

# SMT solver & program verification

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Credits:

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The contents are based on the Slides of Ming-Hsien Tsai, Anthony Lin and David Mantre



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# First-order logic

# Limitations of propositional logic

- Consider the following classical argument:

(1) All men are mortal

(2) Socrates is a man

---

Therefore: Socrates is mortal

- Can you express this in propositional logic?

# Limitations of propositional logic

- Here is an attempt:

(1) All men are mortal

$$\text{Man}(\text{Socrates}) \rightarrow \text{Mortal}(\text{Socrates})$$
$$\text{Man}(\text{Plato}) \rightarrow \text{Mortal}(\text{Plato})$$

Problem:  
How big is  
this formula?

(2) Socrates is a man

$$\text{Man}(\text{Socrates})$$

...

---

Therefore: Socrates is mortal

$$\text{Mortal}(\text{Socrates})$$

# A better solution

- Extend the logic to easily refer to “all men”

$$\forall x. \text{Man}(x) \rightarrow \text{Mortal}(x)$$

quantifier

- Read (verbose): “*For all x, if x is a man, then x is mortal*”
- Note: Proposition are now “**predicates**” which depend on  $x$
- Observation: two lines vs. billions of line

# What else can you say in FOL?

- There is a man who is not married

$$\exists x. \text{man}(x) \wedge \neg \text{married}(x)$$

- Every person has a mother

$$\forall x. \text{person}(x) \rightarrow (\exists y. \text{motherOf}(y, x))$$

- Some person have two mobile phones

$$\exists x \exists y \exists z. \text{person}(x) \wedge \text{mp}(y, x) \wedge \text{mp}(z, x) \wedge z \neq y$$

# So, is it true that ...?

- Q: FOL is just PL with quantifiers and more complex “propositions”?
- A: Yes, pretty much. But this is much more complex in fact!

# Ponderables

- Quantifiers “quantify” over what?
- Which of the following sentence are “true”?

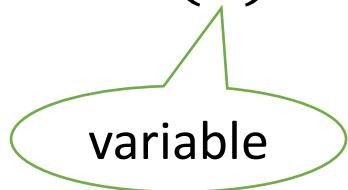
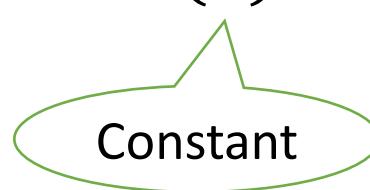
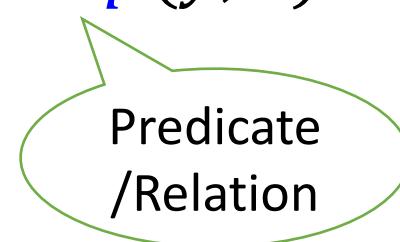
$$\exists x. \text{man}(x) \wedge \neg \text{married}(x)$$
$$(\exists x. \text{man}(x)) \rightarrow (\forall y. \text{man}(x))$$
$$(\forall x. \text{man}(x)) \rightarrow (\exists y. \text{man}(x))$$
$$\forall x. \text{man}(x) \rightarrow \text{max}(x)$$



# First-order logic (FOL) syntax

# “Atoms” (simplified)

- Examples of “atomic formulas” (“atoms”) in FOL:

 $man(x)$  $even(1)$  $mp(y, x)$ 

- Relations have arities (# arguments):
  - $man, even$  have arity 1
  - $mp$  has arity 2
- Relation with arity 0 is a proposition, e.g.  
 $man("John")$

# “Atoms” (simplified)

- Variables:  $x, y, \dots$
- Function symbols (with arities):  $f/2, +/2, \sin/1, \pi/0, \dots$
- Constants (0-ary function):  $0, 1, \pi, "John", \dots$
- Terms: variables/constants/functions-over-terms
- Relation symbols (with arities):  $\text{man}/1, \text{mp}/2, =/2$
- Definition: If  $R/i$  is a relation symbol with arity i and each of  $t_1, t_2, \dots, t_i$  is a term, then  $R(t_1, t_2, \dots, t_i)$  is an **atomic formula**

# “Formulas”

- As in boolean logic, build formulas from propositions with:

$\neg, \wedge, \vee, \rightarrow, \leftrightarrow$

- In addition, formulas can be “quantified”:  
If  $F$  is a formula and  $x$  is a variable, then

$\forall x.F$       Is a formula

$\exists x.F$       Is a formula

# Exercise

- How do you build the following formulas?

$$\exists x. \text{man}(x) \wedge \neg \text{married}(x)$$
$$(\exists x. \text{man}(x)) \rightarrow (\forall y. \text{man}(x))$$
$$(\forall x. \text{man}(x)) \rightarrow (\exists y. \text{man}(x))$$

# Exercise

Which are FOL formulas?

- $\exists y \forall x. (R(z) \rightarrow R(x))$
- $1 + 3 \times 20$     or  $+(1, \times(3, 20))$                  “ = ” is a relation symbol
- $pow(x, n) + pow(y, n) = pow(z, n)$
- $\forall x. \neg pow(x, n) \leftrightarrow n = 1$
- $\exists x \exists f. f(x) = 0$

# Exercise

Which are FOL formulas?

- $\exists y \forall x. (R(z) \rightarrow R(x))$
- $1 + 3 \times 20$  X “ = ” is a relation symbol
- $pow(x, n) + pow(y, n) = pow(z, n)$
- $\forall x. \neg pow(x, n) \leftrightarrow n = 1$  X
- $\exists x \exists f. f(x) = 0$  X

# More exercise

- Give a definition of FOL formulas by induction/grammar

# More exercise

- $F ::= R(t_1, \dots t_n)$   
|  $\neg F$  |  $F \wedge F$  |  $F \vee F$  |  $F \rightarrow F$  |  $F \leftrightarrow F$   
|  $\exists x. F$  |  $\forall x. F$
- $t ::= f(t_1, \dots t_n)$   
|  $x$   $x$  is a variable



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# Semantics of FOL

# Interpretations

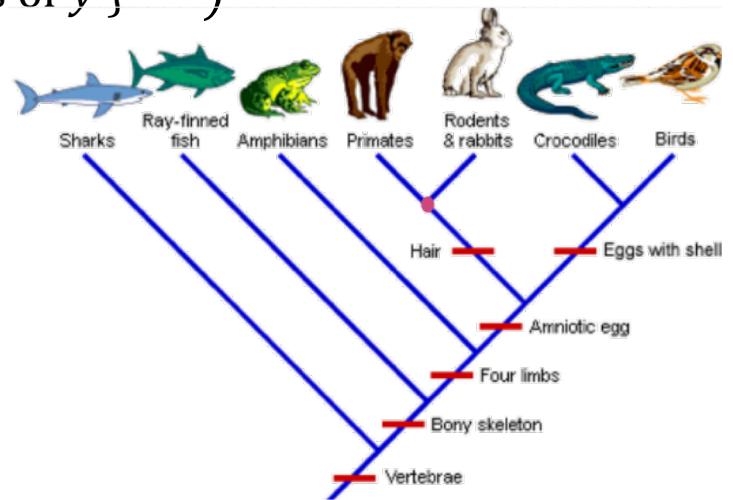
What do the quantifiers quantify over?

- Domains  $D$  (a.k.a. universe)
- An assignment function  $I$  mapping:
  - Each variable  $x$  to an element in  $D$
  - Each  $n$  function symbol  $f/n$  to a n-arity function
$$\overbrace{D \times \cdots \times D}^n \rightarrow D$$
  - Each  $n$  relation symbol  $R/n$  to a n-arity relation
$$\overbrace{D \times \cdots \times D}^n \rightarrow \mathbb{B}$$

# Example: phylogeny tree

- Relation symbols:  $\leq/2$ , *extant/1*, *extinct/1*
- Assignment:

$$D = \{Sharks, Birds, \dots\}$$
$$I = \left\{ \begin{array}{l} extant/1 \mapsto \{Sharks \leftrightarrow T, Birds \leftrightarrow T \dots\} \\ extinct/1 \mapsto \{Sharks \leftrightarrow F, Birds \leftrightarrow F \dots\} \\ \leq/2 \mapsto \{ (x, y) | x \text{ is subclass of } y \} \end{array} \right\}$$



# Example: Integer Linear Arithmetic ( $\mathbb{N}, +$ )

- Function symbol:  $+/2$ ,  $0/0$ ,  $1/0$ , ...
- Predicate symbol:  $=/2$
- Assignment:

$$D = \{0, 1, 2, 3, \dots\}$$

$$I(0) = \{(\ ) \mapsto 0\}, \quad I(1) = \{(\ ) \mapsto 1\}, \dots$$

$$I(+)= \{(0,0) \mapsto 0, (0,1) \mapsto 1 \dots\}$$

$$I(=) = \{(0,0) \mapsto T, (0,1) \mapsto F \dots\}$$

# Truth depends on interpretations

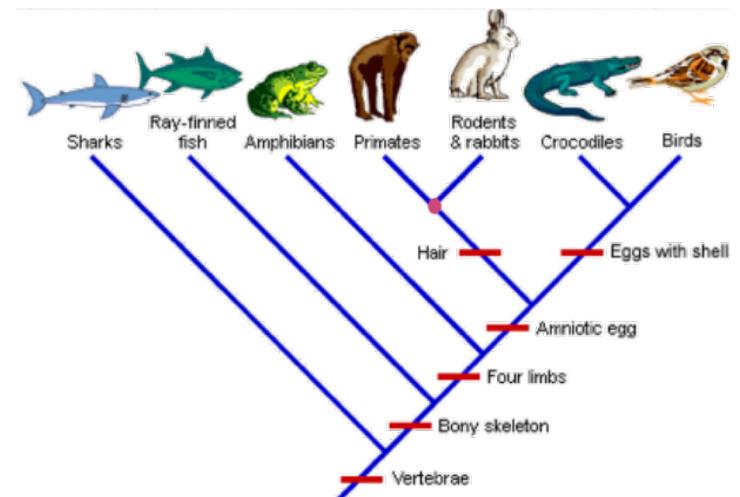
- The truth/falsehood of an FOL formula depends on interpretations (just as in PL).
- Need to define whether  $P$  is true in  $I$  ( $I \models P$ , or  $I(P) = T$ ) by induction on  $P$ :
  - Atom:  $I \models R(x, y)$       iff       $(I(x), I(y)) \mapsto T$  is in  $I(R)$
  - AND:  $I \models P \wedge Q$       iff       $I \models P$  and  $I \models Q$
  - OR:     $I \models P \vee Q$       iff       $I \models P$  or  $I \models Q$
  - NOT:    $I \models \neg P$       iff       $I \not\models F$
- Note:  $I(f(t_1, \dots, t_n)) = I(f)(I(t_1), \dots, I(t_n))$

