#### Data Structures

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April 7, 2023

#### Outline

- Binary Search Trees. Operations. Randomization.
- AVL trees.
- Splay trees
- Red-black trees

- A binary search tree implements of a dynamic set
  - ▶ over a totally ordered domain

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- Interface
  - ► Tree-Insert(T, k) adds a key k to the dictionary D
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  - ightharpoonup Tree-Search(T, x) tells whether D contains a key k

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  - ► tree-walk: Inorder-Tree-Walk(T), etc.

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- ▶ tree-walk: Inorder-Tree-Walk(T), etc.
- ▶ Tree-Minimum(T) finds the smallest element in the tree
- ► Tree-Maximum(T) finds the largest element in the tree
- ▶ iteration: Tree-Successor(x) and Tree-Predecessor(x) find the successor and predecessor, respectively, of an element x

- Implementation
  - ▶ T represents the tree, which consists of a set of nodes

#### • Implementation

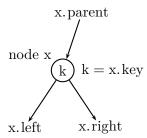
- ▶ T represents the tree, which consists of a set of nodes
- ► T.root is the root node of tree T

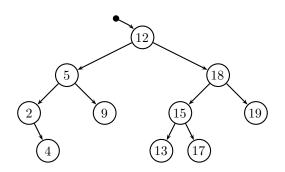
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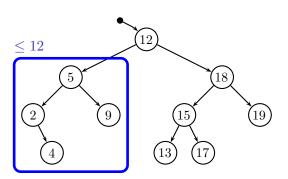
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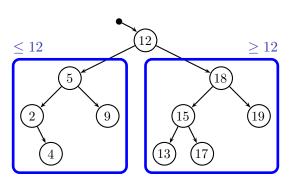
#### Node x

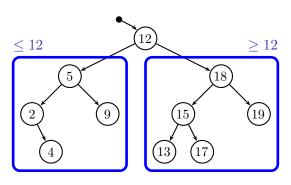
- x. parent is the parent of node x
- x. key is the key stored in node x
- x. left is the left child of node x
- x.right is the right child of node x



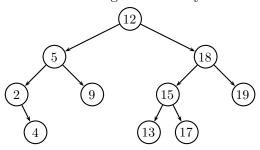


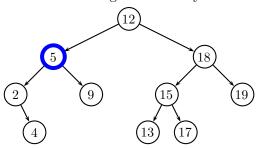


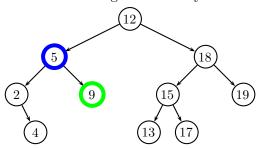


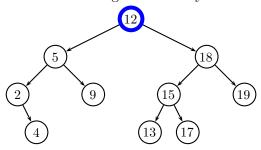


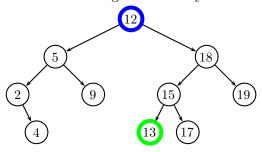
- Binary-search-tree property
  - ▶ for all nodes x, y, and z
  - ▶  $y \in \text{left-subtree}(x) \Rightarrow y.\text{key} \leq x.\text{key}$
  - $ightharpoonup z \in right\text{-subtree}(x) \Rightarrow z. \text{key} \geq x. \text{key}$



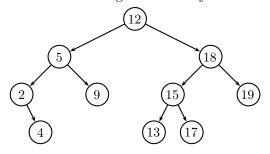




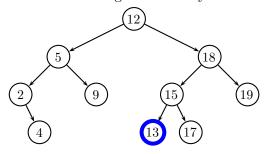




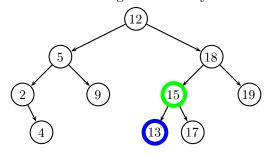
• Given a node x, find the node containing the next key value



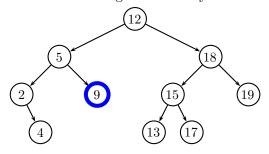
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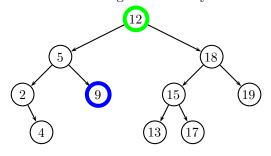
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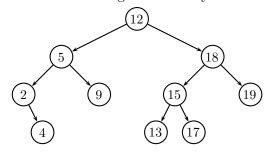


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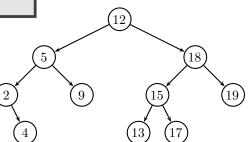




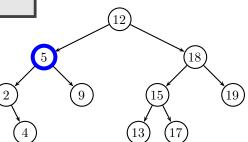
- The successor of x is the minimum of the right subtree of x, if that exists
- Otherwise it is the first ancestor a of x such that x falls in the left subtree of a

```
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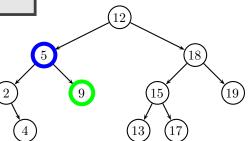
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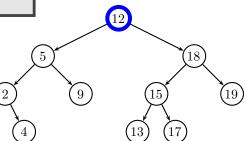
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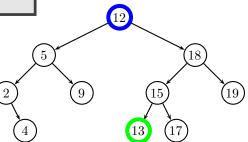
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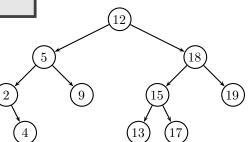
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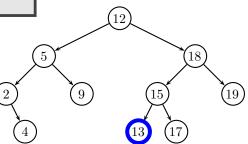
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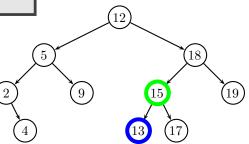
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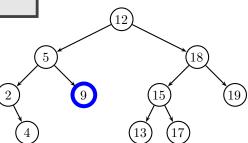
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## Successor and Predecessor(2)

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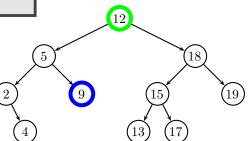
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### Search

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 \begin{array}{ccc} \operatorname{Tree-Search}(x,k)1 & \operatorname{if}\ x = \operatorname{nil}\ \operatorname{or}\ k = x.\operatorname{key} \\ 2 & \operatorname{return}\ x \\ 3 & \operatorname{if}\ k < x.\operatorname{key} \\ 4 & \operatorname{return}\ \operatorname{Tree-Search}(x.\operatorname{left},k) \\ 5 & \operatorname{else}\ \operatorname{return}\ \operatorname{Tree-Search}(x.\operatorname{right},k) \\ \end{array}
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$$T(n) = \Theta(\text{depth of the tree})$$

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Search (2)

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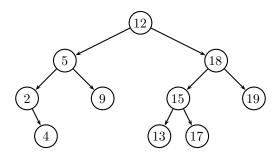
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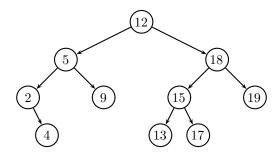
```
 \begin{array}{ll} \text{Iterative-Tree-Search}(T,k) 1 & x = T. \text{root} \\ 2 & \text{while } x \neq \text{nil} \land k \neq x. \text{key} \\ 3 & \text{if } k < x. \text{key} \\ 4 & x = x. \text{left} \\ 5 & \text{else } x = x. \text{right} \\ 6 & \text{return } x \\ \end{array}
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#### Insertion

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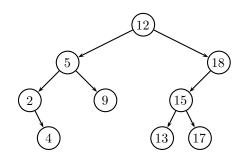


