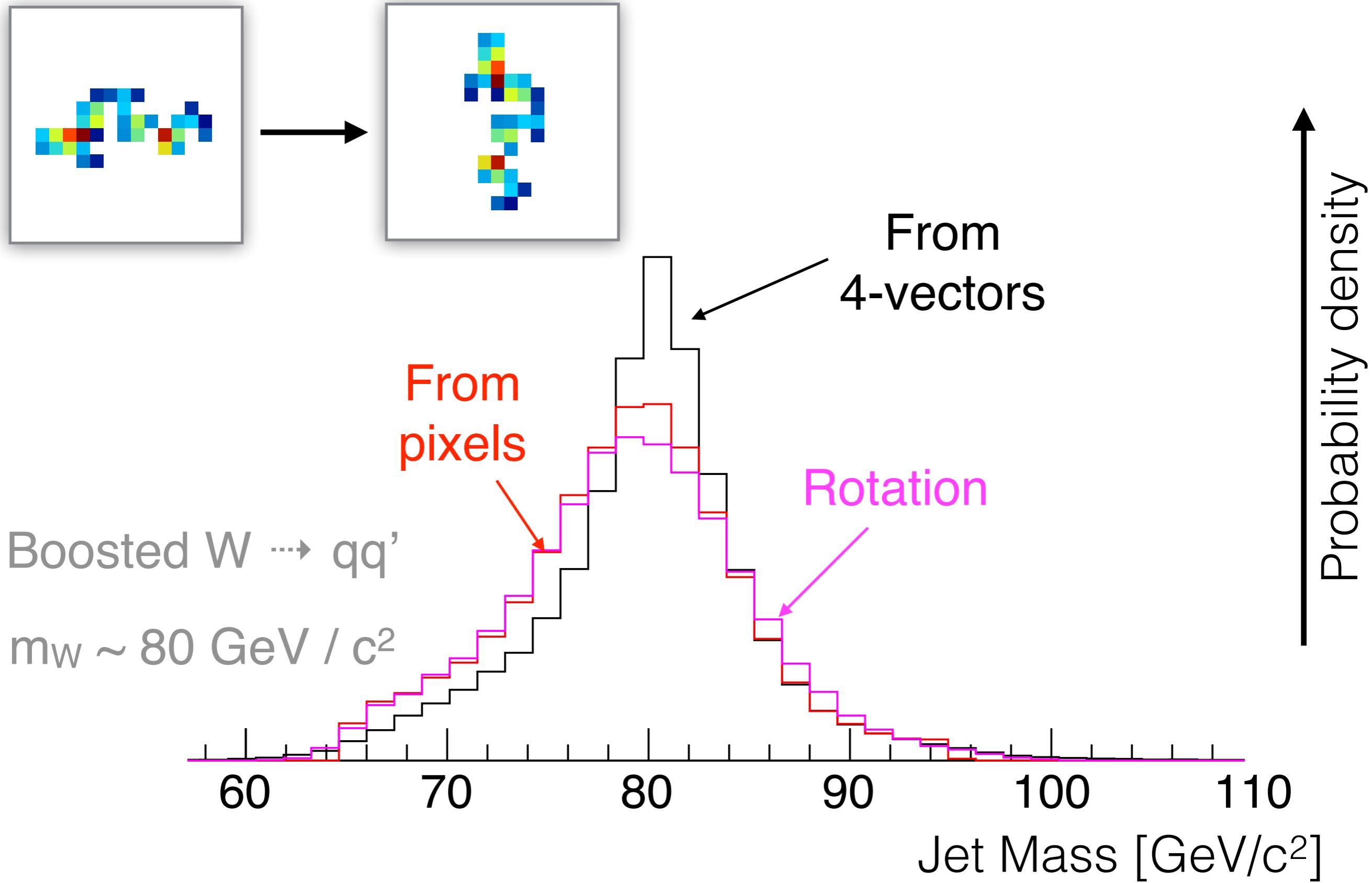
71



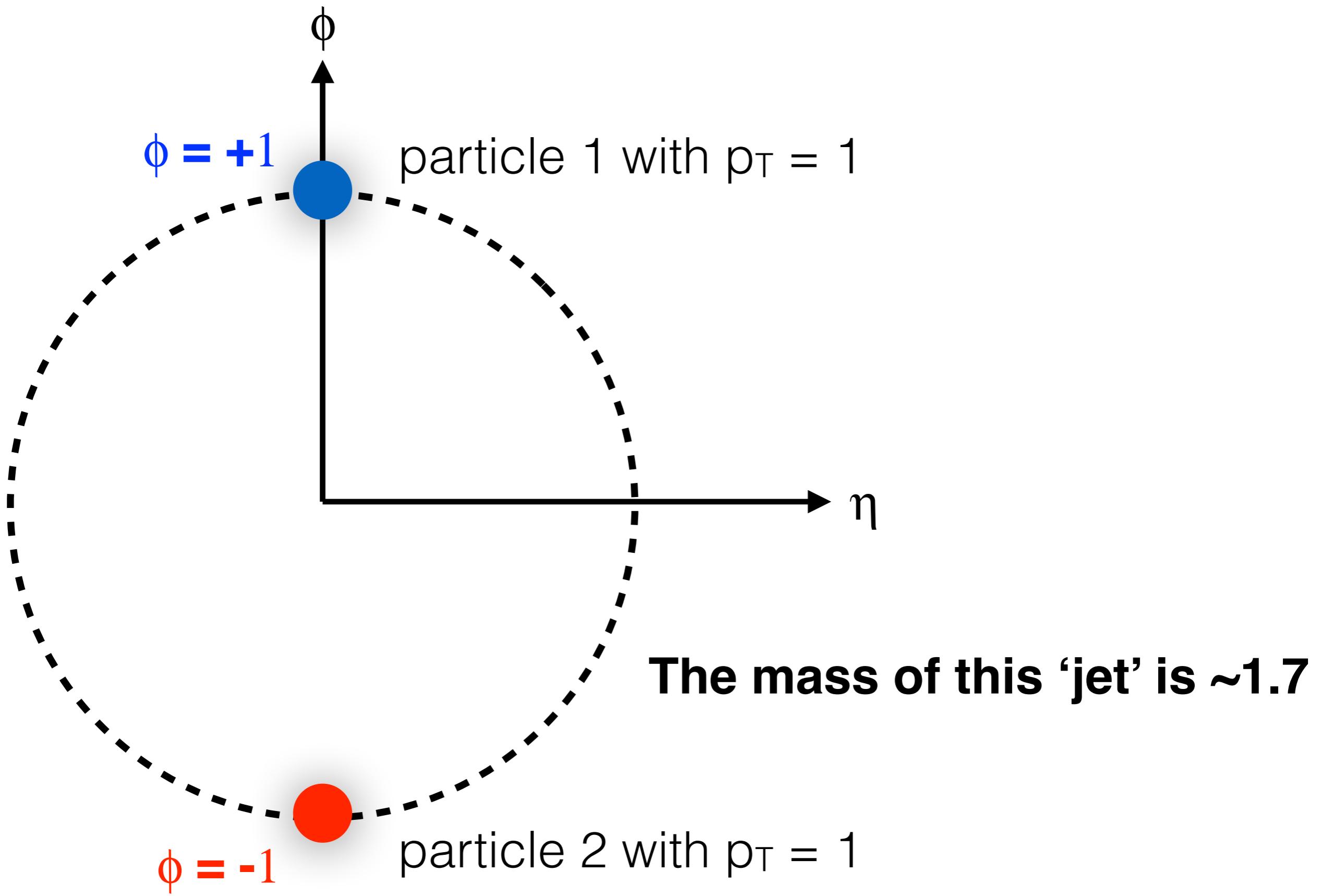
# Learning when you know (almost) nothing



