Interpretation of AMS-02 Results: Correlations among Dark Matter Signals

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Abstract

The AMS-02 collaboration has recently released data on the positron fraction $e^+/(e^-+e^+)$ up to energies of about 350 GeV. If one insists on interpreting the observed excess as a dark matter signal, then we find it is best described by a TeV-scale dark matter annihilating into $\tau^+\tau^-$, although this situation is already severely constrained by gamma-ray measurements. The annihilation into $\mu^+\mu^-$ is allowed by gamma-rays more than $\tau^+\tau^-$, but it gives a poorer fit to AMS-02 data. Moreover, since electroweak corrections induce correlations among the fluxes of stable particles from dark matter annihilations, the recent AMS-02 data imply a well-defined prediction for the correlated flux of antiprotons. Under the assumption that their future measurements will not show any antiproton excess above the background, the dark matter interpretation of the positron rise will possibly be ruled out by only making use of data from a single experiment. This work is the first of a program where we emphasize the role of correlations among dark matter signals.

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1 Introduction

Despite the overwhelming evidence that Dark Matter (DM) contributes to a significant fraction of the energy density of the universe, its nature and properties are still unknown. Many experimental activities are nowadays performed assuming the particle nature of DM. In particular, in the indirect searches of DM [1] the goal is to detect the products of DM annihilations or decays around the Milky Way: Standard Model (SM) particles are produced as primaries and they subsequently decay into SM stable states such as electrons and positrons, protons and antiprotons, deuterium and antideuterium, photons (γ -rays, X-rays, synchrotron radiation) and neutrinos. In praticular, the recent positron data released by AMS-02 [2] may be interpreted as due to DM annihilations.

The necessary inclusion of ElectroWeak (EW) corrections [3, 4, 5, 6] significantly alters the final spectra when the mass of the DM particles is larger than the EW scale (see also [7, 8, 9]): soft EW gauge bosons are copiously radiated, thus opening new channels in the final states which otherwise would be forbidden if such corrections were neglected. As a consequence, all stable particles are present in the final spectrum, independently of the primary channel of DM annihilation/decay. An inevitable consequence of this is inducing correlations among the predicted fluxes of SM stable particles targeted by the various experiments. For example, within a given model of DM, EW corrections to DM annihilations/decays lead to correlated fluxes of antiprotons (\bar{p}) and neutrinos whose detection is one of the goals of AMS-02 and ICECUBE, respectively.

This work is the first of a program where we investigate the role of correlations among DM signals. Based on the idea that EW interactions connect all particles of the SM and that correlations can be established among different fluxes, our logic is very simple:

- 1. suppose a signal is detected in a particular channel and assume it is of DM origin;
- 2. then the EW corrections imply that the fluxes of other cosmic-ray species should be modified accordingly, in a very specific way depending on the nature of the process which is assumed in part 1;
- 3. these correlations can then be tested against existing data, or used as predictions for upcoming data, to verify or reject the initial hypothesis of DM interpretation of the signal.

This approach is essential to draw robust conclusions about DM origin of a signal, and it is particularly relevant now, due to the amount of upcoming data from very different sides and channels (e.g. AMS-02, Fermi, HESS-2, ICECUBE, PAMELA).

In this paper we apply the general logic described above to the specific case of the AMS-02 experiment, which has recently released positron data [2] and will release data from anti-proton measurements in the very near future. We first consider the recent AMS-02 data on the positron fraction $e^+/(e^++e^-)$ (which extend to higher energies the observations by PAMELA [10] and FERMI [11]) and fit them as a signal of DM. Then, the EW corrections provide a prediction for the correlated flux of \bar{p} (which are already constrained by current PAMELA data [12]). Once this prediction is made, we may compare it with the projected sensitivity of AMS-02 for the \bar{p} channel under the assumption that the measurements of AMS-02 do not show a significant \bar{p} excess above the background.

