

# Reinforcement Learning for Personalized Dialogue Management

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# Dialogue Systems



# Language and context

[bransford1972]

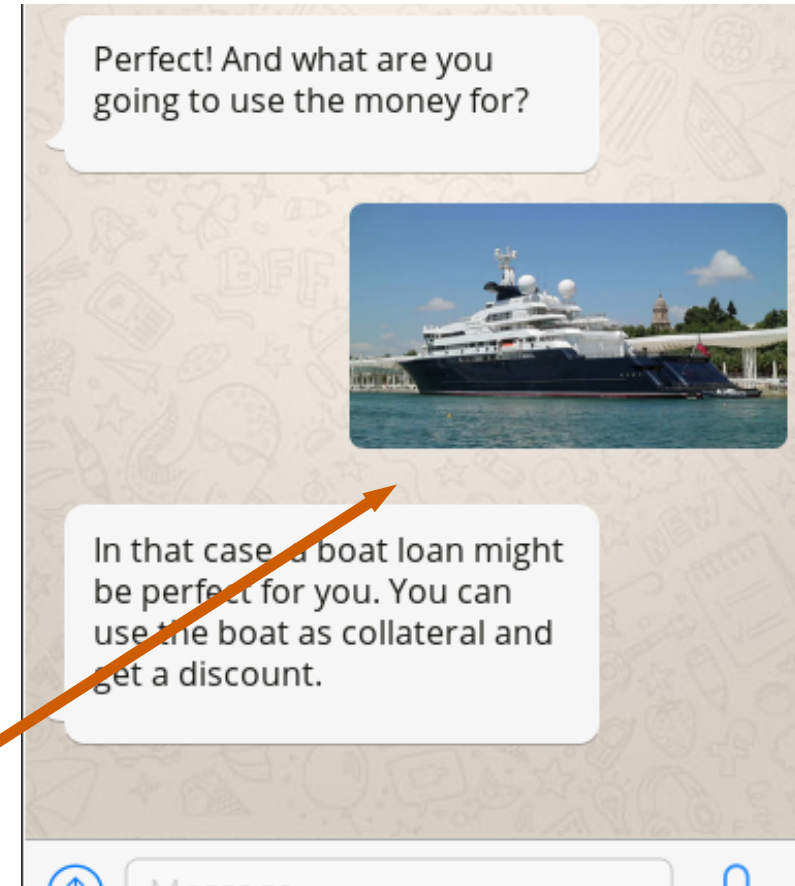




Intent

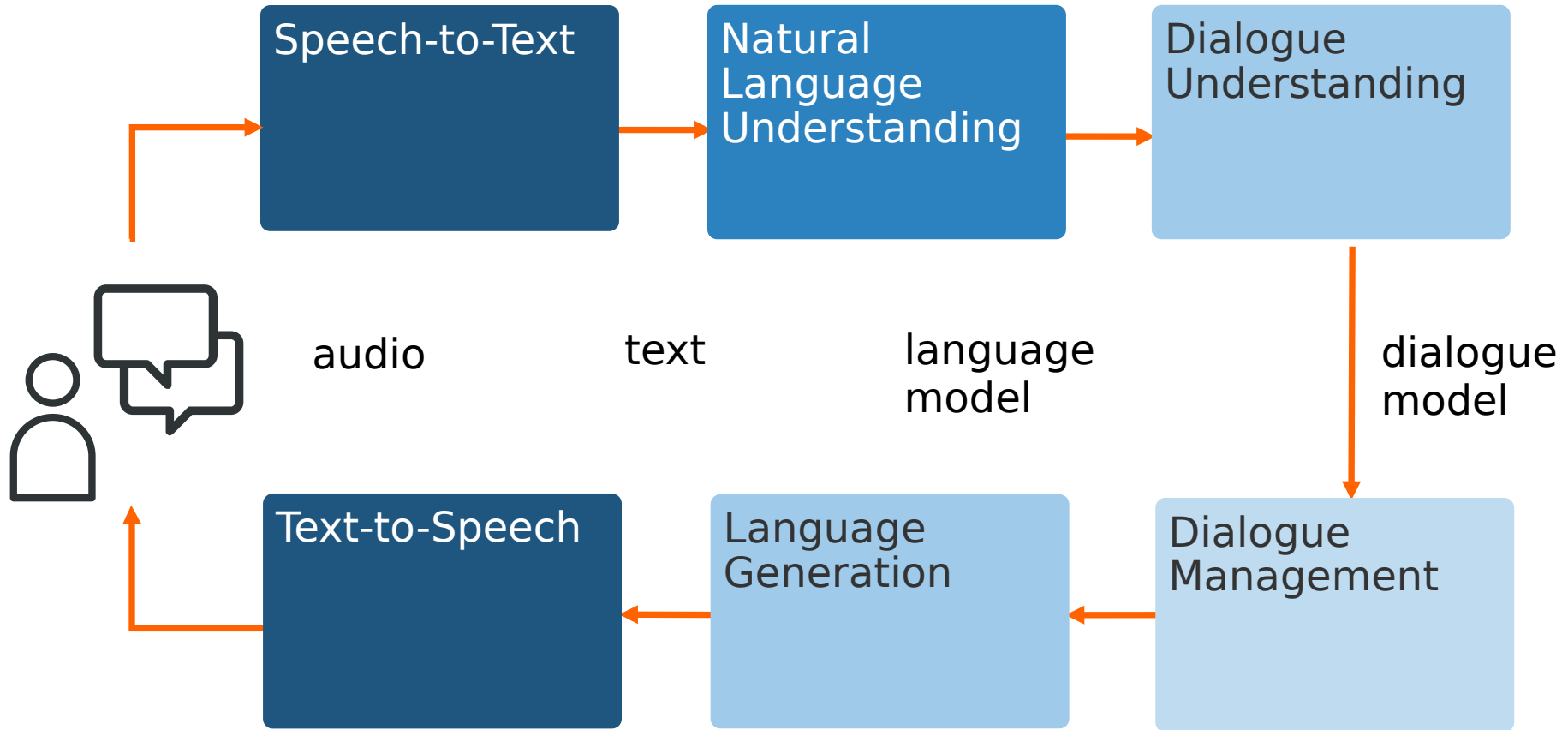
Slots:  
duration & purpose

Values:  
duration & purpose



