

# HelixFold-Single: MSA-free Protein Structure Prediction by Using Protein Language Model as an Alternative

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#### Article

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# HELIXFOLD-SINGLE: MSA-FREE PROTEIN STRUCTURE PREDICTION BY USING PROTEIN LANGUAGE MODEL AS AN ALTERNATIVE

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#### **ABSTRACT**

AI-based protein structure prediction pipelines, such as AlphaFold2, have achieved near-experimental accuracy. These advanced pipelines mainly rely on Multiple Sequence Alignments (MSAs) as inputs to learn the co-evolution information from the homologous sequences. Nonetheless, searching MSAs from protein databases is time-consuming, usually taking dozens of minutes. Consequently, we attempt to explore the limits of fast protein structure prediction by using only primary sequences of proteins. HelixFold-Single is proposed to combine a large-scale protein language model with the superior geometric learning capability of AlphaFold2. Our proposed method, HelixFold-Single, first pre-trains a large-scale protein language model (PLM) with thousands of millions of primary sequences utilizing the self-supervised learning paradigm, which will be used as an alternative to MSAs for learning the co-evolution information. Then, by combining the pre-trained PLM and the essential components of AlphaFold2, we obtain an end-to-end differentiable model to predict the 3D coordinates of atoms from only the primary sequence. HelixFold-Single is validated in datasets CASP14 and CAMEO, achieving competitive accuracy with the MSA-based methods on the targets with large homologous families. Furthermore, HelixFold-Single consumes much less time than the mainstream pipelines for protein structure prediction, demonstrating its potential in tasks requiring many predictions. The code of HelixFold-Single is available at https://github.com/PaddlePaddle/PaddleHelix/tree/ dev/apps/protein\_folding/helixfold-single, and we also provide stable web services on https://paddlehelix.baidu.com/app/drug/protein-single/forecast.

9 Keywords Protein structure prediction · Primary sequence · Protein language model · Large-scale

#### 20 1 Introduction

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- Proteins participate in essentially all biological processes and play critical roles for an organism. The structures of proteins are highly correlated to their functions in the biological processes. Determining the protein structures to understand their functions can bring considerable contributions to life science.
- In recent years, AI-based protein structure prediction technologies have made significant progress in prediction accuracy, demonstrating great prospects for the drug and vaccine industry. Particularly, AlphaFold2 [1] pushes the performance to a new frontier in the challenging 14th Critical Assessment of protein Structure Prediction (CASP14 [2]), approaching the accuracy of experimental determination methods. Mainstream protein structure prediction pipelines heavily rely on co-evolution information extracted from Multiple Sequence Alignments (MSAs). MSAs can be simply regarded as protein chains similar to the target protein chain in sequence. MSA is related to the co-evolution information of protein sequences, which is crucial to predicting its structure. However, over-reliance on MSAs becomes the bottleneck of

various protein-related tasks.

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First, compared with the time (usually several seconds) required for model inference in the structure prediction pipeline, searching MSAs is time-consuming, costing dozens of minutes for a protein. The time-consuming searching is devastating in the tasks demanding high-throughput requests, such as protein design. Second, the primary structures (single sequence), rather than the MSAs, drive the folding of the proteins. The MSA extracting methods are also not designed specifically for protein folding. Thus, the MSA-based pipelines only memorize the determined structures of similar proteins for prediction but do not entirely understand the mechanism of protein folding.

Consequently, designing an accurate MSA-free protein structure prediction method to address the mentioned issues 38 is likely to benefit and accelerate the development of protein studies. We argue that a large-scale protein language 39 model (PLM) can be served as an alternative to the MSAs to learn the co-evolution knowledge for MSA-free prediction. 40 We speculate that a PLM with billions of parameters can effectively memorize the MSAs and infer the co-evolution 41 information. The past few years have seen the tremendous success of large-scale language models [3, 4, 5] in 42 Natural Language Processing, a field that shares a lot of characters with protein studying. With the increase of the 43 model parameters, the capacity for learning language knowledge grows substantially. Using self-supervised learning 44 on large-scale unlabeled proteins, PLMs can reveal the long-range relation along protein sequences and improve 45 downstream protein-related tasks. Advanced works have attempted to adopt PLMs to enhance the performance of 46 multiple downstream tasks, such as estimating the secondary structures and the functions [6, 7, 8, 9]. Particularly, 47 several studies [10, 11, 12] attempted to apply PLMs to protein structure prediction. Most works first predict the 48 inter-residue 2D geometry by neural networks and then reconstruct the 3D structure based on energy minimization, 49 which can not provide end-to-end 3D structure prediction. Besides, compared with the geometric learning capability 50 of EvoFormer and Structure Module proposed by AlphaFold, the capacities of the geometric models used by these 51 methods, such as recursive models and ResNets, are also unsatisfactory in understanding the co-evolution and spatial relations between the residues in a single sequence.