The correlation between e^+ and \bar{p} is particularly relevant as it is a very clear example to illustrate the role played by EW corrections in constraining DM models even within a single experiment, such as AMS-02, which is able to measure the fluxes of different particle species. The inclusion of EW

corrections will allow us to answer a simple and interesting question: will it be possible to rule out conclusively the DM interpretation of the positron rise using only the data collected by the AMS-02 experiment (and thus disregarding other experiments)? Notice that the correlations between different final states can also help to break the degeneracy implicit in the interpretation of the e^+ signal from either DM or astrophysical sources, mainly pulsars. While it was recently concluded that future e^{\pm} data will likely be insufficient to discriminate between the DM and the single pulsar interpretations of the cosmic-ray lepton excess [13], this conclusion did not take into account EW corrections and the correlations induced by them. The correspondingly generated flux of \bar{p} might be detected by AMS-02 and not be ascribable to pulsars. We will come back to this point in a separate publication [14].

The paper is organized as follows. In Section 2 we briefly discuss the signals and the backgrounds relevant for our analysis. Our results are presented and discussed in Section 3, including a description of the salient features of our detector simulation. The concluding remarks are collected in Section 4.

2 Signals and backgrounds

As a signal, accounting for the positron excess observed in the data, we consider DM annihilations in the following leptonic channels

DM DM
$$\to e^+ e^-, \, \mu^+ \mu^-, \, \tau^+ \tau^-,$$
 (2.1)

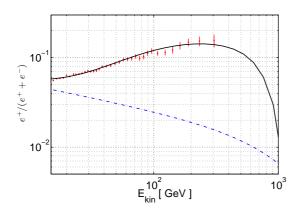
each with 100% branching ratio. We will not consider the case of decaying DM. The above annihilation channels are the best situations for interpreting the positron rise as a signal of DM. Other non-leptonic annihilation channels, such as $b\bar{b}$ and W^+W^- , easily produce hadrons in the final state, e.g. \bar{p} , and are already excluded by the \bar{p} PAMELA data [12], using EW corrections [3]. Note however that other complimentary data put already strong constraints on these "leptophilic" scenarios, e.g. γ -rays [15, 16]; as mentioned above, we insist on exploring the exclusion capabilities of data from a single experiment, with EW corrections taken into account.

The correlations we want to study in this paper are between positron fraction and \bar{p} data, therefore the Cosmic-Ray (CR) species we have to deal with are e^-, e^+, \bar{p} . An example of how DM can account for the positron excess without upsetting the \bar{p} flux is shown in Fig. 1. In the following we will only consider the data points with energies above 15 GeV, in order to be totally sure that the fluxes are not affected by solar modulation. To generate the signal fluxes at production, i.e. positrons and \bar{p} from DM annihilations, we use the numerical code PPPC4DMID described in Refs. [17, 3], which includes EW bremsstrahlung from external legs in the factorization limit. The predictions of cosmic-ray fluxes originated from DM annihilations crucially depends on how the DM is distributed in the galactic halo. This astrophysical uncertainty is currently irreducible, therefore we consider three of the usual profile choices:

$$\rho(r) = \begin{cases} \rho_s \left[(1 + r/r_s)(1 + (r/r_s)^2) \right]^{-1}, & r_s = 12.67 \text{ kpc}, \quad \rho_s = 0.712 \text{ GeV/cm}^3, \quad \text{(Burkert [18])} \\ \rho_s \exp \left[-\frac{2}{0.17} \left[(r/r_s)^{0.17} - 1 \right] \right], & r_s = 28.44 \text{ kpc}, \quad \rho_s = 0.033 \text{ GeV/cm}^3, \quad \text{(Einasto [19])} \\ \rho_s(r_s/r) \left(1 + r/r_s \right)^{-2}, & r_s = 24.42 \text{ kpc}, \quad \rho_s = 0.184 \text{ GeV/cm}^3, \quad \text{(NFW [20])} \end{cases}$$

$$(2.2)$$

As for backgrounds, "primary" electrons can come from galactic CR while interactions of CRs with the interstellar medium is a source of "secondary" electrons, positrons and \bar{p} . The propagation of