#### • Idea

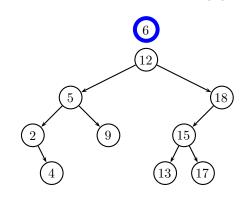
- ightharpoonup in order to insert x, we search for x (more precisely x.key)
- ▶ if we don't find it, we add it where the search stopped

```
Tree-Insert(T, z) 1 y = nil
                 2 \quad x = T.root
                    while x \neq nil
                        y = x
                 5
                   if z. key < x. key
                 6
                             x = x.left
                         else x = x.right
                    z.parent = y
                    if y = nil
                10
                         T.root = z
                    else if z.key < y.key
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                12
                         else y.right = z
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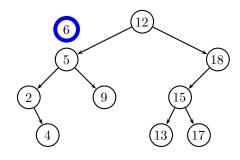
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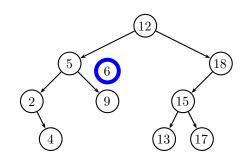
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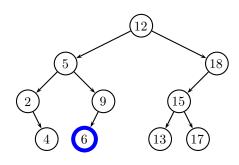
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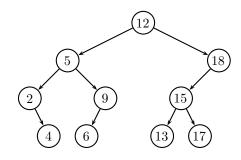
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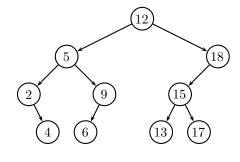
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  - ▶ the problem is that the "worst" case is not that uncommon
- Idea: use randomization to turn all cases in the average case

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  - ▶ problem: A is not necessarily known in advance
- Idea 2: we can obtain a random permutation of the input sequence by randomly alternating two insertion procedures
  - ▶ tail insertion: this is what Tree-Insert does
  - ▶ head insertion: for this we need a new procedure Tree-Root-Insert
    - ★ inserts n in T as if n was inserted as the first element

```
 \begin{array}{lll} \text{Tree-Randomized-Insert1}(T,z) 1 & r = \text{uniformly rand. val. from } \{1,\dots,t.\text{size}+1\} \\ 2 & \text{if } r=1 \\ 3 & \text{Tree-Root-Insert}(T,z) \\ 4 & \text{else Tree-Insert}(T,z) \end{array}
```

```
 \begin{array}{lll} {\rm Tree\text{-}Randomized\text{-}Insert1}(T,z)1 & r = uniformly \ rand. \ val. \ from \ \{1,\dots,t.size+1\} \\ & 2 & {\rm if} \ r=1 \\ & 3 & {\rm Tree\text{-}Root\text{-}Insert}(T,z) \\ & 4 & {\rm else} \ {\rm Tree\text{-}Insert}(T,z) \end{array}
```

- Does this really simulate a random permutation?
  - ▶ i.e., with all permutations being equally likely?

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- It is true that any node has the same probability of being inserted at the top

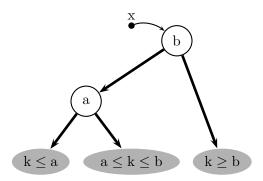
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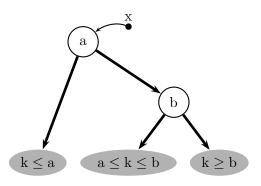
- Does this really simulate a random permutation?
  - i.e., with all permutations being equally likely?
  - ▶ no, clearly the last element can only go to the top or to the bottom
- It is true that any node has the same probability of being inserted at the top
  - this suggests a recursive application of this same procedure

```
Tree-Randomized-Insert(t, z)
                            1 if t = nil
                                     return z
                               r = uniformly random value from \{1, ..., t. size + 1\}
                                                // \Pr[r = 1] = 1/(t.size + 1)
                             4 if r = 1
                                    z.size = t.size + 1
                                    return Tree-Root-Insert(t, z)
                                if z.key < t.key
                                     t.left = Tree-Randomized-Insert(t.left, z)
                                else t.right = Tree-Randomized-Insert(t.right, z)
                                t.size = t.size + 1
                            11
                                return t
```

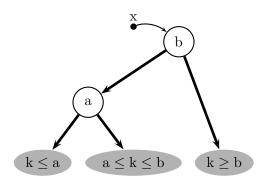
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                                t.size = t.size + 1
                            11
                                return t
```

• Looks like this one really simulates a random permutation...

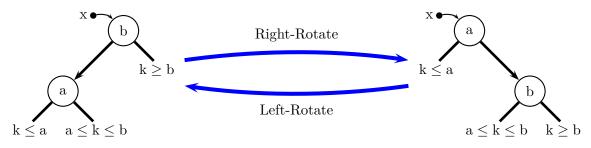




• x = Right-Rotate(x)

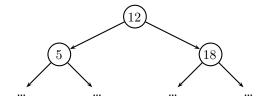


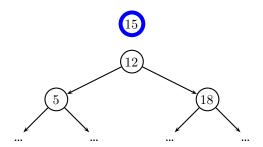
- x = Right-Rotate(x)
- x = Left-Rotate(x)

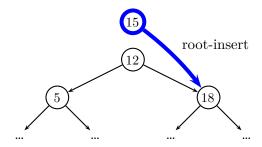


$$\begin{aligned} \text{Right-Rotate(x)1} & \quad l = x. \text{left} \\ 2 & \quad x. \text{left} = l. \text{right} \\ 3 & \quad l. \text{right} = x \\ 4 & \quad \text{return } l \end{aligned}$$

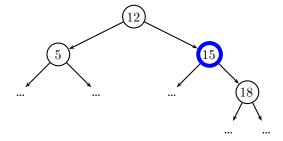
Left-Rotate(x)1 
$$r = x.right$$
  
2  $x.right = r.left$   
3  $r.left = x$   
4  $return r$ 



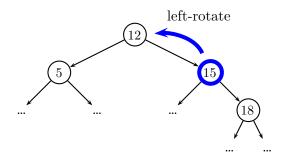




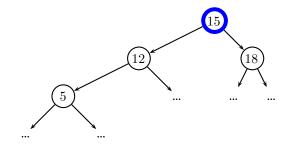
1. Recursively insert z at the root of the appropriate subtree (right)



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- 2. Rotate x with z (left-rotate)



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## Root Insertion (2)

```
\label{eq:cot-nsert} \begin{array}{ll} \operatorname{Tree-Root-Insert}(x,z)1 & \operatorname{if}\ x = \operatorname{nil} \\ 2 & \operatorname{return}\ z \\ 3 & \operatorname{if}\ z.\operatorname{key} < x.\operatorname{key} \\ 4 & x.\operatorname{left} = \operatorname{Tree-Root-Insert}(x.\operatorname{left},z) \\ 5 & \operatorname{return}\ \operatorname{Right-Rotate}(x) \\ 6 & \operatorname{else}\ x.\operatorname{right} = \operatorname{Tree-Root-Insert}(x.\operatorname{right},z) \\ 7 & \operatorname{return}\ \operatorname{Left-Rotate}(x) \end{array}
```

#### Observation

• General strategies to deal with complexity in the worst case

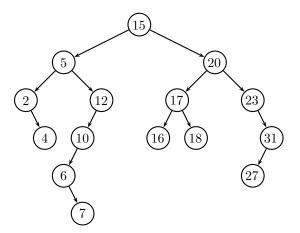
#### Observation

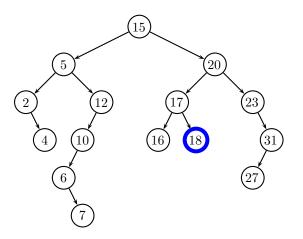
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  - ▶ randomization: turns any case into the average case
    - ★ the worst case is still possible, but it is extremely improbable

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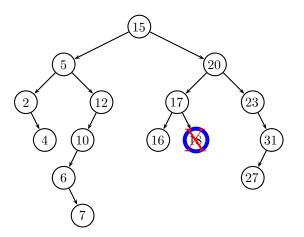
- General strategies to deal with complexity in the worst case
  - ▶ randomization: turns any case into the average case
    - $\star$  the worst case is still possible, but it is extremely improbable
  - ▶ amortized maintenance: e.g., balancing a BST or resizing a hash table
    - $\star$  relatively expensive but "amortized" operations

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  - ▶ amortized maintenance: e.g., balancing a BST or resizing a hash table
    - $\star$  relatively expensive but "amortized" operations
  - ▶ optimized data structures: a self-balanced data structure
    - ★ guaranteed O(log n) complexity bounds



