

Applying the Isabelle Insider Framework to Airplane Security

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Abstract

Avionics is one of the fields in which verification methods have been pioneered and brought a new level of reliability to systems used in safety critical environments. Tragedies, like the 2015 insider attack on a German airplane, in which all 150 people on board died, show that safety and security crucially depend not only on the well functioning of systems but also on the way how humans interact with the systems. Policies are a way to describe how humans should behave in their interactions with technical systems, formal reasoning about such policies requires integrating the human factor into the verification process.

We model insider attacks on airplanes using logical modelling and analysis of infrastructure models and policies with actors to scrutinize security policies in the presence of insiders [1]. The Isabelle Insider framework framework has been first presented in [3]. Triggered by case studies, like the present one of airplane security, it has been greatly extended now formalizing Kripke structures and the temporal logic CTL to enable reasoning on dynamic system states. Furthermore, we illustrate that Isabelle modelling and invariant reasoning reveal subtle security assumptions: the formal development uses locales to model the assumptions on insider and their access credentials. Technically interesting is how the locale is interpreted in the presence of an abstract type declaration for actor in the Insider framework redefining this type declaration at a later stage like a “post-hoc type definition” as proposed in [4]. The case study and the application of the methodology are described in more detail in the preprint [2].

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1 Kripke structures and CTL

We apply Kripke structures and CTL to model state based systems and analyse properties under dynamic state changes. Snapshots of systems are the states on which we define a state transition. Temporal logic is then employed to express security and privacy properties.

```
theory MC
imports Main
begin
```

1.1 Lemmas to support least and greatest fixpoints

```
definition monotone :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  bool
where monotone  $\tau \equiv (\forall p\ q. p \subseteq q \longrightarrow \tau\ p \subseteq \tau\ q)$ 
```

```
lemma monotoneE: monotone  $\tau \Longrightarrow p \subseteq q \Longrightarrow \tau\ p \subseteq \tau\ q$ 
<proof>
```

```
lemma lfp1: monotone  $\tau \longrightarrow (\text{lfp } \tau = \bigcap \{Z. \tau\ Z \subseteq Z\})$ 
<proof>
```

```
lemma gfp1: monotone  $\tau \longrightarrow (\text{gfp } \tau = \bigcup \{Z. Z \subseteq \tau\ Z\})$ 
<proof>
```

```
primrec power :: ['a  $\Rightarrow$  'a, nat]  $\Rightarrow$  ('a  $\Rightarrow$  'a) ((-  $\wedge$  -) 40)
where
power-zero: (f  $\wedge$  0) = ( $\lambda x. x$ ) |
power-suc: (f  $\wedge$  (Suc n)) = (f o (f  $\wedge$  n))
```

```
lemma predtrans-empty:
  assumes monotone  $\tau$ 
  shows  $\forall i. (\tau \wedge i) (\{\}) \subseteq (\tau \wedge (i + 1)) (\{\})$ 
<proof>
```

```
lemma ex-card: finite S  $\Longrightarrow \exists n::nat. \text{card } S = n$ 
<proof>
```

```
lemma less-not-le:  $\llbracket (x::nat) < y; y \leq x \rrbracket \Longrightarrow \text{False}$ 
<proof>
```

```
lemma infchain-outruns-all:
  assumes finite (UNIV :: 'a set)
  and  $\forall i::nat. (\tau \wedge i) (\{\}):: 'a \text{ set} \subset (\tau \wedge i + (1::nat)) (\{\})$ 
  shows  $\forall j::nat. \exists i::nat. j < \text{card } ((\tau \wedge i) \{\})$ 
```

<proof>

lemma *no-infinite-subset-chain*:

assumes *finite* (*UNIV* :: 'a set)
and *monotone* ($\tau :: ('a \text{ set} \Rightarrow 'a \text{ set})$)
and $\forall i :: \text{nat. } ((\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}) \wedge i) \{\} \subset (\tau \wedge i + (1 :: \text{nat})) (\{\} :: 'a \text{ set})$
shows *False*

Proof idea: since *UNIV* is finite, we have from *ex-card* that there is an *n* with *card UNIV* = *n*. Now, use *infchain-outruns-all* to show as contradiction point that $\exists i. \text{card } UNIV < \text{card } ((\tau \wedge i) \{\})$. Since all sets are subsets of *UNIV*, we also have $\text{card } ((\tau \wedge i) \{\}) \leq \text{card } UNIV$: Contradiction!, i.e. proof of False

<proof>

lemma *finite-fixp*:

assumes *finite*(*UNIV* :: 'a set)
and *monotone* ($\tau :: ('a \text{ set} \Rightarrow 'a \text{ set})$)
shows $\exists i. (\tau \wedge i) (\{\}) = (\tau \wedge (i + 1))(\{\})$

Proof idea: with *predtrans-empty* we know

$$\forall i. (\tau \wedge i) \{\} \subseteq (\tau \wedge i + 1) \{\} \quad (1).$$

If we can additionally show

$$\exists i. (\tau \wedge i + 1) \{\} \subseteq (\tau \wedge i) \{\} \quad (2),$$

we can get the goal together with equality $I \subseteq + \supseteq \longrightarrow =$. To prove (1) we observe that $(\tau \wedge i + 1) \{\} \subseteq (\tau \wedge i) \{\}$ can be inferred from $\neg (\tau \wedge i) \{\} \subseteq (\tau \wedge i + 1) \{\}$ and (1). Finally, the latter is solved directly by *no-infinite-subset-chain*.

<proof>

lemma *predtrans-UNIV*:

assumes *monotone* τ
shows $\forall i. (\tau \wedge i) (UNIV) \supseteq (\tau \wedge (i + 1))(UNIV)$

<proof>

lemma *Suc-less-le*: $x < (y - n) \implies x \leq (y - (Suc \ n))$

<proof>

lemma *card-univ-subtract*:

assumes *finite* (*UNIV* :: 'a set) **and** *monotone* ($\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}$)
and $(\forall i :: \text{nat. } ((\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}) \wedge i + (1 :: \text{nat})) (UNIV :: 'a \text{ set}) \subset (\tau \wedge i) UNIV)$
shows $(\forall i :: \text{nat. } \text{card}((\tau \wedge i) (UNIV :: 'a \text{ set})) \leq (\text{card } (UNIV :: 'a \text{ set})) - i)$
<proof>

lemma *card-UNIV-tau-i-below-zero*:

assumes *finite* ($UNIV :: 'a \text{ set}$) **and** *monotone* ($\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}$)
and $(\forall i :: \text{nat}. ((\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}) \wedge i + (1 :: \text{nat})) (UNIV :: 'a \text{ set}) \subset (\tau \wedge i) UNIV)$
shows $\text{card}((\tau \wedge (\text{card } (UNIV :: 'a \text{ set}))) (UNIV :: 'a \text{ set})) \leq 0$
 $\langle \text{proof} \rangle$

lemma *finite-card-zero-empty*: $\llbracket \text{finite } S; \text{card } S \leq 0 \rrbracket \Longrightarrow S = \{\}$
 $\langle \text{proof} \rangle$

lemma *UNIV-tau-i-is-empty*:
assumes *finite* ($UNIV :: 'a \text{ set}$) **and** *monotone* ($\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}$)
and $(\forall i :: \text{nat}. ((\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}) \wedge i + (1 :: \text{nat})) (UNIV :: 'a \text{ set}) \subset (\tau \wedge i) UNIV)$
shows $(\tau \wedge (\text{card } (UNIV :: 'a \text{ set}))) (UNIV :: 'a \text{ set}) = \{\}$
 $\langle \text{proof} \rangle$

lemma *down-chain-reaches-empty*:
assumes *finite* ($UNIV :: 'a \text{ set}$) **and** *monotone* ($\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}$)
and $(\forall i :: \text{nat}. ((\tau :: 'a \text{ set} \Rightarrow 'a \text{ set}) \wedge i + (1 :: \text{nat})) UNIV \subset (\tau \wedge i) UNIV)$
shows $\exists (j :: \text{nat}). (\tau \wedge j) UNIV = \{\}$
 $\langle \text{proof} \rangle$

lemma *no-infinite-subset-chain2*:
assumes *finite* ($UNIV :: 'a \text{ set}$) **and** *monotone* ($\tau :: ('a \text{ set} \Rightarrow 'a \text{ set})$)
and $\forall i :: \text{nat}. (\tau \wedge i) UNIV \supset (\tau \wedge i + (1 :: \text{nat})) UNIV$
shows *False*
 $\langle \text{proof} \rangle$

lemma *finite-fixp2*:
assumes *finite* ($UNIV :: 'a \text{ set}$) **and** *monotone* ($\tau :: ('a \text{ set} \Rightarrow 'a \text{ set})$)
shows $\exists i. (\tau \wedge i) UNIV = (\tau \wedge (i + 1)) UNIV$
 $\langle \text{proof} \rangle$

lemma *mono-monotone*: $\text{mono } (\tau :: ('a \text{ set} \Rightarrow 'a \text{ set})) \Longrightarrow \text{monotone } \tau$
 $\langle \text{proof} \rangle$

lemma *monotone-mono*: $\text{monotone } (\tau :: ('a \text{ set} \Rightarrow 'a \text{ set})) \Longrightarrow \text{mono } \tau$
 $\langle \text{proof} \rangle$

lemma *power-power*: $((\tau :: ('a \text{ set} \Rightarrow 'a \text{ set})) \wedge \wedge n) = ((\tau :: ('a \text{ set} \Rightarrow 'a \text{ set})) \wedge n)$
 $\langle \text{proof} \rangle$

lemma *lfp-Kleene-iter-set*: $\text{monotone } (f :: ('a \text{ set} \Rightarrow 'a \text{ set})) \Longrightarrow$
 $(f \wedge \text{Suc}(n)) \{\} = (f \wedge n) \{\} \Longrightarrow \text{lfp } f = (f \wedge n) \{\}$
 $\langle \text{proof} \rangle$

lemma *lfp-loop*:
assumes *finite* ($UNIV :: 'b \text{ set}$) **and** *monotone* ($\tau :: ('b \text{ set} \Rightarrow 'b \text{ set})$)

shows $\exists n . \text{ lfp } \tau = (\tau \wedge n) \{ \}$
 $\langle \text{proof} \rangle$

