

General Topology

Lecture 2

Haoming Wang

4 April 2020

THIS IS THE LECTURE NOTE FOR THE *General Topology* COURSE IN SPRING 2020.

1 Topology Space

Definition 1 (Topology Space). A topology space $X = (\underline{X}, \mathcal{T}_X)$ consists of a set \underline{X} , called the underlying space of X and a family \mathcal{T}_X of subset of \underline{X} (i.e. $\mathcal{T}_X \subseteq \mathcal{P}(\underline{X})$) s.t.

1. $\underline{X}, \emptyset \in \mathcal{T}_X$;
2. $U_\alpha \in \mathcal{T}_X (\alpha \in A) \Rightarrow \bigcup_{\alpha \in A} U_\alpha \in \mathcal{T}_X$;
3. $U, U' \in \mathcal{T}_X \Rightarrow U \cap U' \in \mathcal{T}_X$.

\mathcal{T}_X is called a topology on \underline{X} , the element in \mathcal{T}_X is called the open set on \underline{X} w.r.t. \mathcal{T}_X .

Note 1. Conventionally, we usually use X to indicate the set \underline{X} and omit the subscript X in \mathcal{T}_X by saying a topology space (X, \mathcal{T}) .

Exercise 1. Let X be a topology space, $U \subseteq X$, show that U is open \Leftrightarrow for any $u \in U$, $\exists O_u \subseteq U$, s.t. $u \in O_u \subseteq_{\text{open}} X$.

Proof. \Rightarrow : define $O_u := U$ for $\forall u \in U$; \Leftarrow : since $O_u \subseteq U$, $\bigcup_{u \in U} O_u \subseteq U$; on the other hand, for any $v \in U$, $v \in O_v \subseteq \bigcup_{u \in U} O_u \Rightarrow U \subseteq \bigcup_{u \in U} O_u$. Thus $U = \bigcup_{u \in U} O_u \subseteq_{\text{open}} X$. \square

Definition 2 (Continuous). Let X and Y are top. spaces and $\underline{X} \xrightarrow{f} \underline{Y}$ is a map. We say f is conti. at a point $x_0 \in X$ (from X to Y), if for $\forall f(x_0) \in V \in \mathcal{T}_Y, \exists x \in U \in \mathcal{T}_X$, s.t. $f(U) \subseteq V$.

We say f is continuous (from X to Y) if it is continuous at every point of \underline{X} .

Note 2. We will denote $U \in \mathcal{T}_X$ as $U \subseteq_{\text{open}} X$, and denote $X \setminus A \subseteq_{\text{open}} X$ as $A \subseteq_{\text{close}} X$.

Definition 3 (Compact). X is a top. sp. $K \subseteq \underline{X}$. We say K is compact in X if $\forall U_\alpha \subseteq_{\text{open}} X (\alpha \in A), K \subseteq \bigcup_{\alpha \in A} U_\alpha \Rightarrow \exists \text{ finite set } S \subseteq A$, s.t. $K \subseteq \bigcup_{\alpha \in S} U_\alpha$, and denote by $K \subseteq_{\text{cpt.}} X$. We say X is a compact space if \underline{X} is compact in X .

Definition 4 (Neighborhood). Let X be a top. sp. and $x \in X$. A subset N of X is called a neighborhood of x if $\exists U \subseteq N$, s.t. $x \in U \subseteq_{\text{open}} X$. (That is $x \in N^\circ$.)

Exercise 2. $X \xrightarrow{f} Y$ is a map between top. sp., $x_0 \in X$, show that f is conti. at $x_0 \Leftrightarrow \forall$ nbd. V of $f(x_0)$, \exists nbd. U of x_0 , s.t. $f(U) \subseteq V \Leftrightarrow \forall$ nbd. V of $f(x_0)$, $f^{-1}(V)$ is a nbd. of x_0 .

Proof. 1. \Rightarrow : Suppose $V \subseteq Y$ is a nbd. of $f(x_0)$, then $\exists V_0 \subseteq V$, s.t. $f(x_0) \in V_0 \subseteq_{\text{open}} Y \Rightarrow \exists U_0 \subseteq_{\text{open}} X$, s.t. $x \in U_0$ and $f(U_0) \subseteq V_0$, since f is conti. at x_0 . Thus U_0 is the nbd. that we desire.

