FLash:::fwd for stock projection Laurence Kell August 13th, 2014

Introduction

An important part of stock assessment is setting future management regulations, e.g. based on catches, effort or selectivity. Therefore often a stock or population (i.e. a projection) hmay be required after an assessment for a range of catches to allow managers to decide upon a TAC or within an MSE for a management measure set by an MP.

THE PRECAUTIONARY APPROACH[^books_be] requires harvest control rules (HCRs) to trigger pre-agreed conservation and management action. This requires limit reference points to set boundaries that constrain harvesting within safe biological limits within which stocks can produce the maximum sustainable yield (MSY) and targets to ensure that management objectives are met.

The performance of HCRs, i.e. how well they meet management objectives should be evaluated, ideally using Management Strategy Evaluation (MSE) where the HCRs is tested as part of a Management Procedure (MP). Where an MP is the combination of the data collection regime stock assessment procedure and the setting of management regulations. HCRs can be modelled using the fwd method of FLR; see the MSE document for examples of simulation testing.

fwd takes objects descibing historical stock status and assumptions about future dynamics(e.g. growth, maturity, natural mortality and recruitment dynamics), then performs a projection for future options e.g. for catches, fishing mortality.

Libraries

```
library(FLCore)
library(FLash)
library(FLBRP)
library(ggplotFL)
```

In the following examples we use the ple4 FLSock object

data(ple4)

Methods

The main method is fwd which is used to make future projections, e.g. to evaluate different management options such as Total Allowable Catches (TACs) once a stock assessment has been conducted or for simulating a Harvest Control Rule (HCR) as part of a Management Strategy Evaluation (MSE).

fwdWindow sets up future dynamics of the FLStock object and fwdControl that sets up the target options in the projections. fwd-Control is very flexible but can be tricky to set up so there are a variety of methods for standard tasks, e.g. simulating a Harvest Control Rule (HCR) or running projections for F, catch and biomass target.

fwdWindow

To perform a projection requires making assumptions about future processes such as growth and recruitment and any management effects on selectivity. This requires extending an FLStock object using fwdWindow and an FLBRP object. In this way projections and equilibrium dynamics and reference points are consistent.

```
stk=fwdWindow(ple4,end=2020,eql)
```

=as.FLSR(ple4,model="bevholt")

facet_wrap(~qname, scale="free_y")

If recruitment is based on a stock recruitment relationship, this can be obtained by fitting to the historic time series.

```
=fmle(sr,control=list(silent=TRUE))
  Then used to estimate the expected equilibrium dynamics
eql=FLBRP(ple4, sr=sr)
eql=brp(eql)
plot(eql)+theme(legend.position="bottom")
  Future stock parameters
ggplot(FLQuants(eql, "m", "mat", "stock.wt", "catch.sel"))+
  geom_line(aes(age,data))+
```

Projecting

We first show how simple projections (e.g. for F and catch) can be performed. Later we show how a variety of HCRs can be simulated. Simulate fishing at $F_{0.1}$, first create an FLQuant with the target Fs

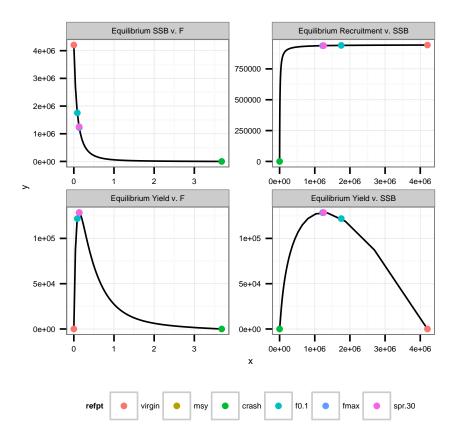


Figure 1: Equilibrium Dynamics

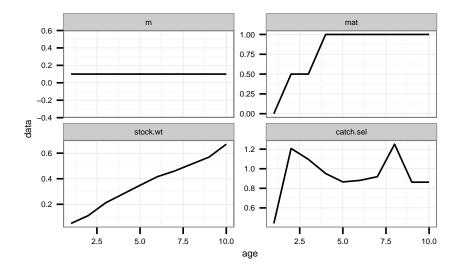


Figure 2: Stock Parameters in future projection

```
F0.1=FLQuant(refpts(eql)["f0.1", "harvest", drop=T],
             dimnames=list(year=2009:2020))
```

