**Title:** Tropical tree drought sensitivity is jointly shaped by drought characteristics, species adaptations, and individual microenvironments

**Authors**

Krishna Anujan1,2\* ORCiD: 0000-0003-3604-5895  
Sean McMahon2,3 ORCiD: 0000-0001-8302-6908  
Sarayudh Bunyavejchewin4 ORCiD: 0000-0002-1976-5041  
Stuart J. Davies3 ORCiD: 0000-0002-8596-7522  
Helene C. Muller-Landau3 ORCiD : 0000-0002-3526-9021  
Nantachai Pongpattananurak5 ORCiD: 0000-0001-8687-6182  
Kristina Anderson-Teixeira1,3 ORCiD: 0000-0001-8461-9713

**Affiliations:**

1Smithsonian’s National Zoo and Conservation Biology Institute, Front Royal, VA, USA  
2Smithsonian Environmental Research Centre, Edgewater, MD, USA  
3Smithsonian Tropical Research Institute, Panama  
4National Park, Wildlife and Plant Conservation Department, Bangkok, Thailand  
5Kasetsart University, Chatuchak, Bangkok, Thailand

\*=corresponding author

**Running title:**

**Article Type:** Letter

**Number of Words in Abstract:** 150  
**Number of Words in Main Text:** 4989  
**Number of References:** 46  
**Number of Figures:** 5  
**Number of Tables:** 1  
**Number of Text boxes:** 0

**Corresponding author address:** [anujank@si.edu](mailto:anujank@si.edu)

# Keywords

# Statement of Authorship

# Data Accessibility Statement

# Conflict of Interest

# Abstract (150 words)

Droughts are increasingly impacting forests worldwide, threatening their critical carbon sink. Tree species adaptations to hot and dry conditions – for example, dry season deciduousness in tropical seasonal forests – might confer drought resistance, but such resistance may be variable across microenvironments and drought events. We analysed dendrometer band measurements on 1820 trees across 30 species in a seasonally dry forest in Thailand to assess tree growth responses to two climatically distinct droughts. Species and individuals exhibited a wide range of drought responses within each drought and inconsistent responses across droughts. Deciduous species were more sensitive to wet-season drought than evergreen species, and this difference was amplified in wetter microclimates. Exposed trees were more sensitive, but presumed greater water access through larger size or wetter topographic positions buffered growth declines. Heterogeneous drought responses of species and individuals indicate potential spatiotemporal insurance effects in diverse forests in the face of increased drought.

# Introduction

Globally, intense drought is becoming more frequent, affecting forest demography, diversity, and carbon (C) cycling (IPCC 2023). However, our ability to predict drought impacts on tree woody growth and resultant ecosystem C sequestration remains limited, particularly for tropical forests, whose critical C sink is threatened by drought (Brando *et al.* 2019) but for which long-term records of annual woody tree growth are rare (Zuidema *et al.* 2022). For forests worldwide, separate studies have shown that drought characteristics, species traits, and microenvironment all affect tree growth responses (e.g., Bennett *et al.* 2015; McGregor *et al.* 2021), but we know little about their combined effects or potential interactions, which could fundamentally alter our understanding of forest responses to drought. Specifically, if drought tolerance is influenced independently by drought characteristics, species traits, and microenvironment, tree drought responses may be conserved, and therefore linearly predictable; i.e., a species with low sensitivity to past droughts would have low sensitivity in any microhabitat and through any drought. In contrast, if these factors interact, the species and individuals that exhibit resistance to one drought may prove much more vulnerable in a different drought. The resultant amplification of the complexity and diversity of drought responses may act as a mechanism for promoting species diversity and stabilizing ecosystem function as drought regimes intensify (Dahinden *et al.* 2017; Luo & Keenan 2022; Naumann *et al.* 2018).

Drought, or hot/dry conditions anomalously departing from climatic means during any time of year, is notoriously hard to define and encompasses a variety of types based on the meteorological variables affected and the severity and duration of the climatic anomalies (Slette *et al.* 2019). While forest drought responses are often considered to follow consistent patterns across droughts (e.g., Bennett *et al.* 2015; **refs?** ), droughts with different characteristics may in fact have very different effects on tree growth (**refs?**). In tropical forests with dry seasons unfavorable to growth, tree drought responses may vary dramatically depending on the timing of drought relative to seasonal cycles of climate, photosynthesis, and woody growth (García-Cervigón *et al.* 2020). Analyses to date have found that tropical tree growth is particularly sensitive to dry season precipitation (Clark *et al.* 2021; Clark *et al.* 2010; Zuidema *et al.* 2022); however, these analyses represent only a very limited set of tropical tree species and climates. It remains unknown how diverse assemblages of tropical tree species respond to different types of droughts, including those occurring at different times relative to regular seasonal cycles.

Tree species adaptations to cope with drought vary widely in type and strength, often leading to classification of species as “drought tolerant” or “drought sensitive” (**refs?**). In tropical seasonal forests in particular, trees have evolved to maximise growth, reproduction, and survival under periodic hot/dry conditions, with strategies ranging along a spectrum from hydraulic safety to efficiency, leading to tolerance or avoidance of dry conditions (González-M. *et al.* 2021). Dry season deciduousness represents an extreme “avoidance” strategy along this continuum, where species lose leaves during the dry season to avoid foliar water loss and have acquisitive strategies during leaf-on periods (De Souza *et al.* 2020). Other strategies include deep roots (hydraulic safety, to access deeper water in the dry season), more negative leaf water potential at turgor loss point (, hydraulic safety, to maintain gas exchange and photosynthesis under hot and dry conditions), and *large vessels (hydraulic efficiency)* (Chitra-Tarak *et al.* 2021; González-M. *et al.* 2021). Under drought conditions, some of these strategies can lend drought resistance while others can amplify drought sensitivity, especially under severe droughts (Chitra-Tarak *et al.* 2021; González-M. *et al.* 2021; Kunert *et al.* 2021). Moreover, besides being constant or adaptively conserved, these strategies can also have developmental or ecophysiological controls. For example, some species regulate negative ’s, leaf deciduousness, and root depth based on environmental cues, leading to intraspecific or interannual variation and potentially resulting in spatiotemporal variation in drought responses [Williams *et al.* (2008); REFS Lasky? Hulshof?].