Inspired by the progress of PLMs and AlphaFold2, we propose an end-to-end MSA-free protein structure prediction 54 pipeline, HelixFold-Single. The model used in HelixFold-Single consists of two major components: a large-scale PLM 55 as the foundation and the essential components from AlphaFold2 for folding. The PLM can encode the primary structure 56 into single representation and pair representation to learn the domain knowledge. The EvoFormer and Structure Module 57 from AlphaFold2 are then integrated to process the representation, learn the geometric knowledge, and then predict the 58 coordinates of atoms. The two components are wired up to give an end-to-end differentiable model. HelixFold-Single 59 contains two training stages. In the first stage, the large-scale PLM is trained with thousands of millions of unlabeled single sequences by the task of masked language prediction. In the second stage, we train the whole model with the 61 protein structures composed of experimental ground-truth and augmentation structures generated by AlphaFold2. 62

We compare HelixFold-Single with AlphaFold2 and RoseTTAFold on datasets CASP14 and CAMEO. HelixFold-63 Single achieves competitive accuracy with those methods on proteins with sufficient homologous sequences. We also 64 analyze the performance of HelixFold-Single on targets with various homologous sequences, and HelixFold-Single 65 is capable of providing accurate structure predictions on most targets, especially the targets with large homologous families. The ablation study comparing the PLMs of different sizes demonstrates the importance of the size of PLM 67 for structure prediction. Furthermore, HelixFold-Single shows great superiority in prediction efficiency compared 68 with the MSA-based methods and could be applied to protein-related tasks demanding a great number of predictions. 69 The code of HelixFold-Single is publicly released at GitHub https://github.com/PaddlePaddlePaddleHelix/ 70 tree/dev/apps/protein\_folding/helixfold-single. Web service of HelixFold-Single is also available at 71 https://paddlehelix.baidu.com/app/drug/protein-single/forecast to provide efficient protein structure 72 73 predictions.

#### 74 2 HelixFold-Single

HelixFold-Single aims to take advantage of both the protein language model (PLM) and the main modules used in 75 AlphaFold2 for single sequence-based protein structure prediction. As exhibited in Figure 1, HelixFold-Single consists 76 of three components: PLM Base, Adaptor, and Geometric Modeling. A large-scale PLM Base is employed to encode 77 the co-evolution information in the parameters, which is used as an alternative to MSAs. Then, in Geometric Modeling, 78 following AlphaFold2, we use modified EvoFormer and Structure Module to sufficiently exchange the information 79 between the single representations and pair representations to capture the geometric information and recover the 3D 80 coordinates of the atoms. We adopt an Adaptor layer to extract the co-evolution information from PLM to effectively 81 generate the sequence and pair representations required as inputs to the Geometric modeling. The whole differentiable 82 pipeline is trained by both self-supervised pre-training with bulks of unlabeled single sequences and supervised learning 83 with geometric labels.

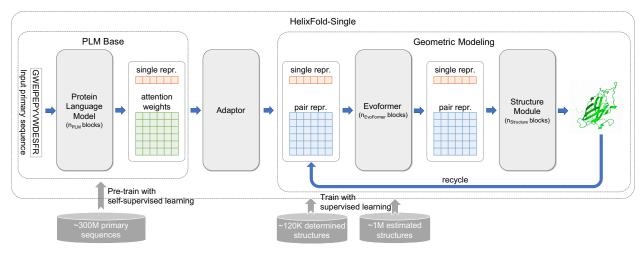


Figure 1: The framework of HelixFold-Single with a protein language model as PLM Base, the compose of EvoFormer and Structure Module of AlphaFold2 as Geometric Modeling, and Adaptor to connect PLM Base and Geometric Modeling.

#### 2.1 Large-Scale PLM Base

Inspired by large-scale pre-trained language models, we follow previous works on pre-training a protein language model (PLM). The PLM processes the primary protein sequences (i.e., the amino acid sequences) and extracts the knowledge needed for further geometric modeling. A protein of length L can be uniquely represented by a sequence of types of amino acids denoted by  $x = (x_1, x_2, ..., x_L)$ . An embedding layer  $E(x_l)$  maps the type id to  $d_{PLM}$ -dimension embedding vectors:

$$\mathbf{x}^{(0)} = (E(x_1), E(x_2), ..., E(x_L)).$$

Notice that  $x^{(k)} \in \mathbb{R}^{L \times d_{PLM}}$  is the representation of the amino acid sequence.