# Architecture

[peckham1991]  
[ultes2017]



# Sequential Model



1. Intent: "loan"

2. Purpose: "boat"

3. Duration: "16M"

1. Intent: "loan", purpose:"boat"

2. Duration: "16M"

Dialogue Management



1. Intent: "loan", p: "boat", d: "16M"

.....

How may I help you?



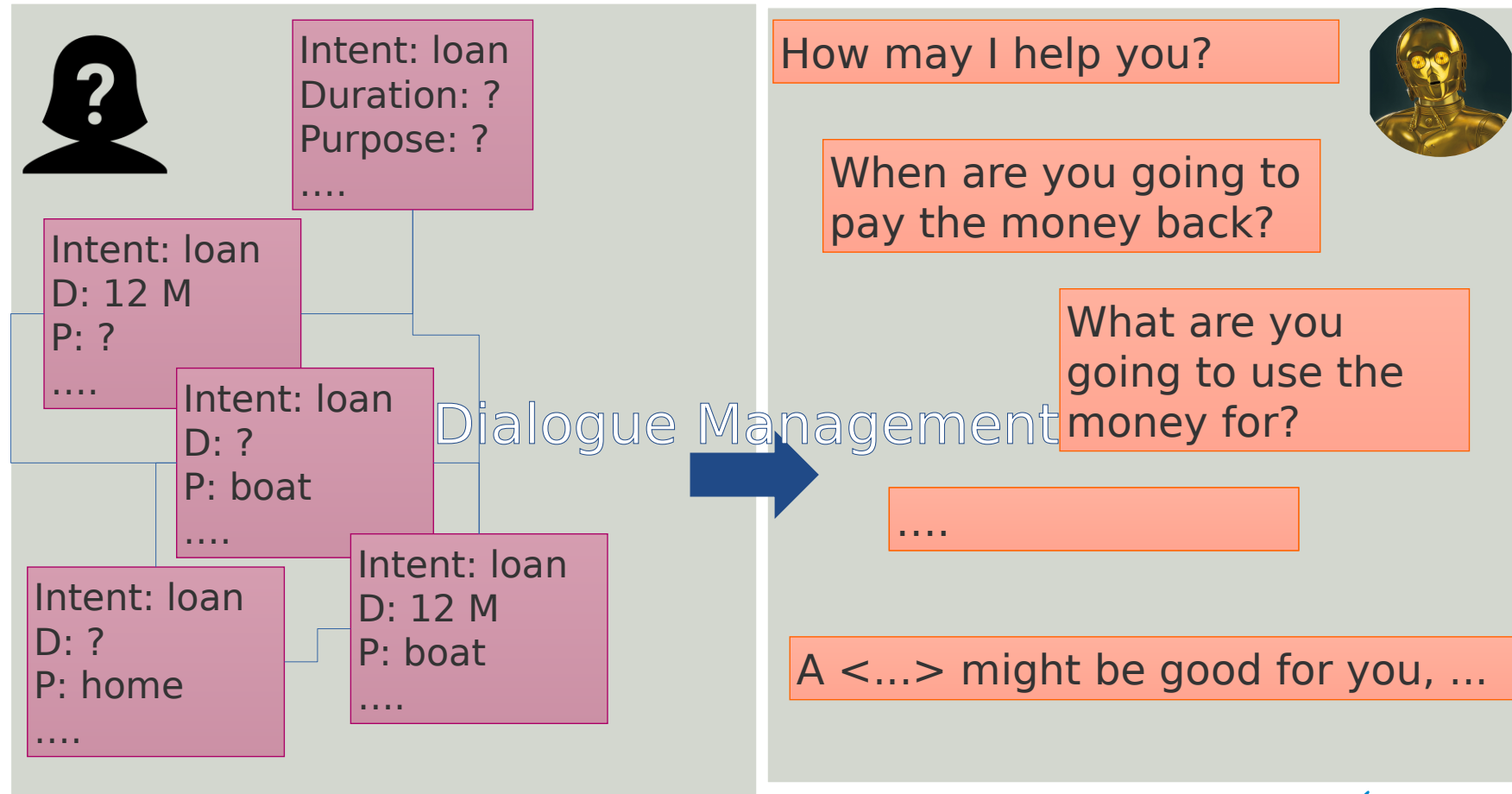
When are you going to pay the money back?