\Leftarrow : For any open set $V_0 \subseteq_{\text{open}} Y$ and $f(x_0) \in V_0$, V_0 is a nbd. of $f(x_0)$. Thus \exists a nbd. U of x_0 such that $\exists U_0 \subseteq U$, $x_0 \in U_0 \subseteq_{\text{open}} X$. And $f(U_0) \subseteq f(U) \subseteq V_0$. Thus f is conti.

2. \Rightarrow : For any nbd. V of $f(x_0)$, \exists nbd. U of x_0 and $\exists U_0 \subseteq U$, s.t. $x_0 \in U_0 \subseteq_{\text{open}} X$ and $f(U_0) \subseteq V$. Thus $x_0 \in U_0 \subseteq U \subseteq f^{-1}(V)$, that is $U \in f^{-1}(V)$ and $x_0 \in U_0 \subseteq_{\text{open}} X$, thus $f^{-1}(V)$ is a nbd. of x_0 .

\Leftarrow : Trivial. □

Definition 5 (Separation Axioms). Let X be a top. space:

(T_0 or Kolmogorov Space) For any distinct $x, y \in X$, $\exists U \subseteq_{\text{open}} X$, s.t. $x \in U \not\subseteq y$ or $y \in U \not\subseteq x$.

(T_1 or Fréchet Space) For any distinct $x, y \in X$, $\exists U, V \subseteq_{\text{open}} X$, $x \in U \not\subseteq y$ and $y \in V \not\subseteq x$.

(T_2 or Hausdorff Space) For any distinct $x, y \in X$, $\exists U, V \subseteq_{\text{open}} X$, s.t. $x \in U$, $y \in V$ and $U \cap V = \emptyset$.

(T_3 or Regular Space) If X is a T_1 space, and $\forall x \in X, C \subseteq_{\text{close}} X, x \notin C \Rightarrow \exists U, V \subseteq_{\text{open}} X$, s.t. $x \in U, C \subseteq V$ and $U \cap V = \emptyset$.

(T_4 or Normal Space) If X is a T_1 space, and $\forall C_1, C_2 \subseteq_{\text{close}} X, C_1 \cap C_2 = \emptyset \Rightarrow \exists U, V \subseteq_{\text{open}} X$, s.t. $C_1 \subseteq U, C_2 \subseteq V$ and $U \cap V = \emptyset$.

Exercise 3. Show that X is a T_1 space $\Leftrightarrow \forall x \in X, \{x\} \subseteq_{\text{close}} X$.

Proof. \Rightarrow : Given $x \in X$, for any $y \in X \setminus \{x\}$, there exists $U_y \subseteq_{\text{open}} X$, s.t. $y \in U_y \not\subseteq x$. Thus $\cup_{y \in X \setminus \{x\}} U_y \subseteq_{\text{open}} X$. If $z \in \cup_{y \in X \setminus \{x\}} U_y$, $\exists y' \in X$, s.t. $z \in U_{y'} \subseteq_{\text{open}} X$ and $x \notin U_{y'} \Rightarrow z \neq x \Rightarrow z \in X \setminus \{x\}$. For any $z \in X \setminus \{x\} \Rightarrow \exists U_z \subseteq_{\text{open}} X$, s.t. $z \in U_z \not\subseteq x \Rightarrow z \in \cup_{y \in X \setminus \{x\}} U_y$. Thus $X \setminus \{x\} = \cup_{y \in X \setminus \{x\}} U_y \subseteq_{\text{open}} X \Rightarrow \{x\} \subseteq_{\text{close}} X$.

\Leftarrow : For any distinct $x, y \in X$, $x \in X \setminus \{y\} \subseteq_{\text{open}} X$ and $y \in X \setminus \{x\} \subseteq_{\text{open}} X$ where $x \notin X \setminus \{x\}$ and $y \notin X \setminus \{y\}$. □

2 Closure

Definition 6. X is a top. sp., $p \in X, A \subseteq X$:

1. p is an interior point of A in X , if \exists nbd. U of p , s.t. $U \subseteq A$;

2. p is an exterior point of A in X , if \exists nbd. U of p , s.t. $U \subseteq X \setminus A$, i.e. $U \cap A = \emptyset$;
3. p is a boundary point of A in X , if \forall nbd. U of p , s.t. $U \cap A \neq \emptyset \neq U \cap (X \setminus A)$;
4. p is an isolated point of A in X , if \exists nbd. U of p , s.t. $U \cap A = \{p\}$;
5. p is a limit point of A in X , if \forall nbd. U of p , $U \cap (A \setminus \{p\}) \neq \emptyset$.

