

# Lecture 3

Ambiguity of automata

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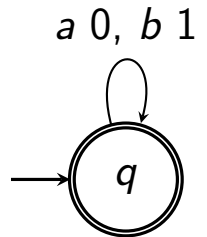
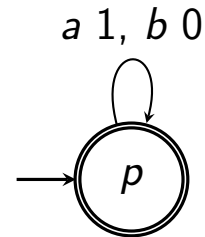
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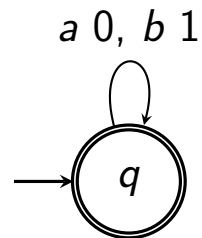
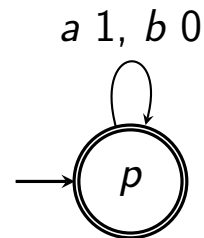
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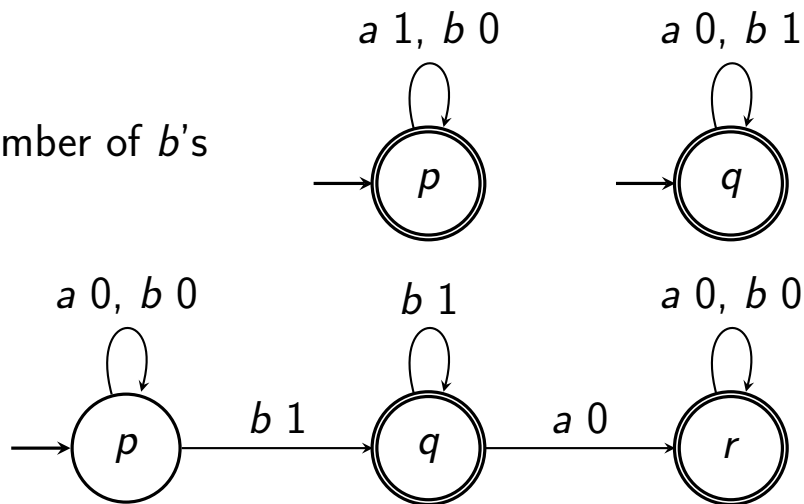
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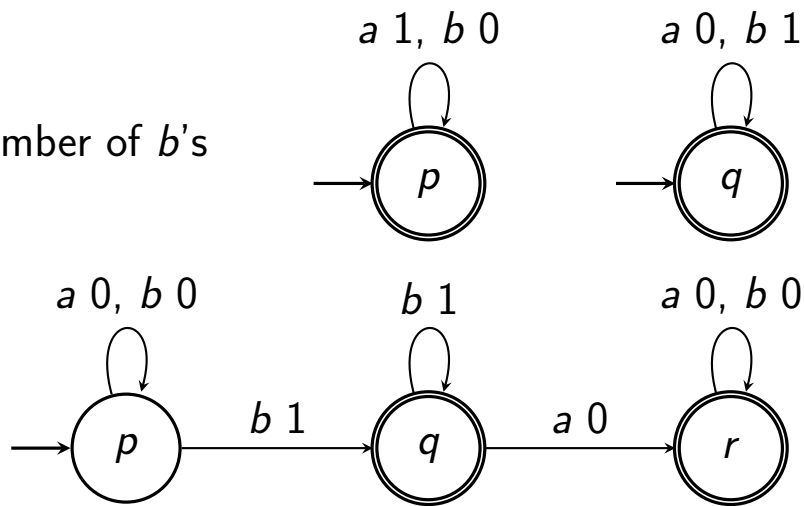
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$\mathcal{O}(|w|)$  runs



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Longest block of  $b$ 's: linearly ambiguous

# Hierarchy of classes for weighted automata

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Polynomially ambiguous WA

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Deterministic WA

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# Trimmed automata

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An automaton  $\mathcal{A}$  is **trimmed** if for every  $q \in Q$  there is an initial state  $p \in I$  and a final state  $r \in F$  s.t. there is a run from  $p$  to  $q$  and a run from  $q$  to  $r$ .

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We write  $p \xrightarrow{w} q$  if there is a run from  $p$  to  $q$  on word  $w$ .