What are you going to use the money for?

A <...> might be good for you, ...

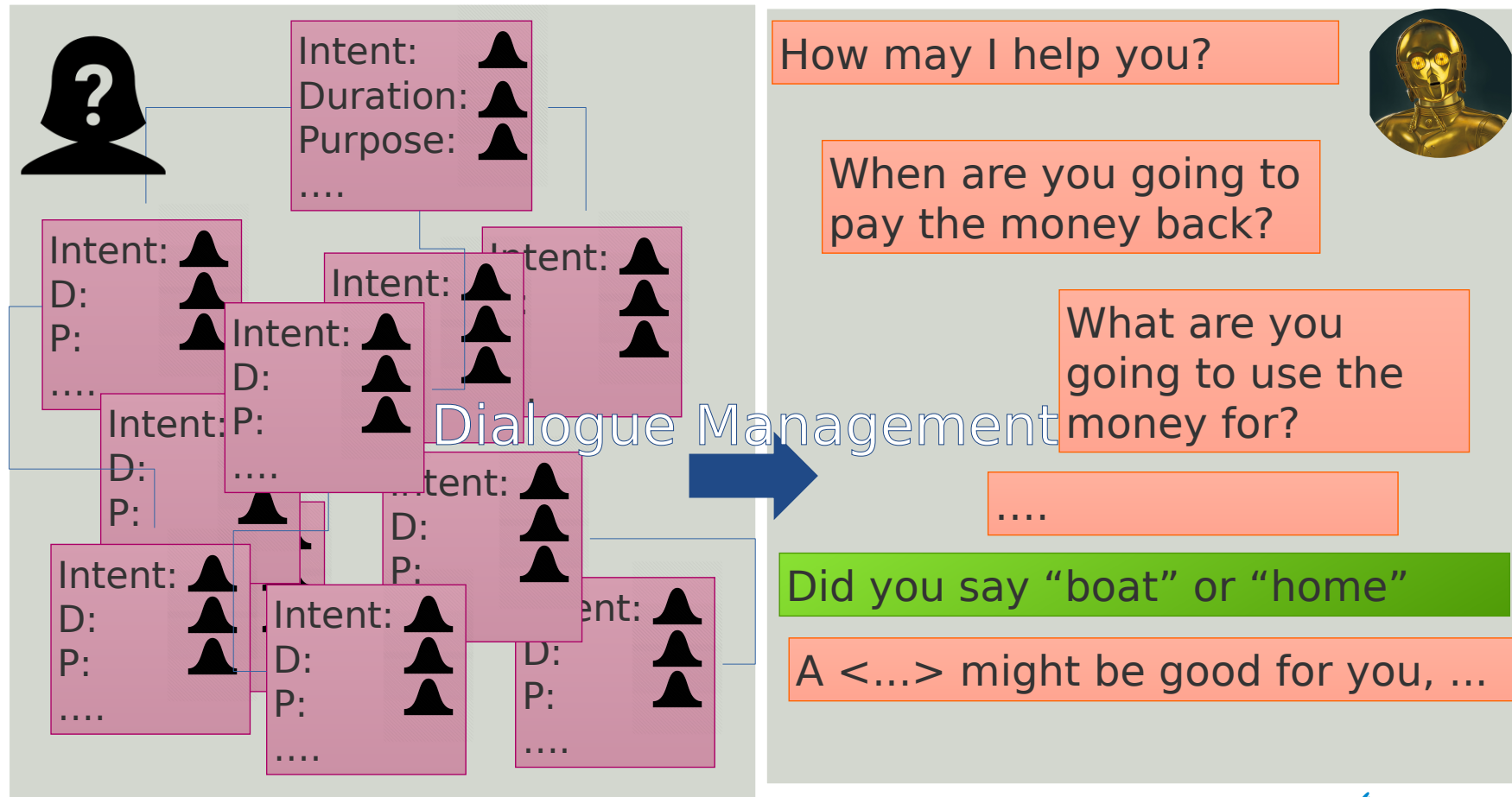
....

