# Pumping lemmas for weighted automata

# Filip Mazowiecki<sup>1</sup> and Cristian Riveros<sup>2</sup>

<sup>1</sup>University of Bordeaux

<sup>2</sup>Pontificia Universidad Católica de Chile

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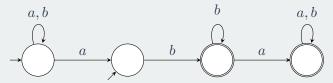
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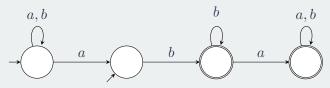
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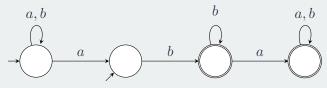
**STACS 2018** 

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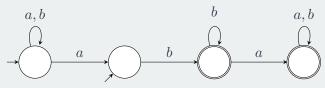
 $f:\Sigma^*\to\{0,1\}$ 



$$f:\Sigma^*\to\{0,1\}$$

# Weighted automata

 $f:\Sigma^* \to$  "some numbers"?



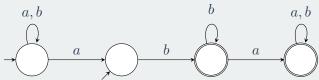
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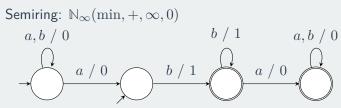
# Weighted automata

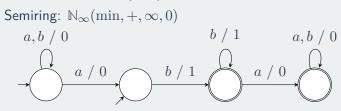
$$f: \Sigma^* \to$$
 "some numbers"?  $\mathbb{N}$ ?

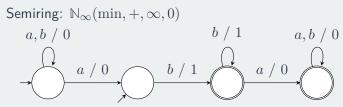
Semiring:  $\mathbb{N}_{\infty}(\min, +, \infty, 0)$ 

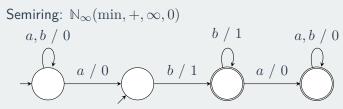
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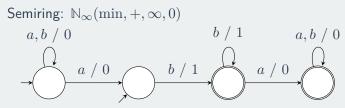


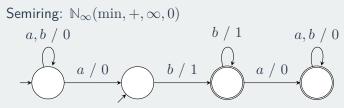


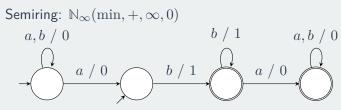




b b a b 
$$1+1+0+0=2$$



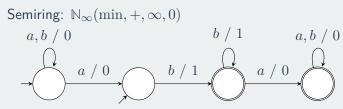




Consider w = bbab

b b a b b b a b 
$$1+1+0+0=2$$
  $0+0+0+1=1$ 

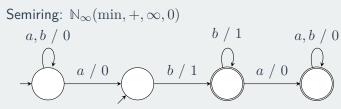
Output:  $\min\{2, 1\} = 1$ 



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In general:  $\odot$  transitions,  $\oplus$  accepting runs



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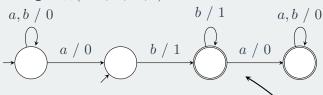
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① if there is no accepting run

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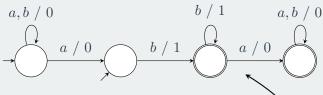
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In general: ⊙ transitions, ⊕ accepting runs

0 if there is no accepting run

"smallest block of b's"

Semiring:  $\mathbb{N}_{\infty}(\min, +, \infty, 0)$ 



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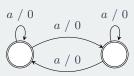
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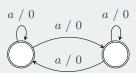
"smallest block of b's"  $(\infty \text{ if there is no } b)$ 

Number of accepting runs?

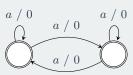
• could be exponential accepting runs:  $2^n$  (for  $a^n$ )



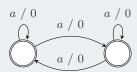
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- "smallest block of b's" accepting runs: blocks of b's (linear)

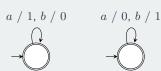


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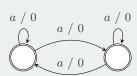
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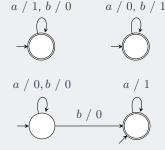
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• f longest suffix of a's; f(abaa) = 2 accepting runs: 1



Fix  $\mathbb{N}_{\infty}(\min, +, \infty, 0)$ 

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State of art

WA
∪⅓
polynomially ambiguous WA
U⅓
finitely ambiguous WA
U⅓
unambiguous WA
U⅓
deterministic WA