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**Theorem** (Weber, Seidl 1991)

- (1)  $\mathcal{A}$  is **not** finitely ambiguous if and only if
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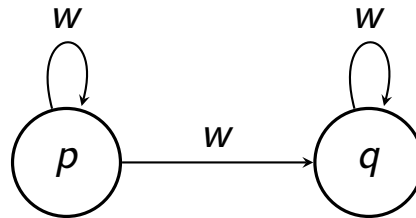
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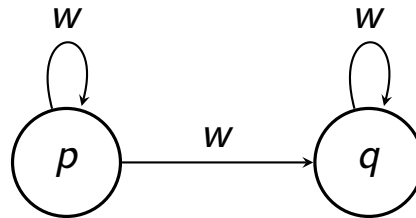
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**Proof.** (2)  $\implies$  (1)

There is  $a \in I$  and  $v_1$  s.t.  $a \xrightarrow{v_a} p$  and  $b \in F$  and  $v_2$  s.t.  $q \xrightarrow{v_b} b$

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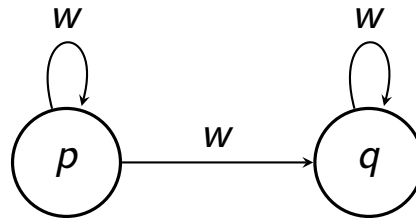
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Then  $|Acc(v_a w^n v_b)| \geq n - 1$ .

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- A strongly connected component is  $Q_i \subseteq Q$  s.t. for all  $p, q \in Q$  there are  $v_1, v_2$ :  
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So sets  $G$  is a DAG and  $Q_a$  can be topologically sorted



**Not finitely ambiguous  $\implies$  pattern (2)**

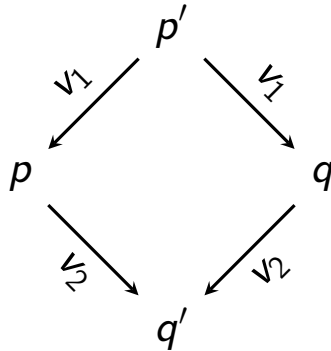
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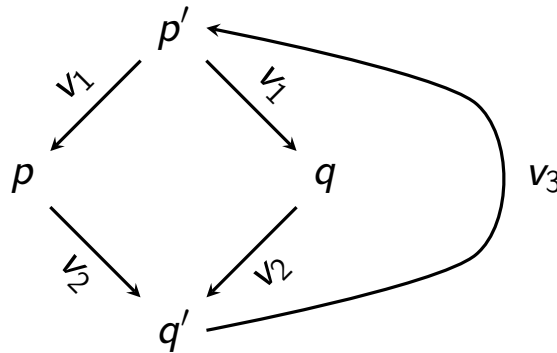
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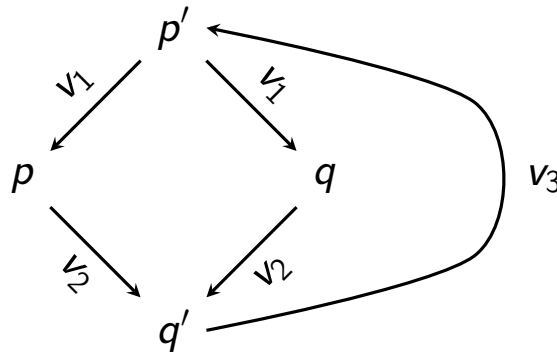
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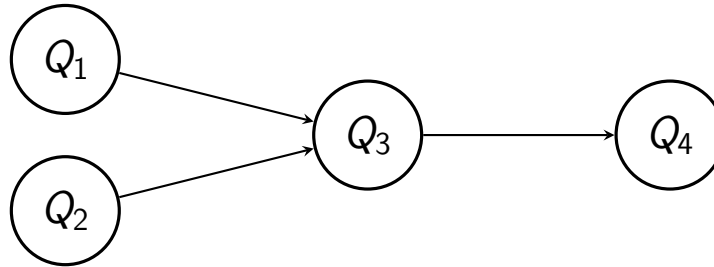
- Let  $w = v_2 v_3 v_1$

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## Not finitely ambiguous $\implies$ pattern (3)