# FSM Model



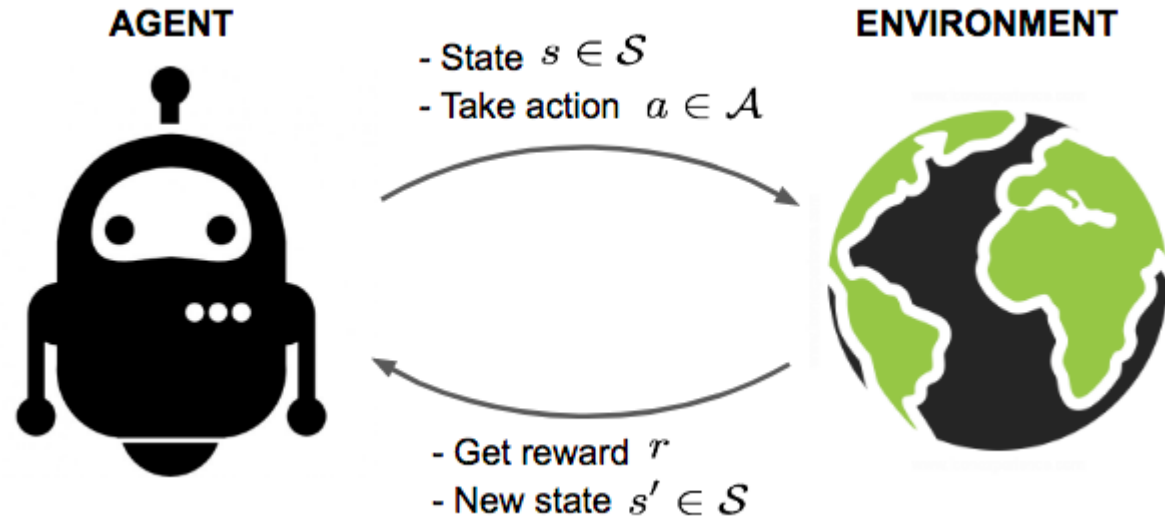
# Belief State Model

[young2007]





# Reinforcement Learning (1/2)

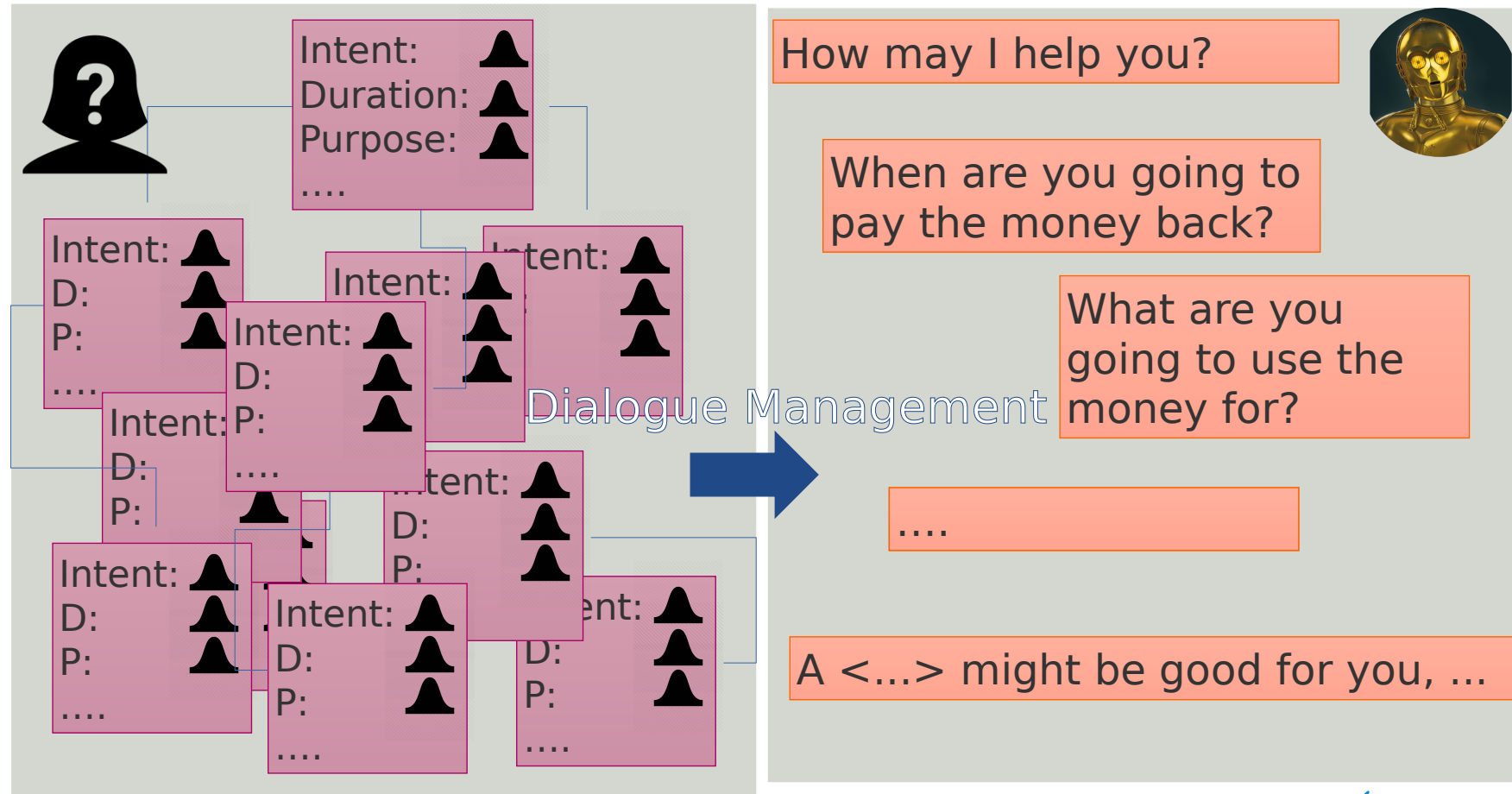


Trajectory  $\langle s_0, a_0, r_0, s_1, \dots, s_T, a_T, r_T \rangle, r. \in \mathbb{R}$

