# Introduction to Machine Learning and Deep Learning Part 1

#### Till Sauerwein and Konrad U. Förstner

ZB MED - Information Centre for Life Science & TH Köln

Workshop Omics data analytics and AI approaches (Machine Learning and Deep learning), GATC conference 2024, New Delhi

2024-04-08 - 2024-04-11





Introduction

- 2 Supervised learning
- 3 Selected supervised learning methods
- 4 Unsupervised Learning

1 Introduction

2 Supervised learning

3 Selected supervised learning methods

4 Unsupervised Learning

After the lecture you should have a basic understanding of machine learning / deep learning approaches and potential applications in biological research.



After the practical part you should be able to implement them with Python and the packages scikit-learn and keras/tensorflow.

We will not cover the mathematical background in depth. This is not needed at this level but recommended later.



DESPITE OUR GREAT RESEARCH RESULTS, SOME HAVE QUESTIONED OUR AI-BASED METHODOLOGY. BUT WE TRAINED À CLASSIFIER ON A COLLECTION OF GOOD AND BAD METHODOLOGY SECTIONS, AND IT SAYS OURS IS FINE.

# Al encompasses many methods

# Artificial Intelligence

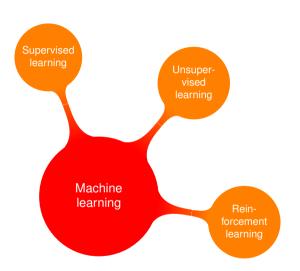
Methods that let machines immitated human beviour.

# Machine Learning

Programs learn based on data without being explicitly programmed.

#### Deep Learning

Machine learning based on artificial neural networks with many layers.



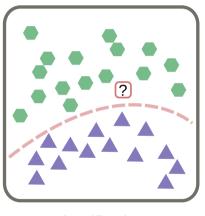
1 Introduction

2 Supervised learning

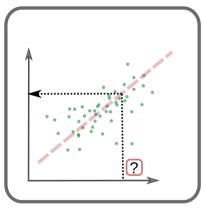
3 Selected supervised learning methods

4 Unsupervised Learning

# Two types of tasks that can be solved with supervised learning

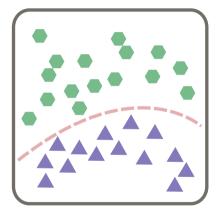


Classification

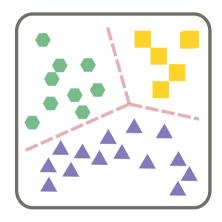


Regression

# **Classification types**



Binary classification



Multi-class classification



Supervised learning: Generate models that generalize from given examples.

# Basic concept of supervised machine learning

The model / function maps from a given two-dimensional matrix *X* to an output vector *y* with labels (classification) or numerical values (regression).

$$X_1 \rightarrow y_1$$
  
 $X_2 \rightarrow y_2$   
 $X_3 \rightarrow y_3$ 



In the actual training / learning process the parameters of the model / function are estimated. The model is then able to project the input variable X to the output variable y.

y = f(X)

#### **Example of classification**



Cancer classification based on single-cell gene expression data.

#### **Example of regression**



Predicting the gene expression level of a gene based on the gene expression levels of several regulators.

1 Introduction

2 Supervised learning

3 Selected supervised learning methods

4 Unsupervised Learning

#### Entities and their features



**Entities** (aka. samples, data points) are described by **features** (aka. covariates, attributes) that have **values**.

E.g. for different cell lines (entities) the relative expression (values) of several genes (features).

#### **Entities and their features**

#### Features can be



- categorical
  - Nominal (e.g. cell line, cancer type, eye color, gender)
  - Ordinal (e.g. very bad, bad, good, very good)
- numerical
  - Discrete (e.g. gene length in nucleotides, number of cells)
  - Continuous (e.g. cell length, concentration, relative expression)

#### **Feature selection**

# Choosing features with high variance.

Feature A	Feature B	Feature C	Feature D	
10.00	5.01	102.01	120	
20.91	5.01	102.00	200	
80.03	5.01	102.09	980	
90.19	5.00	103.00	700	
50.99	5.02	102.31	703	
80.63	5.01	102.30	443	

# Feature scaling

Normalizing the feature values to their ranges e.g. min/max normalization, mean normalisation, standard score / z-score normalization.

