

**Title:** Global patterns of forest autotrophic carbon fluxes

**Running head:**

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## Abstract

Carbon fixation, allocation, and metabolism by trees set the basis for energy and material flows in forest ecosystems and define their interactions with Earth's changing climate. Yet, we lack a cohesive synthesis on how forest autotrophic carbon fluxes (FACF) vary globally with respect to climate and one another. Here, we draw upon # records from the Global Forest Carbon Database (ForC), representing all major forest types and the nine most significant FACF, to comprehensively explore how C cycling in mature, undisturbed forests varies with latitude and climate on a global scale. We show that, across all FACF variables analyzed, C cycling decreases linearly with latitude – a finding that confirms multiple previous studies but contradicts the idea that net primary productivity (*NPP*) of temperate forests rivals that of tropical forests. FACF generally displayed similar trends across latitude and multiple climate variables, with few differences in allocation detectable at this global scale, but differed in that latitude explained a lower proportion of variation among subsidiary fluxes (in particular, woody aboveground *NPP* and belowground *NPP*, *BNPP*). Climate explained a significant proportion (#-#%) of variation in all C fluxes analyzed, with temperature variables in general and mean annual temperature (MAT) in particular being the best predictors of FACF on this global scale. The effects of temperature were modified by moisture availability, with FACF reduced under hot and dry conditions and sometimes under very high precipitation. FACF declined with temperature seasonality, but growing season length did not improve upon MAT as a predictor.

Within the growing season, the influence of climate on C cycling was small but significant for a number of FACF. These findings clarify the big picture of how FACF varies with latitude and climate on a global scale. As we enter a period of accelerating climatic change, understanding of the fundamental climatic controls on FACF sets a foundation for understanding patterns of change.

## 45 Introduction

46 Carbon cycling in forests worldwide provides the energetic basis for sustaining the majority of Earth’s  
 47 terrestrial biodiversity and many human populations (**REF**), while strongly influencing atmospheric CO<sub>2</sub>  
 48 and climate (Bonan, 2008). Forests’ autotrophic carbon fluxes (FACF)—that is, carbon fixation, allocation,  
 49 and metabolism by trees and other primary producers—sets the energy ultimately available to heterotrophic  
 50 organisms (including microbes), in turn influencing their abundance (**REFS**) and possibly diversity (Waide  
 51 et al., 1999) (**REFS**). FACF influences all organic matter stocks in forest ecosystems and is linked to cycling  
 52 of energy, water, and nutrients (**REFS**) (Piao et al., 2010). Critically, FACF also define forest interactions  
 53 with Earth’s changing climate. Over 69 Gt of CO<sub>2</sub> cycle through Earth’s forests each year (Badgley et al.,  
 54 2019), and in recent decades their net C sequestration ( $\sim 2.4$  Gt C yr<sup>-1</sup>) offset roughly 30% of anthropogenic  
 55 fossil fuel emissions (Pan et al., 2011). As atmospheric carbon dioxide levels continue to rise, driving climate  
 56 change, forests will play a critical role in shaping the future of Earth’s climate (Cavaleri et al., 2015; Rogelj  
 57 et al., 2018). However, our ability to draw general macroscopic conclusions regarding global variation in  
 58 FACF with respect to climate has been limited in that these analyses often mix forests that vary in stand  
 59 age, disturbance history, and/or management status; do not always sufficiently parse related variables; and  
 60 typically consider only one or a few variables at a time.

61 FACF decrease with latitude, but it remains unclear whether and how the shape of this relationship varies  
 62 across fluxes. Studies agree that FACF are lowest in the boreal regions, and increase into the temperate  
 63 regions (Luyssaert et al., 2007; Huston and Wolverton, 2009; Beer et al., 2010; Jung et al., 2011). However,  
 64 evidence is inconclusive on whether primary productivity continues to increase into the tropics, or whether it  
 65 plateaus in temperate regions. Evidence for this is further complicated by the fact that different studies use  
 66 different measures of productivity to explore these relationships. For example, modelling of global terrestrial  
 67 ecosystem gross primary productivity (*GPP*) through upscaling and calibration of eddy flux measurements  
 68 indicates that *GPP* peaks in tropical forests (Beer et al., 2010; Jung et al., 2011; Badgley et al., 2019; Li and  
 69 Xiao, 2019). In contrast, some studies suggest that the highest values of net primary productivity (*NPP*)  
 70 may be found in temperate forests (Luyssaert et al., 2007; Huston and Wolverton, 2009), while others find  
 71 *NPP* highest in the tropics and decreasing with latitude (Šimová and Storch, 2017). Other studies have  
 72 chosen to focus exclusively on above-ground net primary productivity (*ANPP*), finding evidence of a weak  
 73 negative relationship between *ANPP* and latitude (Huston and Wolverton, 2009; Gillman et al., 2015).

74 The latitudinal gradient in FACF is primarily driven by climate, which is a significant driver of FACF across  
 75 broad spatial scales (Luyssaert et al., 2007; Cleveland et al., 2011; Hursh et al., 2017). The majority of studies  
 76 have focused on exploring the relationships of FACF to mean annual temperature (MAT) and mean annual  
 77 precipitation (MAP), as the most commonly reported site-level climate variables. While these fail to capture  
 78 some important aspects of climate such as seasonality, they do describe broad trends in temperature and water  
 79 availability, and therefore capture a substantial portion of global-scale variation in climate. There is strong  
 80 evidence that both MAT and MAP show significant positive relationships with FACF (Chu et al., 2016).  
 81 However, as with latitude, the shape of those relationships is not always clear, and, again, is complicated by  
 82 the use of different measures of FACF across studies. Various measures of primary productivity {**FACF?**}  
 83 saturate at high levels of MAP, though the saturation points identified vary from 1500mm (Luyssaert et al.,  
 84 2007) up to 2445mm MAP (Schuur, 2003). Studies of the influence of MAT on productivity {**FACF?**} are  
 85 less conclusive. Luyssaert et al. (2007) examined *GPP* and *NPP* and found that, while *GPP* increases  
 86 linearly with MAT, *NPP* saturates at around 10°C MAT. In contrast, Larjavaara and Muller-Landau (2012),

find that increases in *GPP* saturate at approximately 25°C MAT, while Schuur (2003) shows that *NPP* increases linearly with temperature. Taylor et al. (2017) showed a positive interaction between MAT and MAP in shaping tropical forest productivity, such that high rainfall had a negative effect on productivity in cooler climates, compared to a positive effect in warmer climates. **{It would be good to add some more citations on soil respiration. I'm sure BBL can help.}**

FACF can be influenced by many other factors as well, which often act across a range of scales, and may show interactive effects with each other (Cleveland et al., 2011). On a local scale, stand age (Litton et al., 2007; Gillman et al., 2015), biodiversity (Liang et al., 2016), management (Šímová and Storch, 2017), nutrient availability (Aragão et al., 2009), and altitude (Girardin et al., 2010; Malhi et al., 2017) all impact FACF. On a global scale, we expect that FACF are most strongly influenced by broad climatic gradients. There is evidence that FACFs also respond to variables such as cloud cover (Taylor et al., 2017), solar radiation (Fyllas et al., 2017), and potential evapotranspiration (Kerkhoff et al., 2005) in potentially significant ways. Furthermore, MAT and MAP are very coarse measures of climate, and so fail to capture much variation in climate on an intra-annual scale, including the effects of factors such as growing season length, number of frost-free days, temperature seasonality, and dry season length. Some studies have suggested that the apparently strong relationship between MAT and FACFs is actually a consequence of the correlation between MAT and growing season length (Kerkhoff et al., 2005; Malhi, 2012; Michaletz et al., 2014, 2018). Kerkhoff et al. (2005) and Michaletz et al. (2014) find that, within the growing season, there is no significant relationship between primary productivity and MAT, suggesting that the effect of temperature is due to increased length of growing season, rather than an inherent influence of temperature on FACF.

