Pumping lemmas for weighted automata

Filip Mazowiecki¹ and Cristian Riveros²

¹University of Bordeaux

²Pontificia Universidad Católica de Chile

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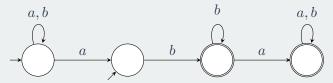
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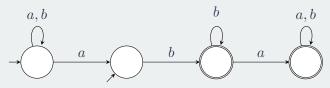
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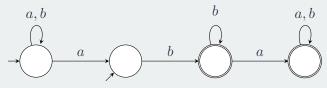
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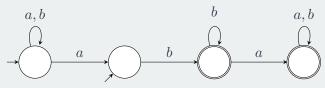
 $f:\Sigma^*\to\{0,1\}$



$$f:\Sigma^*\to\{0,1\}$$

Weighted automata

 $f:\Sigma^* \to$ "some numbers"?



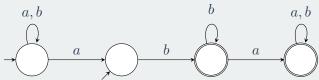
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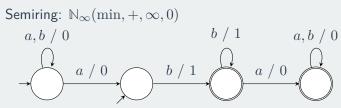
Weighted automata

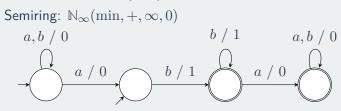
$$f: \Sigma^* \to$$
 "some numbers"? \mathbb{N} ?

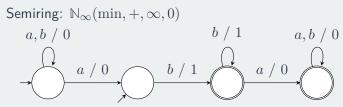
Semiring: $\mathbb{N}_{\infty}(\min, +, \infty, 0)$

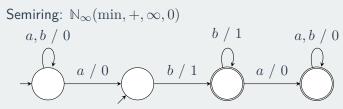
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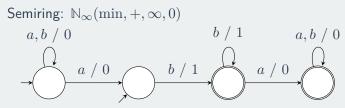


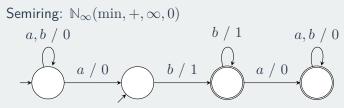


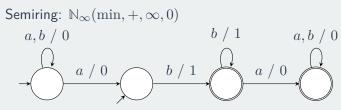




b b a b
$$1+1+0+0=2$$



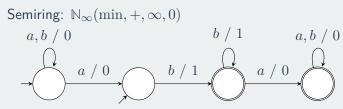




Consider w = bbab

b b a b b b a b
$$1+1+0+0=2$$
 $0+0+0+1=1$

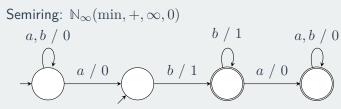
Output: $\min\{2, 1\} = 1$



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In general: \odot transitions, \oplus accepting runs



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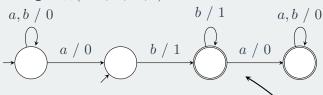
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① if there is no accepting run

Semiring: $\mathbb{N}_{\infty}(\min, +, \infty, 0)$



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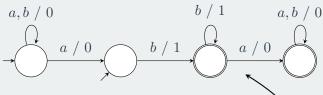
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In general: ⊙ transitions, ⊕ accepting runs

0 if there is no accepting run

"smallest block of b's"

Semiring: $\mathbb{N}_{\infty}(\min, +, \infty, 0)$



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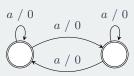
In general: ⊙ transitions, ⊕ accepting runs

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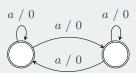
"smallest block of b's" $(\infty \text{ if there is no } b)$

Number of accepting runs?

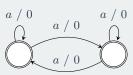
• could be exponential accepting runs: 2^n (for a^n)



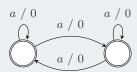
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- "smallest block of b's" accepting runs: blocks of b's (linear)

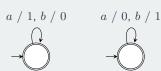


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- "smallest block of *b*'s" accepting runs: blocks of *b*'s (linear)
- $\min_{a \in \Sigma} \{ \text{ number of } a \text{ 's } \} ?$



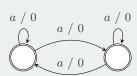
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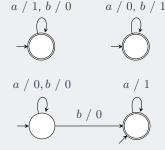
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• f longest suffix of a's; f(abaa) = 2 accepting runs: 1



Fix $\mathbb{N}_{\infty}(\min, +, \infty, 0)$

Fix
$$\mathbb{N}_{\infty}(\min, +, \infty, 0)$$

State of art

WA
∪⅓
polynomially ambiguous WA
U⅓
finitely ambiguous WA
U⅓
unambiguous WA
U⅓
deterministic WA