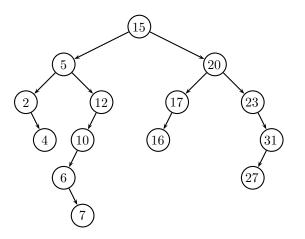


#### 1. z has no children



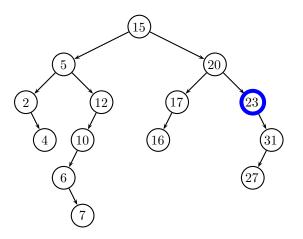
#### 1. z has no children

▶ simply remove z

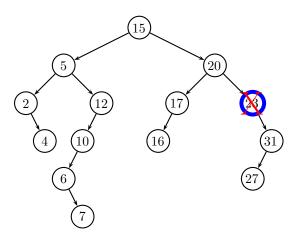


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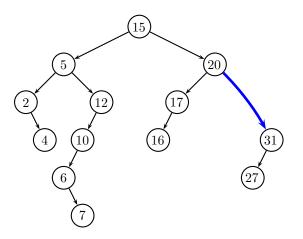
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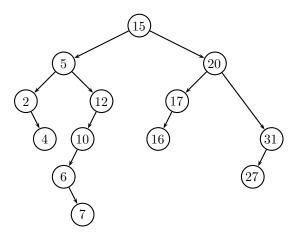
- 1. z has no children
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- 2. z has one child



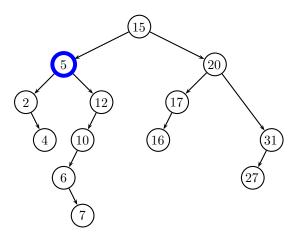
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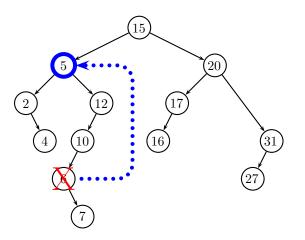
- 1. z has no children
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  - ► connect z.parent to z.right



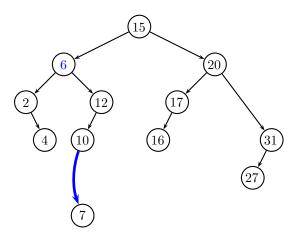
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Tree-Delete(T, z) 1 if z.left = nil or z.right = nil
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                  5
                          x = y.left
                     else x = y.right
                     if x \neq nil
                          x.parent = y.parent
                      if y.parent == nil
                 10
                          T.root = x
                      else if y = y. parent. left
                 12
                               y.parent.left = x
                 13
                          else y. parent. right = x
                 14
                     if y \neq z
                 15
                         z. key = y. key
                 16
                          copy any other data from y into z
```

- Want: data structure to support INSERT, DELETE, SEARCH in O(log n) time.
- Binary search trees: insert, delete, search.
- But complexity bound not met unless trees balanced.
- Can rebalance.

Next: three self-adjusting trees, finally meet the O(log n) bound: AVL trees, splay trees, red-black-trees.

## Summary on Binary Search Trees

- Binary search trees
  - ▶ embody the divide-and-conquer search strategy
  - ► Search, Insert, Min, and Max are O(h), where h is the height of the tree
  - in general,  $h(n) = \Omega(\log n)$  and h(n) = O(n)
  - ► randomization can be used to make the worst-case scenario h(n) = n highly unlikely

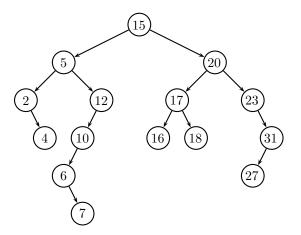
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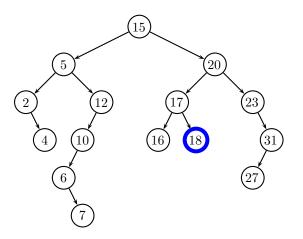
#### • Binary search trees

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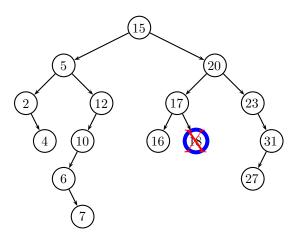
#### • Problem

- worst-case scenario is unlikely but still possible
- ▶ simply bad cases are even more probable



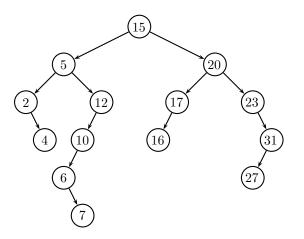


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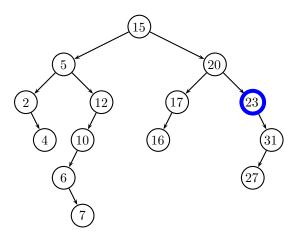
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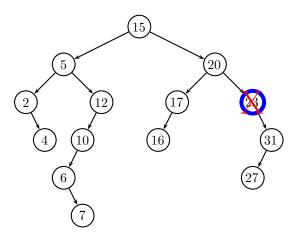


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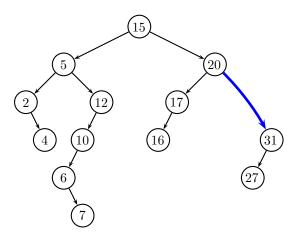
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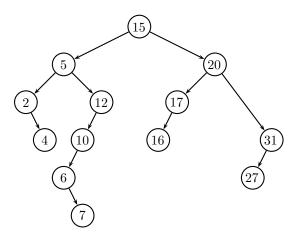
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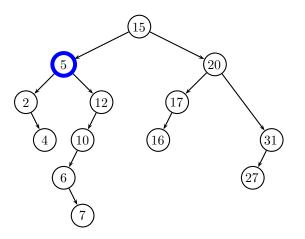
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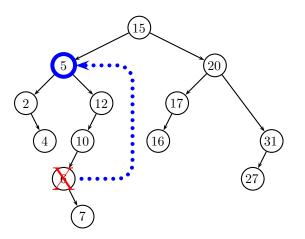
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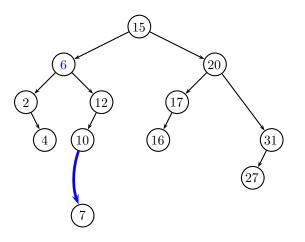
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                 14
                     if y \neq z
                 15
                         z. key = y. key
                 16
                          copy any other data from y into z
```

- Why study all this stuff?
- Linked list: search linear.
- Balanced binary trees: search logarithmic.
- For frequent searches it pays.
- Advantage: as long as trees "approximately balanced".
- But: operations (inserts/deletes) can destroy balance.

#### Self-balancing trees

If insertion/deletion unbalances the tree, rebalance it.

## Why three of them?

- AVL trees: more strictly balanced than R-B trees. Better for lookup intensive programs.
- For an insert intensive tasks, use a Red-Black tree.
- Simplicity of implementation: splay trees > red-black trees > AVL trees.
- Splay trees: only O(log n) amortized.
- Splay trees: suitable for cases where there are large number of nodes but only few of them are accessed frequently.
- Splay trees: more memory-efficient than AVL trees, because they do not need to store balance information in the nodes.
- AVL trees: more useful in multithreaded environments with lots of lookups, because lookups in an AVL tree can be done in parallel.
- Benchmarking: AVL trees more than 20% faster than R-B trees in "real-life" benchmarkis

## Why three of them?

- treaps
- T-trees
- tango trees

... many other! (But this is an introduction)

## You can invent your own trees ...

Tango trees: A type of binary search tree proposed by Erik D. Demaine, Dion Harmon, John Iacono, and Mihai Pãtraşcu in 2004.

They work by partitioning a BST into a set of preferred paths, which are themselves stored in auxiliary trees (so the tango tree is represented as a tree of trees)

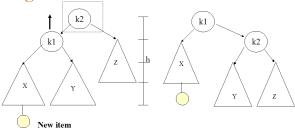
## In practice

- red-black trees:
  - 1 Java: java.util.TreeMap , java.util.TreeSet .
  - 2 C++ STL: map, multimap, multiset.
  - 3 Linux kernel: completely fair scheduler, linux/rbtree.h
- Splay trees: typically used in the implementation of caches, memory allocators, routers, garbage collectors, data compression, etc.
- Implementations of AVL trees, RB-trees, splay trees: not standardized. STL provides only minimal set of containers.

- Balancedness condition #1: left and right subtrees of the root have the same height. too weak.
- Balancedness condition #2: left and right subtrees of every node have the same height. too strong.
- AVL (Adelson-Velskii and Landis) trees: binary search trees that verify the following balancedness condition: for every node v the left and right subtrees of v have height differing by at most one.
- When a tree violates rule #3 a repair is done.
- The repair is done during insertions, as soon as rule #3 is violated.
- The repair is accomplished via "single" and "double" rotations.