Finally, the microenvironments that individual trees experience influence growth responses to drought. Across horizontal axes, microenvironments defined by topographic and edaphic variation shape tree drought responses. While habitats with relatively moist soils (e.g., low-lying topographic positions) are clearly more hospitable during drought, trees in these microenvironments may be more vulnerable if less drought-adapted (e.g., less negative , Kunert *et al.* 2021) or acclimatized (**refs?**). Indeed, there are observations of trees near streams undergoing greater growth declines (McGregor *et al.* 2021) and increases in mortality (Zuleta *et al.* 2017) during drought. Across vertical axes, trees of different sizes have their crowns and root systems in sometimes dramatically divergent microenvironments. Aboveground, tree crowns in canopy or emergent positions are exposed to higher evaporative demand and thermal stress driven by higher solar radiation, wind speed, and vapor pressure deficit (Vinod *et al.* 2023), and such exposure makes trees more vulnerable to drought (Ma *et al.* 2023; Scharnweber *et al.* 2019). These aboveground gradients in crown exposure are at least partially offset by the tendency for large trees to have larger and often deeper root systems (**refs?**) and thereby potential to access more reliable water sources (**refs?**). However, trees with deeper roots that rely on regular access to deep water may be more vulnerable during severe droughts when those sources are depleted (Chitra-Tarak *et al.* 2021). While the overall tendency is that larger trees suffer greater growth declines during drought (Bennett *et al.* 2015), it remains unclear whether this is driven primarily by crown exposure, by greater height itself makes trees more vulnerable to drought based on the physics of hydraulic flow through a porous medium (Fernández-de-Uña *et al.* in press; **mcdowell\_darcy\_2015?**), or by trait differences across vertical axis (Vinod *et al.* 2023). Thus, horizontal and vertical microenvironmental gradients modify tree drought responses, likely in interaction with drought characteristics and species traits.

We hypothesize that tree drought sensitivity is jointly and interactively shaped by drought characteristics, species adaptations, and microenvironments, resulting in variable drought responses of species and individuals across droughts, and of species across microhabitats. We test this by examining individual tree growth during two ENSO drought years within a 14-year record of dendrometer band measurements in a seasonally dry forest in Thailand. Specifically, we test predictions that i) both species and individuals respond differently to different droughts. ii) Deciduous species, which rely on wet seasons for growth, are particularly sensitive to wet season drought. iii) Exposed trees are more sensitive to drought, but water availability, either through larger topographic location or tree size (and corresponding rooting volume), can buffer growth declines.

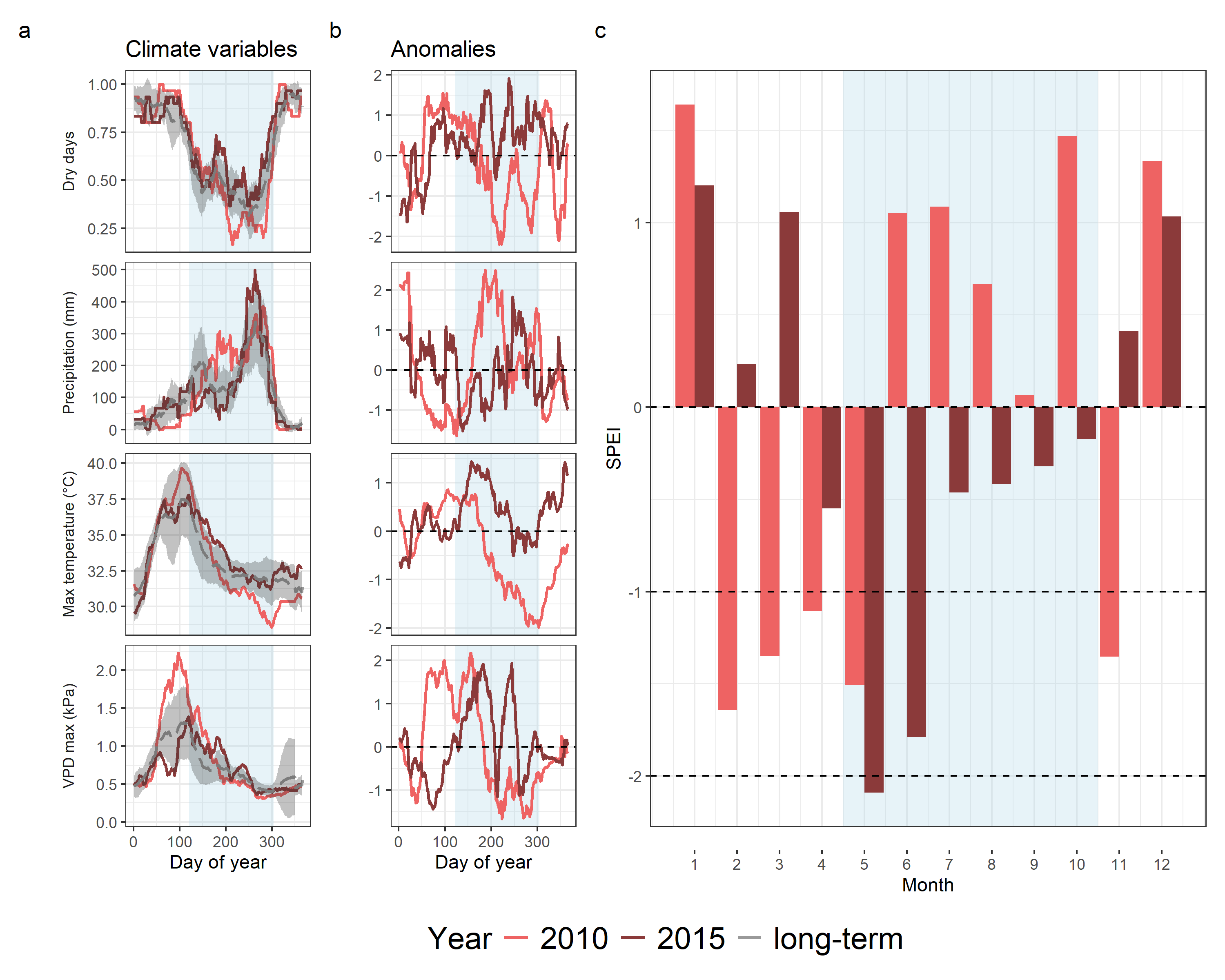
Table 1: Summary table of hypotheses tested, effects of variables on drought sensitivity and corresponding evidence. For each variable x year combination, "+" indicates a positive effect, "-" indicates a negative effect and "n.s." indicates that the effect was not statistically significant.

|  | 2010 | 2015 | evidence |
| --- | --- | --- | --- |
| **Drought characteristics** |  |  |  |
| Timing | dry season | wet season | Fig 1 |
| 1- to 6-mo SPEI classification | severe | extreme | Fig 1 |
| Species effects |  |  |  |
| Deciduousness | n.s. | - | Fig 3 |
| 2010 sensitivity |  | n.s. | Fig S5 |
| **Microenvironment & individual effects** |  |  |  |
| Topographic Wetness Index (TWI) | - | + | Fig 3, 4 |
| Deciduousness:TWI | n.s. | - | Fig 3 |
| **crown exposure** | **-** | **-** | **Fig 4, 5** |
| other size effects | + | - | Fig 4 |
| 2010 sensitivity |  | n.s. | Fig S6 |

# Materials and Methods

***Sites and data***

Our study site was the Huai Kha Khaeng ForestGEO plot (15.6324 N, 99.217 E), hereafter “HKK”, in the Huai Kha Khaeng Wildlife Sanctuary, Uthai Thani, Thailand. This is a 50-hectare plot in mixed deciduous tropical forest, with a mean annual temperature of 1400 mm and a strong dry season from November to April (Anderson-Teixeira *et al.* 2015). The region is periodically affected by droughts associated with the *El Niño* Southern Oscillation (ENSO, **refs\_ENSO?**). The dominant canopy species are *Hopea odorata*, *Vatica harmandiana*, *Dipterocarpus alatus* and *Tetrameles nudiflora* and lower layers of the canopy are dominated by sub-canopy evergreen and deciduous species like *Miliusa horsfieldii* and *Polyalthia viridis*. For analysis, we focussed on 30 of the most abundant species in the plot.  
Long-term analysis of tree-ring data shows that tree growth at Huai Kha Khaeng has been influenced by temperature and rainfall (Vlam *et al.* 2014).



