Male optimal and unique stable marriages with partially ordered preferences

Mirco Gelain¹, Maria Silvia Pini¹, Francesca Rossi¹, K. Brent Venable¹, and Toby Walsh²

Università di Padova, Italy. E-mail: {mpini,frossi,kvenable}@math.unipd.it
 NICTA and UNSW, Sydney, Australia. Email: Toby.Walsh@nicta.com.au

Abstract. The stable marriage problem has a wide variety of practical applications, including matching resident doctors to hospitals, and students to schools. In the classical stable marriage problem, both men and women express a strict order over the members of the other sex. Here we consider a more realistic case, where both men and women can express their preferences via partial orders, i.e., by allowing ties and incomparability. This may be useful, for example, when preferences are elicited via compact preference representations like soft constraint or CP-nets that produce partial orders, as well as when preferences are obtained via multi-criteria reasoning. We study male optimality and uniqueness of stable marriages in this setting. Male optimality gives priority to one gender over the other (for example, in matching residents to hospitals in the US, priority is given to the residents). Uniqueness means that the solution is optimal, since it is as good as possible for all the participating agents. Uniqueness of solution is also a barrier against manipulation. We give an algorithm to find stable marriages that are male optimal. We also provide a sufficient condition on the preferences that guarantees that there is a male optimal stable marriage. Finally, we give another sufficient condition on the preferences (that is also necessary in some special case), that occurs often in real-life scenarios, which guarantees the uniqueness of a stable marriage.

1 Introduction

The stable marriage problem (SM) [7] is a well-known collaboration problem. Given n men and n women, where each expresses a strict ordering over the members of the opposite sex, the problem is to match the men to the women so that there are no two people of opposite sex who would both rather be matched with each other than their current partners. In [6] Gale and Shapley proved that it is always possible to find a matching that makes all marriages stable, and provided a quadratic time algorithm which can be used to find one of two extreme stable marriages, the so-called male optimal or female optimal solutions. The Gale-Shapley algorithm has been used in many real-life scenarios, such as in matching hospitals to resident doctors, medical students to hospitals [8], sailors to ships, primary school students to secondary schools [12], as well as in market trading.

In the classical stable marriage problem, both men and women express a strict order over the members of the other sex. We consider a potentially more realistic case, where men and women express their preferences via partial orders, i.e., given a pair of men (resp., women), the women (resp., the men) can strictly order the elements of the pair, they may say that these elements are in a tie, or that they are incomparable. This is useful in practical applications when a person may not wish (or be able) to choose between alternatives, thus allowing ties in the preference list (or more generally, allowing each preference list to be a partial order) [9]. For example, in the context of centralized matching scheme, some participating hospitals with many applicants have found the task of producing a strictly ordered preference list difficult, and have expressed a desire to use ties [10]. Ties also naturally occur when assigning students to schools, since many students are indistinguishable from the point of view of a given school. Another situation where partial orders are useful is when preferences are elicited with a compact preference representation formalism like soft constraints [1] or CP-nets [2] that give partial orders. Another context where partial orders naturally occur is when preferences are obtained via multi-criteria reasoning.

We study male optimality and uniqueness of solution in this more general context. *Male optimality* can be a useful property since it allows us to give priority to one gender over the other. For example, in matching residents to hospitals in the US, priority is given to the residents. We present an algorithm, based on an extended version of the Gale-Shapely algorithm, to find a male optimal solution in stable marriage problems with partially ordered preferences (SMPs). This algorithm is sound but not complete: it may fail to find a male-optimal solution even when one exists. We conjecture, however, that the incompleteness is rare. We also give a sufficient condition on the preference profile that guarantees to find a male optimal solution, and we show how to find it.