Feature A	Feature B	
4.3	537	
5.3	703	
2.2	510	
1.5	200	
5.2	760	



Scaled Feature A	Scaled Feature B	
0.736	0.601	
1.000	0.898	
0.184	0.554	
0.000	0.00	
0.974	1.00	

# Features encoding

Translating categorical values into numerical values (e.g. via one-hot encoding)

	Α	С	G	Т
Α	1	0	0	0
С	0	1	0	0
G	0	0	1	0
Т	0	0	0	1

e.g. AATTGC becomes:

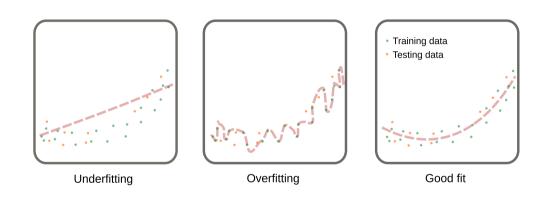
 $1,\,0,\,0,\,0,\, \boxed{1,\,0,\,0,\,0,\,} \ 0,\,0,\,0,\,1,\, \boxed{0,\,0,\,0,\,1,\,} \ 0,\,0,\,1,\,0,\, \boxed{0,\,1,\,0,\,0}$ 

# How well does the model fit?

**Overfitting**: Good performance on the training data, poor generalization to other data

**Underfitting**: Poor performance on the training data and poor generalization to other data

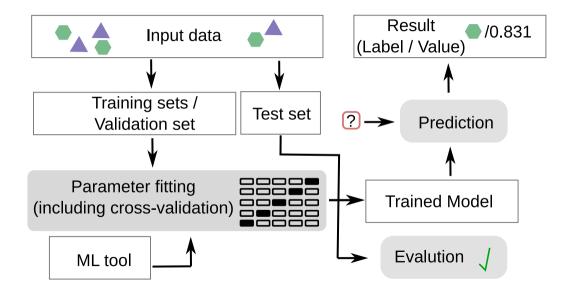
Regularization: Different methods to prevent overfitting



# Workflow for parameter fitting and evaluation

- 1.) Split into training and test/validation set (e.g. 75%/25%)
- 2.) Train model by estimating the parameters with the training set
- Evaluate the performance by using the test/validation set (e.g. scored as accuracy)

#### Workflow with cross-validation



1 Introduction

2 Supervised learning

3 Selected supervised learning methods

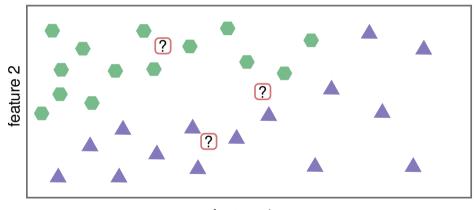
4 Unsupervised Learning

#### **Overview of different methods**

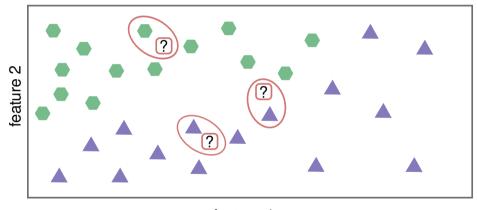
- K-Nearest neighbor
- Naive Bayes
- Linear Regression
- Logistic Regression
- Decision trees
- Artificial Neural Network (multilayer perceptron)
- Genetic Programming

- 1 Introduction
- 2 Supervised learning
  - Concepts and terminology
- 3 Selected supervised learning methods
  - k-Nearest Neighbors
  - Linear models
  - Support Vector Machines (SVMs)
  - Decision Trees and Random Forest
  - Artificial Neural Networks
- 4 Unsupervised Learning
  - Introduction to unsupervised learning
  - Dimension reduction
  - Cluster analysis

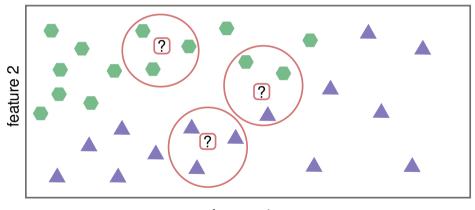
- For classification and regression
- Simplest case of supervised machine learning
- Can be easily applied to multi-class classification