The recent development of the Global Forest Carbon database (ForC), which synthesizes multiple variables and including records of stand history (Anderson-Teixeira et al., 2016, 2018), opens up the possibility for a standardized analysis of global scale variation in multiple FACF and the principle climatic drivers of these patterns. In order to approach this broad topic, we simplify the major gaps in our knowledge to five broad questions and corresponding hypotheses (Table 1). First, we ask how FACF vary with latitude. We then test how these fluxes relate to MAT and MAP, and additionally how they respond to other, less well-studied, climate variables. Finally, we consider the relationship between FACF and seasonality, considering the role of seasonality in explaining variation in carbon fluxes, and the influence of climate on FACF standardized by growing season length. We address the above questions for nine carbon fluxes contained in ForC, allowing for an in-depth exploration of the effect of climate on FACF globally.

Table 1: Summary of research questions, corresponding hypotheses, and results. Statistically significant support for/ rejection of hypotheses is indicated with 'yes'/'no', parentheses indicate partial overall support/rejection of hypotheses across all FACF, and '-' indicates no significant relationship.

Questions and hypotheses (with related references)	Forest autotrophic carbon fluxes (FACF)										Support
	Overall	<i>GPP</i>	<i>NPP</i>	<i>ANPP</i>	<i>ANPP<sub>woody.stem</sub></i>	<i>ANPP<sub>foliage</sub></i>	<i>BNPP</i>	<i>BNPP<sub>fine.root</sub></i>	<i>R<sub>auto</sub></i>	<i>R<sub>auto-root</sub></i>	
Q1. How do FACF vary with latitude?											
H1.1. FACF decrease linearly with latitude. <sup>1,2,3</sup>	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	Fig. 2
Q2. How do FACF vary with MAT and MAP?											
H2.1. FACF increase with MAT. <sup>1,4</sup>	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	Figs. 4, S4, S5
H2.2. FACF increase with precipitation. <sup>1,4</sup>	(yes)	yes	yes	yes	-	yes	yes	yes	yes	yes	Figs. 4, S4, S5
H2.3. Temperature and precipitation interactively shape FACF. <sup>5</sup>	(yes)	yes	yes	-	yes	-	yes	yes	yes	-	Fig. 3
Q3. How are FACF related to other climate variables?											
H3.1. FACF increase with PET.	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	Figs. 4, S4, S5
H3.2. FACF increase with vapour pressure deficit.	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	Figs. 4, S4, S5
H3.3. FACF increase with solar radiation.	(yes)	yes	yes	yes	yes	yes	yes	yes	yes	-	Figs. S4, S5
Q4. How does seasonality influence FACF?											
H4.1. FACF decrease with temperature seasonality.	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	Figs. 4, S6, S7
H4.2. FACF decrease with precipitation seasonality.	-	-	-	-	-	-	-	-	-	-	Figs. S6, S7
H4.3. FACF increase with growing season length. <sup>6,7,8</sup>	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	Figs. 4, S6, S7
H4.4. Growing season length is a better predictor of FACF than MAT. <sup>7,8</sup>	(no)	no	no	no	no	no	no	-	no	no	Table S4
Q5. When standardised by growing season length, how do FACF vary with climate?											
H5.1. Growing season FACF increase with temperature. <sup>8</sup>	(yes)	-	-	yes	-	yes	-	-	-	-	Figs. S8, S9
H5.2. Growing season FACF increase with PET.	(yes)	yes	yes	-	yes	-	yes	yes	-	-	Figs. S8, S9
H5.3. Growing season FACF increase with precipitation.	(yes)	-	-	yes	-	yes	-	-	-	-	Figs. S8, S9
H5.4. Growing season FACF increase with solar radiation.	(yes)	yes	yes	-	-	-	yes	yes	-	-	Figs. S8, S9

<sup>1</sup> Luyssaert et al. (2007) <sup>2</sup> Gillman et al. (2015) <sup>3</sup> Simova and Storch (2017) <sup>4</sup> Schuur (2003) <sup>5</sup> Taylor et al. (2016) <sup>6</sup> Malhi (2012) <sup>7</sup> Michaletz et al. (2014) <sup>8</sup> Chu et al. (2016)

## Materials and Methods

### *Forest carbon flux data*

This analysis focused on nine FACF included in the open-access ForC database (Table 2) (Anderson-Teixeira et al., 2016, 2018). ForC contains records of field-based measurements of forest carbon stocks and annual fluxes, compiled from original publications and existing data compilations and databases. Associated data, such as stand age, measurement methodologies, and disturbance history, are also included. The database was significantly expanded since the publication of Anderson-Teixeira et al. (2018) through integration with the Global Soil Respiration Database (Bond-Lamberty and Thomson, 2010). Additional targeted literature searches were conducted to identify any further available data on the FACF analyzed here, with particular focus on mature forests in temperate and boreal regions, which were not included in the review of Anderson-Teixeira et al. (2016). We used ForC v3.0, archived on Zenodo with DOI 10.5281/zenodo.3403855. This version contained 29,730 records from 4,979 plots, representing 20 distinct ecozones across all forested biogeographic and climate zones.

This analysis focused on mature forests with no known history of significant disturbance or management. There is evidence that stand age influences patterns of FACF and carbon allocation in forest ecosystems, and can confound relationships between latitude and primary productivity (DeLUCIA et al., 2007; Gillman et al., 2015). To reduce any biasing effects of stand age, we included only stands of known age  $\geq 100$  years and those described by terms such as “mature”, “intact”, or “old-growth”. Since management can alter observed patterns of FACF (Šimová and Storch, 2017), sites were excluded from analysis if they were managed, defined as plots that were planted, managed as plantations, irrigated, fertilised or including the term “managed” in their site description. Sites that had experienced significant disturbance within the past 100 years were also excluded. Disturbances that qualified sites for exclusion included major cutting or harvesting, burning, flooding, drought and storm events with site mortality  $>10\%$  of trees. Grazed sites were retained.