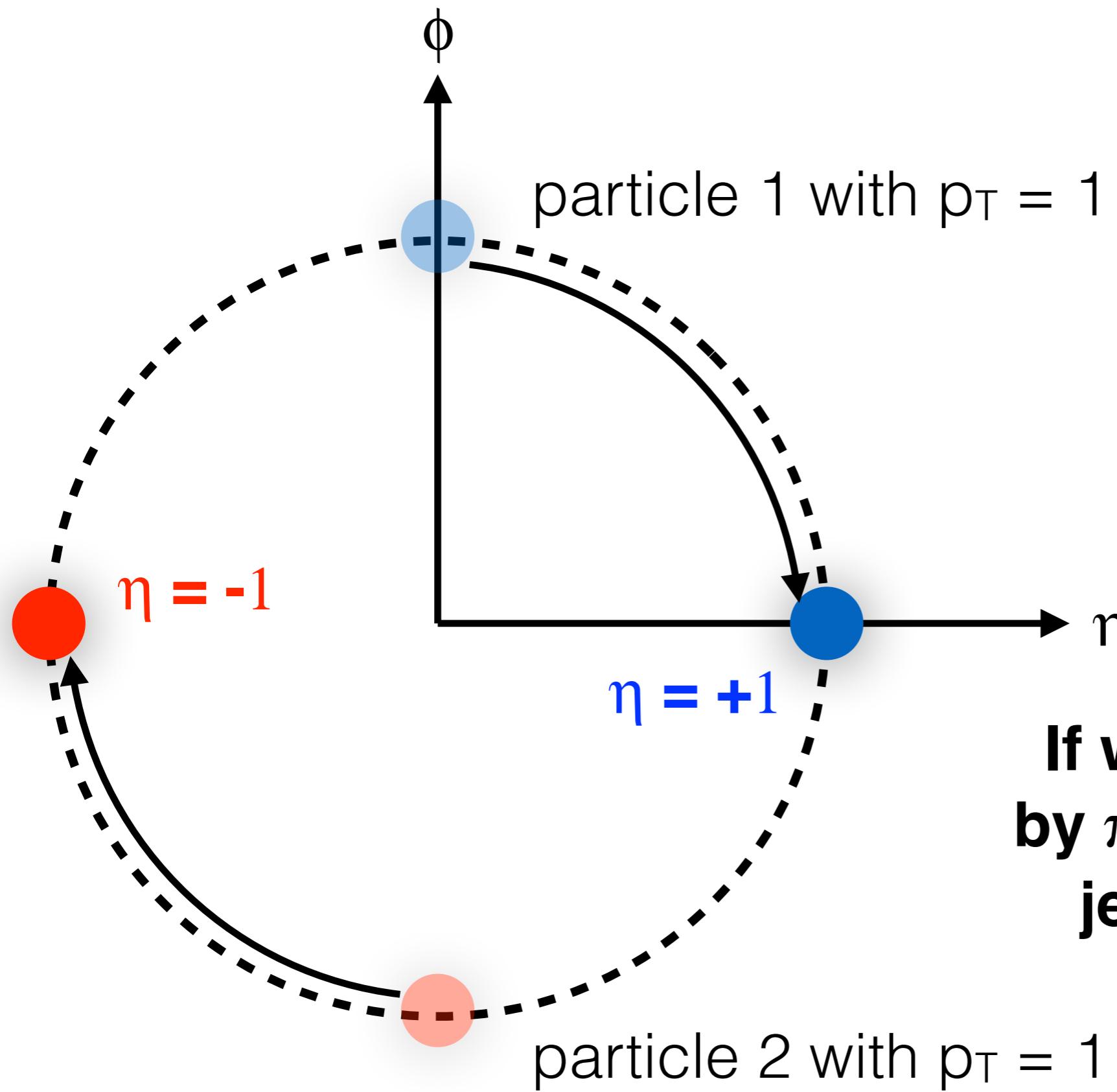
# Pre-processing & spacetime symmetries



# Pre-processing & spacetime symmetries



# Pre-processing & spacetime symmetries



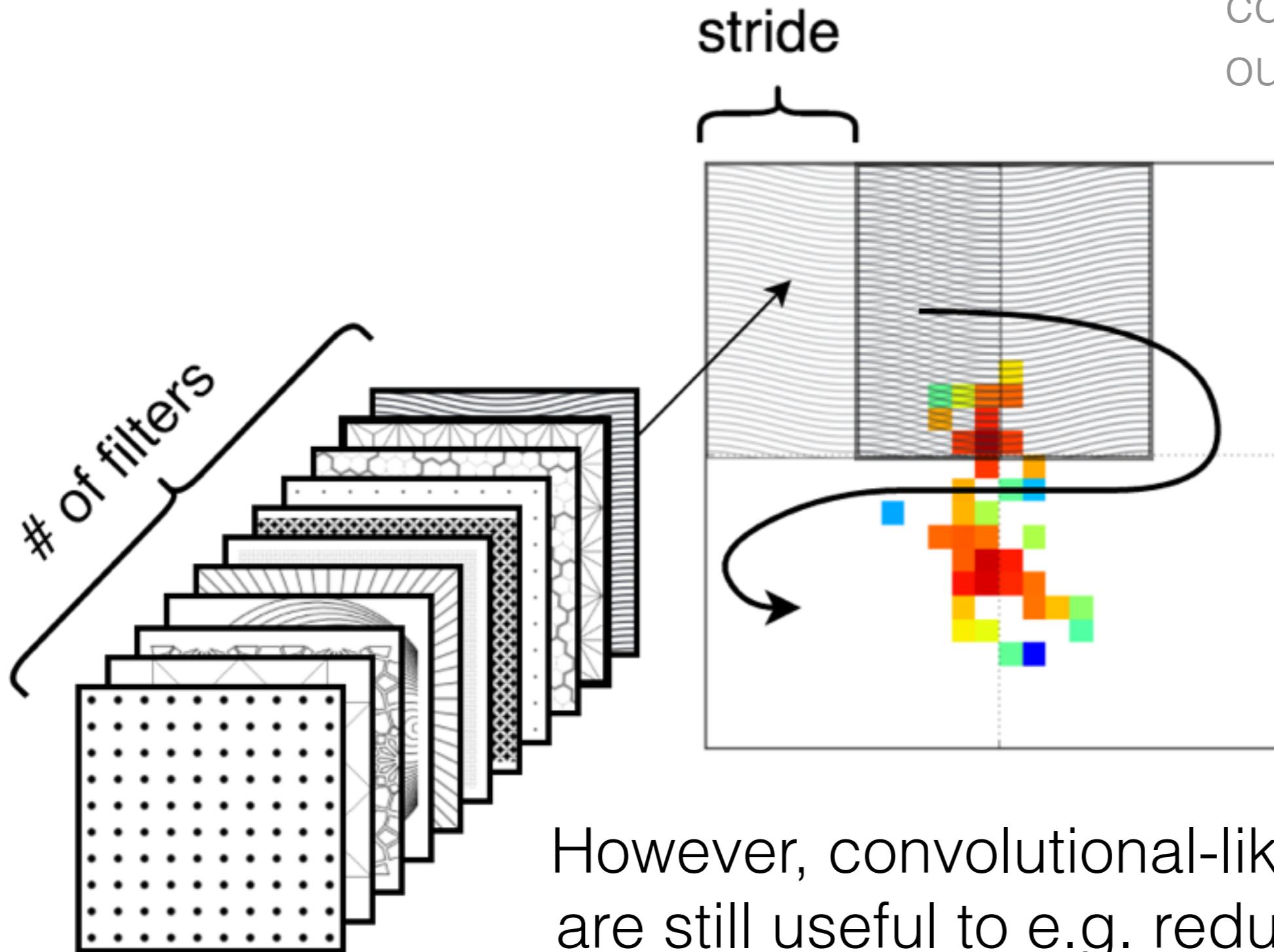
If we rotate the jet by  $\pi/2$ , then the new jet mass is  $\sim 2.4$

# Locally Connected Layers

76

Due to the structure of the problem,  
we do not have translation invariance.

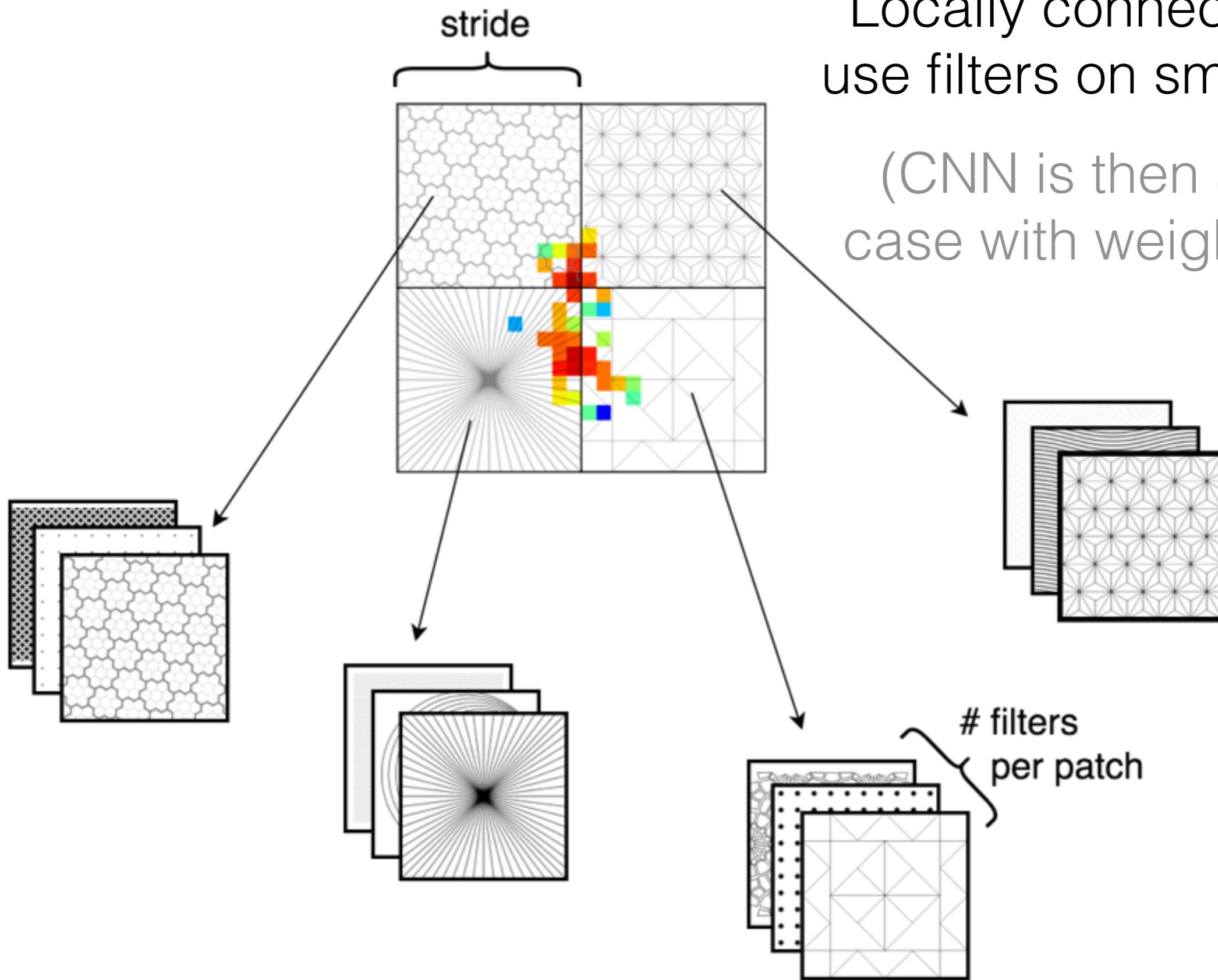
Classification  
studies found fully  
connected networks  
outperformed CNNs



However, convolutional-like architectures  
are still useful to e.g. reduce parameters

# Locally Connected Layers

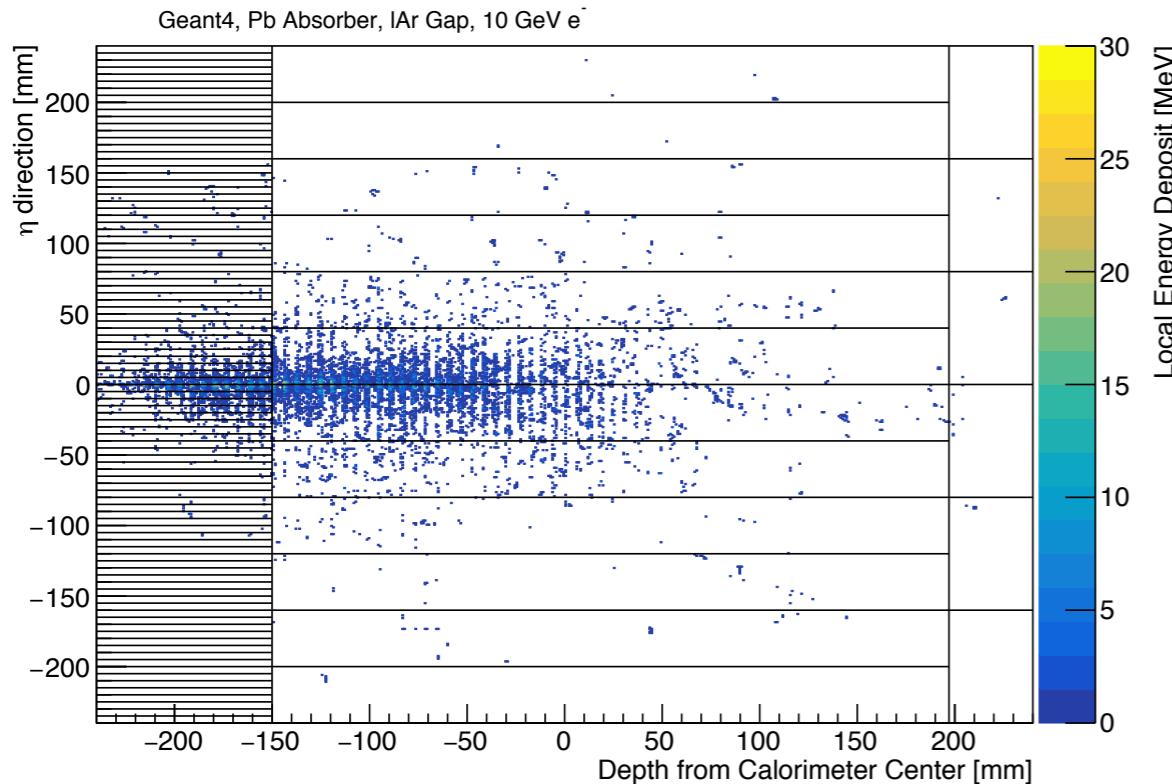
77



Locally connected layers  
use filters on small patches  
(CNN is then a special  
case with weight sharing)

