



## Annex A (Normative)

### Expectation-Driven Handshake Synchronization, Attested Execution Environments, and Purpose-Bound Data Activation in BEAP™

#### A.1 Status and Scope

This annex is **normative**.

It defines mandatory **handshake semantics**, **storage rules**, **access boundaries**, and **synchronization constraints** for BEAP™-compliant implementations that support expectation-driven handshakes and handshake-scoped data.

This annex **does not modify or extend**:

- wire formats,
- capsule serialization formats,
- cryptographic primitives,
- transport mechanisms, or
- PoAE™ execution semantics

defined in the BEAP™, qBEAP™, and PoAE™ specifications.

This annex defines **behavioral, architectural, and security requirements** that MUST be honored by conforming implementations.

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## A.2 Applicability and Environment Constraints

The handshake model defined in this annex SHALL be supported **only** in **hardware-attested execution environments**.

An implementation MUST NOT enable handshake-scoped data storage, activation, or synchronization unless:

- the executing environment is hardware-attested, and
- the attestation is bound to a verifiable identity recognized by the BEAP™ trust model.

Non-attested environments MAY participate in BEAP™ interactions but SHALL NOT use the handshake-scoped mechanisms defined in this annex.

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## A.3 Handshake as an Operational Boundary

In BEAP™, a handshake SHALL represent a **bounded, identity-anchored cooperation state** shared between two parties.

A handshake state SHALL be:

- identity-bound,
- explicitly consented,
- cryptographically verifiable,
- revocable,
- and confined to attested execution environments.

The handshake SHALL function as a temporary operational contract governing:

- which data classes MAY exist within exchanged capsules,
- which expectations apply,
- which encrypted data regions MAY be accessed,
- and under which declared purposes activation MAY occur.

All shared context SHALL be strictly scoped to the lifetime of the handshake.

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## A.4 Local Handshake Persistence

Each participating party SHALL store the handshake state **locally**.

Local handshake persistence:

- SHALL be encrypted at rest,

- SHALL be cryptographically bound to the handshake identifier,
- SHALL be bound to the attested device or environment,
- SHALL NOT constitute a global session state,
- SHALL NOT require continuous online availability of the counterparty.

Local persistence SHALL NOT relax capsule-local determinism or PoAE™ policy enforcement.

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## A.5 Expectation-Driven Synchronization

### A.5.1 Expectations as Declarative Signals

An **expectation** SHALL be a declarative statement describing information that MAY be required for a handshake to transition into an active operational state.

An expectation:

- SHALL NOT mandate immediate disclosure,
- SHALL NOT imply processing,
- SHALL define requirements, not actions.

An expectation MAY specify:

- attribute identifiers,
  - data class (e.g. PII, business-critical, informational),
  - purpose identifiers,
  - requirement level,
  - additional constraints (e.g. jurisdiction, format, validation state).
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### A.5.2 Iterative Capsule Synchronization

Handshake completion SHALL occur through **iterative capsule exchange**.

Capsules exchanged during synchronization MAY contain:

- capsule-local deterministic execution context,
- shared informational references,
- encrypted handshake-scoped data regions.

Sensitive data SHALL NOT be required to be processed solely to satisfy expectation negotiation.

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## A.6 qBEAP™ Capsule Structure and Encrypted Regions

A qBEAP™ capsule used under an active handshake MAY contain **multiple encrypted regions**, each with **distinct access semantics**.

## A.6.1 Capsule Regions

A capsule MAY conceptually contain:

### Region 1 — Tier-1 Context

Capsule-local deterministic execution context and policy references.

This region SHALL be the sole authority for execution and automation decisions.

### Region 2 — Tier-2 Context

Shared informational and non-sensitive material. Augmented Overlay Annotations and support context eg Knowledgebase

### Region 3 — Handshake-Scoped Encrypted Sub-Capsules

One or more encrypted sub-capsules containing handshake-scoped data such as PII, sensitive data, Augmented Overlay support for sensitive operations

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## A.6.2 Handshake-Scoped Encrypted Sub-Capsules

Handshake-scoped data SHALL be stored exclusively within **encrypted qBEAP™ sub-capsules**.

Each such sub-capsule:

- SHALL be encrypted at rest and in transit,
- SHALL be cryptographically bound to the handshake identifier,
- SHALL be isolated from execution context,
- SHALL NOT influence execution logic,
- SHALL be inaccessible without explicit activation.

Multiple encrypted sub-capsules MAY coexist within a single qBEAP™ capsule.

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## A.7 Access Semantics and Purpose-Bound Activation

Processing or access to handshake-scoped data SHALL be permitted only when **all** of the following conditions are met:

- a matching expectation exists,
- a declared purpose identifier applies,
- policy conditions are satisfied,
- valid consent is present,
- the requesting environment is hardware-attested.

Each party:

- SHALL be able to decrypt and access **its own contributed handshake-scoped data** at any time.
- SHALL NOT gain unrestricted access to counterparty-contributed data.

Access to counterparty-provided handshake data:

- SHALL be scope-bound,
- SHALL be purpose-bound,
- SHALL be denied by default.

Transport or storage of encrypted handshake-scoped data SHALL NOT constitute processing.

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## A.8 Sub-Orchestrator Synchronization

Handshake-scoped data MAY be synchronized between **sub-orchestrators** operating under the **same identity**, provided that:

- each sub-orchestrator executes in a hardware-attested environment,
- attestation confirms equivalence of trust level,
- the identity binding is verifiable and unchanged.

Synchronization MAY include:

- an empty qBEAP™ capsule,
- the handshake identifier,
- all encrypted handshake-scoped sub-capsules.

Synchronization SHALL NOT relax access controls or activation rules defined in this annex.

Sub-orchestrators operating under different identities or without hardware attestation SHALL NOT receive handshake-scoped data.

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## A.9 Permitted Data Introduction Paths

BEAP™ SHALL permit two normative mechanisms for introducing sensitive data into a handshake-scoped cooperation state:

1. **Expectation fulfillment via explicit data request**
2. **Handshake-anchored encrypted data presence**

In both cases, data SHALL remain inactive until purpose-bound activation occurs.

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## A.10 Security, Privacy, and Determinism Properties

The model defined in this annex:

- restricts processing to explicitly declared purposes,
- prevents implicit or ambient data access,
- preserves auditability and traceability,
- enforces attestation-bound trust boundaries,

- maintains strict determinism.

Handshake revocation SHALL immediately affect future access and synchronization without altering historical integrity.

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## A.11 Conclusion

This annex normatively defines a **hardware-attested, identity-bound handshake model** in which:

- handshake state is stored locally and encrypted at rest,
- multiple encrypted data regions coexist within qBEAP™ capsules as part of a handshake,
- access is strictly scope- and purpose-bound,
- synchronization is limited to attested environments under the same identity.

This model enables secure, low-friction cooperation without introducing hidden state, implicit trust, or non-deterministic behavior.

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This annex (**Annex A**) is an integral part of the **WRDesk™ specification**.

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