Uniqueness is another interesting concept. For instance, it guarantees that the solution is optimal since it is as good as possible for all the participating agents. Uniqueness is also a barrier against manipulation. This is important as Roth [11] has proved that all stable marriage procedures can be manipulated. Uniqueness has previously been investigated in stable marriage problems where only strict orders are allowed [5]. A sufficient condition on the preferences was identified that ensures uniqueness. It was shown that this class of preferences is broad and of particular interest in many real-life scenarios [4]. Properties of preference orderings that satisfy this conditions are vertical heterogeneity and horizontal heterogeneity. Vertical heterogeneity [5] implies that all the agents of the same sex have identical preferences over the mates of the opposite sex, i.e., there is a common ordering over the mates. This is the standard assumption of identical preferences with different endowments [4]. The endowments in the stable marriage model is the desirability by the opposite sex. Horizontal heterogeneity [5] implies that each agent has a different most preferred mate. We show that it is possible to extend these sufficient conditions to SM with partially ordered preferences. However, for the vertical heterogeneity property, we need to consider uniqueness up to indifference and incomparability.

2 Background

2.1 Stable matching problems

Definition 1 (profile). Given n men and n women, a profile is a sequence of 2n strict total orders (i.e., transitive and complete binary relations), n over the men and n over the women.

Given a profile, the stable marriage problem (SM) [6] is the problem of finding a matching between men and women so that there are no two people of opposite sex who would both rather be married to each other than their current partners. If there are no such people, the matching is said to be stable.

Definition 2 (feasible partner). Given an SM P, a feasible partner for a man m (resp., a woman w) is a woman w (resp., a man m) such that there is a stable marriage for P where m and w are married.

The set of the stable marriages for an SM forms a lattice w.r.t. the men's or women's preferences. This is a graph where vertices correspond bijectively to the stable marriages and a marriage is above another if every man (resp., every woman) is at least as happy with the first marriage as with the second. The top of this lattice is the stable matching, called male-optimal (resp., female optimal), where men (resp., women) are mostly satisfied. Conversely, the bottom is the stable matching where men's (resp., women's) preferences are least satisfied [7].

Definition 3 (male (resp., female) optimal matching). Given an SM P, a matching is male (resp., female) optimal iff every man (resp., woman) is paired with his (resp., her) highest ranked feasible partner in P.

2.2 Gale-Shapley algorithm

The Gale-Shapley (GS) algorithm [6] is a well-known algorithm to solve the SM problem. At the start of the algorithm, each person is free and becomes engaged during the execution of the algorithm. Once a woman is engaged, she never becomes free again (although to whom she is engaged may change), but men can alternate between being free and being engaged. The following step is iterated until all men are engaged: choose a free man m, and let m propose to the most preferred woman m on his preference list, such that m has not already rejected m. If m is free, then m and m become engaged. If m is engaged to man m, then she rejects the man (m or m) that she least prefers, and becomes, or remains, engaged to the other man. The rejected man becomes, or remains, free. When all men are engaged, the engaged pairs are a male optimal stable matching.

This algorithm needs a number of steps that is quadratic in n (that is, the number of men), and it guarantees that, if the number of men and women coincide, and all participants express a strict order over all the members of the other group, everyone gets married, and the returned matching is stable. Since the input includes the profiles, the algorithm is linear in the size of the input.

Example 1. Assume n=3. Let $W=\{w_1,w_2,w_3\}$ and $M=\{m_1,m_2,m_3\}$ be respectively the set of women and men. The following sequence of strict total orders defines a profile: $\{m_1:w_1>w_2>w_3 \text{ (i.e., man } m_1 \text{ prefers woman } w_1 \text{ to } w_2 \text{ to } w_3); \quad m_2:w_2>w_1>w_3; \quad m_3:w_3>w_2>w_1\} \{w_1:m_1>m_2>m_3; w_2:m_3>m_1>m_2; \quad w_3:m_2>m_1>m_3\}.$ For this profile, the Gale-Shapley algorithm returns the male optimal solution $\{(m_1,w_1),(m_2,w_2),(m_3,w_3)\}$. On the other hand, the female optimal solution is $\{(w_1,m_1),(w_2,m_3),(w_3,m_2)\}$. \square

The Extended Gale-Shapely algorithm [7] is the GS algorithm [6] where, whenever the proposal of a man m to a woman w is accepted, in w's preference list all men less desirable than m are deleted, and w is deleted from the preference lists of all such men. This means that, every time that a woman receives a proposal from a man, she accepts since only most preferred men can propose to her.

