Universal and morphological exponents for metabolic biological growth

Felipe L.P. Pinheiro · André L. A. Penna · Fernando A. Oliveira

Received: date / Accepted: date

Abstract In this work we investigated the biological growth exponents and we showed that they obey a combination of universal and empirical morphological exponents. We applied these results for fishes and we obtained the exponents that describe their metabolic growth, and in addition we showed the influence of the exponents on the growth and the maturation time. We discuss the need to review some of the old empirical growth equations to give a better account of the data.

 $\textbf{Keywords} \ \ \textbf{Universal exponent} \cdot \textbf{Morphological exponent} \cdot \textbf{Metabolic growth}$

PACS 89.20.-a \cdot 89.75.-k \cdot 87.23.Cc

1 Introduction

The large diversity of forms and size of the living beings would at first glance induce us to believe that no quantitative universal law could describe their behaviour. On the other hand, since Darwin's evolution theory, we know that all living beings have a common origin and consequently we could expect common features underlying the basic mechanism. Based on that view, one

Felipe L.P. Pinheiro

Instituto de Física, Universidade de Brasília, Brazil

 $Tel.\colon +123\text{-}45\text{-}678910$

E-mail: flpinheiro@gmail.com

André L. A. Penna

International Center for Condensed Matter Physics, CP 04455, Universidade de Brasília, Brazil

 $\hbox{E-mail: penna.andre@gmail.com}$

Fernando A. Oliveira

Instituto de Física and International Center for Condensed Matter Physics, CP 04455, Universidade de Brasília, Brazil

E-mail: faooliveira@gmail.com

could ask a question such as is there a universal law for growth? The last decades have seen a large number of empirical evidence and theoretical works that point towards two major simple rules: First, a strict relationship between biological growth and metabolic rates. Second, the existence of universal laws for metabolic rates, and consequently for the growth phenomena. Moreover, beyond the most optimistic expectation those laws are simple and universal.

The dependence of biological growth on the metabolic rates have been universally accepted. As such, it is common sense that the basal metabolic rate B obeys an allotropic rate of the form [1,2]

$$B = b_0 M^{\alpha},\tag{1}$$

where M is the mass of an average individual of a given species, b_0 is a constant and α is an exponent. However, a half century was not enough to fix the metabolic exponent α .

In this work we call attention to the existence of universal and specific exponents in biological growth. In particular, we investigated the Bertalanffy equation [3,4]

$$L(t) = L_{\infty} \left[1 - e^{-(t+t_0)/\tau_{\alpha}} \right] , \qquad (2)$$

where L(t) is the length as function of time, τ_{α} is a growth time we shall discuss later, and t_0 is obtained by the animal size at birth. This equation has been used for decades and it is still used nowadays [5–8] in order to fit the growth of fishes. Nevertheless this relationship has been used in many situations, and we shall show here that if we need a more precise fit of the available data we have to rewrite this equation into a more general form, see Eq. (16).

2 Growth time

Our starting point is that the growth mechanism is determined by the metabolic rate in such a way that the gain of mass at each time interval is due to major contributions: one which is proportional to the metabolic rate, which is the necessary energy to mass increment; the second term is the loss of matter that is proportional to the mass itself. Then it is given by

$$\frac{dM}{dt} = c_1 M^{\alpha} - c_2 M \,, \tag{3}$$

where the second term on the right hand side is a decay term which is proportional to the mass. This equation yields

$$M(t) = M_{\infty} \left[1 - (1 - \epsilon_{\alpha})e^{-t/\tau_{\alpha}} \right]^{\delta}, \qquad (4)$$

where

$$\epsilon_{\alpha} = \chi^{\frac{1}{\delta}} \,. \tag{5}$$

Here $\chi = M_0/M_{\infty}$, with $M_0 = M(0)$ as the initial mass, $M_{\infty} = M(\infty) = (c_1/c_2)^{\delta}$ as the saturation mass, and $\delta = (1-\alpha)^{-1}$ as a universal exponent, because it depends only on α which is a universal parameter. Here