These next two are repeated from the corresponding theorems in HOL/ZF/Nat.thy for the sake of self-containedness of the exposition.

lemma *Kleene-iter-gfp*:

assumes *mono f* **and** $p \leq f p$ **shows** $p \leq (f^\wedge k)$ (*top::'a::order-top*)
 $\langle \text{proof} \rangle$

lemma *gfp-Kleene-iter*: **assumes** *mono f* **and** $(f^\wedge \text{Suc } k) \text{ top} = (f^\wedge k) \text{ top}$
shows $\text{gfp } f = (f^\wedge k) \text{ top}$
 $\langle \text{proof} \rangle$

lemma *gfp-Kleene-iter-set*:

assumes *monotone (f :: ('a set \Rightarrow 'a set))*
and $(f^\wedge \text{Suc}(n)) \text{ UNIV} = (f^\wedge n) \text{ UNIV}$
shows $\text{gfp } f = (f^\wedge n) \text{ UNIV}$
 $\langle \text{proof} \rangle$

lemma *gfp-loop*:

assumes *finite (UNIV :: 'b set)*
and *monotone ($\tau :: ('b \text{ set} \Rightarrow 'b \text{ set})$)*
shows $\exists n . \text{ gfp } \tau = (\tau^\wedge n)(\text{UNIV} :: 'b \text{ set})$
 $\langle \text{proof} \rangle$

1.2 Generic type of state with state transition and CTL operators

The system states and their transition relation are defined as a class called *state* containing an abstract constant *state-transition*. It introduces the syntactic infix notation $I \rightarrow_i I'$ to denote that system state I and I' are in this relation over an arbitrary (polymorphic) type $'a$.

class *state* =

fixes *state-transition* :: $['a :: \text{type}, 'a] \Rightarrow \text{bool}$ ($(- \rightarrow_i -) \ 50$)

The above class definition lifts Kripke structures and CTL to a general level. The definition of the inductive relation is given by a set of specific rules which are, however, part of an application like infrastructures. Branching time temporal logic CTL is defined in general over Kripke structures with arbitrary state transitions and can later be applied to suitable theories, like infrastructures. Based on the generic state transition \rightarrow of the type class *state*, the CTL-operators EX and AX express that property f holds in some or all next states, respectively.

definition *AX* **where** $\text{AX } f \equiv \{s . \{f0 . s \rightarrow_i f0\} \subseteq f\}$

definition *EX'* **where** $\text{EX}' f \equiv \{s . \exists f0 \in f . s \rightarrow_i f0\}$

The CTL formula $\text{AG } f$ means that on all paths branching from a state

s the formula f is always true (G stands for ‘globally’). It can be defined using the Tarski fixpoint theory by applying the greatest fixpoint operator. In a similar way, the other CTL operators are defined.

definition AF **where** $AF\ f \equiv lfp\ (\lambda\ Z.\ f \cup AX\ Z)$
definition EF **where** $EF\ f \equiv lfp\ (\lambda\ Z.\ f \cup EX'\ Z)$
definition AG **where** $AG\ f \equiv gfp\ (\lambda\ Z.\ f \cap AX\ Z)$
definition EG **where** $EG\ f \equiv gfp\ (\lambda\ Z.\ f \cap EX'\ Z)$
definition AU **where** $AU\ f1\ f2 \equiv lfp(\lambda\ Z.\ f2 \cup (f1 \cap AX\ Z))$
definition EU **where** $EU\ f1\ f2 \equiv lfp(\lambda\ Z.\ f2 \cup (f1 \cap EX'\ Z))$
definition AR **where** $AR\ f1\ f2 \equiv gfp(\lambda\ Z.\ f2 \cap (f1 \cup AX\ Z))$
definition ER **where** $ER\ f1\ f2 \equiv gfp(\lambda\ Z.\ f2 \cap (f1 \cup EX'\ Z))$

1.3 Kripke structures and Modelchecking

datatype $'a\ kripke =$
 $\quad Kripke\ 'a\ set\ 'a\ set$

primrec $states$ **where** $states\ (Kripke\ S\ I) = S$
primrec $init$ **where** $init\ (Kripke\ S\ I) = I$

The formal Isabelle definition of what it means that formula f holds in a Kripke structure M can be stated as: the initial states of the Kripke structure $init\ M$ need to be contained in the set of all states $states\ M$ that imply f .

definition $check\ (-\vdash -\ 50)$
where $M\vdash f \equiv (init\ M) \subseteq \{s \in (states\ M). s \in f\}$

definition $state-transition-refl\ ((-\rightarrow_i^* -)\ 50)$
where $s\rightarrow_i^* s' \equiv ((s,s') \in \{(x,y). state-transition\ x\ y\}^*)$

1.4 Lemmas for CTL operators

1.4.1 EF lemmas

lemma $EF-lem0$: $(x \in EF\ f) = (x \in f \cup EX'\ (lfp\ (\lambda Z :: ('a :: state)\ set.\ f \cup EX'\ Z)))$
 $\langle proof \rangle$

lemma $EF-lem00$: $(EF\ f) = (f \cup EX'\ (lfp\ (\lambda Z :: ('a :: state)\ set.\ f \cup EX'\ Z)))$
 $\langle proof \rangle$

lemma $EF-lem000$: $(EF\ f) = (f \cup EX'\ (EF\ f))$
 $\langle proof \rangle$

lemma $EF-lem1$: $x \in f \vee x \in (EX'\ (EF\ f)) \implies x \in EF\ f$
 $\langle proof \rangle$

lemma $EF-lem2b$:
assumes $x \in (EX'\ (EF\ f))$
shows $x \in EF\ f$

$\langle proof \rangle$

lemma *EF-lem2a*: **assumes** $x \in f$ **shows** $x \in EF\ f$
 $\langle proof \rangle$

lemma *EF-lem2c*: **assumes** $x \notin f$ **shows** $x \in EF\ (\neg f)$
 $\langle proof \rangle$

lemma *EF-lem2d*: **assumes** $x \notin EF\ f$ **shows** $x \notin f$
 $\langle proof \rangle$

lemma *EF-lem3b*: **assumes** $x \in EX'\ (f \cup EX'\ (EF\ f))$ **shows** $x \in (EF\ f)$
 $\langle proof \rangle$

lemma *EX-lem0l*: $x \in (EX'\ f) \implies x \in (EX'\ (f \cup g))$
 $\langle proof \rangle$

lemma *EX-lem0r*: $x \in (EX'\ g) \implies x \in (EX'\ (f \cup g))$
 $\langle proof \rangle$

lemma *EX-step*: **assumes** $x \rightarrow_i y$ **and** $y \in f$ **shows** $x \in EX'\ f$
 $\langle proof \rangle$

lemma *EF-E[rule-format]*: $\forall f. x \in (EF\ (f :: ('a :: state)\ set)) \longrightarrow x \in (f \cup EX'\ (EF\ f))$
 $\langle proof \rangle$

lemma *EF-step*: **assumes** $x \rightarrow_i y$ **and** $y \in f$ **shows** $x \in EF\ f$
 $\langle proof \rangle$

lemma *EF-step-step*: **assumes** $x \rightarrow_i y$ **and** $y \in EF\ f$ **shows** $x \in EF\ f$
 $\langle proof \rangle$

lemma *EF-step-star*: $\llbracket x \rightarrow_i^* y; y \in f \rrbracket \implies x \in EF\ f$
 $\langle proof \rangle$

lemma *EF-induct-prep*:

assumes $(a :: 'a :: state) \in \text{lfp } (\lambda Z. (f :: 'a :: state\ set) \cup EX'\ Z)$
 and $\text{mono } (\lambda Z. (f :: 'a :: state\ set) \cup EX'\ Z)$
 shows $(\bigwedge x :: 'a :: state.$
 $x \in ((\lambda Z. (f :: 'a :: state\ set) \cup EX'\ Z)(\text{lfp } (\lambda Z. (f :: 'a :: state\ set) \cup EX'\ Z) \cap$
 $\{x :: 'a :: state. (P :: 'a :: state \Rightarrow \text{bool})\ x\})) \implies P\ x) \implies$
 $P\ a$
 $\langle proof \rangle$

lemma *EF-induct*: $(a :: 'a :: state) \in EF\ (f :: 'a :: state\ set) \implies$
 $\text{mono } (\lambda Z. (f :: 'a :: state\ set) \cup EX'\ Z) \implies$
 $(\bigwedge x :: 'a :: state.$
 $x \in ((\lambda Z. (f :: 'a :: state\ set) \cup EX'\ Z)(EF\ f \cap \{x :: 'a :: state. (P :: 'a :: state \Rightarrow$