Then project forward, note that sr is also required and that recruitment is determininistic.

```
stk=fwd(stk,f=F0.1,sr=sr)
```

```
plot(FLStocks("Historic"=ple4, "F0.1"=stk))
```

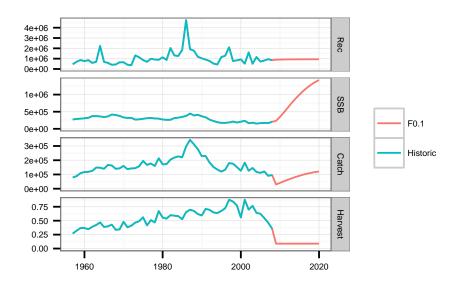


Figure 3: Projection for $F_{0.1}$

It is possible to project for different Fs i.e. alternative reference points

library(plyr)

```
dimnames(refpts(eql))$refpt
```

```
[1] "virgin" "msy"
                      "crash"
                               "f0.1"
             "spr.30" "mey"
[5] "fmax"
refs=refpts(eql)[c("msy","f0.1","fmax","spr.30"),"harvest",drop=T]
targetF=FLQuants(mlply(data.frame(refs),
                       function(refs)
                           FLQuant(refs,dimnames=list(year=2009:2020))))
names(targetF)=names(refs)
names(targetF)[]="f"
```

stks=fwd(stk,targetF,sr=sr)

plot(stks)

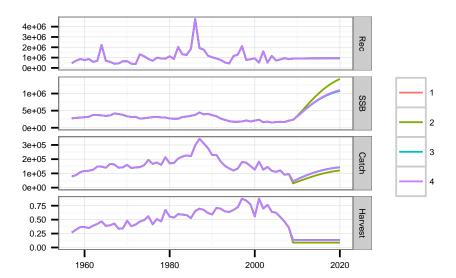


Figure 4: Comparision of projections for different F reference points

or different multipliers of F_{MSY}

msy 1.2351e+06 1.3923e+06

profit

quantity

refpt cost

```
msyTargets=FLQuants(mlply(seq(0,2,.25),
                        function(x)
                            FLQuant(x*refs["msy"],dimnames=list(year=2009:2020))))
names(msyTargets)[]="f"
stks=fwd(stk,msyTargets,sr=sr)
plot(stks)
  Catch projections are done in a similar way e.g. for MSY
refpts(eql)["msy"]
An object of class "FLPar"
     quantity
refpt harvest
                 yield
                             rec
  msy 1.3378e-01 1.2850e+05 9.3821e+05
     quantity
refpt ssb
                 biomass
                             revenue
```

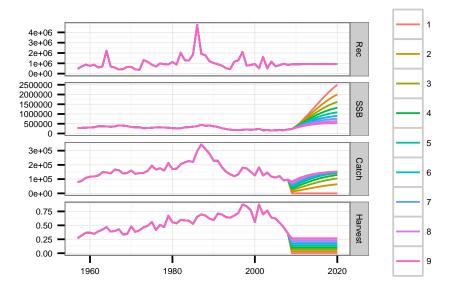


Figure 5: Comparison of projections for different Fs

```
NA
                          NA
  msy
units: NA
refpts(eql)["msy",c("harvest","yield")]
An object of class "FLPar"
     quantity
refpt harvest
                 yield
  msy 1.3378e-01 1.2850e+05
units: NA
msy=FLQuant(c(refpts(eql)["msy","yield"]),dimnames=list(year=2009:2020))
stks=fwd(stk,catch=msy,sr=sr)
plot(stks)
  Compare F and Catch projections, e.g. for MSY and F_{MSY}
msys=FLQuants("f"
                     =targetF[[2]],
              "catch"=msy)
stks=fwd(stk,msys,sr=sr)
plot(stks)
```

If the projected catch is high you could simulate high Fs, however, there will be a cap of effort and capacity so in practice such high Fs may not be realised. Therefore there is a constraint on F.