Correspondingly, define

1. $\text{int}_X A = A^\circ := \{\text{all interior point of } A \text{ in } X\}$,
2. $\text{ext}_X A = A^e := \{\text{all exterior point of } A \text{ in } X\}$,
3. $\text{bd}_X A = \partial A := \{\text{all boundary point of } A \text{ in } X\}$

It is direct to see

1. $A^\circ = (X \setminus A)^e$, $A^e = (X \setminus A)^\circ$ and $\partial A = \partial(X \setminus A)$;
2. $A^\circ = \bigcup \{U \mid U \subseteq A, U \subseteq_{\text{open}} X\}$ is the largest open set of X contained in A .
3. $\text{cls}_X A = \bar{A} := \bigcap \{C \mid A \subseteq C \subseteq_{\text{close}} X\}$ is the smallest closed set of X containing A ;
4. $\bar{A} = A^\circ \cup \partial A = X \setminus A^e$;
5. $A \subseteq_{\text{open}} X \Leftrightarrow A^\circ = A$;
6. $A \subseteq_{\text{close}} X \Leftrightarrow \bar{A} = A$.

The proies of these statements has been given in *Introduction of Topology, Lecture 12,13*.

Exercise 4. Show that $\partial A \setminus A \subseteq L_A$, where $L_A := \{\text{limit points of } A \text{ in } X\}$.

Proof. $x \in \partial A \setminus A \Rightarrow x \in \partial A$ and $x \notin A \Rightarrow$ for any nbd. U of x , $U \cap A = U \cap (A \setminus \{x\}) = U \cap A \setminus \{x\} \neq \emptyset \Rightarrow x \in L_A$. \square

Note 3. In general, $\partial A \not\subseteq L_A$. For example, if x is an isolate point of A , then it is a boundary point of A , but not be the limit point of A .

Exercise 5. Show that $\bar{A} = A \cup L_A$.

Proof 1. 1. $\bar{A} \subseteq A \cup L_A$: If $x \in A \Rightarrow x \in A \cup L_A$; If $x \in \bar{A} \setminus A$: since $x \in \bar{A} = A^\circ \cup \partial A = X \setminus A^e$, any nbd. U of x has $U \not\subseteq X \setminus A \Rightarrow U \cap A \neq \emptyset$. Since $x \notin A$, $U \cap A = U \cap (A \setminus \{x\}) \neq \emptyset \Rightarrow x \in L_A$.

2. $A \cup L_A \subseteq \bar{A}$: If $x \in A \Rightarrow x \in \bar{A}$; If $x \in L_A \Rightarrow$ any nbd. U of x has $U \cap A \neq \emptyset \Rightarrow x \notin A^e \Rightarrow x \in X \setminus A^e = \bar{A}$. \square

Proof 2. 1. $\bar{A} = A^\circ \cup \partial A = A^\circ \cup (\partial A \cap A) \cup (\partial A \setminus A)$. If $x \in A^\circ \cup (\partial A \cap A) \Rightarrow x \in A$; if $x \in \partial A \setminus A \Rightarrow x \in L_A$. Thus $\bar{A} \subseteq A \cup L_A$.

2. If $x \in X \setminus \bar{A} = (X \setminus A)^\circ$, then \exists a nbd. U of x , s.t. $U \subseteq X \setminus A \Rightarrow U \cap A = \emptyset \Rightarrow x$ is not a limit point of A in $X \Rightarrow x \in X \setminus L_A \Rightarrow X \setminus \bar{A} \subseteq X \setminus L_A \Rightarrow L_A \subseteq \bar{A} \Rightarrow A \cup L_A \subseteq A \cup \bar{A} = \bar{A}$. \square

Note 4. Useful routines:

1. $A \subseteq B \Leftrightarrow X \setminus A \supseteq X \setminus B$

2. $x \notin \bar{A} \Leftrightarrow \exists$ nbd. U of x , s.t. $U \cap A = \emptyset$.