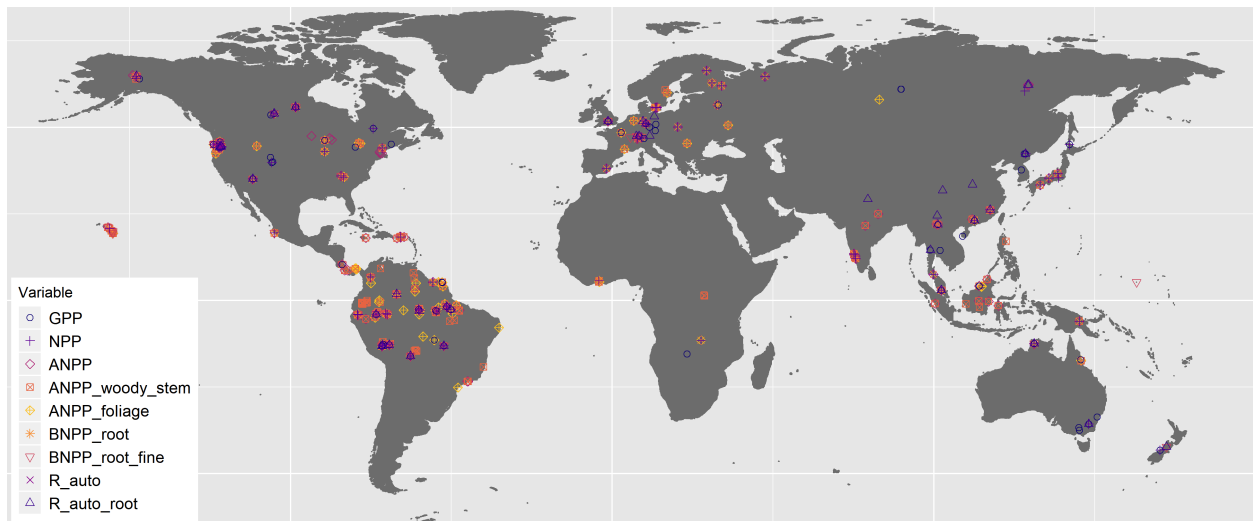


Figure 1: Map showing all data used in the analysis, coded by variable. Variables are plotted individually in Fig. S1.

### *Climate data*

ForC contains geographic coordinates associated with each measurement record and, when available, mean

Table 2: Definitions and sample sizes of FACF variables used in analysis. All variables are in units of Mg C ha<sup>-1</sup> yr<sup>-1</sup>.

Variable	Definition	Components included	Methodologies	Sample size	
				records	geographic areas*
<i>GPP</i>	Gross Primary Production	full ecosystem	flux partitioning of eddy-covariance; $NPP + R_{auto}$	243	49
<i>NPP</i>	Net Primary Production	stem, foliage, coarse root, fine root, optionally others (e.g., branch, reproductive, understory)	$ANPP + BNPP$ (majority); $GPP - R_{auto}$	161	56
<i>ANPP</i>	Aboveground <i>NPP</i>	stem, foliage, optionally others (e.g., branch, reproductive, understory)	$ANPP_{woody-stem} + ANPP_{foliage}$ (+ others)	278	86
$ANPP_{woody-stem}$	Woody stem growth component of <i>ANPP</i>	woody stems down to DBH $\leq 10$ cm (no branch turnover)	stem growth measurements scaled to biomass using allometries	264	96
$ANPP_{foliage}$	Foliage component of <i>ANPP</i>	foliage	litterfall collection (separated into components)	98	49
<i>BNPP</i>	Belowground <i>NPP</i>	coarse and fine roots	coarse roots estimated indirectly using allometries based on aboveground stem increment measures ; fine roots as below	101	48
$BNPP_{fine-root}$	Fine root component of <i>BNPP</i>	fine roots	measurements combined one or more of the following: soil cores, minirhizotrons, turnover estimates, root ingrowth cores	88	41
$R_{auto}$	Autotrophic respiration	foliage, stem, and root	chamber measurements of foliage and stem gas exchange + $R_{auto-root}$ (as below)	22	13
$R_{auto-root}$	Root respiration	(coarse and) fine roots	partitioning of total soil respiration (e.g., through root exclusion), scaling of root gas exchange; excluded alkali absorption and soda lime methods for measuring soil respiration	64	26

\* Geographic areas group geographically proximate sites, defined using a hierarchical cluster analysis on the distance matrix of the sites, and a cutoff of 25km

annual temperature (MAT) and mean annual precipitation (MAP) as reported in the primary literature (Anderson-Teixeira et al., 2018). Based on the geographic co-ordinates for each site, data on twelve climate variables—including MAT, MAP, temperature and precipitation seasonality, annual temperature range, solar radiation, cloud cover, annual frost and wet days, potential evapotranspiration (PET), aridity (MAP/PET), and vapor pressure deficit (VPD)—were extracted from five open-access climate datasets: WorldClim (Hijmans et al., 2005), WorldClim2 (Fick and Hijmans, 2017), the Climate Research Unit (CRU) time-series dataset v. 4.03 (Harris et al., 2014), the Global Aridity Index and Potential Evapotranspiration Climate Database (Trabucco and Zomer, 2019), and TerraClimate (Abatzoglou et al., 2018) (Table S1). From these data, we derived maximum VPD, defined as the VPD of the month with the largest deficit, and the number of water stress months, defined as the number of months annually where precipitation was lower than PET. Where site-level data was missing for MAT or MAP, we used values from the WorldClim dataset.

Length of the growing season was estimated to the nearest month, where growing season months were defined as months with mean minimum temperature  $> 0.5^{\circ}\text{C}$ . We experimented with a definition of growing season months including a moisture index, defined as  $(\text{MAT} - \text{PET})/\text{PET}$ ,  $> -0.95$  (Kerkhoff et al. 2005; see also Michaletz et al. 2014-put in these refs). However, we found that including a moisture index had **no** effect on the estimates of growing season length, and so chose to exclude it. (**Becky, was it really no effect? or minimal?**) Monthly data for PET, precipitation, and temperature from the CRU dataset v 4.03 (Harris et al., 2014), and solar radiation from WorldClim2 (Fick and Hijmans, 2017) were used to calculate mean monthly PET, precipitation, temperature and solar radiation during the growing season. Total growing

season precipitation and solar radiation were also calculated.

## Analyses

The effects of latitude and climate on FACF were analysed using mixed effects models using the package ‘lme4’ (Bates et al., 2015) in R v.3.5.1 (?). The basic model for all analyses included a fixed effect of latitude or climate and a random effect of plot nested within geographic area. Geographic areas—*i.e.*, spatially clustered sites—are defined within ForC using a hierarchical cluster analysis on the distance matrix of the sites and a cutoff of 25km (Anderson-Teixeira et al., 2018). We experimented with inclusion of altitude as a fixed effect, but excluded it from the final models because it added very little explanatory power—that is, the difference in AIC ( $\Delta AIC$ ) relative to models excluding altitude was generally small (often  $\Delta AIC < 2$ ). Hypotheses were accepted if the  $\Delta AIC$  between a model including the fixed effect of interest and a corresponding null model excluding that fixed effect exceeded 2.0. All  $R^2$  values presented here are marginal  $R^2$  values, and refer to the proportion of variation explained by only the fixed effects. Specific analyses are as described below.