$bool) x\}) \implies P x) \implies$
 $P a$
 $\langle proof \rangle$

lemma *valEF-E*: $M \vdash EF f \implies x \in init M \implies x \in EF f$
 $\langle proof \rangle$

lemma *EF-step-star-rev[rule-format]*: $x \in EF s \implies (\exists y \in s. x \rightarrow_i^* y)$
 $\langle proof \rangle$

lemma *EF-step-inv*: $(I \subseteq \{sa :: 's :: state. (\exists i :: 's \in I. i \rightarrow_i^* sa) \wedge sa \in EF s\})$
 $\implies \forall x \in I. \exists y \in s. x \rightarrow_i^* y$
 $\langle proof \rangle$

1.4.2 AG lemmas

lemma *AG-in-lem*: $x \in AG s \implies x \in s$
 $\langle proof \rangle$

lemma *AG-lem1*: $x \in s \wedge x \in (AX (AG s)) \implies x \in AG s$
 $\langle proof \rangle$

lemma *AG-lem2*: $x \in AG s \implies x \in (s \cap (AX (AG s)))$
 $\langle proof \rangle$

lemma *AG-lem3*: $AG s = (s \cap (AX (AG s)))$
 $\langle proof \rangle$

lemma *AG-step*: $y \rightarrow_i z \implies y \in AG s \implies z \in AG s$
 $\langle proof \rangle$

lemma *AG-all-s*: $x \rightarrow_i^* y \implies x \in AG s \implies y \in AG s$
 $\langle proof \rangle$

lemma *AG-imp-notnotEF*:
 $I \neq \{\} \implies ((Kripke \{s :: ('s :: state). \exists i \in I. (i \rightarrow_i^* s)\} (I :: ('s :: state) set)$
 $\vdash AG s)) \implies$
 $(\neg(Kripke \{s :: ('s :: state). \exists i \in I. (i \rightarrow_i^* s)\} (I :: ('s :: state) set) \vdash EF (-$
 $s)))$
 $\langle proof \rangle$

A simplified way of Modelchecking is given by the following lemma.

lemma *check2-def*: $(Kripke S I \vdash f) = (I \subseteq S \cap f)$
 $\langle proof \rangle$

end

2 Insider Framework

```

theory AirInsider
imports MC
begin
datatype action = get | move | eval | put

```

We use an abstract type declaration *actor* that can later be instantiated by a more concrete type.

```

typedecl actor
consts Actor :: string  $\Rightarrow$  actor

```

Alternatives to the type declaration do not work.

context fixes Abs Rep actor assumes td: "type_definition Abs Rep actor"
begin definition Actor where "Actor = Abs" ...doesn't work for replacing the actor typedecl because in "type_definition" above the "actor" is a set not a type! So can't be used for our purposes. Trying a locale instead for polymorphic type Actor locale ACT = fixes Actor :: "string \Rightarrow 'actor" begin ... That is a nice idea and works quite far but clashes with the generic state.transition later (it's not possible to instantiate within a locale and outside it we cannot instantiate "a infrastructure" to state (clearly an abstract thing as an instance is strange)

```

type-synonym identity = string
type-synonym policy = ((actor  $\Rightarrow$  bool) * action set)

```

```

definition ID :: [actor, string]  $\Rightarrow$  bool
where ID a s  $\equiv$  (a = Actor s)

```

```

datatype location = Location nat

```

```

datatype igraph = Lgraph (location * location)set location  $\Rightarrow$  identity list
               actor  $\Rightarrow$  (string list * string list) location  $\Rightarrow$  string list

```

```

datatype infrastructure =
  Infrastructure igraph
  [igraph, location]  $\Rightarrow$  policy set

```

```

primrec loc :: location  $\Rightarrow$  nat
where loc(Location n) = n
primrec gra :: igraph  $\Rightarrow$  (location * location)set
where gra(Lgraph g a c l) = g
primrec agra :: igraph  $\Rightarrow$  (location  $\Rightarrow$  identity list)
where agra(Lgraph g a c l) = a
primrec cgra :: igraph  $\Rightarrow$  (actor  $\Rightarrow$  string list * string list)
where cgra(Lgraph g a c l) = c
primrec lgra :: igraph  $\Rightarrow$  (location  $\Rightarrow$  string list)
where lgra(Lgraph g a c l) = l

```

```

definition nodes :: igraph  $\Rightarrow$  location set

```

where $nodes\ g == \{ x. (? y. ((x,y): gra\ g) \mid ((y,x): gra\ g)) \}$

definition $actors-graph :: igrph \Rightarrow identity\ set$

where $actors-graph\ g == \{ x. ? y. y : nodes\ g \wedge x \in set(agra\ g\ y) \}$

primrec $graphI :: infrastructure \Rightarrow igrph$

where $graphI\ (Infrastructure\ g\ d) = g$

primrec $delta :: [infrastructure, igrph, location] \Rightarrow policy\ set$

where $delta\ (Infrastructure\ g\ d) = d$

primrec $tspc :: [infrastructure, actor] \Rightarrow string\ list * string\ list$

where $tspc\ (Infrastructure\ g\ d) = cgra\ g$

primrec $lspc :: [infrastructure, location] \Rightarrow string\ list$

where $lspc\ (Infrastructure\ g\ d) = lgra\ g$

definition $credentials :: string\ list * string\ list \Rightarrow string\ set$

where $credentials\ lxl \equiv set\ (fst\ lxl)$

definition $has :: [igrph, actor * string] \Rightarrow bool$

where $has\ G\ ac \equiv snd\ ac \in credentials(cgra\ G\ (fst\ ac))$

definition $roles :: string\ list * string\ list \Rightarrow string\ set$

where $roles\ lxl \equiv set\ (snd\ lxl)$

definition $role :: [igrph, actor * string] \Rightarrow bool$

where $role\ G\ ac \equiv snd\ ac \in roles(cgra\ G\ (fst\ ac))$

definition $isin :: [igrph, location, string] \Rightarrow bool$

where $isin\ G\ l\ s \equiv s \in set(lgra\ G\ l)$

datatype $psy-states = happy \mid depressed \mid disgruntled \mid angry \mid stressed$

datatype $motivations = financial \mid political \mid revenge \mid curious \mid competitive-advantage \mid power \mid peer-recognition$

datatype $actor-state = Actor-state\ psy-states\ motivations\ set$

primrec $motivation :: actor-state \Rightarrow motivations\ set$

where $motivation\ (Actor-state\ p\ m) = m$

primrec $psy-state :: actor-state \Rightarrow psy-states$

where $psy-state\ (Actor-state\ p\ m) = p$

definition $tipping-point :: actor-state \Rightarrow bool$ **where**

$tipping-point\ a \equiv ((motivation\ a \neq \{\}) \wedge (happy \neq psy-state\ a))$

UasI and UasI' are the central predicates allowing to specify Insiders. They define which identities can be mapped to the same role by the Actor function. For all other identities, Actor is defined as injective on those identities.

definition $UasI :: [identity, identity] \Rightarrow bool$

where $UasI\ a\ b \equiv (Actor\ a = Actor\ b) \wedge (\forall\ x\ y. x \neq a \wedge y \neq a \wedge Actor\ x = Actor\ y \longrightarrow x = y)$

definition $UasI' :: [actor \Rightarrow bool, identity, identity] \Rightarrow bool$

where $UasI'\ P\ a\ b \equiv P\ (Actor\ b) \longrightarrow P\ (Actor\ a)$

Two versions of Insider predicate corresponding to UasI and UasI'. Under the assumption that the tipping point has been reached for a person a then a can impersonate all b (take all of b's "roles") where the b's are specified by a given set of identities

definition $Insider :: [identity, identity\ set, identity \Rightarrow actor\ state] \Rightarrow bool$
where $Insider\ a\ C\ as \equiv (tipping\ point\ (as\ a) \longrightarrow (\forall\ b \in C. UasI\ a\ b))$

definition $Insider' :: [actor \Rightarrow bool, identity, identity\ set, identity \Rightarrow actor\ state] \Rightarrow bool$
where $Insider'\ P\ a\ C\ as \equiv (tipping\ point\ (as\ a) \longrightarrow (\forall\ b \in C. UasI'\ P\ a\ b \wedge inj\ on\ Actor\ C))$

definition $atI :: [identity, igrph, location] \Rightarrow bool\ (-\ @_{(-)}\ -\ 50)$
where $a\ @_G\ l \equiv a \in set(agra\ G\ l)$