Exercise 6. Show that $\bar{A} = \{x \in X \mid \forall \text{ open nbd. } U_x \text{ of } x, U_x \cap A \neq \emptyset\}$.

Proof. \subseteq : if $x \in \bar{A} \Rightarrow x \in A \cup L_A$. If $x \in A$, \forall open nbd. U_x of x has $x \in U_x \cap A \neq \emptyset$;
If $x \in L_A \setminus A$, \forall open nbd. U_x of x has $U_x \cap A \setminus \{x\} \neq \emptyset \Rightarrow U_x \cap A \neq \emptyset$.

\supseteq : If $x \notin \bar{A} \Rightarrow x \in X \setminus (A^o \cup \partial A) = A^e = (X \setminus A)^o$, then \exists an open nbd. U_x of x s.t. $U_x \subseteq X \setminus A \Rightarrow U_x \cap A = \emptyset$. Thus \forall open nbd. U_x of x if $U_x \cap A \neq \emptyset \Rightarrow x \in \bar{A}$. \square

Exercise 7. X is a top. sp., $A_i \subseteq X (i \in I)$, show that

$$\cup_{i \in I} \bar{A}_i \subseteq \overline{\cup_{i \in I} A_i}$$

and

$$\overline{\cap_{i \in I} A_i} \subseteq \cap_{i \in I} \bar{A}_i.$$

Proof. 1. For any $i \in I$, $A_i \subseteq \cup_{i \in I} A_i \Rightarrow \bar{A}_i \subseteq \overline{\cup_{i \in I} A_i} \Rightarrow \cup_{i \in I} \bar{A}_i \subseteq \overline{\cup_{i \in I} A_i}$.

2. For any $i \in I$, $A_i \subseteq \bar{A}_i \subseteq_{\text{close}} X \Rightarrow \cap_{i \in I} A_i \subseteq \cap_{i \in I} \bar{A}_i \subseteq_{\text{close}} X \Rightarrow \overline{\cap_{i \in I} A_i} \subseteq \overline{\cap_{i \in I} \bar{A}_i} = \cap_{i \in I} \bar{A}_i$. \square

Note that the '=' doer not necessary hold. For example, let $A_r = (1/r, 1 - 1/r)$, $r > 2$, then $\cup_{r > 2} A_r = \cup_{r > 2} \bar{A}_r = (0, 1) \subseteq \overline{\cup_{r > 2} A_r} = [0, 1]$.

Let $B_1 = (0, 1/2)$, $B_2 = (1/2, 1)$, then $\bar{B}_1 \cap \bar{B}_2 = B_1 \cap B_2 = \emptyset$, but $\overline{\bar{B}_1 \cap \bar{B}_2} = [0, 1/2] \cap [1/2, 1] = 1/2$.

Remark 1. If I is finite, then $\cup_{i \in I} \bar{A}_i = \overline{\cup_{i \in I} A_i}$.

Since $A_i \subseteq \bar{A}_i \Rightarrow \cup_{i \in I} A_i \subseteq \cup_{i \in I} \bar{A}_i \Rightarrow \overline{\cup_{i \in I} A_i} \subseteq \overline{\cup_{i \in I} \bar{A}_i}$, and since I is finite, $\cup_{i \in I} \bar{A}_i$ is closed, thus $\overline{\cup_{i \in I} A_i} \subseteq \cup_{i \in I} \bar{A}_i$.

Definition 7 (Locally Finite). A family \mathcal{S} of some subsets of a top. space X is locally finite if $\forall p \in X, \exists$ nbd. U of p s.t. $\{S \in \mathcal{S} \mid U \cap S \neq \emptyset\}$ is a finite set.

Exercise 8. If \mathcal{S} is locally finite family, show that

$$\overline{\cup_{S \in \mathcal{S}} S} = \cup_{S \in \mathcal{S}} \bar{S}.$$

Proof 1. We claim $\overline{\cup_{S \in \mathcal{S}} S} \subseteq \cup_{S \in \mathcal{S}} \bar{S}$, i.e. $\cap_{S \in \mathcal{S}} (X \setminus \bar{S}) = X \setminus \cup_{S \in \mathcal{S}} \bar{S} \subseteq X \setminus \overline{\cup_{S \in \mathcal{S}} S}$. Note that $x \in X \setminus \overline{\cup_{S \in \mathcal{S}} S} \Leftrightarrow \exists$ a nbd. W of x , s.t. $W \cap S = \emptyset$ for $\forall S \in \mathcal{S}$. That is, we want to find a nbd of x such that has no intersection with any S in \mathcal{S} , the locally finiteness of \mathcal{S} tells us there exists a nbd. U of x that intersects with only finite sets $S_1, \dots, S_k \in \mathcal{S}$. Thus all we need to do is eliminate these intersected part from U .