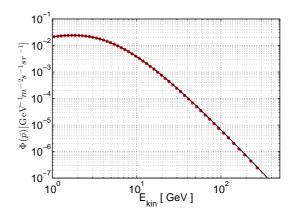


Figure 1: Dark matter interpretation of the excess in AMS-02 positron fraction data (left panel); the corresponding flux of \bar{p} would not show significant deviations in AMS-02 \bar{p} mock data (right panel), generated according what discussed in Sect. 3.2. The dashed blue lines correspond to the contribution of the astrophysical backgrounds, computed with method 2 described in Sect. 2.2. The solid black line is the total flux including the DM signal, where we have chosen DMDM $\to \tau^+\tau^-$, $M_{\rm DM}=1\,{\rm TeV}$, $\sigma v=2.5\times10^{-23}\,{\rm cm}^3{\rm s}^{-1}$, Burkert halo profile.

the signal and background fluxes from their production region to the detector is affected by many processes, mainly diffusion and energy losses. The number density per unit energy $n_i(r, z, p)$ of the cosmic ray species of interest i (= e^+ , e^- , \bar{p}), at any given point of cylindrical coordinates r, z and momentum p, evolves according to the transport equation [21]

$$\frac{\partial n_i}{\partial t} = Q(r, z, p) + \nabla \cdot (D\nabla n_i - \mathbf{V}_c n_i) + \frac{\partial}{\partial p} p^2 D_{pp} \frac{\partial}{\partial p} \frac{1}{p^2} n_i
- \frac{\partial}{\partial p} \left[\dot{p} n_i - \frac{p}{3} (\nabla \cdot \mathbf{V}_c) n_i \right] - \frac{1}{\tau_{sp}} n_i - \frac{1}{\tau_f} n_i ,$$
(2.3)

where $D\left(D_{pp}\right)$ is the diffusion coefficient in position (momentum) space, Q(r,z,p) is the source term due to DM annihilations, which depends on the DM mass, annihilation cross section and the energy spectrum at the interaction point (before propagation), \mathbf{V}_c is the convection velocity, τ_{sp} is the time scale for nuclear spallation processes, τ_f is the time scale for fragmentation. The flux is then given by the solution of the transport equation through $\Phi_i = \beta_i n_i/(4\pi)$, where β_i is the particle velocity in units of the speed of light.

The coefficient D_{pp} determines the diffusive reacceleration and is proportional to the inverse of D

$$D_{pp} = \frac{4}{3} \frac{p^2 v_A^2}{\delta (4 - \delta^2)(4 - \delta)} \frac{1}{D} , \qquad (2.4)$$

where v_A is the Alfvén velocity. Eq. (2.3) is solved in steady state $(\partial n/\partial t = 0)$, in a cylinder of height 2L and radius R = 20 kpc, encompassing the galactic plane. The diffusion coefficient is conventionally parameterized as $D(E) = \beta D_0 (\mathcal{R}/1\text{GV})^{\delta}$, where \mathcal{R} its rigidity. Both the position dependence and the deviation from the linear dependence on β have been neglected. For the profile of the galactic magnetic field, which is rather uncertain, we choose [22]

$$B(r,z) = B_0 e^{-\frac{r-r_{\odot}}{10 \text{ kpc}} - \frac{|z|}{2 \text{ kpc}}}$$
(2.5)

where $r_{\odot} = 8.3$ kpc and B_0 is of the order of $(1 - 10) \,\mu\text{G}$.

We carry out our analysis following two different methods for handling the propagation of signal and background fluxes: in method 1, we take a conservative approach letting the astrophysical uncertainties largely vary; in method 2, we assume a specific propagation model and use it to consistently propagate the signal and background fluxes of all relevant species. Strictly speaking, the correct way to proceed would be to choose a specific CR propagation model within which to compute both signal and background for all particle species and then scan over the model parameters. We decided to adopt these two different methods because of simplicity and because versions of each of them are often used in the literature. They are meant to represent two extreme ways of proceeding, so the "truth" is very likely to lie in between of the results obtained with these two methods.