# Example 1

$$F: z \leq x \wedge z \leq y$$

Interpretation:

- $x = \text{"Primates"}$
- $y = \text{"Rodent"}$
- $z = \cdot$



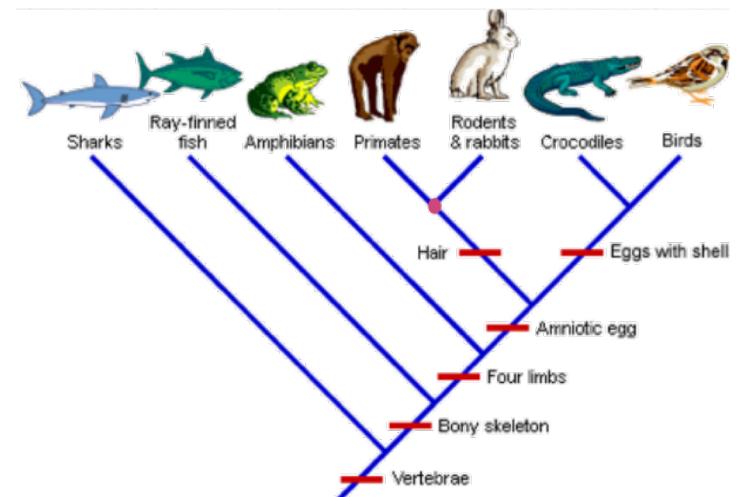
- Is  $F$  true in this interpretation?

# Example 2

$$F: z \leq x \wedge z \leq y$$

Interpretation:

- $x = \text{"Primates"}$
- $y = \text{"Rodent"}$
- $z = \text{"Crocodiles"}$



- Is  $F$  true in this interpretation?

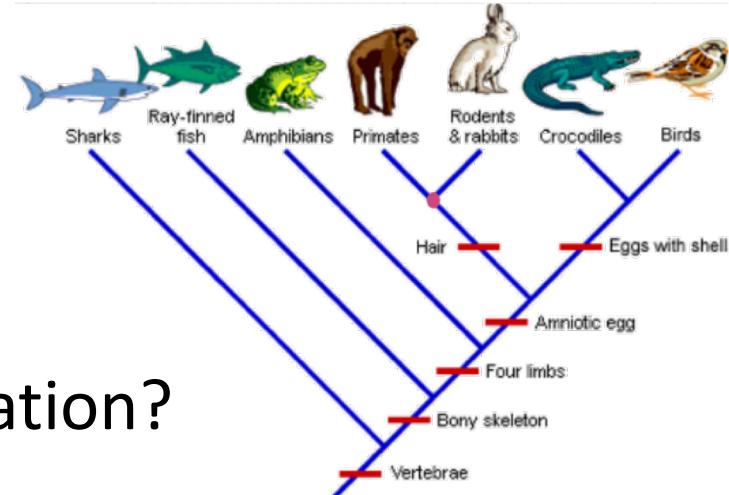
# Semantics of $\forall$ and $\exists$

Extending  $I(P)$  to formulas with quantifiers:

- Forall:  $I \models \forall x. P$  iff  $I[a/x](P) = T$  for all  $a$  in  $D$
- Exists:  $I \models \exists x. P$  iff  $I[a/x](P) = T$  for some  $a$  in  $D$
- Note:  $I[a/x] = \{x \mapsto a \dots\}$

# Example 1

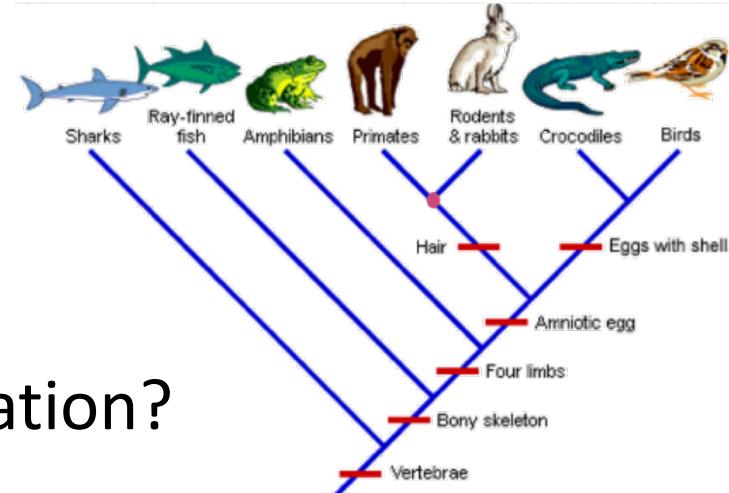
- $F: \exists x, y, z. (z \leq x \wedge z \leq y)$



- Is  $F$  true in this interpretation?

# Example 2

- $F: \forall x, y, z. (x \leq y \wedge y \leq z \rightarrow x \leq z)$



- Is  $F$  true in this interpretation?

# Exercise 1

- Formally express that every two species have a common ancestor.
- Show that this is true in the phylogeny tree interpretation.

## Exercise 2

Consider the following interpretation (social network):

- Relations:  $\text{Friends}/2$
- $D = \{\text{people}\}$
- $I(\text{Friends}) = \{ (x, y) : x \text{ is a friend of } y \}$

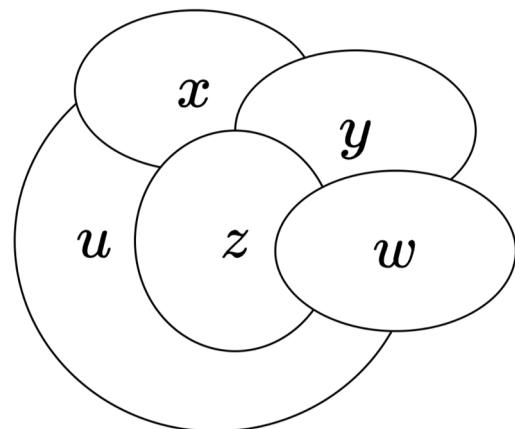
Express (the famous) six-degree of separation:

“The distance between any two people in this graph  
is six or less”

# Exercise 3

Show that it is possible to have 3-coloring for this graph

- Relation:  $=/2$
- Variables:  $u, w, x, y, z$
- $D = \{R, G, B\}$



# Exercise 4

In the linear arithmetic  $(N, +)$  model, argue the following formulas are true:

- $\forall x \exists y. y > x$
- $\forall x \exists y. y + y = x \vee y + y + 1 = x$

# Exercise 5

Consider the interpretation:

$$D = \{ 0, 1, \dots, 8 \}$$

$$I(R) = \{ (x, y) \mid y = x - z, z = 1, 2, 3 \}$$

Prove that the formula is false:

$$\begin{aligned} & \forall x_1 \exists y_1 \forall x_2 \exists y_2 \cdot (R(x_1, y_1) \wedge R(y_1, x_2) \wedge R(x_2, y_2) \\ & \quad \wedge R(y_2, 0)) \end{aligned}$$

# Try SMT solver

```
(set-logic LIA)

(define-fun R ((x Int) (y Int)) Bool
  (or (= y (- x 1)) (= y (- x 2)) (= y (- x 3)))
)

(assert
  (forall ((x1 Int))
    (exists ((y1 Int))
      (forall ((x2 Int))
        (exists ((y2 Int))
          (and
            (R x1 y1)
            (R y1 x2)
            (R x2 y2)
            (R y2 0)
          )
        )
      )
    )
  )
)

(check-sat)
```

# Exercise 6

Consider the interpretation:

$$D = \{\text{integer}\}$$

$$I(R) = \{ (x, y) \mid y = x - z, z = 1, 2, 3 \}$$

Prove that the formula below is true:

$$\forall x. \left( (\exists w. 4w = x) \rightarrow \forall z \exists y. (R(x, z) \rightarrow R(z, y) \wedge (\exists w. 4w = y)) \right)$$

Note:  $4w$  is a “macro” for  $w + w + w + w$  (even this is a macro)

# Try SMT solver

```
(set-logic LIA)
(define-fun R ((x Int) (y Int)) Bool
  (or (= y (- x 1)) (= y (- x 2)) (= y (- x 3)))
)

(assert
  (forall ((x Int))
    (exists ((w Int))
      (=>
        (= (+ w w w w) x)
        (forall ((z Int))
          (exists ((y Int))
            (=>
              (R x z)
              (and
                (R z y)
                (exists ((w Int)) (= (+ w w w w) y)))
              )
            )
          )
        )
      )
    )
  )
)

(check-sat)
```



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# Satisfiability / Validity / Equivalence

# Satisfiability/validity/ (semantic) equivalence

- A formula is **satisfiable** if it is true in some interpretation
- A formula is **valid** if it is true in all interpretations
- Two formulas are **equivalent** if their truth values are the same under all interpretations

# Exercises

Show that all the following examples are satisfiable!

$$\exists x. \text{man}(x) \wedge \neg \text{married}(x)$$

$$(\exists x. \text{man}(x)) \rightarrow (\forall y. \text{man}(x))$$

$$(\forall x. \text{man}(x)) \rightarrow (\exists y. \text{man}(x))$$

$$\forall x. \text{man}(x) \rightarrow \text{max}(x)$$

# Exercises

Point out valid and invalid formulas!