$$\tau_{\alpha} = \frac{\delta}{c_1} M_{\infty}^{1/\delta} = \frac{\delta}{c_2} \,, \tag{6}$$

is the average time of growth. Although the expression χ could be extremely small, ϵ_{α} is not. For example for $\alpha=3/4$, $\delta=4$, a small $\chi=0.01$, gives $\epsilon_{\alpha=3/4}\approx 0.32$, which is a considerable amount compared with 1. Consequently, it can not be neglected in Eq. (4). However, there is a "normal procedure" in the literature that consists of defining a time t_0 as in Eq. (2) as $\exp(-t_0/\tau)=1-\epsilon_{\alpha}$. Even in the particular situation where we consider $\epsilon_{\alpha}=0$, we can not consider Eq (2) as a good way to describe the growth phenomena, as we shall see below.

In table 1 we have the values of α given by several authors for more details see White and Seymours [9]. We note that for all the values but the first, we have $2/3 < \alpha < 3/4$.

The ratio between the two growth times is given by

$$\frac{\tau_{\alpha 1}}{\tau_{\alpha 2}} = \frac{c_2(\alpha_2)}{c_2(\alpha_1)} \left(\frac{1 - \alpha_2}{1 - \alpha_1} \right). \tag{7}$$

If we consider c_2 independent of α then, for example $\tau_{3/4}/\tau_{2/3} = 4/3$. However, as we shall see below this naive argument does not apply, indeed both c_1 and c_2 must be strongly dependent on α .

3 Minimization

We now examine the relation between two growth times, for two different α , in a more precise way. Since the total time evolution must be independent of α , we define

$$\eta = \frac{1}{\tau M_{\infty}^2} \int_0^{\infty} \left[M_{2/3}(t) - M_{\alpha}(t) \right]^2 dt \,, \tag{8}$$

which must be minimized to reduce spurious differences. To avoid a cumbersome notation let us take $\tau = \tau_{2/3}$, $\epsilon = \epsilon_{2/3} = \chi^{1/3}$, such that $\epsilon_{3/4} = \epsilon^{3/4} = \chi^{1/4}$.

For $\alpha = 3/4$, we obtain

$$\eta = f_1 + f_2 + g \,, \tag{9}$$

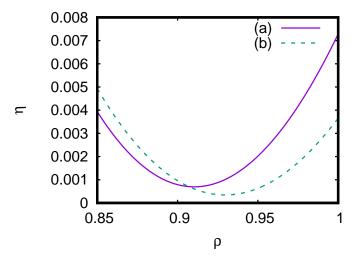


Fig. 1 η as a function of ρ . Curve (a) We use $\chi=M_0/M_\infty=10^{-3}$, which gives $\epsilon=0.1$, we observe a minimum at $\rho*=0.91$; curve (b) $\chi=10^{-2}$, we have a minimum at $\rho*=0.93$.

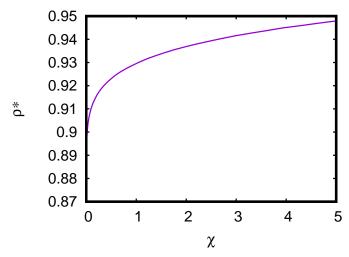


Fig. 2 The ratio between the growth times $\rho*$ as a function of the mass ratio $\chi=M_0/M_\infty$. The χ axis is multiplied by 10^2 .

where $\rho = \tau_{3/4}/\tau_{2/3}$ and

$$f_1 = \sum_{i=1}^{3} \sum_{j=1}^{3} \frac{A_i A_j}{i+j} \tag{10}$$

$$f_{2} = \rho \sum_{i=1}^{4} \sum_{j=1}^{4} \frac{B_{i}B_{j}}{i+j}$$

$$g = -2\rho \sum_{i=1}^{3} \sum_{j=1}^{4} \frac{A_{i}B_{j}}{i\rho+j} ,$$

$$(11)$$

$$g = -2\rho \sum_{i=1}^{3} \sum_{j=1}^{4} \frac{A_i B_j}{i\rho + j}, \qquad (12)$$

where $A_i = \binom{3}{i}(\epsilon - 1)^i$ and $B_i = \binom{4}{i}(\epsilon^{3/4} - 1)^i$. In this way, η depends on the ρ and ϵ . For a given ϵ , η has a minimum $\frac{\partial \eta}{\partial \rho} = 0$ for $\rho = \rho *$.