enables is the central definition of the behaviour as given by a policy that specifies what actions are allowed in a certain location for what actors

definition $enables :: [infrastructure, location, actor, action] \Rightarrow bool$
where
 $enables\ I\ l\ a\ a' \equiv (\exists\ (p,e) \in delta\ I\ (graphI\ I)\ l. a' \in e \wedge p\ a)$

behaviour is the good behaviour, i.e. everything allowed by policy

definition $behaviour :: infrastructure \Rightarrow (location * actor * action) set$
where $behaviour\ I \equiv \{(t,a,a').\ enables\ I\ t\ a\ a'\}$

misbehaviour is the complement of behaviour

definition $misbehaviour :: infrastructure \Rightarrow (location * actor * action) set$
where $misbehaviour\ I \equiv -(behaviour\ I)$

basic lemmas for enable

lemma $not\ enableI: (\forall\ (p,e) \in delta\ I\ (graphI\ I)\ l. (\sim(h : e) \mid (\sim(p(a)))) \implies \sim(enables\ I\ l\ a\ h)$
 $\langle proof \rangle$

lemma $not\ enableI2: [\bigwedge\ p\ e. (p,e) \in delta\ I\ (graphI\ I)\ l \implies (\sim(t : e) \mid (\sim(p(a))))] \implies \sim(enables\ I\ l\ a\ t)$
 $\langle proof \rangle$

lemma $not\ enableE: [\sim(enables\ I\ l\ a\ t); (p,e) \in delta\ I\ (graphI\ I)\ l] \implies (\sim(t : e) \mid (\sim(p(a))))$
 $\langle proof \rangle$

lemma $not\ enableE2: [\sim(enables\ I\ l\ a\ t); (p,e) \in delta\ I\ (graphI\ I)\ l; t : e] \implies (\sim(p(a)))$
 $\langle proof \rangle$

some constructions to deal with lists of actors in locations for the semantics of action move

primrec $del :: ['a, 'a\ list] \Rightarrow 'a\ list$

where

$del\text{-}nil: del\ a\ [] = []$

$del\text{-}cons: del\ a\ (x\#\!ls) = (if\ x = a\ then\ ls\ else\ x\ \#\ (del\ a\ ls))$

primrec $jonce :: ['a, 'a\ list] \Rightarrow bool$

where

$jonce\text{-}nil: jonce\ a\ [] = False$

$jonce\text{-}cons: jonce\ a\ (x\#\!ls) = (if\ x = a\ then\ (a\ \notin\ (set\ ls))\ else\ jonce\ a\ ls)$

primrec $nodup :: ['a, 'a\ list] \Rightarrow bool$

where

$nodup\text{-}nil: nodup\ a\ [] = True$

$nodup\text{-}step: nodup\ a\ (x\ \#\!ls) = (if\ x = a\ then\ (a\ \notin\ (set\ ls))\ else\ nodup\ a\ ls)$

definition $move\text{-}graph\text{-}a :: [identity, location, location, igraph] \Rightarrow igraph$

where $move\text{-}graph\text{-}a\ n\ l\ l'\ g \equiv Lgraph\ (gra\ g)$

$(if\ n \in set\ ((agra\ g)\ l) \ \&\ n \notin set\ ((agra\ g)\ l')\ then$

$((agra\ g)(l := del\ n\ (agra\ g\ l)))(l' := (n\ \#\ (agra\ g\ l')))$

$else\ (agra\ g))(cgra\ g)(lgra\ g)$

State transition relation over infrastructures (the states) defining the semantics of actions in systems with humans and potentially insiders *)

inductive $state\text{-}transition\text{-}in :: [infrastructure, infrastructure] \Rightarrow bool\ ((- \rightarrow_n -)$
50)

where

$move: \llbracket G = graphI\ I; a\ @_G\ l; l \in nodes\ G; l' \in nodes\ G;$

$(a) \in actors\text{-}graph(graphI\ I); enables\ I\ l'\ (Actor\ a)\ move;$

$I' = Infrastructure\ (move\text{-}graph\text{-}a\ a\ l\ l'\ (graphI\ I))(delta\ I) \rrbracket \implies I \rightarrow_n I'$

| $get: \llbracket G = graphI\ I; a\ @_G\ l; a' @_G\ l; has\ G\ (Actor\ a, z);$

$enables\ I\ l\ (Actor\ a)\ get;$

$I' = Infrastructure$

$(Lgraph\ (gra\ G)(agra\ G)$

$((cgra\ G)(Actor\ a' :=$

$(z\ \#\ (fst(cgra\ G\ (Actor\ a'))),\ snd(cgra\ G\ (Actor\ a')))))$

$(lgra\ G))$

$(delta\ I)$

$\rrbracket \implies I \rightarrow_n I'$

| $put: \llbracket G = graphI\ I; a\ @_G\ l; enables\ I\ l\ (Actor\ a)\ put;$

$I' = Infrastructure$

$(Lgraph\ (gra\ G)(agra\ G)(cgra\ G)$

$((lgra\ G)(l := [z])))$

$(delta\ I) \rrbracket$

$\implies I \rightarrow_n I'$

| $put\text{-}remote: \llbracket G = graphI\ I; enables\ I\ l\ (Actor\ a)\ put;$

$I' = Infrastructure$

$(Lgraph\ (gra\ G)(agra\ G)(cgra\ G)$

$((lgra\ G)(l := [z])))$

$(delta\ I) \rrbracket$

$$\implies I \rightarrow_n I'$$

show that this infrastructure is a state as given in MC.thy

instantiation *infrastructure* :: *state*
begin

definition

state-transition-infra-def: $(i \rightarrow_i i') = (i \rightarrow_n (i' :: \text{infrastructure}))$

instance

<proof>

definition *state-transition-in-refl* $((- \rightarrow_n^* -) \ 50)$

where $s \rightarrow_n^* s' \equiv ((s, s') \in \{(x, y). \text{state-transition-in } x \ y\}^*)$

lemma *del-del*[*rule-format*]: $n \in \text{set } (\text{del } a \ S) \longrightarrow n \in \text{set } S$

<proof>

lemma *del-dec*[*rule-format*]: $a \in \text{set } S \longrightarrow \text{length } (\text{del } a \ S) < \text{length } S$

<proof>

lemma *del-sort*[*rule-format*]: $\forall \ n. (\text{Suc } n :: \text{nat}) \leq \text{length } (l) \longrightarrow n \leq \text{length } (\text{del } a \ (l))$

<proof>

lemma *del-jonce*: $\text{jonce } a \ l \longrightarrow a \notin \text{set } (\text{del } a \ l)$

<proof>

lemma *del-nodup*[*rule-format*]: $\text{nodup } a \ l \longrightarrow a \notin \text{set } (\text{del } a \ l)$

<proof>

lemma *nodup-up*[*rule-format*]: $a \in \text{set } (\text{del } a \ l) \longrightarrow a \in \text{set } l$

<proof>

lemma *del-up* [*rule-format*]: $a \in \text{set } (\text{del } aa \ l) \longrightarrow a \in \text{set } l$

<proof>

lemma *nodup-notin*[*rule-format*]: $a \notin \text{set } \text{list} \longrightarrow \text{nodup } a \ \text{list}$

<proof>

lemma *nodup-down*[*rule-format*]: $\text{nodup } a \ l \longrightarrow \text{nodup } a \ (\text{del } a \ l)$

<proof>

lemma *del-notin-down*[*rule-format*]: $a \notin \text{set } \text{list} \longrightarrow a \notin \text{set } (\text{del } aa \ \text{list})$

<proof>

lemma *del-not-a*[*rule-format*]: $x \neq a \longrightarrow x \in \text{set } l \longrightarrow x \in \text{set } (\text{del } a \ l)$

<proof>

lemma *nodup-down-notin*[rule-format]: $\text{nodup } a \ l \longrightarrow \text{nodup } a \ (\text{del } aa \ l)$
 $\langle \text{proof} \rangle$

lemma *move-graph-eq*: $\text{move-graph-a } a \ l \ l \ g = g$
 $\langle \text{proof} \rangle$

