

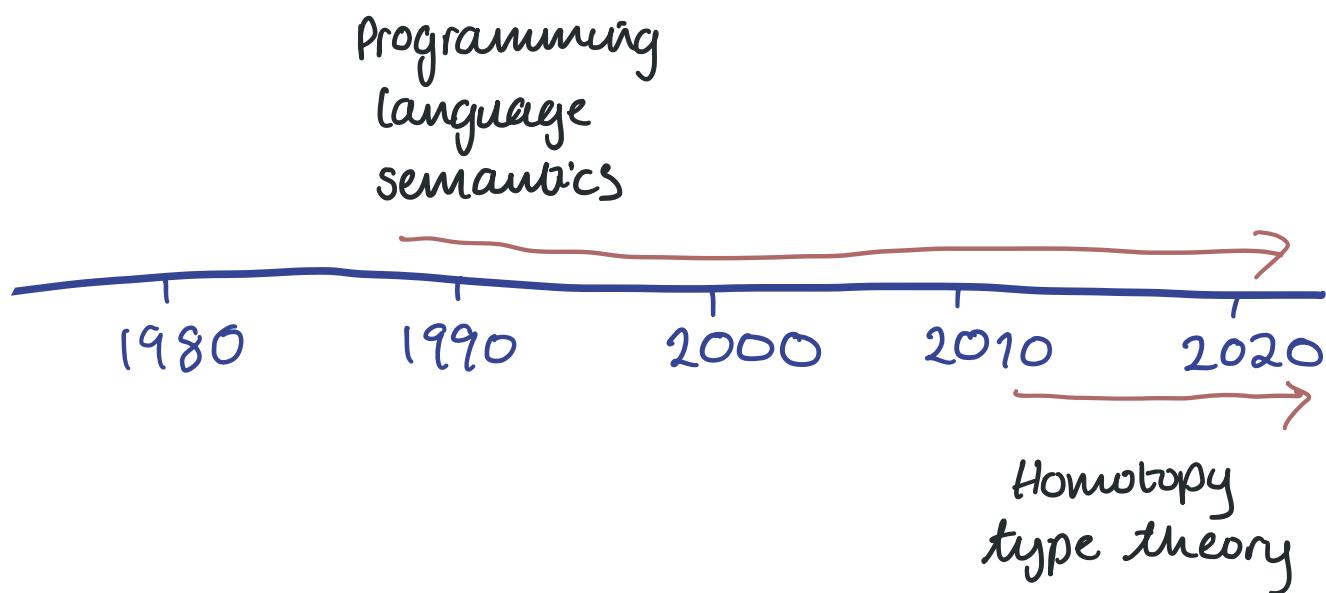
Who cares about modal type theory?

Florrie Verity

Computing foundations seminar, ANU

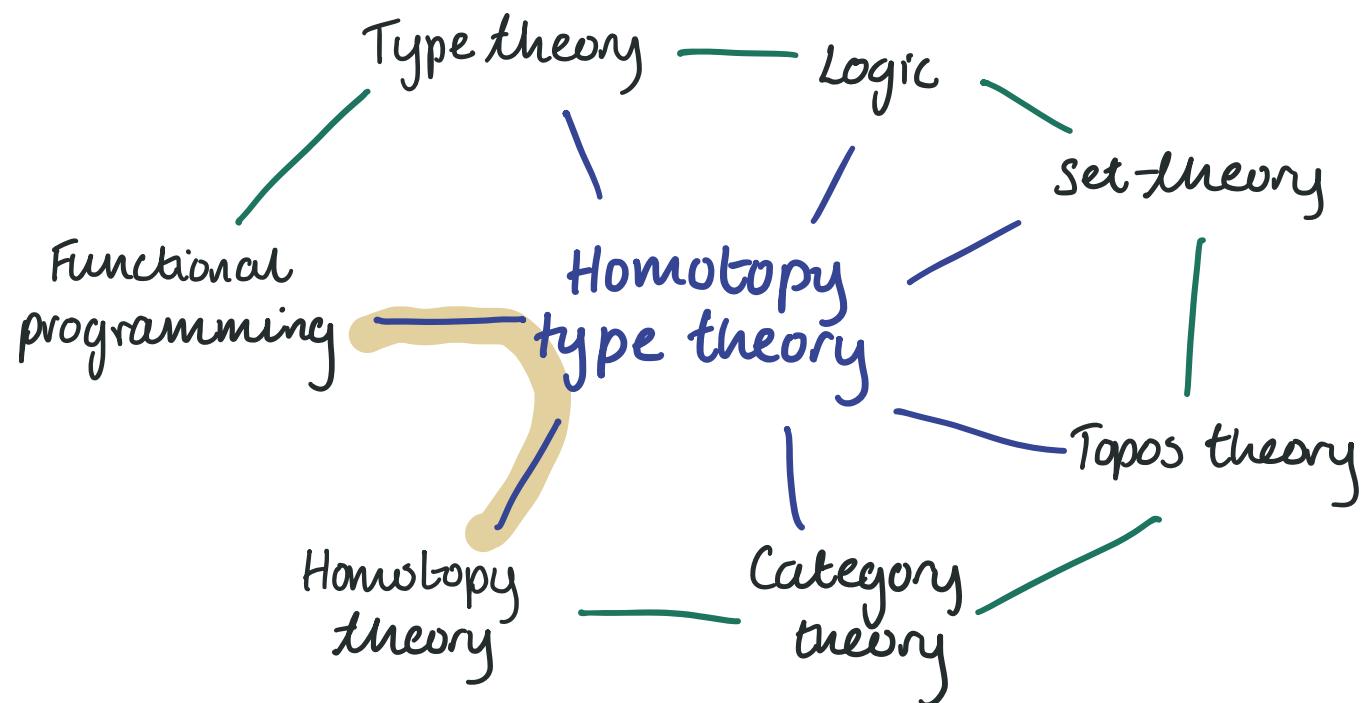
13 November 2023

Modal type theory by field



'HoTT Subject map'

- Paige Randall North



Plan

1) Homotopy type theory and modalities

2) Crisp type theory

- the type theory
- its semantics

Part one

Identity types

The formation rule $\frac{x, y : A}{\text{Id}_A(x, y) \text{ is a type}}$ can be iterated -