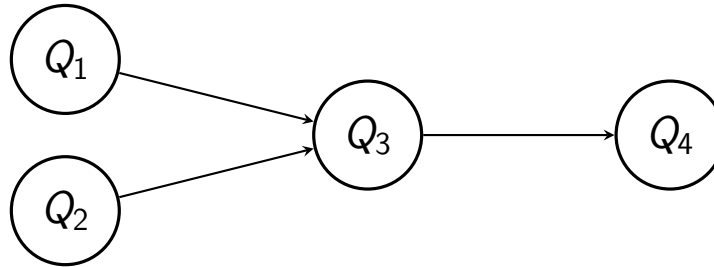
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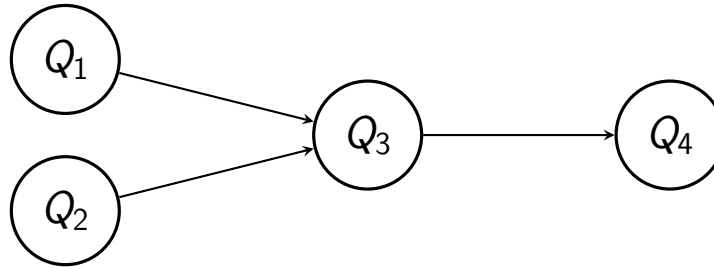
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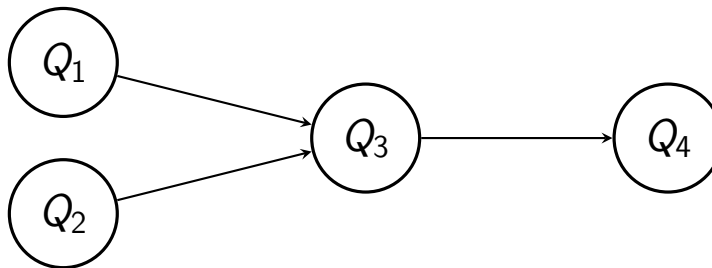


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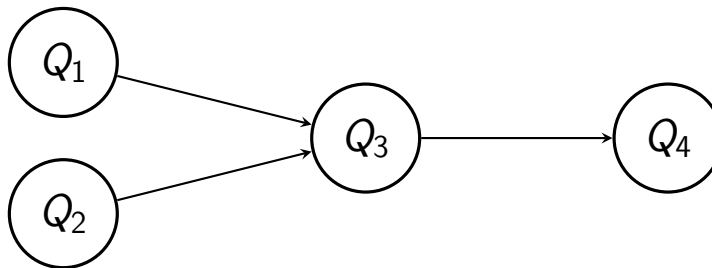
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- Note: the number of such  $M$  is bounded by  $2^{|Q|}$ .

## Not finitely ambiguous $\implies$ pattern (4)

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When  $M = \{i_1, \dots, i_k\}$  write  $Q_1, \dots, Q_k$  instead of  $Q_{i_1}, \dots, Q_{i_k}$

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### Definition

Let  $x = x_1 \dots x_s \in \Sigma^*$ . The graph  $G_M(x) = (V, E)$  is defined as

$$V = \{(q, j) \in (Q_1 \cup \dots \cup Q_k) \times \{0, \dots, s\} \mid \\ \exists q_I \in I \cap Q_1, q_F \in F \cap Q_k : q_I \xrightarrow{x_1 \dots x_j} q, q \xrightarrow{x_{j+1} \dots x_s} q_F\}$$

$$E = \{(p, j-1) \rightarrow (q, j) \mid p \xrightarrow{x_j} q\}$$

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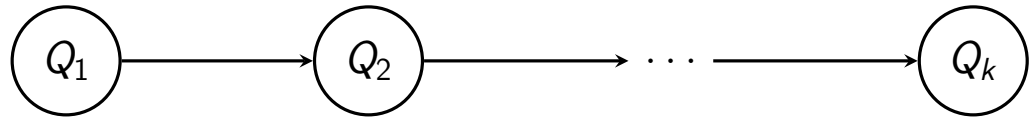
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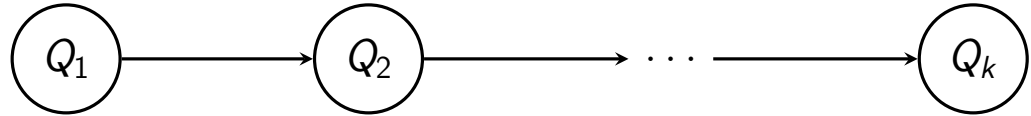
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## Not finitely ambiguous $\implies$ pattern (5)