# Calorimeter Simulation

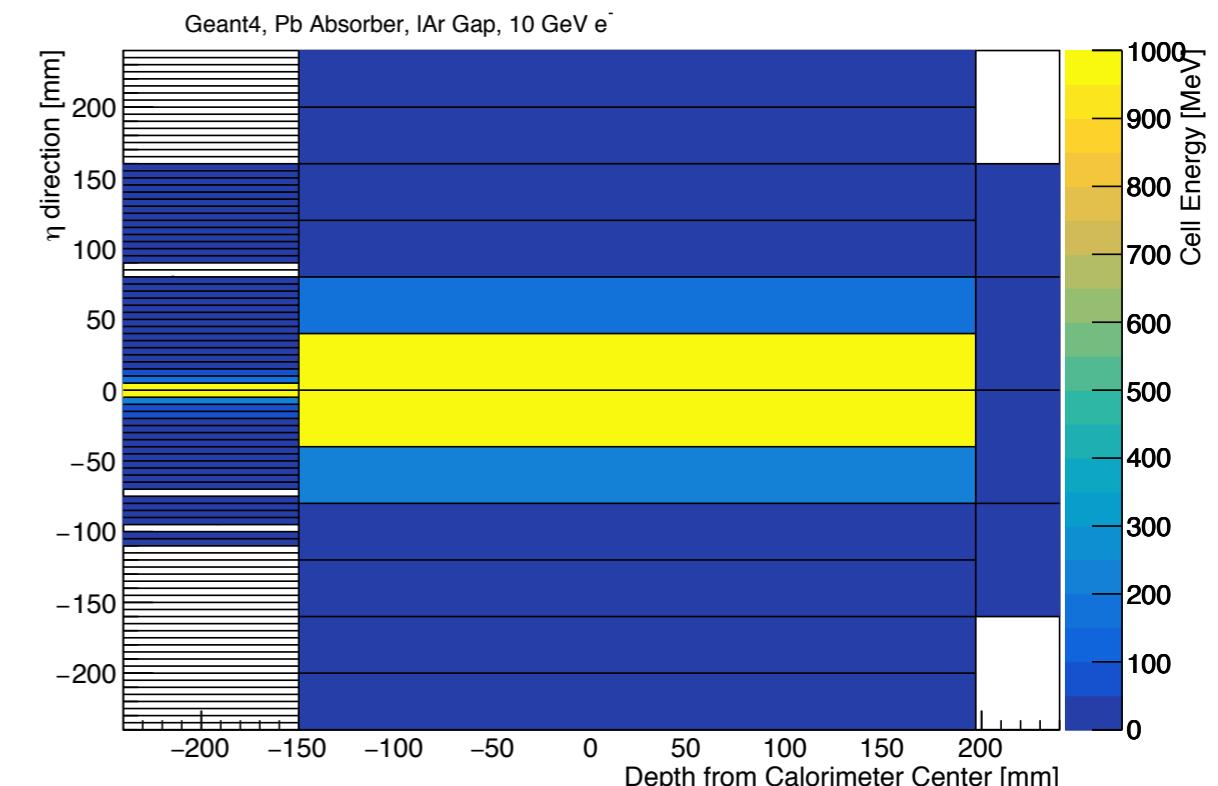
78



We take as our model a 3-layer LAr calorimeter, inspired by the ATLAS barrel EM calorimeter

A single event may have  $O(10^3)$  of particles showering in the calorimeter - too cumbersome to do all at once (now)

We exploit factorization of energy depositions

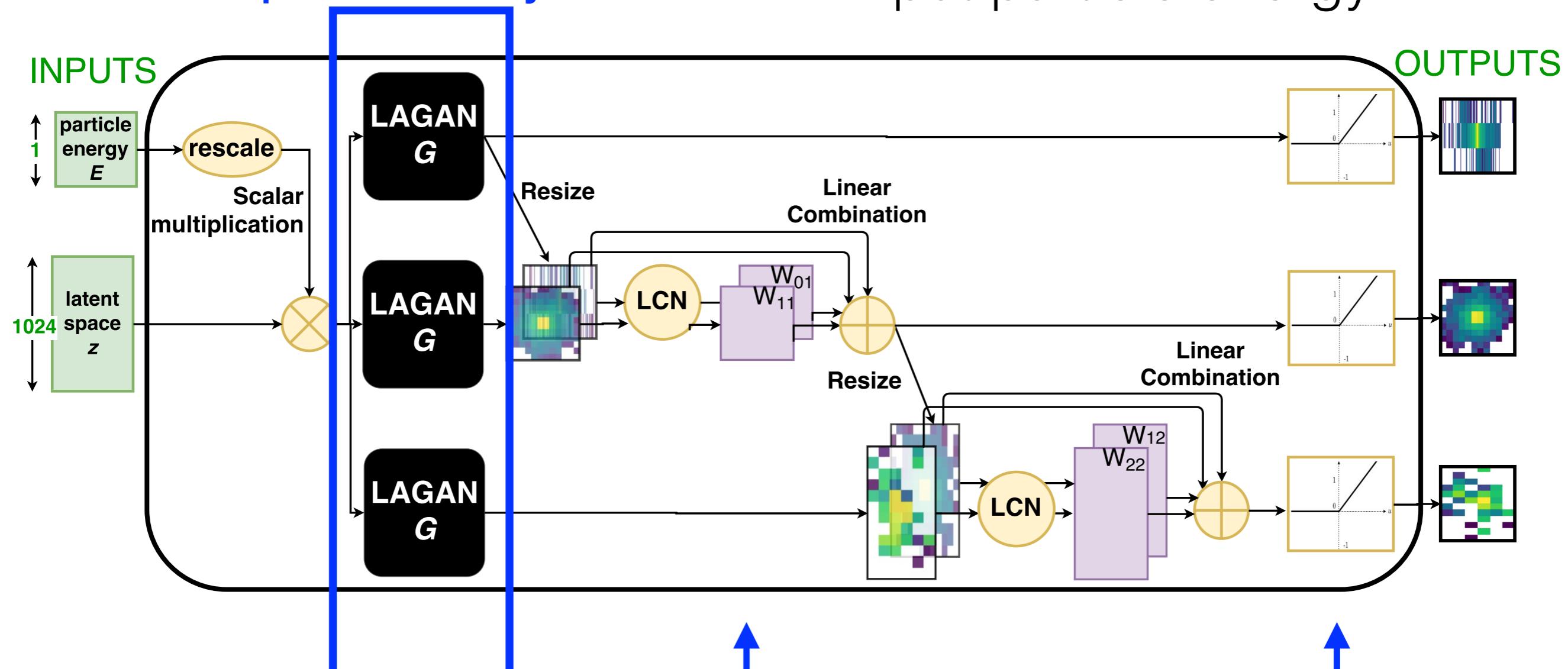


# Generator Network for CaloGAN

79

One ‘jet image’  
per calo layer

One network per particle type;  
input particle energy



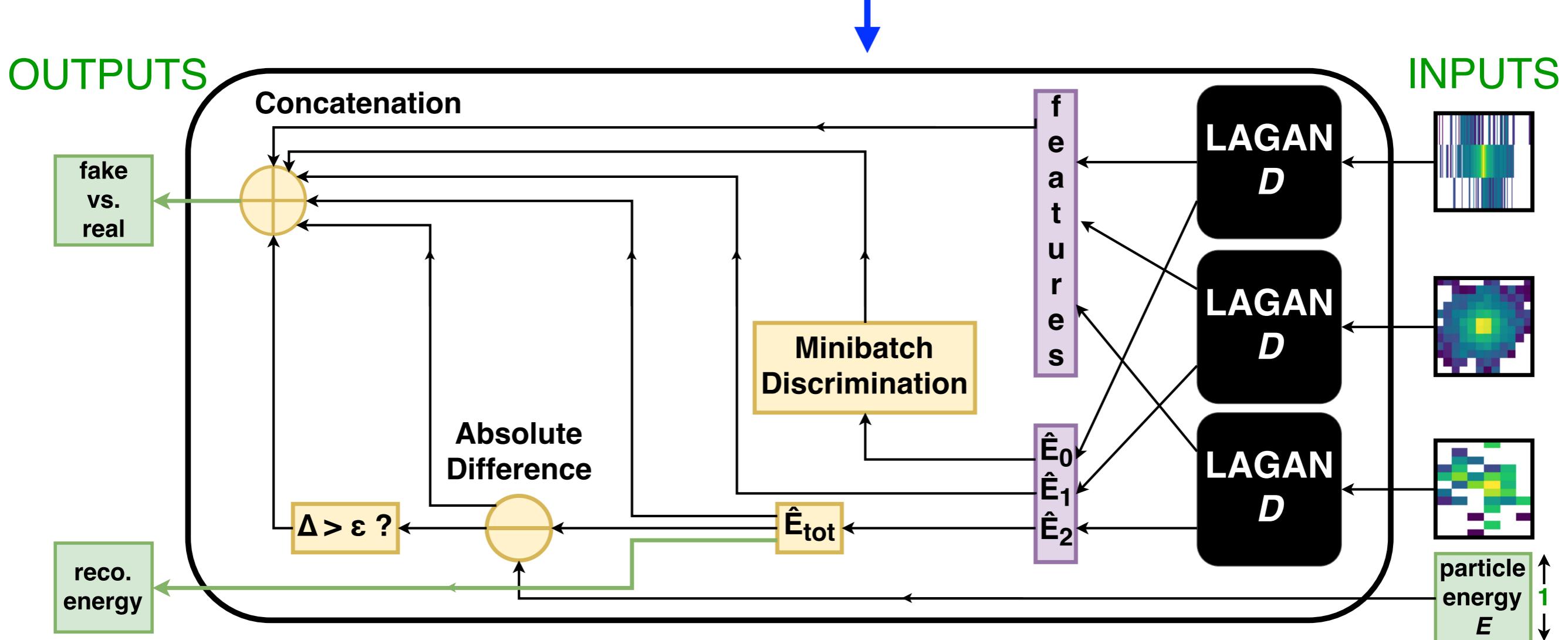
use layer  $i$  as  
input to layer  $i+1$