3 Stable matching problems with partial orders

We assume now that men and women express their preferences via partial orders. The notions given in Section 2 can be generalized as follows.

Definition 4 (partially ordered profile). Given n men and n women, a profile is a sequence of 2n partial orders (i.e., reflexive, antisymmetric and transitive binary relations), n over the men and n over the women.

Definition 5 (SMP). A stable matching problem with partial orders (SMP) is just a SM where men's preferences and women's preference are partially ordered.

Definition 6 (linearization of an SMP). A linearization of an SMP is an SM that is obtained by giving a strict ordering to all the pairs that are not strictly ordered such that the resulting ordering is transitive.

Definition 7 (weakly-stable matching in SMP). A matching in an SMP is weakly-stable if there is no pair (x, y) such that each one strictly prefers the other to his/her current partner.

Definition 8 (feasible partner in SMP). Given an SMP P, a feasible partner for a man m (resp., woman w) is a woman w (resp., man m) such that there is a weakly stable marriage for P where m and w are married.

A weakly stable matching is male optimal if there is no man that can get a strictly better partner in some other weakly-stable matching.

Definition 9 (male optimal weakly-stable matching). Given an SMP P, a weakly stable matching of P is male optimal iff there is no man that prefers to be married with another feasible partner of P.

In SMs there is always exactly one male-optimal stable matching. In SMPs, however, we can have zero or more male-optimal weakly stable matchings. Moreover, given an SMP P, all the stable matchings of the linearizations of P are weakly-stable matchings. However, not all these matchings are male optimal.

Example 2. In a setting with 2 men and 2 women, consider the profile P: $\{m_1: w_1 \bowtie w_2; m_2: w_2 > w_1; \}$ $\{w_1: m_1 \bowtie m_2; w_2: m_1 \bowtie m_2; \}$. Then consider the following linearization of P, say Q: $\{m_1: w_2 > w_1; m_2: w_2 > w_1; \}$ $\{w_1: m_2 > m_1; w_2: m_1 > m_2; \}$. If we apply the men-proposing GS to Q, then we obtain the weakly-stable matching μ_1 where m_1 marries w_2 and m_2 marries w_1 . However, w_1 is not the most optimal woman for m_2 amongst all weakly-stable marriages. In fact, if we consider the linearization Q', obtained from Q, by changing m_1 's preferences as follows: $m_1: w_1 > w_2$, and if we apply the men-proposing GS, then we obtain the weakly-stable matching μ_2 , where m_1 is married to w_1 and m_2 to w_2 , i.e., m_2 is married to a woman who he prefers more than w_1 , that is, his partner in μ_1 . Notice that μ_2 is male-optimal, while μ_1 is not.

4 Finding male optimal weakly-stable matchings

We now present an algorithm, called *Male Weakly Stable Algorithm* (Algorithm 1), that either return a male optimal weakly-stable matching in SMPs (if there is one), or it returns the string 'I don't know'. This algorithm is sound but not complete: it may fail to return a male-optimal matching even if there is one. We assume that the women express strict total orders over the men. If they don't, we simply pick any linearization. Notice that this assumption is typical in the context of matching hospitals to doctors, where some hospitals with many applicants may need to include ties in their lists [10], while the applicants are able to order strictly the hospitals. The algorithm exploits the *extended GS* algorithm [7], and at every step orders the free men by increasing number of their current top choices (i.e., the alternatives that are undominated).

Our algorithm works as follows. It takes in input an SMP P, and it computes an *ordered list* L of the free men according to the number of their top choices such that the men with just one choice come first. Notice that L always contains the list of all free men. At the beginning all the men are unmarried, and thus L contains all the men. Then, the following cycle is performed:

- While the first element of L, say m, is a man with exactly one unmarried woman or a man with a single top choice that is already married, the algorithm performs the following steps:
 - If m has exactly one unmarried woman in his top choice, say w, he makes the proposal and, since we are using extending GS, the proposal is accepted and all the men that are strictly worse than m in w's preferences are removed and w is removed from the preference lists of these men. Then, m is removed from L and L is ordered again, since the top choices of some men may be reduced after the application of extended GS.
 - If m has a single top choice, say w, that is already married, then, since we are using extended GS, w accepts the proposal and she breaks the engagement with the previous man, say m'. Thus, m' is a new free man that must be put back in the ordered list L.