## Single rotations

### Single Rotation

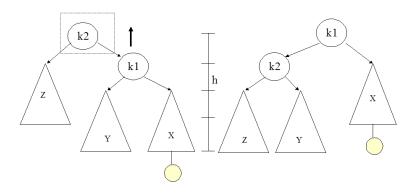


Suppose an item is added at the bottom of subtree X, thus causing an imbalance at k2. Then pull k1 up. Note that after the rotation, the height of the tree is the same as it was before the insertion.

## Single rotations

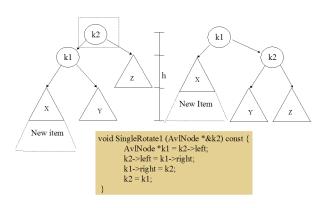
- Balance factor of a node: difference of heights between left and right subtrees.
- AVL trees: each node balance factor 0 or  $\pm 1$ .
- After single rotations, the new height of the entire subtree is exactly the same as the height of the original subtree prior to the insertion of the new data item that caused X to grow.
- Thus no further updating of heights on the path to the root is needed, and consequently no further rotations are needed.

## Single rotations: another example



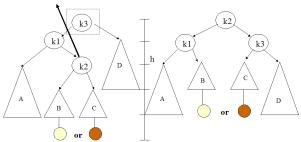
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# Single rotations: C++



#### Double rotations

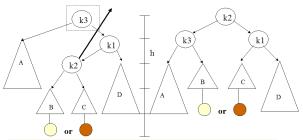
#### Double Rotation



Suppose an item is added below k2. This causes an imbalance at k3. Then pull k2 up. Note that after the rotation, the height of the tree is the same as it was before the insertion.

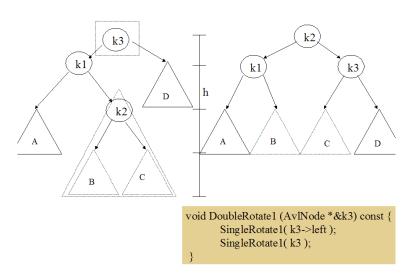
## Double rotations (II)

#### Another Double Rotation



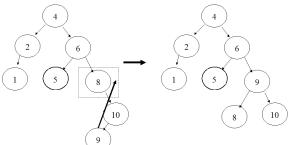
Suppose an item is added below k2. This causes an imbalance at k3. Then pull k2 up. Note that after the rotation, the height of the tree is the same as it was before the insertion.

### Double rotations: C++



# Using double rotations in practice

## An Example



Imbalance at node 8 solved with double rotation.

#### Which rotations to use?

- Recognizing which rotation you have to use is the hardest part.
  - Find the imbalanced node.
  - 2 Go down two nodes towards the newly inserted node.
  - 3 If the path is straight, use single rotation.
  - **1** If the path zig-zags, use double rotation.

## Deleting a node

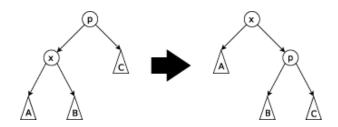
- Use deleteByCopying() to delete a node. This allows reducing the problem of deleting a node with two descendants to deleting a node with at most one descendant.
- After a node has been deleted, balance factors updated from the parent of the deleted node to the root.
- For each node whose balance becomes  $\pm 2$ , a single or double rotation has to be performed to restore balance of the tree.
- Deletion: at most O(log n) rotations.
- Deletion might improve balance factor of its parent.
- It may also worsen the balance factor of its grandparent.

- As with the single rotations, double rotations restore the height of the subtree to what it was before the insertion.
- This guarantees that all rebalancing and height updating is complete.
- AVL trees maintain balance of binary search trees while they are being created via insertions of data.
- An alternative approach is to have trees that readjust themselves when data is accessed, making often accessed data items move to the top of the tree (splay trees).

- Invented by Sleator and Tarjan (1985).
- to splay  $\sim$  to spread out.
- Self-balancing binary trees, simpler to implement than AVL, red-black trees.
- Additional property: recently accessed elements quick to access.
- Insertion, lookup, removal: O(log n) amortized time.
- That roughly means that the average price per operation in a long sequence of operations is O(log n).
- Fundamental operation: splaying. Rearranging the tree such that certain elemen brought at the top of the tree.

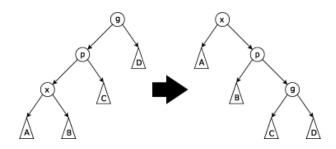
- When a node x is accessed, a splaying operation performed to bring it to the top.
- Composed of a sequence of splaying steps.
- Each splaying step brings x closer to the root.
- Steps depend on:
- Whether z is left or right child of its parent p.
- Whether p is root or not, and
- Whether p is left or right child of its parent g.
- Three types of splaying steps.

# First case: p is the root



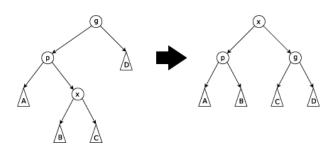
"zig": basically rotation.

Second case: p not the root, x, p both left or both right children



"Zigzig"

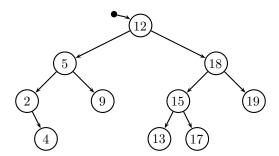
# Third case:p, x alternate sides

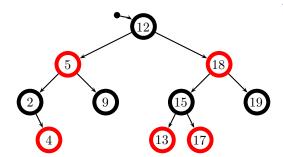


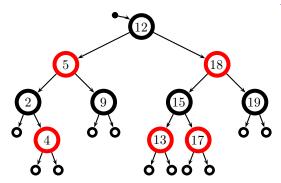
"Zigzag"

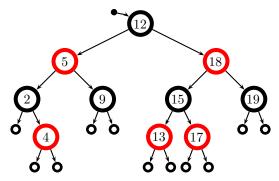
#### Splaying operations

- First case: rotation.
- All cases: actually two mirror-image cases (only one shown in picture).
- Advantages: more accessed nodes closer to root. Useful for implementing caches, garbage collection.
- Disadvantages: random access worse than for other balanced BST.
- Particularly bad: access elements in sorted order.

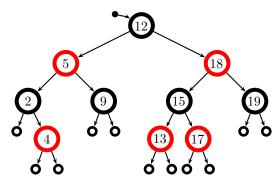




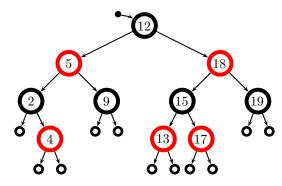




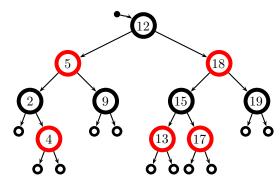
• Red-black-tree property



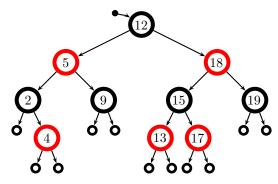
- Red-black-tree property
  - every node is either red or black



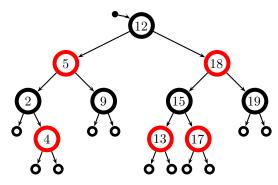
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- Red-black-tree property
  - every node is either red or black
  - 2 the root is black
  - every (NIL) leaf is black

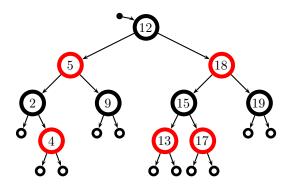


- Red-black-tree property
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  - 3 every (NIL) leaf is black
  - 1 if a node is red, then both its children are black

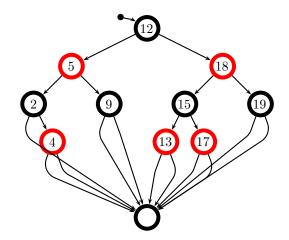


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#### • Implementation

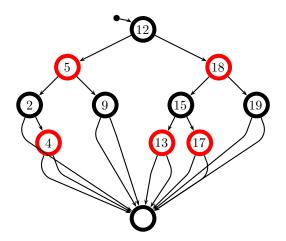


• Implementation



▶ we use a common "sentinel" node to represent leaf nodes

• Implementation



- we use a common "sentinel" node to represent leaf nodes
- ▶ the sentinel is also the parent of the root node

- Implementation
  - ▶ T represents the tree, which consists of a set of nodes

#### • Implementation

- ▶ T represents the tree, which consists of a set of nodes
- ► T.root is the root node of tree T

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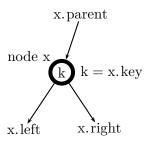
- ▶ T represents the tree, which consists of a set of nodes
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#### Nodes

- x. parent is the parent of node x
- x.key is the key stored in node x
- ▶ x.left is the left child of node x
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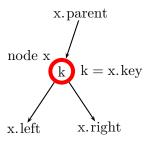


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- $ightharpoonup x.color \in \{red, black\}$  is the color of node x



Lemma: the height h(x) of a red-black tree with n = size(x) internal nodes is at most  $2 \log(n+1)$ .