ReLU to  
encourage  
sparsity

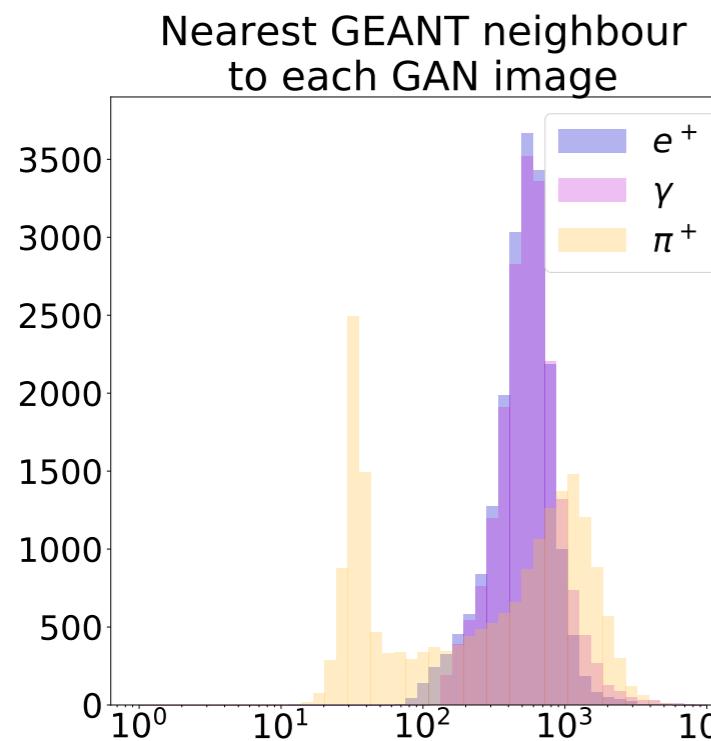
# Discriminator Network for CaloGAN

80

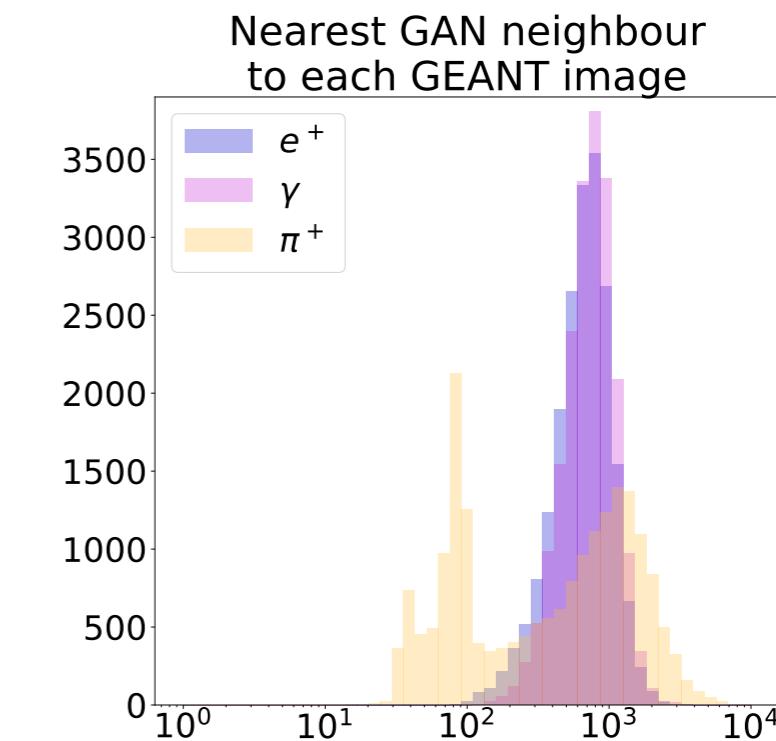
help avoid  
'mode collapse'



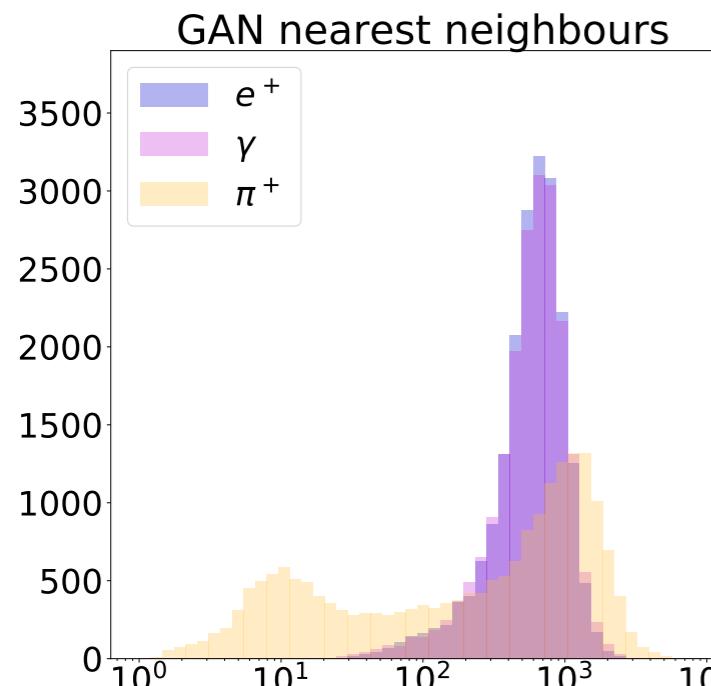
# “Overtraining”



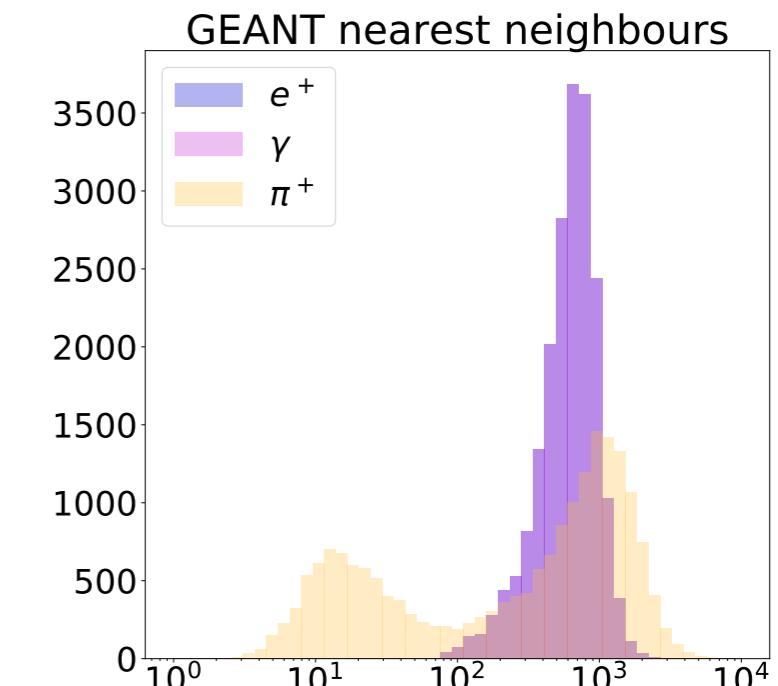
not  
memorizing



A key challenge in training GANs is the diversity of generated images. This does not seem to be a problem for CaloGAN.

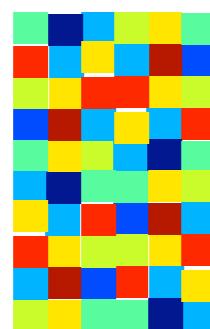


no mode  
collapse

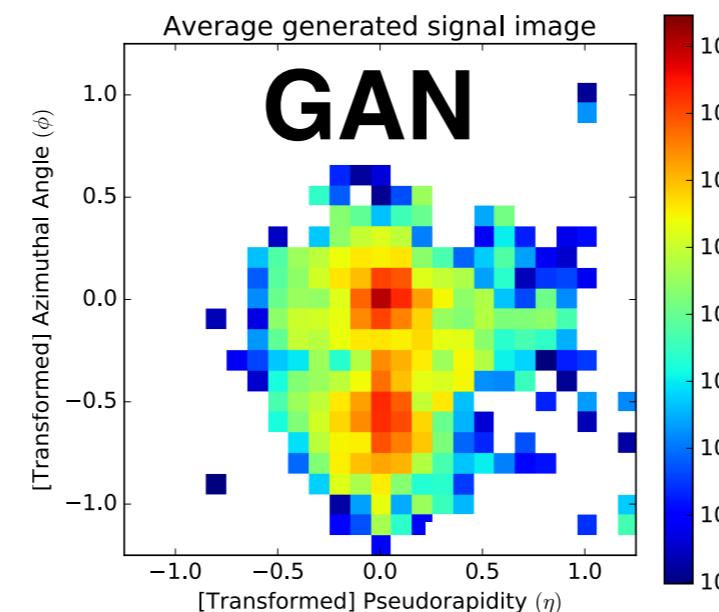


*M. Paganini, L. de Oliveira, and BPN 1705.05927, 1705.02355*

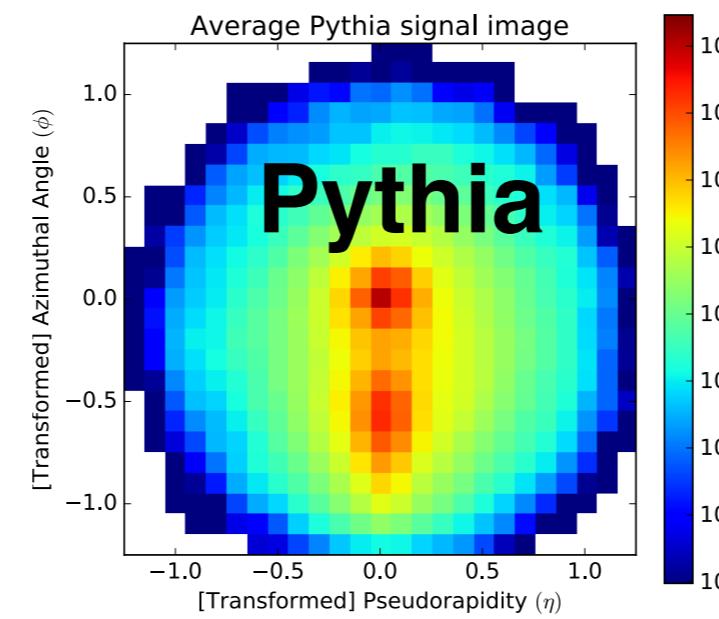
Generative Adversarial Networks (GAN):  
*A two-network game where one **maps noise to images** and one **classifies images as fake or real**.*