Fix
$$\mathbb{N}_{\infty}(\min, +, \infty, 0)$$

State of art

polynomially ambiguous WA

or finitely ambiguous WA

unambiguous WA

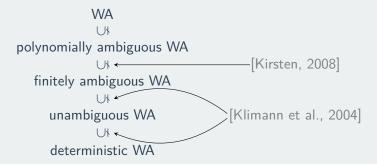
UN

(Klimann et al., 2004)

deterministic WA

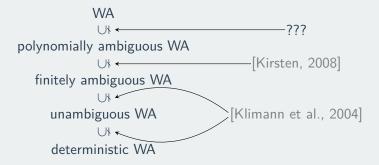
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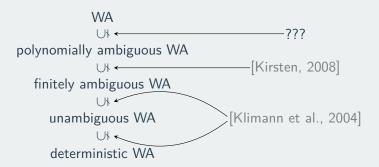
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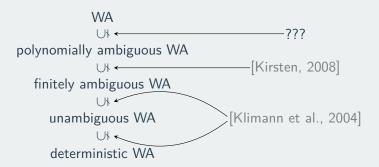
State of art



Strictness shown by examples

Fix
$$\mathbb{N}_{\infty}(\min, +, \infty, 0)$$

State of art



- Strictness shown by examples
- Papers are about determinization

Boolean world

Boolean world

Finite automata

Show that $L=\{a^nb^n\mid n\in\mathbb{N}\}$ is not regular.

Boolean world

Finite automata

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Solution: pumping lemma

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Finite automata

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Take $w \in L$ big enough

Meanwhile other formalisms

Boolean world

Finite automata

Show that $L = \{a^n b^n \mid n \in \mathbb{N}\}$ is not regular.

Solution: pumping lemma

Take $w \in L$ big enough exists a decomposition w = xyz, |y| > 0

s.t. $xy^nz \in L$ for all n

Meanwhile other formalisms

Boolean world

• Finite automata

Show that $L = \{a^n b^n \mid n \in \mathbb{N}\}$ is not regular.

Solution: pumping lemma

Take $w\in L$ big enough exists a decomposition w=xyz, |y|>0 s.t. $xy^nz\in L$ for all n quick case analysis

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Boolean world

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- Context-free languages pumping lemmas
- First order logic Ehrenfeucht-Fraïssé games

Semiring: $\mathbb{N}_{\infty}(\min, +, \infty, 0)$

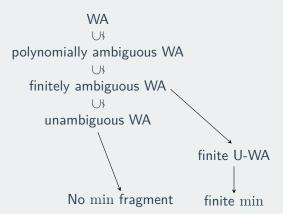
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∪⅓
polynomially ambiguous WA
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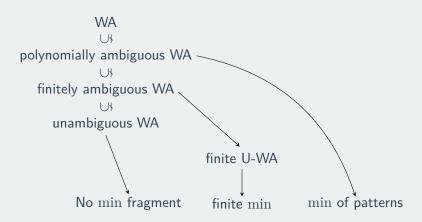
Semiring: $\mathbb{N}_{\infty}(\min, +, \infty, 0)$

WA polynomially ambiguous WA finitely ambiguous WA unambiguous WA No min fragment

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Unambiguous WA (U-WA) over $\mathbb{N}_{\infty}(\min, +, \infty, 0)$

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Theorem (Pumping Lemma 1)

Let f recognizable by an U-WA over $(\min, +)$

there exists N s.t. for every $u \cdot \underline{v} \cdot w$ with $|v| \geq N$

there is a refinement $\hat{u} \cdot \hat{\underline{v}} \cdot \hat{w}$ and either:

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Example: f – longest suffix of a's

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Theorem (Pumping Lemma 1) ← works for a broader class

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Example: f – longest suffix of a's

Let f be a WA over $\mathbb{N}_{\infty}(\min,+,\infty,0)$

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Let
$$f(w) = \min(\#_a(w), \#_b(w))$$
 $(f \in \mathsf{FA-WA})$

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$$u \cdot v \cdot w = a^{(N+1)^2} \underline{b^N}$$
, $f(u \cdot v \cdot w) = N$

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Any refinement $\hat{u}\cdot\hat{v}\cdot\hat{w}=a^{(N+1)^2}\cdot b^n\underline{b^m}b^l$, $1\leq m\leq N$

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$$f(\hat{u} \cdot \underline{\hat{v}}^i \cdot \hat{w}) = (N+1)^2$$
 for i big enough