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$$size(x) = size(y_1) + size(y_2) + 1 \ge (2^{bh(y_1)} - 1) + (2^{bh(y_2)} - 1) + 1$$

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since 
$$\begin{cases} bb(y) & \text{size}(y_1) + \text{size}(y_2) + 1 \ge (2 + 3 + 1) + (2 + 3 + 1) + 1 \\ bb(y) & \text{sif color}(y) = \text{rad} \end{cases}$$

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•  $size(x) \ge 2^{bh(x)} - 1$ 

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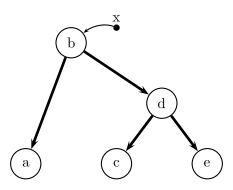
$$h \le 2\log(n+1)$$

- A red-black tree works as a binary search tree for search, etc.
- So, the complexity of those operations is T(n) = O(h), that is

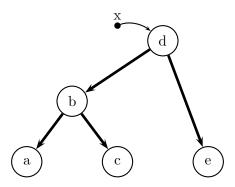
$$T(n) = O(\log n)$$

▶ which is also the worst-case complexity

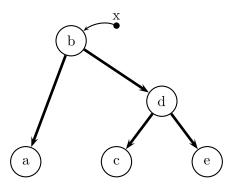
## Rotation



#### Rotation

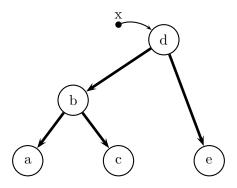


#### Rotation



• x = Right-Rotate(x)

#### Rotation



- x = Right-Rotate(x)
- x = Left-Rotate(x)

• RB-Insert(T, z) works as in a binary search tree

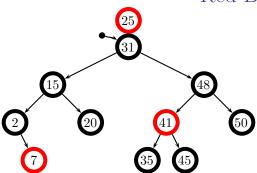
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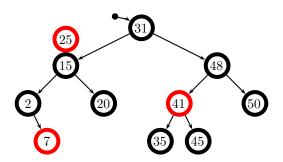
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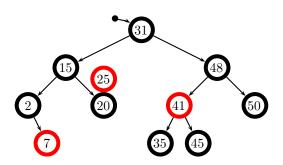
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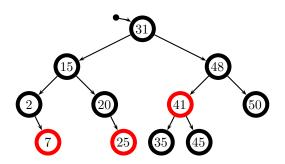
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- General strategy
  - $oldsymbol{0}$  insert z as in a binary search tree
  - 2 color z red so as to preserve property 5
  - 3 fix the tree to correct possible violations of property 4

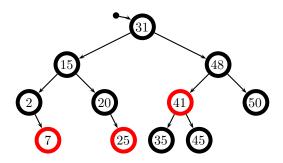
```
RB-Insert(T, z) 1 y = T.nil
                2 \quad x = T.root
                   while x \neq T. nil
                       y = x
                  if z. key < x. key
                           x = x.left
                       else x = x.right
                   z.parent = y
                   if y == T.nil
                       T.root = z
               10
                   else if z. key < y. key
               12
                        y.left = z
               13 else y.right = z
                   z.left = z.right = T.nil
                  z.color = red
               15
               16
                  RB-Insert-Fixup(T, z)
```



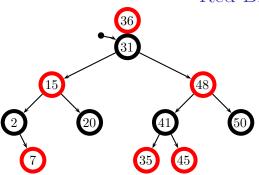


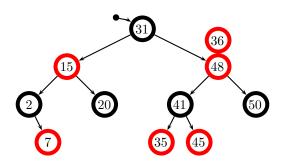


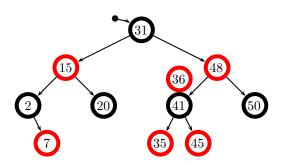


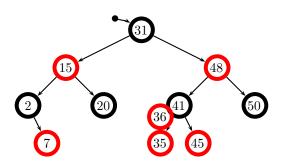


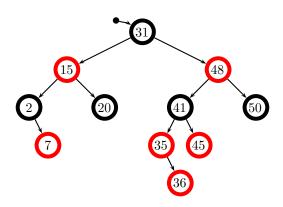
• z's father is black, so no fixup needed

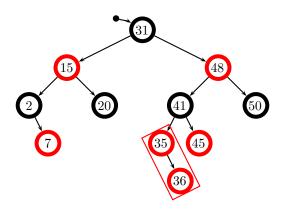


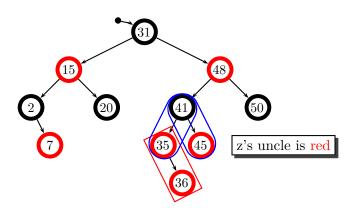


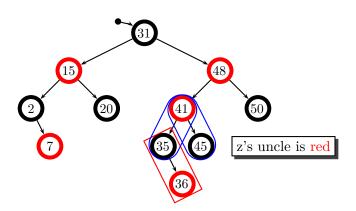


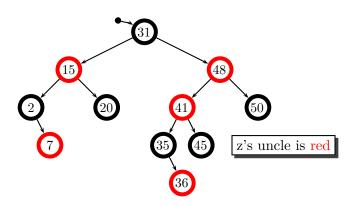


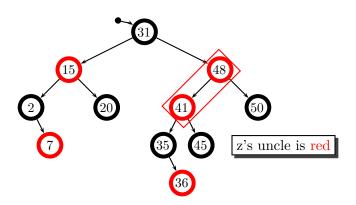


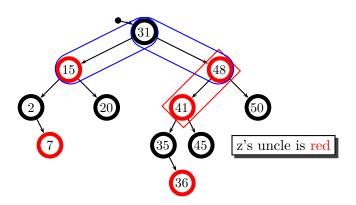


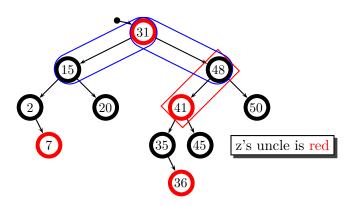


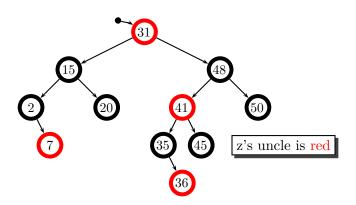


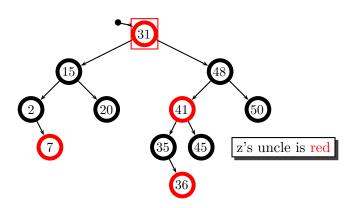


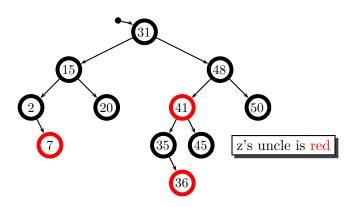


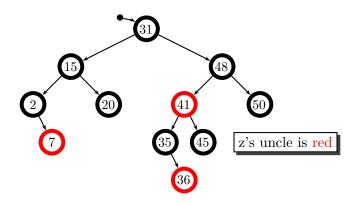




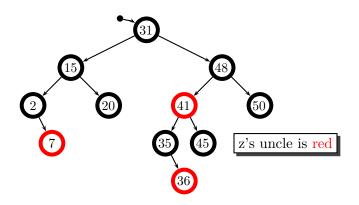




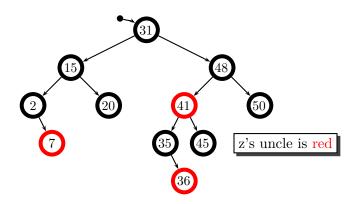




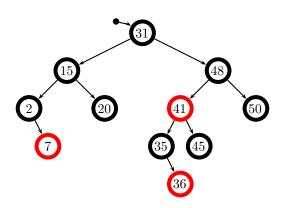
• A black node can become red and transfer its black color to its two children

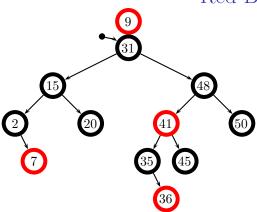


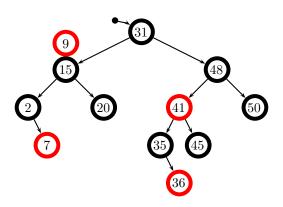
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- This may cause other red-red conflicts, so we iterate...