noise



{real,fake}



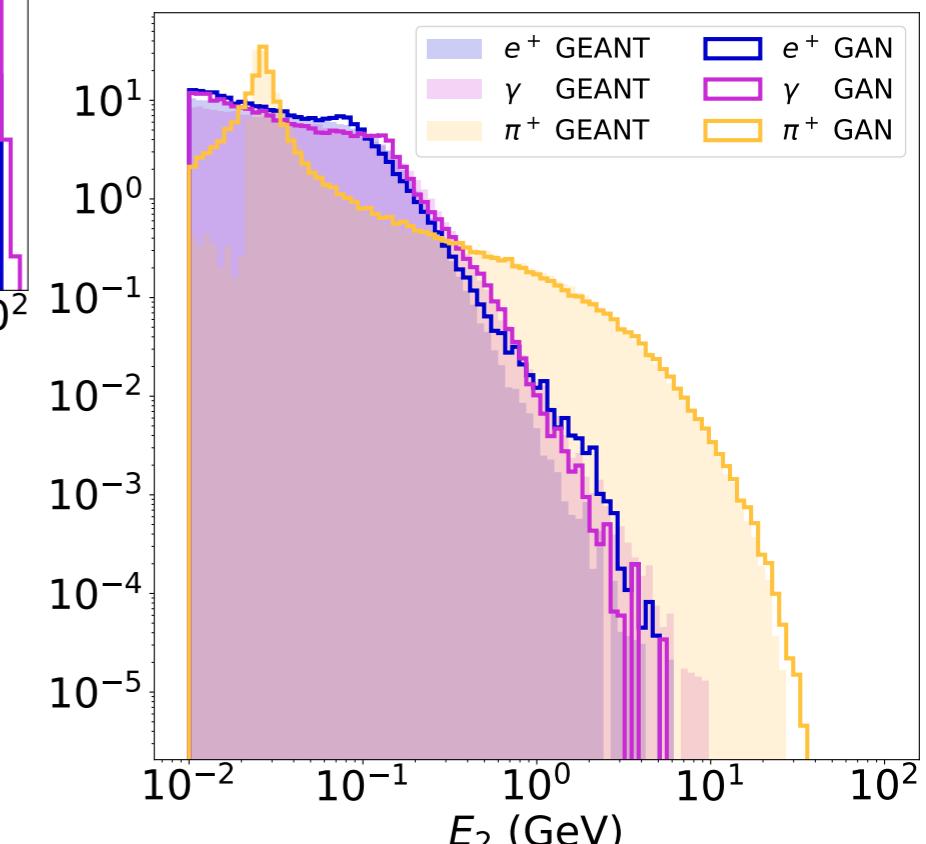
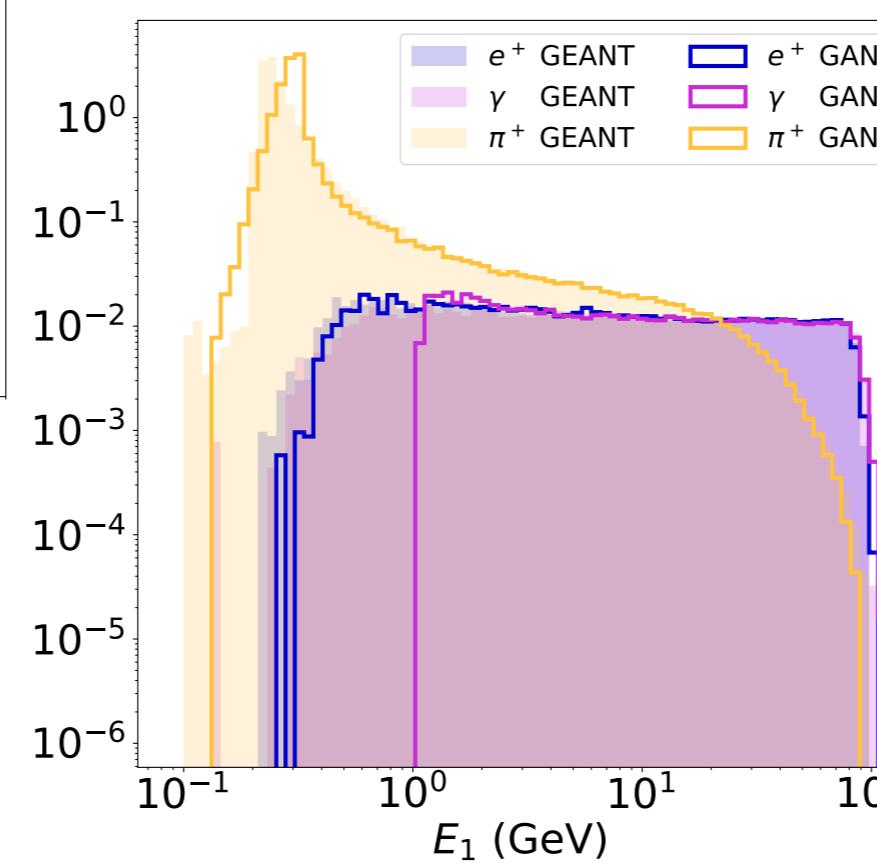
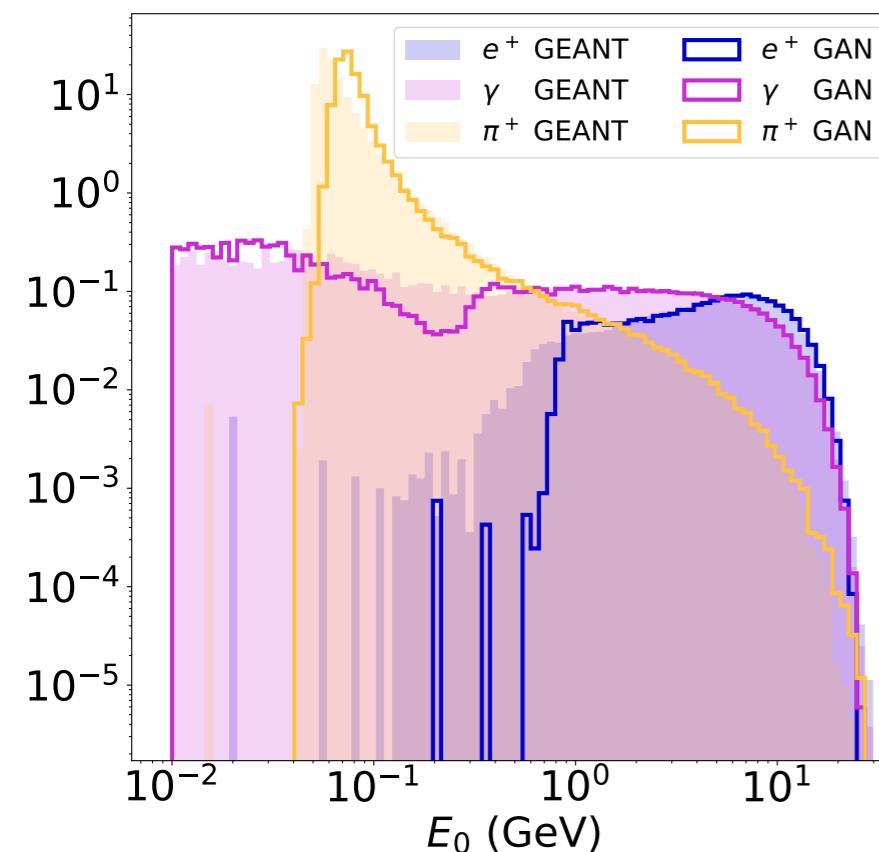
Physics-based simulator

When **D** is maximally confused, **G** will be a good generator

# Energy per layer

83

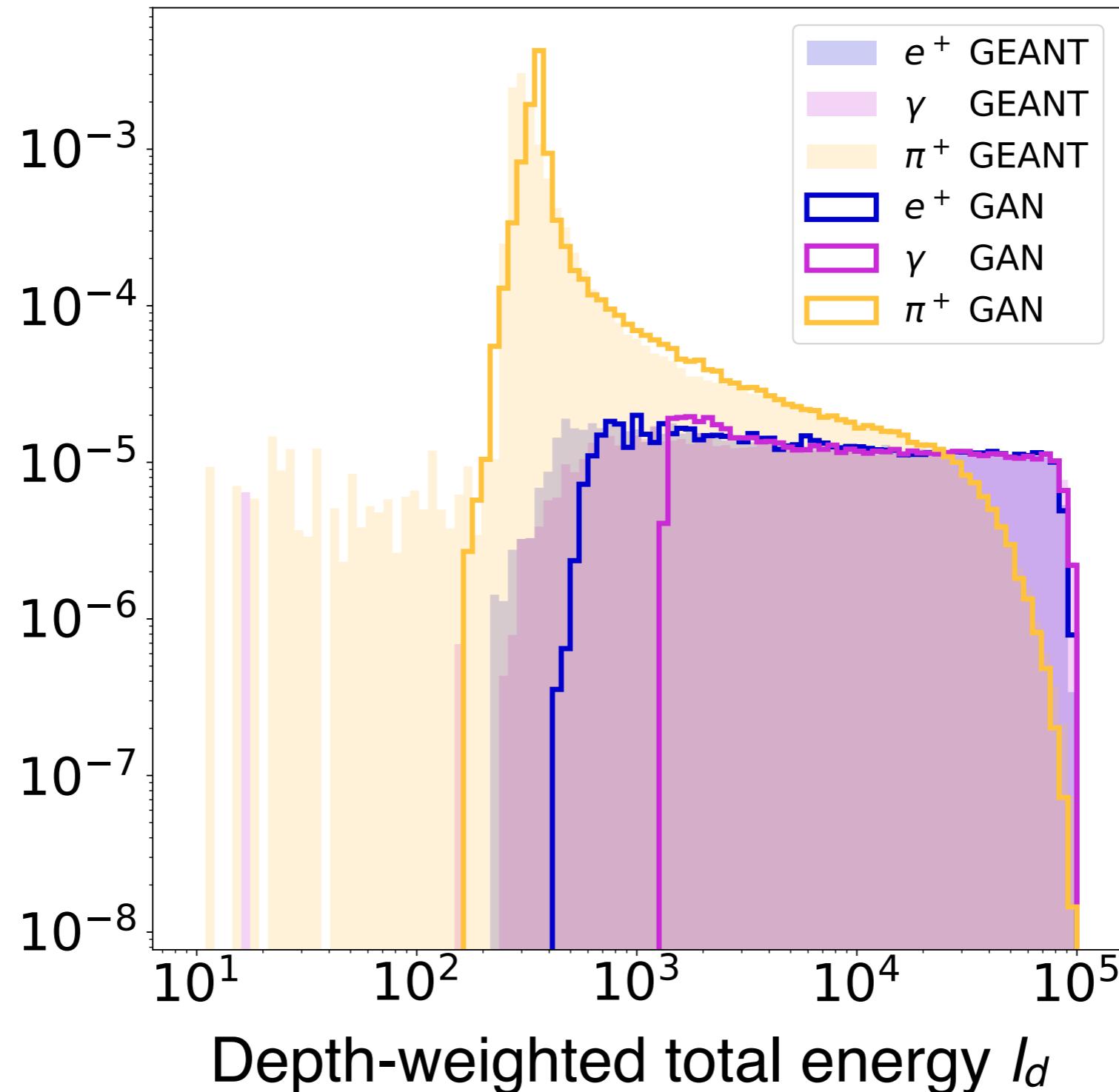
Pions deposit much less energy  
in the first layers; leave the  
calorimeter with significant energy