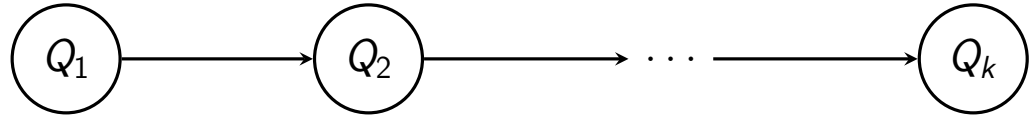
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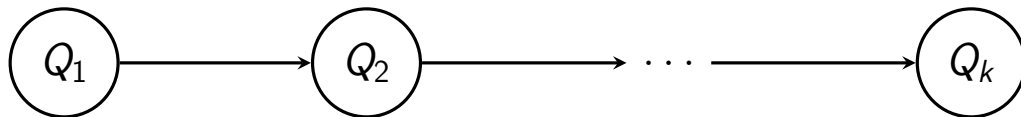
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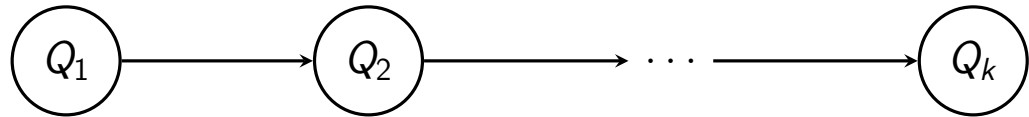
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$$(p, j-1) \rightarrow (q, j) \in D_I(x) \text{ if } p \in Q_I \text{ and } q \in Q_{I+1},, \quad |D_I| > 2^{|Q|}, \quad x = x_1 \dots x_s$$

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- There is a sequence  $r_i$  s.t.  $r_1 \xrightarrow{y_1} q_I$  and  $r_i \xrightarrow{y} r_{i-1}$

For some  $i_1, i_2$  we get  $r_{i_1} = r_{i_1+i_2} = p$

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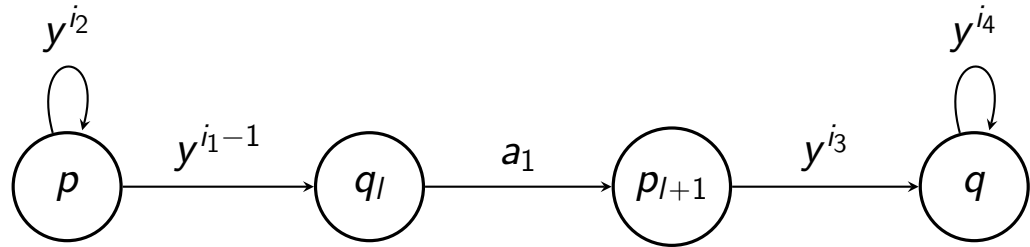
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- Similarly a sequence  $s_i$ :  $s_0 = p_{l+1}$  and  $s_{i-1} \xrightarrow{y} s_i$ , so  $s_{i_3} = s_{i_3+i_4} = q$

## Not finitely ambiguous $\implies$ pattern (7)

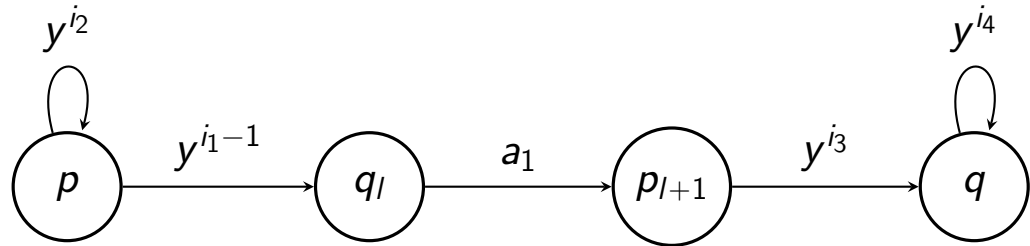
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- $p \xrightarrow{y^{i_2}} p, \quad p \xrightarrow{y^{i_1} y_1} q_l, \quad q_l \xrightarrow{a_1} p_{l+1}, \quad p_{l+1} \xrightarrow{y^{i_3}} q, \quad q \xrightarrow{y^{i_4}} q$

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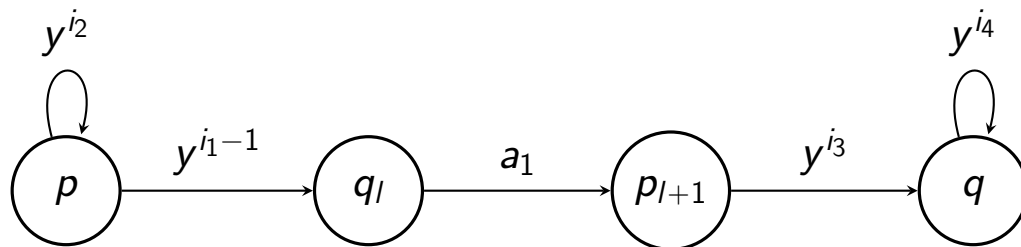


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- $p \neq q, \quad \text{let } w = y^{j \cdot i_2 \cdot i_4}$

$$p \xrightarrow{w} p, \quad p \xrightarrow{w} q, \quad q \xrightarrow{w} q$$



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How to check if  $\mathcal{A}$  is polynomially ambiguous?