We first examined the relationship between latitude and FACF (Q1; Table 1). We tested models with latitude as a linear term (corresponding null: model without latitude) and as a second-order polynomial term (corresponding null: model with latitude as a linear term), and calculated AIC values to determine the best model. Models were accepted as the best model if  $\Delta AIC > 2$  with respect to the corresponding null, and were significant with respect to a null model with no fixed term. We also examined relationships among fluxes across latitude, testing whether sums of component fluxes matched the larger fluxes and whether C allocation varied with latitude, as specified below.

To test whether trends in component fluxes across latitude sum to match those of larger fluxes, regression lines for smaller component fluxes were summed to generate new estimates of larger fluxes, which were then compared against the latitudinal regression of the larger flux. Confidence intervals for the larger flux were calculated using the ‘bootMer’ function from the lme4 package (Bates et al., 2015). This analysis was applied to following sets of fluxes: (1)  $GPP = NPP + R_{auto}$ , (2)  $NPP = ANPP + BNPP$ , and (3)  $ANPP = ANPP_{foliage} + ANPP_{woody.stem}$ . In addition, we estimated total belowground C flux (TBCF, not analyzed due to limited data) as  $TBCF = BNPP + R_{root}$ .

Variation in allocation to component carbon fluxes along latitudinal gradients was explored for the following pairings:  $GPP : NPP$ ,  $ANPP : BNPP$ ,  $ANPP_{foliage} : ANPP_{woody.stem}$ ,  $ANPP_{foliage} : NPP$ ,  $ANPP_{woody.stem} : NPP$ ,  $ANPP : NPP$ , and  $BNPP : NPP$ . For each set of paired fluxes, measurements taken at the same site and plot, and in the same year, were paired together, and the ratio of each pair of measurements calculated. The ratios were regressed against latitude and climate variables, using the linear model specified above. Cook’s distance analyses were carried out for each of the models, and indicated that data from a few high-elevation sites were having a disproportionate influence on the regressions. To account for this, models were re-run using only data from sites  $\leq 1000m$ .

We next examined the relationships of FACF to climate variables (Q2-Q4; Table 1). As with latitude, we tested both linear and polynomial fits for each climate variable. We tested relationships of each FACF (Table 2) against each climate variable (Table S1), but focus presentation—including focal hypotheses (Table 1)—on climate variables that explained  $>20\%$  of variation in FACF. **Becky, please make this more specific. I drafted this based on the results, but its not very clear.**

To investigate the potential joint and interactive effects of climate variables on carbon fluxes, multivariate



models were also specified. We explored a large range of climate variable combinations and ultimately decided to focus on MAT and MAP. We favored these over variables with similar explanatory power because they are the most commonly reported climate variables and are recorded in ForC. MAT was consistently among the best individual predictors of FAF (Table S2), although it was occasionally out-performed by closely correlated climate variables (Fig. S2). In combination with MAT as a primary climate variable, MAP was consistently among the best secondary climate variables. Specifically, compared again a model including MAT x MAP, there were no other variables that, in interaction with MAT, improved AIC by  $>2.0$  and  $R^2$  by  $>0.05$ . In examining the joint and interactive effects of climate variables, an additive model including MAT and MAP as fixed effects was accepted when  $\Delta AIC > 2$  relative to a null including only MAT as a fixed effect. An interactive model including an MAT x MAP interaction was accepted when  $\Delta AIC > 2$  relative to a null including MAT and MAP as fixed effects.

To test whether and how FAF varied with climate when standardised by growing season length (Q5), we first standardized FAF by dividing by growing season length (as defined above). We then tested for correlations between growing season length-standardised FAF against growing season climate variables. For analyses on data within the growing season, only linear models were specified.

**All analyses were conducted in R (Version). Code and results are archived on GitHub...**

## Results

In total, we analyzed 1228 records from nine FAF variables taken from forests that had experienced no major anthropogenic disturbances within the past 100 years. These records represented a total of 154 distinct geographic areas across all forested biogeographic and climate zones (Fig. 1, Table 2).

### *How do FAF vary with latitude?*

All major carbon fluxes decreased linearly with latitude (Fig. 2; Table S2). Latitude was a strong predictor for many of the carbon fluxes—particularly the larger fluxes (Table S2). Specifically, latitude explained 64% of variation in GPP ( $n = 254$ ,  $p < 0.0001$ ), 50% in NPP ( $n = 114$ ,  $p < 0.0001$ ) and 45% in ANPP ( $n = 259$ ,  $p < 0.0001$ ). The FAF that were most poorly predicted by latitude were  $BNPP_{root-fine}$  ( $R^2=0.17$ ) and  $ANPP_{woody.stem}$  ( $R^2=0.18$ ). For all FAF, the relationship with latitude was best predicted by the linear model.



Figure 2: Latitudinal trends in forest autotrophic carbon flux. Lines of best fit are plotted according to the best model selected during analysis. All regressions are significant ( $p < 0.05$ ). Each panel shows major C fluxes together with component fluxes. Also plotted are predicted trends in the major C fluxes based on the sum of component fluxes. 95% confidence intervals are plotted for the major flux for comparison with predicted trends. In (d), which shows three belowground fluxes, the major flux, total belowground carbon flux, is one for which we have no data

In general, smaller component fluxes summed approximately to larger fluxes across the latitudinal gradient (Fig. 2). That is, modelled estimates of  $GPP$ , generated from the sum of  $NPP$  and  $R_{auto}$ ;  $NPP$ , generated from the sum of  $ANPP$  and  $BNPP$ ; and  $ANPP$ , generated from the sum of  $ANPP_{foliage}$  and  $ANPP_{woody-stem}$ , fell completely within the confidence intervals of the regressions of field estimates of  $GPP$ ,  $NPP$ , and  $ANPP$ , respectively.

There was little evidence of substantial variation in C allocation across latitude or climate (Fig. S3). Of the 7 FACF ratios regressed against latitude and three climate variables (MAT, MAP, temperature seasonality), there were only five significant relationships, all with  $R^2 \leq 0.4$  (Fig. S3). Specifically, the proportion of  $NPP$  allocated to  $ANPP_{foliage}$  decreased with latitude ( $R^2 = 0.32$ ) and increased with MAT ( $R^2 = 0.37$ ), and the proportion of  $NPP$  allocated aboveground ( $ANPP$ ) decreased weakly with latitude ( $R^2 = 0.11$ ) and

temperature seasonality ( $R^2 = 0.17$ ), while increasing with MAT ( $R^2 = 0.11$ ). The remaining 23 relationships examined were non-significant.

