# 机器学习学习报告

周珊琳

上海电力大学 上海, 2019-06-28, 中国

#### 第一节 算法概述

算法名称: FP-tree

算法原理: FP-growth算法不同于Apriori算法生成候选项集再检验是否频繁的"产生测试"方法,而是使用一种称为频繁模式树(FP-tree)的紧凑数据结构组织数据,并直接从该结构中提取频繁项集。FP-树是一种输入数据的压缩表示,通过逐个读入事务,并把每个事务映射到FP-树中的一条路径来构造。由于不同的事务可能会有若干个相同的项,因此它们的路径可能部分重叠。路径相互重叠越多,使用FP-tree结构获得的压缩效果越好。如果FP-tree足够小,能够存放在内存中,就可以直接从这个内存中的结构提取频繁项集,而不必重复地扫描放在硬盘上的数据,从而提高处理效率。

算法中所用的到数学公式 支持度公式:

$$Support(A \to B) = support_count(A \bigcup B)/N \tag{1.1}$$

置信度公式:

$$confidence(A \to B) = support_count(A \bigcup B)/support_count(A)$$
 (1.2)

## 第二节 算法设计

#### 2.1 算法流程

#### Algorithm 1 FP-growth 算法

- 1: 收集数据
- 2: 准备数据: 由于存储的是集合,需要离散数据,即连续型数据需要离散化
- 3: 分析数据
- 4: 训练算法: 构建一个FP树, 对其进行挖掘(抽取频繁项集)
- 5: 使用算法