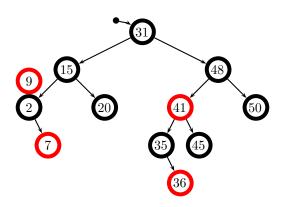


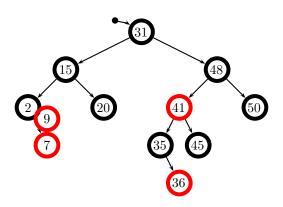
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- This may cause other red-red conflicts, so we iterate...
- The root can change to black without causing conflicts

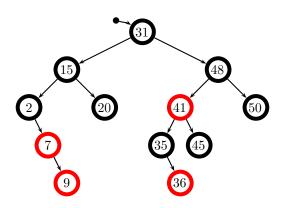


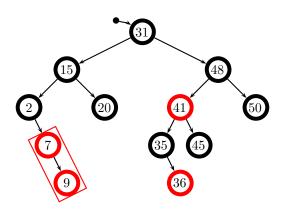


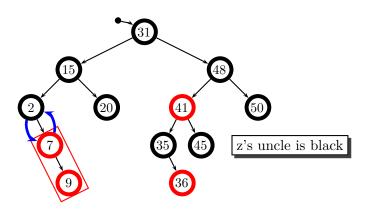


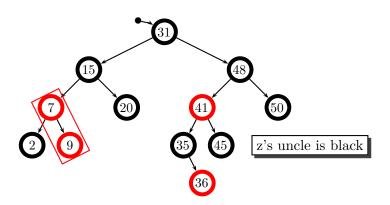


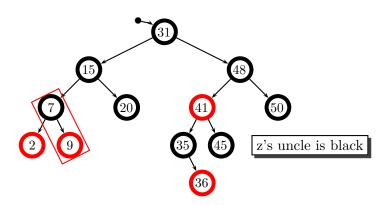


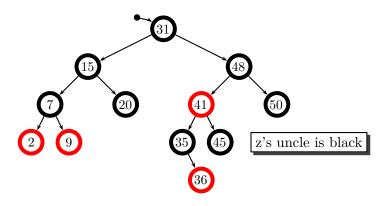




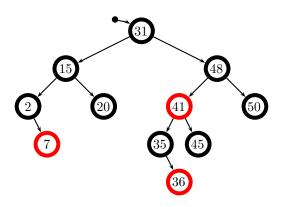


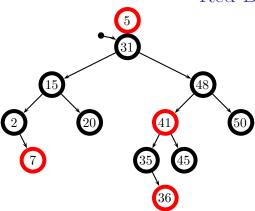


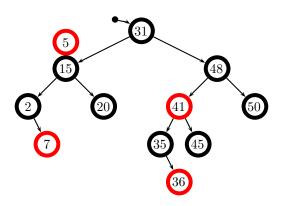


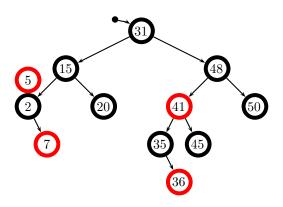


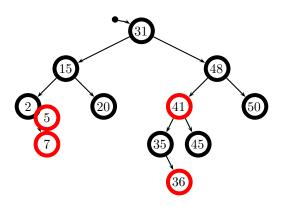
• An in-line red-red conflicts can be resolved with a rotation plus a color switch

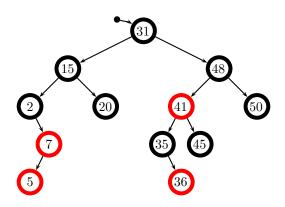


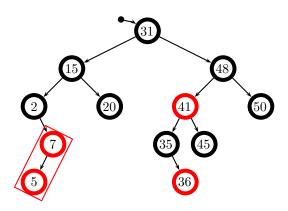


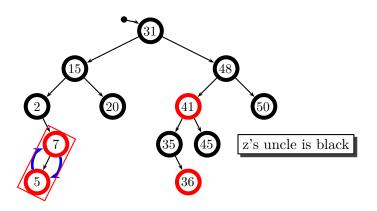


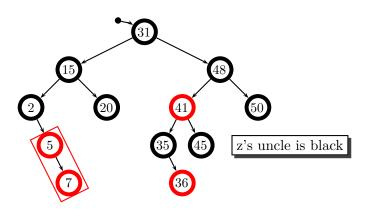


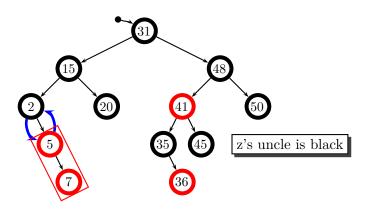


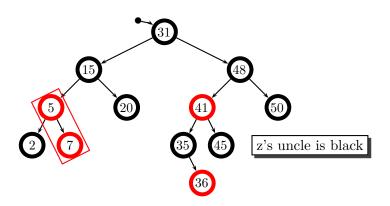


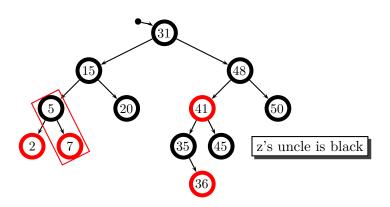


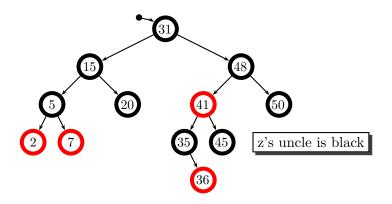




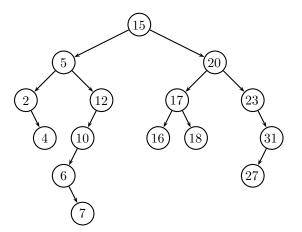


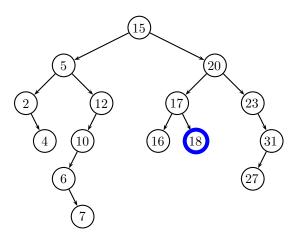




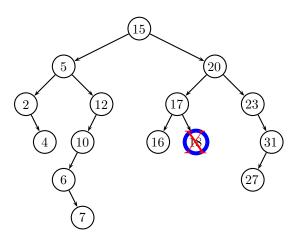


• A zig-zag red—red conflicts can be resolved with a rotation to turn it into an in-line conflict, and then a rotation plus a color switch

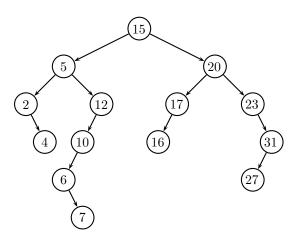




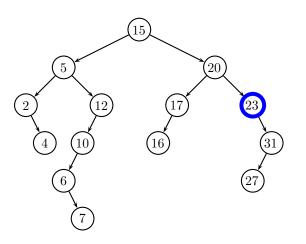
#### 1. z has no children



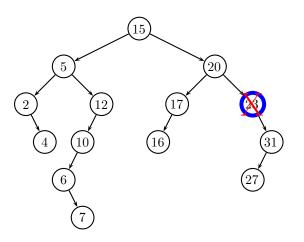
- 1. z has no children
  - ▶ simply remove z