2.1 Method 1

The signal fluxes of e^{\pm} and \bar{p} due to DM annihilations, propagated to Earth, are computed with the code PPPC4DMID, for different halo profiles and using a semi-analytic propagation model known as "MED" [23, 24]. This model corresponds to a specific choice of the coefficients of the diffusion-loss equation, for both e^{\pm} and \bar{p} : L=4 kpc, $D_0=3.38\times 10^{27} {\rm cm}^2/{\rm s}$, $\delta=0.70$, $V_c=12$ km/s, $V_A=52.9$ km/s, $B_0=4.78\mu{\rm G}$.

As a reference background \bar{p} we use a spectrum which is between the Kolmogorov (KOL) and Kraichnan (KRA) models of Ref. [25] (the same choice has also been done in Ref. [26]). Changing the propagation model does not significantly affect the shape of the \bar{p} flux, but it changes the normalization [27]. For primary electrons and secondary e^{\pm} , we use the parametrization [28], also used in [29] (see instead Ref. [30] for a more data-driven approach)

$$\frac{d\Phi_{e^{-}}}{dE} = \left[\frac{0.16\epsilon^{-1.1}}{1 + 11\epsilon^{0.9} + 3.2\epsilon^{2.15}} + \frac{0.7\epsilon^{0.7}}{1 + 110\epsilon^{1.5} + 600\epsilon^{2.9} + 580\epsilon^{4.2}} \right] \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} (2.6)$$

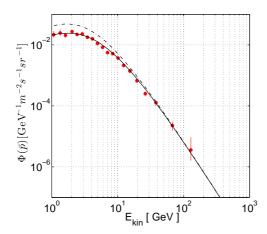
$$\frac{d\Phi_{e^{+}}}{dE} = \frac{4.5\epsilon^{0.7}}{1 + 650\epsilon^{2.3} + 1500\epsilon^{4.2}} \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \tag{2.7}$$

where $\epsilon = E/$ GeV. Each of these background functions suffer from astrophysical uncertainties related to the CR propagation in our Galaxy. We deal with these uncertainties by simply allowing the backgrounds to have floating normalizations and slopes; in practice, we multiply each of the background fluxes by $A_i \epsilon^{p_i}$ ($i = e^-, e^+, \bar{p}$), and marginalizing over $A_i \in [0.5, 2]$ and $p_i \in [-0.05, 0.05]$.

This approach has the virtue of accounting for the astrophysical uncertainties of the propagation model in a simple and conservative way. It will therefore result in rather cautious bounds on the parameter space. On the other hand, the relationship between the A, p and the physical parameters of the transport equation is obscured. Furthermore, the marginalization over A, p parameters is done independently for e^{\pm} and \bar{p} . This corresponds to treat the normalizations and slopes of the backgrounds of these types of fluxes as uncorrelated, as they are propagated with different physical parameters.

2.2 Method 2

Alternatively, we consider another way to deal with astrophysics. We insist on the fact that we want to consider a consistent setup where both signal and background are propagated with the same set of propagation parameters. So we consider a specific propagation model defined by $L=4~{\rm kpc}$,



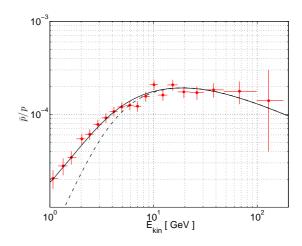


Figure 2: Fits of our reference propagation model, as defined in Sect. 2.2, to current data: Pamela \bar{p} (left panel), Pamela \bar{p}/p (right panel). Dot-dashed lines refers to the case without correcting for solar modulation effects.

 $D_0 = 1.50 \times 10^{28} \mathrm{cm}^2/\mathrm{s}$, $\delta = 0.50$, $V_A = 13.715$ km/s, $B_0 = 7.0\mu\mathrm{G}$. Unlike the MIN, MED, MAX models, no convection is considered. We set the injection index of primary protons to be 2.35, while the injection index of primary electron spectra is 0.6 (2.53) below (above) the reference rigidity of 4 GV. This model provides a good fit to[11], PAMELA positron [10] and \bar{p} [12] data (see Fig. 2), as well as to FERMI all-electron data at low energy and B/C data.