Some useful properties about the invariance of the nodes, the actors, and the policy with respect to the state transition

lemma *delta-invariant*: $\forall z \ z'. \ z \rightarrow_n z' \longrightarrow \text{delta}(z) = \text{delta}(z')$
 $\langle \text{proof} \rangle$

lemma *init-state-policy0*:
assumes $\forall z \ z'. \ z \rightarrow_n z' \longrightarrow \text{delta}(z) = \text{delta}(z')$
and $(x, y) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). \ x \rightarrow_n y\}^*$
shows $\text{delta}(x) = \text{delta}(y)$
 $\langle \text{proof} \rangle$

lemma *init-state-policy*: $\llbracket (x, y) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). \ x \rightarrow_n y\}^* \rrbracket \implies$
 $\text{delta}(x) = \text{delta}(y)$
 $\langle \text{proof} \rangle$

lemma *same-nodes0*[rule-format]: $\forall z \ z'. \ z \rightarrow_n z' \longrightarrow \text{nodes}(\text{graphI } z) = \text{nodes}(\text{graphI } z')$
 $\langle \text{proof} \rangle$

lemma *same-nodes*: $(I, y) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). \ x \rightarrow_n y\}^*$
 $\implies \text{nodes}(\text{graphI } y) = \text{nodes}(\text{graphI } I)$
 $\langle \text{proof} \rangle$

lemma *same-actors0*[rule-format]: $\forall z \ z'. \ z \rightarrow_n z' \longrightarrow \text{actors-graph}(\text{graphI } z) = \text{actors-graph}(\text{graphI } z')$
 $\langle \text{proof} \rangle$

lemma *same-actors*: $(I, y) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). \ x \rightarrow_n y\}^*$
 $\implies \text{actors-graph}(\text{graphI } I) = \text{actors-graph}(\text{graphI } y)$
 $\langle \text{proof} \rangle$

end
end

3 Airplane case study

theory *Airplane*
imports *AirInsider*
begin
datatype *doorstate* = *locked* | *norm* | *unlocked*
datatype *position* = *air* | *airport* | *ground*

```

locale airplane =

fixes airplane-actors :: identity set
defines airplane-actors-def: airplane-actors  $\equiv$  {"Bob", "Charly", "Alice"}

fixes airplane-locations :: location set
defines airplane-locations-def:
airplane-locations  $\equiv$  {Location 0, Location 1, Location 2}

fixes cockpit :: location
defines cockpit-def: cockpit  $\equiv$  Location 2
fixes door :: location
defines door-def: door  $\equiv$  Location 1
fixes cabin :: location
defines cabin-def: cabin  $\equiv$  Location 0

fixes global-policy :: [infrastructure, identity]  $\Rightarrow$  bool
defines global-policy-def: global-policy I a  $\equiv$   $a \notin \text{airplane-actors}$ 
 $\longrightarrow \neg(\text{enables } I \text{ cockpit (Actor } a) \text{ put})$ 

fixes ex-creds :: actor  $\Rightarrow$  (string list * string list)
defines ex-creds-def: ex-creds  $\equiv$ 
  ( $\lambda x.$  (if  $x = \text{Actor "Bob"}$ 
    then (["PIN"], ["pilot"])
    else (if  $x = \text{Actor "Charly"}$ 
      then (["PIN"], ["copilot"])
      else (if  $x = \text{Actor "Alice"}$ 
        then (["PIN"], ["flightattendant"])
        else ([], []))))))

fixes ex-locs :: location  $\Rightarrow$  string list
defines ex-locs-def: ex-locs  $\equiv$  ( $\lambda x.$  if  $x = \text{door}$  then ["norm"] else
  (if  $x = \text{cockpit}$  then ["air"] else []))

fixes ex-locs' :: location  $\Rightarrow$  string list
defines ex-locs'-def: ex-locs'  $\equiv$  ( $\lambda x.$  if  $x = \text{door}$  then ["locked"] else
  (if  $x = \text{cockpit}$  then ["air"] else []))

fixes ex-graph :: igraph
defines ex-graph-def: ex-graph  $\equiv$  Lgraph
  {(cockpit, door), (door, cabin)}
  ( $\lambda x.$  if  $x = \text{cockpit}$  then ["Bob", "Charly"]
    else (if  $x = \text{door}$  then []
      else (if  $x = \text{cabin}$  then ["Alice"] else [])))
  ex-creds ex-locs

fixes aid-graph :: igraph
defines aid-graph-def: aid-graph  $\equiv$  Lgraph
  {(cockpit, door), (door, cabin)}
  ( $\lambda x.$  if  $x = \text{cockpit}$  then ["Charly"]

```

```

      else (if x = door then []
            else (if x = cabin then ["Bob", "Alice"] else []))
    ex-creds ex-locs'

```

```

fixes aid-graph0 :: igraph
defines aid-graph0-def: aid-graph0 ≡ Lgraph
  {(cockpit, door),(door,cabin)}
  (λ x. if x = cockpit then ["Charly"]
    else (if x = door then ["Bob"]
          else (if x = cabin then ["Alice"] else [])))
  ex-creds ex-locs

```

```

fixes agid-graph :: igraph
defines agid-graph-def: agid-graph ≡ Lgraph
  {(cockpit, door),(door,cabin)}
  (λ x. if x = cockpit then ["Charly"]
    else (if x = door then []
          else (if x = cabin then ["Bob", "Alice"] else [])))
  ex-creds ex-locs

```

```

fixes local-policies :: [igraph, location] ⇒ policy set
defines local-policies-def: local-policies G ≡
  (λ y. if y = cockpit then
    {(λ x. (? n. (n @G cockpit) ∧ Actor n = x), {put}),
     (λ x. (? n. (n @G cabin) ∧ Actor n = x ∧ has G (x, "PIN")
               ∧ isin G door "norm"),{move})}
  }
  else (if y = door then {(λ x. True, {move}),
                           (λ x. (? n. (n @G cockpit) ∧ Actor n = x), {put})}
    else (if y = cabin then {(λ x. True, {move})}
      else {})))

```

```

fixes local-policies-four-eyes :: [igraph, location] ⇒ policy set
defines local-policies-four-eyes-def: local-policies-four-eyes G ≡
  (λ y. if y = cockpit then
    {(λ x. (? n. (n @G cockpit) ∧ Actor n = x) ∧
      2 ≤ length(agra G y) ∧ (∀ h ∈ set(agra G y). h ∈ airplane-actors),
     {put}),
     (λ x. (? n. (n @G cabin) ∧ Actor n = x ∧ has G (x, "PIN") ∧
               isin G door "norm"),{move})}
  }
  else (if y = door then
    {(λ x. ((? n. (n @G cockpit) ∧ Actor n = x) ∧ 3 ≤ length(agra G
cockpit)), {move})}
    else (if y = cabin then
      {(λ x. ((? n. (n @G door) ∧ Actor n = x)), {move})}
      else {})))

```



```

fixes Airplane-scenario :: infrastructure (structure)
defines Airplane-scenario-def:
Airplane-scenario  $\equiv$  Infrastructure ex-graph local-policies

fixes Airplane-in-danger :: infrastructure
defines Airplane-in-danger-def:
Airplane-in-danger  $\equiv$  Infrastructure aid-graph local-policies

fixes Airplane-getting-in-danger0 :: infrastructure
defines Airplane-getting-in-danger0-def:
Airplane-getting-in-danger0  $\equiv$  Infrastructure aid-graph0 local-policies

fixes Airplane-getting-in-danger :: infrastructure
defines Airplane-getting-in-danger-def:
Airplane-getting-in-danger  $\equiv$  Infrastructure agid-graph local-policies

fixes Air-states
defines Air-states-def: Air-states  $\equiv$   $\{ I. \textit{Airplane-scenario} \rightarrow_n^* I \}$ 

fixes Air-Kripke
defines Air-Kripke  $\equiv$  Kripke Air-states  $\{ \textit{Airplane-scenario} \}$ 

fixes Airplane-not-in-danger :: infrastructure
defines Airplane-not-in-danger-def:
Airplane-not-in-danger  $\equiv$  Infrastructure aid-graph local-policies-four-eyes

fixes Airplane-not-in-danger-init :: infrastructure
defines Airplane-not-in-danger-init-def:
Airplane-not-in-danger-init  $\equiv$  Infrastructure ex-graph local-policies-four-eyes

fixes Air-tp-states
defines Air-tp-states-def: Air-tp-states  $\equiv$   $\{ I. \textit{Airplane-not-in-danger-init} \rightarrow_n^* I \}$ 

fixes Air-tp-Kripke
defines Air-tp-Kripke  $\equiv$  Kripke Air-tp-states  $\{ \textit{Airplane-not-in-danger-init} \}$ 

fixes Safety :: [infrastructure, identity]  $\Rightarrow$  bool
defines Safety-def: Safety I a  $\equiv$  a  $\in$  airplane-actors
 $\longrightarrow$  (enables I cockpit (Actor a) move)

fixes Security :: [infrastructure, identity]  $\Rightarrow$  bool
defines Security-def: Security I a  $\equiv$  (isin (graphI I) door "locked")
 $\longrightarrow$   $\neg$ (enables I cockpit (Actor a) move)

```

```

fixes foe-control :: [location, action] ⇒ bool
defines foe-control-def: foe-control l c ≡
  (! I :: infrastructure. (? x :: identity.
    x @graphI l ∧ Actor x ≠ Actor "Eve")
    → ¬(enables I l (Actor "Eve") c))

fixes astate :: identity ⇒ actor-state
defines astate-def: astate x ≡ (case x of
  "Eve" ⇒ Actor-state depressed {revenge, peer-recognition}
  | - ⇒ Actor-state happy {})

assumes Eve-precipitating-event: tipping-point (astate "Eve")
assumes Insider-Eve: Insider "Eve" {"Charly"} astate
assumes cockpit-foe-control: foe-control cockpit put

begin

lemma ex-inv: global-policy Airplane-scenario "Bob"
  ⟨proof⟩

lemma ex-inv2: global-policy Airplane-scenario "Charly"
  ⟨proof⟩

lemma ex-inv3: ¬global-policy Airplane-scenario "Eve"
  ⟨proof⟩

show Safety for Airplane_scenario

lemma Safety: Safety Airplane-scenario ("Alice")
  ⟨proof⟩

show Security for Airplane_scenario

lemma inj-lem: [ inj f; x ≠ y ] ⇒ f x ≠ f y
  ⟨proof⟩

lemma inj-on-lem: [ inj-on f A; x ≠ y; x ∈ A; y ∈ A ] ⇒ f x ≠ f y
  ⟨proof⟩

lemma inj-lemma': inj-on (isin ex-graph door) {"locked", "norm"}
  ⟨proof⟩

lemma inj-lemma'': inj-on (isin aid-graph door) {"locked", "norm"}
  ⟨proof⟩

lemma locl-lemma2: isin ex-graph door "norm" ≠ isin ex-graph door "locked"
  ⟨proof⟩

lemma locl-lemma3: isin ex-graph door "norm" = (¬ isin ex-graph door "locked")
  ⟨proof⟩