We then apply the widely used Transformer-style blocks ([3] to process the embedding vectors, denoted by

$$\mathbf{x}^{(k+1)} = DisentangledAttentionTransformer(\mathbf{x}^{(k)}).$$
 (1)

- Accurately predicting the contacts between the residues, especially the long-rage contacts, is critical for protein structure 88 prediction. Considering the contact between the residues is more dependent on the relative positions rather than
- the absolute positions (counted from the start of the sequence), we employ *DisentangledAttentionTransformer* from 89
- DeBerTa [13] to focus on the modeling of interactions between the residue representations and the relative positions. 90
- DisentangledAttentionTransformer adopts the attention mechanism to learn the interactions between the residues as 91
- well as the interactions of the interaction-position pairs. 92
- Besides, we take advantage of multi-head self-attention weights in DisentangledAttentionTransformer to construct the initial pair representation. The attention weights of the k-th block is denoted by  $z^{(k)} \in \mathbb{R}^{L \times L \times h_{PLM}}$ , where  $h_{PLM}$  is the 94
- number of heads of self-attention. 95

We add an additional *Adaptor* to map the output of PLM Base to the input of Geometric Modeling module.

$$\tilde{\boldsymbol{x}}^{(0)} = Linear(\boldsymbol{x}^{(n_{PLM})}), 
\tilde{\boldsymbol{z}}^{(0)} = Linear([\boldsymbol{z}^{(1)}, \boldsymbol{z}^{(2)}, \cdots, \boldsymbol{z}^{(n_{PLM})}]),$$
(2)

where  $n_{PLM}$  is the number of blocks in PLM Base, and operator [] refers to concatenation.  $\tilde{x}^{(0)} \in \mathbb{R}^{L \times d_{Single}}$  and  $\tilde{z}^{(0)} \in \mathbb{R}^{L \times L \times d_{Pair}}$  are the initial single representations and pair representations of the Geometric Modeling module, 97 respectively. 98

#### 2.2 Geometric Modeling 99

We employ the EvoFormer and Structure Module proposed by AlphaFold2 [1] to model the relations between the residues and then estimate the 3D coordinates of the atoms in the proteins. We slightly modify the original EvoFormer

and Structure Module's architecture to match our settings. First, the original EvoFormer takes the MSA representation 102 and pair representation, encoded from the searched MSAs, as input. As an alternative, we take the output of the Adaptor 103 (including the single representations  $(\tilde{x}^{(0)})$  and pair representations  $(\tilde{z}^{(0)})$ ). Second, Evoformer adopts various attention 104 mechanisms to exchange the information within the single and pair representations to learn the spatial relationships. 105 Note that, compared with the original version of Evoformer proposed by AlphaFold2, we remove the column-wise 106 gated self-attention because HelixFold-Single focuses on MSA-free protein structure prediction and is no need to 107 exchange the messages within the MSAs. We follow the other geometric components of AlphaFold2, including the 108 Structure Module that takes the single representation and pair representation yielded by the EvoFormer, and exploits 109 Invariant Point Attention and other geometric transformation operators to end-to-end predict the 3D coordinates of 110 the atoms. Also, following AlpahFold2, we recycle the whole Geometric Modeling module to refine the predicted 111 structures iteratively.

#### 2.3 Model Optimization

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For the sake of leveraging the domain knowledge from the protein database, we operate two-stage parameter optimization on HelixFold-Single.

In the first stage, the PLM is pre-trained to capture the co-evolution information. The PLM is trained with about 300 116 million of single sequences recorded in a protein database. To encourage PLM to observe the diverse single sequences 117 as soon as possible, we cluster the proteins by the similarity of single sequences and sample the proteins to balance the 118 distributions of different clusters in our training data. We apply the self-supervised technique masked language model 119 (MLM) to optimize the parameters of the PLM, by randomly masking 15% of residues in the single sequences and then 120 reconstructing those masked residues. More concretely, MLM attempts to predict  $p(x_1|x_1,...,x_{l-1},x_M,x_{l+1},...,x_L)$ 121 given the residue in the l-th position  $x_l$  being masked by  $x_M$ . A crucial proposal of this work is that PLM can learn 122 the dependency between the masked residue and the other residues, and thus represent the co-evolution information. 123 Previous works [6] have already verified that PLMs can reveal secondary structures of the proteins, but little has been 124 discussed on the relation between PLM and co-evolution. Co-evolution is the phenomenon that two residues in contact 125 tend to evolve at the same time to preserve the structure and thus the function of the protein. In PLM, if a residue at 126 another position s has a profound impact (the residue at position s is changed, the masked residue will also change) on 127 the masked residue, then those two residues are likely to evolve at the same time. 128

In the second stage, since merely relying on PLM to predict the structure is inadequate to capture the geometric information, PLM Base and Geometric Modeling modules in HelixFold-Single are jointly optimized. We utilize 100 thousand experimentally determined protein structures. We also use additional one million estimated protein structures for training in this stage (distilled from AlphaFold2). Following AlphaFold2, we end-to-end train the network with the main losses, including Frame Aligned Point Error (FAPE) loss and other auxiliary losses. By combining the computational efficient PLM Base module (compared with MSA search) and the Geometric Modeling module, HelixFols-Single is capable of providing efficient and precise protein structure prediction.