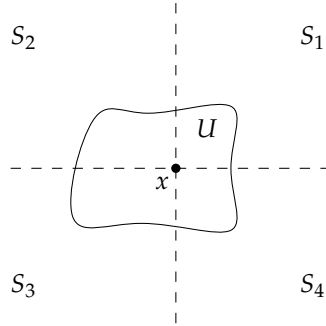
$x \in \cap_{S \in \mathcal{S}} (X \setminus \bar{S}) \Rightarrow x \in X \setminus \bar{S}$ for any $S \in \mathcal{S}$. Thus for any $S \in \mathcal{S}$, \exists a nbd V of x , s.t. $V \cap S = \emptyset$. And \exists a nbd U of x , s.t. U only intersects with finite set $S_1, \dots, S_k \in \mathcal{S}$. Note that $W := U \cap V_1 \cap \dots \cap V_k$ is still a nbd. of x , since the finite union of open set is open. And $W \cap S = \emptyset$ for any $S \in \mathcal{S}$, thus for \exists a nbd. W of x , s.t. $W \cap \cup_{S \in \mathcal{S}} S = \emptyset \Rightarrow x \in X \setminus \overline{\cup_{S \in \mathcal{S}} S} \Rightarrow \overline{\cup_{S \in \mathcal{S}} S} \subseteq \cup_{S \in \mathcal{S}} \bar{S}$. \square

Proof 2. Pick $x \notin \bigcup_{S \in \mathcal{S}} \bar{S}$. Due to local finiteness, there is an (open) neighborhood U of x , such that U intersects only finitely many of S : let's say S_1, S_2, \dots, S_n . Now create a new neighborhood $U' = U \setminus (\bar{S}_1 \cup \bar{S}_2 \cup \dots \cup \bar{S}_n)$, which is an open set containing x , and U' does not intersect any of $S \in \mathcal{S}$. Thus for any $S \in \mathcal{S}, S \subseteq X \setminus U' \Rightarrow \bar{S} \subseteq \overline{X \setminus U'} \xrightarrow{X \setminus U' \subseteq_{\text{close}} X} X \setminus U'$. Thus U' also does not intersect any of \bar{S} .

Thus, for any $x \in X \setminus \bigcup_{S \in \mathcal{S}} \bar{S}, \exists$ an open nbd. U' of x , such that $U' \cap \bigcup_{S \in \mathcal{S}} \bar{S} = \emptyset$. Thus $X \setminus \bigcup_{S \in \mathcal{S}} \bar{S}$ is open, i.e. $\bigcup_{S \in \mathcal{S}} \bar{S}$ is closed. Thus $\bigcup_{S \in \mathcal{S}} S \subseteq \bigcup_{S \in \mathcal{S}} \bar{S} \Rightarrow \overline{\bigcup_{S \in \mathcal{S}} S} \subseteq \overline{\bigcup_{S \in \mathcal{S}} \bar{S}} = \bigcup_{S \in \mathcal{S}} \bar{S}$. \square

Remark 2. There is no similar feature for the intersection, for example, $S_1 = (0, 1)$ and $S_2 = (1, 2)$.

If \mathcal{S} is locally finite, given a $x \in X$, then \exists a nbd. U of x , s.t. U intersects only finite, such as k , S s in \mathcal{S} . Clearly k has a minimal number, such as 3. Note that it does not imply x is covered by 3 S s in \mathcal{S} .



Exercise 9. Let $X \xrightarrow[\text{conti.}]{f} Y, A \subseteq X, B \subseteq Y$, show that:

1. $f^{-1}(\bar{B}) \supseteq \overline{f^{-1}(B)}; f(\bar{A}) \subseteq \overline{f(A)}$
2. $f^{-1}(B^o) \subseteq f^{-1}(B)^o; f(A^o) \supseteq f(A)^o$.
3. $f^{-1}(B^e) \subseteq f^{-1}(B)^e$; if f is a surjection, $f(A^e) \supseteq f(A)^e$.
4. $f^{-1}(\partial B) \supseteq \partial f^{-1}(B); f(\partial A) \subseteq \partial f(A)$.

