# 上机实验三: 基于剪枝算法的深度神经网络压缩

## 网络设计

同上机实验二,选择ResNet[1]中Resnet18的架构。

layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer
convl	112×112	7×7, 64, stride 2				
		3×3 max pool, stride 2				
conv2_x	56×56	$\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$	\[ \begin{array}{c} 3 \times 3, 64 \ 3 \times 3, 64 \end{array} \] \times 3	\[ \begin{array}{c} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{array} \times 3	1×1, 64 3×3, 64 1×1, 256	1×1, 64 3×3, 64 1×1, 256
conv3_x	28×28	$\left[\begin{array}{c} 3\times3,128\\ 3\times3,128 \end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right]\times4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	\[ \begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array} \times 4	\[ \begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array} \times 8
conv4_x	14×14	$\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times2$	$\left[\begin{array}{c} 3 \times 3, 256 \\ 3 \times 3, 256 \end{array}\right] \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	\[ \begin{array}{c} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{array} \] \times 36
conv5_x	7×7	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	\[ \begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array} \] \times 3
	1×1	average pool, 1000-d fc, softmax				
FLOPs		$1.8 \times 10^{9}$	$3.6 \times 10^{9}$	$3.8 \times 10^{9}$	$7.6 \times 10^{9}$	11.3×10 <sup>9</sup>

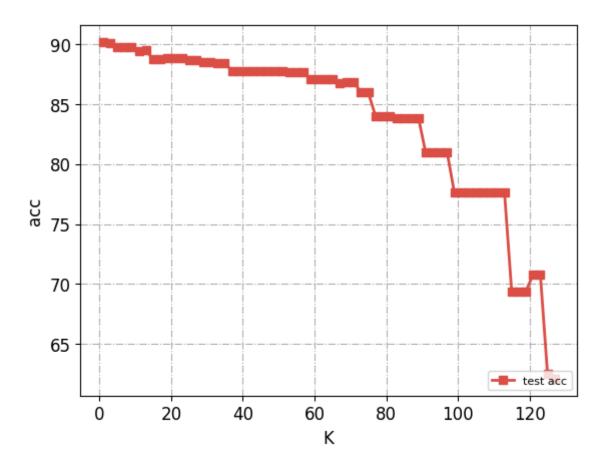
## 实验结果与分析

#### 模型剪枝的选择

由于我选用的模型是Resnet-18,当对某一block的最后一层卷积层做完全剪枝(权重全部置为零)时,网络即会将上一层block的输出原样输出。而通过实验发现,由于模型参数量对于cifar10数据集过大,resnet18的最后四个Basic block(即通道数为256的两个和512的两个)已经基本**完全退化**。例如,若对整个网络的最后一层卷积层完全剪枝,则神经网络的准确率只下降**0.6%**;而对网络倒数第三个Basic block的最后一层卷积层(整个网络的倒数第五个卷积层)完全剪枝,则网络准确率只下降**2.74%**;而对网络倒数第五个Basic block的最后一层卷积层(整个网络的倒数第五个卷积层)的完全剪枝,则网络准确率会下降至62.12%;故为了使剪枝对模型准确率的影响更加明显,选择使用对网络倒数第五个Basic block的最后一层卷积层(整个网络的倒数第九个卷积层)进行剪枝。

### 剪枝效果

该卷积层的输出共128个通道,对于不同的K(剪枝的通道数目),模型在测试集上的准确率 acc (%) 变化如下:

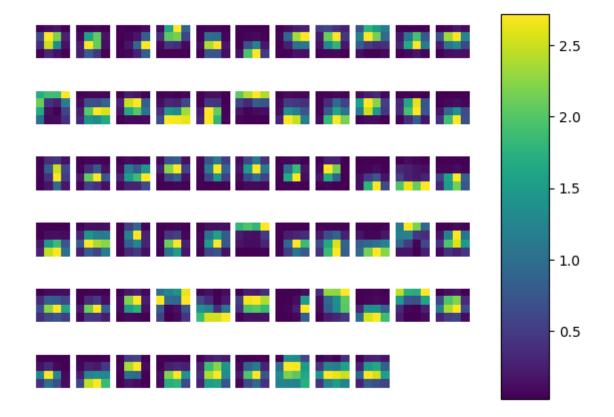


可以看到,当剪枝通道数较少时,网络准确率下滑速度较慢,但随着剪枝通道数的增加,准确率下降速度逐渐加快。

#### 特征图可视化

基于前述分析,Resnet18的最后一层卷积层已经基本完全退化,若按照实验要求画出最后一层卷积层(剪枝前)在整个测试数据集上的平均输出特征图,则基本不会得到任何结果,因此我选择了对在累加了前一个 Basic block的输出后网络的最终输出进行可视化。