## Algorithm 2 FP-growth 抽取频繁项集的步骤:

- 1: 从FP中获取条件模式基
- 2: 利用条件模式基,构建一个条件FP树
- 3: 迭代重复前两个步骤,直到树包含一个元素为止

## 2.2 核心代码

#### 源代码 1:

```
1
 2
    class treeNode:
 3
       def __init__(self, nameValue, numOccur, parentNode):
 4
           self.name =nameValue
 5
            self.count =numOccur
           self.nodeLink =None
 7
           self.parent =parentNode #needs to be updated
 8
            self.children ={}
 9
10
        def inc(self, numOccur):
11
            self.count +=numOccur
12
13
        def disp(self, ind=1):
14
            print( ' '*ind, self.name, ' ', self.count)
15
            for child in self.children.values():
16
               child.disp(ind+1)
17
18
    def createTree(dataSet, minSup=1): #create FP-tree from dataset but don't mine
19
       headerTable ={}
20
        #go over dataSet twice
21
        for trans in dataSet:#first pass counts frequency of occurance
22
            for item in trans:
23
               headerTable[item] =headerTable.get(item, 0) +dataSet[trans]
       for k in headerTable.keys(): #remove items not meeting minSup
25
            if headerTable[k] <minSup:</pre>
26
               del(headerTable[k])
27
        freqItemSet =set(headerTable.keys())
```

```
28
        #print 'freqItemSet: ',freqItemSet
29
        if len(freqItemSet) ==0: return None, None #if no items meet min support -->get out
30
        for k in headerTable:
31
            headerTable[k] = [headerTable[k], None] #reformat headerTable to use Node link
32
        #print 'headerTable: ',headerTable
33
        retTree =treeNode('Null Set', 1, None) #create tree
34
        for tranSet, count in dataSet.items(): #go through dataset 2nd time
35
36
            for item in tranSet: #put transaction items in order
37
               if item in freqItemSet:
38
                   localD[item] =headerTable[item][0]
39
            if len(localD) >0:
40
               orderedItems =[v[0] for v in sorted(localD.items(), key=lambda p: p[1], reverse=True)]
41
               updateTree(orderedItems, retTree, headerTable, count) #populate tree with ordered freq
                                                                    itemset
42
        return retTree, headerTable #return tree and header table
43
44
    def updateTree(items, inTree, headerTable, count):
45
        if items[0] in inTree.children:#check if orderedItems[0] in retTree.children
46
            inTree.children[items[0]].inc(count) #incrament count
47
        else: #add items[0] to inTree.children
48
            inTree.children[items[0]] =treeNode(items[0], count, inTree)
49
            if headerTable[items[0]][1] ==None: #update header table
50
               headerTable[items[0]][1] =inTree.children[items[0]]
51
52
               updateHeader(headerTable[items[0]][1], inTree.children[items[0]])
53
        if len(items) >1:#call updateTree() with remaining ordered items
54
            updateTree(items[1::], inTree.children[items[0]], headerTable, count)
55
56
    def updateHeader(nodeToTest, targetNode): #this version does not use recursion
57
        while (nodeToTest.nodeLink !=None): #Do not use recursion to traverse a linked list!
58
            nodeToTest =nodeToTest.nodeLink
59
        nodeToTest.nodeLink =targetNode
60
61
    def ascendTree(leafNode, prefixPath): #ascends from leaf node to root
62
        if leafNode.parent !=None:
63
            prefixPath.append(leafNode.name)
64
            ascendTree(leafNode.parent, prefixPath)
66
    def findPrefixPath(basePat. treeNode): #treeNode comes from header table
67
        condPats ={}
68
        while treeNode !=None:
69
            prefixPath =[]
70
            ascendTree(treeNode, prefixPath)
71
            if len(prefixPath) >1:
72
               condPats[frozenset(prefixPath[1:])] =treeNode.count
73
            treeNode =treeNode.nodeLink
74
        return condPats
75
76
77
    def mineTree(inTree, headerTable, minSup, preFix, freqItemList):
78
        bigL = [v[0] for v in sorted(headerTable.items(), key=lambda p: p[1])]#(sort header table)
79
        for basePat in bigL: #start from bottom of header table
80
            newFreqSet =preFix.copy()
```

```
81
             newFreqSet.add(basePat)
 82
             #print 'finalFrequent Item: ',newFreqSet #append to set
 83
             freqItemList.append(newFreqSet)
 84
             condPattBases =findPrefixPath(basePat, headerTable[basePat][1])
 85
             #print 'condPattBases :',basePat, condPattBases
 86
             #2. construct cond FP-tree from cond. pattern base
 87
             myCondTree, myHead =createTree(condPattBases, minSup)
 88
             #print 'head from conditional tree: ', myHead
 89
             if myHead !=None: #3. mine cond. FP-tree
 90
                #print 'conditional tree for: ',newFreqSet
 91
                #myCondTree.disp(1)
 92
                mineTree(myCondTree, myHead, minSup, newFreqSet, freqItemList)
 93
 94
     def loadSimpDat():
         simpDat =[['r', 'z', 'h', 'j', 'p'],
 95
 96
                   ['z', 'y', 'x', 'w', 'v', 'u', 't', 's'],
 97
                   ['z'].
 98
                   ['r', 'x', 'n', 'o', 's'],
 99
                   ['y', 'r', 'x', 'z', 'q', 't', 'p'],
100
                   ['y', 'z', 'x', 'e', 'q', 's', 't', 'm']]
101
         return simpDat
102
103
     def createInitSet(dataSet):
104
         retDict ={}
105
         for trans in dataSet:
106
             retDict[frozenset(trans)] =1
107
         return retDict
108
109
     import twitter
110 | from time import sleep
111
     import re
112
113
     def textParse(bigString):
114
         urlsRemoved =re.sub('(http:[/][/]|www.)([a-z]|[A-Z]|[0-9]|[/.]|[~])*', '', bigString)
115
         listOfTokens =re.split(r'\W*', urlsRemoved)
116
         return [tok.lower() for tok in listOfTokens if len(tok) >2]
117
118
     def getLotsOfTweets(searchStr):
119
         CONSUMER_KEY =''
120
         CONSUMER_SECRET =''
121
         ACCESS_TOKEN_KEY =''
122
         ACCESS_TOKEN_SECRET =''
123
         api = twitter.Api(consumer_key=CONSUMER_KEY, consumer_secret=CONSUMER_SECRET,
124
                         access_token_key=ACCESS_TOKEN_KEY,
125
                         access_token_secret=ACCESS_TOKEN_SECRET)
126
         #you can get 1500 results 15 pages * 100 per page
127
         resultsPages =[]
128
         for i in range(1,15):
129
             print( "fetching page %d" % i)
130
             searchResults =api.GetSearch(searchStr, per_page=100, page=i)
131
             resultsPages.append(searchResults)
132
             sleep(6)
133
         return resultsPages
134
```

```
135
     def mineTweets(tweetArr, minSup=5):
136
         parsedList =[]
137
         for i in range(14):
138
            for j in range(100):
139
                parsedList.append(textParse(tweetArr[i][j].text))
140
         initSet =createInitSet(parsedList)
141
        myFPtree, myHeaderTab =createTree(initSet, minSup)
142
         myFreqList =[]
143
         mineTree(myFPtree, myHeaderTab, minSup, set([]), myFreqList)
144
         return myFreqList
145
146 | from numpy import *
147
     import numpy as np
148
     from sklearn.datasets import load_iris,load_wine
149
     import pandas as pd
150
151 #iris
152 | #data=load_iris()
153 | #dataSet = data.data
154 | #labels = data.feature_names
155 | #target = data.target
156 | #r=[]
157 | #for i in target:
158 # if i==0:
159
             i='Iris-setosa'
160
          elif i==1:
161
             i='Iris-versicolor'
162 #
         else:
163 #
             i='Iris-virginica'
164 | # r.append(i)
165 | #simpDat = []
166 | #for i in range(4):
167 # tmp=pd.cut(dataSet[:,1],3,labels=['a'+str(i),'b'+str(i),'c'+str(i)])
168 # simpDat.append(tmp)
169 \mid \#simpDat.append(r)
170 | #simpDat = np.array(list(zip(*simpDat)))
171
172 | #wine
173 | #data=load_wine()
174 | #dataSet = data.data
175 | #r = data.target
176 | #simpDat = []
177 | #for i in range(13):
178 | # tmp=pd.cut(dataSet[:,1],3,labels=['a'+str(i),'b'+str(i),'c'+str(i)])
179 # simpDat.append(tmp)
180 #simpDat.append(r)
181
     #simpDat = np.array(list(zip(*simpDat)))
182
183
     def loadDataSet(fileName):
184
         dataMat =[]
185
        fr = open(fileName)
186
         for line in fr.readlines():
187
            lineArr =line.strip().split(',')
188
            # print(lineArr)
```

```
189
             if lineArr[0] =='vhigh':
190
                lineArr[0] ='a1'
191
             if lineArr[0] =='high':
192
                lineArr[0] ='a2'
193
             if lineArr[0] =='med':
194
                lineArr[0] ='a3'
195
             if lineArr[0] =='low':
196
                lineArr[0] ='a4'
197
198
             if lineArr[1] =='vhigh':
199
                lineArr[1] ='b1'
200
             if lineArr[1] =='high':
201
                lineArr[1] ='b2'
202
             if lineArr[1] =='med':
203
                lineArr[1] ='b3'
             if lineArr[1] =='low':
204
205
                lineArr[1] ='b4'
206
207
             if lineArr[2]=='2':
208
                lineArr[2]='c1'
209
             if lineArr[2]=='3':
210
                lineArr[2]='c2'
             if lineArr[2]=='4':
211
212
                lineArr[2]='c3'
213
             if lineArr[2] == '5more':
214
                lineArr[2]='c4'
215
216
             if lineArr[3]=='2':
217
                lineArr[3]='d1'
218
             if lineArr[3] == '4':
219
                lineArr[3]='d2'
220
             if lineArr[3] == 'more':
221
                lineArr[3]='d3'
222
223
             if lineArr[4] == 'small':
224
                lineArr[4]='e1'
225
             if lineArr[4] == 'med':
226
                lineArr[4]='e2'
             if lineArr[4]=='big':
227
228
                lineArr[4]='e3'
229
230
            if lineArr[5] == 'low':
231
                lineArr[5]='f1'
232
             if lineArr[5] == 'med':
233
                lineArr[5]='f2'
234
             if lineArr[5] == 'high':
235
                lineArr[5]='f3'
236
237
             dataMat.append(lineArr)
238
239
         return dataMat
240
     #car
241
     simpDat =loadDataSet('car.data')
242
```