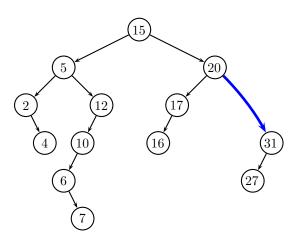
- 1. z has no children
  - ▶ simply remove z



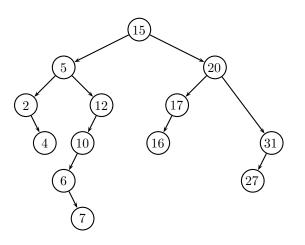
- 1. z has no children
  - $\blacktriangleright$  simply remove z
- 2. z has one child



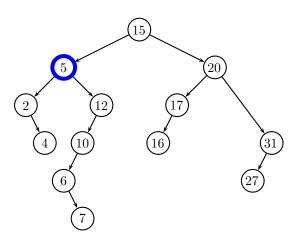
- 1. z has no children
  - $\blacktriangleright$  simply remove z
- 2. z has one child
  - remove z



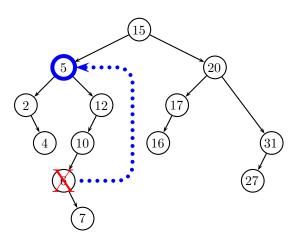
- 1. z has no children
  - ightharpoonup simply remove z
- 2. z has one child
  - ► remove z
  - ▶ connect z.parent to z.right



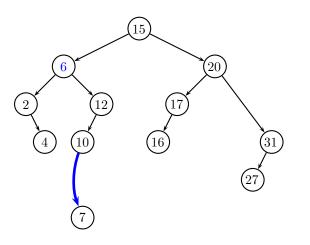
- 1. z has no children
  - ightharpoonup simply remove z
- 2. z has one child
  - ► remove z
  - ▶ connect z.parent to z.right



- 1. z has no children
  - ▶ simply remove z
- 2. z has one child
  - remove z
  - ► connect z.parent to z.right
- 3. z has two children



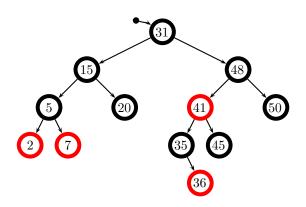
- 1. z has no children
  - ▶ simply remove z
- 2. z has one child
  - remove z
  - ► connect z.parent to z.right
- 3. z has two children
  - ► replace z with y = Tree-Successor(z)
  - ► remove y (1 child!)



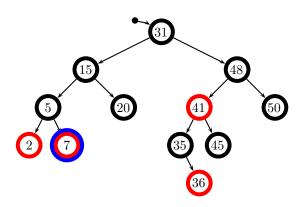
- 1. z has no children
  - ▶ simply remove z
- 2. z has one child
  - remove z
  - ► connect z.parent to z.right
- 3. z has two children
  - ► replace z with y = Tree-Successor(z)
  - remove y (1 child!)
  - connect y.parent to y.right

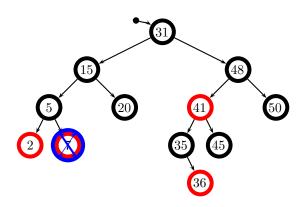
#### Red-Black Deletion

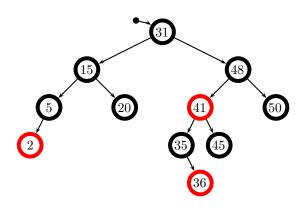
#### Red-Black Deletion

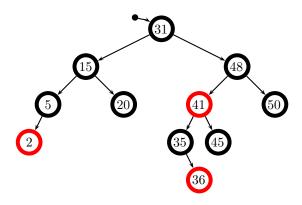


#### Red-Black Deletion

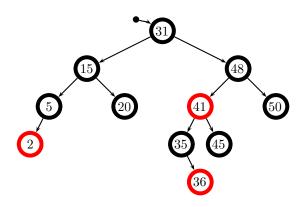




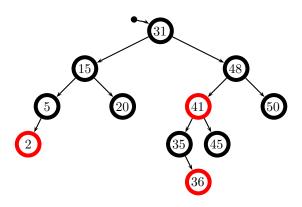




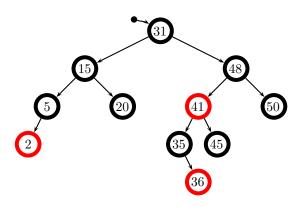
• A deleting a red leaf does not require any adjustment

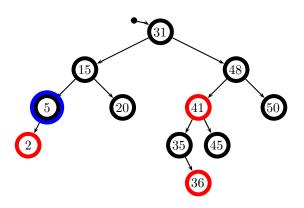


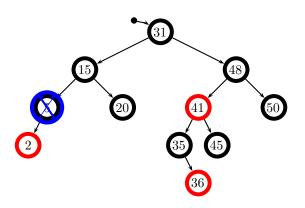
- A deleting a red leaf does not require any adjustment
  - ▶ the deletion does not affect the black height (property 5)

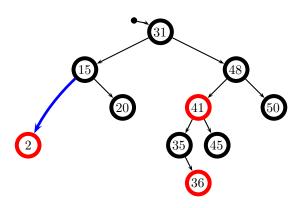


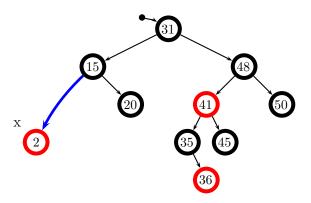
- A deleting a red leaf does not require any adjustment
  - ▶ the deletion does not affect the black height (property 5)
  - ▶ no two red nodes become adjacent (property 4)



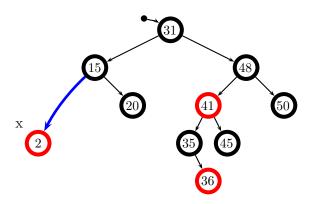




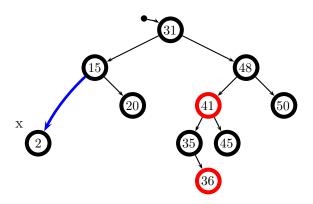




• Deleting a black node changes the balance of black-height in a subtree x



- Deleting a black node changes the balance of black-height in a subtree x
  - ▶ RB-Delete-Fixup(T, x) fixes the tree after a deletion



- Deleting a black node changes the balance of black-height in a subtree x
  - ▶ RB-Delete-Fixup(T, x) fixes the tree after a deletion
  - ▶ in this simple case: x.color = black

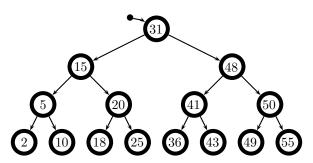
- y is the spliced node (y = z if z has zero or one child)
  - ▶ if y is red, then no fixup is necessary
  - ▶ so, here we assume that y is black

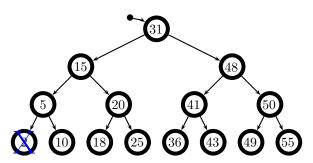
- y is the spliced node (y = z if z has zero or one child)
  - ▶ if y is red, then no fixup is necessary
  - ▶ so, here we assume that y is black
- x is either y's only child or T.nil
  - y was spliced out, so y can not have two children
  - ightharpoonup x = T. nil iff y has no (key-bearing) children

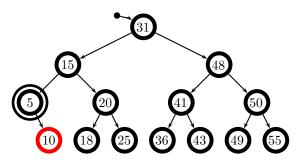
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- Problem 1: y = T.root and x is red
  - ▶ violates red-black property ?? (root must be black)

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- Problem 1: y = T.root and x is red
  - violates red-black property ?? (root must be black)
- Problem 2: both x and y parent are red
  - violates red-black property 4 (no adjacent red nodes)

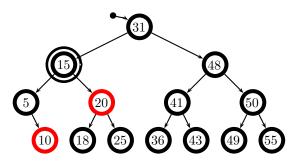
- y is the spliced node (y = z if z has zero or one child)
  - ▶ if y is red, then no fixup is necessary
  - ▶ so, here we assume that y is black
- x is either y's only child or T.nil
  - y was spliced out, so y can not have two children
  - $\mathbf{x} = \mathbf{T}$ . nil iff y has no (key-bearing) children
- Problem 1: y = T.root and x is red
  - violates red-black property ?? (root must be black)
- Problem 2: both x and y.parent are red
  - ▶ violates red-black property 4 (no adjacent red nodes)
- Problem 3: we are removing y, which is black
  - violates red-black property 5 (same black height for all paths)