When we exit from this cycle, L may be empty or not. In particular:

Algorithm 1: Male Weakly Stable

```
Input: p: a profile;
Output: \mu: a stable matching or s: a string;
\mu \leftarrow \emptyset;
L \leftarrow \text{list of the men of } p;
L \leftarrow \text{ComputeOrderedList}(L);
while (first(L)) has a single unmarried woman in his top choices) or (first(L)) has a
single\ top\ choice\ already\ married)\ \mathbf{do}
     m \leftarrow \operatorname{first}(L);
     if m has a single unmarried woman in his top then
          w \leftarrow \text{UnmarriedTop}(m);
          add the pair (m, w) to \mu;
          foreach strict successor m* of m on w's preferences do
            \lfloor delete the pair (m^*, w);
           L \leftarrow L \setminus \{m\};
          L \leftarrow \text{ComputeOrderedList}(L);
     else
          if m has a single top choice already married then
               w \leftarrow \text{Top}(m);
               m' \leftarrow \mu(w);
               remove the pair (m', w) from \mu;
               add the pair (m, w) to \mu;
               foreach strict successor m* of m on w's preferences do
                 \lfloor delete the pair (m^*, w);
               L \leftarrow L \cup \{m'\} \setminus \{m\};
               L \leftarrow \text{ComputeOrderedList}(L);
if (L = \emptyset) or (L \neq \emptyset and AllDiffUnmarried(L) = true) then
     add to \mu AllDiffUnmarriedMatching(L);
    return \mu
else
     foreach pair of men m and m' in L with Top(m) \cap Top(m') \neq \emptyset do
          if m > m' for every w \in Top(m) then
               for every w \in \text{Top}(m) remove w from the preferences of m';
                L \leftarrow \text{ComputeOrderedList}(L);
               if AllDiffUnmarried(L) = true then
                     add to \mu AllDiffUnmarriedMatching(L);
                     return \mu;
     _{
m else}
          s \leftarrow I \text{ don't know};
          return s
```

- if L is *empty*, then the algorithm returns the current matching. Notice that the current matching, say (m_i, w_i) , for i = 1, ..., n is weakly
 - Notice that the current matching, say (m_i, w_i) , for i = 1, ..., n is weakly stable, since it is the solution of a linearization of P where, for every m_i with several top choices, we have broken the incomparable and tied pairs by putting in his preference list w_i strictly better than all the other women. The returned matching is simply the matching returned by classical GS on the particular linearization that we have described above. Therefore, such a matching is both weakly stable and male optimal.
- If L is not empty, it means that the next free man in L has several top choices and more than one is unmarried. If there is a way to assign to the remaining men in L different unmarried women from their top choices, then these men make these proposals, that are certainly accepted by the women, since every woman receives a proposal from a different man. Therefore, we add to

- the current matching these new pairs. After this, the algorithm terminates returning the current matching. Notice that such a matching is weakly stable and male optimal by construction.
- If L is not empty and it is not possible to make the 'all-different' assignment described above, then the algorithm removes some unfeasible women from the current top choices until it is possible to make the 'all-different' assignment. If so, it adds the all-different assignment to the current matching and it returns the resulting matching; otherwise, if all the unfeasible women have been removed, it stops returning the string 'I don't know'.