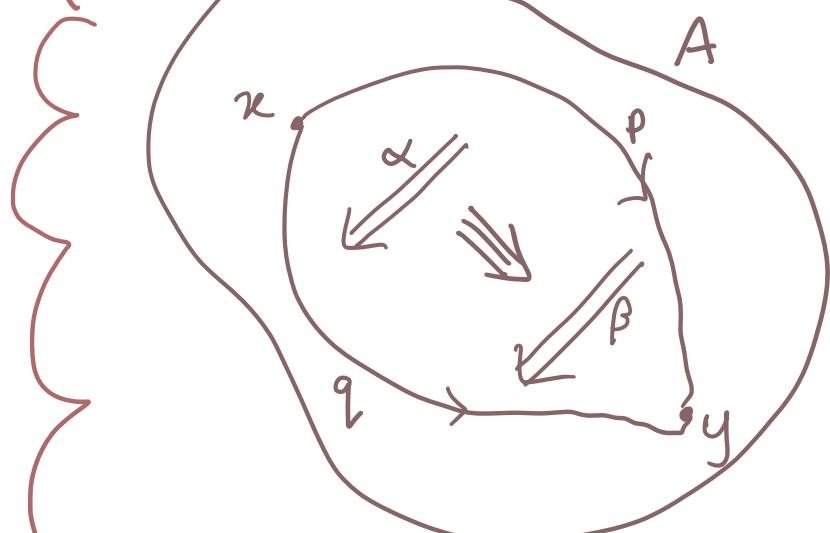
- $\frac{p, q : \text{Id}_A(x, y)}{\text{Id}_{\text{Id}_A(x, y)}(p, q) \text{ is a type}}$
- $\frac{\alpha, \beta : \text{Id}_{\text{Id}_A(x, y)}(p, q)}{\text{Id}_{\text{Id}_{\text{Id}_A(x, y)}(p, q)}(\alpha, \beta) \text{ is a type}}$ and so on.

How do we make sense of this?

- Hofmann and Streicher 1995, $p, q : \text{Id}_A(x, y) \not\Rightarrow p = q$

Intuition

$$\frac{\alpha, \beta : \text{Id}_{\text{Id}_A(x,y)}(p,q)}{\text{Id}_{\text{Id}_{\text{Id}_A(x,y)}(p,q)}(\alpha, \beta) \text{ is a type}}$$



A is a space
 x is a point
 p is a path
 α is a homotopy*

* synthetic space/point/path..

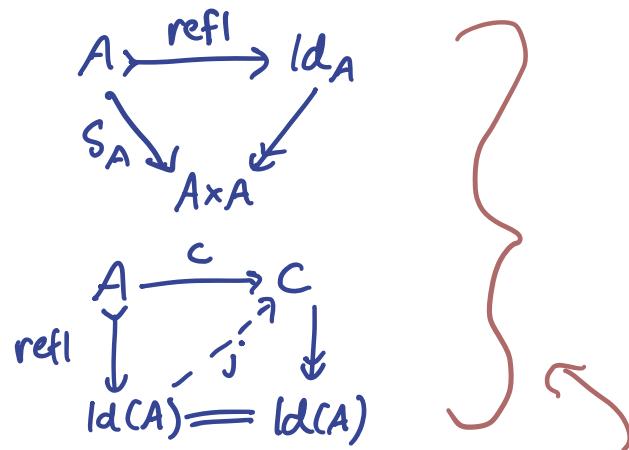
Intuition taken seriously

Rules for identity types

$$a : A \vdash \text{refl}(a) : \text{Id}_A(a, a)$$

$$a : A \vdash c(a) : C(a, a, \text{refl}(a))$$

Homotopical interpretation



Defining conditions of a weak factorisation system
in homotopical algebra

Consequence development of HOTT

- (= Martin-Löf type theory
- + "univalence axiom"
- + a focus on "homotopy levels"
- + "higher inductive types")

Models of HoTT

- HoTT has models in "presheaf categories",
a setting with an abundance of homotopical model structures

- simplicial sets (Voevodsky)
- cubical sets (Coquand, Orton & Pitts, Awodey)
constructive!

- Two ways of working in a presheaf category $\hat{\mathcal{C}}$:

① Category-theoretically
via diagrams in $\hat{\mathcal{C}}$

(Awodey, Gambino & Sattler, ...)



objects and
structure-preserving
maps

② Logically via the "internal type theory" of $\hat{\mathcal{C}}$

(Coquand et al, Orton & Pitts, ...)

Internal logic

universe
of terms

universe
of types

Remark $\widehat{\mathcal{C}}$ has two special objects \tilde{U} and i_U , and a map between them, $ty: \tilde{U} \rightarrow U$.

A presheaf category $\widehat{\mathcal{C}}$

object Γ

map $\Gamma \xrightarrow{\alpha} U$

diagram

$$\begin{array}{ccc} & \tilde{U} & \\ a \nearrow & \downarrow ty & \\ \Gamma & \xrightarrow{\alpha} & U \end{array}$$

"pullback"
diagram

$$\begin{array}{ccc} \Gamma, \alpha & \xrightarrow{q_\alpha} & \tilde{U} \\ p_\alpha \downarrow & \lrcorner & \downarrow ty \\ \Gamma & \xrightarrow{\alpha} & U \end{array}$$

ingredients of a type theory $\widetilde{\mathcal{I}}\widehat{\mathcal{C}}$

context Γ

type-in-context
 $\Gamma \vdash \alpha$ is a type

term-in-context

$\Gamma \vdash a : \alpha$

context extension

$\Gamma, \alpha \vdash q_\alpha : \alpha[\rho_\alpha]$

Working with models of HoTT

Example a "trivial fibration structure" on ...

① (category-theoretic)

∴ ρ is a choice of diagonal
fillers $j(m, u, v)$

$$\begin{array}{ccc} S & \xrightarrow{u} & A \\ m \downarrow & \nearrow j & \downarrow \rho \\ T & \xrightarrow{v} & X \end{array}$$

for all $m \in \text{Cof}$ such that

$$\begin{array}{ccccc} t^*(S) & \longrightarrow & S & \xrightarrow{u} & A \\ \downarrow & \nearrow j & \downarrow & \nearrow j & \downarrow \rho \\ T' & \xrightarrow{t} & T & \xrightarrow{v} & X \end{array}$$

for all $m \in \text{Cof}$, for all $t: T' \rightarrow T$.

② (type-theoretic)

$\dots \alpha: X \rightarrow U$ is an element
 $t: \text{TFib}(\alpha)$

where

$$\text{TFib}(\alpha) = \prod_{\varphi: \Phi} \prod_{v: \alpha^{\{\varphi\}}} \sum_{a: \alpha} v = \lambda(a)$$

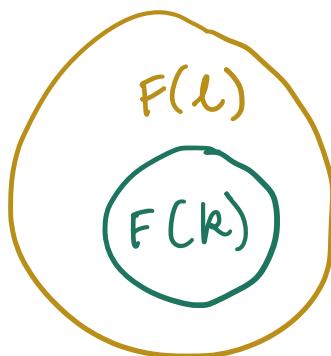


How do you relate
① and ②?