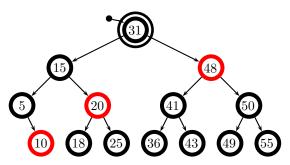




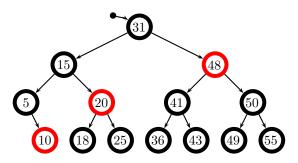
- x carries an additional black weight
  - ▶ the fixup algorithm pushes it up towards to root



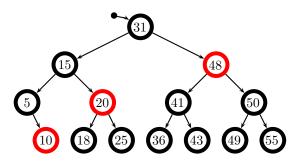
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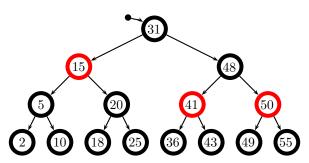
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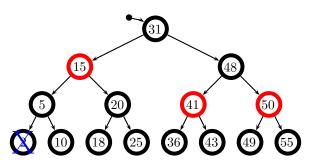


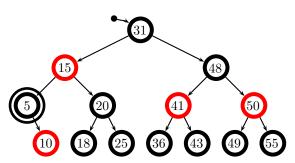
- x carries an additional black weight
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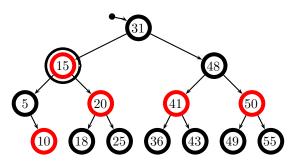


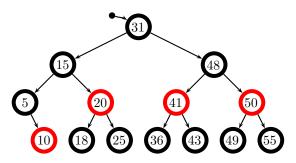
- x carries an additional black weight
  - ▶ the fixup algorithm pushes it up towards to root
- The additional black weight can be discarded if it reaches the root, otherwise...



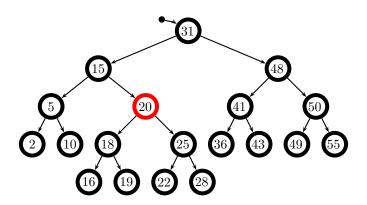


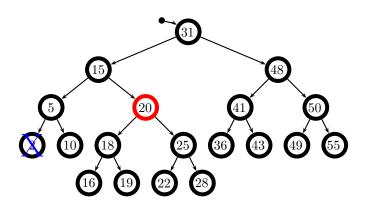


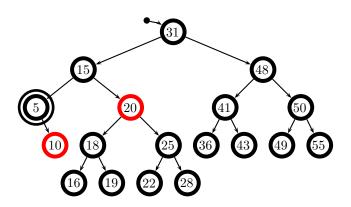


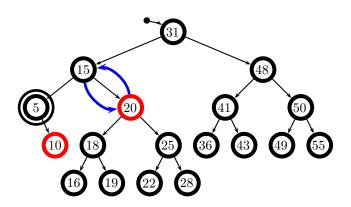


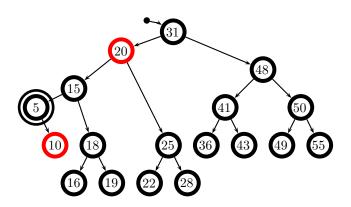
• The additional black weight can also stop as soon as it reaches a red node, which will absorb the extra black color

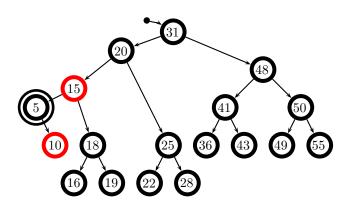




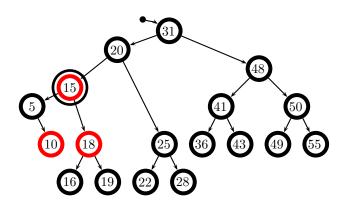




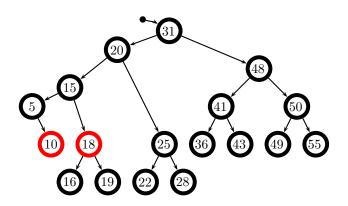




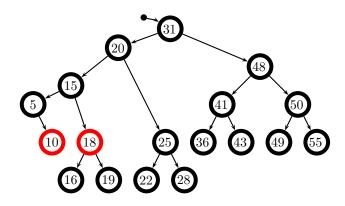
### Red-Black Deletion (5)



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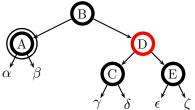


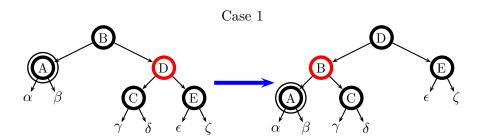
• In other cases where we can not push the additional black color up, we can apply appropriate rotations and color transfers that preserve all other red-black properties

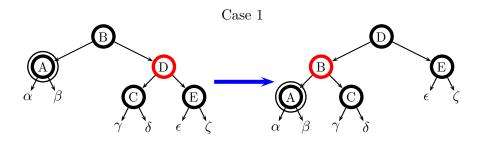
Gabriel Istrate Data Structures April 7, 2023 69/71

Case 1

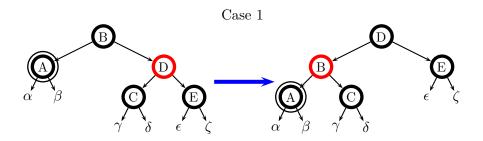
Case 1



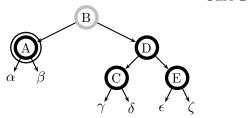


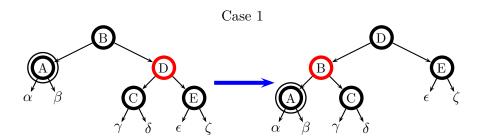


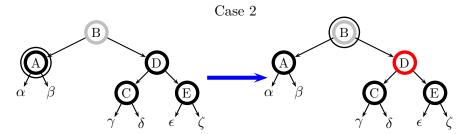
Case 2



Case 2

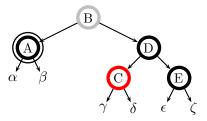


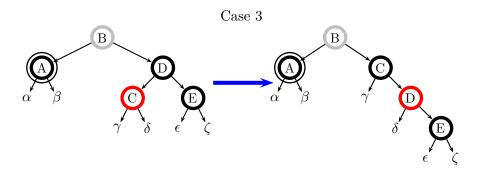


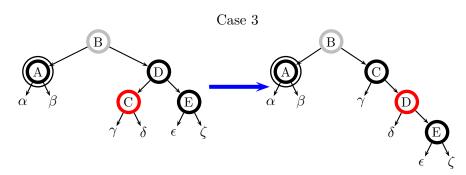


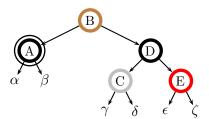
Case 3

Case 3

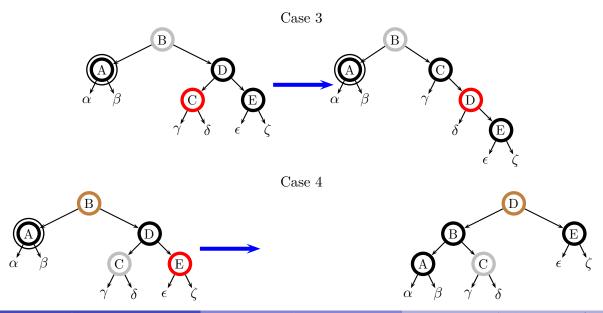








Case 4



#### Red-Black Delete Fixup

```
RB-Delete-Fixup(T, x) 1
                            while x \neq T.root \land x.color = black
                                 if x == x. parent. left
                                      w = x.parent.right
                                      if w.color == red
                                          case 1...
                                      if w.left.color == black \land w.right.color = black
                                          w.color = red
                                                                        # case 2
                                          x = x.parent
                                      else if w.right.color == black
                        10
                                               case 3...
                        11
                                          case 4...
                        12
                                 else same as above, exchanging right and left
                        13
                            x.color = black
```