Example 3. Consider the profile $\{m_1: w_1 \bowtie w_2 > w_3 > w_4 > w_5; m_2: w_1 \bowtie w_4 > w_5\}$ $w_2 > w_3 > w_4 > w_5$; $m_3 : w_3 > w_5 > w_4 > w_2 > w_1$; $m_4 : w_1 \bowtie w_2 > w_3 > w_3 > w_4 > w_2 > w_3 > w_3 > w_4 > w_3 > w_3 > w_4 > w_3 > w_3 > w_3 > w_4 > w_3 > w_3 > w_3 > w_4 > w_3 > w_$ $w_5 > w_4$; $m_5 : w_4 > w_5 > w_3 > w_2 > w_1$ $\{w_1 : m_1 > m_2 > m_4 > m_3 > m_3 > m_4 > m_4 > m_3 > m_4 > m_$ m_5 ; $w_2: m_5 > m_3 > m_1 > m_2 > m_4$; $w_3: m_5 > m_1 > m_2 > m_4 > m_3$; $w_4:$ $m_4 > m_3 > m_1 > m_2 > m_5$; $w_5 : m_1 > m_2 > m_3 > m_4 > m_5$. The algorithm first computes the ordered list $L = [m_3, m_5, m_1, m_2, m_4]$. Then, m_3 makes a proposal to w_3 , who accepts. Thus m_3 is removed from L. Since m_3 is at the bottom of w_3 's preferences, no one is removed from the preference list of w or from the male preference lists. Therefore, $L = [m_5, m_1, m_2, m_4]$. m_5 next makes a proposal to w_4 , who accepts and m_5 is removed from L. The new ordered list $L = [m_1, m_2, m_4]$. The remaining elements of L are men with more than one top choice. All these top choices are unmarried, but there is no way to assign them with different women from their top choices as the three men have only two top choices. However, in every linearization, m_4 is not matched with w_1 or w_2 , due to w_1 and w_2 's preferences. In fact, m_1 and m_2 choose between $\{w_1, w_2\}$, while m_4 proposes to his next choice, i.e., w_3 . Hence, the considered profile is one in which only two of the three men with multiple top choices are feasible with w_1 and w_2 , i.e. m_1 and m_2 , and there is a way to assign to these men different unmarried women in their top choices. Our algorithm returns this male optimal weakly stable solution, $\{(m_3, w_5), (m_5, w_4)(m_1, w_2)(m_2, w_1)(m_4, w_3)\}.$

The Male Weakly Stable Algorithm has a time complexity which is $O(n^{\frac{5}{2}})$. In fact, the first part has the same complexity of the extended GS algorithm, which is $O(n^2)$. The second part requires performing an all-different check between the current set of free men and their top choices. Since there are at most n free men and n top choices for each man, we can build a bipartite graph where nodes are men and women, and each arc connects a man with one of his unmarried top choices. We need to find a perfect matching in this graph. This can be done in $O(m\sqrt{n})$ where m is the number of edges, which is $O(n^2)$.

The $MaleWeaklyStable\ Algorithm$ is sound, but not complete, i.e., if it returns a matching, then such a matching is male optimal and weakly-stable, but if it returns the string 'I don't know', we don't know if there is a weakly-stable matching that is male optimal. A case where our algorithm returns the string 'I don't know' is when L is not empty and there is a free man with more than one top choice and all his top choices are already married. We conjecture that in this case there is no male optimal weakly stable matching.

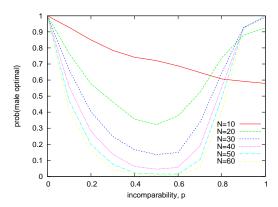


Fig. 1. Probability that the *Male Weakly Stable* Algorithm returns a male optimal stable matching as we vary the amount of incomparability. N is the total number of agents. We tested 1000 stable marriage problems for N=10 to 50 in steps of 10, and p=0 to 1 in steps of 0.1

In Figure 1, we tested the MaleWeaklyStable Algorithm on some simple partial ordered preferences. Each woman totally ordered the men uniformly at random. Each man also totally ordered the women uniformly at random, but then with probability p, we made any neighbouring pair in this total order incomparable. Hence, p=0 means no incomparability, whilst p=1 means that all woman are ranked incomparable by all men. For small economies, our algorithm has a good chance of returning a male optimal weakly stable matching. For larger economies, the probability that it returns a male optimal weakly stable matching drops as the amount of incomparability increases. However, the probability turns around for large p, as the top choices are likely to be all different.

5 A sufficient condition for male optimality

Since male optimality does not always occur, we now want to identify a class of SMPs where it is always possible to find a linearization that is male optimal.