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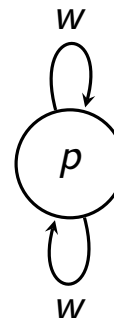
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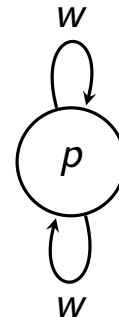
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**Proof.** (2)  $\implies$  (1)



There is  $a \in I$  and  $v_1$  s.t.  $a \xrightarrow{v_a} p$  and  $b \in F$  and  $v_2$  s.t.  $p \xrightarrow{v_b} b$

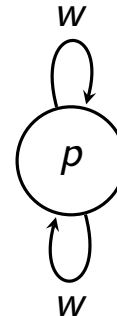
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Then  $|Acc(v_a w^n v_b)| \geq 2^n$ .

**No pattern  $\implies$  polynomially ambiguous**

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- It remains to observe that  $|D_i| \leq |Q|^2 \cdot s$
- Then the number of runs is bounded by a polynomial of degree  $k - 1$



## Finitely ambiguous class more details

### Lemma (tutorials)

If  $\mathcal{A}$  is finitely ambiguous then it is  $k$ -ambiguous for some  $k$  bounded exponentially in  $|Q|$ .

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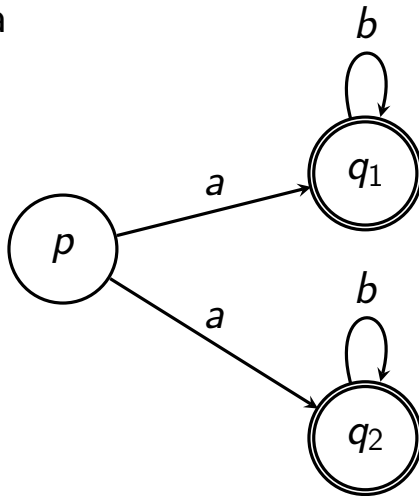
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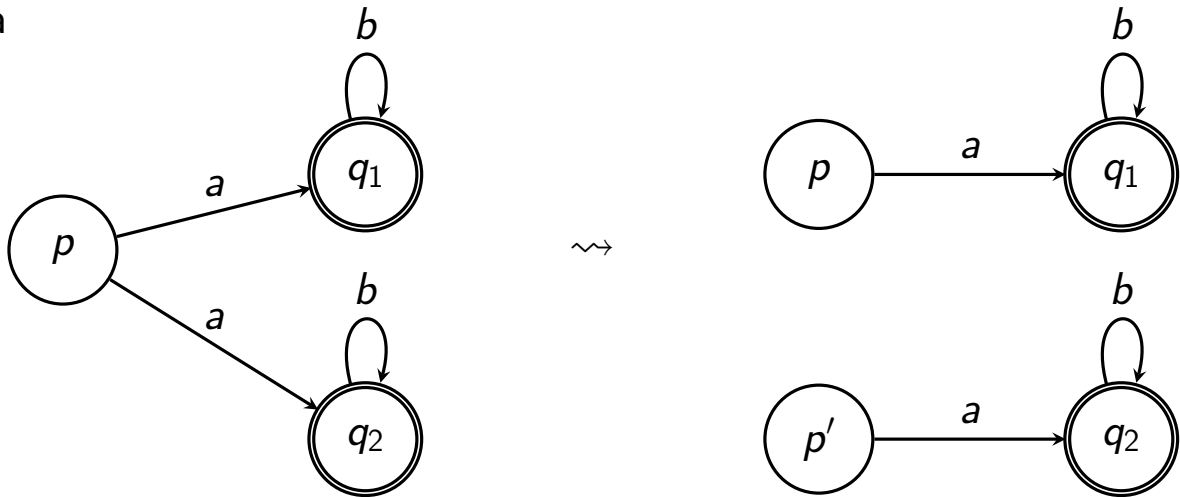
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### Proof (sketch).

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- By the previous Lemma there is a bound on active runs for every word  $kn$ : where  $k$  is the bound on the ambiguity and  $|Q| = n$ .

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- $\mathcal{B}$  keeps track of all active runs in  $\mathcal{A}$

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- Then  $\mathcal{A} \times \mathcal{B}$  has the same accepting runs as  $\mathcal{A}$  but with extra information

Accepting states etc are when they are accepting in the  $\mathcal{A}$  component



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- The final automata are divided into  $kn$  unambiguous automata  
Restricting the accepting states to accepting in  $\mathcal{A}$   
and  $i \in \{1, \dots, kn\}$  in the final component