由于输出共有512个通道,整体输出为512x4x4。为了便于展示,选择了输出最高的前64个通道的平均输出特征图。从左到右,从上到下,通道的激活值总和逐个递增。可以看到,越后的特征图的激活区域(高亮区域)越多。



## 实验代码

```
import random
import os
import torch
import argparse
import numpy as np
from torch.utils.data import random_split
from torch.utils.data import DataLoader
import torch.nn.functional as F
import torch.optim as optim
import torch.nn as nn
import matplotlib as mpl
import matplotlib.pyplot as plt
import torchvision.datasets as datasets
import torchvision.transforms as transforms
import os
os.environ['CUDA_LAUNCH_BLOCKING'] = '1'
class BasicBlock(nn.Module):
    expansion = 1
    def __init__(self, in_planes, planes, stride=1):
        super(BasicBlock, self).__init__()
```

```
self.conv1 = nn.Conv2d(in_planes, planes, kernel_size=3, stride=stride,
padding=1, bias=False)
        self.bn1 = nn.BatchNorm2d(planes)
        self.conv2 = nn.Conv2d(planes, planes, kernel_size=3, stride=1, padding=1,
bias=False)
        self.bn2 = nn.BatchNorm2d(planes)
        self.shortcut = nn.Sequential()
        if stride != 1 or in_planes != self.expansion*planes:
            self.shortcut = nn.Sequential(
                nn.Conv2d(in_planes, self.expansion*planes, kernel_size=1,
stride=stride, bias=False),
                nn.BatchNorm2d(self.expansion*planes)
            )
    def forward(self, x):
        out = F.relu(self.bn1(self.conv1(x)))
        out = self.bn2(self.conv2(out))
        out += self.shortcut(x)
        out = F.relu(out)
        return out
class ResNet(nn.Module):
    def __init__(self, block, num_blocks, num_classes=10):
        super(ResNet, self).__init__()
        self.in_planes = 64
        self.conv1 = nn.Conv2d(3, 64, kernel_size=3, stride=1, padding=1,
bias=False)
        self.bn1 = nn.BatchNorm2d(64)
        self.layer1 = self._make_layer(block, 64, num_blocks[0], stride=1)
        self.layer2 = self._make_layer(block, 128, num_blocks[1], stride=2)
        self.layer3 = self._make_layer(block, 256, num_blocks[2], stride=2)
        self.layer4 = self._make_layer(block, 512, num_blocks[3], stride=2)
        self.linear = nn.Linear(512*block.expansion, num_classes)
    def _make_layer(self, block, planes, num_blocks, stride):
        strides = [stride] + [1]*(num_blocks-1)
        layers = []
        for stride in strides:
            layers.append(block(self.in_planes, planes, stride))
            self.in_planes = planes * block.expansion
        return nn.Sequential(*layers)
    def forward(self, x):
        out = F.relu(self.bn1(self.conv1(x)))
        out = self.layer1(out)
        out = self.layer2(out)
       out = self.layer3(out)
        out = self.layer4(out)
        out = F.avq_pool2d(out, 4)
```

```
out = out.view(out.size(0), -1)
        out = self.linear(out)
        return out
def ResNet18():
    return ResNet(BasicBlock, [2,2,2,2])
def plot_class_acc(correct, total):
    class_names = ['plane', 'car', 'bird', 'cat', 'deer', 'dog', 'frog', 'horse',
'ship', 'truck']
    acc_list = []
    # fig, ax = plt.subplots()
    x_pos = np.arange(10)
    for i in range(10):
        acc = 100.0 * correct[i] / total[i]
        acc_list.append(acc)
        print('Accuracy of %s : %.2f %%' % (class_names[i], acc))
    total_correct = sum(correct)
    total_samples = sum(total)
    acc = 100.0 * total_correct / total_samples
    print('Overall accuracy : %.2f %%' % (acc))
    # ax.bar(x_pos, acc_list, align='center', alpha=0.5)
    # ax.set_xticks(x_pos)
    # ax.set_xticklabels(class_names)
    # ax.set_ylabel('Accuracy')
    # ax.set_title('Accuracy by Class')
   # plt.show()
    # plt.savefig("class_acc_pic.png", bbox_inches='tight')
    return acc
def prune(net, testloader, K, device):
    net.eval()
    activations = []
    def hook(module, input, output):
        activations.append(output.detach().mean(dim=[0, 2, 3]).unsqueeze(0))
    # final_conv_layer = net.layer3[1].conv2
    # final_short_cut = net.layer3
    # print(final_short_cut)