```

lemma *locl-lemma2a: isin aid-graph door "norm" \neq isin aid-graph door "locked"*
 $\langle proof \rangle$

lemma *locl-lemma3a: isin aid-graph door "norm" = (\neg isin aid-graph door "locked")*
 $\langle proof \rangle$

lemma *Security: Security Airplane-scenario s*
 $\langle proof \rangle$

show that pilot can't get into cockpit if outside and locked = Airplane_in_danger

lemma *Security-problem: Security Airplane-scenario "Bob"*
 $\langle proof \rangle$

show that pilot can get out of cockpit

lemma *pilot-can-leave-cockpit: (enables Airplane-scenario cabin (Actor "Bob") move)*
 $\langle proof \rangle$

show that in Airplane_in_danger copilot can still do put = put position to ground

lemma *ex-inv4: \neg global-policy Airplane-in-danger ("Eve")*
 $\langle proof \rangle$

lemma *Safety-in-danger:*
fixes s
assumes $s \in \text{airplane-actors}$
shows $\neg(\text{Safety Airplane-in-danger } s)$
 $\langle proof \rangle$

lemma *Security-problem': $\neg(\text{enables Airplane-in-danger cockpit (Actor "Bob") move})$*
 $\langle proof \rangle$

show that with the four eyes rule in Airplane_not_in_danger Eve cannot crash plane, i.e. cannot put position to ground

lemma *ex-inv5: $a \in \text{airplane-actors} \longrightarrow \text{global-policy Airplane-not-in-danger } a$*
 $\langle proof \rangle$

lemma *ex-inv6: global-policy Airplane-not-in-danger a*
 $\langle proof \rangle$

lemma *step0: Airplane-scenario \rightarrow_n Airplane-getting-in-danger0*
 $\langle proof \rangle$

lemma *step1: Airplane-getting-in-danger0 \rightarrow_n Airplane-getting-in-danger*
 $\langle proof \rangle$

lemma *step2*: $\text{Airplane-getting-in-danger} \rightarrow_n \text{Airplane-in-danger}$
 $\langle \text{proof} \rangle$

lemma *step0r*: $\text{Airplane-scenario} \rightarrow_n^* \text{Airplane-getting-in-danger0}$
 $\langle \text{proof} \rangle$

lemma *step1r*: $\text{Airplane-getting-in-danger0} \rightarrow_n^* \text{Airplane-getting-in-danger}$
 $\langle \text{proof} \rangle$

lemma *step2r*: $\text{Airplane-getting-in-danger} \rightarrow_n^* \text{Airplane-in-danger}$
 $\langle \text{proof} \rangle$

theorem *step-allr*: $\text{Airplane-scenario} \rightarrow_n^* \text{Airplane-in-danger}$
 $\langle \text{proof} \rangle$

theorem *aid-attack*: $\text{Air-Kripke} \vdash EF (\{x. \neg \text{global-policy } x \text{ "Eve"}\})$
 $\langle \text{proof} \rangle$

Invariant: actors cannot be at two places at the same time

lemma *actors-unique-loc-base*:

assumes $I \rightarrow_n I'$
and $(\forall l l'. a @_{\text{graph} I} l \wedge a @_{\text{graph} I} l' \longrightarrow l = l') \wedge$
 $(\forall l. \text{nodup } a (\text{agra } (\text{graph} I) l))$
shows $(\forall l l'. a @_{\text{graph} I'} l \wedge a @_{\text{graph} I'} l' \longrightarrow l = l') \wedge$
 $(\forall l. \text{nodup } a (\text{agra } (\text{graph} I') l))$

$\langle \text{proof} \rangle$

lemma *actors-unique-loc-step*:

assumes $(I, I') \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^*$
and $\forall a. (\forall l l'. a @_{\text{graph} I} l \wedge a @_{\text{graph} I} l' \longrightarrow l = l') \wedge$
 $(\forall l. \text{nodup } a (\text{agra } (\text{graph} I) l))$
shows $\forall a. (\forall l l'. a @_{\text{graph} I'} l \wedge a @_{\text{graph} I'} l' \longrightarrow l = l') \wedge$
 $(\forall l. \text{nodup } a (\text{agra } (\text{graph} I') l))$

$\langle \text{proof} \rangle$

lemma *actors-unique-loc-aid-base*:

$\forall a. (\forall l l'. a @_{\text{graph} I} \text{Airplane-not-in-danger-init } l \wedge$
 $a @_{\text{graph} I} \text{Airplane-not-in-danger-init } l' \longrightarrow l = l') \wedge$
 $(\forall l. \text{nodup } a (\text{agra } (\text{graph} I) \text{Airplane-not-in-danger-init } l))$

$\langle \text{proof} \rangle$

lemma *actors-unique-loc-aid-step*:

$(\text{Airplane-not-in-danger-init}, I) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^*$
 $\implies \forall a. (\forall l l'. a @_{\text{graph} I} l \wedge a @_{\text{graph} I} l' \longrightarrow l = l') \wedge$
 $(\forall l. \text{nodup } a (\text{agra } (\text{graph} I) l))$

$\langle \text{proof} \rangle$

Using the state transition, Kripke structure and CTL, we can now also ex-

press (and prove!) unreachability properties which enable to formally verify security properties for specific policies, like two-person rule.

lemma *Anid-airplane-actors*: $\text{actors-graph } (\text{graphI Airplane-not-in-danger-init}) = \text{airplane-actors}$
 $\langle \text{proof} \rangle$

lemma *all-airplane-actors*: $(\text{Airplane-not-in-danger-init}, y) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^*$
 $\implies \text{actors-graph}(\text{graphI } y) = \text{airplane-actors}$
 $\langle \text{proof} \rangle$

lemma *actors-at-loc-in-graph*: $\llbracket l \in \text{nodes}(\text{graphI } I); a @_{\text{graphI } I} l \rrbracket$
 $\implies a \in \text{actors-graph } (\text{graphI } I)$
 $\langle \text{proof} \rangle$

lemma *not-en-get-Apnid*:
assumes $(\text{Airplane-not-in-danger-init}, y) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^*$
shows $\sim(\text{enables } y \text{ l (Actor a) get})$
 $\langle \text{proof} \rangle$

lemma *Apnid-tsp-test*: $\sim(\text{enables Airplane-not-in-danger-init cockpit (Actor "Alice") get})$
 $\langle \text{proof} \rangle$

lemma *Apnid-tsp-test-gen*: $\sim(\text{enables Airplane-not-in-danger-init l (Actor a) get})$
 $\langle \text{proof} \rangle$

lemma *test-graph-atI*: $\text{"Bob"} @_{\text{graphI Airplane-not-in-danger-init cockpit}}$
 $\langle \text{proof} \rangle$

Invariant: number of staff in cockpit never below 2

lemma *two-person-inv*:
fixes $z \ z'$
assumes $(2::\text{nat}) \leq \text{length } (\text{agra } (\text{graphI } z) \text{ cockpit})$
and $\text{nodes}(\text{graphI } z) = \text{nodes}(\text{graphI Airplane-not-in-danger-init})$
and $\text{delta}(z) = \text{delta}(\text{Airplane-not-in-danger-init})$
and $(\text{Airplane-not-in-danger-init}, z) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^*$
and $z \rightarrow_n z'$
shows $(2::\text{nat}) \leq \text{length } (\text{agra } (\text{graphI } z') \text{ cockpit})$
 $\langle \text{proof} \rangle$

lemma *two-person-inv1*:
assumes $(\text{Airplane-not-in-danger-init}, z) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^*$
shows $(2::\text{nat}) \leq \text{length } (\text{agra } (\text{graphI } z) \text{ cockpit})$
 $\langle \text{proof} \rangle$

The version of `two_person_inv` above we need, uses cardinality of lists of actors rather than length of lists. Therefore first some equivalences and then a restatement of `two_person_inv` in terms of sets

proof idea: show since there are no duplicates in the list `agra (graphI z)` cockpit therefore then $\text{card}(\text{set}(\text{agra}(\text{graphI } z))) = \text{length}(\text{agra}(\text{graphI } z))$

lemma *nodup-card-insert*:

$a \notin \text{set } l \longrightarrow \text{card}(\text{insert } a (\text{set } l)) = \text{Suc}(\text{card}(\text{set } l))$
 $\langle \text{proof} \rangle$

lemma *no-dup-set-list-num-eq*[*rule-format*]:

$(\forall a. \text{nodup } a \ l) \longrightarrow \text{card}(\text{set } l) = \text{length } l$
 $\langle \text{proof} \rangle$

lemma *two-person-set-inv*:

assumes $(\text{Airplane-not-in-danger-init}, z) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^*$
shows $(2::\text{nat}) \leq \text{card}(\text{set}(\text{agra}(\text{graphI } z) \text{ cockpit}))$
 $\langle \text{proof} \rangle$

lemma *Pred-all-unique*: $\llbracket ? x. P x; (! x. P x \longrightarrow x = c) \rrbracket \Longrightarrow P c$
 $\langle \text{proof} \rangle$

lemma *Set-all-unique*: $\llbracket S \neq \{\}; (\forall x \in S. x = c) \rrbracket \Longrightarrow c \in S$
 $\langle \text{proof} \rangle$

lemma *airplane-actors-inv0*[*rule-format*]:

$\forall z z'. (\forall h::\text{char list} \in \text{set}(\text{agra}(\text{graphI } z) \text{ cockpit}). h \in \text{airplane-actors}) \wedge$
 $(\text{Airplane-not-in-danger-init}, z) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^* \wedge$
 $z \rightarrow_n z' \longrightarrow (\forall h::\text{char list} \in \text{set}(\text{agra}(\text{graphI } z') \text{ cockpit}). h \in \text{airplane-actors})$
 $\langle \text{proof} \rangle$

lemma *airplane-actors-inv*:

assumes $(\text{Airplane-not-in-danger-init}, z) \in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^*$
shows $\forall h::\text{char list} \in \text{set}(\text{agra}(\text{graphI } z) \text{ cockpit}). h \in \text{airplane-actors}$
 $\langle \text{proof} \rangle$

lemma *Eve-not-in-cockpit*: $(\text{Airplane-not-in-danger-init}, I)$
 $\in \{(x::\text{infrastructure}, y::\text{infrastructure}). x \rightarrow_n y\}^* \Longrightarrow$
 $x \in \text{set}(\text{agra}(\text{graphI } I) \text{ cockpit}) \Longrightarrow x \neq \text{"Eve"}$
 $\langle \text{proof} \rangle$

2 person invariant implies that there is always some x in cockpit x not equal Eve

lemma *tp-imp-control*:

assumes (*Airplane-not-in-danger-init*, *I*) $\in \{(x::\text{infrastructure}, y::\text{infrastructure})\}.$
 $x \rightarrow_n y\}^*$
shows ($? x :: \text{identity}.$ $x @_{\text{graph} I} \text{cockpit} \wedge \text{Actor } x \neq \text{Actor "Eve"}$)
 $\langle \text{proof} \rangle$

lemma *Fend-2*: (*Airplane-not-in-danger-init*, *I*) $\in \{(x::\text{infrastructure}, y::\text{infrastructure})\}.$
 $x \rightarrow_n y\}^* \implies$
 $\neg \text{enables } I \text{ cockpit (Actor "Eve")} \text{ put}$
 $\langle \text{proof} \rangle$

theorem *Four-eyes-no-danger*: *Air-tp-Kripke* $\vdash AG \{x. \text{global-policy } x \text{ "Eve"}\}$
 $\langle \text{proof} \rangle$

end

In the following we construct an instance of the locale *airplane* and proof that it is an interpretation. This serves the validation.

definition *airplane-actors-def'*: *airplane-actors* $\equiv \{\text{"Bob"}, \text{"Charly"}, \text{"Alice"}\}$

definition *airplane-locations-def'*:

airplane-locations $\equiv \{\text{Location } 0, \text{Location } 1, \text{Location } 2\}$

definition *cockpit-def'*: *cockpit* $\equiv \text{Location } 2$

definition *door-def'*: *door* $\equiv \text{Location } 1$

definition *cabin-def'*: *cabin* $\equiv \text{Location } 0$

definition *global-policy-def'*: *global-policy* $I a \equiv a \notin \text{airplane-actors}$
 $\longrightarrow \neg(\text{enables } I \text{ cockpit (Actor } a) \text{ put})$

definition *ex-creds-def'*: *ex-creds* \equiv
 $(\lambda x. (\text{if } x = \text{Actor "Bob"}$
 $\text{then } ([\text{"PIN"}], [\text{"pilot"}])$
 $\text{else } (\text{if } x = \text{Actor "Charly"}$
 $\text{then } ([\text{"PIN"}], [\text{"copilot"}])$
 $\text{else } (\text{if } x = \text{Actor "Alice"}$
 $\text{then } ([\text{"PIN"}], [\text{"flightattendant"}])$
 $\text{else } ([], [])))))$

definition *ex-locs-def'*: *ex-locs* $\equiv (\lambda x. \text{if } x = \text{door then } [\text{"norm"}] \text{ else}$
 $(\text{if } x = \text{cockpit then } [\text{"air"}] \text{ else } []))$

definition *ex-locs'-def'*: *ex-locs'* $\equiv (\lambda x. \text{if } x = \text{door then } [\text{"locked"}] \text{ else}$
 $(\text{if } x = \text{cockpit then } [\text{"air"}] \text{ else } []))$

definition *ex-graph-def'*: *ex-graph* $\equiv Lgraph$
 $\{(\text{cockpit}, \text{door}), (\text{door}, \text{cabin})\}$
 $(\lambda x. \text{if } x = \text{cockpit then } [\text{"Bob"}, \text{"Charly"}]$
 $\text{else } (\text{if } x = \text{door then } []$
 $\text{else } (\text{if } x = \text{cabin then } [\text{"Alice"}] \text{ else } []))$
 ex-creds ex-locs

definition *aid-graph-def'*: *aid-graph* $\equiv Lgraph$
 $\{(\text{cockpit}, \text{door}), (\text{door}, \text{cabin})\}$

$(\lambda x. \text{if } x = \text{cockpit} \text{ then } ["Charly"]$
 $\quad \text{else } (\text{if } x = \text{door} \text{ then } []$
 $\quad \quad \text{else } (\text{if } x = \text{cabin} \text{ then } ["Bob", "Alice"] \text{ else } []))$
 ex-creds ex-locs'

definition *aid-graph-def'*: $\text{aid-graph0} \equiv \text{Lgraph}$
 $\{(cockpit, door), (door, cabin)\}$
 $(\lambda x. \text{if } x = \text{cockpit} \text{ then } ["Charly"]$
 $\quad \text{else } (\text{if } x = \text{door} \text{ then } ["Bob"]$
 $\quad \quad \text{else } (\text{if } x = \text{cabin} \text{ then } ["Alice"] \text{ else } []))$
 ex-creds ex-locs

definition *agid-graph-def'*: $\text{agid-graph} \equiv \text{Lgraph}$
 $\{(cockpit, door), (door, cabin)\}$
 $(\lambda x. \text{if } x = \text{cockpit} \text{ then } ["Charly"]$
 $\quad \text{else } (\text{if } x = \text{door} \text{ then } []$
 $\quad \quad \text{else } (\text{if } x = \text{cabin} \text{ then } ["Bob", "Alice"] \text{ else } []))$
 ex-creds ex-locs

definition *local-policies-def'*: $\text{local-policies } G \equiv$
 $(\lambda y. \text{if } y = \text{cockpit} \text{ then}$
 $\quad \{(\lambda x. (? n. (n @_G cockpit) \wedge \text{Actor } n = x), \{put\}),$
 $\quad \quad (\lambda x. (? n. (n @_G cabin) \wedge \text{Actor } n = x \wedge \text{has } G(x, "PIN")$
 $\quad \quad \quad \wedge \text{isin } G \text{ door } "norm"), \{move\})$
 $\quad \}$
 $\quad \text{else } (\text{if } y = \text{door} \text{ then } \{(\lambda x. \text{True}, \{move\}),$
 $\quad \quad (\lambda x. (? n. (n @_G cockpit) \wedge \text{Actor } n = x), \{put\})\}$
 $\quad \quad \text{else } (\text{if } y = \text{cabin} \text{ then } \{(\lambda x. \text{True}, \{move\})\}$
 $\quad \quad \quad \text{else } \{\})\})$

definition *local-policies-four-eyes-def'*: $\text{local-policies-four-eyes } G \equiv$
 $(\lambda y. \text{if } y = \text{cockpit} \text{ then}$
 $\quad \{(\lambda x. (? n. (n @_G cockpit) \wedge \text{Actor } n = x) \wedge$
 $\quad \quad 2 \leq \text{length}(\text{agra } G y) \wedge (\forall h \in \text{set}(\text{agra } G y). h \in \text{airplane-actors}),$
 $\quad \quad \{put\}),$
 $\quad \quad (\lambda x. (? n. (n @_G cabin) \wedge \text{Actor } n = x \wedge \text{has } G(x, "PIN") \wedge$
 $\quad \quad \quad \text{isin } G \text{ door } "norm"), \{move\})$
 $\quad \}$
 $\quad \text{else } (\text{if } y = \text{door} \text{ then}$
 $\quad \quad \{(\lambda x. ((? n. (n @_G cockpit) \wedge \text{Actor } n = x) \wedge 3 \leq \text{length}(\text{agra } G$
 $\text{cockpit})), \{move\})\}$
 $\quad \quad \text{else } (\text{if } y = \text{cabin} \text{ then}$
 $\quad \quad \quad \{(\lambda x. ((? n. (n @_G door) \wedge \text{Actor } n = x)), \{move\})\}$
 $\quad \quad \quad \text{else } \{\})\})$

definition *Airplane-scenario-def'*:
 $\text{Airplane-scenario} \equiv \text{Infrastructure ex-graph local-policies}$

definition *Airplane-in-danger-def'*:
 $\text{Airplane-in-danger} \equiv \text{Infrastructure aid-graph local-policies}$

