# ML 2023 - Exercise 3 Reinforcement Learning

Group 26 Börner, Direktor, Mayer

## Implementation: Overview

- We implemented MC Exploring Starts (ES) and MC First Visits (FV)
- We used python for implementation
- We relied on the following existing libraries:
  - numpy (mathematical functionality and utility)
  - random (mathematical functionality)
  - pygame (game visualization)
- File structure:
  - Breakout\_Class (game logic)
  - Monte\_Carlo\_Agent (agent logic)
  - train\_MC\_Agent (training logic)
  - RL\_run (main script)
  - o renderer, visualizer (displaying the live game and game information)

#### Implementation: Training

- Hyperparameter:
  - Gamma = 0.9 (to emphasize long term decision making)
- State: A specific state of the game, consisting of ball position, direction & speed, paddle position & speed and brick layout.
- At each timestep, the agent chooses an action (i.e. paddle movement) that maximizes the expected reward
- The reward decreases by 1 for each timestep (no other rewards are given)
- We update rewards and epsilon each time the agent finishes the game successfully, or after the agent runs out of time.

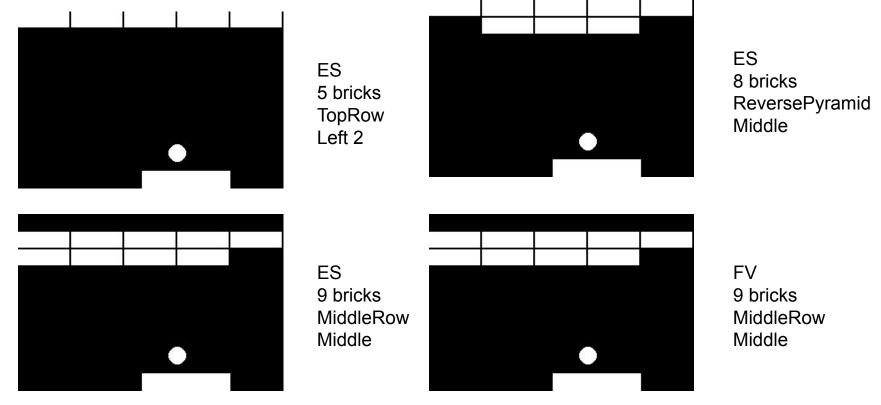
#### Implementation: Agent Versions

- Exploring starts (ES):
  - At the start of each episode, we randomly set the state of the game and the first action of the agent
  - Each available action has the same probability to be selected in the beginning
  - For the rest of the episode the agent behaves Greedy
- First visit (FV):
  - We estimate value functions
  - Optimal policies are determined by averaging returns from the first visit of each state-action pair in an episode
  - An epsilon-greedy strategy is incorporated to balance exploration and exploitation and ensures efficient learning by the agent
  - Epsilon is reduced after each episode (down to 0.05), making agent less likely to explore

#### **Experiments: Variations**

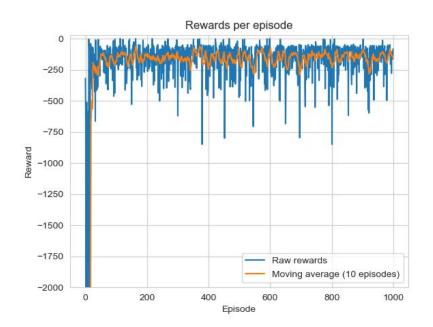
- We used (15,10) as default grid size
- We experimented with the following layouts:
  - TopRow (classic Breakout layout)
  - MiddleRow (equally sized rows shifted towards the middle of the grid)
  - ReversePyramid (reversed pyramid that gets narrower towards the middle of the grid)
- We also experimented with the following parameters:
  - Number of episodes (100, 1000, 10000)
  - Maximum timesteps (100, 1000, 10000)
  - Number of bricks (5, 8, 9)
  - Starting direction (-2, -1, 0, 1, 2)

# Experiments: Trajectories

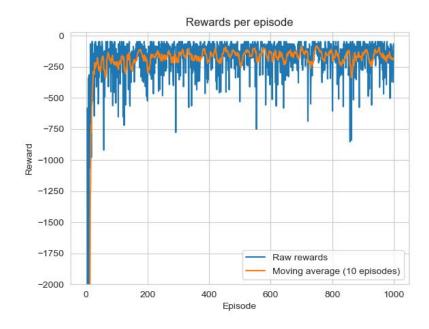


# Experiments: Exploring starts vs. First Visit

Exploring starts (both TopRow, 5 bricks, 1000 episodes, 10000 timesteps)

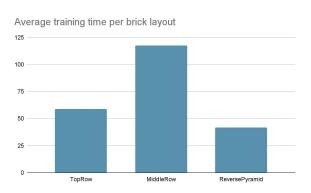


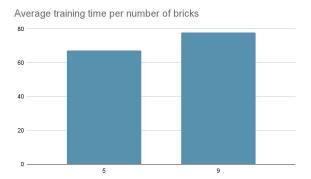
First Visit

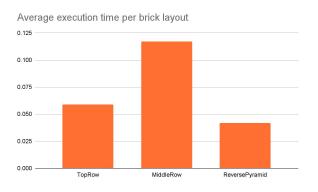


# Experiments: Execution and training time









#### Findings

- Agents do not improve when trained on less than 10000 timesteps
- The agent learns to utilize the upper boundary bounce:
  - Deflecting the ball on the upper boundary making it hit the bricks on the top, bouncing against the upper boundary again
  - Very efficient in beating the game quickly, since it effectively removes several bricks with one action
- Runtime:
  - ES Agents takes longer to train than FV agents
  - Training and execution time for MiddleRow layout takes the longest
  - Number of bricks increases training time
- Agents struggle to beat the MiddleRow layout, because they can't utilize the upper boundary bounce