The signal fluxes due to DM annihilations are computed by computing the energy spectra at the production point with the PPPC4DMID code, and then propagating them using GALPROP [31] and the model specified above. The background fluxes for e^{\pm} and \bar{p} are also computed using GALPROP, for the same propagation model used for the signal.

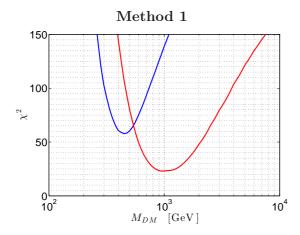
Notice that, differently from method 1, for method 2 we assume we have a rather precise knowledge of the astrophysical details. As of today, this is not the case, but for instance the future data releases of AMS-02 on absolute e^+, e^-, p, \bar{p} as well as light nuclei ratios, will improve the accuracy of the propagation parameters and of the resulting backgrounds (see e.g. Refs. [24, 32]). Therefore, carrying on this method serves as a representative way for what can be achieved with more detailed information about CR propagation in our Galaxy.

This approach has the virtue of propagating consistently all fluxes within the same model, although it pays the price of not being generic, as a scan over the uncertainties of the model parameters is not performed.

3 Results

3.1 Analysis of the positron fraction data

We first perform a χ^2 analysis of the AMS-02 positron fraction data for the different channels under consideration $e^+e^-, \mu^+\mu^-, \tau^+\tau^-$, in order to identify the best-fit one. We also checked that best-fit regions obtained by fitting the positron signal with the $b\bar{b}$ and W^+W^- channels are already excluded by PAMELA anti-p data [12]. As already mentioned, we restrict ourselves to use only the $E \gtrsim 15$ GeV bins of the data, as the lower energy bins are strongly affected by solar modulation effects, so



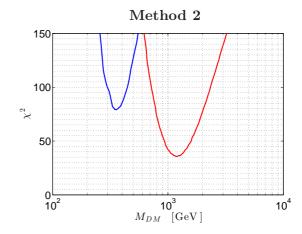


Figure 3: The χ^2 function as a function of the DM mass, of the AMS-02 positron fraction data, for method 1 (left panel) and 2 (right panel). The halo profile is Einasto. Different colours correspond to different DM annihilation channels: $\mu^+\mu^-$ (blue), $\tau^+\tau^-$ (red). The e^+e^- channel gives an even higher χ^2 , not shown in the plot.

a total of 36 data points. The numbers of degrees of freedom are 36-6=30 and 36-2=34, for method 1 and 2, respectively.

In Fig. 3 we show the χ^2 as a function of the mass, minimized over the annihilation cross section, for the μ and τ channels. As a reference halo profile we chose Einasto, but for other choices the results are very similar. The e channel is not shown as it gives a very poor fit with even higher values of χ^2 : $\chi^2/\text{dof} \gtrsim 6.6, 5.8$, for methods 1 and 2, respectively. The μ channel gives $\chi^2_{\text{min}}/\text{dof} \simeq 1.9, 2.4$ for methods 1 and 2, respectively, so it also provides a rather poor fit to the data. Instead, the τ channel gives $\chi^2_{\text{min}}/\text{dof} \simeq 0.7, 1.0$ for methods 1 and 2, respectively. So we conclude that the only case producing a good fit to the AMS-02 positron fraction data are DM of about 1 TeV annihilating into $\tau^+\tau^-$. We also note that the case of DM annihilation into $\mu^+\mu^-$, although it gives higher χ^2 to AMS-02 positron data than $\tau^+\tau^-$, it is less constrained by gamma-ray measurements [33].

After having identified the situation which fits the signal at best, we now want to proceed and correlate it with future measurements of anti-p flux by the same experiment. To this end, we simulate the detector response and generate mock data.