Fix 
$$\mathbb{N}_{\infty}(\min, +, \infty, 0)$$

State of art

polynomially ambiguous WA

or finitely ambiguous WA

unambiguous WA

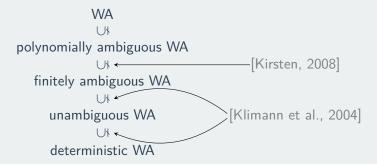
UN

(Klimann et al., 2004)

deterministic WA

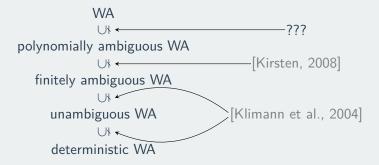
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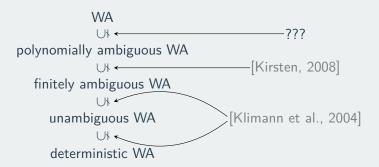
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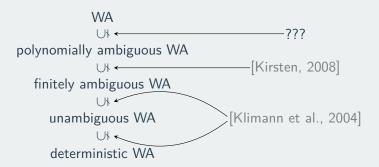
State of art



Strictness shown by examples

Fix 
$$\mathbb{N}_{\infty}(\min, +, \infty, 0)$$

State of art



- Strictness shown by examples
- Papers are about determinization

Boolean world

#### Boolean world

Finite automata

Show that  $L=\{a^nb^n\mid n\in\mathbb{N}\}$  is not regular.

Boolean world

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Solution: pumping lemma

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Take  $w \in L$  big enough

#### Meanwhile other formalisms

Boolean world

Finite automata

Show that  $L = \{a^n b^n \mid n \in \mathbb{N}\}$  is not regular.

Solution: pumping lemma

Take  $w \in L$  big enough exists a decomposition w = xyz, |y| > 0

s.t.  $xy^nz \in L$  for all n

#### Meanwhile other formalisms

#### Boolean world

• Finite automata

Show that  $L = \{a^n b^n \mid n \in \mathbb{N}\}$  is not regular.

Solution: pumping lemma

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- Context-free languages pumping lemmas
- First order logic Ehrenfeucht-Fraïssé games

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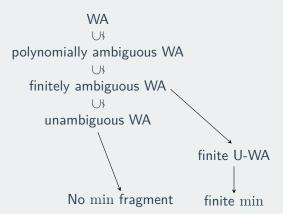
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∪⅓
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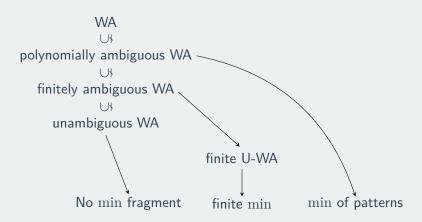
Semiring:  $\mathbb{N}_{\infty}(\min, +, \infty, 0)$ 

WA polynomially ambiguous WA finitely ambiguous WA unambiguous WA No min fragment

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e.g.  $aaa\underline{bbbb}aa$  is refined by  $aaab\underline{bb}baa$ 

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# **Theorem** (Pumping Lemma 1)

Let f recognizable by an U-WA over  $(\min, +)$ 

there exists N s.t. for every  $u \cdot \underline{v} \cdot w$  with  $|v| \geq N$ 

there is a refinement  $\hat{u} \cdot \hat{\underline{v}} \cdot \hat{w}$  and either:

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Example: f – longest suffix of a's

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# Theorem (Pumping Lemma 1) ← works for a broader class

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$$f(w) = \min(\#_a(w), \#_b(w))$$
  $(f \in \mathsf{FA-WA})$ 

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$$u \cdot v \cdot w = a^{(N+1)^2} \underline{b^N}$$
,  $f(u \cdot v \cdot w) = N$ 

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 $\text{Any refinement } \hat{u} \cdot \hat{v} \cdot \hat{w} = a^{(N+1)^2} \cdot b^n \underline{b^m} b^l, \quad 1 \leq m \leq N$ 

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$$f(\hat{u} \cdot \underline{\hat{v}}^i \cdot \hat{w}) = (N+1)^2$$
 for  $i$  big enough

Let f be a WA over  $\mathbb{N}_{\infty}(+,\cdot,0,1)$ 

there exists N s.t. for every  $u \cdot \underline{v} \cdot w$  with  $|v| \geq N$ 

there is a refinement  $\hat{u}\cdot\hat{\underline{v}}\cdot\hat{w}$  and either:

- $\bullet \quad f(\hat{u} \cdot \underline{\hat{v}}^i \cdot \hat{w}) \ = \ f(\hat{u} \cdot \underline{\hat{v}}^{i+1} \cdot \hat{w}) \text{ for every } i \ge N.$
- $\bullet \quad f(\hat{u} \cdot \underline{\hat{v}}^i \cdot \hat{w}) \ < \ f(\hat{u} \cdot \underline{\hat{v}}^{i+1} \cdot \hat{w}) \ \text{for every} \ i \geq N.$

Let 
$$f(w) = \min(\#_a(w), \#_b(w))$$
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- $f(\hat{u} \cdot \underline{\hat{v}}^i \cdot \hat{w}) = f(\hat{u} \cdot \underline{\hat{v}}^{i+1} \cdot \hat{w})$  for every  $i \ge N$ .
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Corollary: U-WA  $\subseteq$  FA-WA over  $(\min, +)$ 

Word n-representation:  $w = u_0 \cdot \underline{v_1} \cdot u_1 \cdot \underline{v_2} \cdot \dots u_{n-1} \cdot \underline{v_n} \cdot u_n$ 

Word *n*-representation:  $w = u_0 \cdot \underline{v_1} \cdot u_1 \cdot \underline{v_2} \cdot \dots u_{n-1} \cdot \underline{v_n} \cdot u_n$ 

(n, N)-representation:  $|v_i| \ge N$  for all i

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Let  $S\subseteq\{1,\ldots,n\}$ ,  $\underline{v_k}(S,i)=v_k^i$  if  $k\in S$  and  $\underline{v_k}(S,i)=v_k$  otherwise.

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Example, a (3,2)-representation

 $w = a\underline{b^3}aa\underline{b^2}a\underline{b^2}aa$ 

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$$w = a\underline{b^3}aa\underline{b^2}a\underline{b^2}aa$$
  
$$w(\{1,3\},3) = ab^9aab^2ab^6aa$$

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Example: f – "smallest block of b's"

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$$w = (\underline{b}^N a^N)^N \quad (n = N)$$

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Example: f - "smallest block of b's" Let  $w=(\underline{b^N}a^N)^N$  (n=N) Let  $S_j=\{1,\ldots,N\}\setminus\{j\}, \quad f(w(S_j,i))=N$  for all i,j But  $S_{j_1}\cup S_{j_2}=\{1,\ldots,N\}$  for  $j_1\neq j_2$ 

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Example: 
$$f$$
 – "smallest block of  $b's$ " Let  $w=(\underline{b}^Na^N)^N$   $(n=N)$  Let  $S_j=\{1,\ldots,N\}\setminus\{j\},$   $f(w(S_j,i))=N$  for all  $i,j$  But  $S_{j_1}\cup S_{j_2}=\{1,\ldots,N\}$  for  $j_1\neq j_2$  Hence  $f(w(S_{j_1}\cup S_{j_2},i))< f(w(S_{j_1}\cup S_{j_2},i+1))$ 

10 / 14

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Example: f – "smallest block of  $b^\prime s$ "

Let 
$$w = (\underline{b^N}a^N)^N \quad (n = N)$$

Let 
$$S_j = \{1, \dots, N\} \setminus \{j\}, \quad f(w(S_j, i)) = N \text{ for all } i, j$$

But 
$$S_{j_1} \cup S_{j_2} = \{1, \dots, N\}$$
 for  $j_1 \neq j_2$ 

Hence 
$$f(w(S_{i_1} \cup S_{i_2}, i)) < f(w(S_{i_1} \cup S_{i_2}, i+1))$$

Corollary: FA-WA  $\subseteq$  PA-WA over  $(\min, +)$ 

over  $\mathbb{N}_{\infty}(\min,+,\infty,0)$ 

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Example: "smallest block of b's"

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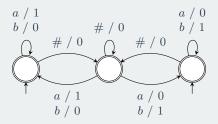
Example: "smallest block of b's"

Negative example: let 
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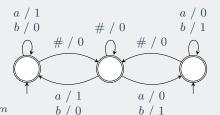
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Number of runs:  $2^m$ 

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# **Theorem** (Pumping lemma 3)

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- (...) there exists N s.t. for all (n,N)-representations there exists refinement  $w=u_0'\cdot\underline{y_1}\cdot u_1'\cdot\underline{y_2}\cdot\ldots u_{n-1}'\cdot\underline{y_n}\cdot u_n'$  s.t. for every partition  $S_1,\ldots,S_m$  of  $\{1,\ldots,n\}$  either:
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 $\{1 \dots n\} = \{(1, 1), (2, 1) \dots (1, m), (2, m)\}$ 

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