$$\exists x. \text{man}(x) \wedge \neg \text{married}(x)$$

$$(\exists x. \text{man}(x)) \rightarrow (\forall y. \text{man}(x))$$

$$(\forall x. \text{man}(x)) \rightarrow (\exists y. \text{man}(x))$$

$$\forall x. \text{man}(x) \rightarrow \text{max}(x)$$

# More exercises

- Prove that the following formulas are valid

$$\forall x. (Man(x) \rightarrow Mortal(x)) \wedge Man(Socrates)$$

$$\rightarrow Mortal(Socrates)$$

- Prove that the following formula is not valid

$$(\exists x. P(x) \wedge \exists x. R(x)) \rightarrow (\exists x. P(x) \wedge R(x))$$

# Some equivalences

- Equivalences from boolean logic carry over to FOL
- New ones, e.g. De Morgan's Laws for FOL:

$$\neg \exists x. \neg F \equiv \forall x. F$$

$$\neg \forall x. \neg F \equiv \exists x. F$$

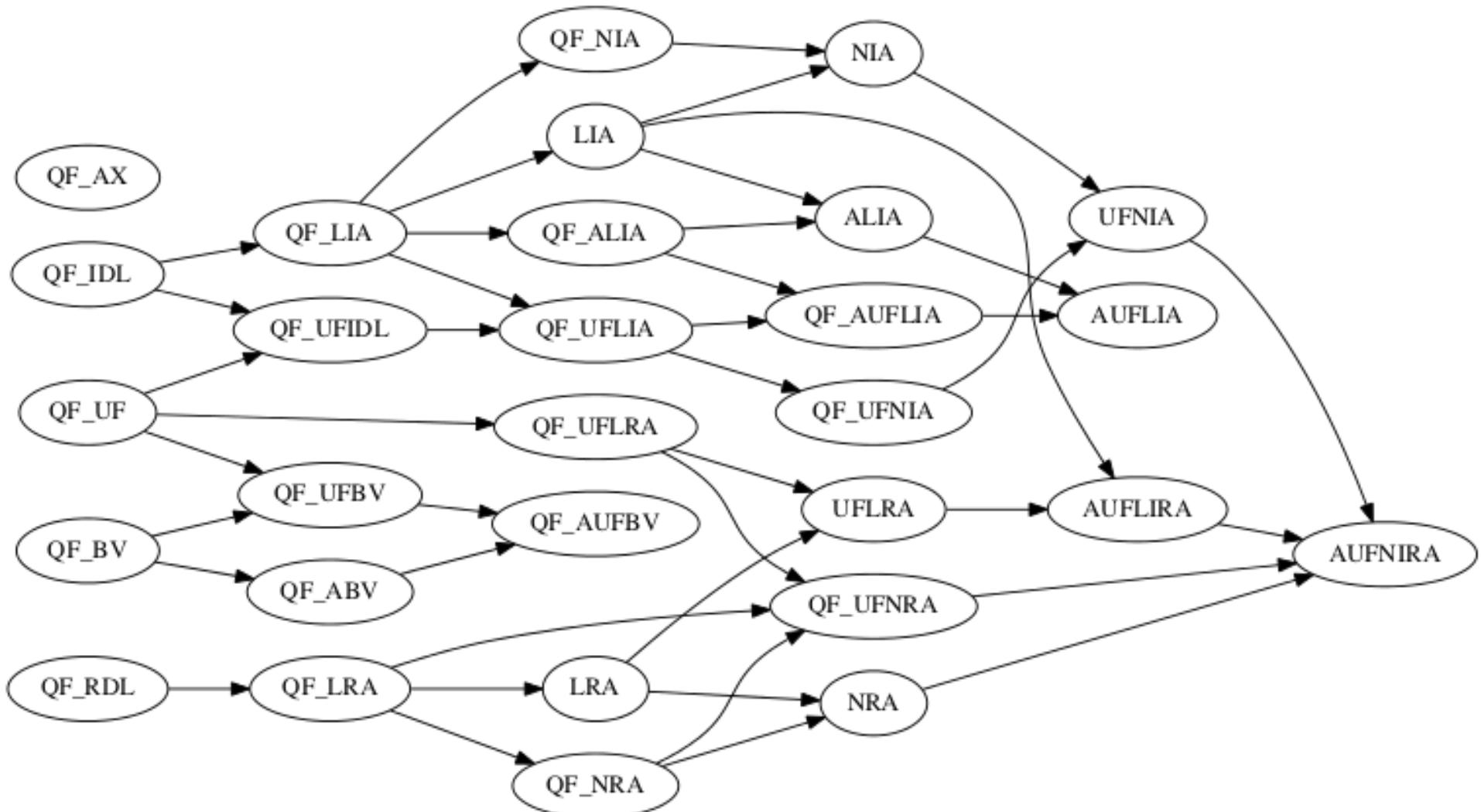
# Exercise

Prove De Morgan's Laws!

# Ponderables

- What's the connection between satisfiability/validity/equivalence?
- Could you give an algorithm for checking satisfiability/validity/equivalence?
- What about the same problem over “finite interpretations”? Over “finite interpretations of size k”?

# Roadmap for FOL after this





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Some more tutorial  
questions

# Free variables

Define this by induction on formula F:

- $\text{free}(R(x, y)) = \{x, y\}$
- $\text{free}(F \wedge F') = \text{free}(F) \cup \text{free}(F')$
- $\text{free}(\neg F) = \text{free}(F)$
- $\text{free}(\forall x. F) = \text{free}(F) \setminus \{x\}$
- $\text{free}(\exists x. F) = \text{free}(F) \setminus \{x\}$

# Exercises

What are the free variables of the formulas:

$$\exists x. even(x)$$

$$(\forall x. R(x)) \wedge Z(x)$$

# More equivalences

If  $x$  is not free in the formula  $G$ , then:

$$(\forall x. F) \wedge G \equiv \forall x. (F \wedge G)$$

$$(\exists x. F) \wedge G \equiv \exists x. (F \wedge G)$$

# Software Verification

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# Outline

- What is program verification
- Hoare logic
- Weakest precondition
- Other ways to verify program
  - Static single assignment form
  - Symbolic execution

# Assertions

- A time snapshot of a program execution is a **state**, which maps program variables to their values at that time.
- A program execution is an evolution of states.
- An **assertion** is a statement about states of a program.

$$x < 2^{51} \wedge y < 2^{15}$$

$$res \equiv (x \cdot y) \bmod 2^{255-19}$$

- Most interesting assertions can be expressed in FOL.

# Program verification

- Prove program property by formulating:
  - Assertions as pre-/post-conditions in FOL
  - Program variables as FOL variables

# Pre- and post-conditions

- Put an assertion at the entry point of a program to specify the requirements of inputs: **pre-condition**
- Put an assertion at the exit point of a program to specify the guarantees of outputs: **post-condition**

# Hoare logic

- Hoare logic is an axiomatic approach to program correctness
- Properties of programs can be verified in a deductive manner: applying inference rules to a set of axioms
- Different program languages may need different inference rules
- It is possible to automate the deductive verification

# Hoare triples

- A program  $C$  annotated with pre-condition  $P$  and post-condition  $Q$  is a **Hoare triple**:  $\{ P \} C \{ Q \}$
- Validity of a Hoare triple
  - **Partial correctness**: If the program starts with a state satisfying  $P$  and terminates at a final state, then the final state satisfies  $Q$
  - **Total correctness**: If the program starts with a state satisfying  $P$ , then the program must terminate at a final state and the final state satisfies  $Q$
- If a Hoare triple is interpreted as total correctness, it is sometimes written as  $\langle P \rangle C \langle Q \rangle$

# Specifications

- A program specification can be written as a Hoare triple, plus assertions inserted in the program
- If the Hoare triple can be shown to be valid, then the program satisfies the specification
- For a function that returns a result, we use the variable *res* to represent the returned result.

# Examples

- $\{y \neq 0\} \ div(x, y) \{res = x / y\}$
- $\{size(ls) = n\} sort(ls, n) \{sorted(ls) \wedge size(ls) = n\}$ 
  - size and sorted are first-order functions
- $\{x < y \wedge y < z \wedge z < w \wedge w+x=y+z \wedge x+y=z+w\} C \{Q\}$ 
  - always valid for integer variables  $x, y, z$ , and  $w$

Be careful of writing specifications

# Exercise

- Let max be a function that returns the maximal number between two input numbers. Write a specification of max as precise as possible.
  - { ? } max(x, y) { ? }
- Write the specification of a function that concatenates two integer lists. You may define other functions of list and use them in the specification.
  - list ::= nil | cons(Int, list)

# Assignment

$$x := e$$

- Assume that the evaluation of  $e$  does not cause any **side-effect**
- $P[e/x]$ : change  $x$  to  $e$  in  $P$
- Which one is correct?
  - $\{P\} x := e \{P[e/x]\}$    $\{x > 0\} x := 2 \{2 > 0\}$
  - $\{Q[e/x]\} x := e \{Q\}$    $\{2 > 0\} x := 2 \{x > 0\}$

# Assignment – more examples

- $\{x - 1 \geq 0\} x := x - 1 \{x \geq 0\}$
- $\{x < x + y\} z := x \{z < z + y\}$
- $\{x \geq x\} z := x \{z \geq x\}$

# Assignment – axiom

$$\overline{\{Q[e/x]\} \ x := e \ \{Q\}} \text{ Assign}$$

- No side-effect: only  $x$  is changed
- $x$  in post-condition has a new value same as  $e$  to satisfy  $Q$
- What if  $x$  does not have value same as  $e$ ?
  - Change  $x$  to  $e$  would satisfy  $Q$

# Multiple assignment

$$x_1, x_2, \dots, x_n := e_1, e_2, \dots, e_n$$

where  $x$ 's are distinct variables

$$\overline{\{Q[e_1, e_2, \dots, e_n/x_1, x_2, \dots, x_n]\}} \ x_1, x_2, \dots, x_n := e_1, e_2, \dots, e_n \ \{Q\} \text{ MultiAssign}$$