#### 136 3 Results

#### 137 3.1 Datasets

We used UniRef30 (2021-03) [14] to pre-train the PLM, which clusters UniProtKB [15] sequences at the level of 30% pairwise sequence identity. Then, three datasets are used to train the whole network, including the proteins in RCSB PDB [16, 17] released before 2020-05-14 and two self-distillation datasets constructed from Uniclust30 (version 2018-08) and AlphaFold Protein Structure Database [18].

#### 142 3.2 Overall Comparison

CASP14 [1, 19, 20] with 87 domain targets and CAMEO [21] with 371 targets collected from 2021-09-04 to 2022-02-19 are used to compare the overall accuracy of HelixFold-Single with the several baseline structure prediction pipelines, including the MSA-based and MSA-free methods. AlphaFold2 [1] and RoseTTAFold [22] are currently the most advanced methods for protein structure prediction, relying on MSAs to provide predictions. We test the accuracy of AlphaFold2 and RossTTAFold with and without homologous sequences, respectively. A commonly used metric, i.e., TM-score [23], is exploited to evaluate the accuracy of HelixFold-Single and other methods.

Figure 2 exhibits the test results of our proposed HelixFold-Single and the compared methods on *CASP14* and *CAMEO*.

From the results, we have the following observations:

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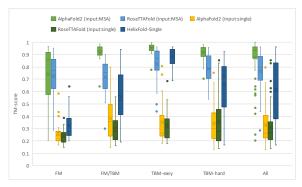
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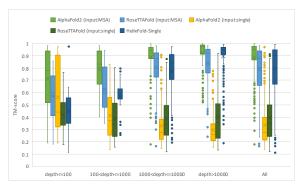
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- (a) CASP14 (87 targets classified into FM and TBM based on their relatedness to existing structures.)
- (b) CAMEO (371 targets classified into four categories depending on different MSA depths.)

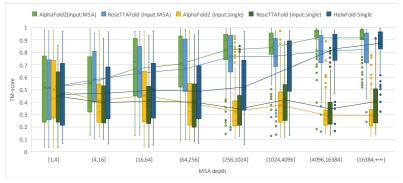
Figure 2: Overall comparison of HelixFold-Single and other methods on CASP14 and CAMEO. AlphaFold2 (input:MSA) and RoseTTAFold (input:MSA) are MSA-based methods, while the remaining use the primary structures as input.

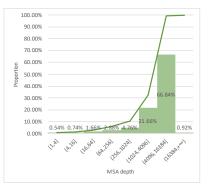
- (1) In general, HelixFold-Single significantly surpasses all the MSA-free methods on CASP14 and CAMEO and is competitive with the MSA-based methods in some cases. Notably, the accuracy of HelixFold-Single on CAMEO is comparable to that of AlphaFold2 (input:MSA) and outshines another strong baseline, RoseTTAFold (input:MSA). HelixFold-Single demonstrates the great potential of incorporating PLM into geometric modeling for protein structure
- (2) HelixFold-Single can be par with the MSA-based methods on the targets with large homologous families, e.g., TBM-156 easy domain targets in CASP14 with a median of seven homologous sequences and targets with more than a thousand homologous sequences (MSA depth > 1000) in CAMEO. These results indicate that the accuracy of HelixFold-Single is 158 correlated to the richness of homologous sequences, revealing that the large-scale PLM adopted by HelixFold-Single is capable of embedding the information, e.g., co-evolution knowledge, of MSAs used by the MSA-based methods.
- (3) Compared HelidFold-Single with other MSA-free methods, HelixFold-Single exhibits its great superiority on all the 161 categories of CASP14 and CAMEO. Since AlphaFold2 and RoseTTAFold rely on MSAs as input during the training 162 process, it is challenging for those methods to provide accurate predicts when taking only the single sequences as input. 163

#### **Effect of Number of Homologous Sequences** 164

The results on CASP14 and CAMEO indicate that the accuracy of HelixFold-Single is related to the number of homologous sequences. We further compare the performance of HelixFold-Single and other methods on the targets with variant MSA depths. We collected the targets released between 2020-05 and 2021-10 from PDB, from which we picked the targets with relatively sparse homologous sequences. We blended those targets with the data of CASP14 and CAMEO as a new evaluation set. Figure 3a compares the TM-scores of HelixFold-Single and the baseline methods on the evaluation set, grouped by the number of homologous sequences (MSA depths). Figure 3b shows the distribution of the proteins in different groups in this evaluation set. We can see that as the available homologous sequences grow, the average TM-score of both HelixFold-Single and the MSA-based methods increases, while the scores of the other MSA-free methods decrease. For the proteins with sparse homologous sequences, the TM-scores of all the compared methods are unsatisfactory. For the proteins with larger homologous families, especially those with more than thousands, HelixFold-Single can compete with the MSA-based methods. Given that 90% of the targets in PDB have more than 1024 homologous sequences, we can reasonably extrapolate that HelixFold-Single can achieve satisfying accuracy on the most frequently investigated proteins.