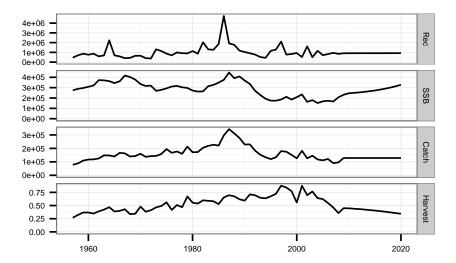


Figure 6: Comparioson of catch Projections

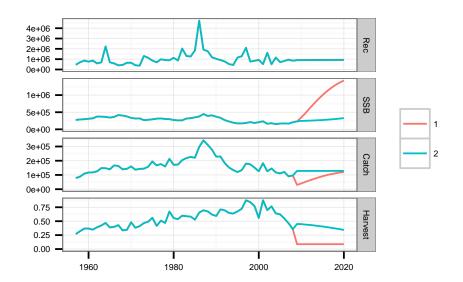
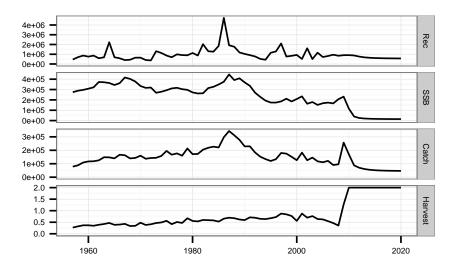


Figure 7: Comparison of Catch and F projections

```
catch=FLQuant(c(refpts(eql)["msy","yield"])*2,
                          dimnames=list(year=2009:2020))
stk=fwd(stk,catch=catch,sr=sr)
plot(stk)
```

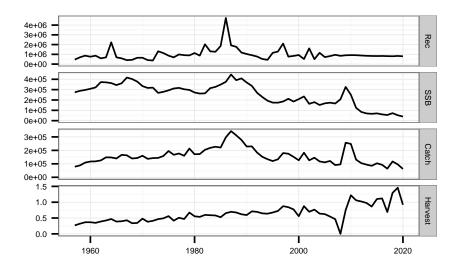


i.e. maxF, this allows an upper limit to be set on F This can also be used to model capacity

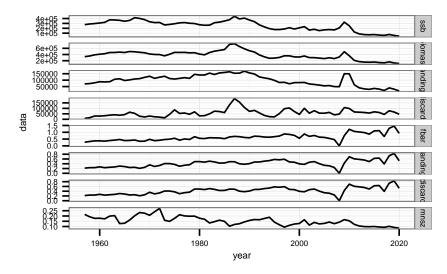
```
capacity=FLQuant(1,dimnames=list(year=2009:2020))
        =rlnorm(1,FLQuant(0,dimnames=list(year=2009:2020)),.2)
maxF
        =q*capacity
stk=fwd(stk,catch=catch,sr=sr,maxF=maxF)
plot(stk)
```

A variety of quantities can be considered in projections as well as catch and F, i.e. ssb, biomass, landings, discards, f, f.catch, f.landings, f.discards, effort, costs, revenue, profit, mnsz.

```
f.landings=function(x) apply((harvest(x)*
                   landings.n(x)/
                   catch.n(x))[ac( range(stk)["minfbar"]:range(stk)["maxfbar"])],2,mean)
f.discards=function(x) apply((harvest(x)*
                   landings.n(x)/
                   catch.n(x))[ac( range(stk)["minfbar"]:range(stk)["maxfbar"])],2,mean)
mnsz
         =function(x) apply(stock.n(x)*stock.wt(x),2,sum)/
                       apply(stock.n(x),2,sum)
```