3.2 Simulation of AMS-02 \bar{p} data

As we have mentioned in the Introduction, we work under the hypothesis that the future data on the \bar{p} flux reported by AMS-02 will not show any evidence of DM annihilations and will be therefore compatible with the expected background. In order to assess the projected sensitivity of AMS-02, we assume that the \bar{p} flux will be measured up to energies of the order of 300 GeV and that the number of detected \bar{p} in a given kinetic energy bin $(E_{\rm kin} - \Delta E_{\rm kin}/2, E_{\rm kin} + \Delta E_{\rm kin}/2)$ is

$$N_{\bar{p}}(E_{\rm kin}) \simeq a_{\bar{p}}(E_{\rm kin}) \Phi_{\bar{p}}(E_{\rm kin}) \Delta E_{\rm kin} \Delta t, \qquad (3.1)$$

being $a_{\bar{p}}$ the geometrical acceptance, $\Phi_{\bar{p}}$ the \bar{p} flux and Δt the exposure time, and we have taken 100% efficiency [34]. Assuming a Poisson distribution, the statistical error is therefore $(\Delta N_{\bar{p}})_{\rm stat}/N_{\bar{p}} = 1/\sqrt{N_{\bar{p}}}$. For the systematic error for \bar{p} detection and reconstruction, we can only suppose the syst

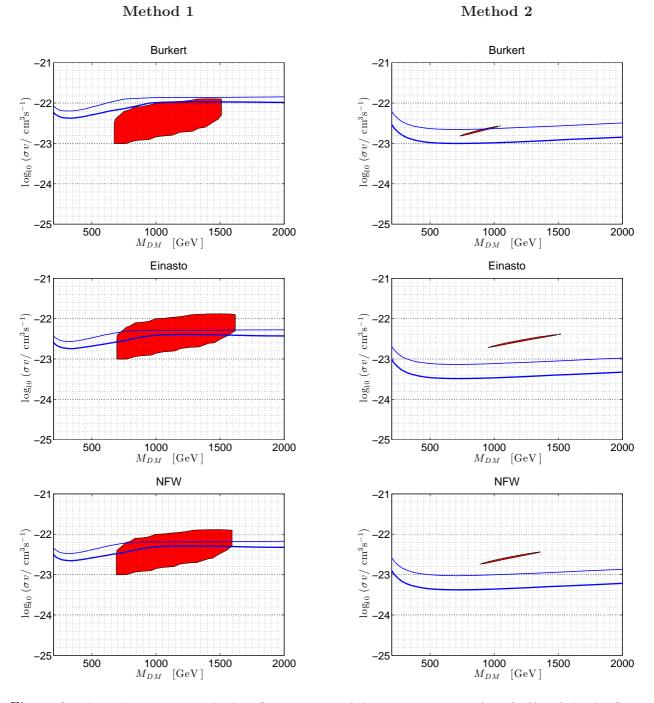


Figure 4: The red contours are the best-fit 3σ regions of the parameter space $(m_{\chi}, \langle \sigma v \rangle)$, of the AMS-02 positron fraction data with DM DM $\to \tau^+\tau^-$ channel, for method 1 (left column) and method 2 (right column), as described in the text. The blue lines are the projected exclusions (at 99.7% CL) due to \bar{p} AMS-02 mock data, for 1-year (thin blue line) and 3-year of data taking (thick blue line). From top to bottom: Burkert, Einasto, NFW profiles.

error is in the 1-10% range [35]; therefore we consider as reasonable values $(\Delta N_{\bar{p}})_{\rm syst}/N_{\bar{p}} = 5\%$ for the 1-year data and $(\Delta N_{\bar{p}})_{\rm syst}/N_{\bar{p}} = 3\%$ for the 3-year data, to be added in quadrature to the

statistical error. For the geometric acceptance of \bar{p} we choose $a_{\bar{p}} = 0.2 \text{ m}^2$ sr as a safe lower limit above 1 GeV [36]. Finally, we use a linear approximation of the detector energy resolution (see [37, 26]), $\Delta E_{\rm kin}/E_{\rm kin} = (0.042 \cdot (E_{\rm kin}/\text{GeV}) + 10)\%$, which allows about 20 bins per decade.