Definition 10 (male-alldifference property). An SMP P satisfies the male-alldifference property iff men's preferences satisfy the following conditions:

- all the men with a single top choice have top choices that are different;
- it is possible to assign to all men with multiple top choices an alternative in their top choices that is different from the one of all the other men of P.

Theorem 1. If an SMP is male-all different, then there is a weakly stable matching that is male optimal and we can find it in polynomial time.

The *Male WeaklyStable Algorithm* exploits this same sufficient condition, plus some other sufficient condition. Notice that if an SMP satisfies the male-all difference property, then, not only is there at least one weakly stable matching that is male-optimal, but there is an unique stable matching up to ties and incomparability.

6 On the uniqueness of weakly stable matching in SMPs

For strict total orders, [5] gives sufficient conditions on preference for the uniqueness of the stable matching. We now extend these results to partial orders. Notice that, if there is an unique stable matching, then it is clearly male optimal. A class of preference profiles in [5] giving an unique stable matching, when the preferences are strict total orders, is defined as follows. The set of the men and the set of the women are ordered sets, the preferences require that no man or woman prefers the mate of the opposite sex with the same rank order below his/her own order. Given such a preference ordering, by a recursive argument starting at the highest ranked mates, any other stable matching would be blocked by the identity matching, i.e., the matching in which we match mates of the same rank.

Theorem 2. [5] Consider two ordered sets $M = (m_i)$ and $W = (w_i)$. If the profile satisfies the following conditions:

$$\forall w_i \in W: \ m_i >_{w_i} m_j, \ \forall j > i \tag{1}$$

$$\forall m_i \in M: \ w_i >_{m_i} w_j, \ \forall j > i \tag{2}$$

then there is a unique stable matching $\mu^*(w_i) = m_i, \forall i \in \{1, 2, \dots, \frac{N}{2}\}.$

Notice that the condition above is also necessary when the economies are small, i.e., N=4 and N=6.

There are two particular classes of preference profiles that generate a unique stable matching, and that are commonly assumed in economic applications [5]. The first assumes that all the women have identical preferences over the men, and that all the men have identical preferences over the women. In such a case there is a common (objective) ranking over the other sex.

Definition 11 (vertical heterogeneity). [5] Consider two ordered sets $M = (m_i)$ and $W = (w_i)$. A profile satisfies the vertical heterogeneity property iff it satisfies the following conditions:

```
- \forall w_i \in W : m_k >_{w_i} m_j, \ \forall k < j
- \forall m_i \in M : w_k >_{m_i} w_j, \ \forall k < j
```

Example 4. An example of a profile that satisfies vertical heterogeneity for N=6 is the following. $\{m_1: w_1 > w_2 > w_3; \ m_2: w_1 > w_2 > w_3; \ m_3: w_1 > w_2 > w_3; \} \{w_1: m_2 > m_3 > m_1; \ w_2: m_2 > m_3 > m_1; \ w_3: m_2 > m_3 > m_1.\}$

Corollary 1. [5] Consider two ordered sets $M = (m_i)$ and $W = (w_i)$ and a profile P. If P satisfies the vertical heterogeneity property, then there is a unique stable matching $\mu^*(w_i) = m_i$.

When agents have different preferences over the other sex, but each agent has a different most preferred mate and in addition is the most preferred by the mate, then the preference profile satisfies horizontal heterogeneity. In this situation there is a subjective ranking over the other sex.

Definition 12 (horizontal heterogeneity). [5] Consider two ordered sets $M = (m_i)$ and $W = (w_i)$. A profile satisfies the horizontal heterogeneity property iff it satisfies the following conditions:

```
- \forall w_i \in W : m_i >_{w_i} m_j, \ \forall j
- \forall m_i \in M : w_i >_{m_i} w_i, \ \forall j
```

Example 5. The following profile over 3 men and 3 women satisfies horizontal heterogeneity. $\{m_1:w_1>\ldots;\ m_2:w_2>\ldots;\ m_3:w_3>\ldots\}$ $\{w_1:m_1>\ldots;\ w_2:m_2>\ldots;\ w_3:m_3>\ldots\}$

Corollary 2. [5] Consider two ordered sets $M = (m_i)$ and $W = (w_i)$ and a profile P. If P satisfies the horizontal heterogeneity property, then there is a unique stable matching $\mu^*(w_i) = m_i$.