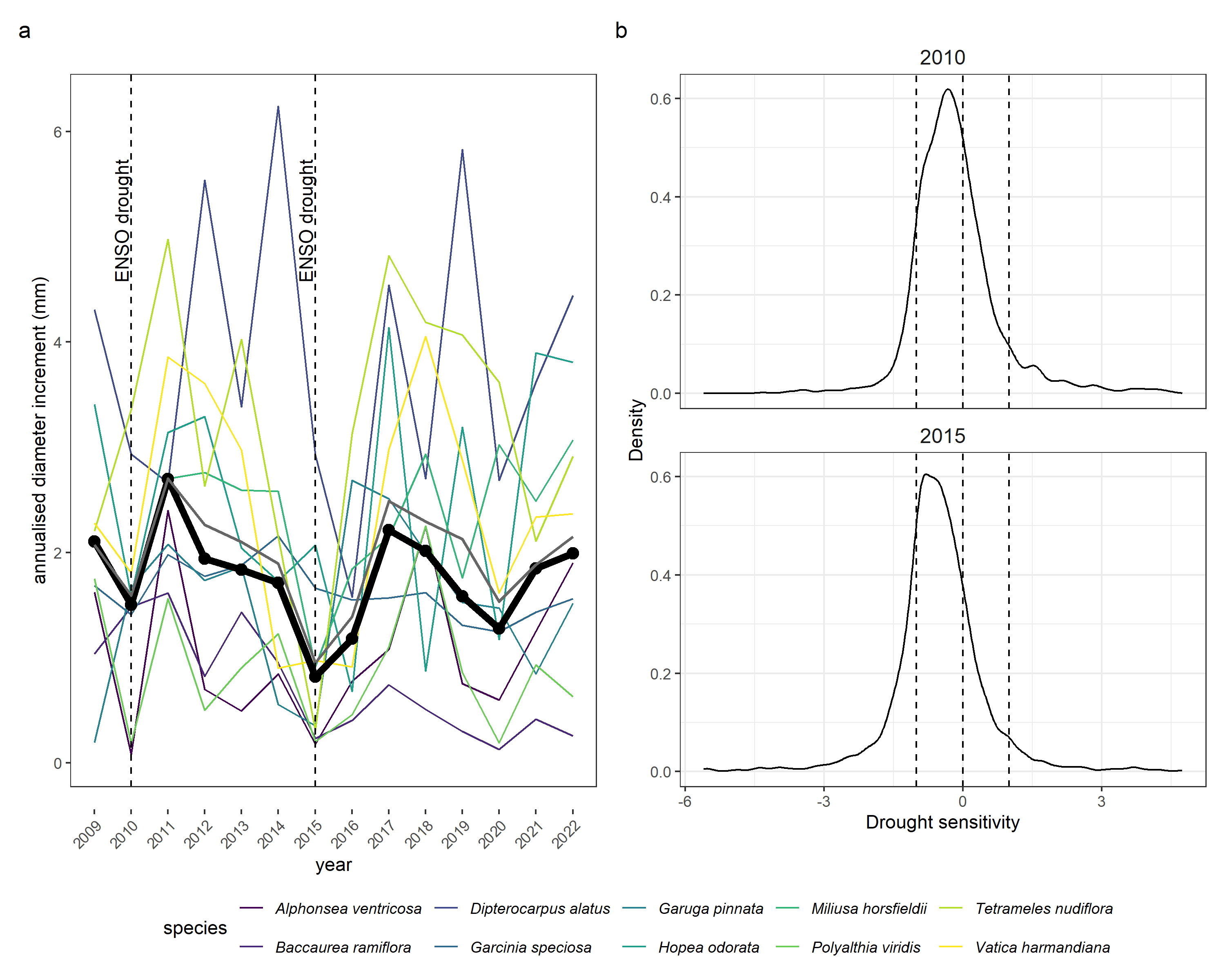
***Figure 1. Climatic characteristics of drought years and average years*** in the Huai Kha Khaeng ForestGEO plot. For four daily climate variables dry days, precipitation, maximum temperature and VPD, panel a) represents rolling means for 30 days around the focal day for that year. Grey line represents the mean of this rolling mean from 2008 to 2019 and ribbons represent standard deviation around this mean. Panel b) represents anomalies of this rolling mean from the values across the timeseries. Values, therefore represents the number of standard deviations from the mean on a given day. c) shows Standardised Precipitation Evapotranspiration Index (SPEI) values at four different time scales for each month in 2010 and 2015. A *k*-month SPEI integrates over *k* months ending in the month current month. Across all panels, light blue background represents the average wet season, from May to October. For comments - <https://github.com/forestgeo/growth-precip-thailand/issues/10>

In the HKK plot, metal dendrometer bands were installed in 2008 on 2353 trees across 152 species following standard protocol (Muller-Landau 2008). Trees were selected were based on the relative abundance of species in the forest, with more individuals banded from the abundant species (ranging from 230 trees to 1 tree per species), and spanning the size and habitat distribution within the plot. On each tree, the dendrometer band was installed at 1.4 m from the ground, a height of 10 cm above the regular point of measure for the whole plot census (typically 1.3 m), except on irregular trunks or buttresses when they were shifted above. All dendrobands were censused two times a year - in the wet and dry season - typically in June and January. During the census, the size of the window opening along the band was measured using Mitutoyo digital callipers (least count = 0.01 mm) and the diameter of the tree at the dendrometer band was measured using standard DBH tape.

***Calculating annualised growth increments***

Using the late wet/early dry dendrometer band censuses, we calculated annualised growth for each year for each tree. We first removed measurements with likely data entry errors because of misplaced decimals, potential misidentified bands (old band numbers that restarted after stopping) or misidentified individuals (individuals with conflicting metadata across censuses). We used the raw window size measurements combined with the diameter measurement at installation to calculate diameter at each dendroband census using standard equations that uses the chord across the measurement window to estimate the arc, assuming a circular cross section(Condit n.d.). We used a direct measurement of at the time of installation if it was available. If the tape measurement at the first window size measurement was not available for any band, we excluded window size measurements until the first available diameter measurement. We then calculated annualised diameter increments for each individual for each year by finding the difference in diameter from the previous year () and adjusting for the number of days between measurements ().