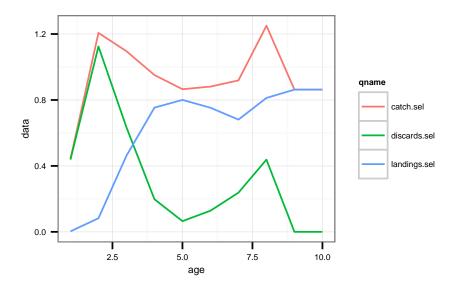
```
flqs=FLQuants(stk,"ssb", "biomass"=stock,
                  "landings", "discards", "fbar",
                  "f.landings", "f.discards", "mnsz")
              effort, costs, revenue, profit, .
ggplot(flqs)+
  geom_line(aes(year,data))+
  facet_grid(qname~.,scale="free_y")
```



Selection pattern

Management has two main options, i.e. setting effort (as in the examples above) or relative F-at-age by changing the selection pattern. The selection pattern-at-age of landings is that of the catch less discards e.g.

```
ggplot(FLQuants(eql, "catch.sel", "discards.sel", "landings.sel"))+
  geom_line(aes(age,data,col=qname))
```



In the FLStock object there are therefore 3 selection pattern components, and unfortunate three ways of calculating each. fwd uses computeCatch to re-estimate the catch.n, landings.n and discards.n before calculating future selection patterns.

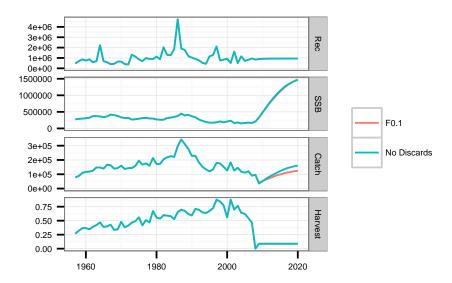
```
catch(stk)<-computeCatch(stk)</pre>
```

In fwd the selection patterns are then calculated as harvest discards.n/catch.n, harvestlandings.n/catch.n and discards.sel+landings.sel Simulation of gears that get rid of discarding can be done by

```
noDiscards=stk
discards.n(noDiscards)[,ac(2009:2020)]=0
           noDiscards)[,ac(2009:2020)]<-landings.n(noDiscards)[,ac(2009:2020)]
catch(noDiscards)<-computeCatch(noDiscards)</pre>
## Note adjustment of harvest
harvest(noDiscards)[,ac(2009:2020)]=harvest(stk)[,ac(2009:2020)]*
  landings.n(stk)[,ac(2009:2020)]/catch.n(stk)[,ac(2009:2020)]
```

```
noDiscards=fwd(noDiscards,f=F0.1,sr=sr)
stk
          =fwd(stk,
                           f=F0.1, sr=sr)
```

plot(FLStocks("No Discards"=noDiscards,"F0.1"=stk))



Non stationarity

Non stationarity is seen in many biological processes, what happens if future fecundity decreases?

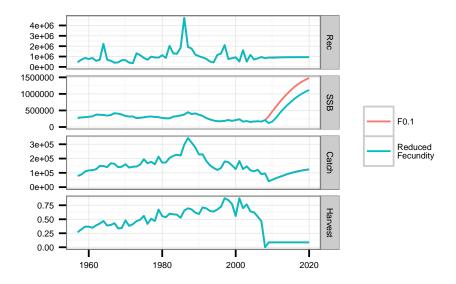
```
poorFec=stk
mat(poorFec)[1:5,ac(2009:2020)]=c(0,0,0,0,.5)
poorFec=fwd(poorFec, f=F0.1, sr=sr)
plot(FLStocks("Reduced \nFecundity"=poorFec, "F0.1"=stk))
```

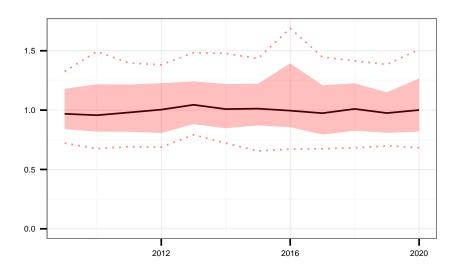
Or there is a regime shift in the stock recruitment relationship?