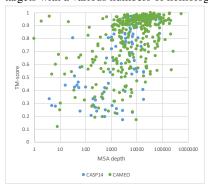
In order to further investigate the relationship between the capacity of the PLM, the accuracy of protein structure prediction, and the size of the homologous family, we utilized the targets in CASP14 and CAMEO datasets to exhibit their relations, as shown in Figure 3c, Figure 3d, and Figure 3e. As we expected, from Figure 3c, a protein's structure accuracy (TM-score) is correlated to the size of its homologous family (MSA depth), and the results are consistent with those in Figure 3b. Besides, we use a probability metric, Perplexity [24], to indicate the capacity of the protein language model. If the PLM can predict or reconstruct a protein sequence well, the Perplexity is low in predicting that target. From Figure 3d and Figure 3e, we can observe that the Perplexity of the PLM and the MSA depths are negatively correlated. The Perplexity of the PLM and the TM-scores of HelixFold-Single are also negatively correlated. The results indicate that if the PLM Base module can well predict (model) a protein sequence, there is a high probability that the PLM module can learn the co-evolution information of this protein and serves as an alternative to MSAs. Thus, the

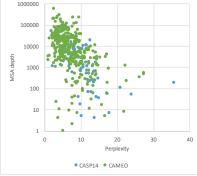


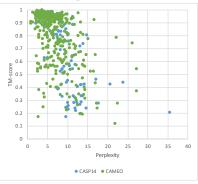


(a) Comparison between HelixFold-Single and the baseline methods on protein targets with a various numbers of homologous sequences (MSA depths).

(b) Distribution of proteins with different homologous sequences in PDB.

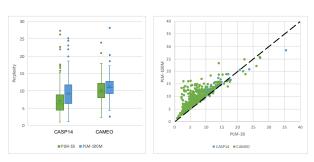


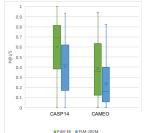


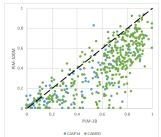


(c) Relations between proteins' MSA (d) Relations between Perplexity of PLM (e) Relation between Perplexity of PLM depths and TM-scores and MSA depths and TM-scores of HelixFold-Single

Figure 3: Analysis of the impact of homologous sequences (MSA depths) and investigation of the relations between MSA depths, TM-scores, and perplexity of the PLM.







(a) Perplexity of PLM-1B and PLM-100M

(b) Contact prediction of PLM-1B and PLM-100M

Figure 4: Comparison of PLMs of different sizes.

Geometric Modeling module can leverage the co-evolution embedded in the PLM to provide a more accurate structure for that protein.

#### 3.4 Effect of the Sizes of the PLMs

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To comprehensively study the ability of the PLMs of different sizes to learn the co-evolution information, we compare a pre-trained PLM of 1B parameters (denoted by PLM-1B) and another pre-trained PLM of 100M (denoted by PLM-100M). Table 4a exhibits the Perplexity of PLM-1B and PLM-100M of the targets from datasets CASP14 and CAMEO. In general, the smaller the perplexity is, the stronger the capacity of the PLM is. Thus, PLM-1B with more model parameters performs better than PLM-100M with fewer parameters on both datasets CASP14 and CAMEO. In addition, we apply the PLM-1B and PLM-100M on the task of protein residue contact prediction to compare there performance on the downstream tasks. We simply fit a logistic regression that takes the attention weights, i.e.,  $[z^{(1)}, z^{(2)}, \cdots, z^{(n_{PLM})}]$ ,

from the PLMs as input and predict the contact of residues on the targets in datasets *CASP14* and *CAMEO*. Following [6, 25], we use top L/5 long-range contact precision, denoted by P@L/5, as the evaluation metric, and the results are shown in Figure 4b. As we can see, PLM-1B is significantly superior to PLM-100M on the contact prediction task. The results from Figure 4a and Figure 4b both support the hypothesis that the larger the size of the PLM is, the stronger its capacity is. Therefore, it can be reasonably inferred that the performance of the PLM will continue to improve as the size of the PLM increases to a larger size.

#### 3.5 Prediction Speed Comparison

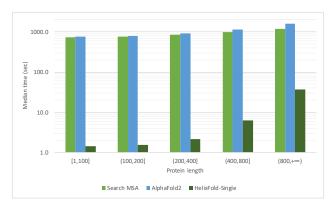


Figure 5: Median times of MSA search, AlphaFold2, and HelixFold-Single on proteins with various lengths.