Intermediate step where pilot left cockpit but door still in norm position

definition *Airplane-getting-in-danger0-def'*:

Airplane-getting-in-danger0 \equiv *Infrastructure aid-graph0 local-policies*

definition *Airplane-getting-in-danger-def'*:

Airplane-getting-in-danger \equiv *Infrastructure agid-graph local-policies*

definition *Air-states-def'*: *Air-states* $\equiv \{ I. \text{Airplane-scenario} \rightarrow_n^* I \}$

definition *Air-Kripke-def'*: *Air-Kripke* $\equiv \text{Kripke } \text{Air-states } \{ \text{Airplane-scenario} \}$

definition *Airplane-not-in-danger-def'*:

Airplane-not-in-danger \equiv *Infrastructure aid-graph local-policies-four-eyes*

definition *Airplane-not-in-danger-init-def'*:

Airplane-not-in-danger-init \equiv *Infrastructure ex-graph local-policies-four-eyes*

definition *Air-tp-states-def'*: *Air-tp-states* $\equiv \{ I. \text{Airplane-not-in-danger-init} \rightarrow_n^* I \}$

definition *Air-tp-Kripke-def'*:

Air-tp-Kripke $\equiv \text{Kripke } \text{Air-tp-states } \{ \text{Airplane-not-in-danger-init} \}$

definition *Safety-def'*: *Safety* $I \ a \equiv a \in \text{airplane-actors}$

$\longrightarrow (\text{enables } I \text{ cockpit } (\text{Actor } a) \text{ move})$

definition *Security-def'*: *Security* $I \ a \equiv (\text{isin } (\text{graphI } I) \text{ door } \text{"locked"})$

$\longrightarrow \neg(\text{enables } I \text{ cockpit } (\text{Actor } a) \text{ move})$

definition *foe-control-def'*: *foe-control* $l \ c \equiv$

$(! \ I :: \text{infrastructure}. (? \ x :: \text{identity}.$
 $x \ @_{\text{graphI } I} \ l \wedge \text{Actor } x \neq \text{Actor } \text{"Eve"})$
 $\longrightarrow \neg(\text{enables } I \ l \ (\text{Actor } \text{"Eve"}) \ c))$

definition *astate-def'*: *astate* $x \equiv$

$(\text{case } x \text{ of}$
 $\text{"Eve"} \Rightarrow \text{Actor-state depressed } \{ \text{revenge}, \text{peer-recognition} \}$
 $| _ \Rightarrow \text{Actor-state happy } \{ \})$

print-interps *airplane*

The additional assumption identified in the case study needs to be given as an axiom

axiomatization where

cockpit-foe-control': *foe-control cockpit put*

(The following addresses the issue of redefining an abstract type. We experimented with suggestion given here: Makarius Wenzel, Re: [isabelle] typedecl versus explicit type parameters, Isabelle users mailing list, 2009, <https://lists.cam.ac.uk/pipermail/cl->

isabelle-users/2009-July/msg00111.html.) We furthermore need axiomatization to add the missing semantics to the abstractly declared type actor and thereby be able to redefine consts Actor. Since the function Actor has also been defined as a consts :: identity => actor as an abstract function without a definition, we now also now add its semantics mimicking some of the concepts of the conservative type definition of HOL. The alternative method of using a Locale to replace the abstract type_decl actor in the AirInsider is a more elegant method for representing an abstract type actor but it is not working properly for our framework since it necessitates introducing a type parameter 'actor into infrastructures which then makes it impossible to instantiate them to the typeclass state in order to use CTL and Kripke and the generic state transition. Therefore, we go the former way of a post-hoc axiomatic redefinition of the abstract type actor by using axiomatization of the existing Locale "type_definition". This is done in the following. It allows to abstractly assume as an axiom that there is a type definition for the abstract type actor. Adding a suitable definition of a representation for this type then additionally enables to introduce a definition for the function Actor (again using axiomatization to enforce the new definition).

definition Actor-Abs :: identity => identity option

where

Actor-Abs x ≡ (if x ∈ {"Eve", "Charly"} then None else Some x)

lemma UasI-ActorAbs: Actor-Abs "Eve" = Actor-Abs "Charly" ∧

(∀ (x::char list) y::char list. x ≠ "Eve" ∧ y ≠ "Eve" ∧ Actor-Abs x = Actor-Abs y ⟶ x = y)

⟨proof⟩

lemma Actor-Abs-ran: Actor-Abs x ∈ {y :: identity option. y ∈ Some ' {x :: identity. x ∉ {"Eve", "Charly"}} | y = None}

⟨proof⟩

With the following axiomatization, we can simulate the abstract type actor and postulate some unspecified Abs and Rep functions between it and the simulated identity option subtype.

axiomatization where Actor-type-def:

type-definition (Rep :: actor => identity option)(Abs :: identity option => actor)
 {y :: identity option. y ∈ Some ' {x :: identity. x ∉ {"Eve", "Charly"}} | y = None}

lemma Abs-inj-on: ∧ Abs Rep:: actor => char list option. x ∈ {y :: identity option. y ∈ Some ' {x :: identity. x ∉ {"Eve", "Charly"}} | y = None}

⟹ y ∈ {y :: identity option. y ∈ Some ' {x :: identity. x ∉ {"Eve", "Charly"}} | y = None}

⟹ (Abs :: char list option => actor) x = Abs y ⟹ x = y

⟨proof⟩

lemma *Actor-td-Abs-inverse*:

$(y \in \{y :: \text{identity option. } y \in \text{Some } \{x :: \text{identity. } x \notin \{\text{"Eve"}, \text{"Charly"}\}\} \mid y = \text{None}\}) \implies$
 $(\text{Rep} :: \text{actor} \Rightarrow \text{identity option})(\text{Abs} :: \text{identity option} \Rightarrow \text{actor}) y = y$
 $\langle \text{proof} \rangle$

Now, we can redefine the function Actor using a second axiomatization

axiomatization where *Actor-redef*: $\text{Actor} = (\text{Abs} :: \text{identity option} \Rightarrow \text{actor}) o \text{Actor-Abs}$

need to show that $\text{Abs } (\text{Actor-Abs } x) = \text{Abs } (\text{Actor-Abs } y) \longrightarrow \text{Actor-Abs } x = \text{Actor-Abs } y$, i.e. *injective Abs*. Generally, Abs is not injective but *injective-on* the type predicate. So, need to show that for any x, *Actor-Abs* x is in the type predicate, then it would follow. What is the type predicate? $\{y. y \in \text{Some } \{x. x \notin \{\text{"Eve"}, \text{"Charly"}\}\} \vee y = \text{None}\}$

lemma *UasI-Actor-redef*:

$\wedge \text{Abs Rep} :: \text{actor} \Rightarrow \text{char list option.}$
 $((\text{Abs} :: \text{identity option} \Rightarrow \text{actor}) o \text{Actor-Abs}) \text{"Eve"} = ((\text{Abs} :: \text{identity option} \Rightarrow \text{actor}) o \text{Actor-Abs}) \text{"Charly"} \wedge$
 $(\forall (x :: \text{char list}) y :: \text{char list. } x \neq \text{"Eve"} \wedge y \neq \text{"Eve"} \wedge$
 $((\text{Abs} :: \text{identity option} \Rightarrow \text{actor}) o \text{Actor-Abs}) x = ((\text{Abs} :: \text{identity option} \Rightarrow \text{actor}) o \text{Actor-Abs}) y$
 $\longrightarrow x = y)$
 $\langle \text{proof} \rangle$

Finally all of this allows us to show the last assumption contained in the Insider Locale assumption needed for the interpretation of airplane.

lemma *UasI-Actor*: $\text{UasI } \text{"Eve"} \text{"Charly"}$

$\langle \text{proof} \rangle$

interpretation *airplane airplane-actors airplane-locations cockpit door cabin global-policy*

ex-creds ex-locs ex-locs' ex-graph aid-graph aid-graph0 agid-graph
local-policies local-policies-four-eyes Airplane-scenario Airplane-in-danger
Airplane-getting-in-danger0 Airplane-getting-in-danger Air-states
Air-Kripke
Airplane-not-in-danger Airplane-not-in-danger-init Air-tp-states
Air-tp-Kripke Safety Security foe-control astate
 $\langle \text{proof} \rangle$

end

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