In Fig. 1 we plot η as a function of ρ . There we see the ratio $\chi = M_0/M_\infty$ influences the growth time, i.e., the minimum of η . In curve (a), $\chi = M_0/M_\infty = 10^{-3}$, which gives $\epsilon = 0.1$, with the minimum at $\rho * = 0.91$; in curve (b) we have $\chi = 10^{-2}$, which gives $\epsilon = 0.215$, we see that now η has a minimum of $\rho * = 0.93$. Since for fishes $10^{-3} < \chi < 10^{-2}$ is a reasonable range for χ , from that we can conclude that we have decreases in ρ of less than of 10%, and not an increase as suggested by Eq. (7). In this way it becomes clear that the coefficients c_1 and c_2 are strongly dependent on the metabolic law, i.e on α . It is very important to notice as well that a very small χ will demand a bit more of growth time.

In Fig. 2 we plot the value of $\rho*$, which minimizes η as function of χ . Here, we multiply the χ axes by 10^2 . The ratio $\rho*$ increases monotonically as χ increases. In this way, we can say that fishes with small χ would have the growth time more affected. However, this fraction is very small, i.e., an order of magnitude in χ will affect only 2%. Altogether, we can say that the growth time will be not affected by the metabolic exponent α , neither by χ . Consequently, the growth time is a very robust concept.

4 Maturation time

We now want to define the time parameter directly associated to reach the maturation mass according to its final mass. This maturation time can be known considering that the maturation mass finds the ideal value in $1 - \exp(-1) \approx 63\%$ of its maximum mass M_{∞} . From Eq. (4), the maturation time τ^* can be written as

$$\tau^* = \frac{M(t)}{M_{\infty}} = \tau(\alpha) \ln \left[\frac{1 - \epsilon_{\alpha}}{1 - (1 - e^{-1})^{1 - \alpha}} \right], \tag{13}$$

where we have $\epsilon_{\alpha} \leq (1 - e^{-1})^{1-\alpha}$.

In Fig. (3) we show τ^* as a function of χ , for different values of α . We take τ^* in units of $\tau(\alpha)$. We can see that both curves decrease with χ , but there are considerable numerical difference between them. We see here a very large difference between the maturation time and the growth time, and how it is affected by the exponent α . This difference is higher than those observed in Figs. (1) and (2). Indeed, this is really something worth to observe in experiments.

5 Growth exponents

Now we come to the central point of this work, the relationship between the exponents. First, the relationship between the mass and the length is given by

$$M \propto L^{\lambda}$$
, (14)

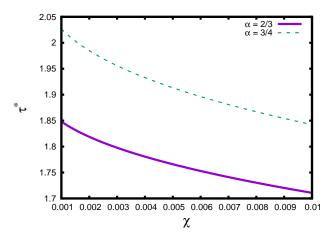


Fig. 3 τ^* as a function of χ for $\alpha = 3/4$, upper curve, and $\alpha = 2/3$, lower curve.

we shall call here λ the morphological exponent. Note that due the large diversity of forms we do not expect λ to be a universal exponent as α is. However, surprisingly it is remarkably close to 3, see table 2.