Massive time consumption for searching MSAs is one of the bottlenecks of the MSA-based folding, and accelerating the speed of protein structure prediction can considerably broader its applications. The MSA-free HelixFold-Single has a tremendous advantage for inference efficiency for exempting MSA searching. Figure 5 exhibits the computation time cost of 1. MSA searching; 2. Whole inference pipeline of AlphaFold2; 3. Inference of HelixFold-Single. All the tests are executed in a single NVIDIA A100(40G) GPU. In general, Helixfold-Single consumes much less time than the AlphaFold2, while AlphaFold2 pipeline spends most of its time in MSA searching. For proteins less than 100 in length, HelixFold-Single's prediction time is only about one-thousandth of that of AlphaFold2. Even for the proteins with more than 800 amino acids, HelixFold-Single still has great efficiency superiority. The high efficiency of HelixFold-Single demonstrates the potential of its application in tasks with a great demand for structural prediction.

#### 3.6 Case Study



(a) 7KWT:B, AlphaFold2, TM-score=0.2425

(b) 7KWT:B, HelixFold-Single, TM-score=0.8066

(c) 7BCJ:A, AlphaFold2, TM-score=0.2914

(d) 7BCJ:A, HelixFold-Single, TM-score=0.6420

Figure 6: HelixFold-Single predicts PlyC and RoxP structure more accurately than AlphaFold2. PlyC structures predicted by (a) AlphaFold2 and (b) HelixFold-Single is aligned with the reference structure (PDB ID: 7KWT, chain B); RoxP structure predicted by (c) AlphaFold2 and (d) HelixFold-Single is aligned with the reference structure (PDB ID: 7BCJ, chain A). A-D) Green: structure predicted by AlphaFold2. Magentas: structure predicted by HelixFold-Single. Cyan: reference crystal structure measured by X-RAY diffraction approach (resolution<1.8A). Key residues related to protein function are shown as sticks.

Most proteins exert their functions by interacting with other molecules. Changes in the structure of a protein, especially those in the key interacting residues, can significantly affect its biological function. As a result, a protein's function is closely associated with its structure, and accurately predicting the structure would facilitate our understanding of its

biological role. While AlphaFold2 achieves outstanding accuracy in most of the protein structure prediction tasks, its 218 performance can still be poor in some situations. Here, we demonstrate that HelixFold-Single complements AlphaFold2 219 in several of these cases. Endolysin enzymes from bacteriophages cause bacterial lysis by degrading the peptidoglycan 220 cell wall. The streptococcal C1 phage endolysin PlyC is the most potent endolysin and can rapidly lyse group A, C, and 221 E streptococci. Study on PlyC structure revealed that the key residues, including R66, E36, R29, etc, are important for 222 the binding of PlyC to its target and hence are critical to its function [26]. However, AlphaFold2 failed to produce the 223 reliable structure of the protein (Figure 6(a)). This is probably due to insufficient co-evolution information extracted 224 from MSAs. In contrast, the structure predicted by HelixFold-Single (Figure 6(b)) more closely resembles the one 225 measured by the experiment, likely attributed to its little dependence on the information from MSAs. A similar result 226 is observed for another protein RoxP. This protein is produced by Cutibacterium acnes, a predominant bacterium on 227 human skin, and was shown to alleviate radical-induced cell damage. The key residues R56, R106, R121, R123 on 228 RoxP form a positively charged groove, which acts as the binding site for substrate and cofactors [27]. HelixFold-Single 229 accurately predicts the formation of the positively charged groove(Figure 6(d)), which is not observed in the structure 230 predicted by AlphaFold2 (Figure 6(c)). Furthermore, the TM-score of HelixFold-Single for RoxP is much higher 231 than that of AlphaFold2, suggesting an overall better performance of HelixFold-Single in predicting RoxP structure. 232 Altogether, our case studies indicate that HelixFold-Single outperforms AlphaFold2 in some situations and can be used 233 as a reliable tool to analyze the function of proteins without known X-RAY structures. 234

#### 235 4 Related Works

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#### 4.1 Protein Language Models

Large-scale language models [3] with the self-supervised learning (SSL) paradigm, such as masked language model (MLM) [4] and auto-regression [26], have achieved extraordinary success in Natural Language Processing (NLP) tasks. Recent progress has revealed that their capabilities are deeply related to the scale of the model parameters: the larger the scale of the parameters, the better the performance [5]. The community has not yet seen a sign of stopping growth by moving from billions to hundreds of billions of parameters. Those language models are capable of memorizing and generalizing massive common-sense knowledge and professional expertise implicitly included in the large-scale unlabeled data. Inspired by those achievements, Protein Language Models (PLMs) tried to transfer language models and SSL tasks to protein modeling. A protein can be represented by an amino acid sequence, similar to the sequences of words or tokens in NLP. Previous works [6, 7, 8, 9] have shown that by pre-training with only single sequences without much supervision, protein language models can reveal the protein classification, stability, and lower-level structure information (including secondary, tertiary structures and 2D contact maps). However, the accuracy of these models in structure prediction is still far from that of the mainstream folding models supervised by the ground-truth protein structure.