Let f be a WA over $\mathbb{N}_{\infty}(\min,+,\infty,0)$

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, $f(u \cdot v \cdot w) = N$

Any refinement $\hat{u} \cdot \hat{v} \cdot \hat{w} = a^{(N+1)^2} \cdot b^n \underline{b^m} b^l$, $1 \le m \le N$

$$f(\hat{u} \cdot \hat{\underline{v}}^i \cdot \hat{w}) = (N+1)^2$$
 for i big enough

but take
$$i=N$$
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Let f be a WA over $\mathbb{N}_{\infty}(\min,+,\infty,0)$

there exists N s.t. for every $u\cdot\underline{v}\cdot w$ with $|v|\geq N$

there is a refinement $\hat{u}\cdot\hat{\underline{v}}\cdot\hat{w}$ and either:

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- $\bullet \quad f(\hat{u} \cdot \underline{\hat{v}}^i \cdot \hat{w}) \ < \ f(\hat{u} \cdot \underline{\hat{v}}^{i+1} \cdot \hat{w}) \ \text{for every } i \ge N.$

Let
$$f(w) = \min(\#_a(w), \#_b(w))$$
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Corollary: U-WA \subseteq FA-WA over $(\min, +)$

Word n-representation: $w = u_0 \cdot \underline{v_1} \cdot u_1 \cdot \underline{v_2} \cdot \dots u_{n-1} \cdot \underline{v_n} \cdot u_n$

Word *n*-representation: $w = u_0 \cdot \underline{v_1} \cdot u_1 \cdot \underline{v_2} \cdot \dots u_{n-1} \cdot \underline{v_n} \cdot u_n$

(n, N)-representation: $|v_i| \geq N$ for all i

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A refinement is $w = u_0' \cdot \underline{y_1} \cdot u_1' \cdot \underline{y_2} \cdot \dots u_{n-1}' \cdot \underline{y_n} \cdot u_n'$ if y_i refine v_i

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Example, a (3,2)-representation

 $w = a\underline{b^3}aa\underline{b^2}a\underline{b^2}aa$

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Example, a (3,2)-representation

$$w = a\underline{b^3}aa\underline{b^2}a\underline{b^2}aa$$

$$w(\{1,3\},3) = a\underline{b}^9 a a\underline{b}^2 a\underline{b}^6 a a$$

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Example: f – "smallest block of b's"

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Let
$$w = (\underline{b^N}a^N)^N \quad (n = N)$$

Let $S_j = \{1, \dots, N\} \setminus \{j\}, \quad f(w(S_j, i)) = N \text{ for all } i, j$

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Example: f - "smallest block of b's" Let $w=(\underline{b^N}a^N)^N$ (n=N) Let $S_j=\{1,\ldots,N\}\setminus\{j\}, \quad f(w(S_j,i))=N$ for all i,j But $S_{j_1}\cup S_{j_2}=\{1,\ldots,N\}$ for $j_1\neq j_2$

Let $f: \Sigma^* \to \mathbb{N}_{\infty}$ be recognizable by FA-WA over $(\min, +)$

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Example: f – "smallest block of $b^\prime s$ "

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Let
$$S_j = \{1, \dots, N\} \setminus \{j\}, \quad f(w(S_j, i)) = N \text{ for all } i, j$$

But
$$S_{j_1} \cup S_{j_2} = \{1, \dots, N\}$$
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Hence
$$f(w(S_{i_1} \cup S_{i_2}, i)) < f(w(S_{i_1} \cup S_{i_2}, i+1))$$

Corollary: FA-WA \subseteq PA-WA over $(\min, +)$

over $\mathbb{N}_{\infty}(\min,+,\infty,0)$

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Example: "smallest block of b's"

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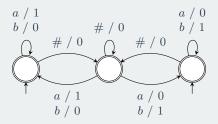
Example: "smallest block of b's"

Negative example: let
$$w=w_0\#w_1\#\ldots\#w_m$$
, where $w_i\in\{a,b\}^*$ $f(w)=\sum\limits_{i=0}^k\min(\#_a(w_i),\#_b(w_i))$

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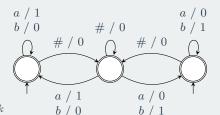
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Number of runs: 2^k

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 $S_j = \{(1, j), (2, j)\}, \quad f(w(S_j, i)) < f(w(S_j, i + 1))$
for every selector $f(w(S, i)) = f(w(S, i + 1))$

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- Beyond weighted automata
 Pumping lemmas for weighted logic and cost-register automata?