# When are Emptiness and Containment Decidable for Probabilistic Automata?

Laure Daviaud<sup>1</sup> Marcin Jurdziński<sup>1</sup> Ranko Lazić<sup>1</sup> Filip Mazowiecki<sup>2</sup> Guillermo A. Pérez<sup>3</sup> James Worrell<sup>4</sup>

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Bordeaux 2018

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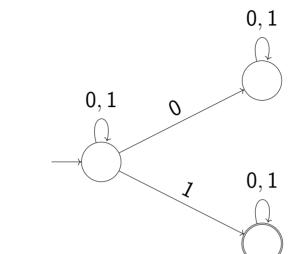
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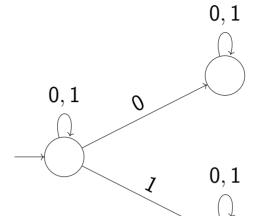
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$$\Sigma = \{0,1\}$$

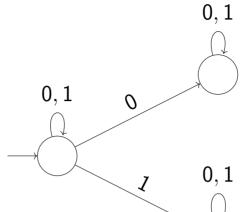


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 ${\cal A}$  accepts  $\Sigma^*1\Sigma^*$ 

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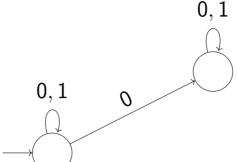


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In general  $\llbracket \mathcal{A} \rrbracket : \Sigma^* \to \{\mathsf{yes}, \mathsf{no}\}$ 

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0, 1

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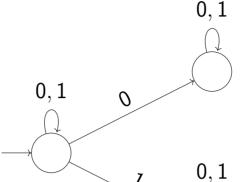
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#### Probabilistic automata

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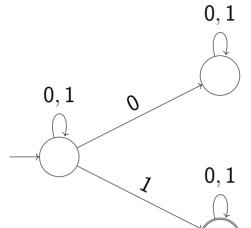
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$$[\![\mathcal{A}]\!]: \Sigma^* \to \mathsf{probabilities}, \quad \mathsf{probabilities} = \mathbb{Q} \cap [0,1]$$

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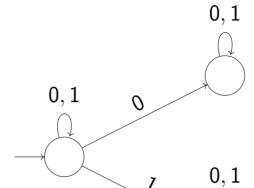
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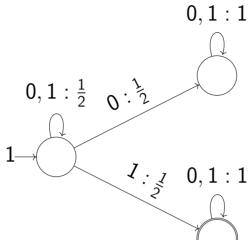
artificial intelligence, verification of probabilistic systems...

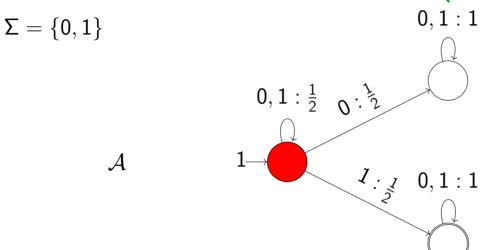
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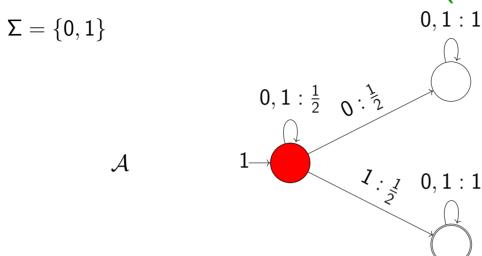
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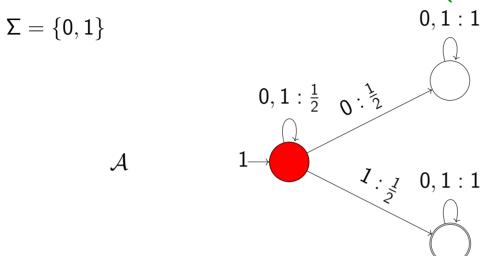


Given state (•) and letter (0) transitions induce a distribution  $(\frac{1}{2}, \frac{1}{2})$ 



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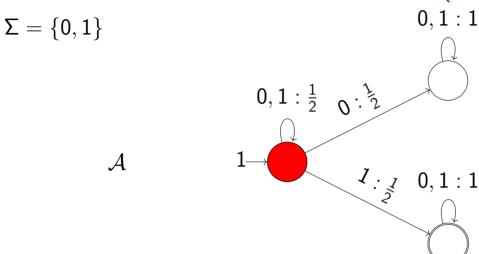
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E.g. 
$$[\![\mathcal{A}]\!](1010) = 2^{-1} + 2^{-3}$$
,  $[\![\mathcal{A}]\!](w) = \sin(0.w) = \sum_{i|w(i)=1} 2^{-i}$ 

What do we study?

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## Emptiness problem

 $\operatorname{GIVEN}$ : a PA automaton  $\mathcal A$ 

DECIDE: does  $[\![\mathcal{A}]\!](w) \leq \frac{1}{2}$  hold for all w?