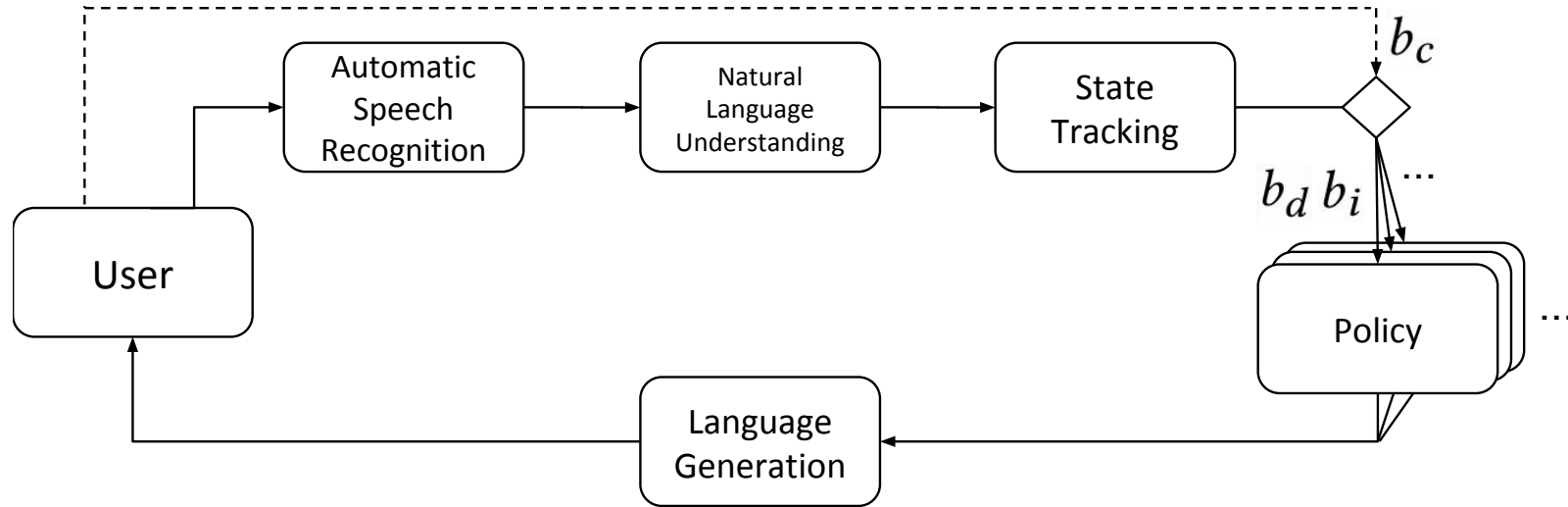
$$\text{Maximize } \sum_{t=0}^{t=T} \gamma^{t+1} r_t, \gamma \in [0, 1]$$

# Belief State Representation

[young2007]

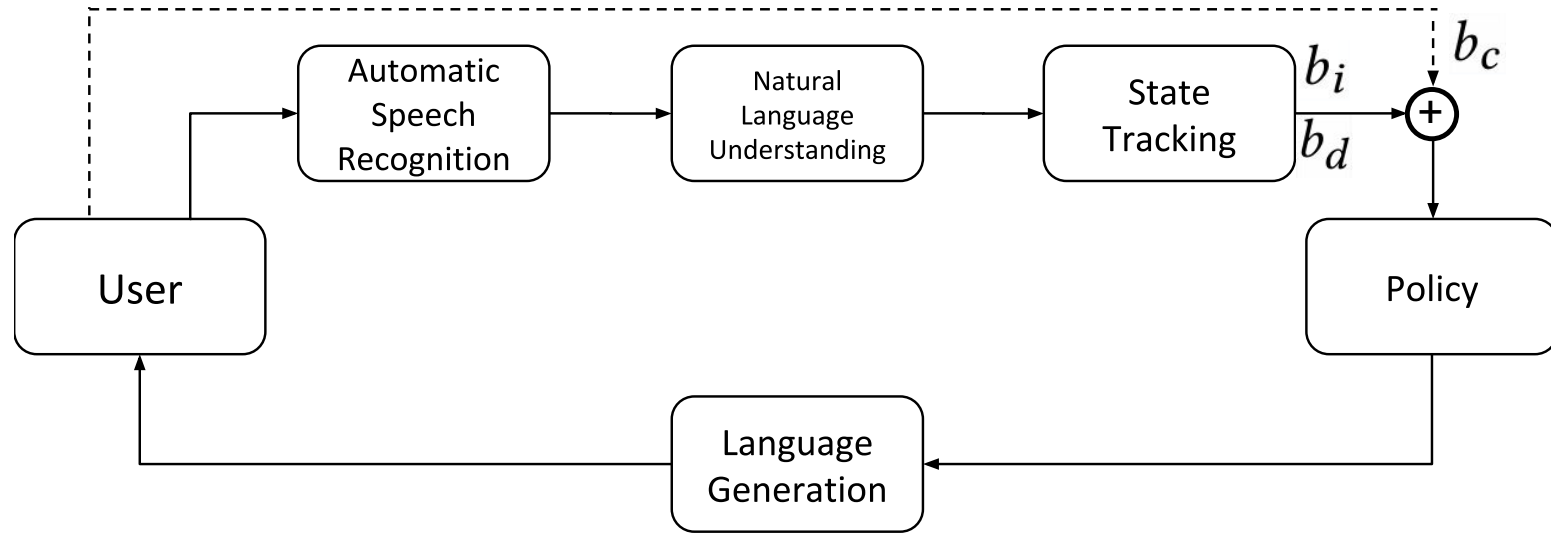


# Segmentation-based Personalization



0. Maintain a belief over **personal context**
1. **Segment users** based on belief
2. Learn 1 DM policy **per segment**

# Belief State-based Personalization



0. Maintain a belief over **personal context**
1. **Include belief** into DM policy input
2. Learn 1 DM policy **across all users**