Now we will suppose that a fish has a lateral elliptical area, with major axis R_1 , and minor axis R_2 . For a length L, the volume $V \propto R_1R_2L$, so in this way we can propose that the lateral growth is given by the radius R_i , i = 1, 2, as

$$R_i(t) = R_{i,\infty} \left[1 - (1 - \epsilon_\alpha) e^{-t/\tau_\alpha} \right]^{\beta_i}, \qquad (15)$$

and for the length

$$L(t) = L_{\infty} \left[1 - (1 - \epsilon_{\alpha})e^{-t/\tau_{\alpha}} \right]^{\gamma} . \tag{16}$$

It is quite natural to consider the fish as having a uniform and isotropic density, then we have

$$M \propto R_1 R_2 L \propto \left[1 - (1 - \epsilon_\alpha) e^{(-t/\tau_\alpha)} \right]^{\delta} ,$$
 (17)

where

$$\delta = 2\beta + \gamma \,, \tag{18}$$

where we consider $\beta=(\beta_1+\beta_2)/2$ the average exponent for lateral growth. Since most of the data refer to L, we do not have precise experimental information about the R_i . Consequently there is no reason to keep two β_i . For those with access to more detailed information it would be very interesting to obtain β_1 , β_2 and to check out the difference $\frac{|\beta_1-\beta_2|}{2\beta}$, which we believe to be a small number. In any case, it does not affect our analysis. Even for the exponents β and γ , we show here that there is not enough data to determine the difference between them.

ſ	b_0	10.3	2.6	1.8	2.3	4.3	4.2	3.4	3.8	4.1	3.2	4.0	3.7
	α	0.61	0.74	0.76	0.731	0.69	0.71	0.71	0.71	0.69	0.73	0.686	0.712
	Ref	[10]	[11]	[12]	[13]	[14]	[15]	[16]	[17]	[18]	[19]	[20]	[21]

Table 1 Basal metabolic rate, $B = b_0 M^{\alpha}$. All regressions were calculated to standardize units (BMR in ml O₂ h⁻¹, M in g).

Fish	Ref	λ	$ au_{2/3}$	γ	β
Gymnosarda unicolor	[7]	3.065	2.326	1.305	1.347
pinirampus pirinampus	[22]	2.945	3.333	1.358	1.321
pseudopaltystoma fasciatutum	[22]	3.126	7.692	1.280	1.360
Zungaro jahu	[22]	3.228	7.813	1.239	1.380
pseudopaltystoma corruscans	[22]	3.172	7.874	1.261	1.369
dolphinfish Coryphaena hippurus (male)	[23]	3.144	0.977	1.272	1.364
dolphinfish Coryphaena hippurus (female)	[23]	2.848	0.970	1.404	1.298
Protosalanx hyalocranius	[24]	2.990	0.341	1.338	1.331
oedalechlus labeo (male)	[25]	2.975	7.463	1.345	1.328
oedalechlus labeo (female)	[25]	3.014	5.525	1.327	1.336
opsarrichthys bidens (male)	[26]	3.150	3.226	1.270	1.365
opsarrichthys bidens (female)	[26]	3.050	3.846	1.311	1.344
Sander Lucioperca	[27]	3.010	6.667	1.329	1.336

Table 2 Parameters λ , τ , γ , and β and for each fish. We use equation (20) to calculate β ; eq. (19) to obtain γ and $\delta = 4$ for both; The averages are $\tilde{\gamma} = 1.31 \pm 0.05$ and $\tilde{\beta} = 1.34 \pm 0.02$.

From the relationship between Eq. (4) and Eq. (14) we get

$$\gamma = \frac{\delta}{\lambda} \,, \tag{19}$$

and

$$\beta = \frac{\delta}{2\lambda}(\lambda - 1). \tag{20}$$

Now we will analyze the relationship between our equation and the data existing in the literature, and we see that $\gamma=\beta=1$ only for $\lambda=\delta=3$ in discordance with the available data. For the value of α we have two extreme views: The classical works of Bertalanffy [3,4], which associates the metabolic rate to heat dissipation, being in this way proportional to the surface area

$$B \propto V^{2/3} \propto M^{2/3}. (21)$$

From these works it follows that $\alpha=2/3$. The works of West et al [1,2,28] attribute the metabolic rate to the energy necessary to feed each cell through the cardiovascular network. Their work suggest that the allotropic exponents should be multiples of 1/4 and that $\alpha=3/4$. However, Kozlowski and Konarzewski [29,30] and some other authors [9,31,32] do question those values.