To ensure that the data did not smooth over multiple years, we excluded increment measures with missing values for and then excluded large measurement outliers (> 3 standard deviations from the mean across all observations). Tape measurements themselves are not precise enough for calculating drought sensitivity; error for each tape measure is 1mm, error for growth is at least 2mm. In comparison, median annual growth across trees in HKK is ~1.4 mm and calculating deviation from annual growth needs sub-mm precision. However, tape measurements can be used to check for consistency in trends or identify outliers. We calculated increments from annual tape measurements made on each dendrobanded tree at each census and removed dendroband measurements that had low agreement with these annualised increments calculated from tape measurements. Finally, we excluded trees with negative increments over the whole timeseries, and included only species that had at least 10 individuals, resulting in a final dataset of 1820 individuals across 30 species.



***Figure 2: Growth increment timeseries and drought responses*** across trees and species in the Huai Kha Khaeng ForestGEO plot. a) Median of annualised growth increments across ten dominant species (colours) and all trees (black) from 2009 - 2022. b) Distribution of drought sensitivity of tree growth across all individuals in the two drought years. For comments - <https://github.com/forestgeo/growth-precip-thailand/issues/11>

***Drought years and characteristics***

We identified drought years of interest in the dendroband timeseries - 2010 and 2015 - based on two drought indices and corroborated by meteorological data, local expertise, and plot-level growth responses. Within the dendroband timeseries, two ENSO events had occurred; 2010 was a moderate ENSO event while 2015 was a very strong ENSO event (**REF?**). We also calculate Standardised Precipitation-Evapotranspiration Index (SPEI) at 1-, 3-, 6- and 12- month scales using the SPEIbase dataset (Vicente-Serrano *et al.* 2010). Defining drought as periods with two or more consecutive months with 1-month SPEI < -1 also resulted in identification of 2010 and 2015 as drought events. These years also corresponded with expert knowledge of major droughts that affected the region as well as meteorological records (see next paragraph; Fig. 1) and plot-level growth declines in the timeseries (Fig. 2). We note that although tree growth was unusally low in 2020, this year did not emerge as a drought year either in the examination of drought indicators or from expert knowledge.

To characterise the two focal droughts, we used data from a weather station near the plot and publicly available climate data for the region. We used daily weather data on maximum temperate, relative humidity and total precipitation collected from 2001 to 2019 at the Forest Fire Research Station , located ~20 km from the ForestGEO plot. We first calculated daily values of Vapour Pressure Deficit (VPD) using the equation

We then calculated rolling means of precipitation, number of dry days (precipitation = 0), VPD and maximum temperature over 30 day periods across the whole year for each year. Finally, we calculated daily rolling anomalies for each variable for 2010 and 2015 from the long-term daily expectation as

***Variables for analysis***

For the two drought years, 2010 and 2015, we calculated growth sensitivity for each tree to each drought as a growth anomaly from its mean annual increment from calculated from dendrometer band window size.

We first calculated the mean annual increment for each tree as the mean of the annualised increments across the whole timeseries. We then calculated sensitivity for each tree for each drought as

By scaling the difference in increment to the mean annual growth of each tree, this metric allows us to compare across slow and fast growing individuals and species.

Across all individuals in the plot, we calculated topographic wetness index for an expectation of the water availability across space based on the concavity/convexity of a location. We used a Digital Elevation Model from a 5 m x 5 m elevation layer collected at plot establishment. We used the *whitebox* package in R (Lindsay 2016; Wu & Brown 2022) to fill and breach this layer, calculate flow accumulation at each cell and then calculate TWI as . We defined tree size as the diameter at breast height (calculated from dendrometer band window measurement) at the previous census. We estimated crown exposure for each tree at each census using crown illumination index, an ordinal categorical variable from 1 to 5 (least to most exposed) measured at each dendroband census.

We calculated deciduousness as a species level trait using data published in Williams *et al.* (2008) for the top 30 species. We defined deciduousness as the mean proportion of crown leaf loss at maximum loss across individuals in the species, with a score ranging from 1 to 4 where 1 is most evergreen and 4 is most deciduous. Data was unavailable for *Alphonsea ventricosa*, an evergreen species, and so we manually assigned it a deciduousness score of 1, in the middle of the evergreen range. An alternate measure of deciduousness is the duration spent at maximum crown loss. This was strongly correlated with the proportion of crown loss; we chose to use the proportion of crown loss because it was available for a larger list of species.

***Statistical methods***

We first modelled sensitivity as a simple random intercept model

We then used the predicted sensitivities from this model and tested their correlations with deciduousness using simple linear models.

To model the interactive effects of deciduousness and wetness on sensitivity, we modelled

We then calculated predictions across all combinations of values from observed maximum to minimum of TWI and deciduousness within this dataset.

To further disentange the microenvironmental drivers of drought sensitivity, we simultaneously modelled:

and

Simultaneously modelling the effect of variables on sensitivity and the effect of DBH on CII in this way allows us to disentangle causual linkages between these processes. We chose to model a species random effect on all slopes here to account for variation across species instead of specific traits which may have different effects with each of the variables. However, we also ran simpler models with species random effects only on the intercept, the results of which are reported in the Supplementary Material. Across all models, we used Gaussian priors for the distribution of the response variable sensitivity, considered CII as an monotonic predictor (ordered factor) and centred and scaled the other predictors. We modelled CII as an ordinal categorical variable with a cumulative logit link function. In the combined form, the model therefore used a multivariate distribution of Gaussian and logit.

All statistical analyses were performed using R version 4.4.0 (R Core Team 2024). Mixed models were fit through Bayesian regressions using MCMC methods using the package *brms* (Bürkner 2017). We ran 4 MCMC chains for 3000 iterations with 1000 of these set as warmup. We extracted draws, calculated distributions and checked convergence using functions from the *posterior* package (Bürkner *et al.* 2023; Vehtari *et al.* 2021).

# Results

## Drought characteristics

The two droughts analysed had distinct temporal climatic characteristics, with 2010 primarily a dry season drought and 2015 a wet-season drought (Table 1, Fig 1). The 2010 drought peaked between February and May (dry season and beginning of wet season), with 1-month SPEI values below -1 and meteorological anomalies on the 30-day scale including lower precipitation (min anomaly **<-1.5** SD), higher number of dry days (max anomaly **>1.5** SD), higher maximum temperature (max anomaly +0.8 SD), and higher VPD (max anomaly **>2** SD). Multi-month SPEI values reached their minima in May, after which unusually wet conditions led to a fairly rapid recovery to normal conditions. 1- to 6-month SPEI values reached minima between -1.5 and -2, indicative of severe drought, while the 12-month values remained above -1, indicative of mild drought.