Stochasticity

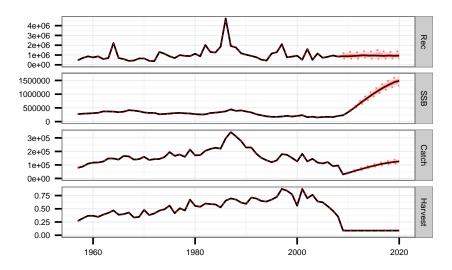
Monte Carlo simulations based on future recruitment

```
srDev=rlnorm(100,FLQuant(0,dimnames=list(year=2009:2020)),0.3)
plot(srDev)
```





```
load("/tmp/flash.RData")
stk =fwdWindow(stk,end=2020,eql)
stk =fwd(stk,f=F0.1,sr=sr,sr.residuals=srDev)
plot(stk)
```



Harvest Control Rules

```
load("/tmp/flash.RData")
source('~/Desktop/flr/git/FLash/R/hcr.R')
hvt=hcr(stk,refpts(eql)["msy"])
hvt
$hvt
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
     year
    2008
age
  all 0.0013378
units: t*NA
$ssb
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
     year
```

```
age
      2008
  all 206480
units: t
tac(stk,eql,hvt[[1]])
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
     year
     2008
age
  all 431.74
units: NA NA
fwdControl
fwdControl
target
trgtArray
effort
effArray
blocks
fwdControl is a more flexible but fiddly way of setting up projec-
tions. For example to replicate the F_{0.1} projection above requires
setting up a fwdControl object.
   This can be done using a constructor and a data.frame
ctrl=fwdControl(data.frame(year
                                      =2009:2018,
                                      =c(refpts(eql)["f0.1", "harvest"]),
                             quantity="f"))
   fwdControl is a class with 5 slots
slotNames(ctrl)
[1] "target"
                               "trgtArray"
                  "effort"
[4] "effArray" "block"
  For now we will concerntrate on just the target and trgtArray slots.
slotNames(ctrl)
```

```
[1] "target"
                            "trgtArray"
                "effort"
[4] "effArray"
                "block"
ctrl
```

Target

	year	${\tt quantity}$	min	val	max
1	2009	f	NA	0.0876	NA
2	2010	f	NA	0.0876	NA
3	2011	f	NA	0.0876	NA
4	2012	f	NA	0.0876	NA
5	2013	f	NA	0.0876	NA
6	2014	f	NA	0.0876	NA
7	2015	f	NA	0.0876	NA
8	2016	f	NA	0.0876	NA
9	2017	f	NA	0.0876	NA
10	2018	f	NA	0.0876	NA

	min		val	max	
1		NA	0.087602		NA
2		NA	0.087602		NA
3		NA	0.087602		NA
4		NA	0.087602		NA
5		NA	0.087602		NA
6		NA	0.087602		NA
7		NA	0.087602		NA
8		NA	0.087602		NA
9		NA	0.087602		NA
10		NA	0.087602		NA

target specifies the quantity for the projection (e.g. "f", "catch", "ssb", ...) and the projection year. The projection can be a target by specifying it in val. While min and max specify bounds. For example if you want to project for a target F but also to check that SSB does not fall below an SSB limit.

An example with high F that decreases SSB a lot

```
target=fwdControl(data.frame(year=2009,val=0.8,quantity="f"))
      =fwdWindow(ple4,end=2010,eql)
stk=fwd(stk,ctrl=target,sr=eql)
fbar(stk)[,"2009"]
```

```
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
     year
    2009
age
  all 0.8
units: f
ssb( stk)[,"2010"]
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
     year
age 2010
 all 177289
units: NA
```

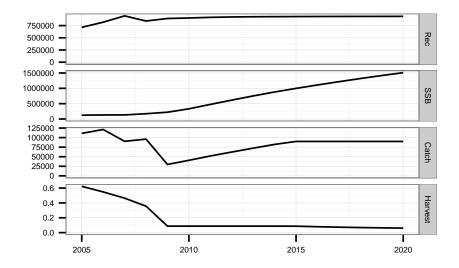
Note that it is the end of year biomass that is constrained as in this case spawning is at Jan 1st and so fishing only has an effect of SSB next year