Recent results show that in macroecology the predator-prey power law biomass scales as well with $\alpha \approx 3/4$, which indicates that very different communities of species exhibit similar high-level structure and function [33,34]. That conclusion was obtained through a massive amount of data for energy flows within the food chain for both terrestrial and aquatic biomes.

In Table 2 we show the values of the parameters for several species of fishes. There we display the empirical values of λ and the growth time τ . Most of the authors treat the data with a large number of decimals, however, if we consider the standard deviation we see that the alleged accuracy is exaggerated and we have to look at those data with care. The major problem however lies in the many different ways to treat the data. We use $\alpha = 3/4$ which gives $\delta = 4$ and the values of λ given by some authors. From this we obtain β and γ and we note that both are always great than 1. The table shows clearly that β and γ are larger than 1, consequently the simple Bertalanffy equation, Eq. (2), does not apply and if we want more precise information we need to use the more complete form Eq. (16). Table 2 shows as well a very small difference between the exponents β and γ . Since $\beta - \gamma \propto \lambda - 3$, and λ is very close to 3, $\bar{\lambda} = 3.0 \pm 0.1$, it is not surprising that we get the average values, $\bar{\beta} = 1.34 \pm 0.02$ and $\bar{\gamma} = 1.31 \pm 0.04$. Indeed, from the available data β and γ are very close, and for this particular issue more precise data are need to definitive conclusion. Thus, we can conclude that $\gamma \approx \beta \approx 4/3$.

6 Conclusion

In conclusion we have investigated the general equations for growth in animals, starting from the basic metabolic rate that states that $B \propto M^{\alpha}$. From this we determine that lateral growth exponent β and the longitudinal growth exponent γ as function of the exponent δ and of the morphological exponent λ . We expected that the exponents β and γ would be morphological exponents, however for the available data $\gamma = \beta = 4/3$, which suggests that they are universal exponents. In any case the precision is enough to state that they are different from 1 as exposed in Eq. (2) and consequently the use of Eq. (16) is necessary. We hope that new data could be used to verify the value of the exponents and in addition we expect that researchers with experimental data could run it again using Eq. (16) to validate the exponents. In addition to the exponents the major practical information coming from the analysis are the growth and maturation time, which are important in the laboratory, in fish farming, in fisheries, and for ecological modeling. We conclude that the growth time is very robust independent of the metabolic exponent. However, the maturation time is very sensible to rate $\chi = M(0)/M_{\infty}$ and α . It would also be very important to see if the growth time is affected by stress such as in competition [35–39]. Unfortunately, the results existing in the literature come from different statistical methods used for treatment of the data, as well having questionable precision. Thus it is urgent to make this procedure more uniform.

7 Acknowledgments

We acknowledge the support of the Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq) Brazil, the Coordenação de Aperfeiçoamento

de Pessoal de Nível Superior (CAPES) Brazil, and the Fundação de Apoio à Pesquisa do Distrito Federal (FAP-DF), Brazil.