Note 5. Recall that:

1. $X \xrightarrow{f} Y$ is conti. \Leftrightarrow for any $B \subseteq_{\text{open}} Y (\subseteq_{\text{close}} Y), f^{-1}(B) \subseteq_{\text{open}} X (\subseteq_{\text{close}} X)$.
2. $A^o \subseteq A \subseteq \bar{A}$.
3. $A \subseteq_{\text{close}} X \Rightarrow \bar{A} = A; A \subseteq_{\text{open}} X \Rightarrow A^o = A$.

Proof. 1. $B \subseteq \bar{B} \Rightarrow f^{-1}(B) \subseteq f^{-1}(\bar{B}) \subseteq_{\text{close}} X \Rightarrow \overline{f^{-1}(B)} \subseteq \overline{f^{-1}(\bar{B})} = f^{-1}(\bar{B});$
 $f(A) \subseteq \overline{f(A)} \Rightarrow A \subseteq f^{-1}(\overline{f(A)}) \subseteq_{\text{close}} X \Rightarrow \bar{A} \subseteq \overline{f^{-1}(\overline{f(A)})} = f^{-1}(\overline{f(A)}) \Rightarrow \overline{f(A)} \subseteq \overline{f(\bar{A})}$
2. $B^o \subseteq B \Rightarrow X_{\text{open}} \supseteq f^{-1}(B^o) \subseteq f^{-1}(B) \Rightarrow f^{-1}(B^o) = f^{-1}(B^o)^o \subseteq f^{-1}(B)^o;$

$$f(A)^o \subseteq f(A) \Rightarrow f^{-1}(f(A)^o) \subseteq A \Rightarrow f^{-1}(f(A)^o) = f^{-1}(f(A)^o)^o \subseteq A^o \Rightarrow f(A)^o \subseteq f(A^o).$$

3. Since $B^e = (Y \setminus B)^e$,

$$\begin{aligned} f^{-1}(B^e) &= f^{-1}((Y \setminus B)^o) \\ &\subseteq f^{-1}(Y \setminus B)^o \\ &= [f^{-1}(Y) \setminus f^{-1}(B)]^o \\ &= [X \setminus f^{-1}(B)]^o \\ &= f^{-1}(B)^e. \end{aligned}$$

and

$$\begin{aligned} f(A^e) &= f((X \setminus A)^o) \\ &\supseteq f(X \setminus A)^o \\ &\supseteq [f(X) \setminus f(A)]^o \\ &\stackrel{f \text{ is surj.}}{=} [Y \setminus f(A)]^o \\ &= f(A)^e. \end{aligned}$$

4. Since $\bar{B} = B^o \cup \partial B$,

$$\begin{aligned} \overline{f^{-1}(B)} &\subseteq f^{-1}(\bar{B}) \\ &\Rightarrow f^{-1}(B)^o \cup \partial f^{-1}(B) \subseteq f^{-1}(B^o \cup \partial B) \\ &\Rightarrow f^{-1}(B)^o \cup \partial f^{-1}(B) \subseteq f^{-1}(B^o) \cup f^{-1}(\partial B). \end{aligned}$$

since $f^{-1}(B)^o \supseteq f^{-1}(B^o)$, $\partial f^{-1}(B) \subseteq f^{-1}(\partial B)$.

Note 6. $A \subseteq B, A \cup C \supseteq B \cup D \Rightarrow C \supseteq D$.

Proof. $A \cup C \supseteq B \cup D \supseteq A \cup D \Rightarrow A^c \cup A \cup C \supseteq A^c \cup A \cup D \Rightarrow X \cup C \supseteq X \cup D \Rightarrow C \supseteq D$. □

and

$$\begin{aligned} f(\bar{A}) &\subseteq \overline{f(A)} \\ &\Rightarrow f(\partial A) \cup f(A^o) = f(\partial A \cup A^o) \\ &\subseteq \partial f(A) \cup f(A)^o \end{aligned}$$

since $f(A^o) \supseteq f(A)^o$, $f(\partial A) \subseteq \partial f(A)$. □