Constrain SSB so that it doesnt fall below 250000

```
target <-fwdControl(data.frame(year=c(2009,2009),</pre>
                              val = c(0.8, NA),
                              \min = c(NA, 230000),
                              quantity=c("f","ssb")))
stk=fwd(stk,ctrl=target,sr=sr)
fbar(stk)[,"2009"]
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
     year
age 2009
 all 0.52058
units: f
ssb( stk)[,"2010"]
An object of class "FLQuant"
```

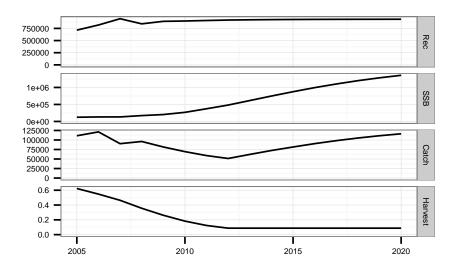
```
, , unit = unique, season = all, area = unique
     year
age 2010
  all 230000
units: NA
  If a stock spawns mid year so the adult population is affected by
fishing then the SSB constraint is within year, e.g.
harvest.spwn(stk)[]=0.5
stk=fwd(stk,ctrl=target,sr=sr)
fbar(stk)[, "2009"]
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
     year
age 2009
 all 0.01302
units: f
ssb( stk)[,c("2009","2010")]
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
     year
age 2009
             2010
 all 230000 228391
units: NA
Harvest Control Rules
msy =refpts(eql)["msy", "yield"]
bmsy =refpts(eql)["msy", "ssb"]
f0.1 =refpts(eql)["f0.1", "harvest"]
stk =fwdWindow(stk,end=2020,eql)
#### constant catch with an upper F bound
ctrl=fwdControl(data.frame(year
                                   =rep(2009:2020,each=2),
```

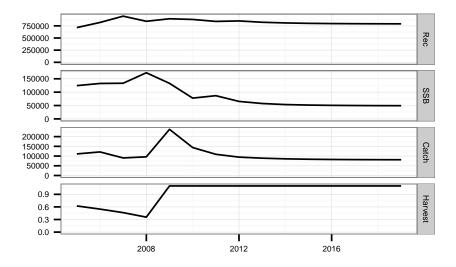
```
val
                                    =rep(c(msy*0.7,NA),12),
                              max
                                      =rep(c(NA, f0.1), 12),
                            quantity=rep(c("catch", "f"), 12)))
stk=fwd(stk,ctrl=ctrl,sr=sr)
plot(stk[,ac(2005:2020)])
```



Reduce F to Fo.1 but only let catch change by 15% a year

```
ctrl=fwdControl(data.frame(year
                                   =rep(2009:2020,each=2),
                           rel.year=c(t(array(c(rep(NA,12),2008:2019),c(12,2)))),
                           val
                                   =rep(c(f0.1,NA),12),
                           min
                                   =rep(c(NA, 0.85), 12),
                           quantity=rep(c("f","catch"),12)))
stk=fwd(stk,ctrl=ctrl,sr=sr)
plot(stk[,ac(2005:2020)])
  10% SSB increase
ctrl=fwdControl(data.frame(year
                                   =rep(2009:2020,each=2),
                           rel.year=c(t(array(c(2008:2019,rep(NA,12)),c(12,2)))),
                           max
                                   =rep(c(f0.1,NA),12),
                                   =rep(c(NA,1.1),12),
                           val
                           quantity=rep(c("ssb","f"),12)))
stk=fwd(stk,ctrl=ctrl,sr=sr)
plot(stk[,ac(2005:2019)])
hcrF=function(iYr,SSB,Bpa,Blim,Fmin,Fmax){
    val =pmin(Fmax,Fmax-(Fmax-Fmin)*(Bpa-SSB)/(Bpa-Blim))
```





```
trgt=fwdTarget(year=iYr+1, quantity="f", valueval)
    return(trgt)}
  Recover stock to target SSB level corresponding to the 1980s in
2020 with a constant F strategy
load("/tmp/flash.RData")
stk=fwdWindow(stk,end=2020,eql)
ssbTarget = mean(ssb(stk)[,ac(1970:1989)])
## function to minimise
f<-function(x,stk,ssbTarget,ctrl,sr){</pre>
       # set target F for all years
       ctrl@target[, "val"] =x
       ctrl@trgtArray[,"val",]=x
       # project
       stk=fwd(stk,ctrl=ctrl,sr=sr)
       # Squared Difference
       return((ssb(stk)[,ac(range(stk)["maxyear"])]-ssbTarget)^2)}
## control object
ctrl=fwdControl(data.frame(year=2009:2020,val=.5,rel=2008,quantity="f"))
xmin=optimize(f, c(0.1, 1.0), tol = 0.0000001, stk=stk, ssbTarget=ssbTarget,
              ctrl=ctrl, sr=eql)
ctrl=fwdControl(data.frame(year=2009:2020,val=xmin$minimum,rel=2008,quantity="f"))
stk
        =fwd(stk,ctrl=ctrl,sr=eql)
# update catch slot
catch(stk) = computeCatch(stk)
# Have we reached the target?
ssbTarget
[1] 322339
ssb(stk)
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
```