References

- 1. G.B. West, Science, 276, 122 (1997).
- 2. G.B. West, J.H. Brown, and B.J. Enquist, Scaling Biol., 263, 104 (1999).
- 3. L.V. Bertalanffy, Hum. Biol., 10, 181 (1938).
- 4. L.V. Bertalanffy, Q. Rev. Biol., 32, 217 (1957)
- 5. S. Katsanevakis and C.D. Maravelias, Fish Fish., 9, 178 (2008).
- 6. H. Meinhardt, A.F. Quadros, and P.B. Araujo, Rev. Bras. Zool., 24, 1108 (2007).
- K.K. Joshi, Indian J. Fish., 59, 75 (2012).
 Q. Liu, B. Xu, Z. Ye, and Y. Ren, J. Ocean Univ. China, 11, 557 (2012).
 C.R. White and R.S. Seymour, J. Exp. Biol., 208, 1611 (2005).
- 10. M. Rubner, Über den einfluss der körpergrösse auf stoff- und kraftwechsel, Z. Biol., 19, 536 (1883).
- 11. M. Kleiber, Body size and metabolism, Hilgardia, 6, 315 (1932)
- 12. F. Gano, Vital energetics: a study in comparative basal metabolism. Washington, D.C. Carnegie Institution of Washington, (1938).
- 13. S. Brody, Bioenergetics and growth: with special reference to the efficiency complex in domestic animals.. A Publication of the Herman Frasch Foundation, Reinhold Publishing Corp., New York, (1945).
- 14. V. Hayssen and R.C. Lacy, Review basal metabolic rates in mammals: taxonomic differences in the allometry of BMR and body mass, Comp. Biochem Physiol, 81A, 741 (1985)
- 15. M. A. Elgar and P.H. Harvey, Basal Metabolic Rates in Mammals: Allometry, Phylogeny and Ecology, Funct. Ecol., 1, 25 (1987).
- 16. B. K. McNab, Complications inherent in scaling the basal rate of metabolism in mammals, The Quarterly Review of Biology, 63, 25 (1988)
- A.A Heusner, Size and power in mammals, J. Exp. Biol., 54, 25 (1991).
- 18. B.G. Lovegrove, The Zoogeography of Mammalian Basal Metabolic Rate., Am. Nat., 156, 201 (2000).
- 19. M.R.E. Symonds and M. a Elgar, Phylogeny affects estimation of metabolic scaling in mammals, Evolution, 56, 2330 (2002).
- 20. C.R. White and R.S. Seymour, Mammalian basal metabolic rate is proportional to body mass 2/3, PNAS, 100(7), 4046 (2003).
- 21. V.M. Savage and JF Gillooly, The predominance of quarter-power scaling in biology, Funct. Ecol., 18, 257 (2004).
- 22. L.A.F. Mateus and J.M.F. Penha, Rev. Bras. Zool., 24, 87 (2007).
- 23. C. Alejo-Plata, Rev. Biol., 46, 125 (2011).
- 24. F.J. Tang, W. Liu, J. Wang, R. Froese, and S. Xie, J. Appl. Ichthyol., 28, 842 (2012).
- 25. S. Matić-Skoko, J. Ferri, M. Kraljević, and A. Pallaoro, J. Appl. Ichthyol., 28, 182 (2012).
- 26. XY Sui, YZ Yan, and YF Chen, Zool. Stud., 51, 476 (2012). 27. J.L. Pérez-Bote and R. Roso, J. Appl. Ichthyol., 28, 264 (2012).
- 28. J.H. Brown, G.B. West, and B.J. Enquist, Funct. Ecol., 19, 735 (2005).
- J. Kozlowski and M. Konarzewski , Funct. Ecol. , 18, 283 (2004).
 J Kozlowski and M Konarzewski , Funct. Ecol., 19, 739 (2005).
 P.S. Agutter and J.A. Tuszynski, J. Exp. Biol., 214, 1055 (2011).

- 32. J.R. Banavar, M.E. Moses, J.H. Brown, J. Damuth, A. Rinaldo, R.M. Sibly, and A. Maritan, Proc. Natl. Acad. Sci., 107, 15816 (2010).
- 33. I.A. Hatton, K.S. McCann, J.M. Fryxell, et al., Science, 349, 1070 (2015).
- 34. J. Cebrian, Science, 349, 1053 (2015).
- 35. F. V. Barbosa, A. L. A. Penna, R. M. S. Ferreira, K. L. V. Novais, J. A.R. da Cunha, F. A. Oliveira, Physica A, 473, 301 (2017). 36. M. G. Clerc, D. Escaff and V. M. Kenkre, Phys. Rev. E, 82, 036210 (2010).

- 37. E.H. Colombo and C. Anteneodo, Phy. Rev. Ě, 86, 036215 (2012). 38. J.A.R. da Cunha, A.L.A. Penna, M.H. Vainstein, R. Morgado, and F.A. Oliveira, Phys. Lett. A, 373, 661 (2009).
- 39. J.A.R. da Cunha, A.L.Á. Penna, and F.A. Oliveira, Phys. Rev. E, 83, R015201 (2011).