Answer: a generalisation of

Beth-Kripke semantics for intuitionistic logic

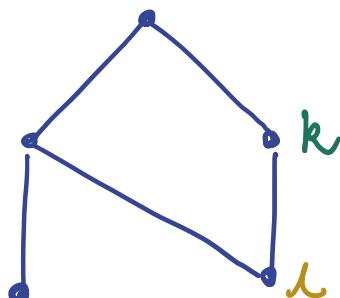
Sets of valid
formulae at a
stage



$$F(k) \subseteq F(l)$$

Poset of
stages

time ↓



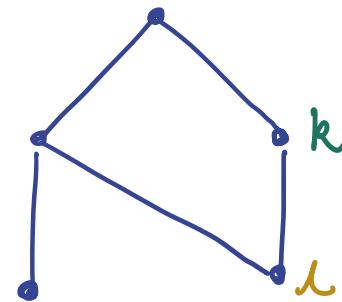
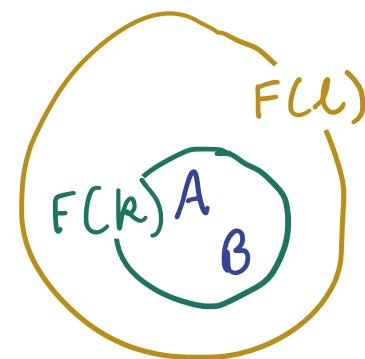
$$k \leq l$$

Beth-Kripke semantics

"Forcing" relation $k \Vdash A$

plus conditions for
compound formulae, e.g.

$k \Vdash A \wedge B$ iff $k \Vdash A$ and $k \Vdash B$



Kripke-Joyal semantics

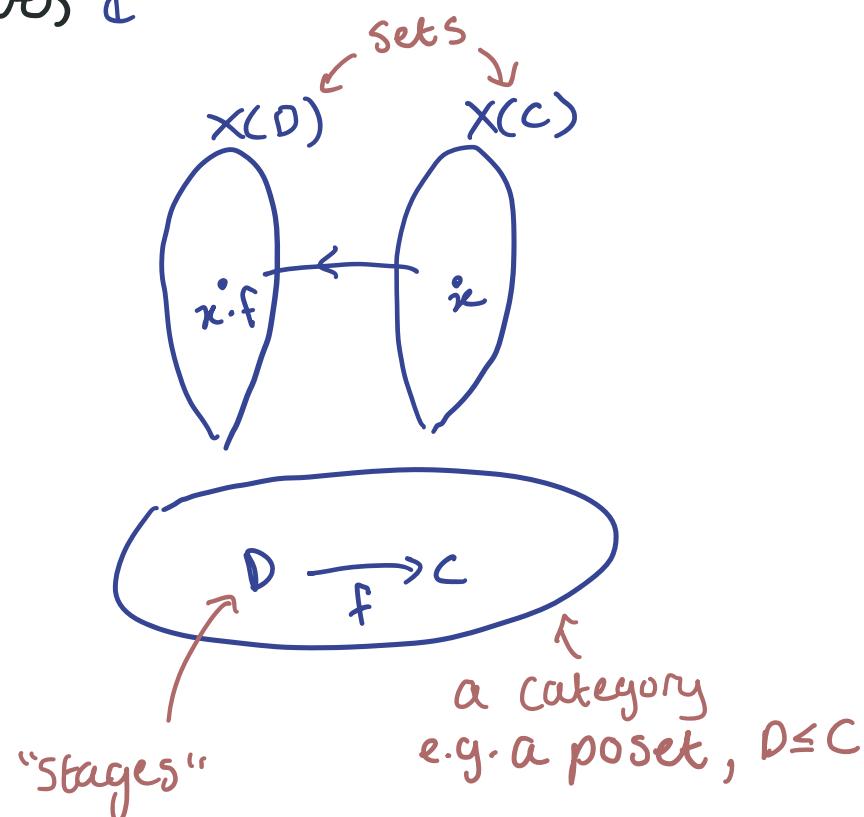
- a generalisation for higher-order logic

- setting: a topos \mathcal{E} oo

all the abstract structure
of sets, but "richer"

e.g. a category of presheaves $\widehat{\mathcal{C}}$

a presheaf X in $\widehat{\mathcal{C}}$
is a "variable set"



Kripke-Joyal semantics for HoTT

- Awodey, Gambino and Hazratpour, 2021

- an extension of KJ semantics to the internal type theory of a presheaf category

Forcing definition

$$c \Vdash a_x : \alpha(x)$$

means this diagram commutes:

$$\begin{array}{ccc} & \xrightarrow{\alpha_x} & \tilde{u} \\ c \dashv & \nearrow & \downarrow \text{ty} \\ \vdash c & \xrightarrow{x} & u \xrightarrow{\alpha} \end{array}$$

+ conditions for type formers,

e.g. $c \Vdash t : (\alpha \times \beta)(x)$ iff $c \Vdash a : \alpha(x)$ and $c \Vdash b : \beta(x)$

Example

a "trivial fibration structure" on ...

① (category-theoretic)

∴ ρ is a choice of diagonal
fillers $j(m, u, v)$

$$\begin{array}{ccc} S & \xrightarrow{u} & A \\ m \downarrow & \nearrow j & \downarrow p \\ T & \xrightarrow{v} & X \end{array}$$

for all $m \in \text{Cof}$ such that

$$\begin{array}{ccccc} t^*(S) & \longrightarrow & S & \xrightarrow{u} & A \\ \downarrow & & \downarrow & & \downarrow p \\ T' & \xrightarrow{t} & T & \xrightarrow{v} & X \end{array}$$

for all $m \in \text{Cof}$, for all $t: T' \rightarrow T$.

② (type-theoretic)

$\dots \alpha: X \rightarrow U$ is an element
 $t: \text{TFib}(\alpha)$

where

$$\text{TFib}(\alpha) = \prod_{\varphi: \Phi} \prod_{v: \alpha^{\{\varphi\}}} \sum_{a: \alpha} v = \lambda(a)$$

The gap



the "universe of uniform fibrations":

① (category-theoretic)

$$\begin{array}{ccc} \text{Fib}^*(\alpha) & \longrightarrow & \text{Fill}(\alpha \circ x)_I \\ \downarrow & \lrcorner & \downarrow \\ x & \xrightarrow{\eta} & (x^I)_I \end{array}$$

② (type-theoretic)

impossible!