    handle = net.layer2[1].conv2.register_forward_hook(hook)
    with torch.no_grad():
        for i, (inputs, labels) in enumerate(testloader):
            inputs, labels = inputs.to(device), labels.to(device)
            outputs = net(inputs)
```

```
# select neruon to prune
    activation = torch.cat(activations, dim=0).mean(dim=0).squeeze(0)
    indices = activation.argsort()[:K]
    with torch.no_grad():
        net.layer2[1].conv2.weight.data[:, indices, :, :] = 0
    correct = 0
    total = 0
    weight\_size = 0
    with torch.no_grad():
        for i, (inputs, labels) in enumerate(testloader):
            inputs, labels = inputs.to(device), labels.to(device)
            outputs = net(inputs)
            _, predicted = torch.max(outputs.data, 1)
            total += labels.size(0)
            correct += (predicted == labels).sum().item()
        # weight_size = final_conv_layer.weight.nelement() +
final_conv_layer.bias.nelement()
        weight_size = net.layer2[1].conv2.weight.nelement()
    return 100 * correct / total, weight_size
def train(net, train_loader, test_loader, loss_func, optimizer, device,
full_train_epochs):
    train_loss_list = []
    train_acc_list = []
    test_loss_list = []
    test_acc_list = []
    lr_scheduler = torch.optim.lr_scheduler.CosineAnnealingLR(
        optimizer, T_max=full_train_epochs, verbose=True)
    for epoch in range(OPTS.num_epochs):
        if epoch > OPTS.num_epochs - full_train_epochs:
            lr_scheduler.step()
        net.train()
        for x, y in train_loader:
            x = x.to(device)
            y = y.to(device)
            prediction = net(x)
            loss = loss_func(prediction, y)
            optimizer.zero_grad()
            loss.backward()
            optimizer.step()
        if epoch % OPTS.print_freq == 0:
            print('epoch: {}, loss: {:.4f}'.format(epoch, loss.data))
```

```
train_loss_list.append(loss.data.cpu())
           print('-----')
           train_loss, train_acc = test(net, train_loader, loss_func,
device=device)
           train_acc_list.append(train_acc)
           print('-----')
           test_loss, test_acc = test(net, test_loader, loss_func, device=device)
           test_loss_list.append(test_loss.data.cpu())
           test_acc_list.append(test_acc)
    return train_acc_list, test_acc_list
def test(net, test_loader, loss_func, device):
   net.eval()
   correct = [0] * 10
   total = [0] * 10
   with torch.no_grad():
       for i, (inputs, labels) in enumerate(test_loader):
           inputs, labels = inputs.to(device), labels.to(device)
           outputs = net(inputs)
           test_loss = loss_func(outputs, labels)
           _, predicted = torch.max(outputs.data, 1)
           for j in range(len(labels)):
               label = labels[j]
               correct[label] += (predicted[j] == label).item()
               total[label] += 1
       acc = plot_class_acc(correct, total)
   print('test loss: {:.4f}'.format(test_loss.data))
    return test_loss, acc
def parse_args():
   parser = argparse.ArgumentParser()
   parser.add_argument('--seed_value', type=int, default=123456)
   parser.add_argument('--learning-rate', '-r', type=float, default=1e-2)
   parser.add_argument('--num-epochs', '-T', type=int, default=30)
   parser.add_argument('--batch-size', '-b', type=int, default=512)
   parser.add_argument(
       '--print-freq',
       type=int,
       default=1,
       help='frequency to print info (per epoches)'
   parser.add_argument(
       '--full-train-epochs',
       type=int,
       default=15,
       help='If specified use lr schedule for these epochs at the end'
```

```
parser.add_argument('--load-model',
                        action='store_true',
                        help='If load model')
    return parser.parse_args()
def main():
    # Set seed
    random.seed(OPTS.seed_value)
    np.random.seed(OPTS.seed_value)
    torch.manual_seed(OPTS.seed_value)