 $(\llbracket \mathcal{A} \rrbracket \leq \frac{1}{2} \text{ in short})$ 

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←-gap emptiness problem

GIVEN:  $\epsilon$ , a PA automaton  $\mathcal{A}$  s.t. either  $[\![\mathcal{A}]\!] \leq \frac{1}{2}$  or  $[\![\mathcal{A}]\!](w) > \frac{1}{2} + \epsilon$ 

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 $(\llbracket \mathcal{A} \rrbracket \leq \frac{1}{2} \text{ and } \epsilon - \llbracket \mathcal{A} \rrbracket \leq \frac{1}{2} \text{ in short})$ 

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#### Remark

Emptiness reduces to containment (define  $\llbracket \mathcal{B} \rrbracket = \frac{1}{2}$ )

tl;dr: it's all almost always undecidable

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"Undecidability results for probabilistic automata" [Fijalkow, 2017]

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- Containment and emptiness are undecidable [Paz, 1971]
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- But equivalence is in PTIME [Schützenberger, 1961]
- Many subclasses with some decidability results: hierarchical, leaktight, bounded ambiguity

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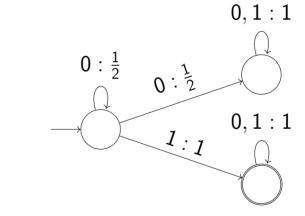
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 $\mathcal{B}$ 

linearly ambiguous PA

. . .

constant



$$[\mathcal{B}](0^i1w)=2^{-i}$$

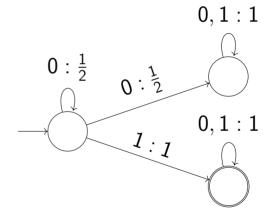
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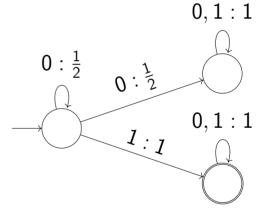
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#### **Remark**

Nothing between finitely ambiguous and linearly ambiguous PA

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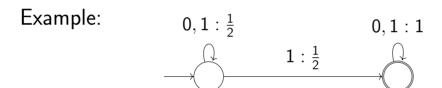
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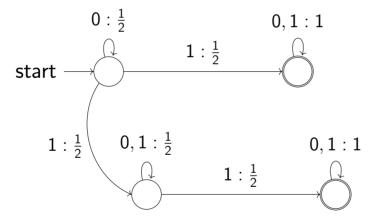
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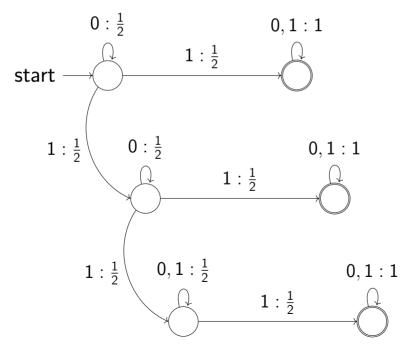
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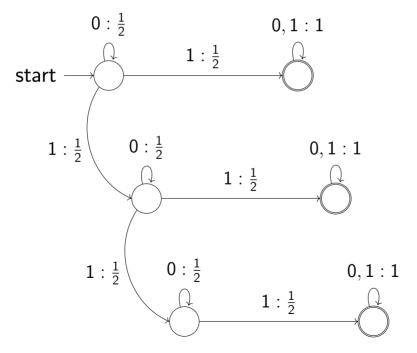
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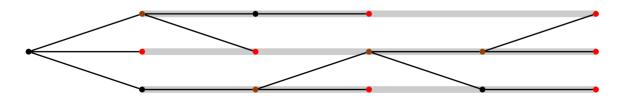
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#### Lemma

Consider a rooted tree T of fixed width.

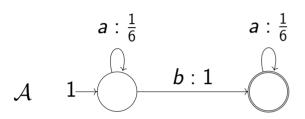
Suppose that the number of split nodes is at most m on every branch, then the number of leaves in T is polynomial in m

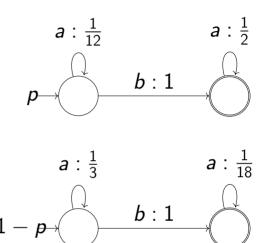


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Example for 0

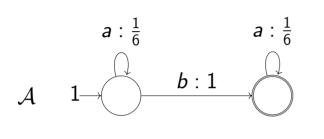


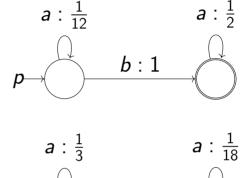


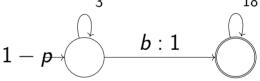
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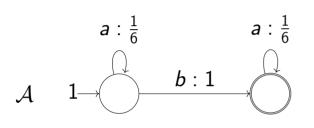