*How do FACP relate to MAT and MAP?*

All FACP increased linearly with MAT, and we found no support for a saturation point of FACP with MAT (all  $p < 0.05$ ; Figs. 3-4, S4-S5, Table S2). As with latitude, MAT tended to explain more variation in the larger FACP ( $GPP$ ,  $NPP$ ,  $ANPP$ ,  $R_{auto}$ ) and  $ANPP_{foliage}$  (all  $R^2 > 0.4$ ) than in subsidiary and belowground fluxes ( $ANPP_{woody-stem}$ ,  $R_{root}$ ,  $BNPP_{root-fine}$ ; all  $R^2 < 0.25$ ).

MAP was a significant ( $p < 0.05$ ) predictor of all FACP but  $ANPP_{woody-stem}$  (Figs. 4a, S4-S5; Table S2). However, it explained little variation: with the exception of  $R_{auto}$ , MAP explained at most 37% of variation in FACP. For the majority of FACP, a polynomial model was the best fit. FACP generally increased with precipitation, up until a saturation point at between 3000 and 4000mm annual precipitation, above which they started to decrease (Figs. 4, S4-S5). The notable exception to this was GPP: the model indicated that GPP continued to increase with precipitation up to measures of at least 5000mm annually ( $p < 0.0001$ ,  $R^2 = 0.33$ ). Data above this point were not available, but the model trend indicated that the saturation point for this model would be around 5000mm MAP.

There was a significant additive effect of MAT and MAP on  $GPP$ ,  $ANPP$  and  $R_{auto}$  (Fig. 3, Table S3). Accounting for MAT, MAP had a substantial positive effect on  $GPP$  and  $R_{auto}$  and a small negative effect on  $ANPP$ . There was a significant interactive effect between MAT and MAP for  $NPP$  and  $ANPP_{woody-stem}$  (Fig. 3, Table S3). The interaction was negative for  $NPP$  and positive for  $ANPP_{woody-stem}$ . For  $ANPP_{foliage}$ ,  $BNPP$ ,  $BNPP_{root-fine}$ , and  $R_{auto-root}$ , MAP did not have a significant effect when accounting for MAT (Fig. 3, Table S3). For the variables which showed a significant interactive or additive effect between MAT and MAP, no other climate variable, in combination with MAT, significantly improved on that model. **{need to confirm this given changes in MAT MAP results (or you could just drop the sentence.)}**

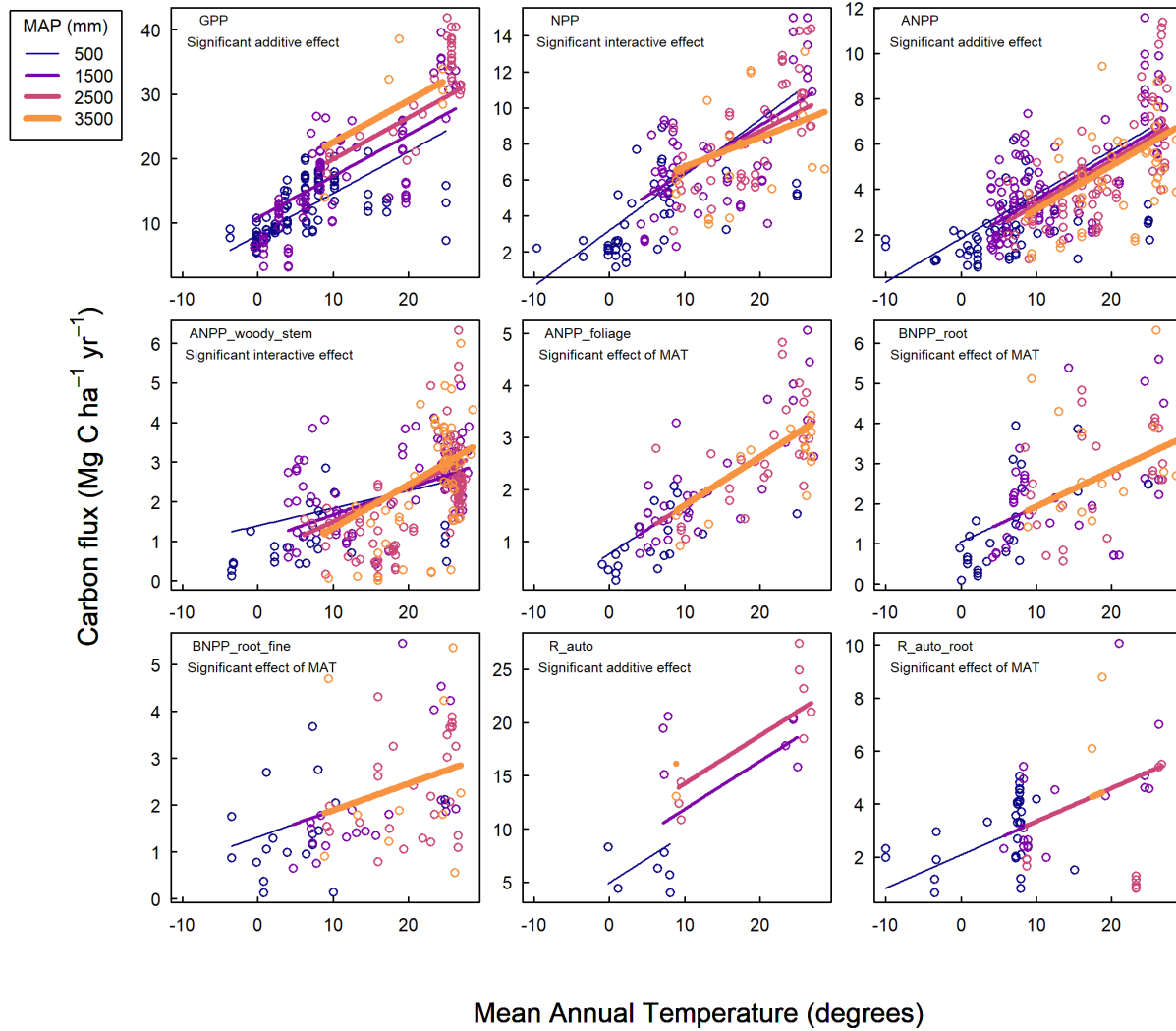


Figure 3: Interactive effects of mean annual temperature and mean annual precipitation on FACH. For visualization purposes, data points are grouped into bins of 0 - 1000, 1001 - 2000, 2001 - 3000, and >3000mm mean annual precipitation, and lines of best fit models are plotted for mean annual precipitation values of 500, 1500, 2500, and 3500mm. All regressions are significant ( $p < 0.05$ ).