Solution

- extend type theory with the modal operator of "crisp type theory" (Licata, Orton, Pitts & Spitters, 2018)
- formulate Kripke-Joyal semantics for this type theory (present work)

Modalities elsewhere in HoTT

Used to recover "lost topological information"

e.g. the topological circle S^1 vs. the higher inductive circle S'

$$\{(x,y) : \mathbb{R} \times \mathbb{R} \mid x^2 + y^2 = 1\}$$



- Brouwer's fixed point theorem is trivial for S' but not for S^1

Part two

"A judgemental reconstruction of modal logic"

- Pfenning and Davies, 2001

Basic judgements in logic

1) A is a proposition

we know what counts
as a verification of A

2) A is true

we know how to verify A

(presupposes A is a proposition)

used in inference rules to explain connectives

e.g. conjunction

$$\frac{A \text{ prop} \quad B \text{ prop}}{A \wedge B \text{ prop}} \text{ Formation}$$

$$\frac{A \text{ true} \quad B \text{ true}}{A \wedge B \text{ true}} \text{ Introduction}$$

$$\left. \begin{array}{c} \frac{A \wedge B \text{ true}}{A \text{ true}} \\ \frac{A \wedge B \text{ true}}{B \text{ true}} \end{array} \right\} \text{ Elimination}$$

Hypothetical judgements

- to explain the connective \Rightarrow , we need another form of judgement, written:

$$\frac{J_1, \dots, J_n \vdash J}{\text{"hypotheses"}}$$

J assuming
J, through J_n

e.g. $A, \text{true}, \dots, A_n \text{ true} \vdash A \text{ true}$

- this allows us to introduce implications with the rule:

$$\frac{\Gamma, A \text{ true} \vdash B \text{ true}}{\Gamma \vdash A \Rightarrow B \text{ true}}$$

we know how to verify $A \Rightarrow B$
if we know how to verify B
under hypothesis "A true"

- we may as well write our other rules in this judgement form, e.g.

$$\frac{A \text{ true} \quad B \text{ true}}{A \wedge B \text{ true}} \rightsquigarrow \frac{\Gamma \vdash A \text{ true} \quad \Gamma \vdash B \text{ true}}{\Gamma \vdash A \wedge B \text{ true}}$$

Rethinking our judgements...

Recall the second basic judgement

2) A is true

we know how to verify A

Let's give names to verifications and replace the above judgement with

$M : A$

" M is a proof of proposition A "

" M is a term of type A "

For hypothetical judgements, we name our hypothesised proof/term with a variable:

$\kappa : A$

... leads to type theory

Example Conjunction

- Formation rule

$$\frac{A \text{ prop} \quad B \text{ prop}}{A \wedge B \text{ prop}}$$

- Introduction rule

$$\frac{A \text{ true} \quad B \text{ true}}{A \wedge B \text{ true}}$$

$$\rightsquigarrow \frac{\Gamma \vdash M : A \quad \Gamma \vdash N : B}{\Gamma \vdash \langle M, N \rangle : A \wedge B}$$

- Elimination rule

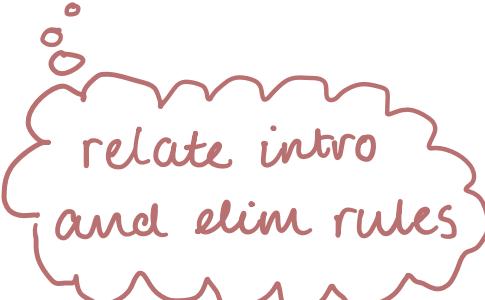
$$\frac{A \wedge B \text{ true}}{A \text{ true}}$$

$$\rightsquigarrow \frac{\Gamma \vdash M : A \wedge B}{\Gamma \vdash \text{fst } M : A}$$

$$\frac{A \wedge B \text{ true}}{B \text{ true}}$$

$$\rightsquigarrow \frac{\Gamma \vdash M : A \wedge B}{\Gamma \vdash \text{snd } M : B}$$

- Computation rules

 relate intro
and elim rules

$$\text{fst } \langle M, N \rangle \xrightarrow{R} M$$

$$\text{snd } \langle M, N \rangle \xrightarrow{R} N$$

$$M : A \wedge B \xrightarrow{E} \langle \text{fst } M, \text{snd } M \rangle$$

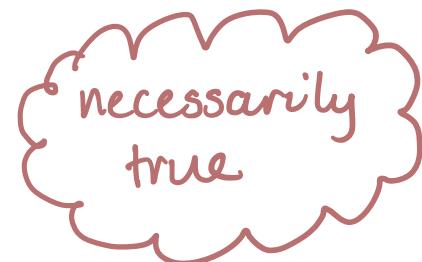
Pfenning and Davies' idea -

- use this methodology of analysing judgements to incorporate modality in a type theory

Step 1) Introduce a third basic judgement

Definition (Validity)

- 1) If $\bullet \vdash A$ true then A valid. . . .
- 2) If A valid then $\Gamma \vdash A$ true.



This may be used in hypothetical judgements

B_1 valid, ..., B_m valid | A_1 true, ..., A_n true $\vdash A$ true,

abbreviated

$\Delta \mid \Gamma \vdash A$ true.

Step 2) Internalise this judgement as a proposition

- Formation rule

$$\frac{A \text{ prop}}{\Box A \text{ prop}}$$

- Introduction rule

$$\frac{\Delta \models \bullet \vdash A \text{ true}}{\Delta \models \Gamma \vdash \Box A \text{ true}}$$

(follows from the definition of validity, updated with split contexts -

- 1) If $\Delta \models \bullet \vdash A \text{ true}$ then A valid.
- 2) If A valid then $\Delta \models \Gamma \vdash A \text{ true. }$)

- Elimination rule

$$\frac{\Delta \models \Gamma \vdash \Box A \text{ true} \quad \Delta, A \text{ valid} \models \Gamma \vdash C \text{ true}}{\Delta \models \Gamma \vdash C \text{ true}}$$

Step 3) Perform the same move as before to "term:type" judgements

- Formation rule

$$\frac{A \text{ prop}}{\Box A \text{ prop}}$$

- Introduction rule

$$\frac{\Delta \mid \bullet \vdash A \text{ true}}{\Delta \mid \Gamma \vdash \Box A \text{ true}} \rightsquigarrow \frac{\Delta \mid \bullet \vdash M : A}{\Delta \mid \Gamma \vdash \text{box } M : \Box A}$$

- Elimination rule

$$\frac{\Delta \mid \Gamma \vdash \Box A \text{ true} \quad \Delta, \text{Valid} \mid \Gamma \vdash C \text{ true}}{\Delta \mid \Gamma \vdash C \text{ true}}$$

$$\rightsquigarrow \frac{\Delta \mid \Gamma \vdash M : \Box A \quad \Delta, u:A \mid \Gamma \vdash N : C}{\Delta \mid \Gamma \vdash \text{let box } u = M \text{ in } N : C}$$

modical variable

- Computation rules

$$\text{let box } u = \text{box } M \text{ in } N \Rightarrow_R N[M/u]$$

replace all instances
of u in N with M

$$M : \Box A \Rightarrow_E \text{let box } u = M \text{ in } (\text{box } u)$$

Moving to Crisp type theory

- Crisp type theory is dependently-typed
- Terminology changes

box modality	$\Box A$	\rightsquigarrow flat modality bA
validity hypotheses	$u::A$	\rightsquigarrow "crisp" hypotheses