units: NA

At what level of constant F

fbar(stk)

An object of class "FLQuant" , , unit = unique, season = all, area = unique

year

age 1957 1958 1959 1960 all 0.26857 0.32106 0.36734 0.36796 year

age 1961 1962 1963 1964 all 0.34756 0.39012 0.42276 0.46878 year

1967 age 1965 1966 1968 all 0.38796 0.39896 0.42923 0.33621 year

age 1969 1970 1971 1972 all 0.34457 0.47965 0.38206 0.41158 year

age 1973 1974 1975 1976 all 0.46551 0.49072 0.56113 0.41641 year

age 1977 1978 1979 1980 all 0.51007 0.46862 0.67312 0.55555 year

1982 1983 1984 age 1981 all 0.53705 0.59912 0.58934 0.58159 year

age 1985 1986 1987 1988 all 0.52695 0.65386 0.69596 0.67530 year

age 1989 1990 1991 1992 all 0.61895 0.59361 0.71195 0.69443 year

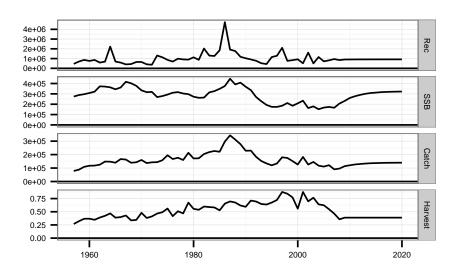
1995 1996 age 1993 1994 all 0.64752 0.63741 0.67444 0.72301 year

age 1997 1998 1999 2000 all 0.87588 0.84233 0.77264 0.55795 year

2002 2003 2004 age 2001 all 0.87567 0.69763 0.76597 0.64015 year

2007 age 2005 2006 2008 all 0.62343 0.54764 0.46392 0.35631

```
year
      2009
              2010
                      2011
                               2012
age
  all 0.38813 0.38813 0.38813 0.38813
     year
      2013
              2014
                      2015
                               2016
age
  all 0.38813 0.38813 0.38813 0.38813
     year
              2018
                      2019
                               2020
age
      2017
  all 0.38813 0.38813 0.38813 0.38813
units: f
plot(stk)+
  geom_hline(aes())
```