We now check if the results given above for strictly ordered preferences can be generalized to the case of partially ordered preferences. Theorem 2 holds also when the men's preferences and/or women's preferences are partially ordered.

Theorem 3. Consider two ordered sets $M=(m_i)$ and $W=(w_i)$ and a partially ordered profile P. If P satisfies the conditions 1 and 2 of Theorem 2, then there is a unique weakly stable matching $\mu(w_i)=m_i, \ \forall i\in\{1,2,\ldots,\frac{N}{2}\}.$

Notice that the condition above is also necessary when the economies are small. For example, this holds when N=6 (that is, three men and three women).

We now check if the *vertical heterogeneity* result (Corollary 1) holds also when the preferences are partially ordered. We recall that vertical heterogeneity assumes that all the agents of the same sex have the same strict preference ordering over the mates of the opposite sex. It is possible to see that, even if there is only one incomparable element in the ordering given by the men (or the women), then vertical heterogeneity does not hold and there may be more than one weakly stable marriage, as shown in the following example.

Example 6. Consider the following profile: $\{m_1: w_1 > w_2 \bowtie w_3; m_2: w_1 > w_2 \bowtie w_3; m_3: w_1 > w_2 \bowtie w_3; \}$ $\{w_1: m_1 > m_2 > m_3; w_2: m_1 > m_2 > m_3; w_3: m_1 > m_2 > m_3\}$. In this profile all the agents of the same sex have the same preference ordering over the mates of the opposite sex, however, there are two weakly stable matchings, i.e., $\mu_1 = \{(m_1, w_1), (m_2, w_2), (m_3, w_3)\}$ and $\mu_2 = \{(m_1, w_1), (m_2, w_3), (m_3, w_2)\}$. Notice however that these two weakly stable matchings differ only for incomparable or tied partners.

It is possible to show that if all the agents of the same sex have the same preference ordering over the mates of the opposite sex and there is at least one incomparable or tied pair, then there is a unique weakly stable matching up to ties and incomparability.

Let us consider now Corollary 2 regarding the *horizontal heterogeneity* property. From Theorem 3, it follows immediately that Corollary 2 holds also when partially ordered preferences are allowed.

Corollary 3. Consider two ordered sets $M=(m_i)$ and $W=(w_i)$ and a partially ordered profile P. If P satisfies the horizontal heterogeneity property, then there is a unique weakly stable matching $\mu(w_i)=m_i, \forall i \in \{1,2,\ldots,\frac{N}{2}\}$

For partially ordered preferences, we can guarantee uniqueness of weakly stable marriages by generalizing the horizontal heterogeneity property.

Definition 13 (p-horizontal heterogeneity). Consider two ordered sets $M = (m_i)$ and $W = (w_i)$ that are ordered according to the number of their top choices. Let us denote with m_k the first man in the ordered list with more than one top choice, if he exists. A partially ordered profile satisfies the p-horizontal heterogeneity iff it satisfies the following conditions:

```
 \begin{split} & - \forall m_i \in M \  \, with \, \, m_i < m_k, \, \, m_i : w_i >_{m_i} w_j, \, \forall j; \\ & - \forall m_i \in M \, \, with \, \, m_i \geq m_k, \\ & \bullet \, \, m_i : w_i >_{m_i} \, (or \bowtie_{m_i}) w_j, \, \forall j < i; \\ & \bullet \, \, m_i : w_i >_{m_i} w_j, \, \forall j > i; \\ & - \forall w_i \in W, \, \, with \, \, w_i < w_k, \, \, m_i >_{w_i} m_j, \, \, \forall j; \\ & - \forall w_i \in W, \, \, with \, \, w_i \geq w_k, \\ & \bullet \, \, w_i : m_i >_{w_i} m_j, \, \, \forall j > i; \\ & \bullet \, \, w_i : m_i >_{w_i} (or \bowtie_{w_i}) m_j, \, \, \forall j < i, \end{split}
```

In words, the conditions above require that every man m_i (resp., woman w_i) with a single alternative has as unique top choice w_i (resp., m_i), and that every m_i (resp., w_i) with more than one top choice has exactly one unmarried alternative, i.e., w_i (resp., m_i).