- $Q[e_1, e_2, \dots, e_n/x_1, x_2, \dots, x_n]$  is the result of simultaneous substitution
- $(x < y)[y, x/x, y] = (y < x)$

# Proof rules

$$\begin{array}{c}
 \frac{}{\{ Q[e/x] \} x := e \{ Q \}} \text{ Assign} \\
 \frac{\{ P \wedge B \} S_1 \{ Q \} \quad \{ P \wedge \neg B \} S_2 \{ Q \}}{\{ P \} \textbf{If } B \textbf{ then } S_1 \textbf{ else } S_2 \textbf{ fi } \{ Q \}} \text{ Conditional} \\
 \frac{}{\{ Q \} \textbf{skip} \{ Q \}} \text{ Skip} \\
 \frac{\{ P \wedge B \} S \{ Q \} \quad P \wedge \neg B \rightarrow Q}{\{ P \} \textbf{If } B \textbf{ then } S \textbf{ fi } \{ Q \}} \text{ If-Then} \\
 \frac{\{ P \} S_1 \{ Q \} \quad \{ Q \} S_2 \{ R \}}{\{ P \} S_1; S_2 \{ R \}} \text{ Sequence} \\
 \frac{\{ P \wedge B \} S \{ P \}}{\{ P \} \textbf{while } B \textbf{ do } S \textbf{ od } \{ P \wedge \neg B \}} \text{ While} \\
 \frac{P \rightarrow P' \quad \{ P' \} S \{ Q' \} \quad Q' \rightarrow Q}{\{ P \} S \{ Q \}} \text{ Consequence}
 \end{array}$$

# Conditional

{T}

If  $x < y$  then

$res := y$

else

$res := x$

fi

{ $res \geq x \wedge res \geq y$ }

# Conditional

$$\frac{}{\{Q[e/x]\} \ x := e \ \{Q\}} \text{Assign}$$

{T}

If  $x < y$  then

$res := y$

else

$res := x$

fi

$\{res \geq x \wedge res \geq y\}$

{T}

If  $x < y$  then

$y \geq x \wedge y \geq y$

$res := y$

$\{res \geq x \wedge res \geq y\}$

else

$x \geq x \wedge x \geq y$

$res := x$

$\{res \geq x \wedge res \geq y\}$

fi

$\{res \geq x \wedge res \geq y\}$

Assign

Assign

# Conditional

$$\frac{P \rightarrow P' \quad \{P'\} S \{Q'\} \quad Q' \rightarrow Q}{\{P\} S \{Q\}} \text{Consequence}$$

{T}

If  $x < y$  then

$res := y$

else

$res := x$

fi

{ $res \geq x \wedge res \geq y$ }

{T}

If  $x < y$  then

  { $T \wedge x < y$ }

  { $y \geq x \wedge y \geq y$ }

$res := y$

  { $res \geq x \wedge res \geq y$ }

else

  { $T \wedge x \geq y$ }

  { $x \geq x \wedge x \geq y$ }

$res := x$

  { $res \geq x \wedge res \geq y$ }

fi

{ $res \geq x \wedge res \geq y$ }

Consequence

Consequence

# Conditional

$$\frac{\{P \wedge B\} S_1 \{Q\} \quad \{P \wedge \neg B\} S_2 \{Q\}}{\{P\} \text{If } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{Q\}} \text{ Conditional}$$

{T}

If  $x < y$  then

$res := y$

else

$res := x$

fi

$\{res \geq x \wedge res \geq y\}$

{T}

If  $x < y$  then

$\{T \wedge x < y\}$

$\{y \geq x \wedge y \geq y\}$

$res := y$

$\{res \geq x \wedge res \geq y\}$

else

$\{T \wedge x \geq y\}$

$\{x \geq x \wedge x \geq y\}$

$res := x$

$\{res \geq x \wedge res \geq y\}$

fi

$\{res \geq x \wedge res \geq y\}$

Conditional

# While

$$\frac{\{P \wedge B\} S \{P\}}{\{P\} \text{while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{While}$$

- $P$  in the While rule is a *loop invariant*
- Invariant: an assertion that always holds whenever the program reaches it
- Loop invariants are usually specified manually
- For some classes of assertions, loop invariants can be synthesized

# While – example

$\frac{\{Q[e/x]\}}{x \coloneqq e \{Q\}}$  Assign

$\{ s = "" \}$

```
{ s = "" }
while |s| < 10 do
    s := concat("a", s, "b")
od
{s ∉ L((a + b)*ba(a + b)*)}
```

while  $|s| < 10$  do

```
{ concat("a", s, "b") ∈ L(a*b*) }
s := concat("a", s, "b")
{s ∈ L(a*b*) }
```

od

$\{ s \notin L((a + b)^*ba(a + b)^*) \}$

# While – example

$$\frac{P \rightarrow P' \quad \{P'\} S \{Q'\} \quad Q' \rightarrow Q}{\{P\} S \{Q\}} \text{Consequence}$$

$\{s = "\"\}$

**while**  $|s| < 10$  **do**

$s := \text{concat}("a", s, "b")$

**od**

$\{s \notin L((a + b)^* ba(a + b)^*)\}$

$\{s = "\"\}$

**while**  $|s| < 10$  **do**

$\{s \in L(a^* b^*) \wedge |s| < 10\}$

$\{\text{concat}("a", s, "b") \in L(a^* b^*)\}$

$s := \text{concat}("a", s, "b")$

$\{s \in L(a^* b^*)\}$

**od**

$\{s \notin L((a + b)^* ba(a + b)^*)\}$

# While – example

$$\frac{\{ P \wedge B \} \ S \{ P \}}{\{ P \} \text{while } B \text{ do } S \text{ od } \{ P \wedge \neg B \}} \text{While}$$

$\{ s = "" \}$   
**while**  $|s| < 10$  **do**  
     $s := \text{concat}("a", s, "b")$   
**od**  
 $\{s \notin L((a + b)^* ba(a + b)^*)\}$

$\{ s = "" \}$   
 $\{ s \in L(a^* b^*) \}$   
**while**  $|s| < 10$  **do**  
     $\{ s \in L(a^* b^*) \wedge |s| < 10 \}$   
     $\{ \text{concat}("a", s, "b") \in L(a^* b^*) \}$   
     $s := \text{concat}("a", s, "b")$   
     $\{ s \in L(a^* b^*) \}$   
**od**  
     $\{ s \in L(a^* b^*) \wedge |s| \geq 10 \}$   
 $\{ s \notin L((a + b)^* ba(a + b)^*) \}$

# While – example

$$\frac{P \rightarrow P' \quad \{P'\} S \{Q'\} \quad Q' \rightarrow Q}{\{P\} S \{Q\}} \text{Consequence}$$

$\{s = "\"\}$

**while**  $|s| < 10$  **do**

$s := \text{concat}("a", s, "b")$

**od**

$\{s \notin L((a + b)^* ba(a + b)^*)\}$

$\{s = "\"\}$

$\{s \in L(a^*b^*)\}$

**while**  $|s| < 10$  **do**

$\{s \in L(a^*b^*) \wedge |s| < 10\}$

$\{\text{concat}("a", s, "b") \in L(a^*b^*)\}$

$s := \text{concat}("a", s, "b")$

$\{s \in L(a^*b^*)\}$

**od**

$\{s \in L(a^*b^*) \wedge |s| \geq 10\}$

$\{s \notin L((a + b)^* ba(a + b)^*)\}$

# Try SMT solver

```
(set-logic QF_SLIA)
(set-option :incremental true)

(declare-fun s () String)
(define-const a RegLan (str.to_re "a"))
(define-const b RegLan (str.to_re "b"))
;; anbm := L(a*b*)
(define-const anbm RegLan
  (re.++ (re.* a) (re.* b))
)

;; s="" => s in L(a*b*)
(assert
  (and
    (= s "")
    (not (str.in_re s anbm)))
)
(check-sat)
;; s in L(a*b*) AND |s| < 10 => "a"++s++"b" in L(a*b*)
(assert
  (and
    (str.in_re s anbm)
    (< (str.len s) 10)
    (not (str.in_re (str.++ "a" s "b") anbm)))
)
(check-sat)
;; s in L(a*b*) AND |s| >= 10 => s not in
L([ab]*ba[ab]*)
(assert
  (and
    (str.in_re s anbm)
    (>= (str.len s) 10)
    (not(not (str.in_re s
      (re.++ (re.union a b) b a (re.union a b)))
    )))
)
(check-sat)
```

# Exercise

- Complete the proof outline.

$\{x \geq 0 \wedge y \geq 0 \wedge \gcd(x, y) = \gcd(m, n)\}$

**while**  $x \neq 0 \wedge y \neq 0$  **do**

**if**  $x < y$  **then**

$x, y := y, x$

**fi;**

$x := x - y$

**od**

$\left\{ \begin{array}{l} (x = 0 \wedge y \geq 0 \wedge y = \gcd(x, y) = \gcd(m, n)) \\ \vee (x \geq 0 \wedge y = 0 \wedge x = \gcd(x, y) = \gcd(m, n)) \end{array} \right\}$

# While – total correctness

- For total correctness, loops must terminate
- How to ensure this in annotations?
  - specify a rank function that decreases after every loop body

$$\frac{\{P \wedge B\} \ S \{P\} \quad \{P \wedge B \wedge t = Z\} \ S \{t < Z\} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{ While Total}$$

$t$  is a rank function

# Rank function – example

$$\frac{\{P \wedge B\} \ S \{P\} \quad \{P \wedge B \wedge t = Z\} \ S \{t < Z\} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{ While Total}$$

- What is the rank function?

$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y}\}$   
**while**  $x \geq y$  **do**  
     $x := x - y$   
**od**  
 $\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x < y\}$

# Rank function – example

$$\frac{\{ P \wedge B \} \ S \{ P \} \quad \{ P \wedge B \wedge t = Z \} \ S \{ t < Z \} \quad P \wedge B \rightarrow t \geq 0}{\{ P \} \text{ while } B \text{ do } S \text{ od } \{ P \wedge \neg B \}} \text{ While Total}$$

- What is the rank function?