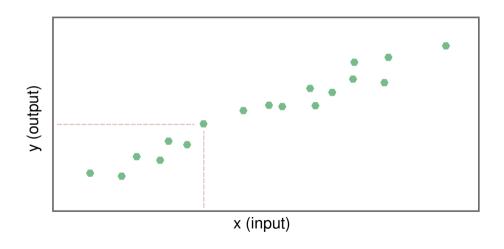
feature 1

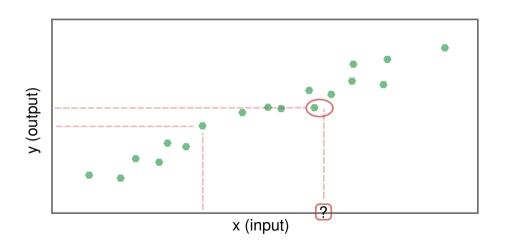


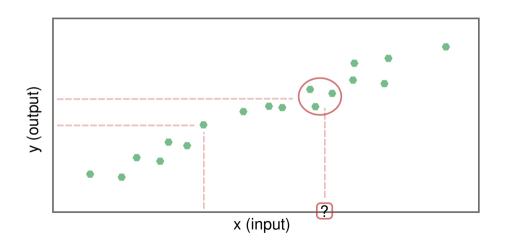
feature 1



feature 1







- 1 Introduction
- 2 Supervised learning
  - Concepts and terminology
- 3 Selected supervised learning methods
  - k-Nearest Neighbors
  - Linear models
  - Support Vector Machines (SVMs)
  - Decision Trees and Random Forest
  - Artificial Neural Networks
- 4 Unsupervised Learning
  - Introduction to unsupervised learning
  - Dimension reduction
  - Cluster analysis

#### **Linear models**

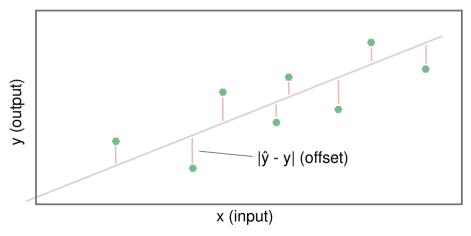
$$\hat{y} = w_1 x_1 + w_2 x_2 + w_3 x_3 + ... + w_n x_n + b$$

with *n* as the number of features *w* are the different weights/coefficients *b* the intercept

### Different ways to estimate the parameters

- Ordinary Least Squares
  - no parameters easy to use but no possibility to adapt
- Ridge
  - coefficients should be close to zero
  - more resistant against overfitting
- Least Absolute Shrinkage and Selection Operator (LASSO)

## **Ordinary least squares (OLS)**



Minimize the offset between  $\hat{y}$  and y the mean squared error (MSE) or sum of squared errors (SSE).

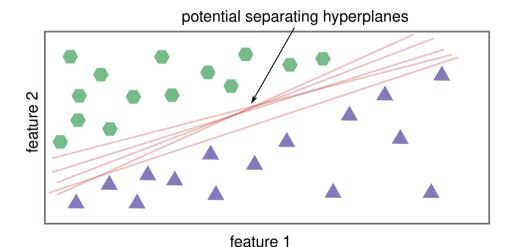
# Once the parameters (b and the weights w) of

 $\hat{y} = w_1 x_1 + w_2 x_2 + w_3 x_3 + ... + w_n x_n + b$ 

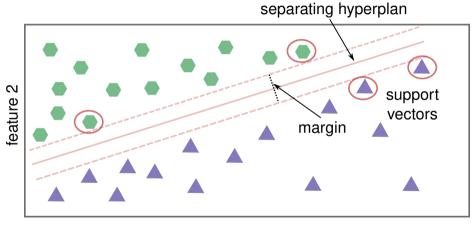
are estimated the prediction can be performed by putting the x values of the data points into the equation to predict the y value.