Corollary 4. Consider two ordered sets $M=(m_i)$ and $W=(w_i)$ that are ordered according to the number of their top choices and a partially ordered profile P. If P satisfies the p-horizontal heterogeneity, then there is a unique weakly stable matching $\mu(w_i) = m_i$, $\forall i$.

7 Related work

In this paper, as in [9, 10], we permit non-strictly ordered preferences (i.e., preferences may contain ties and incomparable pairs) and we focus on weakly stable matchings. However, while in [9, 10], an algorithm is given that finds a weakly stable matching by solving a specific linearization obtained by breaking arbitrarily the ties, we present an algorithm that looks for weakly stable matchings that are male optimal, i.e., we look for those linearizations that favor one gender over the other one. Moreover, since there is no guarantee that a male optimal weakly stable matching exists, we give a sufficient condition on the preference profile that guarantees to find a weakly stable matching that is male optimal, and we show how to find such a matching. Other work focusses on providing sufficient conditions when a certain property is not assured for all matchings. For example, in [3] a sufficient condition is given for the existence of a stable roommate matching when we have preferences with ties.

8 Conclusions

We have given an algorithm to find male-optimal weakly-stable solutions when the men's preferences are partially ordered. The algorithm is sound but not complete. We conjecture, however, that incompleteness is rare since very specific circumstances are required for our algorithm not to return a male optimal weakly stable matching when one exists. We have then provided a sufficient condition, which is polynomial to check, for the existence of male-optimal weakly-stable matchings. We have also analyzed the issue of uniqueness of weakly-stable matchings, providing sufficient conditions, which are likely to occur in real life problems, that are also necessary in special cases.

References

- S. Bistarelli, U. Montanari, and F. Rossi. Semiring-based constraint solving and optimization. In JACM, 44(2):201–236, mar 1997.
- C. Boutilier, R. I. Brafman, C. Domshlak and H. H. Hoos and D. Poole. CP-nets: A Tool for Representing and Reasoning with Conditional Ceteris Paribus Preference Statements. In J. Artif. Intell. Res. (JAIR), 21, 135-191, 2004.
- 3. K. Chung. On the existence of stable roommate matching. In *Games and economic behavior*, 33, 206-230, 2000.
- H. Cole, G. Mailath and A. Postewaite. Social norms, savings behavior and growth. In *Journal of Political Economy*, 100:1092-1125, 1992.
- 5. J. Eeckhout. On the uniqueness of stable marriage matchings. *Economic Letters*, 69:1–8, 2000.
- D. Gale, L. S. Shapley. College Admissions and the Stability of Marriage. In Amer. Math. Monthly, 69:9-14, 1962.
- D. Gusfield and R. W. Irving. The Stable Marriage Problem: Structure and Algorithms. MIT Press, Boston, Mass., 1989.
- 8. R. W. Irving. Matching medical students to pairs of hospitals: a new variation on an old theme. In *Proc. ESA'98*, vol. 1461 Springer-Verlag, pages 381-392, 1998.
- R. W. Irving. Stable marriage and indifference. In Discrete Applied Mathematics, 48:261-272, 1994.
- 10. R. W. Irving, D. Manlove and S. Scott. The Hospital/Residents Problem with ties. In *Proc. SWATT'00*, vol. 1851 Springer-Verlag, pages 259-271, 2000.
- 11. A. E. Roth. The Economics of Matching: Stability and Incentives. In *Mathematics of Operations Research*, 7:617-628, 1982.
- 12. C.-P. Teo, J. Sethuraman and W.-P. Tan. Gale-Shapley Stable Marriage Problem Revisited: Strategic Issues and Applications. In *Management Science*, 47(9):1252-1267, 2001.