NEW YORK
STATE OF
OPPORTUNITY. | **Office of Information Technology Services**

Establishing Controlled Data in New York State

Office of the Chief Data Officer (OCDO) for New York State

Establishing Controlled Data in New York State

Schedule and Agenda

Topic	Time
Housekeeping	08:00 AM – 08:10 AM
Welcoming Remarks	08:10 AM – 08:20 AM
Status of Federal CUI in Federal Government	08:20 AM – 09:20 AM
Status of Federal CUI in New York State	09:20 AM – 09:40 AM
Break	09:40 AM – 09:50 AM
Extending Federal CUI Practices and Standards to NYS	
Defining the Federal Implementation Process	09:50 AM – 10:30 AM
Establishing Data Governance	10:30 AM – 11:15 AM
Extending Existing Federal CUI Syntax and Vocabulary	11:15 AM – 12:00 PM
Working Lunch	12:00 PM – 12:30 PM
Reviewing Legal Considerations	12:30 PM – 01:15 PM
Public Outreach, Workforce Communication, and Coordination	01:15 PM – 02:00 PM
Break	02:00 PM – 02:15 PM
Review Procurement Considerations	02:15 PM – 03:15 PM
Follow-up Actions	03:15 PM – 04:15 PM

Session Descriptions

Status of Federal CUI in Federal Government

Abstract: The Federal Government has been making notable progress relative to defining new standards for controlled unclassified information (CUI). Some questions remain outstanding for entities that were not part of the design and development process. Some of those questions include how far along are Federal agencies? When can NYS expect to see new markings on data assets? What are various ways agencies may mark materials? What else can state government do to prepare?

Targeted Participants (All Invitees Welcome): NYS Executive Management, Cluster CIOs, EISO, Legal

Status of Federal CUI in New York State

Abstract: NYS will provide the CUI Program Office an overview of work done to date including awareness, identification of Federal data assets (review example inventory of Federal data), and review of CUI incident and breach reporting specification. The teams will discuss what sort of business process we should establish to provide downstream recipients notification of when Federal entities expect to start delivering data with the new CUI markings. The teams will discuss how to refine the Federal-NYS engagement plan.

Targeted Participants (All Invitees Welcome): The CUI Program Office, OCDO, Legal, EISO, Cluster CIOs, and NYS Archives.

Extending Federal CUI Practices and Standards to NYS

Abstract: In principle establishing a CUI Registry makes immense sense for NYS. However, there will likely be differences that NYS must consider. The team will review the Federal CUI practices and standards and discuss an approach for extending the Federal model to NYS.

Defining the Federal Implementation Process

Abstract: The teams will collaborate to document the overall process that the Federal Government used to define and implement the CUI Program at the Federal level. The teams will produce a process flow of all activities that the Federal Government used to establish the CUI Program. Will the Federal process work as-is in NYS? What needs to change to work in another jurisdiction? How did the Federal Government resolve conflicting laws and regulations?

Targeted Participants (All Invitees Welcome): The CUI Program Office, OCDO, Legal

Establishing Data Governance

Abstract: Establishing data governance to set the standards is a critical capability to maintaining order and transparency over the entire CUI process. The team will review the Federal experience in this area and outline an approach for how something similar could work in New York State.

Targeted Participants (All Invitees Welcome): The CUI Program Office, OCDO, Legal

Extending Existing Federal CUI Syntax and Vocabulary

Abstract: Developing a syntax that will be understandable and computable is a key capability for information sharing and maintaining control over all data assets. The team will discuss how to use the current CUI syntax and definitions as a basis for extending the syntax so that other jurisdictions could use it to categorize their data. NYS will also share work done around developing a data management business glossary that will help normalize and baseline a data management vocabulary so that disparate parts of government can better communicate with one another relative to data assets and data sharing. What should a NYS Controlled Data Registry look like?

Targeted Participants (All Invitees Welcome): The CUI Program Office, OCDO, EISO, Legal, NYS Archives.

Public Outreach, Workforce Communication, and Coordination

Abstract: Communicating across the wide variety of stakeholders is immensely difficult. The CUI Program Office will share its experiences relative to how it communicated with the various parties that helped implement the CUI standards and practices.

Targeted Participants (All Invitees Welcome): The CUI Program Office, OCDO, Legal, ITS Communications Office

Reviewing Legal Considerations

Abstract: This session allows Federal and NYS legal experts to discuss the various legal considerations that NYS must recognize and reconcile for the state to be able to extend the Federal model into NYS. For example, the teams will review some of the issues that relate to CUI, specifically NYS' Freedom of Information Law (FOIL) to help NYS legal experts better understand how CUI and FOIL integrate with one another. Another example is how Federal CUI could legally inform other business and legal functions like records disposition at the NYS Archives.

Targeted Participants (All Invitees Welcome): The CUI Program Office, OCDO, Legal

Reviewing Procurement Considerations

Abstract: This session allows Federal and NYS acquisition experts to discuss the various considerations that NYS must recognize and reconcile for the state to be able to extend the Federal model into NYS. For example, the teams will review the "two state" system, discuss how that kind of procurement relationship will not work, and review the process by which the Federal Government addressed these issues in the Federal Acquisition Regulations (FAR).

Targeted Participants (All Invitees Welcome): The CUI Program Office, NYS Procurement, OCDO, Legal