$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y}\}$   
while  $x \geq y$  do  
 $\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x \geq y\}$  }  
 $\{x - y \geq 0 \wedge y > 0 \wedge x - y \equiv m \pmod{y}\}$  ] Assign  
 $x := x - y$   
 $\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y}\}$

od  
 $\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x < y\}$

# Rank function – example

$$\frac{\{P \wedge B\} \ S \ \{P\} \quad \{P \wedge B \wedge t = Z\} \ S \ \{t < Z\} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{ While Total}$$

- What is the rank function?

$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y}\}$   
while  $x \geq y$  do  
 $\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x \geq y \wedge x - y = Z\}$   
 $\{y > 0 \wedge x - y = Z\}$  ] Assign  
 $x := x - y$   
 $\{y > 0 \wedge x = Z\}$   
 $\{x - y < Z\}$   
od  
 $\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x < y\}$

# Rank function – example

$$\frac{\{P \wedge B\} \ S \{P\} \quad \checkmark \quad \{P \wedge B \wedge t = Z\} \ S \{t < Z\} \quad \checkmark \quad P \wedge B \rightarrow t \geq 0 \quad \checkmark}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{ While Total}$$

- What is the rank function?

$$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x \geq y \rightarrow x - y \geq 0\}$$

$$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y}\}$$

**while**  $x \geq y$  **do**

$$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x \geq y \wedge x - y = Z\}$$

$$\{y > 0 \wedge x - y = Z\}$$

$$x := x - y$$

$$\{y > 0 \wedge x = Z\}$$

$$\{x - y < Z\}$$

**od**

$$\{x \geq 0 \wedge y > 0 \wedge x \equiv m \pmod{y} \wedge x < y\}$$

# Weakest precondition

- Weakest precondition: the weakest precondition that guarantees termination of the program in a state satisfying the postcondition
- $wp(S, Q)$  is the weakest precondition of a program  $S$  and a postcondition  $Q$
- $wp(S, \cdot)$  is a predicate transformer that transforms a postcondition to a weakest precondition
- $wp(S, \cdot)$  can be seen as the semantics of  $S$

# Hoare triple as $wp$

- When total correctness is meant,  $\{P\} S \{Q\}$  is another notation for  $P \rightarrow wp(S, Q)$
- $P \rightarrow wp(S, Q)$ :  $P$  entails  $wp(S, Q)$

# Properties of $wp$

- Axioms:
  - Law of the Excluded Miracle:  $wp(S, \text{false}) \equiv \text{false}$
  - Distributivity of Conjunction:  $wp(S, Q_1) \wedge wp(S, Q_2) \equiv wp(S, Q_1 \wedge Q_2)$
  - Distributivity of Disjunction for deterministic  $S$ :  $wp(S, Q_1) \vee wp(S, Q_2) \equiv wp(S, Q_1 \vee Q_2)$
- Derived:
  - Law of Monotonicity: if  $Q_1 \rightarrow Q_2$ , then  $wp(S, Q_1) \rightarrow wp(S, Q_2)$
  - Distributivity of Disjunction for nondeterministic  $S$ :  $wp(S, Q_1) \vee wp(S, Q_2) \equiv wp(S, Q_1 \vee Q_2)$

# *wp*: Skip and abort

- $wp(\text{skip}, \ Q) = Q$
- $wp(\text{abort}, \ Q) = \text{false}$

# $wp$ : Assignment and sequence

- $wp(x := e, Q) = Q[e/x]$
- $wp(S_1; S_2, Q) = wp(S_1, wp(S_2, Q))$

# Example

$$\begin{aligned} & wp(x := x - 5; x := x * 2, x > 20) \\ &= wp(x := x - 5, wp(x := x * 2, x > 20)) \\ &= wp(x := x - 5, x * 2 > 20) \\ &= (x - 5) * 2 > 20 \\ &= x > 15 \end{aligned}$$

# *wp*: Conditional

- $wp(\text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \ Q)$

$$= (B \wedge wp(S_1, Q)) \vee (\neg B \wedge wp(S_2, Q))$$

- $wp(\text{if } B \text{ then } S \text{ fi}, \ Q)$

$$= (B \wedge wp(S, Q)) \vee (\neg B \wedge Q)$$

# Example

$$\begin{aligned} & wp(\mathbf{if } x < y \mathbf{then } x := y \mathbf{fi}, x \geq y) \\ &= (x < y \wedge wp(x := y, x \geq y)) \vee (\neg(x < y) \wedge x \geq y) \\ &= (x < y \wedge y \geq y) \vee (\neg(x < y) \wedge x \geq y) \\ &\Leftrightarrow \top \end{aligned}$$

# *wp*: While

- while  $B$  do  $S$  od is equivalent to
  - if  $B$  then  $(S; \text{if } B \text{ then } (S; \text{if } B \text{ then}(\dots) \text{ fi}) \text{ fi}) \text{ fi}$
- Thus,  $wp(\text{while } B \text{ do } S \text{ od}, Q) = (\neg B \wedge Q) \vee B \wedge wp(S, (\neg B \wedge Q)) \vee B \wedge wp(S, B \wedge wp(S, (\neg B \wedge Q))) \dots$
- Define
  - $H(Q, 0) \equiv \neg B \wedge Q$
  - $H(Q, k) \equiv B \wedge wp(S, H(Q, k - 1))$
- $wp(\text{while } B \text{ do } S \text{ od}, Q) = \exists k. 0 \leq k \wedge H(Q, k)$

# *wp*: Theorem of while

$$\frac{\{P \wedge B\} \ S \{P\} \quad \{P \wedge B \wedge t = Z\} \ S \{t < Z\} \quad P \wedge B \rightarrow t \geq 0}{\{P\} \text{ while } B \text{ do } S \text{ od } \{P \wedge \neg B\}} \text{ While Total}$$

Suppose there exist an invariant  $P$  and an integer-valued expression  $t$  such that

- $P \wedge B \rightarrow wp(S, P)$ ,
- $P \wedge B \rightarrow (t \geq 0)$ , and
- $P \wedge B \wedge (t = Z) \rightarrow wp(S, t < Z)$ , where  $Z$  is a rigid variable.

Then  $P \rightarrow wp(\text{while } B \text{ do } S \text{ od}, \ P \wedge \neg B)$

# Verification condition generation

$\{ P \}$

$S_1$   
 $\{ R \}$

$S_2$

$S_3$   
 $\{ Q \}$

Verification condition:

# Verification condition generation

$\{ P \}$

$S_1$

$\{ R \}$

$\{ wp(S_2, wp(S_3, Q)) \}$

$S_2$

$\{ wp(S_3, Q) \}$

$S_3$

$\{ Q \}$

Verification condition:

1.  $R \rightarrow wp(S_2, wp(S_3, Q))$

# Verification condition generation

$\{ P \}$

$\{ wp(S_1, R) \}$

$S_1$

$\{ R \}$

$\{ wp(S_2, wp(S_3, Q)) \}$

$S_2$

$\{ wp(S_3, Q) \}$

$S_3$

$\{ Q \}$

Verification condition:

1.  $R \rightarrow wp(S_2, wp(S_3, Q))$
2.  $P \rightarrow wp(S_1, R)$

# Verification condition generation

$\{ P \}$

$\{ wp(S_1, R \wedge wp(S_2, wp(S_3, Q))) \}$

$S_1$

$\{ R \}$

$\{ wp(S_2, wp(S_3, Q)) \}$

$S_2$

$\{ wp(S_3, Q) \}$

$S_3$

$\{ Q \}$

Verification condition:

1.  $P \rightarrow wp(S_1, R \wedge wp(S_2, wp(S_3, Q)))$

# Exercise

- Compute  $wp(x := x + 2; y := y - 2, x + y = 0)$
- Compute  $wp(\text{If } x < y \text{ then } res := y \text{ else } res := x \text{ fi, } res \geq x \wedge res \geq y)$

# Example *wp*

$$\{ x > y \}$$

$$\{ x \geq y \}$$

$$t := x$$

$$\{ t \geq y \}$$

$$x := y$$

$$\{ t \geq x \}$$

$$y := t$$

$$\{ y \geq x \}$$

$$x > y \rightarrow x \geq y$$

$$\cdot [x/t]$$

$$\cdot [y/x]$$

$$\cdot [t/y]$$

# Example $wp$

- $P \wedge B \rightarrow wp(S, P)$
- $P \wedge B \rightarrow (t \geq 0)$
- $P \wedge B \wedge (t = Z) \rightarrow wp(S, t < Z)$

$$wp(x := e, P) = P[e/x]$$

$$\{x \geq 100 \wedge y \geq 10\}$$

**while**  $x \geq y$  **do**

$$x := x - y$$

**od**

$$\{y > x \wedge x \geq 0\}$$

**Decide:**

$$P: x \geq 0 \wedge y > 0, \quad t: x$$

**while**  $x \geq y$  **do**

$$\begin{array}{ll} \{x - y \geq 0 \wedge y > 0\} & \{x - y < Z\} \\ x := x - y & \\ \{x \geq 0 \wedge y > 0\} & \{x < Z\} \\ \text{od} & \end{array}$$

$wp$



# Example $wp$

- $P \wedge B \rightarrow wp(S, P)$
- $P \wedge B \rightarrow (t \geq 0)$
- $P \wedge B \wedge (t = Z) \rightarrow wp(S, t < Z)$

$$wp(x := e, P) = P[e/x]$$

$$\{x \geq 100 \wedge y \geq 10\}$$

**while**  $x \geq y$  **do**

$$x := x - y$$

**od**

$$\{y > x \wedge x \geq 0\}$$

**Decide:**

$$P: x \geq 0 \wedge y > 0, \quad t: x$$

**while**  $x \geq y$  **do**

$$\{x - y \geq 0 \wedge y > 0\} \quad \{x - y < Z\}$$

$$x := x - y$$

$$\{x \geq 0 \wedge y > 0\} \quad \{x < Z\}$$

**od**

**Verify followings are valid:**

- $(x \geq 0 \wedge y > 0 \wedge x \geq y) \rightarrow (x - y \geq 0 \wedge y > 0)$
- $(x \geq 0 \wedge y > 0 \wedge x \geq y) \rightarrow x \geq 0$
- $(x \geq 0 \wedge y > 0 \wedge x \geq y \wedge x = Z) \rightarrow x - y < Z$

# Example $wp$

- $P \wedge B \rightarrow wp(S, P)$
- $P \wedge B \rightarrow (t \geq 0)$
- $P \wedge B \wedge (t = Z) \rightarrow wp(S, t < Z)$

$P \rightarrow wp(\text{while } B \text{ do } S \text{ od}, P \wedge \neg B)$

$\{x \geq 100 \wedge y \geq 10\}$

**while**  $x \geq y$  **do**

$x := x - y$

**od**

$\{y > x \wedge x \geq 0\}$

**Decide:**

$P: x \geq 0 \wedge y > 0, \quad t: x$

**while**  $x \geq y$  **do**

$\{x - y \geq 0 \wedge y > 0\} \quad \{x - y < Z\}$

$x := x - y$

$\{x \geq 0 \wedge y > 0\} \quad \{x < Z\}$

**od**

If followings are valid: ...