*"crisp context /
context of crisp
variables"* \rightsquigarrow $\Delta \vdash T$ *for* *"non-crisp context,
context of non-crisp
variables"*

Context extension

- Two kinds of context extension

① standard context
extension

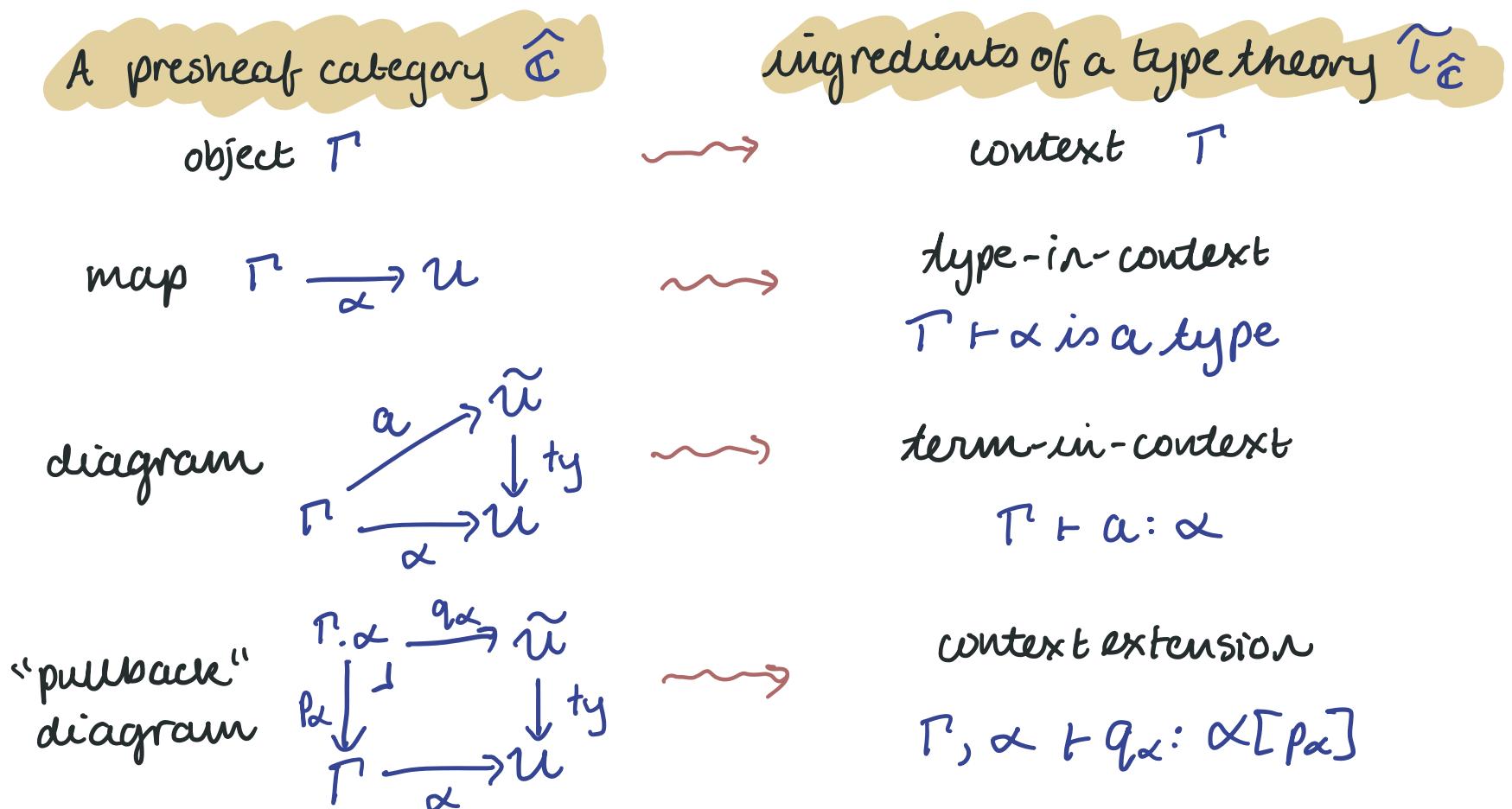
$$\frac{\Delta \mid \Gamma \vdash \alpha \text{ type}}{\Delta \mid \Gamma, x:\alpha \vdash}$$

② extension of the
crisp context

$$\frac{\Delta \mid \bullet \vdash \alpha \text{ type}}{\Delta, x:\alpha \mid \bullet \vdash}$$

Modelling dependent type theory

Recall the "internal logic" of $\widehat{\mathcal{C}}$



Modelling dependent type theory

We can go the other way -

Category \mathcal{D} with appropriate structure

object Γ



map $\Gamma \xrightarrow{\alpha} U$



diagram

$$\begin{array}{ccc} \Gamma & \xrightarrow{a} & \tilde{U} \\ & \downarrow & \downarrow \text{ty} \\ \Gamma & \xrightarrow{\alpha} & U \end{array}$$



"pullback" diagram

$$\begin{array}{ccc} \Gamma, \alpha & \xrightarrow{q_\alpha} & \tilde{U} \\ p_\alpha \downarrow & \lrcorner & \downarrow \text{ty} \\ \Gamma & \xrightarrow{\alpha} & U \end{array}$$