    os.environ['PYTHONHASHSEED'] = str(OPTS.seed_value)
    torch.cuda.manual_seed(OPTS.seed_value)
    torch.backends.cudnn.deterministic = True
    torch.backends.cudnn.benchmark = False
    device = torch.device(
        'cuda') if torch.cuda.is_available() else torch.device('cpu')
    train_transform = transforms.Compose([
        transforms.RandomHorizontalFlip(),
        transforms.RandomCrop(32, padding=4),
        transforms.ToTensor(),
        transforms.Normalize((0.5, 0.5, 0.5), (0.5, 0.5, 0.5)),
    ])
    test_transform = transforms.Compose([
        transforms.ToTensor(),
        transforms.Normalize((0.5, 0.5, 0.5), (0.5, 0.5, 0.5)),
    ])
    train_dataset = datasets.CIFAR10(root='./data/cifar10', train=True,
download=True, transform=train_transform)
    test_dataset = datasets.CIFAR10(root='./data/cifar10', train=False,
download=True, transform=test_transform)
    train_loader = torch.utils.data.DataLoader(train_dataset,
batch_size=OPTS.batch_size, shuffle=True)
    test_loader = torch.utils.data.DataLoader(test_dataset,
batch_size=OPTS.batch_size, shuffle=False)
    net = ResNet18().to(device)
    loss_func = nn.CrossEntropyLoss().to(device)
    optimizer = torch.optim.Adam(net.parameters(), lr=OPTS.learning_rate,
weight_decay=1e-5)
    if not OPTS.load model:
        train_loss_list, test_loss_list = train(net, train_loader, test_loader,
loss_func, optimizer, device, full_train_epochs = OPTS.full_train_epochs)
        torch.save(net.state_dict(), 'resnet18.pt')
    else:
        net.load_state_dict(torch.load("resnet18.pt"))
```

```
K_values = list(range(1, 128, 2))
    pruning_accuracy = []
    pruning_weight_size = []
    #prune
    for K in K_values:
        net.load_state_dict(torch.load("resnet18.pt"))
        accuracy, weight_size = prune(net, test_loader, K, device)
        pruning_accuracy.append(accuracy)
        pruning_weight_size.append(K)
        print(f'K={K}, accuracy={accuracy}, weight size={weight_size}')
    color1 = '#db4e45'
    color2 = '#264194'
    color3 = '#4ba9ad'
    color4 = '#eda841'
    TICKSIZE = 12
    LABELSIZE = 12
    LEGANDSIZE = 8
    plt.plot(np.array(pruning_weight_size), np.array(pruning_accuracy),
color=color1,
             linestyle='-', marker='s', ms=6.0, label='test acc', linewidth=2)
    # plt.plot(list(range(OPTS.num_epochs)), np.array(test_loss_list), color=color2,
              linestyle='-', marker='o', ms=6.0, label='test loss', linewidth=2)
    plt.xticks(fontsize=TICKSIZE)
    plt.yticks(fontsize=TICKSIZE)
    plt.legend(fontsize=LEGANDSIZE, loc='lower right') # 显示图例
    plt.grid(linestyle='-.')
    plt.xlabel('K', fontsize=LABELSIZE)
    plt.ylabel('acc', fontsize=LABELSIZE)
    plt.show()
    plt.savefig("loss_pic.png", bbox_inches='tight')
    # draw featuremap
    net.eval()
    net.load_state_dict(torch.load("resnet18.pt"))
    activations = []
    def hook(module, input, output):
        activations.append(output.detach().mean(dim=[0]).unsqueeze(0))
    handle = net.layer4.register_forward_hook(hook)
    with torch.no_grad():
```

```
for i, (inputs, labels) in enumerate(test_loader):
            inputs, labels = inputs.to(device), labels.to(device)
            outputs = net(inputs)
    # select neruon to prune
    activation = torch.cat(activations, dim=0).mean(dim=0).squeeze(0)
    activation_mean = torch.cat(activations, dim=0).mean(dim=[0, 2, 3]).squeeze(0)
    indices = activation_mean.argsort()[-64:]
    # activation = activations[indices]
    # result = activation[indices, :, :]
    for i in range(64):
        plt.subplot(6,11,i+1)
        plt.imshow(activation[indices[i], :, :].cpu())
        plt.axis('off')
    plt.subplots_adjust(bottom=0.1, right=0.8, top=0.9)
    cax = plt.axes([0.85, 0.1, 0.075, 0.8])
    plt.colorbar(cax=cax)
    plt.show()
    plt.savefig("feature_map.png", bbox_inches='tight')
if __name__ == '__main__':
   OPTS = parse_args()
    main()
```