#### 4.2 Protein Structure Prediction

Mainstream pipelines [27, 28, 29, 30] rely on extracting the co-evolution information from Multiple Sequence Align-251 ments (MSAs) to predict the protein structures. Earlier works manually designed the features derived from MSAs, such 252 as inverse covariance matrices of MSAs. Then, deep neural networks (DNNs), e.g., convolutional networks, are utilized 253 to model the relations between the residues. Advanced studies [1, 29], directly take the MSAs as input and apply DNNs 254 to predict the 3D coordinates of the proteins. Particularly, the appearance of AlphaFold2 [1] has dramatically narrowed 255 256 the accuracy gap between the experimentally determined structures and model estimated structures, employing the EvoFormer module to enhance the interaction between MSA sequences and pairwise geometric information and the 257 Structure module to directly predict the atoms' coordinates. However, the reliance on MSA inevitably impedes the 258 computation efficiency and accurate prediction of orphan proteins and designed proteins, as well as downstream tasks 259 260 such as protein design.

Although the structure of a protein is dependent on its primary structure, it is incredibly challenging to train an accurate 261 model that can infer the protein structures with only the primary structures. Only a small number of samples, i.e., experimentally determined structures recorded in the PDB database, are available for model training. Several works 263 attempt to incorporate the protein language models (PLMs) for MSA-free protein structure prediction. RGN2 [10] 264 employs a protein language model (AminoBERT) with a recurrent geometric network that utilizes Frenet-Serret frames 265 to generate the backbone structure. Besides, advanced studies [11, 12] combine pre-trained PLMs, such as ProT5 [7] 266 and ESM-1b [31], with ResNets to predict 2D structures, e.g., contact map of a protein, yielding superior performance 267 in orphan proteins. Nonetheless, the overall accuracy of those works is still unsatisfactory due to the limited capacity of 268 the used model architectures.

#### **5 Conclusion and Future Work**

On the one hand, mainstream protein structure prediction methods, such as AlphaFold2 and RoseTTAFold, rely on the 271 MSAs to extract the homologous information. However, searching MSAs is time-consuming, limiting the application 272 of those methods to broader protein-related tasks. On the other hand, the large-scale protein language model learns 273 the protein correlations from a great number of unlabeled proteins through self-supervised learning tasks. By utilizing 274 large-scale parameters to embed the homologous information, we prove it can be used as an alternative to MSAs to 275 reduce the time consumption required by the protein structure prediction methods. HelixFold-Single attempts to take 276 advantage of both the protein language model and the geometric modeling, end-to-end predicting the protein structures 277 with only the primary structures. HelixFold-Single can be par with the MSA-based methods on targets with large homologous families and is much more efficient than the MSA-based methods, demonstrating its application prospect 279 for protein study. 280

In the future, as the experimental results indicate that the larger size of the PLM can achieve superior performance, we will continue investigating the PLM with a larger size for protein structure prediction. In addition, the accuracy of the targets with only a few homologous sequences is still unsatisfactory. Thus we will try to introduce more diverse training data to alleviate this problem.

### 285 Appendix A: Training and Evaluation Data

#### 286 Training Data

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- UniRef30 (2021-03) [14], containing about 260 millions protein sequences is utilized to pre-train the PLM, clustering UniProtKB [15] sequences at the level of 30% pairwise sequence identity.
- 289 Three datasets are utilized to train HelixFold-Single for MSA-free protein structure prediction.
  - **RCSB PDB** [16, 17]: The targets released before 2020-05-14 in PDB are used to train HelixFold-Single. We filter out the targets with resolution larger than 3Å and whose number of amino acids less than 10. The targets are clustered at 40% sequence identity cutoff.
  - **Distillation-Uniclust30:** We inference the structures of the targets in Uniclust30 (version 2018-08) by AlphaFold2 for self-distillation. We follow the data-prepossess procedure reported in AlphaFold2. Further, the target structures with average pLDDT less than 0.5 are filtered out. Then, the targets are clustered at 30% sequence identity cutoff.
  - **Distillation-EBI:** About one million protein structures are extracted from AlphaFold Protein Structure Database [18]. We removed the protein structures with average pLDDT less than 0.5. The remaining targets are clustered at 50% sequence identity cutoff.

#### 300 Evaluation Data

We exploit three datasets to evaluate the accuracy and efficiency of HelixFold-Single and the baseline methods.