Ingredients of a type theory \mathcal{T}

context Γ

type-in-context
 $\Gamma \vdash \alpha \text{ is a type}$

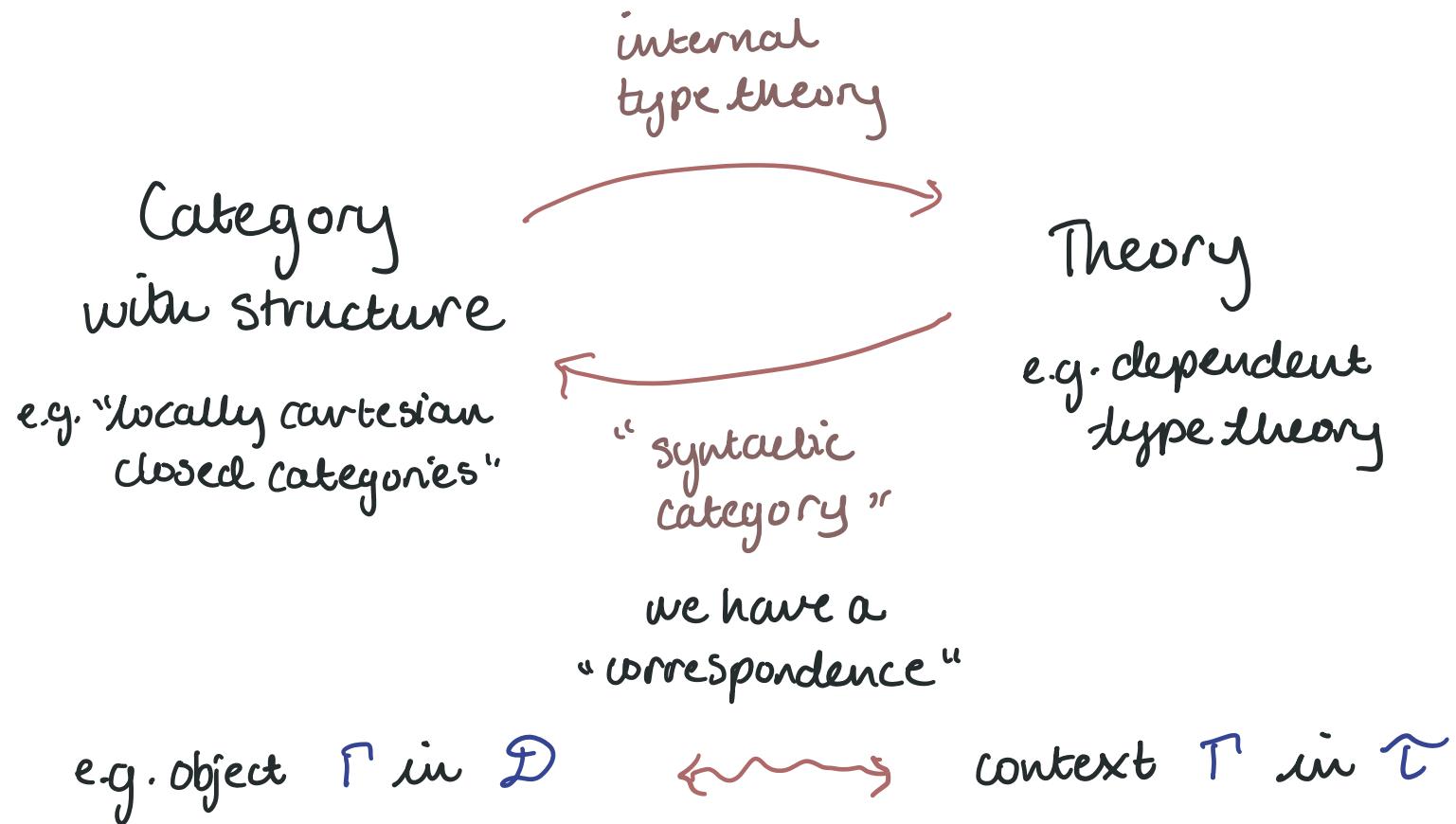
term-in-context

$\Gamma \vdash a : \alpha$

context extension

$\Gamma, \alpha \vdash q_\alpha : \alpha[\rho_\alpha]$

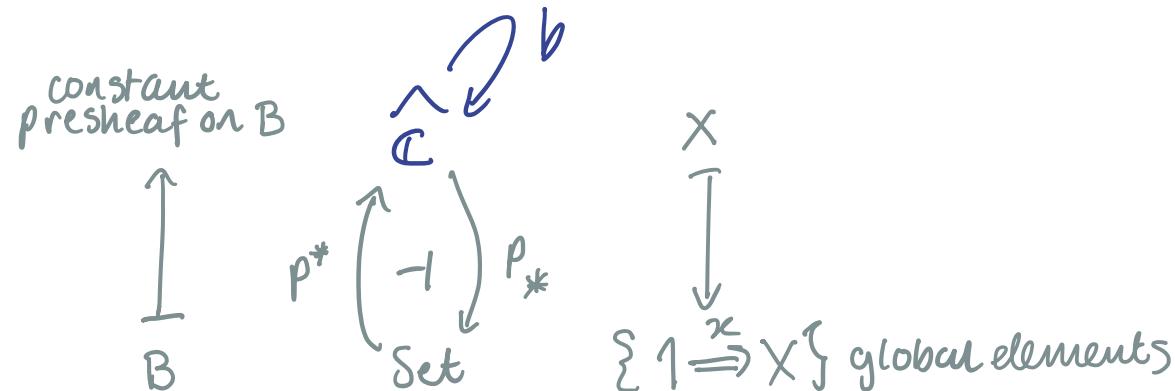
"Functorial semantics"



N.B. subtleties arise from type theories being "stricter" than categories

Modelling crisp type theory

- Conjectured model in Licata et.al. (2018), from Shulman (2018)



b is a "comonad" on $\widehat{\mathcal{C}}$

Data of a comonad

- (i) $\square: \mathcal{C} \rightarrow \mathcal{C}$ a functor
- (ii) $\varepsilon: \square \Rightarrow \text{id}_{\mathcal{C}}$
- (iii) $\jmath: \square \Rightarrow \square \square$