### How do FACH relate to other climate variables?

Our results indicated that FACH were most strongly explained by temperature at the global scale, with temperature-related climate variables coming out as strong predictors of FACH. In addition to MAT, several of its correlates (Fig. S2) were consistently identified as strong univariate predictors of FACH: temperature seasonality, annual temperature range, annual frost days, PET, and length of growing season (Figs. 4, S4-S7).

We found a significant relationship between C flux and potential evapotranspiration for all FACH.  $ANPP_{foliage}$ ,  $BNPP_{root-fine}$  and  $R_{root}$  increased linearly with PET; however, all other fluxes showed a polynomial relationship with PET (Fig. 4c, S4-5; Table S2). We found strong evidence for a saturation point or peak with PET: FACH tended to increase at values below 1000mm, before saturating between 1200 and 1700mm. There was also evidence that FACH begin to decrease at values above 1800mm PET.

272 Vapour pressure deficit was a significant predictor of C flux for all FACH.  $BNPP_{root-fine}$  showed a linear  
 273 relationship with vapour pressure deficit ( $R^2 = 0.07$ ,  $p < 0.05$ ), but all other fluxes showed a polynomial  
 274 relationship (Figs. 4d, S4-5; Table S2). FACH initially increased with vapour pressure deficit, before saturating  
 275 at around 0.8 kPa, after which point they began to decrease.  
 276 All fluxes, with the exception of  $R_{root}$ , showed a positive linear relationship with solar radiation (Figs. S4-S5,  
 277 Table S2). Solar radiation explained a low proportion of variability in all FACH, explaining less than 20% of  
 278 the variation in each flux, with the exception of  $R_{auto}$  ( $R^2 = 0.26$ ,  $p < 0.05$ ).  
 279 Annual wet days, cloud cover, and aridity were poor or non-significant explainers of variation in FACH,  
 280 explaining less than 20% of the variation in each of the carbon fluxes (Figs. S4-S5; Table S2).

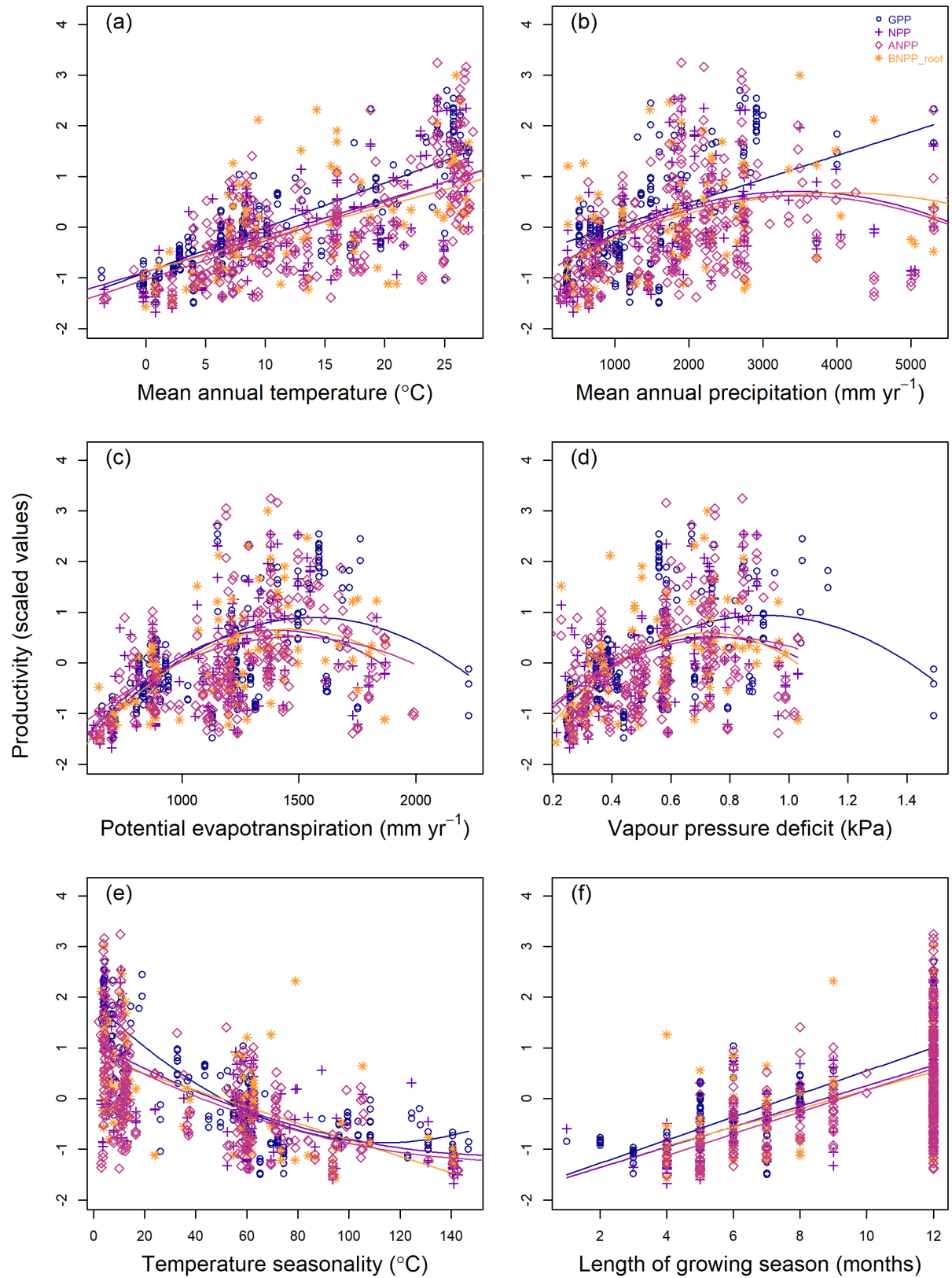


Figure 4: Plots of carbon fluxes against (a) mean annual temperature; (b) mean annual precipitation; (c) potential evapotranspiration, (d) vapour pressure deficit; (e) temperature seasonality; (f) length of growing season. For visualization purposes, data for each flux was rescaled with a mean of 0 and standard deviation of 1. Lines of best fit are plotted according to the best model selected during analysis (\*\*see issue 47\*\*). All regressions are significant ( $p < 0.05$ ).

### What is the role of seasonality in explaining FACF?

Temperature seasonality was a significant predictor of FACF.  $GPP$ ,  $NPP$ ,  $ANPP$ , and  $R_{root}$  exhibited a polynomial relationship with seasonality (all  $p < 0.05$ ; Figs. 4e, S6-7; Table S2).  $ANPP_{foliage}$ ,  $ANPP_{woody-stem}$  and  $R_{auto}$  decreased linearly with temperature seasonality (all  $p < 0.05$ ; Figs. 4e, S6-S7; Table S2). Temperature seasonality was strongly correlated with annual temperature range, which was likewise a similarly strong predictor of FACF (Table S2). FACF were highest where temperature seasonality = 0, and at an annual temperature range of 15°C or lower.