- 1 Introduction
- 2 Supervised learning
  - Concepts and terminology
- 3 Selected supervised learning methods
  - k-Nearest Neighbors
  - Linear models
  - Support Vector Machines (SVMs)
  - Decision Trees and Random Forest
  - Artificial Neural Networks
- 4 Unsupervised Learning
  - Introduction to unsupervised learning
  - Dimension reduction
  - Cluster analysis

# **Support Vector Machines (SVMs) – Separating hyperplane**

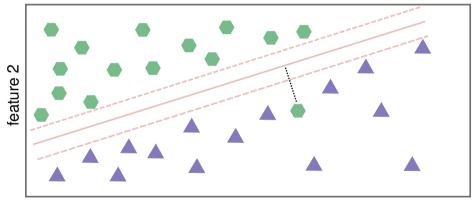


## **Support Vector Machines (SVMs) – Margin**



feature 1

# **Support Vector Machines (SVMs) – Soft Margin**



feature 1

### Support Vector Machines (SVMs) – Kernel trick

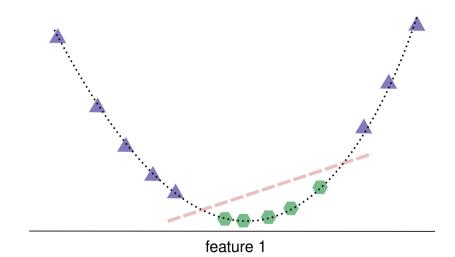


feature 1

#### **SVM** – Kernel trick

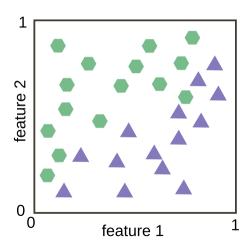


# **Support Vector Machines (SVMs) – Kernel trick**

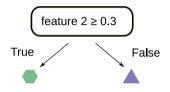


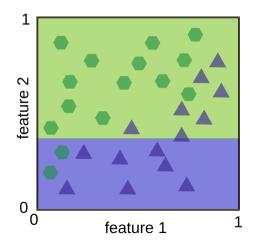
- 1 Introduction
- 2 Supervised learning
  - Concepts and terminology
- 3 Selected supervised learning methods
  - k-Nearest Neighbors
  - Linear models
  - Support Vector Machines (SVMs)
  - Decision Trees and Random Forest
  - Artificial Neural Networks
- 4 Unsupervised Learning
  - Introduction to unsupervised learning
  - Dimension reduction
  - Cluster analysis

### **Decision Trees**

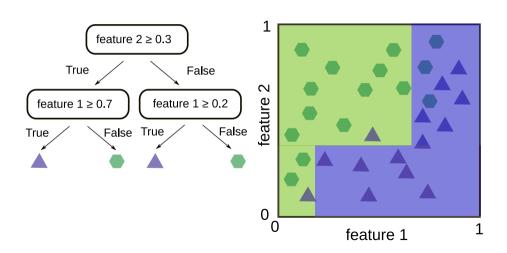


### **Decision Trees**





### **Decision Trees**

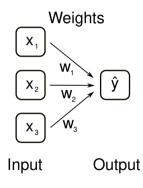


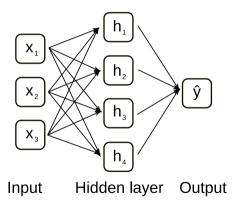
#### **Random forest**

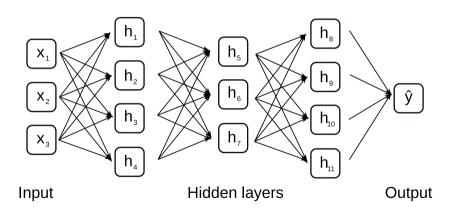
- In the random forests approach many different decision trees are generated by a randomized tree-building algorithm.
- The training set is sampled with replacement to produce a modified training set of equal size to the original but with some training items included more than once.
- In addition, when choosing the question at each node, only a small, random subset of the features is considered.
- Decision is happening by presenting the data to all tree and then do a voting.

- 1 Introduction
- 2 Supervised learning
  - Concepts and terminology
- 3 Selected supervised learning methods
  - k-Nearest Neighbors
  - Linear models
  - Support Vector Machines (SVMs)
  - Decision Trees and Random Forest
  - Artificial Neural Networks
- 4 Unsupervised Learning
  - Introduction to unsupervised learning
  - Dimension reduction
  - Cluster analysis

- Inspired by natural neural networks
- For classification or regression







For each neuron in an ANN:

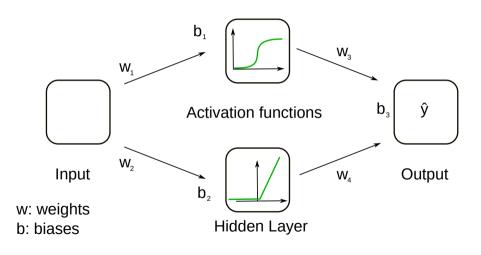
$$y = \sigma(\sum_{i=1}^{n} (w_i x_i) + b)$$

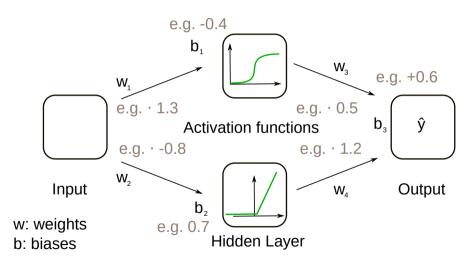
```
y = output value

\sigma = activation function

w = weight

b = bias
```





1 Introduction

2 Supervised learning

3 Selected supervised learning methods

4 Unsupervised Learning

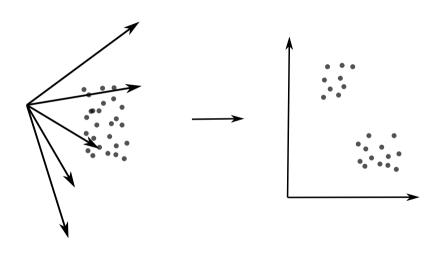
- 1 Introduction
- 2 Supervised learning
  - Concepts and terminology
- 3 Selected supervised learning methods
  - k-Nearest Neighbors
  - Linear models
  - Support Vector Machines (SVMs)
  - Decision Trees and Random Forest
  - Artificial Neural Networks
- 4 Unsupervised Learning
  - Introduction to unsupervised learning
  - Dimension reduction
  - Cluster analysis

# **Unsupervised learning – Applications**

- Dimension reduction
- Clustering

- 1 Introduction
- 2 Supervised learning
  - Concepts and terminology
- 3 Selected supervised learning methods
  - k-Nearest Neighbors
  - Linear models
  - Support Vector Machines (SVMs)
  - Decision Trees and Random Forest
  - Artificial Neural Networks
- 4 Unsupervised Learning
  - Introduction to unsupervised learning
  - Dimension reduction
  - Cluster analysis

### **Dimension reduction – basic idea**



## **Dimension reduction – Applications**

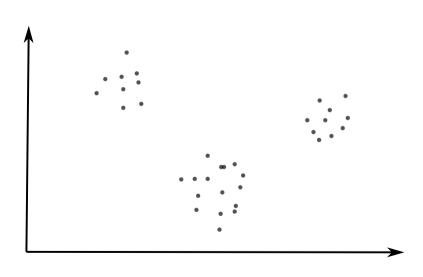
- Visualizations
- Feature selection

### Dimension reduction – Selected methods

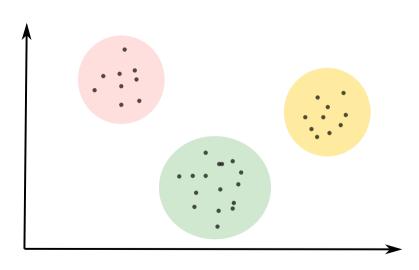
- PCA (Principle Component Analysis), lineaer
- t-SNE (t-distributed stochastic neighbor embedding), non-linear
- UMAP (Uniform manifold approximation and projection), non-linear

- 1 Introduction
- 2 Supervised learning
  - Concepts and terminology
- 3 Selected supervised learning methods
  - k-Nearest Neighbors
  - Linear models
  - Support Vector Machines (SVMs)
  - Decision Trees and Random Forest
  - Artificial Neural Networks
- 4 Unsupervised Learning
  - Introduction to unsupervised learning
  - Dimension reduction
  - Cluster analysis

# **Cluster analysis**



# **Cluster analysis**



## Cluster analysis – Selected methods

- k-means Clustering
- Hirachical Clustering
- DBSCAN (Density-based spatial clustering of applications with noise)

### Thank you for your attention

konrad.foerstner.org / @kuf@mastodon.social / @konradfoerstner

zbmed.de / @ZB\_MED

th-koeln.de / @th\_koeln



**Technology Arts Sciences** TH Köln