In 2015, pronounced meteorological anomalies on the 30-day scale occurred during the wet season, including in precipitation (min anomaly **<-1.5** SD), number of dry days (max anomaly **>1.5** SD), maximum temperature (max anomaly +1.5 SD), and VPD (max anomaly +2 SD). 1-month SPEI was <-1 in May and June, reaching -2 in May, the lowest in the the entire dendroband census time period. Multi-month SPEI values reached their minima between June and August and remained negative into the subsequent dry season. 1- to 6-month SPEI values reached minima <2, indicative of extreme drought, while the 12-month values remained just above -2, indicative of severe drought.

## Growth timeseries and drought responses

The increment timeseries using dendrometer band measurements revealed plot- and species-level patterns in interannual growth variation (Fig 2a). Median annualised growth rate across the years of measurement for the whole plot was 1.73 2.79 mm. Median annual increment differed by species with *Gluta obovata* having the lowest (0.61 1.95 mm) and *Dipterocarpus alatus* the highest (3.58 3.42 mm) among the 30 species analysed.

Drought years were associated with lower growth across the plot. In 2010, the median annual increment was 1.5 mm, 13.33% lower than the median across all years. The drought year 2015 had the lowest median annual increment 0.82 mm, 52.68% lower than the median across all years. While median species responses to the 2010 drought were variable, all species had lower increment than a typical year in 2015.

On average, trees had negative drought sensitivities for both droughts, but with considerable variation (Fig 2b). Median drought sensitivity across all trees in 2010 was -0.27 0.92 while in 2015 it was -0.55 0.98. In 2010, species-level sensitivities ranged from -0.94 for *Alphonsea ventricosa* to 0.65 for *Afzelia xylocarpa*. In 2015, these ranged from -1.06 for *Dimocarpus longan* to 0.02 for *Mitrephora thorelii*.

## Species effects

Species median sensitivities in 2010 and 2015 were not correlated with each other (Pearson’s correlation coefficient r = 0.11, p = 0.57, Fig S5) but on average had lower mean and median sensitivities in 2015 than in 2010 (Fig S5), in alignment with median sensitivities across all trees.

Deciduousness species had lower negative sensitivities in 2015, but not in 2010 (Fig 3a). In 2015, predicted sensitivities from intercept-only models of sensitivity with a species random effect on the intercept showed a significant negative association with deciduousness value (r = -0.41, p = 0.03). On the other hand, in 2010, predicted sensitivities had no significant association with deciduousness (r = -0.05, p = 0.8). Moreover, models of all trees with TWI and deciduousness as predictors showed that the conditional effect of deciduousness on sensitivity (controlling for topographic wetness) was significant and negative in 2015 (-0.08; 90% CI -0.12, -0.04) but not in 2010 (-0.02, 90% CI -0.05, 0.02).



***Figure 3: Variation in sensitivity among species*** in the Huai Kha Khaeng ForestGEO plot during droughts in 2010 and 2015. a) Relationship of the mean species sensitivities from model fits of an intercept-only model, with mean species deciduousness values. Line shown for significant correlation. b) Predicted sensitivity values across the observed range of deciduousness and topographic wetness index from a model with TWI, deciduosness and their interaction across all trees modelled for each drought year separately. For comments: <https://github.com/forestgeo/growth-precip-thailand/issues/12>

## Microenvironment and individual effects

Individual tree responses to drought were not correlated with each other (Fig S6).

TWI had no significant on sensitivity in 2010 but a positive effect in 2015. Specifically, across all trees, the model with TWI and deciduousness showed that TWI had no effect on drought sensitivity in 2010 (median effect =0, 90% CI -0.04, 0.03) but a positive effect in 2015 (median effect =0.05, 90% CI 0.01, 0.08). The interaction between TWI and deciduousness was not significant in 2010 (median effect =0, 90% CI -0.01, 0.02) but was significantly negative in 2015 (median effect =-0.02, 90% CI -0.03, 0). Combined, predicted sensitivities of deciduous trees was more negative than evergreen trees in 2015, with this effect stronger in wetter sites (Fig 3b).

The differing effects of TWI on sensitivity in the two droughts, along with the influence of deciduousness, were confirmed by models that controlled for crown exposure and DBH as well as species variation in intercept and slopes (Fig 4a). These models showed small negative sensitivities in wetter sites in 2010 (median effect =-0.04, 90% CI -0.09, 0.02), but a strong positive effect of TWI in 2015 (median effect =0.05, 90% CI 0, 0.11). The positive effect of TWI in 2015 was, however, mainly due to the response of evergreen species; deciduousness had a significant negative correlation with the slopes of the TWI effect in 2015 (Fig 4b). Predictions across the range of observed variables also showed that deciduous species generally had larger negative sensitivities in 2015, which did not increase with TWI (Fig 4c). On the other hand, neither the mean sensitivities across species nor their response to TWI were associated with deciduousness in 2010.



***Figure 4: Drivers of variation in sensitivity among individuals***. All panels represent model results from models (one for each year) predicting sensitivity with microenvironment conditions with species random effect on all slopes. a) Coefficient plots showing median effects and 90% CI for wetness, exposure and DBH of tree. b) wetness effect across all species and its relationship with deciduousness value. Line represents a significant correlation. c) Predicted relationship of drought sensitivity with topographic wetness index across all species derived from model predictions. Black line represents overall relationship (panel a); coloured lines for each species reflects deciduousness values. For comments - <https://github.com/forestgeo/growth-precip-thailand/issues/13>

Crown exposure was associated with stronger negative sensitivities in both droughts. In the same models with species random effects on intercept and slope, the simplex parameter for the ordered factor crown exposure in 2010 was significant and negative (median effect =-0.15, 90% CI -0.32, -0.07), while in 2015 the negative effect was not as strong (median effect =-0.06, 90% CI -0.17, 0.03). Predicted effects of crown exposure on sensitivity decreased monotonically, with a decrease to negative sensitivities in category 4 and 5 in 2010, but all crown exposure categories had negative sensitivities in 2015 (Fig 5b).

DBH was a significant predictor of crown exposure, with larger DBH associated with higher exposure categories in 2010 (median effect =4.02, 90% CI 3.75, 4.29) and 2015 (median effect =4.2, 90% CI 3.92, 4.49) (Fig 5a). Controlling for this direct effect of exposure, the remaining effect of DBH *per se* was significantly positive in 2010, while it was weakly negative in 2015 (Fig 4a, Fig 5a).