#### 选用数据

```
243
244
     #initSet = createInitSet(simpDat)
245
     #print(initSet)
246
     #myFPtree, myHeaderTab = createTree(initSet, minSup)
247
     #myFPtree.disp()
248 | #myFreqList = []
249 | #mineTree(myFPtree, myHeaderTab, minSup, set([]), myFreqList)
250 | #print(myFreqList)
251
252 | minSup=300
253 | #simpDat = loadSimpDat()
254 | #print(simpDat)
255
     initSet =createInitSet(simpDat)
256
     #print(initSet)
257
     myFPtree, myHeaderTab =createTree(initSet, minSup)
258
     myFPtree.disp()
259 myFreqList =[]
260 mineTree(myFPtree, myHeaderTab, minSup, set([]), myFreqList)
261 | print(myFreqList)
262
263 | #parsedDat = [line.split() for line in open('kosarak.dat').readlines()]
264 | #initSet = createInitSet(parsedDat)
265 | #myFPtree, myHeaderTab = createTree(initSet, 100000)
266
     #myFPtree.disp()
267
     #myFreqList = []
268
     #mineTree(myFPtree, myHeaderTab, 100000, set([]), myFreqList)
269
     #print(myFreqList)
```

# 第三节 选用数据

iris行数: 150 列数: 5 列属性及取值:

- 1) 萼片长度cm,数值型
- 2) 萼片宽度cm,数值型
- 3)花瓣长度cm,数值型
- 4)花瓣宽度cm数值型

类别:

Iris Setosa

Iris Versicolour

Iris Virginica

car, 行数: 1728, 列数: 6

列属性及取值:

- 1) buying: vhigh, high, med, low.
- 2)maint: vhigh, high, med, low.
- 3)doors: 2, 3, 4, 5more.