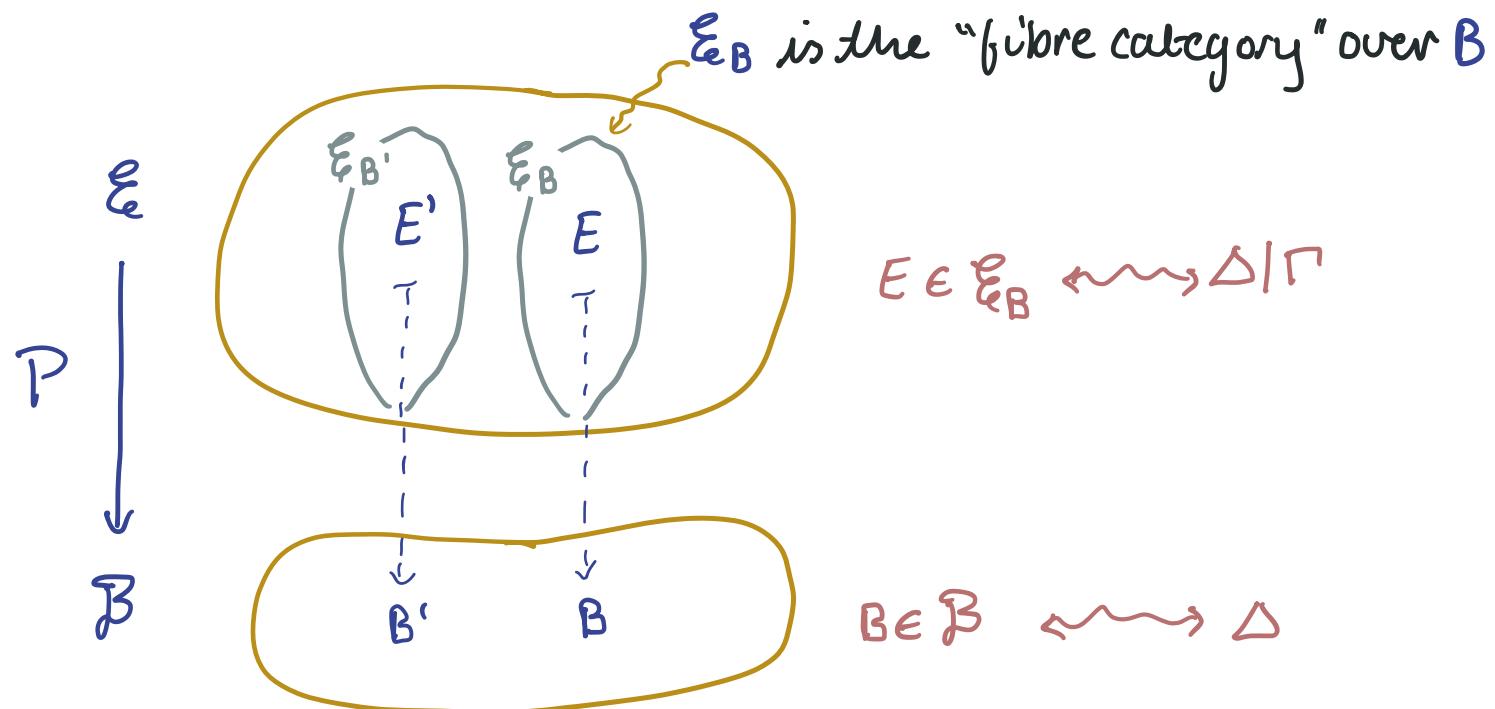
Axioms of modal logic S4

- (K) $\square(A \rightarrow B) \rightarrow (\square A \rightarrow \square B)$
- (T) $\square A \rightarrow A$
- (4) $\square A \rightarrow \square \square A$

- Our strategy - zoom out

Modelling a split context type theory

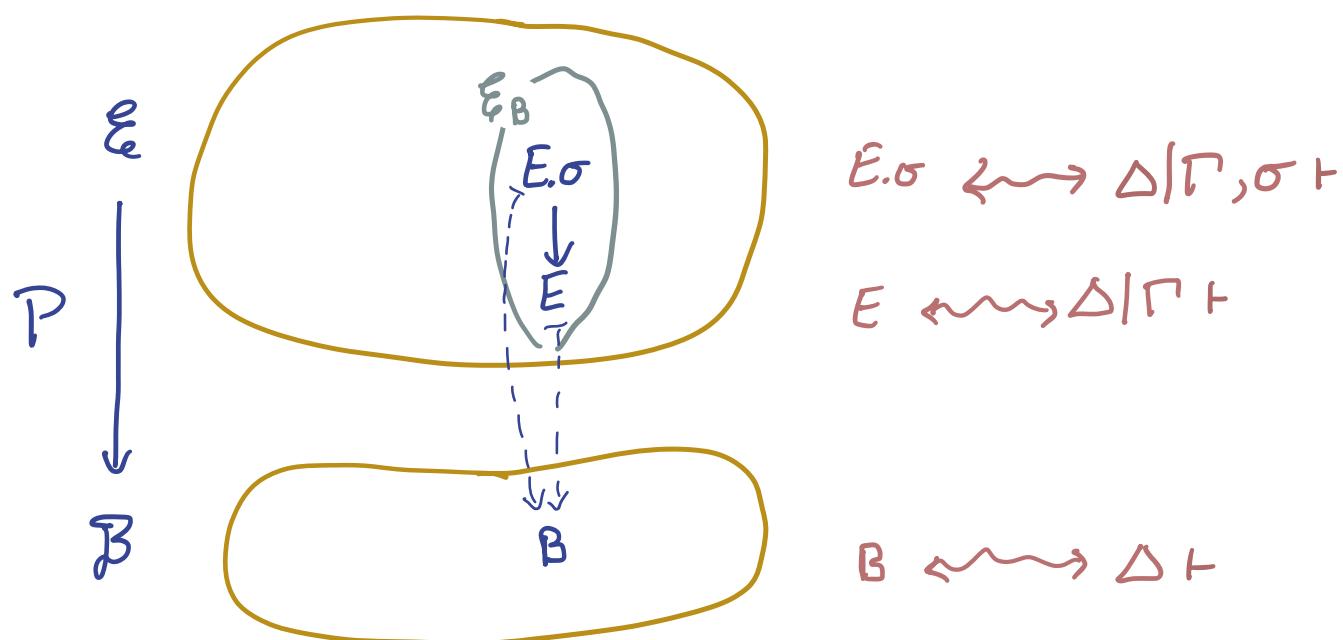
For a context $\Delta \mid \Gamma$, want to capture the dependency of Γ on Δ .



Modelling a split context type theory

Regular context extension:

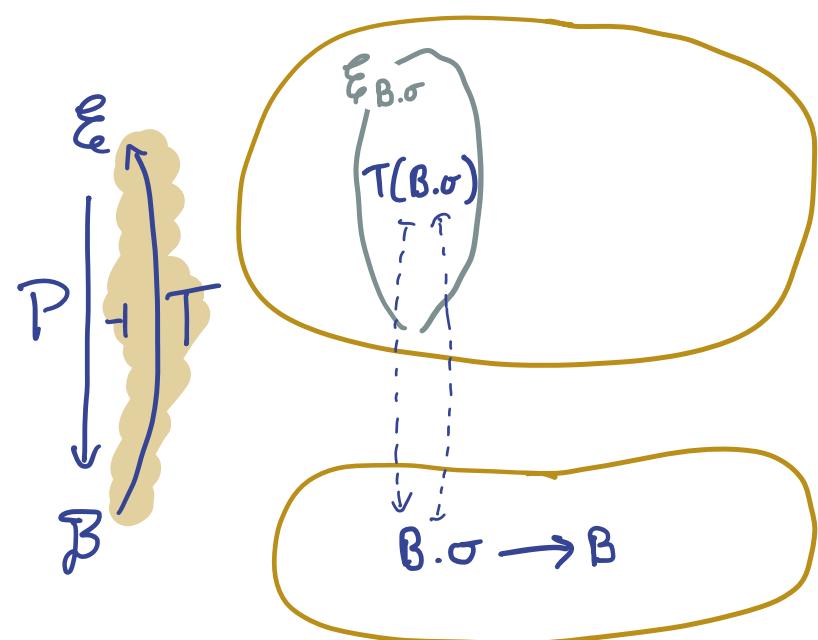
$$\frac{\Delta \mid \Gamma \vdash \sigma \text{ Type}}{\Delta \mid \Gamma, \sigma \vdash}$$



Modelling a split context type theory

Crisp context extension:

$$\frac{\Delta \vdash \bullet \vdash \sigma \text{ type}}{\Delta, \sigma \vdash \bullet \vdash}$$



$$T(B, \sigma) \rightsquigarrow \Delta, \sigma \vdash \bullet \vdash$$

$$\begin{aligned} B &\rightsquigarrow \Delta \vdash \\ B \cdot \sigma &\rightsquigarrow \Delta, \sigma \vdash \end{aligned}$$