Then  $x \geq 0 \wedge y > 0 \rightarrow wp(\text{while } \dots, x \geq 0 \wedge y > 0 \wedge x < y)$

**Verify validity of:**

- $x \geq 100 \wedge y \geq 10 \rightarrow x \geq 0 \wedge y > 0$
- $x \geq 0 \wedge y > 0 \wedge x < y \rightarrow y > x \wedge x \geq 0$

# Static single assignment form

$\{ x > y \}$

$t := x$

$x := y$

$y := t$

$\{ y \geq x \}$

$F:$

$x_0 > y_0 \wedge$

$t_1 = x_0 \wedge$

$x_1 = y_0 \wedge$

$y_1 = t_1 \wedge$

$y_1 \not\geq x_1$

Verify satisfiability of  $F$

# Example SSA

Decide:

Inv:  $x \geq 0 \wedge y > 0$

$\{y \geq 100 \wedge x \geq 10\}$

$x, y := y, x$

$\{x \geq 0 \wedge y > 0\}$

while  $x \geq y$  do

$x := x - y$

od

$\{x \geq 0 \wedge y > 0 \wedge x < y\}$

$\{y > x \wedge x \geq 0\}$

pre-condition-check:  $(y_0 \geq 100 \wedge x_0 \geq 10) \wedge (x_1 = y_0) \wedge (y_1 = x_0) \wedge \neg(x_1 \geq 0 \wedge y_1 > 0)$

Inv-check:  $(x_0 \geq 0 \wedge y_0 > 0 \wedge x_0 \geq y_0) \wedge (x_1 = x_0 - y_0) \wedge \neg(x_1 \geq 0 \wedge y_0 \geq 0)$

post-condition-check:  $(x_0 \geq 0 \wedge y_0 > 0 \wedge x_0 < y_0) \wedge \neg(y_0 > x_0 \wedge x_0 \geq 0)$

# Symbolic execution

$\{ x > y \}$

$t := x$

$x := y$

$y := t$

$\{ y \geq x \}$

$x \mapsto a, y \mapsto b, t \mapsto c$

$x \mapsto a, y \mapsto b, t \mapsto a$

$x \mapsto b, y \mapsto b, t \mapsto a$

$x \mapsto b, y \mapsto a, t \mapsto a$

$a > b \rightarrow a \geq b$

# Symbolic execution – example

Decide:

Inv:  $x \geq 0 \wedge y > 0$

$\{y \geq 100 \wedge x \geq 10\} \quad x \mapsto a, y \mapsto b$

$x, y := y, x \quad x \mapsto b, y \mapsto a$

$\{x \geq 0 \wedge y > 0\} \quad x \mapsto a', y \mapsto b'$

**while**  $x \geq y$  **do**  
 $x := x - y \quad x \mapsto a' - b', y \mapsto b', a' \geq b'$

**od**

$\{x \geq 0 \wedge y > 0 \wedge x < y\} \quad x \mapsto a'', y \mapsto b''$

$\{y > x \wedge x \geq 0\} \quad x \mapsto b'', y \mapsto a''$

pre-condition-check: ( $a \geq 100 \wedge b \geq 10$ )

$\wedge \neg(b \geq 0 \wedge a > 0)$

Inv-check: ( $a' \geq 0 \wedge b' > 0$ )  $\wedge$

$(a' \geq b') \wedge$

$\neg(a' - b' \geq 0 \wedge b' > 0)$

path-condition

post-condition-check: ( $a'' \geq 0 \wedge b''$

$> 0 \wedge a'' < b'')$   $\wedge \neg(b'' > a'' \wedge a'' \geq 0)$

# frama-C

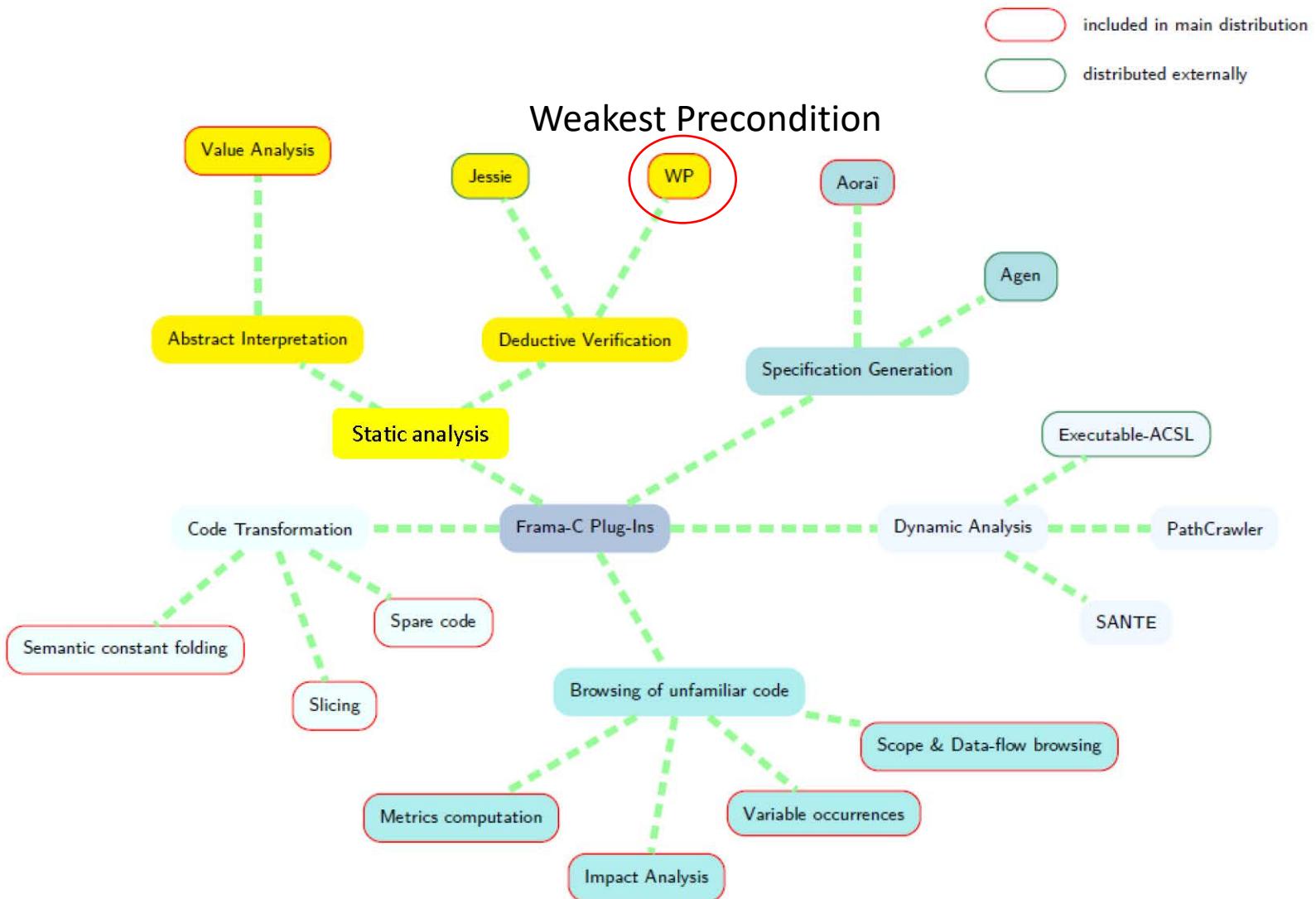
# What is Frama-C?