## 实验分析和比较

- 4) persons: 2, 4, more.
- 5)lugboot: small, med, big.
- 6)safety: low, med, high.

类别:

unacc, acc, good, vgood wine, 行数: 178, 列数: 13

属性:

- 1) Alcohol
- 2) Malic acid
- 3) Ash
- 4) Alcalinity of ash
- 5) Magnesium
- 6) Total phenols
- 7) Flavanoids
- 8) Nonflavanoid phenols
- 9) Proanthocyanins
- 10) Color intensity
- 11) Hue
- 12) OD280/OD315 of diluted wines
- 13) Proline

类别:

Alcohol 1, 2, 3

news新闻网站点击流:

列属性:

新闻报道编号

# 第四节 实验结果截图

## 第五节 实验分析和比较

对于iris,wine来说使用该算法的意义不大,只能找出哪类超过了3+;相对于前两个数据集car本身是离散型的数据,所以结果还行,找出来了哪类车超过了500+。因为car原来的不同属性列直接有相同的取值,因此需要事先进行处理,而iris和wine因为是连续型数据,因此需要提前离散化处理。后选择了一个比较适合这个算法的新闻网站点击流,找出有哪些新闻报道曾被10万+人浏览过。

## 实验分析和比较

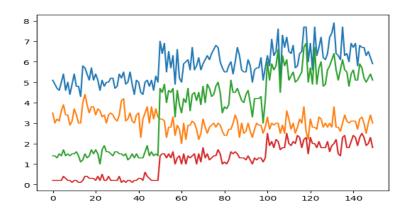


图 1: iris数据展示

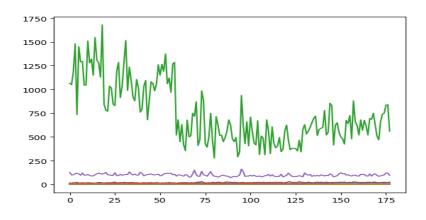


图 2: wine数据展示

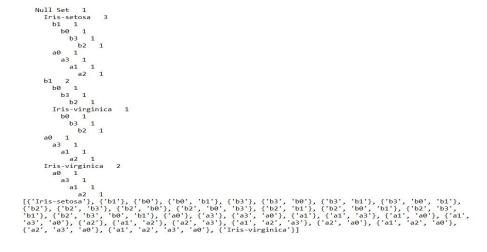


图 3: iris运行结果

## 实验分析和比较

```
{ a10', a12', a3', a4', a5', a6', a7', a9'},
    { a10', a12', a3', a4', a5', a6', a7', a9'},
    { a11', a12', a3', a7'},
    { a11', a12', a3', a4',
    { a11', a12', a3', a4', a5',
    { a11', a12', a3', a4', a5',
    { a11', a12', a3', a4', a5',
    { a10', a11', a12', a3', a4',
    { a10', a11', a12', a3', a4', a5'},
    { a10', a11', a12', a3', a4', a5',
    { a10', a11', a12', a3', a4', a5',
    { a11', a12', a3', a4', a5', a7'},
    { a11', a12', a3', a4', a5', a9'},
    { a10', a11', a12', a3', a4', a5', a9'},
    { a1
```

图 4: wine运行结果

```
03 48
[{'d2'}, {'d3'}, {'f3'}, {'e3'}, {'e1'}, {'f1'}, {'f1', 'unacc'}, {'f2'}, {'e2'}, {'d1'}, {'unacc', 'd1'}, {'unacc'}]
```

图 5: car运行结果

```
Null Set 1
    3 76514
      1 12917
    6 412762
      11 261773
          117401
         1 34141
        1 43366
      3 68888
       1 13436
         16461
    11 21190
      3 9718
       1 1565
      1 1882
[{'1'}, {'6', '1'}, {'3'}, {'11', '3'}, {'6', '11', '3'}, {'6', '3'}, {'11'}, {'6', '11'}, {'6'}]
```

图 6: news运行结果

## 遇到的问题及解决方法,实践心得

# 第六节 遇到的问题及解决方法,实践心得

一开始对如何自底向上回溯找频繁项集不知道如何实现,后来参考了《机器学习实战》。还有就是对数据的处理,尤其是car,后来才发现car存在不同属性列直接有相同的取值这个问题,所以需要进行处理。每个数据都有其适合的算法,有时候硬套算法,可能意义不大。