***Figure 5: Effects of size, exposure and water availability*** from combined models. Hypothesised relationships between microenvironment variables and drought sensitivity with their modelled effects in the HKK ForestGEO plot in the droughts of a) 2010 and b) 2015. Solid lines represent relationships where 90% credible intervals do not overlap 0, blue and red lines represent positive and negative effects respectively and line thickness is scaled to the effect size. c) Modelled sensitivity across crown exposure classes in 2010 and 2015. For comments - <https://github.com/forestgeo/growth-precip-thailand/issues/14>

# Discussion

We show that, at least in one tropical seasonal forest, the drought sensitivity of tree growth is jointly and interactively shaped by drought characteristics, species adaptations, and local environmental conditions (Table 1). Analysing two droughts in a 14-year dendrometer band timeseries, we found lower-than-average growth in drought years, reflecting in lower plot-level growth (Fig 2). Comparing across droughts, there was little consistency in species- or individual-level drought responses, reflecting dissimilar effects of a key species trait (deciduousness) and variables linked to water access (TWI, DBH) under differing drought conditions. Despite lower absolute extremes of temperature, vapor pressure deficit, and number of dry days (Fig. 2a), drought in the wet season (2015) elicited stronger responses across trees, especially deciduous species that are expected to have stronger seasonality in growth phenology (Fig 3). Interestingly, in 2015 (but not 2010), evergreen and deciduous species had divergent responses along a wetness gradient; evergreen trees fared better and deciduous species suffered worse declines in wetter sites (Fig 3b, Fig 4b). While trees with more exposed crowns were consistently more sensitive to drought, additional effects of DBH – presumably including rooting volume and depth – were dissimilar between the drought events (Fig 4a). In other words, water availability buffered exposure-associated growth declines through different mechanisms in the two different droughts; larger trees (with likely deeper roots) fared better in the dry-season drought while trees in wetter sites suffered smaller growth declines in the wet-season drought (Fig 5). Taken together, these results indicate that joint and interactive effects of drought characteristics, species traits, and individual microenvironments produce enormous heterogeneity in individual and species drought responses of tropical trees. This finding that individual droughts have divergent effects on species and individuals may contribute to the maintenance of species diveristy and ecosystem stability.

While our analysis of 30 species across size classes and habitats spans representative spatial and ecological variation realistically and beyond the scope of previous such analyses, we are limited in quantitatively analysing differences associated with drought characteristics because of low sample size. The two droughts analyzed differed in multiple aspects, including ENSO strength, seasonal timing, magnitude and duration of meteorological extremes and anomalies, and severity as classified the SPEI metric (Fig. 1). Thus, quantitative analyses parsing out the specific effects of drought characteristics (e.g., timing, degree of anomaly) are currently impossible. While our finding that a wet-season drought (2015) had greater impact on woody growth than a dry-season drought (2010) calls into question the generality of previous findings based on tree-ring chronologies from HKK (Anderson-Teixeira *et al.* 2022; Vlam *et al.* 2014) and across the tropics (Zuidema *et al.* 2022) that tree growth is most sensitive to dry-season precipitation, care must be taken in interpretation given that the 2015 drought was more anomalous on multi-month time scales (Fig. 1). Rigorous generalization on tropical tree growth responses to any specific drought characteristic would require annual growth records from numerous species over numerous droughts, but no such data set currently exists. Studies in temperate forests, where extensive tree-ring records are available, have confirmed that drought characteristics including seasonal timing, duration, and severity affect tree growth responses (D’Orangeville *et al.* 2018; Gao *et al.* 2018) and that effects of species, species traits, tree size, and microenvironment can differ across droughts (McGregor *et al.* 2021). As tropical dendrometer band records continues to grow, so will the potential to better understand how specific drought characteristics interact with species traits and microenironment to shape growth respones.

We have demonstrated that species identity and a key species adaptation (decidousness) interact with drought characteristics and microenvironment to shape drought responses in a dry tropical forest. Tropical dry forests represent periodic hot/dry conditions, creating selective pressures for adaptative variation towards drought resistance, and this might mean that species in tropical dry forests exhibit a greater diversity of drought responess than species in other bioclimatic zones where adaptive pressures and thus ecological variability are different. Strong seasonality in tropical dry forests exerts selective pressures on hydraulic strategies and has resulted in diversification along the hydraulic safety-efficiency axis with diverse strategies to maximise tree vital rates (González-M. *et al.* 2021; Oliveira *et al.* 2021). The degree of adaptive variation along the water use axis might be comparable to other dry climatic systems (e.g. temperate drylands reported in Vasey *et al.* (2022)), but it may not apply to the wet or everwet tropics, where tree-environment relations and evolutionary strategies may be substantially different. Paralleling the rarity of long-term records of annual tree growth in less seasonal tropical forests (Zuidema *et al.* 2022), we know exceedingly little about how tropical tree species with different traits respond to different types of drought, and how these responses may be modified by microenvironment. Again, continued expansion of dendrometer band records and ecophysiological study of tropical tree drought responses will be essential to understanding the diversity and complexity of tropical tree drought responses, as well as if and how this diversity may promote community-level insurance effects under drought across tropical forests in general.

One variable examined here – crown exposure – had a consistent effect across the two droughts (Table 1, Figs. 4, 5). Trees with more exposed crowns exhibited greater growth declines in both droughts, while sub-canopy trees grew more than average during the 2010 drought. This finding is consistent with the concept that larger trees tend to suffer more during drought (Bennett *et al.* 2015), but adds the important insight that it is crown position – as opposed to size *per se* – that is primarily responsible for this pattern. The strong and consistent negative effect of crown position makes sense in light of pronounced gradients in drought stress across forest vertical profiles (Vinod *et al.* 2023). We show that residual effects of size, presumably including root water access and biophysical challenges linked to height itself, may act in different directions depending on the drought characteristics (Figs. 4, 5). Together, these findings imply that while canopy and emergent trees face the greatest stress and exhibit the most negative growth responses in almost any drought, a suite of species traits and microenvironmental characteristics modify growth responses in interaction with drought characteristics.

Despite consistent observations of growth declines at the plot level across drought events, we show that this scale obscures a variety of ecological responses at the species and tree level that are heterogeneous across droughts. An important implication is that drought responses inferred from tree-ring records derived from the few species known to form reliable, dateable annual rings [n=4 at HKK; Vlam *et al.* (2014)] are unlikely to be representative of the drought responses of the entire community. For example, one of the few annual-ring-forming species at HKK, deciduous *Afzelia xylocarpa*, had the most distinct response across the two events; it’s growth nearly doubled in the dry-season drought but was severely reduced in the wet-season drought (Fig. S5). More generally, tree-ring records are likely to give a biased picture of drought responses, as ring formation is caused by dry-season dormancy (often associated with deciduous leaf habit) and sampling often targets large trees with exposed crowns and dry microenvironments (Speer 2010)– all factors shown here to directly and interactively influence drought sensitivity. Our analyses reveal a complex heterogeneity of drought responses, including the contrasting influence of deciduousness in the two different droughts, showing that ecological and evolutionary strategies make particular species and trees resistant to some droughts but vulnerable to others. These distinct responses of species and individuals to drought characteristics suggests potential spatiotemporal insurance effects among tropical tree response to drought, in alignment with recent global analyses (Liu *et al.* (2022)).