---

- **Frama-C** is **FRAM**ework for **StAtic** of **C** language
- Build upon
  - A **core** to read C files and build **Abstract Syntax Trees**
  - A set of **plug-ins** to do **static analyses** and **annotate** those syntax trees
  - **Collaboration** of plug-ins
    - A plug-in can **use** the analysis of **another** plug-in
- Purposes
  - **Static analyses** of C code
  - **Transformation** of C code
  - Framework to **build tools** analyzing and manipulating C code
    - New plug-ins programmed in **OCaml** language



# Frama-C plugins



## ■ INTERLUDE: WHY DOING FORMAL VERIFICATION?

# Questions on a simple program

---

- What **does** the following program?
- Is it **correct**?

```
int abs(int x) {  
    if (x < 0)  
        return -x;  
    else  
        return x;  
}
```

# Answers on a simple program

- The program computes the **absolute value** of x
  - It is **buggy!**
    - If **x == -2<sup>31</sup>**,  $2^{31}$  cannot be represented in binary two's complement!
      - C's int goes from  $-2^{31}$  (-2147483648) to  $2^{31}-1$  (2147483647)
  - A formal tool (like Frama-C) can **catch** it
    - “**frama-c-gui -wp -wp-rte abs.c**”
    - **Systematically!!**
      - Of course a programmer **knows** about such issues...
      - ... but he might **forget** it while doing more complex things

Cannot be proved

```
int abs(int x)
{
    int __retres;
    if (x < 0) {
        /*@ assert rte: signed_overflow: -2147483647 ≤ x; */
        __retres = -x;
        goto return_label;
    }
    else {
        __retres = x;
        goto return_label;
    }
    return_label: /* internal */ return __retres;
}
```



# **THE NOTION OF “CONTRACT”**

# The notion of “contract”

- **Contract** of a function defines
  - What the function **requires** from the outside world
  - What the function **ensures** to the outside world
    - Provided the “requires” part is fulfilled!
- Similar to **business** contract
- Going back to our **abs()** function
  - abs() requires that **x > -2<sup>31</sup>**: **requires** `x >= - 2147483647;`
  - abs() ensures that
    - Its result is **positive**: **ensures** `\result >= 0;`
    - Its result is **-x if x is negative**, x otherwise:
      - **ensures** `x < 0 ==> \result == -x;`
      - **ensures** `x >= 0 ==> \result == x;`
  - “**\result**” denotes function result
  - Using Frama-C **notation**:

```
/*@ requires x >= -2147483647;
ensures \result >= 0;
ensures x < 0 ==> \result == -x;
ensures x >= 0 ==> \result == x;
*/
```

Formal annotation

# Use of Frama-C/WP tool on abs()

- Call with “**frama-c-gui -wp -wp-rte** abs.c”
  - **-wp**: call WP plug-in
  - **-wp-rte**: call RTE plug-in that inserts additional checks for Run Time Errors

The screenshot shows the Frama-C GUI interface. The main window displays the C code for the `abs` function. A red oval highlights the first few lines of the code, specifically the annotations and the start of the function definition.

```
/*@ requires x >= -2147483647;
ensures \result >= 0;
ensures \old(x) < 0 => \result = -\old(x);
ensures \old(x) >= 0 => \result = \old(x);
*/
int abs(int x)
{
    int __retres;
    if (x < 0) {
        /*@ assert rte: signed_overflow: -2147483647 <= x; */
        __retres = -x;
        goto return_label;
    }
    else {
        __retres = x;
        goto return_label;
    }
    return_label: /* internal */ return __retres;
}
```

The left sidebar contains the "WP" configuration panel, which is currently set to "RTE". The bottom status bar indicates the file is `abs.c` and the function is `abs`.



# **BASIC USE OF FRAMA-C/WP THROUGH EXAMPLES**

# Function call and contract

---

- A contract is an “**opaque**” specification of function behavior
  - Function **callers** only see the **contract**
    - Contract **considered correct** even if not proved
    - If **no** contract... unknown behavior! (default contract)
- **DEMO** on call.c: “frama-c-gui -wp -wp-rte call.c”
  - Initial state: **all** proved
  - Show farenheit\_to\_celsius() “**requires**” not fulfilled
    - farenheit\_to\_celsius() and main() “**ensures**” still **proved**
  - Show farenheit\_to\_celsius() “**ensures**” not fulfilled
    - main() “**ensures**” still **proved**
- **Everything** should be proved to guarantee the program correct !

- Initial state: **all** proved (call-proved.c)

```
/*@ requires 0 ≤ fahr ≤ 300;
   ensures \result ≡ (5 * (\old(fahr) - 32)) / 9;
 */
int fahrenheit_to_celsius(int fahr)
{
    int __retres;
    /*@ assert rte: signed_overflow: -2147483648 ≤ fahr - 32; */
    /*@ assert rte: signed_overflow: -2147483648 ≤ 5 * (int)(fahr - 32); */
    /*@ assert rte: signed_overflow: 5 * (int)(fahr - 32) ≤ 2147483647; */
    __retres = (5 * (fahr - 32)) / 9;
    return __retres;
}
```

– Initial state: **all** proved (call-proved.c)

```
/*@ ensures \result ≡ -2000 ∨ (-18 ≤ \result ≤ 300); */
int main(int fahr)
{
    int __retres;
    if (fahr >= 0) {
        if (fahr <= 300) {
            int tmp;
            tmp = fahrenheit_to_celsius(fahr);
            {
                __retres = tmp;
                goto return_label;
            }
        }
        else {
            {
                __retres = -2000;
                goto return_label;
            }
        }
    }
    else {
        {
            __retres = -2000;
            goto return_label;
        }
    }
    return_label: return __retres;
}
```

- Show farenheit\_to\_celsius() “**requires**” not fulfilled (call-1.c)
  - farenheit\_to\_celsius() and main() “**ensures**” still **proved**

```

/*@ ensures \result ≡ -2000 ∨ (-18 ≤ \result ≤ 300); */
int main(int fahr)
{
    int __retres;
    if (fahr >= 0) {
        if (fahr <= 400) {
            int tmp;
            tmp = farenheit_to_celsius(fahr);
            {
                __retres = tmp;
                goto return_label;
            }
        }
        else {
            {
                __retres = -2000;
                goto return_label;
            }
        }
    }
    else {
        {
            __retres = -2000;
            goto return_label;
        }
    }
}
else {
    {
        __retres = -2000;
        goto return_label;
    }
}
return_label: return __retres;
}

/*@ requires 0 ≤ fahr ≤ 300;
   ensures \result ≡ (5 * (\old(fahr) - 32)) / 9;
*/
int farenheit_to_celsius(int fahr)
{
    int __retres;
    /*@ assert rte: signed_overflow: -2147483648 ≤ fahr - 32; */
    /*@ assert rte: signed_overflow: -2147483648 ≤ 5 * (int)(fahr - 32); */
    /*@ assert rte: signed_overflow: 5 * (int)(fahr - 32) ≤ 2147483647; */
    __retres = (5 * (fahr - 32)) / 9;
    return __retres;
}

```

– Show fahrenheit\_to\_celsius() “ensures” not fulfilled (call-2.c)

- main() “ensures” still proved

```
/*@ ensures \result == -2000 || (-18 <= \result <= 300); */
int main(int fahr)
{
    int __retres;
    if (fahr >= 0) {
        if (fahr <= 300) {
            int tmp;
            tmp = fahrenheit_to_celsius(fahr);
            {
                __retres = tmp;
                goto return_label;
            }
        }
        else {
            __retres = -2000;
            goto return_label;
        }
    }
    else {
        __retres = -2000;
        goto return_label;
    }
}
return_label: return __retres;
```

```
/*@ requires 0 <= fahr <= 300;
   ensures \result == (5 * (\old(fahr) - 32)) / 9;
*/
int fahrenheit_to_celsius(int fahr)
{
    int __retres;
    __retres = 0;
    return __retres;
}
```

# Old and new values, pointers: swap()

---

- In a contract, need to express:
  - **Validity** of pointers
  - For a variable x, value of x at function **entrance** and **exit**
- **Informal** specification
  - “Exchange two integer values pointed by pointers”
  - **Prototype:** `void swap(int *a, int *b)`
- What is swap() **formal** specification?
  - **Requires:** the pointers need to be **valid**
    - “**\valid(a)**”: pointer a is valid
  - **Ensures:** the pointed values are **swapped**
    - “**\old(a)**”: value of a at function **entrance** (in function contract ensures)
    - “**a**”: value of a at function **exit**

# **swap() contract and code**

---

- **Contract and code**

```
/*@ requires \valid(a) && \valid(b);
   ensures (*a == \old(*b) && *b == \old(*a)); */
void swap(int *a, int *b) {
    int tmp;

    tmp = *a;
    *a = *b;
    *b = tmp;
}
```

- **DEMO:** “frama-c-gui -wp -wp-rte swap.c”

# Side note: Frama-C operators in specification

- Several **operators** useful in specification
  - Similar to **C** notation

Operator	Informal meaning	Formal meaning (C notation)
$!p$	<b>NOT</b> $p$	$!p$
$p \&\& q$	$p$ <b>AND</b> $q$	$p \&\& q$
$p    q$	$p$ <b>OR</b> $q$	$p    q$
$p ==> q$	<b>IF</b> $p$ <b>THEN</b> $q$	$(p ? q : 1)$
$p <==> q$	$p$ <b>IF AND ONLY IF</b> $q$	$p == q$

- No logical “**IF**  $p$  **THEN**  $q_1$  **ELSE**  $q_2$ ”
  - Use “ $(p ==> q_1) \&\& (!p ==> q_2)$ ” instead
  - Or more simply “ $p ? q_1 : q_2$ ”

# **swap() variation: two elements in an array**

---

- **Informal** specification
  - “In array a[] of size n, exchange array elements indexed by n1 and n2”
- **Prototype:**
  - `void array_swap(int n, int a[], int n1, int n2)`
- What is its **formal** specification?
  - The indexes are within array **bounds**
    - `requires n >= 0 && 0 <= n1 < n && 0 <= n2 < n;`
  - The array a[] is **valid** memory area up to cell number n
    - `requires \valid(a+(0..n-1));` (similar to `&a[0] valid, ..., &a[n] valid`)
  - The indexed values are **swapped**
    - `ensures (a[n1] == \old(a[n2]) && a[n2] == \old(a[n1])) ;`

# array\_swap() contract and code

---

- **Contract and code**

```
/*@ requires n >= 0 && 0 <= n1 < n && 0 <= n2 < n;
   requires \valid(a+(0..n-1));
   ensures (a[n1] == \old(a[n2]) && a[n2] == \old(a[n1]));
*/
void array_swap(int n, int a[], int n1, int n2) {
    int tmp;

    tmp = a[n1];
    a[n1] = a[n2];
    a[n2] = tmp;
}
```

- **DEMO:** “frama-c-gui -wp -wp-rte array\_swap.c”