In contrast, there was no significant effect of precipitation seasonality on FACF, and both maximum vapour pressure deficit, and water stress months were poor or non-significant explainers of variation in FACF (Figs. S6-S7; Table S2).

We found a significant relationship between length of growing season and FACF, with all fluxes showing a linear increase with length of growing season (Figs. 4e, S6-S7; Table S2). Length of growing season was a strong predictor of FACF, explaining 51% of variation in GPP, 39% of variation in NPP, and 34% of variation in ANPP, but it was a weaker predictor than MAT for all fluxes analysed (Table S4).

### Within the growing season, how do FACF vary with climate?

When FACF were standardized by growing season length, correlations with growing season climate—including temperature, precipitation, solar radiation, and PET—were generally weak (Figs. S8-S9). Specifically,  $ANPP$  increased with growing season temperature ( $R^2 = 0.10$ ,  $p < 0.001$ ) and precipitation ( $R^2 = 0.04$ ,  $p < 0.05$ ). Similarly,  $ANPP_{foliage}$  increased slightly with growing season temperature ( $R^2 = 0.16$ ,  $p < 0.01$ ) and precipitation ( $R^2 = 0.09$ ,  $p < 0.05$ ). Growing season solar radiation had a positive influence on  $GPP$  ( $R^2 = 0.21$ ,  $p < 0.001$ ),  $NPP$  ( $R^2 = 0.21$ ,  $p < 0.001$ ),  $BNPP$  ( $R^2 = 0.16$ ,  $p < 0.001$ ) and  $BNPP_{fine.root}$  ( $R^2 = 0.12$ ,  $p < 0.01$ ). Growing season PET had a positive influence on  $GPP$  ( $R^2 = 0.15$ ,  $p < 0.01$ ),  $NPP$  ( $R^2 = 0.18$ ,  $p < 0.01$ ),  $BNPP$  ( $R^2 = 0.23$ ,  $p < 0.0001$ ),  $BNPP_{fine.root}$  ( $R^2 = 0.11$ ,  $p < 0.05$ ), and  $ANPP_{woody-stem}$  ( $R^2 = 0.06$ ,  $p < 0.05$ ). **{Becky, please verify/ edit the following:}** There were no other significant correlations between growing season length-standardized FACF (9 variables in Table 2) and growing season climate (which variables?).

## Discussion

Our analysis of a large global database (ForC) reveals how autotrophic carbon fluxes in mature forests vary with latitude and climate on a global scale. We show that, across all nine FACF analyzed, C cycling decreases continually with latitude ( $H1.1$ ; Fig. 2)—a finding that confirms multiple previous studies but contradicts the idea that productivity of temperate forests rivals that of tropical forests (Huston and Wolverton, 2009). FACF generally increase in proportion to one another ( $H1.2$ ), with few differences in allocation detectable at this global scale (Fig. S2) and with component fluxes summing appropriately to larger fluxes (Fig. 2), indicating no major, systematic omissions or overestimations of flux components. However, climate explained lower proportions of variability among subsidiary C fluxes (e.g.,  $ANPP_{woody}$ ,  $BNPP_{fine.root}$ ,  $R_{auto-root}$ ; Fig. 2; Table S2). Latitudinal variation in FACF is primarily attributable to temperature-related variables ( $H3$ ,  $H4$ ), particularly MAT (Figs. 3-4). Water availability is also influential, but generally of secondary importance across the climate space occupied by forests (Figs. 3-4). Temperature seasonality and growing season length are closely correlated with MAT and are strong predictors of FACF ( $H4$ ; Figs. 4e-f, S2, S6-S7), though growing season length doesn't improve upon MAT as a predictor. Within the growing season, the

influence of climate on C cycling is smaller but still significant for a number of FACF (*H5*; Fig. S9; Table S4). These findings resolve a number of open debates and clarify the big picture of how FACF vary with latitude and climate on a global scale.

Past studies have differed in their conclusions regarding the relationship between FACF and latitude or its correlates (Table 1, *H1*)—quite possibly because of lack of standardization with respect to stand age and disturbance history. Our findings indicate that, among mature, undisturbed stands, FACF are unambiguously highest in the tropical regions, and the relationship is approximately linear (Fig. 2). This contrasts with the suggestion that productivity of temperate forests is similar to that of tropical forests (Huston and Wolverton, 2009). Compared to tropical forests, the temperate forest biome has experienced more widespread anthropogenic disturbance and has a larger fraction of secondary stands (Potapov et al., 2008; Poulter et al., 2018), so analyses comparing across latitudinal gradients without controlling for stand age risk confounding age with biome effects. In addition, because carbon allocation varies with stand age (DeLUCIA et al., 2007) (**Doughty et al. 2018: DOI: 10.1111/btp.12504; Anderson-Teixeira et al. 2013: doi: 10.1111/gcb.12194**), age differences may introduce systematic biases into analyses of FACF across latitude or global climatic gradients. For example, woody productivity tends to be higher in rapidly aggrading secondary stands than in old-growth forests, where proportionally more C is allocated to respiration (**Doughty et al. 2018: DOI: 10.1111/btp.12504**); (DeLUCIA et al., 2007; Kunert et al., 2019). By constraining our analysis to stands  $\geq 100$  years old with no record of major recent disturbance, we have clarified the shape of the relationship between FACF and latitude.

We show that FACF are broadly consistent in their responses to climate drivers on the global scale, with at most modest trends in C allocation among the variable pairs tested (Figs. 2, S3). This parallels the observation that C allocation across multiple FACF varies little with respect to climate along a steep tropical elevational gradient (Malhi et al., 2017; but see Moser et al., 2011), and is not surprising given that trees face some universal constraints in carbon allocation (**REFS**). The one trend in allocation that emerged from our analysis was a tendency for greater C allocation aboveground—and to foliage in particular—in warm tropical climates (Fig. S3). This is consistent with observations of increasing allocation to roots with declining temperature across a tropical elevational gradient (?),

- (Hofhansl et al., 2015) (**verify**)
- Chen et al. 2019 (doi: 10.1111/ele.13193) - find lower partitioning belowground at higher productivity (fine root NPP scales against ANPP\_foliage with a slope of  $\sim 0.8$ )
- Gill and Finzi (2016)
- Litton et al. (2007) ?