Our study reveals that drought sensitivity is not a static trait associated to particular species, traits, or microenvironments, but rather a dynamic response shaped interactively by these factors and drought characteristics. Despite net decreased growth at the plot level (in agreement with landscape-scale in Aguirre-Gutiérrez *et al.* (2022) and Bennett *et al.* (2023)), we show evidence that the same strategies are not uniformly successfully across spatiotemporal climatic variation. Static traits are often used to explain and predict forest responses to drought, especially in the tropics where long-term records are few (Guillemot *et al.* 2022; Vico *et al.* 2017). More inclusive frameworks for drought responses encompass ecological complexity and covarying traits with environment (e.g., Trugman *et al.* 2021) but still assume consistent sensitivity of individual trees across events. However, we demonstrate that drought responses of tropical trees are not linearly predictable across drought events, but rather are shaped by the unique characteristics of each drought based on interactions between species adaptations and local environmental conditions. Without overriding the net negative effects of drought on tropical tree growth, these complexities reduce the likelihood of any given species or tree suffering severe growth declines under multiple consecutive droughts, thereby promoting diversity and stabilizing functioning of diverse tropical forests under drought.

# Acknowledgements

We acknowledge the continued contributions of the Huai Kha Khaeng forest monitoring team in collecting data and maintaining the dendrometer bands. Ekaphan Kraichak and Kanisorn Chowtiwuttakorn helped with procuring elevation and climate datasets. José Medina-Vega, Valentine Herrmann and Eugenie Más provided input on improving analyses. KA was supported by the Smithsonian Institution Postdoctoral Fellowship and the ForestGEO Analytical Workshop, Asia 2024 in completing this work.

# References

Aguirre-Gutiérrez, J., Berenguer, E., Oliveras Menor, I., Bauman, D., Corral-Rivas, J.J., Nava-Miranda, M.G., *et al.* (2022). [Functional susceptibility of tropical forests to climate change](https://doi.org/10.1038/s41559-022-01747-6). *Nature Ecology & Evolution*, 6, 878–889.

Anderson-Teixeira, K.J., Davies, S.J., Bennett, A.C., Gonzalez-Akre, E.B., Muller-Landau, H.C., Joseph Wright, S., *et al.* (2015). [CTFS-ForestGEO : A worldwide network monitoring forests in an era of global change](https://doi.org/10.1111/gcb.12712). *Global Change Biology*, 21, 528–549.

Anderson-Teixeira, K.J., Herrmann, V., Rollinson, C.R., Gonzalez, B., Gonzalez-Akre, E.B., Pederson, N., *et al.* (2022). [Joint effects of climate, tree size, and year on annual tree growth derived from tree-ring records of ten globally distributed forests](https://doi.org/10.1111/gcb.15934). *Global Change Biology*, 28, 245–266.

Bennett, A.C., McDowell, N.G., Allen, C.D. & Anderson-Teixeira, K.J. (2015). [Larger trees suffer most during drought in forests worldwide](https://doi.org/10.1038/nplants.2015.139). *Nature Plants*, 1, 15139.

Bennett, A.C., Rodrigues de Sousa, T., Monteagudo-Mendoza, A., Esquivel-Muelbert, A., Morandi, P.S., Coelho de Souza, F., *et al.* (2023). [Sensitivity of South American tropical forests to an extreme climate anomaly](https://doi.org/10.1038/s41558-023-01776-4). *Nature Climate Change*, 13, 967–974.

Brando, P.M., Paolucci, L., Ummenhofer, C.C., Ordway, E.M., Hartmann, H., Cattau, M.E., *et al.* (2019). [Droughts, Wildfires, and Forest Carbon Cycling: A Pantropical Synthesis](https://doi.org/10.1146/annurev-earth-082517-010235). *Annual Review of Earth and Planetary Sciences*, 47, 555–581.

Bürkner, P.-C. (2017). [**Brms** : An *R* Package for Bayesian Multilevel Models Using *Stan*](https://doi.org/10.18637/jss.v080.i01). *Journal of Statistical Software*, 80.

Bürkner, P.-C., Gabry, J., Kay, M. & Vehtari, A. (2023). Posterior: Tools for working with posterior distributions.

Chitra-Tarak, R., Xu, C., Aguilar, S., Anderson-Teixeira, K.J., Chambers, J., Detto, M., *et al.* (2021). [Hydraulically-vulnerable trees survive on deep-water access during droughts in a tropical forest](https://doi.org/10.1111/nph.17464). *New Phytologist*, 231, 1798–1813.

Clark, D.A., Clark, D.B. & Oberbauer, S.F. (2021). [Annual Tropical-Rainforest Productivity Through Two Decades: Complex Responses to Climatic Factors, [CO2] and Storm Damage](https://doi.org/10.1029/2021JG006557). *Journal of Geophysical Research: Biogeosciences*, 126, e2021JG006557.

Clark, D.B., Clark, D.A. & Oberbauer, S.F. (2010). [Annual wood production in a tropical rain forest in NE Costa Rica linked to climatic variation but not to increasing CO2](https://doi.org/10.1111/j.1365-2486.2009.02004.x). *Global Change Biology*, 16, 747–759.

Condit, R. (n.d.). Dendrometer to Diameter.

D’Orangeville, L., Maxwell, J., Kneeshaw, D., Pederson, N., Duchesne, L., Logan, T., *et al.* (2018). [Drought timing and local climate determine the sensitivity of eastern temperate forests to drought](https://doi.org/10.1111/gcb.14096). *Global Change Biology*, 24, 2339–2351.

Dahinden, F., Fischer, E.M. & Knutti, R. (2017). [Future local climate unlike currently observed anywhere](https://doi.org/10.1088/1748-9326/aa75d7). *Environmental Research Letters*, 12, 084004.

De Souza, B.C., Carvalho, E.C.D., Oliveira, R.S., De Araujo, F.S., De Lima, A.L.A. & Rodal, M.J.N. (2020). [Drought response strategies of deciduous and evergreen woody species in a seasonally dry neotropical forest](https://doi.org/10.1007/s00442-020-04760-3). *Oecologia*, 194, 221–236.

Fernández-de-Uña, L., Martínez-Vilalta, J., Poyatos, R., Mencuccini, M. & McDowell, N.G. (in press). [The role of height-driven constraints and compensations on tree vulnerability to drought](https://doi.org/10.1111/nph.19130). *New Phytologist*, n/a.

Gao, S., Liu, R., Zhou, T., Fang, W., Yi, C., Lu, R., *et al.* (2018). [Dynamic responses of tree-ring growth to multiple dimensions of drought](https://doi.org/10.1111/gcb.14367). *Global Change Biology*, 24, 5380–5390.

García-Cervigón, A.I., Camarero, J.J., Cueva, E., Espinosa, C.I. & Escudero, A. (2020). [Climate seasonality and tree growth strategies in a tropical dry forest](https://doi.org/10.1111/jvs.12840). *Journal of Vegetation Science*, 31, 266–280.

González-M., R., Posada, J.M., Carmona, C.P., Garzón, F., Salinas, V., Idárraga-Piedrahita, Á., *et al.* (2021). [Diverging functional strategies but high sensitivity to an extreme drought in tropical dry forests](https://doi.org/10.1111/ele.13659). *Ecology Letters*, 24, 451–463.