## A MORE COMPLEX EXAMPLE WITH WP: FIND()

# find() specification

- **Informal** specification
  - “Return the index of an occurrence of v in a[]”
  - “Array a[] is of size n, value v and n are integers”
- **Prototype:**

```
int find(int n, const int a[], int v)
```
- What is its **formal** specification?
  - We will elaborate it through some unit **tests**

# Case 1: find() finds v in a[]

---

- **Informal** specification

- “Return the index of an occurrence of v in a[]”
  - “Array a[] is of size n, value v and n are integers”

- **Prototype:**

```
int find(int n, const int a[], int v)
```

- find() **finds v** in a[]

```
int a[5] = { 9, 7, 8, 9, 6 };
```

```
int const f1 = find(5, a, 8);  
assert(f1 == 2);
```

- **Formally**

```
ensures 0 <= \result < n ==> a[\result] == v;
```

# Case 2: find() does not find v in a[]

- **Informal** specification

- “Return the index of an occurrence of v in a[]”
  - “Array a[] is of size n, value v and n are integers”
  - **Returns -1 if v is not found**

- Prototype:

```
int find(int n, const int a[], int v)
```

- **find() does not find v in a[]**

```
int a[5] = { 9, 7, 8, 9, 6 };
```

```
int const f2 = find(5, a, 15);  
assert(f2 == -1);
```

- **Formally**

- If find() returns -1, then

- for all index i, if i is in a[] bounds then  $a[i] \neq v$

```
ensures \result == -1
```

```
==> (\forall integer i; 0 <= i < n ==> a[i] != v);
```

# Side note: types used in ACSL annotations

- In ACSL, **distinction** between C program and mathematical **types**

C program type	Mathematical type
int, short	integer ( $\bullet$ )
float, double	real ( $\bullet$ )

- Usually one uses mathematical types for annotations
  - “\forall **integer** i; ...”
    - And not “\forall **int** i; ...”
    - It simplifies generated Verification Condition (not need to add restrictions on int range)

# Case 3: find() does not modify a[]

---

- Would it be a **valid** find()?

```
int find(int n, int a[], int v) {
    if (n > 0) {
        a[0] = v;
        return 0;
    } else
        return -1;
}
```

- We can express it formally
  - **assigns \nothing;**
  - Note: “**const**” expressed it formally but Frama-C does **not understand** “const”

# Case 4: valid input and returned values

---

- **Informal** specification
  - “Array a[] is of size n, value v and n are integers”
- **Formal** specification?
  - **requires** `0 <= n && \valid(a+(0..n-1));`
- **Informal** specification
  - “find() result is between -1 and n (excluded)
- **Formal** specification?
  - **ensures** `-1 <= \result < n;`

# Wrap-up: find() formal contract

---

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
   assigns \nothing;
   ensures \result == -1
       ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
   ensures 0 <= \result < n ==> a[\result] == v;
   ensures -1 <= \result < n;
*/

```

# find() code

---

- **DEMO:** how to **prove** find() code?
  - “frama-c-gui -wp -wp-rte find.c”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
   assigns \nothing;
   ensures \result == -1
       ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
   ensures 0 <= \result < n ==> a[\result] == v;
   ensures -1 <= \result < n;
*/
int find(int n, const int a[], int v) {
    int i;

    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

# Loops: how to handle them?

---

- Main rule: **loops** are “**opaque**”
  - So one needs to **add** needed **annotations** to help automatic provers prove desired properties
  - loop **invariant**, loop **assigns**, loop **variant**
- Loop **invariant**: property always true in a loop
  - Should be **true** at loop **entry**
  - Should be **true** at each loop **iteration**
    - Even if **no** iterations are possible
  - Should be true at loop **exit**

# Example of loop invariant (1/2)

- “Loop index is between **0** and **n** (inclusive)”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
   assigns \nothing;
   ensures \result == -1
      ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
   ensures 0 <= \result < n ==> a[\result] == v;
   ensures -1 <= \result < n;
*/
int find(int n, const int a[], int v) {
    int i;

    /*@
     * loop invariant 0 <= i <= n;
     */
    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

# Example of loop invariant (2/2)

- “Up to index  $i$ , value  $v$  is still **not found**”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
   assigns \nothing;
   ensures \result == -1
       ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
   ensures 0 <= \result < n ==> a[\result] == v;
   ensures -1 <= \result < n;
*/

```

```
int find(int n, const int a[], int v) {
    int i;

    /*@
        loop invariant 0 <= i <= n;
        loop invariant \forall integer j; 0 <= j < i ==> a[j] != v;
    */

    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

We build progressively  
the desired property

# Loop assigns and loop variant

---

- Loop **assigns**: what is assigned within the loop
- Loop **variant**: to prove **termination**
  - Show a metric **strictly decreasing** at each loop iteration and **bounded** by 0

```
int find(int n, const int a[], int v) {
    int i;

    /*@ loop invariant 0 <= i <= n;
     * loop invariant \forall integer j; 0 <= j < i ==> a[j] != v;
     * loop assigns i;
     * loop variant n - i;
    */
    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

# find() final proved code

---

- “frama-c-gui -wp -wp-rte find-proved.c”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
   assigns \nothing;
   ensures \result == -1
      ==> (\forall integer i; 0 <= i < n ==> a[i] != v);
   ensures 0 <= \result < n ==> a[\result] == v;
   ensures -1 <= \result < n;
*/
int find(int n, const int a[], int v) {
    int i;

    /*@ loop invariant 0 <= i <= n;
       loop invariant \forall integer j; 0 <= j < i ==> a[j] != v;
       loop assigns i;
       loop variant n - i; */
    for (i=0; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }

    return -1;
}
```

# A note on proof with WP

- More annotations than code!
  - 8 lines of code
  - 10 lines of annotations
- Because what we prove is complicated
  - A loop, in all possible cases!
- It corresponds to exhaustive test!

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
assigns \nothing;
ensures \result == -1
==> (\forall integer i; 0 <= i < n ==> a[i] != v);
ensures 0 <= \result < n ==> a[\result] == v;
ensures -1 <= \result < n;
*/
int find(int n, const int a[], int v){
    int i;

/*@ loop invariant 0 <= i <= n;
loop invariant \forall integer j; 0 <= j < i ==> a[j] != v;
loop assigns i;
loop variant n - i; */
for (i=0; i < n; i++) {
    if (a[i] == v) {
        return i;    }
}
return -1;
}
```



# ■ BEHAVIORS: CLEAN CONTRACTS

# **find()** contract using behaviors

- “frama-c-gui -wp -wp-rte find-behavior.c”

```
/*@ requires 0 <= n && \valid(a+(0..n-1));
assigns \nothing;
```

**behavior found:**

```
assumes \exists integer i; 0 <= i < n && a[i] == v;
ensures a[\result] == v;           In that case return the correct index
```

**behavior not\_found:**

```
assumes \forall integer i; 0 <= i < n ==> a[i] != v;
ensures \result == -1;           In that case return -1
```

**complete behaviors;**  
**disjoint behaviors;**

\*/

*We cover all behaviors*  
*All behaviors consider different cases*

# How to write clean contracts?

---

- Important to write **clean** contracts
  - Improve **readability**: contract is a readable **specification**
    - Help **understand** the code (e.g. in code review)
    - But such specification can be **mechanically** checked!
      - **No** more out-dated comments
  - Help proofs
- “**Behaviors**” can be used to separate several cases
  - **Name** each behavior
  - Give a “**sub-contract**” for each behavior
    - assumes, requires, ensures
- **Bonus**: one can additionally **check** that all behaviors...
  - ...Cover **all** possible inputs (**complete** behaviors)
  - ...Cover **different** cases (**disjoint** behaviors)

# Side note: \exists and \forall operators

- To express something over a **range** of values
- Examples

- `int a[5] = {1, 5, 3, 2, 1};`
- `\exists integer i; 0 <= i < 5 && a[i] == 1;`

i	-1	0	1	2	3	4	5
a[i]	?	1	5	3	2	1	?
0 <= i < 5	x	✓	✓	✓	✓	✓	x
a[i] == 1	x	✓	x	x	x	✓	x

- `\forall integer i; 0 <= i < 5 ==> a[i] != 4;`

i	-1	0	1	2	3	4	5
a[i]	?	1	5	3	2	1	?
0 <= i < 5	x	✓	✓	✓	✓	✓	x
a[i] != 4	x	✓	✓	✓	✓	✓	x

# Side note: opposite expressions

- **Opposite** expressions: 1<sup>st</sup> example

– `int a[5] = {1, 5, 3, 2, 1};`

`\exists index i; a[i] == 1`  
`\forall index i; a[i] != 1`

i	0	1	2	3	4
a[i]	1	5	3	2	1
a[i] == 1	✓	✗	✗	✗	✓
a[i] != 1	✗	✓	✓	✓	✗

True ✓  
False ✗

- Still **opposite** expressions (with proper indexing)
  - `\exists integer i; 0 <= i < n && a[i] == v;`  
vs.  
`\forall integer i; 0 <= i < n ==> a[i] != v;`

# Homework: LRU Cache (lruCache\_0.c)

```
void enqueue(int* queue, int size, int page){  
    int pos = -1; // position of page in queue  
    int i = 0;  
  
    for(; i<size; ++i){  
        if(queue[i] == page){  
            pos = i;  
            break;  
        }  
    }  
  
    int start = -1;  
  
    if(pos!=-1){  
        start = pos+1;  
        queue[pos] = -1;  
    }  
    else{  
        start = 1;  
    }  
  
    i = start;  
    for(; i<size; ++i){  
        queue[i-1] = queue[i];  
        queue[i] = -1;  
    }  
    queue[size-1] = page;  
}
```

```
/*@ predicate Unique{L}(int *a, integer size) =  
    \forall integer i,j; 0 <= i < j < size && a[i] != -1 &&  
    a[j] != -1 ==> a[i] != a[j] ;  
  
requires 0 < size < 2147483645 && page >= 0;  
requires \valid( queue+(0..size-1) );  
requires Unique(queue, size);  
ensures queue[size-1] == page;  
ensures Unique(queue, size);  
*/
```