One previously hypothesized trend that was not supported by our analysis was that tropical forests tend to have low carbon use efficiency ( $CUE = NPP/GPP = (GPP - R_{auto})/GPP$ ), which are based on observations of low *CUE* in old-growth tropical forests relative to (mostly younger) extratropical forests (DeLUCIA et al., 2007; Malhi, 2012; Anderson-Teixeira et al., 2016). Limiting the analysis to mature forests, our analysis shows no such trend (Fig. S3), suggesting that the relatively low *CUE* of intact tropical forests is more appropriately be attributed to the fact that these forests are old than to their tropical climate. Indeed, *CUE* is known to decline with forest age (DeLUCIA et al., 2007; Collalti and Prentice, 2019), but appears to be roughly independent of *GPP* (Litton et al., 2007). (*This finding may have some important implications for modeling and our broader understanding. I'm not sure offhand how much theory has been built on the idea of low CUE in tropical forests because of warm temperatures...*)



One interesting observation was that climate tends to explain more variation in the major fluxes ( $GPP$ ,  $NPP$ ,  $R_{auto}$ ) than in subsidiary fluxes ( $BNPP_{fine.root}$ ,  $R_{auto-root}$ ,  $ANPP_{woody-stem}$ ) (Fig. 2; Table S2). There are two, non-exclusive, potential explanations for this. First, it may be that methodological variation is larger relative to flux magnitude for some of the subsidiary fluxes. Belowground fluxes in particular are difficult to quantify, and measurement methods for the belowground fluxes considered here may be measured through fundamentally different approaches (*e.g.*, minirhizotrons, ingrowth cores, or sequential coring for  $BNPP_{root-fine}$ ; root exclusion, stable isotope tracking, or gas exchange of excised roots for  $R_{auto-root}$ ), and sampling depth is variable and often insufficient to capture the full soil profile.  $ANPP_{woody-stem}$ , which is also poorly explained by latitude or climate, is more straightforward to measure but is subject to variability introduced by differences such as biomass allometries applied and minimum plant size sampled (*Clark et al. 2001 Ecological Applications*). However, methodological variation and uncertainty affect all of fluxes considered here—not necessarily any less than the aforementioned, and some of the larger fluxes that vary more strongly with respect to climate ( $ANPP$ ,  $NPP$ ) are estimated by summing uncertain component fluxes. Second, differences among variables in the proportion of variation explained by climate may be attributable to more direct climatic control over  $GPP$  than subsidiary fluxes. That is, subsidiary fluxes may be shaped by climate both indirectly through its influence on  $GPP$  and respiration and directly through any climatic influence on C allocation, as well as many other local- and regional-scale factors (**REFS**).

**This paragraph needs work, but I’m not currently sure how to fix it. It reads more as results than discussion, and doesn’t say anything really profound. Depending on what happens with issue #70, we might somehow rework/rearrange this paragraph and the previous two.** The latitudinal gradient in FACF (Fig. 2) is driven primarily by temperature-related climate variables, and secondarily by moisture availability (Table 1,  $H2-H3$ ; Figs. 3-4). Because many climate variables co-vary across the latitudinal gradient (Fig. S2), because climatic drivers affect forest carbon flux on much shorter time scales than can be captured by annual climate summary variables, and because both climatic conditions and C flux vary intra- and inter-annually around the long-term means, it is not appropriate to attempt to identify any one mean annual climate variable as a mechanistic driver of FACF. However, it remains informative to consider these relationships. We find that temperature-related climate variables ( $MAT$ , temperature seasonality, ... **LIST**) explain the highest proportion of variability in FACF, and among these,  $MAT$  is generally the best predictor—perhaps because site-specific  $MAT$  is recorded for the majority of sites in ForC, whereas other variables are extracted from global gridded data products (Table S1). The effects of temperature are modified by moisture availability, with reduced FACF under hot and dry conditions (*i.e.*, high PET, high deficit; Fig. 4c-d) and sometimes under very high precipitation (Figs. 3, 4b). Negative effects of very high precipitation on FACF have been observed previously (**REFS**) and are attributable to nutrient and light limitations (**REFS**). Thus, although temperature and water availability jointly and interactively drive global-scale patterns of FACF...

FACF are negatively correlated with temperature seasonality (Table 1,  $H4$ ; Fig. 4e), and is minimal during cold- or dry- dormant seasons. To account for this, a number of analyses seeking to characterize global-scale effects of climate on productivity have examined the relationship of C flux per month of the growing season with growing season climatic conditions (Table 1,  $H5$ ; **REFS**). We found that the sort of simple metric needed to define growing season at a global scale (Kerckhoff et al., 2005; Michaletz et al., 2014) was rough with respect to temperature and problematic with respect to moisture because it doesn’t capture temporal lags between precipitation and plant water use caused by storage in soil or snow. (**probably better to get into**

this in the methods). A temperature-defined growing season length had strong positive correlation with FACF (Fig. 4f), but explained less variation than *MAT*. Dividing FACFs by growing season length to yield FACF per growing season month removed the majority of climate-related variation, supporting the idea that the latitudinal gradient in FACF is attributable more to shorter growing seasons at high latitudes than to inherently lower rates of photosynthesis or respiration by high-latitude forests ([*Enquist et al. 2007 GCB- but check*]). However, there remained a number of significant correlations with growing season climatic conditions, suggesting that climatic conditions remain influential within the growing season. We conclude that while correcting for growing season length takes analyses a step closer to mechanistic linkage of instantaneous C flux rates to environmental conditions, it remains very crude relative to the timescales on which climate affects plant metabolism and does not advance statistical predictive power. Rather, mechanistic accounting for climatic effects on global FACF patterns requires models representing physiologically meaningful timescales (*e.g.*, refs).

Our analysis clarifies how FACF vary with latitude and climate on a global scale, with some important implications for how forest carbon cycling relates to climate and, by extension, how it is likely to respond to climatic warming. Our findings show that higher temperatures with similar moisture availability result in a generalized acceleration of FACF (Figs. 2-3). This is consistent with observations of continental- to global-scale increases in *GPP* (Li and Xiao, 2019) and *ANPP<sub>woody</sub>* (Brienen et al., 2015; Hubau et al., 2020), along with some C cycle components not considered here—tree mortality (Brienen et al., 2015; McDowell et al., 2018), soil respiration (Bond-Lamberty and Thomson, 2010), and heterotrophic soil respiration (Bond-Lamberty et al., 2018). However, increasing C flux rates are not universal (Rutishauser et al., 2020) (**MORE REFS**). This is likely because factors other than rising temperatures are at play, including changes to other aspects of climate, atmospheric pollution (CO<sub>2</sub>, SO<sub>2</sub>, NO<sub>x</sub>), and local disturbances. Moreover, forest ecosystem responses to climatic changes outside the temperature range to which forest communities are adapted and acclimatized will not necessarily parallel responses across geographic gradients in climate. Nevertheless, as we enter a period of accelerating climatic change, understanding of the fundamental climatic controls on FACF sets a foundation for understanding patterns of change.

## misc content for discussion

- the observed positive interaction between *MAT* and *MAP* for *ANPP<sub>woody</sub>* is consistent with the Taylor et al. (2017) analysis showing such an interaction for *ANPP* in tropical forests. Similar to their analysis, we find a cross-over point at ~20C. However, we don't find such an interaction for *ANPP*, and we show a contrasting negative interaction for *NPP*. Some of this may be stochastic/ driven by composition of the dataset, and the interactions we observe are not internally consistent.

-results are consistent with *Muller-Landau et al.*, *in review*

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