Guillemot, J., Martin-StPaul, N.K., Bulascoschi, L., Poorter, L., Morin, X., Pinho, B.X., *et al.* (2022). [Small and slow is safe: On the drought tolerance of tropical tree species](https://doi.org/10.1111/gcb.16082). *Global Change Biology*, 28, 2622–2638.

IPCC. (2023). [*Climate Change 2021 – The Physical Science Basis: Working Group I Contribution to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change*](https://doi.org/10.1017/9781009157896). 1st edn. Cambridge University Press.

Kunert, N., Zailaa, J., Herrmann, V., Muller-Landau, H.C., Wright, S.J., Pérez, R., *et al.* (2021). [Leaf turgor loss point shapes local and regional distributions of evergreen but not deciduous tropical trees](https://doi.org/10.1111/nph.17187). *New Phytologist*, 230, 485–496.

Lindsay, J.B. (2016). Whitebox GAT: A case study in geomorphometric analysis. *Computers & Geosciences*, 95, 75–84.

Liu, D., Wang, T., Peñuelas, J. & Piao, S. (2022). [Drought resistance enhanced by tree species diversity in global forests](https://doi.org/10.1038/s41561-022-01026-w). *Nature Geoscience*, 15, 800–804.

Luo, X. & Keenan, T.F. (2022). [Tropical extreme droughts drive long-term increase in atmospheric CO2 growth rate variability](https://doi.org/10.1038/s41467-022-28824-5). *Nature Communications*, 13, 1193.

Ma, Q., Su, Y., Niu, C., Ma, Q., Hu, T., Luo, X., *et al.* (2023). [Tree mortality during long-term droughts is lower in structurally complex forest stands](https://doi.org/10.1038/s41467-023-43083-8). *Nature Communications*, 14, 7467.

McGregor, I.R., Helcoski, R., Kunert, N., Tepley, A.J., Gonzalez-Akre, E.B., Herrmann, V., *et al.* (2021). [Tree height and leaf drought tolerance traits shape growth responses across droughts in a temperate broadleaf forest](https://doi.org/10.1111/nph.16996). *New Phytologist*, 231, 601–616.

Muller-Landau, H.C. (2008). Metal Band Dendrometer Protocol.

Naumann, G., Alfieri, L., Wyser, K., Mentaschi, L., Betts, R.A., Carrao, H., *et al.* (2018). [Global Changes in Drought Conditions Under Different Levels of Warming](https://doi.org/10.1002/2017GL076521). *Geophysical Research Letters*, 45, 3285–3296.

Oliveira, R.S., Eller, C.B., Barros, F. de V., Hirota, M., Brum, M. & Bittencourt, P. (2021). [Linking plant hydraulics and the fast–slow continuum to understand resilience to drought in tropical ecosystems](https://doi.org/10.1111/nph.17266). *New Phytologist*, 230, 904–923.

R Core Team. (2024). *R: A language and environment for statistical computing*. Manual. R Foundation for Statistical Computing, Vienna, Austria.

Scharnweber, T., Heinze, L., Cruz-García, R., van der Maaten-Theunissen, M. & Wilmking, M. (2019). [Confessions of solitary oaks: We grow fast but we fear the drought](https://doi.org/10.1016/j.dendro.2019.04.001). *Dendrochronologia*, 55, 43–49.

Slette, I.J., Post, A.K., Awad, M., Even, T., Punzalan, A., Williams, S., *et al.* (2019). [How ecologists define drought, and why we should do better](https://doi.org/10.1111/gcb.14747). *Global Change Biology*, 25, 3193–3200.

Speer, J.H. (2010). *Fundamentals of tree-ring research*. Univ. of Arizona Press, Tucson, Ariz.

Trugman, A.T., Anderegg, L.D.L., Anderegg, W.R.L., Das, A.J. & Stephenson, N.L. (2021). [Why is Tree Drought Mortality so Hard to Predict?](https://doi.org/10.1016/j.tree.2021.02.001) *Trends in Ecology & Evolution*, 36, 520–532.

Vasey, G.L., Weisberg, P.J. & Urza, A.K. (2022). [Intraspecific trait variation in a dryland tree species corresponds to regional climate gradients](https://doi.org/10.1111/jbi.14515). *Journal of Biogeography*, 49, 2309–2320.

Vehtari, A., Gelman, A., Simpson, D., Carpenter, B. & Bürkner, P.-C. (2021). Rank-normalization, folding, and localization: An improved Rhat for assessing convergence of MCMC (with discussion). *Bayesian Analysis*.

Vicente-Serrano, S.M., Beguería, S. & López-Moreno, J.I. (2010). [A Multiscalar Drought Index Sensitive to Global Warming: The Standardized Precipitation Evapotranspiration Index](https://doi.org/10.1175/2009JCLI2909.1). *Journal of Climate*, 23, 1696–1718.

Vico, G., Dralle, D., Feng, X., Thompson, S. & Manzoni, S. (2017). [How competitive is drought deciduousness in tropical forests? A combined eco-hydrological and eco-evolutionary approach](https://doi.org/10.1088/1748-9326/aa6f1b). *Environmental Research Letters*, 12, 065006.

Vinod, N., Slot, M., McGregor, I.R., Ordway, E.M., Smith, M.N., Taylor, T.C., *et al.* (2023). [Thermal sensitivity across forest vertical profiles: Patterns, mechanisms, and ecological implications](https://doi.org/10.1111/nph.18539). *New Phytologist*, 237, 22–47.

Vlam, M., Baker, P.J., Bunyavejchewin, S. & Zuidema, P.A. (2014). [Temperature and rainfall strongly drive temporal growth variation in Asian tropical forest trees](https://doi.org/10.1007/s00442-013-2846-x). *Oecologia*, 174, 1449–1461.

Williams, L.J., Bunyavejchewin, S. & Baker, P.J. (2008). [Deciduousness in a seasonal tropical forest in western Thailand: Interannual and intraspecific variation in timing, duration and environmental cues](https://doi.org/10.1007/s00442-007-0938-1). *Oecologia*, 155, 571–582.

Wu, Q. & Brown, A. (2022). *’Whitebox’: ’WhiteboxTools’ R frontend*. Manual.

Zuidema, P.A., Babst, F., Groenendijk, P., Trouet, V., Abiyu, A., Acuña-Soto, R., *et al.* (2022). [Tropical tree growth driven by dry-season climate variability](https://doi.org/10.1038/s41561-022-00911-8). *Nature Geoscience*, 15, 269–276.

Zuleta, D., Duque, A., Cardenas, D., Muller-Landau, H.C. & Davies, S.J. (2017). [Drought-induced mortality patterns and rapid biomass recovery in a terra firme forest in the Colombian Amazon](https://doi.org/10.1002/ecy.1950). *Ecology*, 98, 2538–2546.