- CASP14: 61 targets are collected from CASP14 [19, 20] for overall evaluation, which includes 87 domains with classification of FM (free modeling), TBM-easy (easy template-based modeling), TBM-hard (hard template-based modeling) and FM/TBM (modeling with only remote structural homologies).
- CAMEO: We collect 371 targets from CAMEO [21] between 2021-09-04 and 2022-02-19, which consists of various target difficulties including Easy, Medium, and Hard.
- MSA Depth Test: We create a test set obtained from RCSB PDB, including 793 targets with a wide range of different MSA depths from 2020-05 to 2021-10, especially the targets with only a few homologous sequences. This test set is combined with datasets *CASP14* and *CAMEO* to investigate the effect of the number of homologous sequences.

#### 311 Appendix B: Detailed Settings of HelixFold-Single

#### 312 Training Settings

The implementation of HelixFold-Single is based on our previous work, HelixFold [32], and we use 128 NVIDIA A100 313 GPUs to train HelixFold-Single. Table 1 exhibits the architecture setting of HelixFold-Single. We train two version 314 of PLMs for ablation study. To balance the computation costs of multiple GPUs for pre-training, the batch size used 315 in each GPU is dynamically adjusted according to the lengths of protein sequences. We use AdamW optimizer [33] 316 with learning rate of 5e-4,  $\beta_1$  = 0.9,  $\beta_2$  = 0.999, weight decay of 0.01, learning rate warm-up over the first 30,000 317 steps. When training the whole network for protein structure estimation, we use Adam optimizer [34] to optimize the 318 parameters. We apply two stages of training: initial training stage and fine-tuning stage. In the initial training stage, the learning rate is set to be 1e-3 and the lengths of the input protein sequences are cropped to be 256. In the fine-tuning stage, we use learning rate of 2e-4 and the lengths of the input protein sequences are cropped to be 384. Gradient 321 clipping by the global norm [35] is adopted on the parameters with a clipping value of 1.0. 322

#### 323 Model Architecture

#### 324 PLM Base

As shown in Figure 7, PLM Base is mainly based on DeBerTa [13]. We make two slight modifications: (1) To stabilize the pre-training of PLM, instead of using Post-Norm in DeBerTa, Pre-Norm [36] is applied in PLM Base of HelixFold-Single. (2) We find that using residue-to-position and residue-to-residue (Equation 3) is enough, while the performance gain by adding position-to-residue is trivial. Thus, we left out the position-to-residue term in DeBerTa. As a result, we have the *DisentangledAttention* layer denoted by

Table 1. Architecture setting of Tierral old-Single.					
Components	Model size	Layer num	Hidden size	Intermediate size	Head num
PLM-1B PLM-100M	1.09B 100M	$n_{PLM} = 20$ $n_{PLM} = 12$	$d_{PLM} = 2048$ $d_{PLM} = 768$	8192 3072	$h_{PLM} = 16$ $h_{PLM} = 12$
EvoFormer	87M	$n_{EvoFormer} = 24$	$d_{Single} = 512$ $d_{Pair} = 64$		
Structure Module	1.7M	$n_{Structure} = 8$	$d_{Structure} = 384$		

Table 1: Architecture setting of HelixFold-Single.

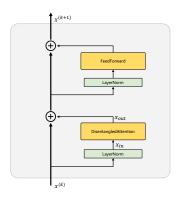


Figure 7: Architecture of *DisentangledAttentionTransformer* (superscript k denotes the layer id).

$$q = x_{in}W_{q}, \quad k = x_{in}W_{k}, \quad v = x_{in}W_{v}, \quad p = e_{p}W_{p}$$

$$A_{i,j} = \underbrace{q_{i}k_{j}^{\mathsf{T}}}_{\text{(a) residue-to-residue}} + \underbrace{q_{i}p_{\delta(i,j)}^{\mathsf{T}}}_{\text{(b) residue-to-position}}$$

$$x_{out} = \operatorname{softmax}(\frac{A}{\sqrt{2d_{PLM}}})v$$
(3)

 $W_*$  are trainable parameters;  $e_p$  is the trainable position embedding;  $\delta(i,j)$  denotes the relative distance between position i and j.

#### 332 Geometric Modeling

Since HelixFold-Single takes only the single sequence as input, we slightly modify the architecture of Evoformer, removing the columnwise attention. The architecture of the revised Evoformer is shown in Figure 8.

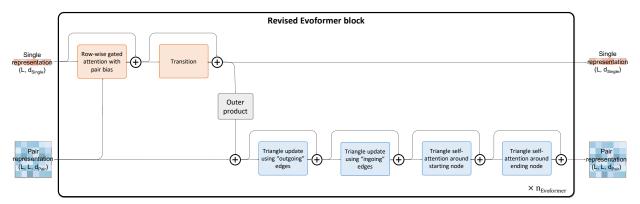


Figure 8: Architecture of revised Evoformer.

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