

# Modal Logic and Capability-Safety

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# An Effectful Programming Language

$A ::= 1 \mid A \rightarrow B \mid N \mid \text{Chan}$

$e ::= () \mid \lambda x:A.e \mid e\ e' \mid n \mid x \mid \text{print}(e,e')$   
|  $\text{let } x = e_1 \text{ in } e_2$

$\Gamma ::= \cdot \mid \Gamma, x:A$

$\boxed{\Gamma \vdash e : A}$

# Typing Rules

$$\frac{}{\Gamma \vdash 0 : 1}$$

$$\frac{}{\Gamma \vdash n : \mathbb{N}}$$

$$\frac{\Gamma, x:A \vdash e:B}{\Gamma \vdash \lambda x:A.e : A \rightarrow B}$$

$$\frac{\Gamma \vdash e:A \rightarrow B \quad \Gamma \vdash e':A}{\Gamma \vdash ee' : B}$$

$$\frac{\Gamma \vdash e:\text{Chan} \quad \Gamma \vdash e':\mathbb{N}}{\Gamma \vdash \text{print}(e, e') : 1}$$

$$\frac{\Gamma \vdash e_1 : A \quad \Gamma, x:A \vdash e_2 : C}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : C}$$

$$\frac{x:A \in \Gamma}{\Gamma \vdash x : A}$$

# Operational Semantics

$v ::= () \mid \lambda x:A.e \mid n \mid c \leftarrow \text{channel names}$

$a ::= \cdot \mid \text{Wr}(c, n)$

$e \xrightarrow{a} e'$

" $e$  transitions to  $e'$ , possibly writing  $a$ "

We write  $e \rightarrow e'$  when  $a = \cdot$ .

# Reduction Rules

$$\frac{}{(\lambda x:A.e) \vee} \longrightarrow [v/x]e$$

$$\text{print}(c,n) \xrightarrow{wr(c,n)} ()$$

$$\frac{e_1 \xrightarrow{a} e_1'}{(e_1 \ e_2) \xrightarrow{a} (e_1' \ e_2)}$$

$$\frac{e_2 \xrightarrow{a} e_2'}{\vee e_2 \xrightarrow{a} \vee e_2'}$$

$$\frac{}{\text{let } x = v \text{ in } e_2 \longrightarrow [v/x]e_2}$$

$$\frac{e_1 \xrightarrow{a} e_1'}{\text{let } x = e_1 \text{ in } e_2 \xrightarrow{a} \text{let } x = e_1' \text{ in } e_2}$$

- Call-by-value  
evaluation order

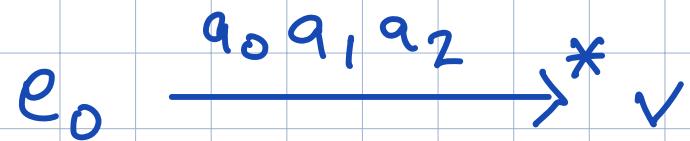
- Impure language

# Evaluation Sequence

Consider an evaluation sequence



We write multistep evaluation as:



# Impurity: Evaluation Order

let  $x = \text{print}(c, 0)$  in  
let  $y = \text{print}(c, 1)$  in

$$\frac{w_R(c, 0) - w_R(c, 1)}{w_R(c, 0) - w_R(c, 1)} \xrightarrow{*} ()$$

let  $y = \text{print}(c, 1)$  in  
let  $x = \text{print}(c, 0)$  in

$$\frac{w_R(c, 1) - w_R(c, 0)}{w_R(c, 0) - w_R(c, 1)} \xrightarrow{*} ()$$

Reordering expressions is not allowed

# Impurity: Dropping Expressions

$$F \triangleq \lambda f : 1 \rightarrow 1. \ f() \quad \text{vs} \quad G \triangleq \lambda f : 1 \rightarrow 1. \ ()$$

$$F \ (\lambda x : 1. \ \text{print}(c, o)) \xrightarrow{\text{wr}(c, o)}^* ()$$

$$G \ (\lambda x : 1. \ \text{print}(c, o)) \longrightarrow^* ()$$

# Impurity: Duplicating Expressions

```
let x = print(c,o) in  
let y = x in  
()
```

$\xrightarrow{\text{Wr}(c,o)}^*$  ()

```
let x = print(c,o) in  
let y = print(c,o) in  
()
```

$\xrightarrow{\text{Wr}(c,o) \cdot \text{Wr}(c,o)}^*$  ()

# Managing Effects with Monads

Introduce  $T(A)$  such that

return :  $A \rightarrow T(A)$

bind :  $T(A) \rightarrow (A \rightarrow T(B)) \rightarrow T(B)$

print :  $\text{Khan} \times \mathbb{N} \rightarrow T1$

Now  $\text{print}(c, n) : T1$

$e : T(A) \rightsquigarrow e$  may perform effects

# Capability-Based Security

Traditional OS security:

- Anyone can refer to (e.g.) files by name (e.g. /home/neelk/foo.md)
- OS checks whether access is allowed via access control list

# Capability-Based Security

Alternative (from 1970s! used in Fuschia)

1. Each object (e.g. file) has a unique, unforgeable id
2. Ids combine identity + authority
3. Clients control access via parameter-passing

# Capability - Safety

All effects controlled by  
capabilities

# Ambient Authority

print\_int:  $\mathbb{N} \rightarrow \underline{1}$

# Ambient Authority

print\_int: IN → 1

Calling print(0) does a  
write without a capability

# Ambient Authority

print\_int : String × IN → 1

Example: print\_int("foo.txt", 0)

# Ambient Authority

print\_int : String × IN → 1

Example: print\_int("foo.txt", 0)

Anyone can invent any  
string : filenames are forgeable

# A Capability-Safe API

print\_int: chan  $\times \mathbb{N} \rightarrow 1$

- Chan: abstract type of channels
- Chan values are unforgeable  
(due to memory-safety + abstraction)

# Capability-Safe Languages

- Imperative, memory-safe language
- Standard library APIs are  
fully capability-safe

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- Imperative, memory-safe language
- Standard library APIs are  
fully capability-safe
- Our little language is capability safe!

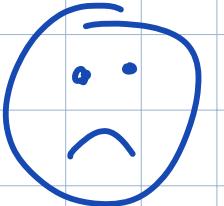
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Completely rewrite std library
- E.g. CapJava, Caja (for JS) 

# Capabilities and Types

Can we use types to track capabilities?

Values of Type

1

IN

chan

Own Capabilities?

NO

NO

YES

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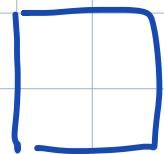
# Closures Capture Capabilities

$$f: \text{chan} \vdash \lambda n: \text{IN}. \text{print}(f, n) : \text{IN} \rightarrow 1$$

- $f_n$  is a closure capturing  $f$
- So  $\text{IN} \rightarrow 1$  accesses a capability even though  $\text{IN}$  and  $1$  don't

# Modal Logic to the Rescue

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A

values of A

with NO

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# Modal Logic to the Rescue

$\Box A$  values of  $A$  with NO capabilities

$$K : \Box A \times \Box B \rightarrow \Box(A \times B)$$

$$T : \Box A \rightarrow A$$

$$4 : \Box A \rightarrow \Box \Box A$$

# Modal Logic to the Rescue

$\Box A$  values of  $A$  with NO capabilities

$$K : \Box A \times \Box B \rightarrow \Box(A \times B)$$

$$T : \Box A \rightarrow A$$

$$4 : \Box A \rightarrow \Box \Box A$$

} Each axiom makes sense in terms of denial

# An Effectful Modal Language

$A ::= 1 \mid A \rightarrow B \mid N \mid \text{Chan} \mid \Box A$

$e ::= () \mid \lambda x:A.e \mid e\ e' \mid n \mid x \mid \text{print}(e,e')$

$\mid \text{let } x = e, \text{in } e_2$

$\mid \text{box}(e) \mid \text{let } \text{box}(x) = e, \text{in } e_2$

$\Gamma ::= \cdot \mid \Gamma, x:A$

$\Delta ::= \cdot \mid \Delta, x:A$

$\Delta; \Gamma \vdash e:A$

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# Modal Typing Rules

$$\frac{x : A \in \Delta}{\Delta ; \Gamma \vdash x : A}$$

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$$\frac{\Delta ; \Gamma \vdash e_1 : \Box A \quad \Delta , x : A ; \Gamma \vdash e_2 : C}{\Delta ; \Gamma \vdash \text{let } \text{box}(x) = e_1, \text{ in } e_2 : C}$$

# Reduction Rules, with Box

$$\frac{e \xrightarrow{a} e'}{\text{box}(e) \xrightarrow{a} \text{box}(e')}$$

$$\text{let } \text{box}(x) = \text{box}(v) \text{ in } e \rightarrow [v/x]e$$

$$\frac{}{(\lambda x:A.e) \vee \rightarrow [v/x]e}$$

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- So it can only write to channels  
it is given : capability-safe

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- All channels it can access come from List chan argument
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# Encoding Purity

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$$f : \square(\square A \rightarrow B)$$

- $f$  owns no channels
- $f$ 's argument owns no channels
- So  $f(v)$  runs with no channels
- It does no writes
- $f$  is purely functional!

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# Capability Taming with $\square$

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- $\square(A \rightarrow B)$  capability-safe functions
- As you update the stdlib, mark updated functions w/ box
- Modal discipline tracks capability safety!
- GRADUAL rewrites now possible!

# Proving It?

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- How can we prove  $\Box A$  enforces capability safety?
- Needs logical relations