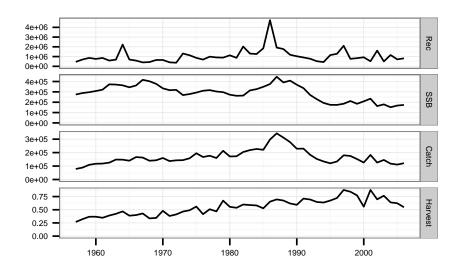


Recover stock to the desired SSB in 2006 with a constant Catch strategy Here val can be anything in the ctrl because it is overwritten in the optimisation loop

```
ctrl=fwdControl(data.frame(year=2009:2020,val=c(catch(stk)[,"2001"]),quantity="catch"))
xmin=optimize(f, c(100, 100000), tol = 0.0000001, stk=stk,
              ssbTarget=ssbTarget, ctrl=ctrl, sr=sr)
ctrl=fwdControl(data.frame(year=2009:2020,val=xmin$minimum,quantity="catch"))
stkC
          =fwd(stk,ctrl=ctrl,sr=sr)
# Have we reached the target?
ssbTarget
[1] 322339
```

```
ssb(stkC)[,ac(2002:2020)]
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
    year
age
    2002
            2003
                   2004
                          2005
                                 2006
 all 162725 179158 151508 167531 173783
    year
    2007
            2008
                   2009
                          2010
                                 2011
age
 all 166061 206480 231522 275100 317635
    year
            2013 2014
                          2015
age 2012
                                 2016
 all 369374 428468 493255 563472 639832
    year
age 2017
            2018 2019
                          2020
 all 719045 801719 886464 972368
units: NA
# At what level of constant catch
computeCatch(stkC)[,ac(2002:2020)]
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
    year
age 2002
                   2004
                          2005
            2003
                                 2006
 all 125884 145390 117702 111060 121205
    year
age 2007
            2008
                   2009
                          2010
                                 2011
 all 90283 96040 100000 100000 100000
    year
age 2012
            2013
                  2014
                          2015
                                 2016
 all 100000 100000 100000 100000 100000
    year
age 2017
            2018
                   2019
                          2020
 all 100000 100000 100000 100000
units: NA NA
# And at what level of F
fbar(stkC)[,ac(2002:2006)]
An object of class "FLQuant"
, , unit = unique, season = all, area = unique
```

```
year
                      2004
      2002
                              2005
              2003
age
  all 0.69763 0.76597 0.64015 0.62343
     year
     2006
age
  all 0.54764
units: f
# Update the catch slot
catch(stkC) = computeCatch(stkC)
# 'ave a butchers
plot(stkC[,ac(1957:2006)])
```



Assessment up to and including 2001

```
# set courtship and egg laying in Autumn
stk@m.spwn[]
                  =0.66
stk@harvest.spwn[]=0.66
# assessment is in year 2002, set catch constraint in 2002 and a first guess for F in 2003
              =fwdControl(data.frame(year=2002:2003,val=c(85000,.5),quantity=c("catch","f")))
ctrl
       =fwd(stk, ctrl=ctrl, sr=list(model="mean", params=FLPar(25000)))
stk
# HCR specifies F=0.1 if ssb<100000, F=0.5 if ssb>300000
# otherwise linear increase as SSB increases
min.ssb=100000
max.ssb=300000
```

```
min.f = 0.1
max.f = 0.5
# slope of HCR
                           =(max.f-min.f)/(max.ssb-min.ssb)
                           =min.f-a.*min.ssb
b.
# plot of HCR
\textbf{plot}(\textbf{c}(\theta.\theta, \texttt{min.ssb}, \texttt{max.ssb}, \texttt{max.ssb}
## find F through iteration
                           =999
i
                            =0
while (abs(ctrl@target[2,"val"]-t.)>10e-6 & i<50)</pre>
             t.=ctrl@target[2,"val"] ## save last val of F
              # calculate new F based on SSB last iter
              ctrl@target[2,"val"] =a.*c(ssb(stk)[,"2003"])+b.
              ctrl@trgtArray[2,"val",]=a.*c(ssb(stk)[,"2003"])+b.
              stk=fwd(stk, ctrl=ctrl, sr=list(model="mean", params=FLPar(25000)))
              # 'av a gander
              points(c(ssb(stk)[,"2003"]),c(ctrl@target[2,"val"]),cex=1.25,pch=19,col=i)
              print(c(ssb(stk)[,"2003"]))
              print(c(ctrl@target[2,"val"]))
              i=i+1
             }
# F bounds
                                          =fwd(stk, ctrl=ctrl, sr=list(model="mean",params=FLPar(25000)))
plot(FLStocks(stk))
```

	Exam	ples
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Targets

Limits

Relative targets and limits

Harvest Control Rules

Multi-annual management

Recovery Plans

Long-term plans

Technical measures

References