N.B. can always add these (and  
others) explicitly to the training

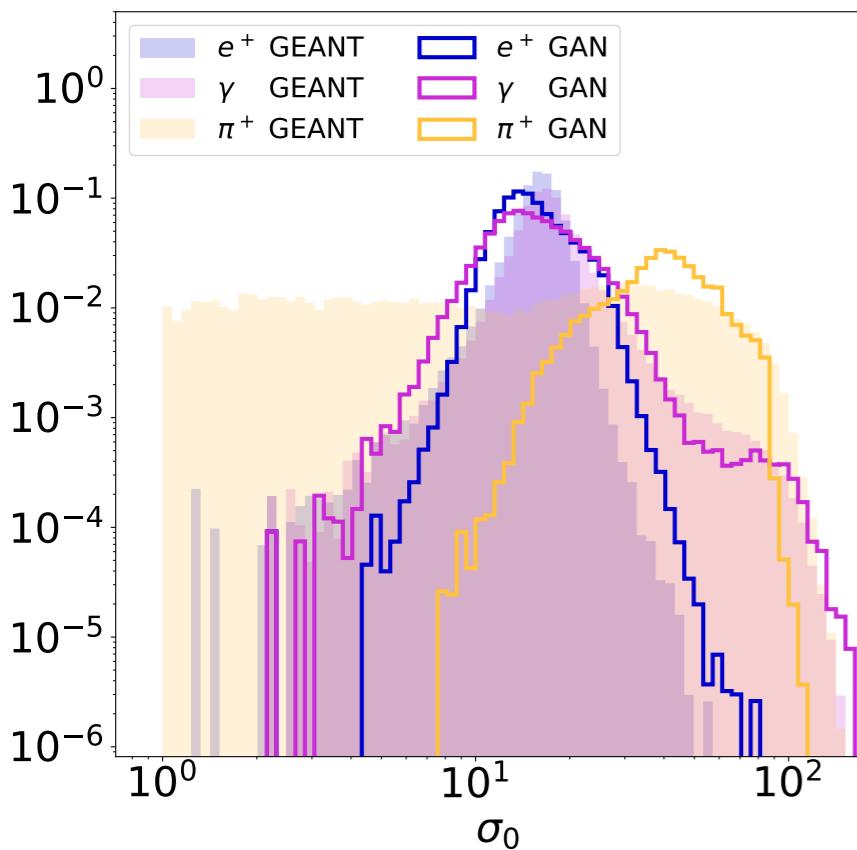
# Depth of the shower

84

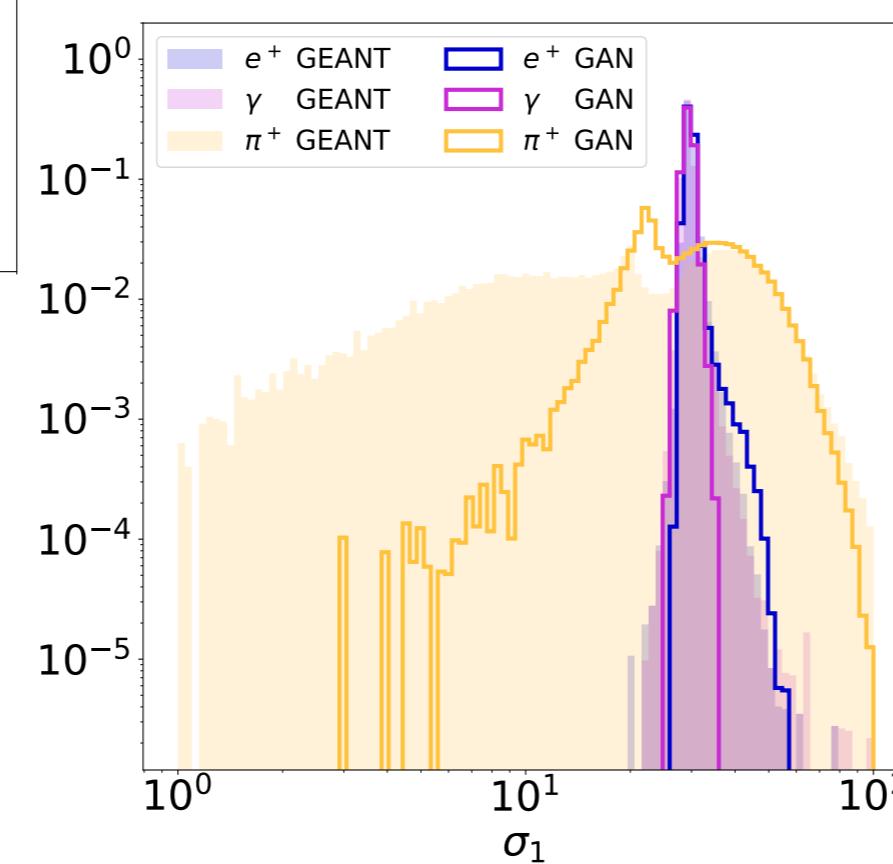


# Lateral spread

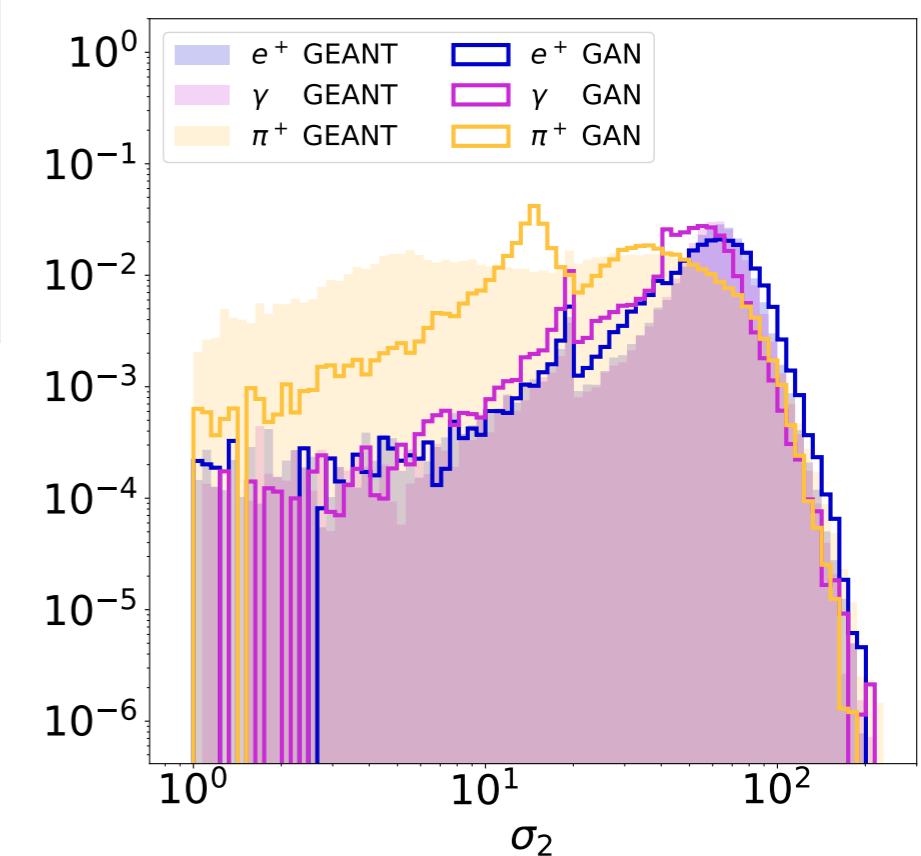
85



The much larger variation in the pion showers is a challenge for the network.