# Experimental setup (1/2)

## Recommendation scenarios

1. Restaurant 1
2. Restaurant 2
3. Laptop
4. Financial products

Reward based on task completion  
and # turns

## Simulation

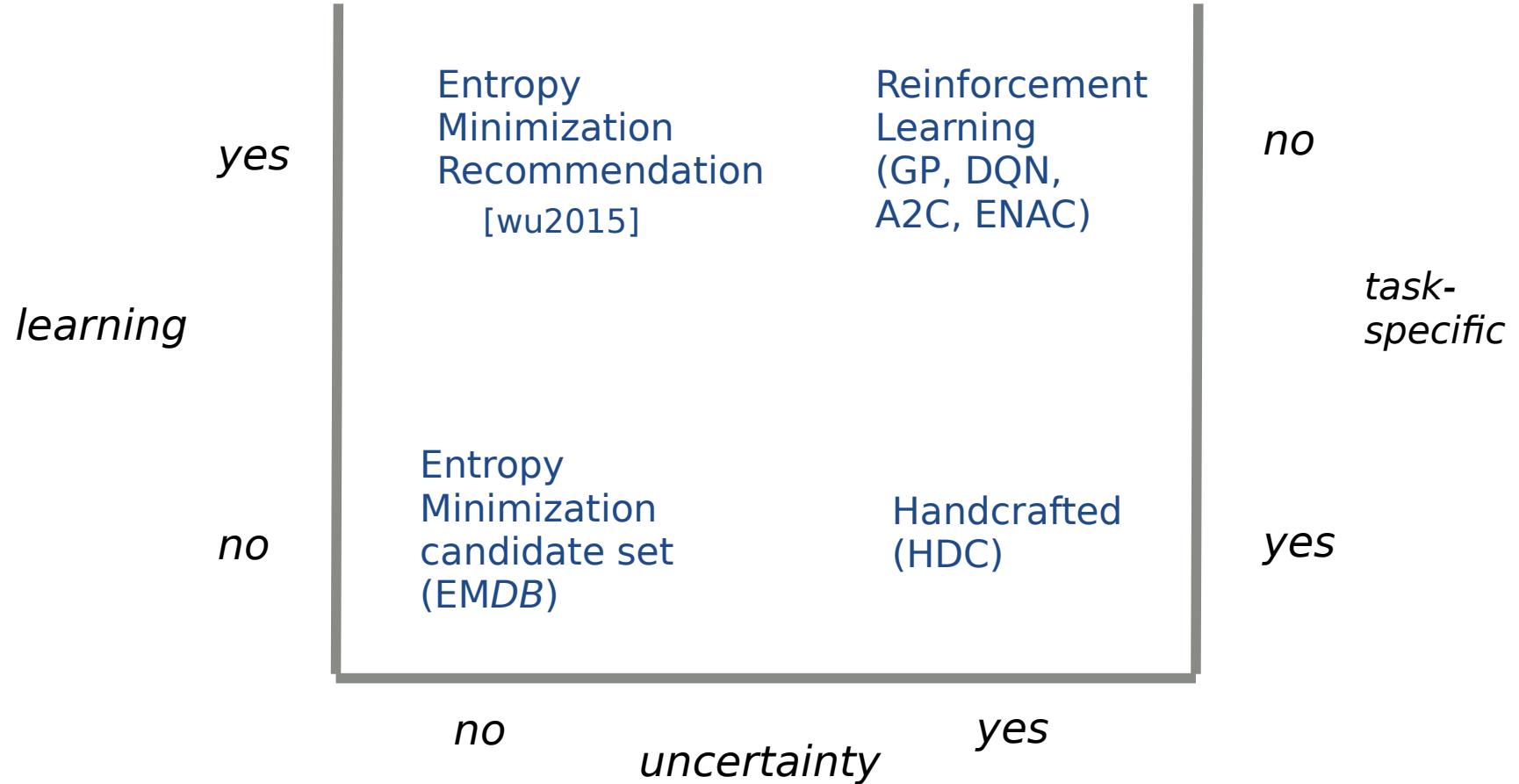
- different user behavior patterns 2
  1. Layperson
  2. Expert
- levels of S2T + NLU error .0, .15, .30
- total number of environments 24