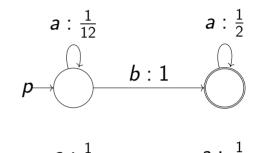


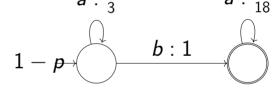
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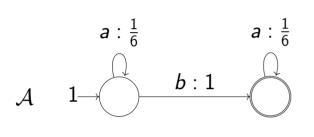
$$\left(\frac{1}{6}\right)^{x_1} \left(\frac{1}{6}\right)^{x_2} \leq p \left(\frac{1}{12}\right)^{x_1} \left(\frac{1}{2}\right)^{x_2} + (1-p) \left(\frac{1}{3}\right)^{x_1} \left(\frac{1}{18}\right)^{x_2}$$

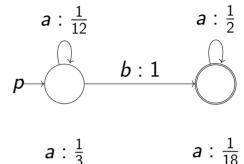
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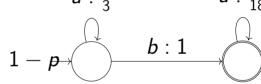
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# Exponential inequalities

GIVEN: k, l, n > 0, and vectors  $\boldsymbol{p} \in \mathbb{Q}^k_{>0}$  and  $\boldsymbol{q}_1, \dots, \boldsymbol{q}_k \in \mathbb{Q}^n_{>0}$  $r \in \mathbb{Q}^l_{>0}$  and  $s_1, \ldots, s_l \in \mathbb{Q}^n_{>0}$ 

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## **Proposition**

Containment of finitely ambiguous PA and exponential inequalities are effectively equi-decidable

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- k, l ambiguity of A and B
- simple cycle decomposition

for every  $x_1,\ldots,x_n\in\mathbb{N}$  does it hold

$$\sum_{i=1}^{k} p_{i} q_{i,1}^{x_{1}} \dots q_{i,n}^{x_{n}} \leq \sum_{i=1}^{l} r_{i} s_{i,1}^{x_{1}} \dots s_{i,n}^{x_{n}}$$

do there exists  $x_1, \ldots, x_n \in \mathbb{N}$  such that

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If l = 1 it boils down to

$$\sum_{i=1}^{k} p_{i} q_{i,1}^{x_{1}} \dots q_{i,n}^{x_{n}} > 1$$

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## **Exponential inequalities**

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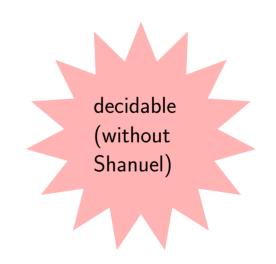
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$$+ \exp(\log(1-p) + x_1 \log(2) - x_2 \log(3))$$

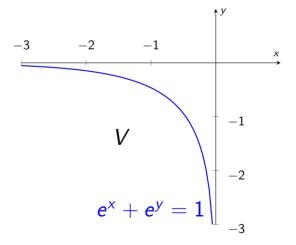
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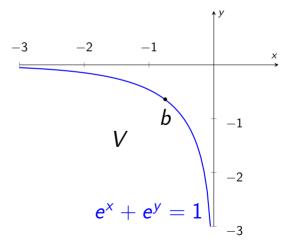
$$V = \{(x, y) \in \mathbb{R}^2 \mid e^x + e^y < 1\} \quad (\log = \log_e)$$



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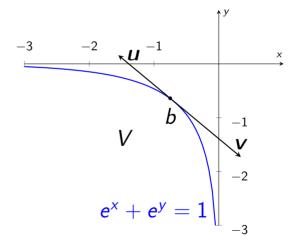


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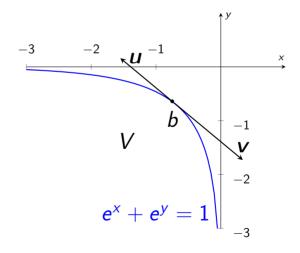
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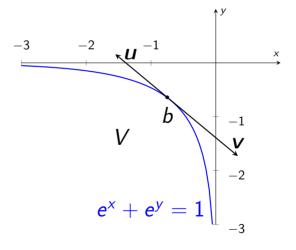
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## **Corollary**

(1) + (2) give decidability

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If  $X \cap \mathbb{Z}^n = \emptyset$  then exist  $d \in \mathbb{Z}^n$ ,  $a, b \in \mathbb{Z}$  s. t.  $\{d^\top x \mid x \in X\} \subseteq [a, b]$ 

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Satisfiability decidable assuming Schanuel [Macintyre, Wilkie; 1996]

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Previous lemma guarantees semi-decidability ( $\mathbb{Z}$  to  $\mathbb{N}$  is a technicality)

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$$V' = \{(x_1, \ldots, x_k, y_1, \ldots, y_l) \mid e^{x_1} + \ldots + e^{x_k} < e^{y_1} + \ldots + e^{y_l}\} ???$$