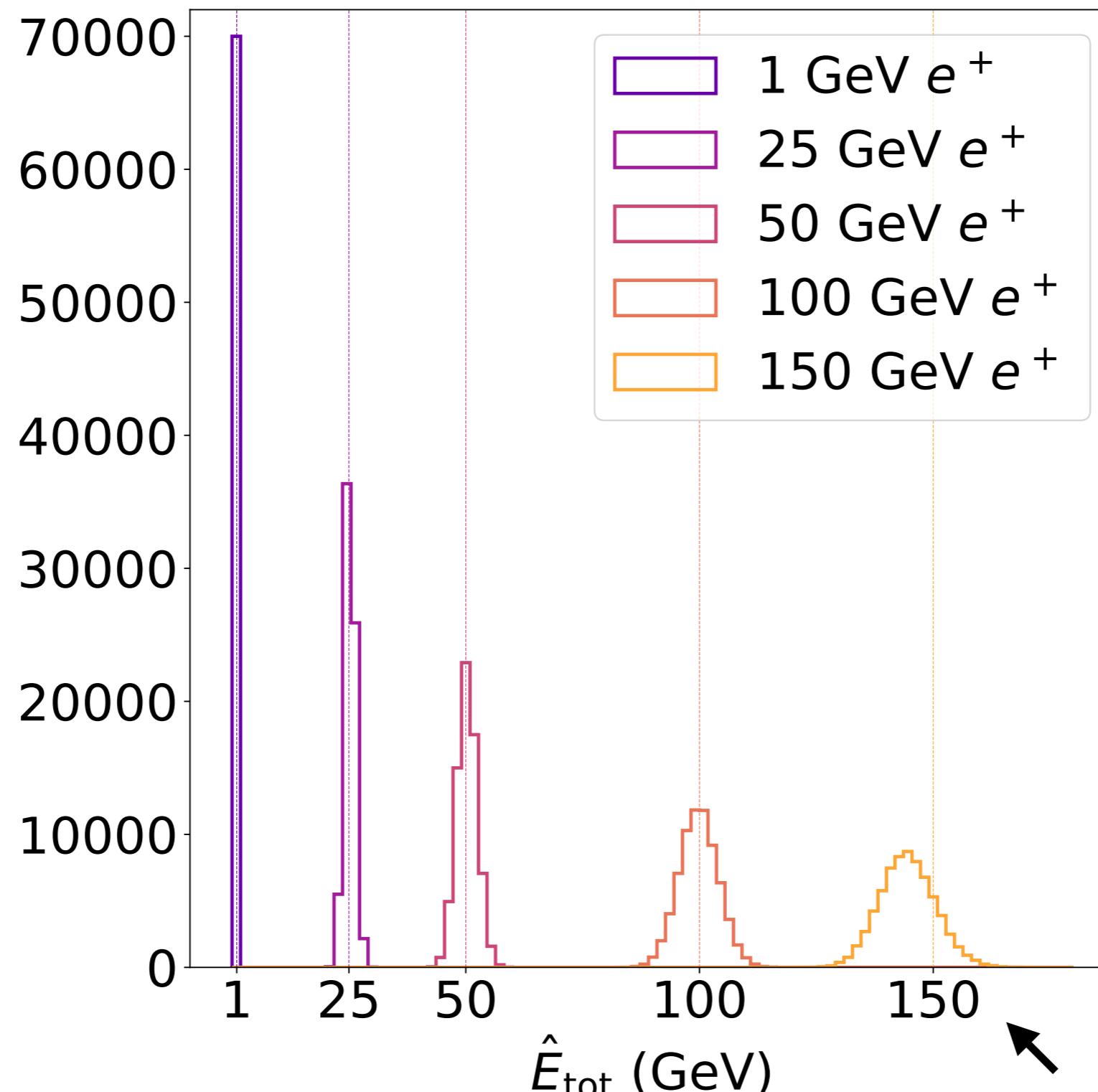


These moments and others are useful for classification; we have also tested this as a metric (NN on 3D images)



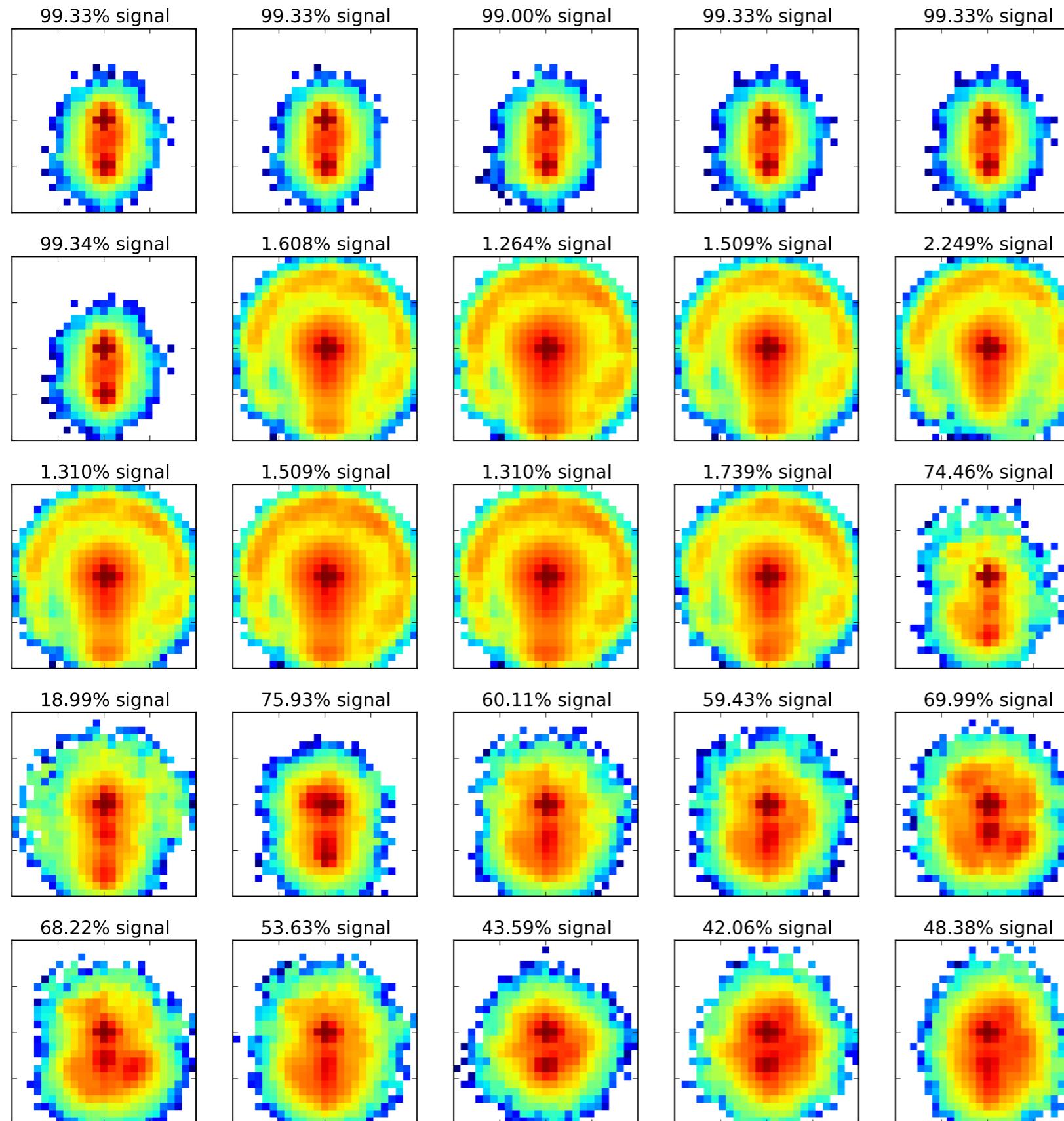
# Shower Energy

86



→ Beyond our  
training sample!