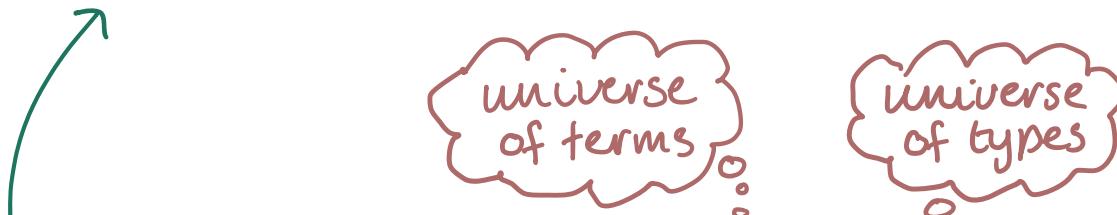
Modelling crisp type theory



Idea Equip

- (i) the base category, and
- (ii) each fibre

with the structure to model a type theory.



(e.g. two special objects \tilde{u} and \tilde{v} , and map between them, $ty: \tilde{u} \rightarrow u$.



These universes should be related to each other so that

$$\Delta \vdash_B \sigma \text{ type} \quad \text{and} \quad \Delta \vdash_{\xi} \sigma \text{ type}$$

are "the same".

What we've done

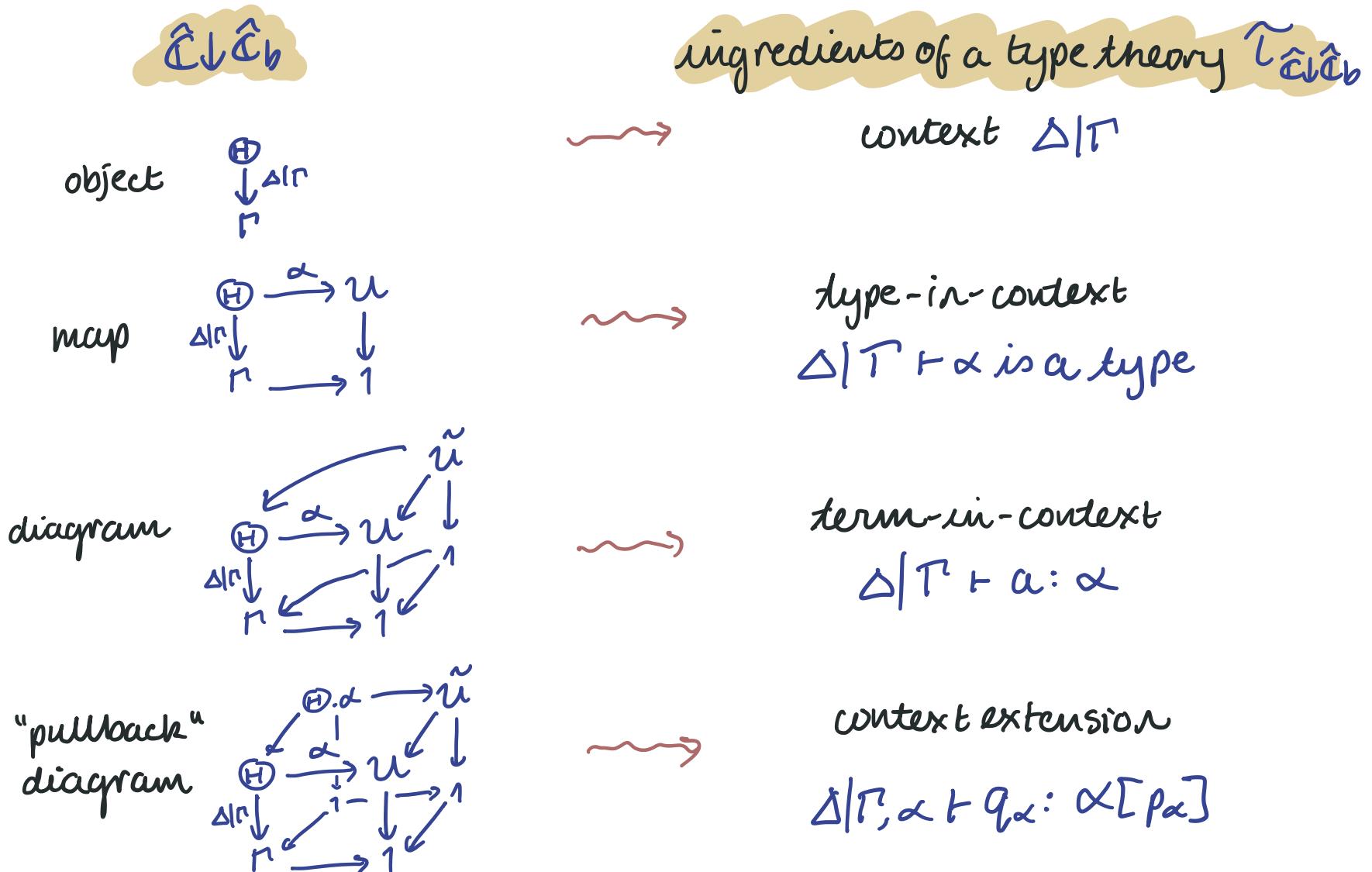
- Developed an abstract model of
relativised, fibrewise "natural models"
i.e. a functor $P: \mathcal{E} \rightarrow \mathcal{B}$ + axioms
 \rightsquigarrow helped us understand crisp type theory
- Zoomed back in to the concrete model

$$\begin{array}{c} \hat{\mathcal{C}} \xrightarrow{b} \\ p^* \left[\begin{smallmatrix} \hat{\mathcal{C}} & b \\ -1 & \end{smallmatrix} \right] p_* \\ \text{Set} \end{array}$$

and shown this is an instance of the abstract model,
where

$$\begin{aligned} \mathcal{E} &:= \hat{\mathcal{C}} \downarrow \hat{\mathcal{C}}_b \\ &\quad \downarrow \text{cd} \\ \mathcal{B} &:= \hat{\mathcal{C}}_b \quad \text{full subcategory of } x \in \hat{\mathcal{C}} \\ &\quad \leftarrow \text{with } bx = x \\ &\quad \text{n.b. } \hat{\mathcal{C}}_b \simeq \text{Set} \end{aligned}$$

- extracted the internal (crisp!) type theory of $\widehat{\mathcal{C}} \downarrow \widehat{\mathcal{C}}_b$



what we're doing

- developing Kripke-Joyal semantics in this setting
 - a general forcing condition, plus special cases for type formers
- ~~> relates category-theoretic and type-theoretic descriptions e.g. for the universe of uniform fibrations
- Formalising the "functorial semantics"
- Formulating crisp Π -types in the model.

Thanks