Algorithms varying in

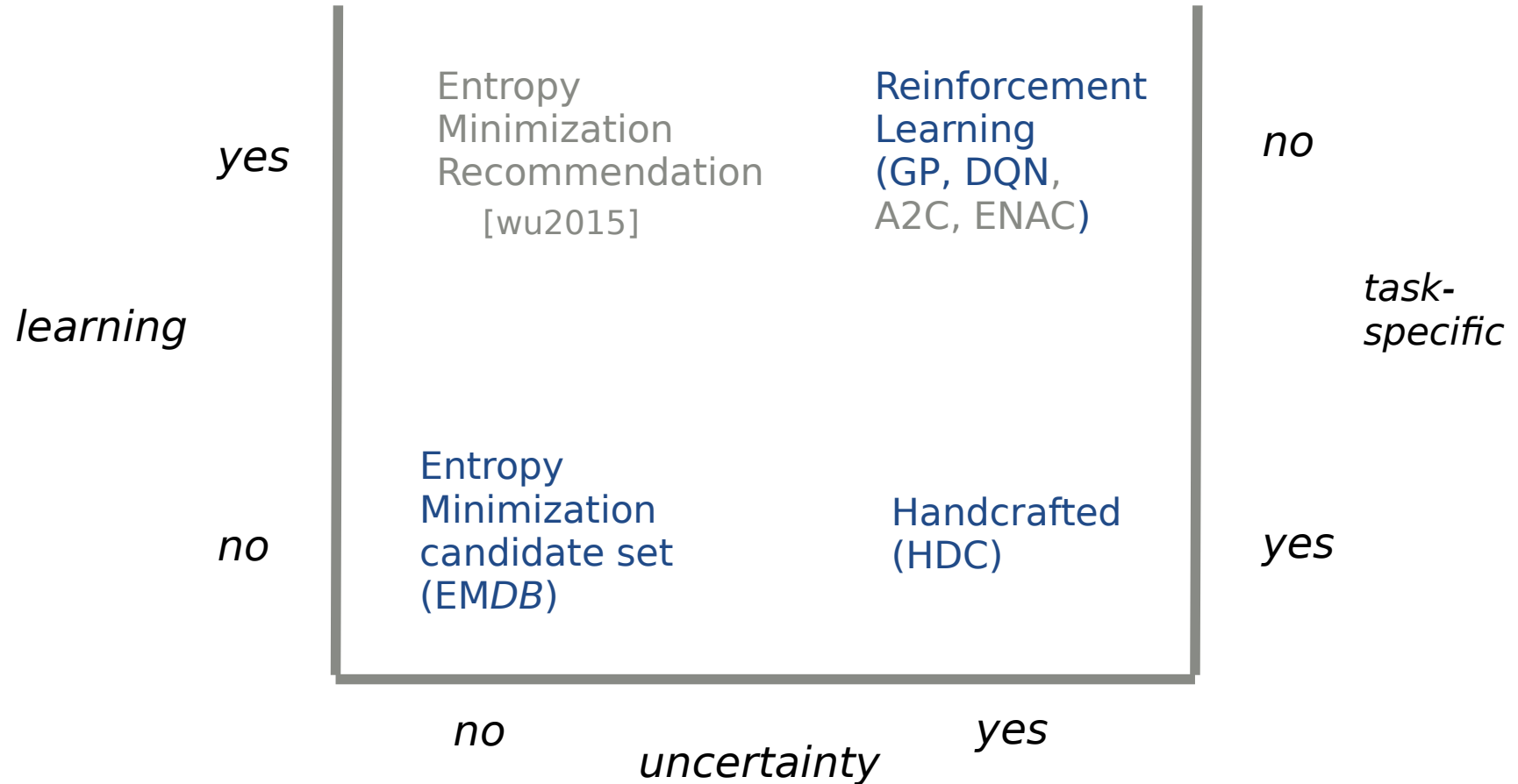
- Taking into account uncertainty
- Ability to learn from experience
- Using task-specific heuristics