Within this setup, we generated mock \bar{p} data for AMS-02 in the range (10 – 300) GeV of kinetic energies, following the two reference backgrounds discussed in the previous section. In the right panel of Fig. 1 we show the mock data generated as described above, as well as the reference background and the DM contribution to the \bar{p} flux, for method 2.

3.3 Correlations between e^+ and \bar{p} data

For the best-fit situation DM DM $\to \tau^+\tau^-$ we find the 3σ best-fit contours, on the $(m_{\rm DM}, \langle \sigma v \rangle)$ plane, corresponding to $\Delta \chi^2 < 11.8$, of the AMS-02 positron fraction data. They are shown as red contours in Fig. 4, for the two methods discussed in the previous section. On the same plots, the blue lines are the projected exclusions (at 99.7% CL) due to \bar{p} AMS-02 mock data, for 1-year and 3-year of data taking, generated according what discussed in the subsection 3.2, and assuming the data will not show deviations from the background. We checked that varying the geometrical acceptance $a_{\bar{p}}$ by a factor of 2 does not change the results significantly, as the errors are dominated by systematics. We vary the DM profile, following the choices in Eq. (2.2), and we use the two different methods described in Section 2. We reiterate that significant portions of the parameter space shown in Fig. 4 are already excluded by complementary data, such as γ -rays [33]. However, the bounds for shallow halo profiles like isothermal or Burkert are weaker. We do not include these constraints in our plots as the focus of this paper is on the correlations between AMS-02 current and projected data.

The results are not altered significantly by choosing Einasto or NFW halo profiles, but exclusions are weaker for the Burkert profile, as it assumes lower DM density near the center, and therefore a lower \bar{p} signal would be produced.

Furthermore, the results are sensitive to the method chosen for handling the propagation of signal and background. The fact that the best-fit contours of the positron fraction data are smaller for method 2 than for method 1 is not a surprise, as in method 2 we have less freedom to vary the astrophysical background and therefore the DM signal is more constrained. For the same reason, the exclusion curves coming from \bar{p} projected data are more stringent for method 2. Therefore, adopting method 1, any conclusion is weaker than for method 2, and we can imagine the actual situation will lie in between of these two scenarios.

We can conclude that 1-year of AMS-02 data on \bar{p} would already be enough to almost rule out the DM interpretation of the positron excess. With 3 years of data all the exclusion curves are obviously more stringent.

The high energy bins of the AMS-02 positron fraction data show a decrease in the slope and suggest a possible turning down of the signal. If future positron data releases will show more clearly a fall of the positron fraction, the best-fit contours with DM signal would shrink and better pin down the DM mass.

4 Conclusions

This paper demonstrates the relevance of correlations induced by the EW corrections in indirect DM searches. The results of the analysis described above allow us to draw the following conclusions:

- if the recently released AMS-02 positron fraction data are interpreted as a signal of annihilating DM, then the χ^2 analysis favours a DM of about 1 TeV annihilating into $\tau^+\tau^-$ (a situation which is already severely constrained by γ -ray measurements);
- assuming no signal is seen in future \bar{p} data released by AMS-02, one will be able to exclude almost completely the DM interpretation of the positron excess, by using only data from a single experiment.

These conclusions have to be considered together with two caveats. First, while the energy spectra of SM particles at the interaction point coming from DM are predictable in terms of particle physics, the propagation of these particles in the complex galactic environment is still uncertain. Therefore, astrophysical uncertainties and the way to deal with them affect the results. We have pointed out the importance to use consistently the same propagation setup for both signal and background, which is especially relevant when combining together the fluxes of more than one particle species. Second, although the assumptions we made are consistent with the understanding of the AMS-02 detector from outside the collaboration, our results may be sensitive to the detector features we used for generating the projected data.

This work should be regarded as the first of a program where we will investigate more aspects and examples of correlations among DM signals. In subsequent publications we will study different channels and update these results as soon as new data become available.

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