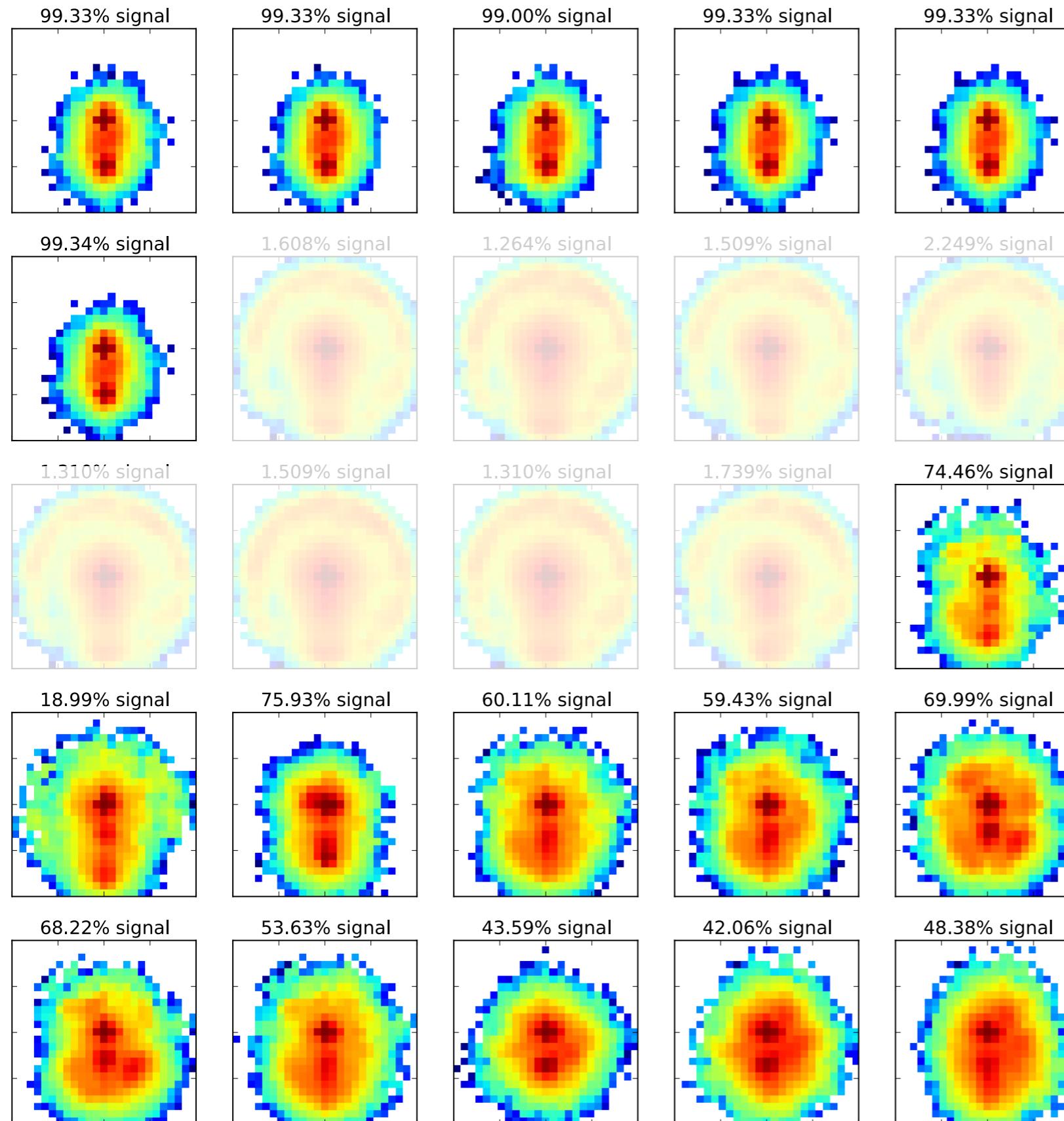
# Most activating images



Take a node in the NN and ask which input images activate it the most

Some nodes learn about subjects and some learn about peripheral radiation

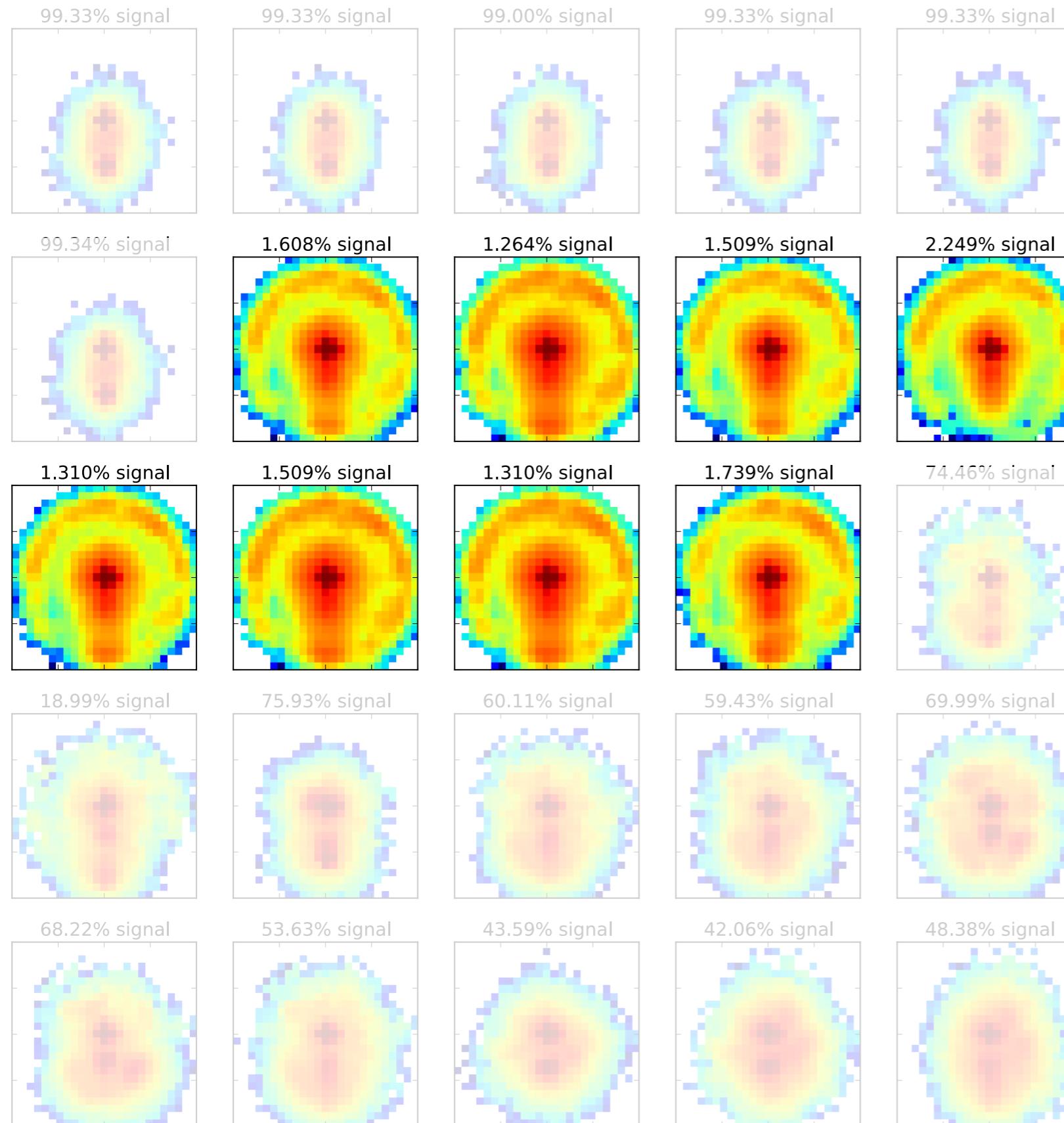
# Most activating images



Take a node in the NN and ask which input images activate it the most

Some nodes learn about subjects and some learn about peripheral radiation

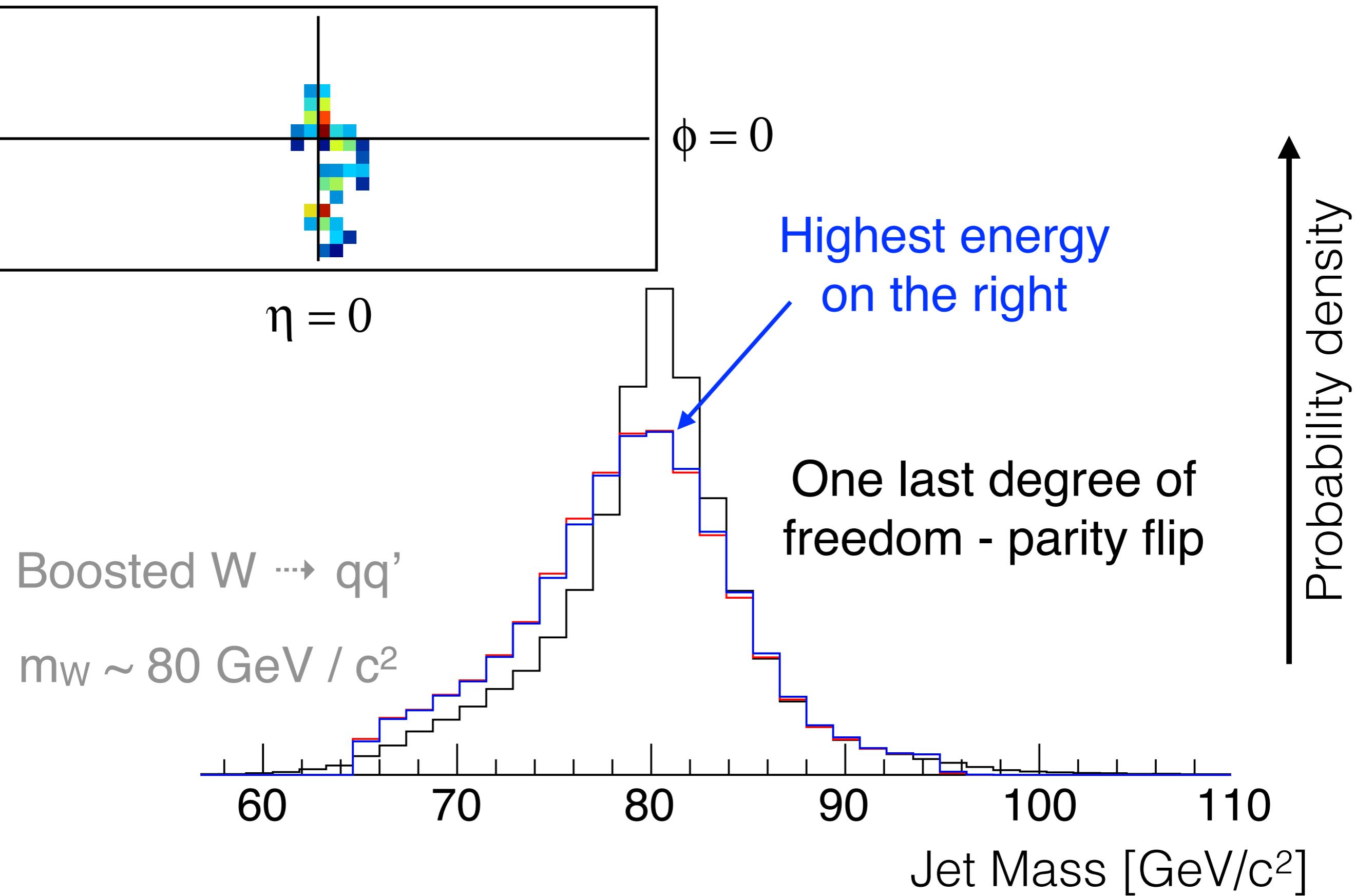
# Most activating images



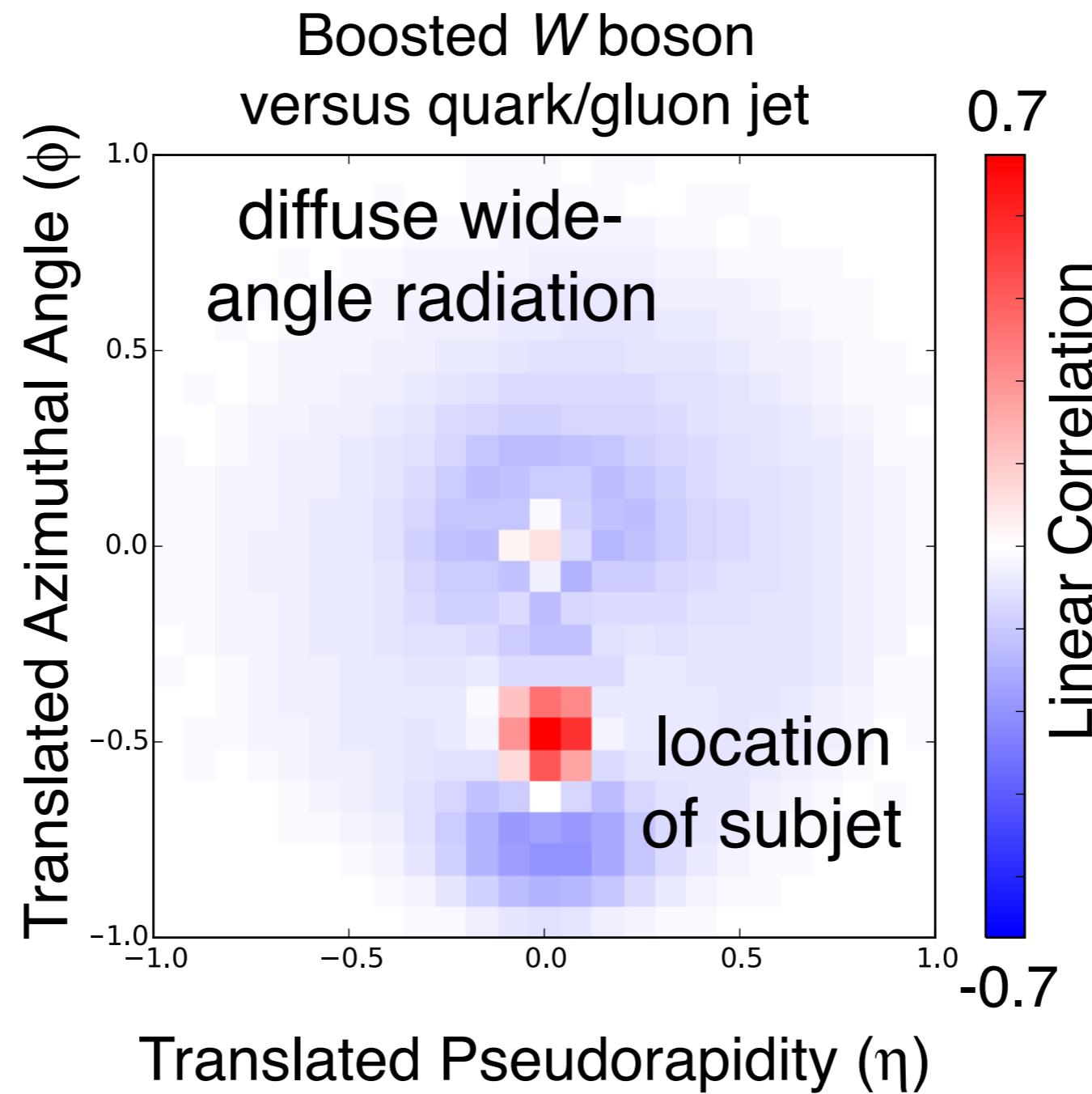
Take a node in the NN and ask which input images activate it the most

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# Pre-processing & spacetime symmetries



# Correlation between input and output



**Red** = network is more activated (more signal-like)