Total environment – algorithm pairs 384

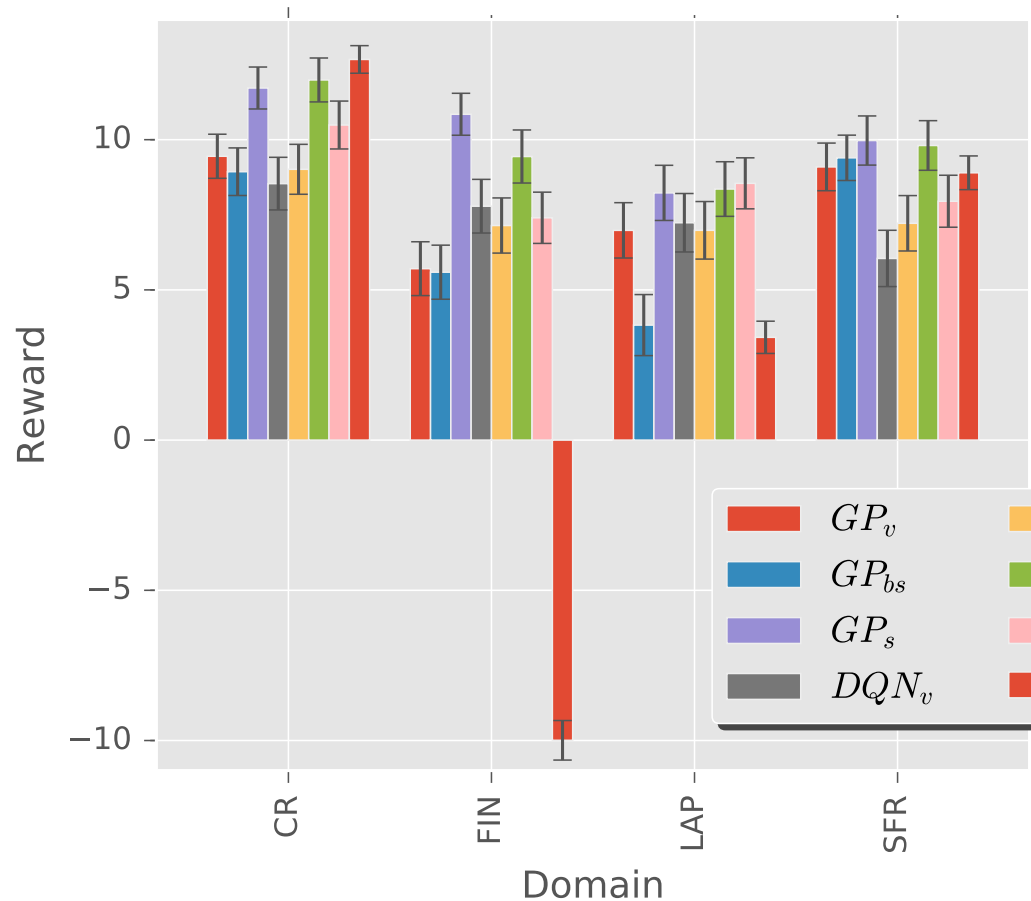
# Experimental setup (2/2)



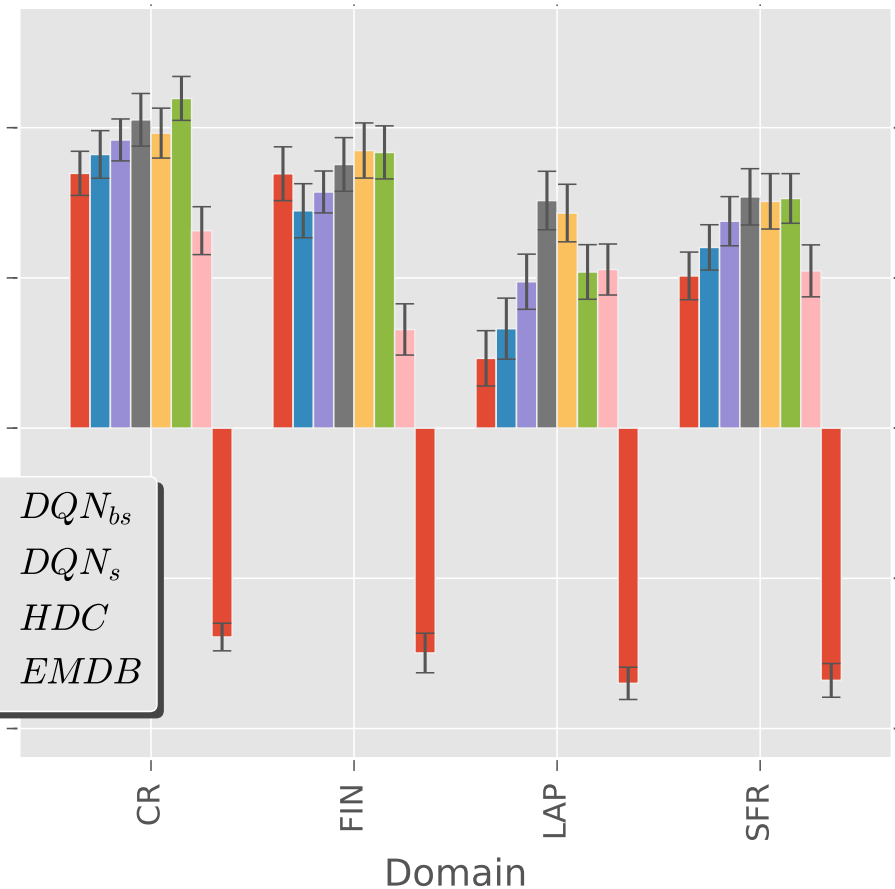
# Experimental setup (2/2)



# No S2T+NLU errors



# Realistic S2T+NLU errors (15%)





# Conclusions & Discussion

Take **uncertainty** into account

Learning approaches most robust to

- novel **domain**
- **personalization** setting

Personalized  $\geq$  **gold-standard** handcrafted approach

Performance personalized approaches **varies** with

- environment
- algorithm
- available data

# References

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# Thank you

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[florisdh.nl/presentations/wi-2019.pdf](http://florisdh.nl/presentations/wi-2019.pdf)

	hidden layer 1	hidden layer 2	$\epsilon$
DQN	300	100	.5
A2C	200	75	.5
eNAC	130	50	.5

# Personalizing DM

	[casanueva 2015]	[mo2018]	[genevay 2016]	This talk	
				segmentation based	belief-state based
Assumes pre-existing interactions with user		✓			
Assumes user similarity metric	✓			✓	
Small number of users			✓		
Assumes existing information on user				✓	✓

= personal  
context